

National disparities in minimally invasive surgery for rectal cancer

Emmanuel Gabriel¹  · Pragatheeshwar Thirunavukarasu¹ · Eisar Al-Sukhni¹ · Kristopher Attwood² · Steven J. Nurkin¹

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Abstract

Background Social and racial disparities have been identified as factors contributing to differences in access to care and oncologic outcomes in patients with colorectal cancer. The aim of this study was to investigate national disparities in minimally invasive surgery (MIS), both laparoscopic and robotic, across different racial, socioeconomic and geographic populations of patients with rectal cancer.

Methods We utilized the American College of Surgeons National Cancer Database to identify patients with rectal cancer from 2004 to 2011 who had undergone definitive surgical procedures through either an open, laparoscopic or robotic approach. Inclusion criteria included only one malignancy and no adjuvant therapy. Multivariate analysis was performed to investigate differences in age, gender, race, income, education, insurance coverage, geographic setting and hospital type in relation to the surgical approach.

Results A total of 8633 patients were identified. The initial surgical approach included 46.5 % open (4016), 50.9 % laparoscopic (4393) and 2.6 % robotic (224). In

evaluating type of insurance coverage, patients with private insurance were most likely to undergo laparoscopic surgery [OR (odds ratio) 1.637, 95 % CI 1.178–2.275], although there was a less statistically significant association with robotic surgery (OR 2.167, 95 % CI 0.663–7.087). Patients who had incomes greater than \$46,000 and received treatment at an academic center were more likely to undergo MIS (either laparoscopic or robotic). Race, education and geographic setting were not statistically significant characteristics for surgical approach in patients with rectal cancer.

Conclusions Minimally invasive approaches for rectal cancer comprise approximately 53 % of surgical procedures in patients not treated with adjuvant therapy. Robotics is associated with patients who have higher incomes and private insurance and undergo surgery in academic centers.

Keywords Rectal cancer · Robotic surgery · Disparities

The application of minimally invasive surgery (MIS) for the treatment of colorectal cancer has increased in the last decade [1, 2]. Minimally invasive approaches include both laparoscopy and robotics. When compared to open surgery, several studies have shown equivalent oncologic results of laparoscopic surgery [3–6]. Laparoscopy also offers several short-term benefits, including shorter hospital length of stay, lower estimated blood loss and decreased postoperative pain [7]. Compared to laparoscopic surgery, the postoperative benefits and oncologic outcomes of robotic surgery in colorectal cancer have been less established, in part because of less experience and adoption of robotic surgery in this disease process [8–10]. In contrast, robotic surgery has been better established in its application to

Disclaimer: The American College of Surgeons Committee on Cancer provided the Participant User File from the National Cancer Database, but has not reviewed or validated the results or conclusions of our study.

✉ Emmanuel Gabriel
emmanuel.gabriel@roswellpark.org

¹ Department of Surgical Oncology, Roswell Park Cancer Institute, Carlton House A-206, Elm and Carlton Streets, Buffalo, NY 14216, USA

² Department of Biostatistics, Roswell Park Cancer Institute, Buffalo, NY, USA

malignancies and other benign disease processes in urology and gynecology [11–13].

Although the role of robotics in colorectal surgery is not yet clearly defined, the use of robotic surgery in colorectal cancer has grown nationally [14]. With this trend, not only will the oncologic outcomes of robotic compared to both laparoscopic and open approaches be of significant interest [15], but also the evaluation of patient access to robotic surgery and the identification of associated disparities are important. Socioeconomic and racial disparities have already been reported in laparoscopic surgery for colorectal cancer [16]. Further in urologic oncology, similar disparities have characterized in minimally invasive radical prostatectomy, including both laparoscopic and robotic approaches [17]. In this study, we analyzed national disparities with respect to race, socioeconomic status and geographic location for patients with rectal cancer with respect to the robotic surgical approach as compared to open and laparoscopic surgery.

Methods

The American College of Surgeons (ACS) National Cancer Database (NCDB) captures approximately 70 % of the country's cancer cases through its participating hospitals. Since 2004, surgical approach has been recorded and includes the open, laparoscopic, laparoscopic converted to open, robotic and robotic converted to open approaches. For the purposes of this study, we identified patients with rectal cancer treated with surgery from 2004 to 2011. Inclusion criteria included patients with only one rectal malignancy who did not receive any chemotherapy or radiation treatment. Although chemoradiation plays a role in the treatment of certain rectal tumors, studies have characterized a diverse set of disparities regarding access to these treatments [18–20]. Therefore, to focus our analysis on surgical approach and its associated disparities, we excluded patients who received any chemotherapy or radiation.

