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# Geometry Helps in Bottleneck Matching and Related Problems<sup>1</sup>

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**Abstract.** Let A and B be two sets of n objects in  $\mathbb{R}^d$ , and let Match be a (one-to-one) matching between A and B. Let  $\min(Match)$ ,  $\max(Match)$ , and  $\Sigma(Match)$  denote the length of the shortest edge, the length of the longest edge, and the sum of the lengths of the edges of Match, respectively. Bottleneck Match—is suggested as a convenient way for measuring the resemblance between A and B. Several algorithms for computing, as well as approximating, this resemblance are proposed. The running time of all the algorithms involving planar objects is roughly  $O(n^{1.5})$ . For instance, if the objects are points in the plane, the running time of the exact algorithm is  $O(n^{1.5}\log n)$ . A semidynamic data structure for answering containment problems for a set of congruent disks in the plane is developed. This data structure may be of independent interest.

Next, the problem of finding a translation of B that maximizes the resemblance to A under the bottleneck matching criterion is considered. When A and B are point-sets in the plane, an  $O(n^5 \log n)$ -time algorithm for determining whether for some translated copy the resemblance gets below a given  $\rho$  is presented, thus improving the previous result of Alt, Mehlhorn, Wagener, and Welzl by a factor of almost n. This result is used to compute the smallest such  $\rho$  in time  $O(n^5 \log^2 n)$ , and an efficient approximation scheme for this problem is also given.

The uniform matching problem (also called the balanced assignment problem, or the fair matching problem) is to find  $Match_{\mathbb{T}}^*$ , a matching that minimizes  $\max(Match) - \min(Match)$ . A minimum deviation matching  $Match_{\mathbb{D}}^*$  is a matching that minimizes  $(1/n)\Sigma(Match) - \min(Match)$ . Algorithms for computing  $Match_{\mathbb{T}}^*$  and  $Match_{\mathbb{D}}^*$  in roughly  $O(n^{10/3})$  time are presented. These algorithms are more efficient than the previous  $O(n^4)$ -time algorithms of Martello, Pulleyblank, Toth, and de Werra, and of Gupta and Punnen, who studied these problems for general bipartite graphs.

**Key Words.** Bipartite graph matching, Bottleneck matching, Euclidean distance, Minkowski norm, Translation, Approximation.

1. Introduction. In the field of pattern recognition it is often required to measure the *resemblance* between two sets A and B of objects in d-dimensional space. This problem often arises when an input image is given, and we seek, among model images stored in some library, the one that is most similar to the given image.

Many methods have been suggested for quantifying this similarity. Perhaps the most common of which is the *Hausdorff distance*, defined as the maximum distance between

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Fig. 1. A set A of points represented as solid disks, and a set B of points represented as empty disks.

an object in one set and its closest neighbor in the other set. Many algorithms and applications have been suggested for computing and applying the Hausdorff distance (e.g., [12]–[14], [33], and [34]). However, measuring the resemblance by the Hausdorff distance suffers from the following problem which is sometimes a fundamental drawback: the mapping defined by associating each object in A to its closest neighbor in B is not necessarily a bijection (one-to-one).

Quite often it is required that each object in an image be matched by one and only one object in the other image. In such cases the Hausdorff distance is meaningless, see Figure 1.

In this paper we propose a different measure of similarity: we assume that both images A and B have the same number of objects, a *perfect bipartite matching* is a bijection Match from A to B. Let  $\max(Match)$  denote the maximal distance between any matched pair of objects. We seek a matching Match that minimizes  $\max(Match)$ . We refer to this measure as the *bottleneck matching* criterion, and define the distance between the two images as the longest distance between any matched pair. Let Match(A, B) denote this distance.

The disadvantage of bottleneck matching, as well as any distance that relies on one-to-one matching, is that it is probably more complicated to compute than the Hausdorff distance, and the algorithms tend to be less efficient. A partial explanation is that the known algorithms attack the problem as a purely graph-theoretic one without taking advantage of its geometric nature.

Furthermore, the problem of minimizing the resemblance under some rigid motion or other transformation of one image relative to the other, has been investigated mainly from a practical point of view, and the best known algorithms are either computationally inefficient (see [5]) or significantly restrict the inputs (see [6]).