Patients offered MIS but then converted to open were analyzed in their respective minimally invasive group, either laparoscopic or robotic, since our primary goal was to determine disparities in access to minimally invasive surgery and not to analyze the factors associated with conversion rates to open. Surgical procedures included partial resection, total proctectomy, proctocolectomy and pelvic exenteration. Analysis of type of surgery was excluded as the decision to convert a minimally invasive procedure to an open procedure may have influenced the final surgery type that was performed.

Patients with either adenocarcinoma or neuroendocrine tumors of the rectum were included. For adenocarcinoma,

the following ICD-O-3 codes were used: 8140–8148, 8200, 8260–8263 and 8480–8496. For neuroendocrine tumors, the ICD-O-3 codes included 8150–8155 and 8240–8248. In addition to cancer histology, other pathologic factors included tumor size, grade and clinical stage. Demographic factors included patient age, gender, race, income, education, insurance status, facility type and location. Recognizing that insurance is related to age such that patients over 65 qualify for Medicare, we stratified insurance type by age < 65 and age \geq 65 in a separate analysis to account for this factor.

Patient characteristics are reported using the mean, median and standard deviation for continuous variables, and using frequencies and relative frequencies for categorical variables. Comparisons were made between procedures using the Kruskal–Wallis and Chi-square tests for continuous and categorical variables, respectively. Multinomial general linear models were used to identify a set of patient characteristics that are significantly associated with a given surgical approach. The variables included in the model were obtained using the backward selection method ($\alpha = 0.05$). Baseline category odds ratios (ORs) were obtained from the fitted model and represent the odds of having a robotic or laparoscopic procedure as compared to an open surgery for a change in the given patient characteristic. These models determined which demographic and oncologic variables were independently associated with each of type of surgical approach. All analyses were conducted in SAS v9.4 (Cary, NC, USA) at a significance level of 0.05.

Results

A total of 8633 patients were identified between 2004 and 2011 with rectal cancer who had undergone surgery without receiving chemotherapy or radiation. Over half of these patients (53.5 %) underwent minimally invasive procedures (50.9 % laparoscopic and 2.6 % robotic). Patient oncologic variables are shown in Table 1. The majority of patients were at either clinical stage I or II across each group of surgical approach, which was expected given the exclusion of chemoradiation. Differences with respect to tumor size and tumor grade are shown. The majority of patients undergoing the robotic approach had adenocarcinoma, whereas neuroendocrine tumors were more represented in the laparoscopic and open groups.

Table 2 shows the differences among demographic variables for each group of surgical approach. These included age, gender, race, income, education, insurance status, urban versus rural location, distance from home residence to hospital and facility type. Comparison of

Table 1 Oncologic characteristics for each patient group by surgical approach

| | Robotic = 224 (2.6 %) | Laparoscopic = 4394 (50.9 %) | Open = 4016 (46.5 %) | Total = 8633 (100 %) | <i>p</i> value |
|-----------------------|-----------------------|------------------------------|----------------------|----------------------|----------------|
| Clinical stage | | | | | |
| 0 | 6 (4.0 %) | 229 (7.7 %) | 136 (5.2 %) | 371 (6.5 %) | <0.001 |
| 1 | 110 (73.8 %) | 2454 (82.5 %) | 1878 (71.9 %) | 4442 (77.4 %) | |
| 2 | 22 (14.8 %) | 170 (5.7 %) | 325 (12.4 %) | 517 (9.0 %) | |
| 3 | 9 (6.0 %) | 78 (2.6 %) | 164 (6.3 %) | 251 (4.4 %) | |
| 4 | 2 (1.3 %) | 45 (1.5 %) | 109 (4.2 %) | 156 (2.7 %) | |
| Size (cm) | | | | | |
| <1 | 21 (10.7 %) | 1476 (44.6 %) | 668 (19.8 %) | 2165 (31.5 %) | <0.001 |
| 1–2 | 29 (14.8 %) | 635 (19.2 %) | 520 (15.4 %) | 1184 (17.2 %) | |
| 2–3 | 41 (20.9 %) | 384 (11.6 %) | 555 (16.5 %) | 980 (14.3 %) | |
| 3–4 | 45 (23.0 %) | 318 (9.6 %) | 484 (14.3 %) | 847 (12.3 %) | |
| 4–5 | 27 (13.8 %) | 217 (6.6 %) | 403 (11.9 %) | 647 (9.4 %) | |
| >5 | 33 (16.8 %) | 278 (8.4 %) | 743 (22.0 %) | 1054 (15.3 %) | |
| Grade | | | | | |
| Well | 30 (14.6 %) | 964 (32.4 %) | 693 (20.4 %) | 1687 (25.7 %) | <0.001 |
| Moderate | 148 (71.8 %) | 1811 (60.9 %) | 2323 (68.5 %) | 4282 (65.2 %) | |
| Poor | 27 (13.1 %) | 177 (6.0 %) | 320 (9.4 %) | 524 (8.0 %) | |
| Undifferentiated | 1 (0.5 %) | 22 (0.7 %) | 56 (1.7 %) | 79 (1.2 %) | |
| Histology | | | | | |
| Adenocarcinoma | 209 (93.7 %) | 2810 (64.0 %) | 3363 (83.9 %) | 6382 (74.0 %) | <0.001 |
| Neuroendocrine | 8 (3.6 %) | 1502 (34.2 %) | 522 (13.0 %) | 2032 (23.6 %) | |
| Other ^a | 6 (2.7 %) | 80 (1.8 %) | 125 (3.1 %) | 211 (2.4 %) | |

^a Other histologies include squamous cell carcinoma, basal cell carcinoma and unspecified malignancies

patient comorbidity status quantified by the NCDB using the Charlson–Deyo comorbidity score is also shown.

Table 3 shows the multivariate analysis of both the demographic variables and oncologic characteristics as predictors of surgical approach with respect to MIS compared to open. Compared to open surgery, oncologic factors associated with increased odds ratios (ORs) for robotic surgery included tumor size <5 cm. Clinical stage, comorbidity score and tumor grade were not significant predictive factors for robotic surgery as compared to open surgery. Similar results were found for the laparoscopic approach versus open. In addition, patients with neuroendocrine tumors were more likely to undergo laparoscopic surgery.

Statistically significant demographic variables predictive of MIS included treatment at an academic center and income over \$46,000. Privately insured patients were also more likely to undergo laparoscopic surgery than open surgery as compared to uninsured patients. Having private insurance was also associated with an increased likelihood of undergoing robotic surgery, though the confidence interval was not statistically significant.

We also conducted a multivariate analysis of variables predictive of robotic surgery compared to laparoscopic surgery, as shown in Table 4. In this analysis, income greater than \$46,000 was associated with a statistically

significant increase in robotic surgery compared to laparoscopic surgery. Treatment at an academic center or a comprehensive cancer center was also strongly associated with the robotic approach. Similar to the comparison with open surgery, insurance status was a statistically significant factor in determining a robotic versus laparoscopic approach.

Lastly, recognizing that patients who qualify for Medicare are typically aged 65 years or older, we analyzed insurance status for patients in this older age group. As shown in Table 5, patients aged 65 and older comprised 3951 patients (46.8 % of the entire group). The majority of these patients have Medicare (83.0 %). In this subgroup of patients, insurance status was not significantly associated with surgical approach ($p = 0.243$). In contrast, in the subgroup of patients younger than 65, insurance status was significantly associated with surgical approach ($p < 0.001$).

Discussion

Robotic surgery has been increasingly utilized in the field of urology for both benign and malignant disease [11, 21]. Disparities have been identified in urologic oncology and studied in regard to their impact on patient outcomes [12,