For the case where the sets A and B are points in the plane, Vaidya [46] explored the geometric structure of the problem to obtain an algorithm for finding a matching between A and B, for which the sum of distances between the matched points is minimal (among all perfect matchings between A and B). (This criterion is different from our bottleneck criterion.) He obtained an  $O(n^{2.5}\log n)$ -time algorithm for the Euclidean distance and an  $O(n^2\log^3 n)$ -time algorithm for the  $L_\infty$  distance. The solution of the Euclidean case has recently been improved by Agarwal et al. [2] to  $O(n^{2+\varepsilon})$ . However, the resulting algorithms remain relatively complicated. See also [11] and the recent paper by Indyk and Venkatasubramanian [35] for fast algorithms for other types of graphs related to geometric configurations.

For computing Match(A, B) we introduce in Section 3.2 an oracle that determines, for a parameter r, whether  $Match(A, B) \le r$ . The exact running times depend on the norm and the dimension.

<sup>&</sup>lt;sup>5</sup> Throughout the paper,  $\varepsilon$  stands for a positive constant which can be chosen arbitrarily small with an appropriate choice of other constants of the algorithms.

Dim.	A	В	Norm	Oracle	Theorem	Match	Theorem
$\mathbb{R}^2$	Points	Points	$L_p, \forall p$	$O(n^{1.5}\log n)$	5.4	$O(n^{1.5}\log n)$	5.10
			Additive	$O(n^{1.5+\varepsilon})$	6.7	$O(n^{1.5+\varepsilon})$	6.8
			weights				
		Segments	$L_p, \forall p$	$O(n^{1.5+\varepsilon})$	6.7	$O(n^{1.5+\varepsilon})$	6.8
$\mathbb{R}^3$	Points	Points	$L_2$	$O(n^{11/6+\varepsilon})$	6.2	$O(n^{11/6+\varepsilon})$	6.3
$\mathbb{R}^d$	Points	Points	$L_{\infty}$	$O(n^{1.5}\log^{d-1}n)$	6.4	$O(n^{1.5}\log^d n)$	6.5

**Table 1.** Computing Match(A, B) in different settings.

The oracle is then used to find Match(A, B); that is, the minimal r for which  $Match(A, B) \le r$ . Clearly, Match(A, B) must equal a distance between an object of A and an object in B. Thus our search space is confined to  $n^2$  such distances. In Section 4 we show how to conduct the search efficiently. In some cases (Sections 5.3 and 6.1) the time required for finding the matching is the same as the oracle time.

Sections 5 and 6 discuss the implementation of the data structures needed for the oracle and for finding the matching itself, for different choices of the dimension of the space, the sets *A* and *B*, and the underlying norm. These results are listed in Table 1.

When  $A, B \subseteq \mathbb{R}^2$  are point-sets, and the underlying norm is  $L_2$  (the *planar Euclidean point-sets case*) our algorithm runs in time  $O(n^{1.5} \log n)$ . For this case, we developed (Section 5.1) a semidynamic linear-size data structure for a set S of equal-size disks in the plane, so that finding a disk containing a query point, and deleting a disk from S, can both be performed in time  $O(\log n)$ . We believe that this data structure is of interest of its own.

In Section 5.2 we show how to conduct the search efficiently, so the running time is  $O(Oracle - Time \cdot \log n) = O(n^{1.5} \log^2 n)$ . Moreover, for this case we can shortcut the generic algorithm and find the matching in the same time as the oracle (Section 5.10), i.e., in time  $O(n^{1.5} \log n)$  (Theorem 5.10).

Additional settings are discussed in Section 6. Assume first that A and B are point-sets in  $\mathbb{R}^d$ . For d=3 and the  $L_2$  norm (the *three-dimensional Euclidean point-sets case*), we propose an  $O(n^{11/6}+\varepsilon)$ -time algorithm (Theorem 6.3). When the norm is  $L_{\infty}$  (the  $L_{\infty}$  point-sets d-space case), the running time is  $O(n^{1.5} \log^d n)$  (Theorem 6.5).