Table 2 Demographic variables for each patient group by surgical approach

| | Robotic = 224 (2.6 %) | Laparoscopic = 4394 (50.9 %) | Open = 4016 (46.5 %) | Total = 8633 (100 %) | <i>p</i> value |
|------------------------------|--------------------------|---------------------------------|-------------------------|-------------------------|----------------|
| Age (years) | 63.6 ± 12.8 | 61.6 ± 13.9 | 65.5 ± 14.2 | 63.5 ± 14.1 | <0.001 |
| Gender | | | | | |
| Male | 124 (55.4 %) | 2328 (53.0 %) | 2113 (52.6 %) | 4565 (52.9 %) | 0.709 |
| Female | 100 (44.6 %) | 2065 (47.0 %) | 1903 (47.4 %) | 4068 (47.1 %) | |
| Charlson–Deyo ^a | | | | | |
| 0 | 168 (75.0 %) | 3463 (78.8 %) | 2937 (73.1 %) | 6568 (76.1 %) | <0.001 |
| 1 | 43 (19.2 %) | 717 (16.3 %) | 775 (19.3 %) | 1535 (17.8 %) | |
| 2 | 13 (5.8 %) | 213 (4.8 %) | 304 (7.6 %) | 530 (6.1 %) | |
| Race | | | | | |
| White | 179 (80.3 %) | 3124 (72.5 %) | 3095 (77.7 %) | 6398 (75.2 %) | <0.001 |
| Black | 17 (7.6 %) | 642 (14.9 %) | 456 (11.5 %) | 1115 (13.1 %) | |
| Native American | 0 (0.0 %) | 13 (0.3 %) | 16 (0.4 %) | 29 (0.3 %) | |
| Asian | 12 (5.4 %) | 233 (5.4 %) | 159 (4.0 %) | 404 (4.7 %) | |
| Hispanic | 14 (6.3 %) | 252 (5.9 %) | 223 (5.6 %) | 489 (5.7 %) | |
| Other | 1 (0.4 %) | 42 (1.0 %) | 32 (0.8 %) | 75 (0.9 %) | |
| Insurance | | | | | |
| Not insured | 4 (1.8 %) | 113 (2.6 %) | 128 (3.3 %) | 245 (2.9 %) | <0.001 |
| Private | 114 (50.9 %) | 2302 (53.1 %) | 1632 (41.4 %) | 4048 (47.6 %) | |
| Medicaid | 4 (1.8 %) | 255 (5.9 %) | 197 (5.0 %) | 456 (5.4 %) | |
| Medicare | 99 (44.2 %) | 1612 (37.2 %) | 1945 (49.4 %) | 3656 (43.0 %) | |
| Other | 3 (1.3 %) | 56 (1.3 %) | 36 (0.9 %) | 95 (1.1 %) | |
| Income ^b | | | | | |
| <\$30,000 | 17 (8.0 %) | 556 (13.5 %) | 578 (15.4 %) | 1151 (14.2 %) | <0.001 |
| \$30,000–34,999 | 35 (16.5 %) | 693 (16.8 %) | 685 (18.2 %) | 1,413 (17.5 %) | |
| \$35,000–45,999 | 57 (26.9 %) | 1134 (27.5 %) | 1068 (28.4 %) | 2259 (27.9 %) | |
| >\$46,000 | 103 (48.6 %) | 1734 (42.1 %) | 1434 (38.1 %) | 3271 (40.4 %) | |
| Education ^c | | | | | |
| 29 % or more | 25 (11.8 %) | 702 (17.1 %) | 705 (18.7 %) | 1432 (17.7 %) | 0.022 |
| 20–28.9 % | 49 (23.1 %) | 926 (22.5 %) | 890 (23.6 %) | 1865 (23.1 %) | |
| 14–19.9 % | 59 (27.8 %) | 952 (23.1 %) | 869 (23.1 %) | 1880 (23.2 %) | |
| <14 % | 79 (37.3 %) | 1535 (37.3 %) | 1300 (34.5 %) | 2914 (36.0 %) | |
| Geographic setting | | | | | |
| Metro | 181 (86.2 %) | 3468 (84.8 %) | 3030 (81.1 %) | 6679 (83.1 %) | <0.001 |
| Urban | 27 (12.9 %) | 545 (13.3 %) | 597 (16.0 %) | 1169 (14.5 %) | |
| Rural | 2 (1.0 %) | 79 (1.9 %) | 110 (2.9 %) | 191 (2.4 %) | |
| Distance to hospital (miles) | 67.7 + 641.4 | 26.0 + 160.2 | 31.6 + 176.0 | 29.7 + 195.4 | <0.001 |
| Facility type | | | | | |
| Community | 6 (2.7 %) | 443 (10.1 %) | 466 (11.7 %) | 915 (10.7 %) | <0.001 |
| Comprehensive | 111 (49.8 %) | 2419 (55.4 %) | 2341 (58.6 %) | 4871 (56.8 %) | |
| Academic | 106 (47.5 %) | 1505 (34.5 %) | 1186 (29.7 %) | 2797 (32.6 %) | |

^a Charlson–Deyo comorbidity score is an estimate of comorbid conditions based on ICD-9 diagnosis codes. A score of 0 indicates no comorbidities. Point values are assigned to comorbid conditions based on severity. The NCDB truncates possible scores to 0, 1 and 2 (>1) due to the small proportion of cases exceeding a score of 2

^b Income as reported by the NCDB is the median household income for the area of residence of a given patient based on zip code derived from the 2000 US Census

^c Education as reported by the NCDB is the percentage of adults in the area of residence of a given patient (based on zip code derived from the 2000 US Census) who did not graduate from high school

Table 3 Multivariate associations with surgical approach between minimally invasive (laparoscopic or robotic) and open surgery

| | Odds of robotic versus open (OR, 95 % CI) | Odds of laparoscopic versus open (OR, 95 % CI) | <i>p</i> value |
|--------------------------------------|---|--|----------------|
| Insurance | | | |
| Private versus not insured | 2.167 (0.663, 7.087) | 1.637 (1.178, 2.275) | <0.001 |
| Medicaid versus not insured | 1.116 (0.241, 5.175) | 1.399 (0.938, 2.085) | |
| Medicare versus not insured | 1.793 (0.550, 5.848) | 1.263 (0.908, 1.756) | |
| Other versus not insured | 1.361 (0.133, 13.920) | 2.231 (1.200, 4.149) | |
| Income | | | |
| \$30,000–34,999 versus \$30,000 | 1.765 (0.932, 3.344) | 1.112 (0.915, 1.351) | 0.009 |
| \$35,000–45,999 versus <\$30,000 | 1.783 (0.980, 3.242) | 1.140 (0.954, 1.362) | |
| >46,000 versus <\$30,000 | 2.301 (1.305, 4.057) | 1.292 (1.090, 1.532) | |
| Facility type | | | |
| Comprehensive versus community | 3.960 (1.439, 10.895) | 1.167 (0.972, 1.401) | <0.001 |
| Academic versus community | 8.569 (3.109, 23.615) | 1.286 (1.060, 1.560) | |
| Size | | | |
| No tumor versus >5 cm | 11.104 (0.000, I) | 16700.73 (0.000, 1.78E148) | <0.001 |
| <1 versus >5 cm | 1.779 (0.946, 3.342) | 2.282 (1.853, 2.811) | |
| 1–2 versus >5 cm | 1.683 (0.963, 2.939) | 1.878 (1.534, 2.299) | |
| 2–3 versus >5 cm | 1.911 (1.136, 3.215) | 1.329 (1.079, 1.637) | |
| 3–4 versus >5 cm | 2.386 (1.440, 3.952) | 1.474 (1.190, 1.825) | |
| 4–5 versus >5 cm | 1.571 (0.887, 2.784) | 1.289 (1.021, 1.628) | |
| Histology | | | |
| Neuroendocrine versus adenocarcinoma | 0.539 (0.216, 1.343) | 1.462 (1.231, 1.736) | <0.001 |
| Other versus adenocarcinoma | 1.209 (0.423, 3.453) | 0.471 (0.321, 0.692) | |

[17]. For example, racial disparities have been recognized among certain minorities undergoing prostate surgery such that African Americans were less likely to have minimally invasive radical prostatectomies compared to Caucasians [12, 17]. One study showed that African Americans were 22 % less likely to have minimally invasive radical prostatectomy compared to Caucasian patients, although this gap was improving in more recent years [17]. Other studies have reported differences for minorities in access to MIS in a more general sense [22, 23]. Differences between rates of MIS and open procedures have also been identified with regard to income, education levels and insurance status [24–26].