When A is a set of n points in the plane, B is a set of n segments in the plane, and the norm is an arbitrary  $L_p$ , or when A and B are sets of points in the plane and the distance is additively weighted (i.e.,  $dist_w(a, b) = ||a - b||_p + w(b)$  for some nonnegative weight function w), the running time of the algorithm for computing Match(A, B) is slightly worse— $O(n^{1.5+\varepsilon})$ , for any  $\varepsilon > 0$  (Theroem 6.8).

Section 7 presents an approximation scheme that computes an  $\varepsilon$ -approximation for Match(A, B), in any dimension in time  $O(n^{1.5} \log n)$ , where A and B are point-sets and the constant of proportionality depends on the dimension and on  $\varepsilon$ . We believe that this scheme is relatively easy to implement, with a reasonably small constant of proportionality, and therefore would do reasonably well in practice.

We also show in Section 8.2 an application of our technique for the *translation* problem: Let A and B be two n-point-sets in the plane, and let  $\rho$  be a fixed number. The problem is to find a translation B' of B such that Match(A, B') is at most  $\rho$ , or determine

that no such translation exists. Alt et al. [5] gave an  $O(n^6)$ -time algorithm for this problem. We improve this bound to  $O(n^5 \log n)$ , and show how to find in  $O(n^5 \log^2 n)$  time a translation  $B^*$  of B that minimizes Match(A, B'), over all translations B'. We also present a scheme to find a translation that approximates  $Match(A, B^*)$ .

In Section 9 we discuss two problems strongly related to the matching problem. The first is *Partial Matching* in which we are given A, B (not necessarily of the same cardinality) and a parameter  $1 \le p \le \min\{|A|, |B|\}$ , and we seek a matching of cardinality p for which its longest edge is as short as possible. The second problem is *Longest Perfect Matching* in which we are given A, B, and seek  $\overline{Match}(A, B)$ , the largest P for which a perfect matching exists, such that the length of all its edges is P or more. Surprisingly, for points in  $\mathbb{R}^3$ , this problem is easier to tackle than the problem of finding Match(A, B).

Finally, we consider the problem of finding a matching Match between A and B which is as balanced as possible. We consider the (most) uniform matching  $M_U^*$  which minimizes  $\max(Match) - \min(Match)$ , where  $\min(Match)$  is the the minimum distance between any matched pair. Martello et al. [41] considered this problem (or a balanced assignment, as they called it) for general bipartite graphs, and presented an  $O(n^4)$ -time solution. In Section 9.4 we present an  $O(n^{10/3} \log n)$ -time solution for this problem in the geometric setting. Our solution uses both the technique for computing a bottleneck matching, and a technique for batched range searching, where the ranges are congruent annuli (see [37]).

Another criterion for balancing matchings is to minimize  $(1/n)\Sigma(Match)$  – min (Match), where  $\Sigma(Match)$  is the sum of lengths of the edges of Match. A best matching under this criterion is called a *minimum-deviation matching*  $M_D^*$  and is discussed in [20] and in [22].

**2.** Matching in General Bipartite Graphs. We first discuss the connection between our problem and standard graph-matching theory. A *graph-matching* of a bipartite graph  $G = (X \cup Y, E)$  is a set of edges  $M \subseteq E(G)$  such that no vertex of G is incident to more than one edge of M. A graph-matching M is *perfect* if every vertex of G is incident to an edge of M. The problem of finding a perfect matching in a bipartite (or arbitrary) graph has been well studied. See, for example, [39] and [40] for textbooks on this subject. The best known algorithm for finding a perfect matching in a bipartite graph runs in time  $O(m\sqrt{n})$  (where n is the number of vertices and m is the number of edges) and is due to Hopcroft and Karp [32]. When a weight is associated with each edge, and we seek a perfect matching for which the sum of weights of its edges is minimal, the best known algorithm runs in time  $O(n^3)$ , using the so-called  $Hungarian\ method$ , and is due to Kuhn [38].

We define our problem in graph-theoretical terms: The images A and B are each a set of n vertices of a complete bipartite graph  $G = (A \cup B, E)$ . The weight of the edge  $(a, b) \in E$  is dist(a, b)—the distance between  $a \in A$  and  $b \in B$ . Let  $\max(M)$  denote, as above, the weight of the heaviest edge of a graph-matching M. The bottleneck matching is a perfect graph-matching  $M \subseteq E$  that minimizes  $\max(M)$ .