In comparison with urologic oncology where robotic surgery has been largely adopted, the use of robotics has only recently spread into colorectal surgery [14]. Presently, laparoscopic surgery and open surgery are the most utilized surgical approaches, which were also confirmed by this study with 50.9 % of surgeries performed laparoscopically and 46.5 % performed open. The relative novelty of robotics in colorectal surgery makes it difficult to estimate its rate of nationwide application. Analysis of the NCDB is limited to 2004 and 2011 when the American College of

Surgeons first began collecting data on surgical approach. Whereas the oncologic outcomes of laparoscopic colorectal surgery have been found to be equivalent to open surgery [3, 6], similar studies have not yet been performed in robotic surgery. Short-term results of robotic surgery have been proposed to be equivalent to laparoscopic or open approaches, though long-term results have not matured [27, 28]. The ACS has not yet released the validated survival data on patients treated after 2006, which would be of particular interest when comparing the long-term oncologic outcomes of rectal cancer patients stratified by surgical approach. Innovative studies are being performed to determine the long-term oncologic benefits of robotic surgery in rectal cancer [29–31].

Disparities between the open and laparoscopic approach in colorectal cancer have been extensively studied. Studies have suggested that racial disparities exist in the treatment of colorectal diseases [16, 32]. Racial disparities in access to chemotherapy have been described [18, 19]. In order to focus on disparities among surgical approach, we excluded patients who received any form of chemotherapy. However, consistent with a recent study utilizing the Nationwide Inpatient Sample (NIS) database showing that race

Table 4 Multivariate associations with surgical approach between robotic and laparoscopic approach

| | Odds of robotic versus laparoscopic (OR, 95 % CI) | <i>p</i> value |
|--------------------------------------|---|----------------|
| Insurance | | |
| Private versus not insured | 1.324 (0.398, 4.406) | <0.001 |
| Medicaid versus not insured | 0.798 (0.169, 3.766) | |
| Medicare versus not insured | 1.420 (0.428, 4.717) | |
| Other versus not insured | 0.610 (0.059, 6.300) | |
| Income | | |
| \$30,000–34,999 versus \$30,000 | 1.588 (0.831, 3.033) | 0.009 |
| \$35,000–45,999 versus <\$30,000 | 1.564 (0.854, 2.866) | |
| >46,000 versus <\$30,000 | 1.781 (1.003, 3.162) | |
| Facility type | | |
| Comprehensive versus community | 3.393 (1.227, 9.388) | <0.001 |
| Academic versus community | 6.664 (2.404, 18.472) | |
| Size | | |
| No tumor versus >5 cm | 0.001 (0.000, 1) | <0.001 |
| <1 versus >5 cm | 0.779 (0.412, 1.476) | |
| 1–2 versus >5 cm | 0.896 (0.508, 1.581) | |
| 2–3 versus >5 cm | 1.438 (0.842, 2.455) | |
| 3–4 versus >5 cm | 1.619 (0.962, 2.724) | |
| 4–5 versus >5 cm | 1.219 (0.676, 2.198) | |
| Histology | | |
| Neuroendocrine versus adenocarcinoma | 0.369 (0.148, 0.916) | <0.001 |
| Other versus adenocarcinoma | 2.564 (0.869, 7.568) | |

Table 5 Comparison of insurance type and surgical approach based on age stratification (age < 65 vs. age ≥ 65)

| Age ≥ 65 | Robotic = 224 (2.6 %) | Laparoscopic = 1720 (43.5 %) | Open = 2128 (53.9 %) | Total = 3951 (100 %) | <i>p</i> value |
|-------------|-----------------------|------------------------------|----------------------|----------------------|----------------|
| Insurance | | | | | |
| Not insured | 0 (0.0 %) | 10 (0.6 %) | 14 (0.7 %) | 24 (0.6 %) | 0.243 |
| Private | 10 (9.7 %) | 258 (15.1 %) | 281 (13.4 %) | 549 (14.1 %) | |
| Medicaid | 2 (1.9 %) | 28 (1.6 %) | 45 (2.1 %) | 75 (1.9 %) | |
| Medicare | 91 (88.3 %) | 1397 (82.0 %) | 1752 (83.5 %) | 3240 (83.0 %) | |
| Other | 0 (0.0 %) | 11 (0.6 %) | 5 (0.2 %) | 16 (0.4 %) | |
| Age < 65 | Robotic = 121 (2.6 %) | Laparoscopic = 2673 (57.1 %) | Open = 1888 (40.3 %) | Total = 4682 (100 %) | <i>p</i> value |
| Insurance | | | | | |
| Not insured | 4 (3.3 %) | 103 (3.9 %) | 114 (6.2 %) | 221 (4.8 %) | <0.001 |
| Private | 104 (86.0 %) | 2044 (77.6 %) | 1351 (73.4 %) | 3499 (76.1 %) | |
| Medicaid | 2 (1.7 %) | 227 (8.6 %) | 152 (8.3 %) | 381 (8.3 %) | |
| Medicare | 8 (6.6 %) | 215 (8.2 %) | 193 (10.5 %) | 416 (9.1 %) | |
| Other | 3 (2.5 %) | 45 (1.7 %) | 31 (1.7 %) | 79 (1.7 %) | |

was not a significant variable contributing to disparities between open and laparoscopic surgery for colorectal diseases [16], the multivariate analysis of this study also did not show race to be a significant predictor of surgical approach.

Insurance status has also been shown to have disparate effects on treatments, whereby patients with private insurance or Medicare had higher rates of laparoscopic surgery [33]. Similarly, higher levels of income have been shown to be associated with laparoscopic surgery [16, 32,

33], and we have shown here that this trend continues with robotic surgery. However, we recognize that many of the large nationwide datasets which have been used to examine economic disparities on surgical approach are somewhat limited in the stratification of income levels. The NCDB uses a maximum income level cutoff of over \$46,000, while the NIS uses \$39,000. Another similarity between laparoscopic and robotic surgery identified during our analysis was that robotic surgery was significantly associated with treatment at academic centers, which is a parallel finding of other studies with regard to the laparoscopic approach [16, 34]. This phenomenon may be related to higher case volumes at teaching hospitals, as well as the presence of general surgery training programs or MIS fellowships and other fellowships which emphasize robotic training. As robotic surgery has the potential to continue to grow in its applications and practicing general surgeons and trainees acquire more experience with robotics, the characterization of disparities with respect to access to robotics may become increasingly relevant.

There are limitations to our study as well, which are similar to those inherent to the datasets used in many studies on surgical disparities. One of the potential limitations of the NCDB database is that surgeries in which the approach was not specified by the operating surgeon may be grouped with the open procedures. This represents a potential for minimally invasive procedures not being properly encoded in their respective groups. Human error is intrinsic to any large database, and we therefore performed a comparison of robotic surgery to laparoscopic surgery to offset this potential limitation. The individual patient preference or bias for a given surgical approach is not captured by the NCDB. The particular decision-making process for a given patient is complex and dependent on several intangible factors, which have been shown to influence treatment [35, 36]. On the physician side, surgeon experience with MIS in rectal cancer is not captured by the NCDB. Surgeon experience with laparoscopy has been shown to affect outcome in patients with colorectal cancer such that surgeons practicing at high volume centers had lower rates of mortality [37, 38]. The same finding may be the case with robotic surgery since major academic centers have increased case-load volumes, which likely contributes to our finding that the robotic approach is more likely in academics. The NCDB does not record information regarding elective versus emergency surgery, whereby the latter would be considered a relative contraindication to performing either form of MIS [39]. Prior patient surgeries are not accounted for in the NCDB. Previous abdominal incisions and the increased presence of intra-abdominal adhesions may likely influence the decision-making process on surgical approach. Lastly, patient comorbidities or

performance status may influence surgical approach. The NCDB uses the Charlson–Deyo score to estimate patient comorbidity. While there was a statistically significant difference in the comorbidity score across each group (Table 2), clinically these differences are small. Furthermore, comorbidity score was not an independent predictor of surgical approach on multivariate analysis.

In summary, we analyzed a large nationwide database known to capture 70 % of the cancer cases through its participating hospitals. The NCDB offers a multitude of demographic and oncologic factors relevant to the investigation of disparities among the different surgical approaches for rectal cancer. As more experience and widespread application of robotics matures nationwide, new data will become available regarding both the potential benefits and disadvantages of robotics in the treatment of rectal cancer. Recognition of demographic and oncologic disparities in surgical approach for rectal cancer is therefore of relevant importance. Consistent with other studies, we found that MIS is associated with insurance status, patient income and treating facility. Unique to the existing literature, we found that robotic surgery compared to laparoscopic surgery as the initial surgical approach offered to patients is influenced by patient income and the treating facility.

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