Let G[r] be the bipartite graph whose vertex set is  $A \cup B$ , and whose edges consist of all pairs (a, b),  $a \in A$ ,  $b \in B$ , for which  $dist(a, b) \le r$ . Note that  $Match(A, B) \le r$  if and only if there exists a perfect graph-matching in G[r]. We therefore focus on finding a maximum graph-matching in G[r]—a graph-matching of largest cardinality.

Given a graph-matching M of a bipartite graph  $G = (A \cup B, E)$ , the vertices incident to edges of M are called *matched* and the remaining vertices are *exposed*. The path  $\pi = (v_1, \ldots, v_{2t})$  is an *alternating path* if  $v_1$  is an exposed vertex of A,  $(v_{2i}, v_{2i+1}) \in M$   $(i = 1, \ldots, t-1)$  and  $(v_{2i-1}, v_{2i}) \in E \setminus M$   $(i = 2, \ldots, t)$ . Note that the odd vertices of  $\pi$  belong to A, and the even ones to B. This path is called *an augmenting path* if  $v_{2t}$  is an exposed vertex. If  $\pi$  is an augmenting path, then  $M' = M \oplus \pi = (M \setminus \pi) \cup (\pi \setminus M)$  is a graph-matching too and |M'| = 1 + |M|.

A theorem of Berge [9] states that a matching is maximum if and only if there are no augmenting paths. Thus one may start with the empty matching and augment it by augmenting paths found in a greedy fashion.

Edmonds and Karp [18] showed how to compute augmenting paths by order of increasing length. Instead of finding the augmenting paths one by one, Hopcroft and Karp [32], and also Karzanov [36] who followed the techniques of Dinitz [16], find all shortest augmenting paths together. We follow Dinitz's terminology (see also [45]).

To find all shortest augmenting paths, we conduct a breadth-first search to get layers  $L_1, \ldots, L_{2i}$ . The first layer,  $L_1$ , consists of all exposed vertices of A;  $L_{2i}$  contains all vertices of B not appearing in  $\bigcup_{j<2i} L_j$  and connected (in G) to some vertex of  $L_{2i-1}$ . If  $L_{2i}$  contains exposed vertices, then it is the last layer. Otherwise, we define  $L_{2i+1}$  to contain all vertices connected (in the matching M) to vertices in  $L_{2i}$ . Note that the odd layers contain only vertices of A and the even layers only vertices of B.

The layered graph  $\mathcal{L}$  consists of the vertex set  $\bigcup_{i=1}^{2t} L_i$ , and edges of M that connect vertices of  $L_{2j}$  to vertices of  $L_{2j+1}$ , and edges of G that connect vertices of  $L_{2j-1}$  to vertices of  $L_{2j}$ .

Dinitz showed how to compute a maximal set of edge-disjoint augmenting paths by conducting a depth-first search of the layered graph. His algorithm requires O(|E|) time to construct the layered graph and to find the augmenting paths. For sufficiently large values of r, G[r] contains  $\Theta(n^2)$  edges, hence his algorithm applied to our setting requires  $O(n^2)$  time per layered graph. We take advantage of the geometric features of G[r] to improve the efficiency of Dinitz's algorithm. We represent the edges of  $\mathcal L$  implicitly, and thus our construction enables us to find the augmenting paths in  $\mathcal L$  in almost O(n) time.

- **3.** Maximal Matching in G[r]. In this section we describe an *oracle* to decide whether a given r is less than, equal to, or greater than  $r^* = Match(A, B)$ . The oracle searches for a perfect matching in G[r], using Dinitz's algorithm and taking advantage of the geometric setting.
- 3.1. Constructing  $\mathcal{L}$  Implicitly. Our goal is to find the set of vertices of each layer  $L_i$ ; however, we do not explicitly construct all the edges of  $\mathcal{L}$ . Instead, we use an abstract data structure  $\mathcal{D}_r(S)$  for a set of objects  $S \subseteq B$  and a fixed parameter r. The data structure supports the following operations:
- **neighbor**<sub>r</sub>( $\mathcal{D}_r(S), q$ ): For a query point q, return an element  $s \in S$  whose distance from q is at most r. If no such s exists, then **neighbor**<sub>r</sub>( $\mathcal{D}_r(S), q$ ) =  $\emptyset$ .
- **delete**<sub>r</sub>( $\mathcal{D}_r(S)$ , s): Delete the object s from S.

The implementation of  $\mathcal{D}_r(\cdot)$  depends on the dimension, the objects of S, and the underlying norm. Various implementations are described in Sections 5–6.3. Let T(|S|) denote an upper bound on the time of performing one of these two operations on  $\mathcal{D}_r(S)$ . We disregard the time needed to construct the data structure, since in all relevant cases it is bounded by  $O(n \cdot T(n))$ , and does not influence the overall complexity.

We turn now to the algorithm for generating  $\mathcal{L}$ . Initially, set  $\mathcal{D} \leftarrow \mathcal{D}_r(B)$ . In the course of the algorithm, some vertices of B will be deleted. Using this data structure, the layered graph is constructed by the following procedure:

# procedure ConstructLayerGraph(G, M)

```
L_1 \leftarrow exposed vertices of A;
i \leftarrow 1; \mathcal{D} \leftarrow \mathcal{D}_r(B);
Repeat forever
   \overline{L_{2i}} \leftarrow \emptyset;
   For each a \in L_{2i-1} Do
      /* Find all b's which are neighbors of some a in G[r] */
      While neighbor<sub>r</sub>(\mathcal{D}, a) \neq \emptyset
          b \leftarrow \mathbf{neighbor}_r(\mathcal{D}, a);
          Add b to L_{2i};
          delete<sub>r</sub>(\mathcal{D}, b); /* in order to prevent re-finding b^*/
      End
   End
   If L_{2i} is empty
        Then no augmenting path exists. Stop.
   Else If L_{2i} contains exposed vertices,
        Then the construction of \mathcal{L} is complete;
              Output \mathcal{L};
   Else L_{2i+1} \leftarrow all vertices of A adjacent to L_{2i} via edges of M.
   i \leftarrow i + 1;
End
```

Each matched vertex of A is reached in O(1) time from its pair in M. Also, each vertex of B is found at most once by a query of **neighbor**<sub>r</sub> $(\mathcal{D}, \cdot)$  and deleted from  $\mathcal{D}$  at most once. Thus the construction time of  $\mathcal{L}$  is  $O(n \cdot T(n))$ .

- 3.2. Finding Augmenting Paths in  $\mathcal{L}$ . We now show that the augmenting paths in any maximal set of edge-disjoint augmenting paths are vertex disjoint.
- LEMMA 3.1. Let M be a graph-matching of a bipartite graph  $G = (A \cup B, E)$ , let  $\Pi$  be a set of edge-disjoint augmenting paths, and let v be an intermediate vertex of some path of  $\Pi$ . Then v cannot participate in any other augmenting path of  $\Pi$ .

PROOF. Since v is neither the first nor the last vertex of the augmenting path, v is not exposed so it must be incident to exactly one edge  $(v, v') \in M$ . Suppose  $v \in L_{2j}$ . By our construction, (v, v') connects  $L_{2j}$  and  $L_{2j+1}$ . Hence, every augmenting path that contains v must also contain the edge (v, v'). Since the paths of  $\Pi$  are edge disjoint, v

cannot belong to any other path of  $\Pi$ . A similar argument holds when v belongs to an odd layer.  $\square$ 

Next we look for augmenting paths from the exposed vertices of  $L_1$  to exposed vertices of  $L_{2i}$  (the last layer). First we construct  $\mathcal{D}_{2i} \equiv \mathcal{D}_r(L_{2i})$  for each of the even layers  $L_{2i} \subseteq B$ . Then we conduct a depth-first search: We start from an exposed vertex in  $L_1$  and construct an alternating path. To advance from a vertex  $a \in L_{2i-1}$ , we perform **neighbor** $_r(\mathcal{D}_{2i}, a)$ . If it returns a vertex  $b \in L_{2i}$ , then we add (a, b) to the current path and advance to b. Otherwise, it returns  $\emptyset$  indicating that no neighbors of a remain in  $L_{2i}$ . Thus a does not lead to an exposed vertex of  $L_{2t}$  and we should backtrack.

To advance from  $b \in L_{2i}$  (i < t), let  $(b, a^+) \in M$ . We add  $(b, a^+)$  to the path and advance to  $a^+$  (b is not exposed since in  $\bigcap L$  all exposed vertices of B belong to  $L_{2t}$ ). If  $b \in L_{2t}$  is an exposed vertex, then we have found an augmenting path. We increase M and delete all its intermediate vertices from the appropriate  $L_{2i}$ 's. (This is justified by Lemma 3.1.)

To backtrack from  $a \in L_{2i-1}$   $(i \ge 2)$ , let  $(b^-, a) \in M$  and let  $a^-$  be the vertex preceding  $b^-$  on the path. We remove a and  $b^-$  from the path and continue from  $a^-$ . If  $a \in L_1$  we simply delete it from  $L_1$ .

The search for augmenting paths (and the phase) terminates when there remain no more exposed vertices in  $L_1$ .

If all the vertices are matched, then we conclude that  $r^* \le r$ , otherwise, we conclude that  $r^* > r$ . If during the construction of  $\mathcal{L}$  one does not reach any exposed vertex of B, then G[r] contains no perfect matching. We therefore halt and conclude that  $r^* > r$ .

Note that the time spent on finding all alternating paths in a single layered graph is again  $O(n \cdot T(n))$ . By a theorem of Hopcroft and Karp [32], Dinitz's matching algorithm requires  $O(\sqrt{n})$  phases. Hence we have the following theorem:

THEOREM 3.2. Let A and B be two sets of n objects and r > 0. Then the oracle that determines whether  $r \leq Match(A, B)$  requires time  $O(n^{1.5} \cdot T(n))$ , where T(|S|) is a (monotonically nondecreasing) upper bound on the time required to perform an operation on  $\mathcal{D}_r(S)$ .

**4. Finding the Optimum Matching.** The oracle is now used to find Match(A, B); that is, the minimal r for which  $Match(A, B) \le r$ . Clearly, Match(A, B) must equal a distance between an object of A and an object in B. Thus our search space is confined to  $n^2$  such distances.

Rather than calling the oracle for all these distances, we wish to conduct a binary search. Thus, naively, we would have first to calculate all  $n^2$  distances, sort them, and then conduct the binary search, calling the oracle at most  $2 \log n$  times. However, if the oracle requires time  $o(n^2)$ , the time to find the distances and sort them will dominate the total running time.

In order to minimize the number of times the oracle is called, we need to solve the following variant of the kth distance selection problem efficiently. For  $a_i \in A$ ,  $b_j \in B$  let  $dist(a_i, b_j)$  denote the distance from  $a_i$  to  $b_j$ . The kth bichromatic distance selection

*problem* is to find  $dist^{(k)}$ , the kth largest value in the multiset  $\{dist(a_i, b_j) | 1 \le i, j \le n\}$ , where k is a given parameter.

If we can find  $dist^{(i)}$  in time Select- $Time = o(n^2)$ , then since the time required by each iteration requires Select-Time + Oracle-Time, the time to find a minimum matching will become O((Select-Time + Oracle- $Time) \log n)$ . If Select-Time = O(Oracle-Time), then Select-Time can be ignored.

- **5. The Euclidean Planar Case.** We start with our most involved example—points in the Euclidean plane—for which we have the strongest results. Let A and B be sets of n points in  $\mathbb{R}^2$  and let the underlying norm be the Euclidean norm— $L_2$ . The same data structure may also be used for the norms  $L_p$ , for any  $1 \le p \le \infty$ .
- 5.1. *The Oracle*. To get the oracle that checks whether r < Match(A, B), we have to show how to implement **neighbor**<sub>r</sub>( $\mathcal{D}_r(S)$ , q) for a query point  $q \in \mathbb{R}^2$ , and  $S \subseteq B$ , and **delete**<sub>r</sub>( $\mathcal{D}_r(S)$ , q)—delete the point q from S.

To simplify the notation, we scale the coordinates so that r = 1. Let  $S = \{d_1, \ldots, d_n\}$  be a set of unit disks,  $q \in \mathbb{R}^2$ , and let the operation member(q, S) return a disk  $d_i \in S$  containing q, and  $\emptyset$  if no such disk exists. In order to implement our algorithm, we need a data structure that supports efficiently membership queries and deletion of disks.

To that end, we divide the plane using the axis-parallel grid  $\Gamma$  consisting of orthogonal cells of edge length  $\frac{1}{2}$  that passes through the origin. Since the disks have unit radius, each disk intersects O(1) cells, hence, only O(n) cells have a nonempty intersection with disks of S. We maintain these cells in a balanced search tree (ordered lexicographically). For each such cell Q, we maintain a list of disks whose center lies in Q, and a data structure  $\mathcal{D}_b$ , which maintains the upper envelope of  $S_b^Q$ —the disks set of disks that intersect Q, and whose centers lie below the line containing the lower boundary of Q. (The *upper envelope* of  $S_b^Q$  consists of all points  $P \in Q \cap \bigcup_{D \in S_b^Q} D$  such that no point of this union lies above P.) Similar data structures  $\mathcal{D}_l$ ,  $\mathcal{D}_r$ , and  $\mathcal{D}_a$  are maintained for  $S_l^Q$ ,  $S_r^Q$ , and  $S_a^Q$ , the set of disks intersecting Q whose centers lie (respectively) to the left of, to the right of, and above the lines containing the left, right, and upper boundaries of Q. The space needed for  $\mathcal{D}_b$  will be shown to be  $O(|S_b^Q|)$ , and similarity for the other data structures. Since each disk intersects O(1) grid cells, the space requirement for all these data structures is O(n).

To answer the query member(q, S), we consider the cell Q of  $\Gamma$  containing q in its interior (we ignore the degenerate and easy situation that q is on a boundary of a cell). If the center of a disk  $d_i$  lies inside Q, then  $q \in d_i$ , and can be output as  $d_i = member(q, S)$ . Otherwise, we use  $\mathcal{D}_b$  to find if any disk of  $S_b^Q$  contains q, which happens if and only if q lies below the upper envelope of  $S_b^Q$ . We repeat this process (if needed) for  $\mathcal{D}_l$ ,  $\mathcal{D}_r$ , and  $\mathcal{D}_q$ .

We describe  $\mathcal{D}_b$ . The data structures  $\mathcal{D}_l$ ,  $\mathcal{D}_r$ , and  $\mathcal{D}_a$  are similar. A similar data structure was also used by Sharir [44].  $\mathcal{D}_b$  is similar to the segment tree [43] and the one used by Hershberger and Suri [30]. Order the disks of  $S_b^{\mathcal{Q}}$  from left to right by their centers, and construct a complete binary tree  $\mathcal{T}$  whose leaves are these disks. With each node  $v \in \mathcal{T}$  we associate the set S(v) of disks corresponding to the leaves of the subtree rooted at v.

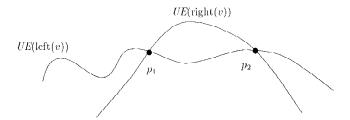


Fig. 2. The proof of Lemma 5.1.

Let UE(v) denote the upper envelope of S(v). As is easily seen, not all the disks of S(v) must participate in UE(v), but those that do, appear along UE(v) (when scanned, from left to right) in the same order as the order of their centers, from left to right.

LEMMA 5.1. Let v be a node of T, and let left(v) and right(v) denote its left and right children. Then UE(left(v)) and UE(right(v)) have at most one intersecting point.

PROOF. Refer to Figure 2. Assume that two such intersection points exist, say  $p_1$  and  $p_2$ , where  $p_1$  is to the left of  $p_2$ , and no third intersection point exists between them. Assume without lost of generality that in the open infinite vertical strip whose boundaries pass through  $p_1$  and  $p_2$ , UE(left(v)) is below UE(right(v)). Consider  $d_1$  and  $d_r$ , the disks of S(left(v)) and S(right(v)), respectively, containing  $p_2$  on their boundaries. As is easily seen, the center of  $d_l$  is to the right of the center of  $d_r$ , which is a contradiction.

COROLLARY 5.2. Let  $UE(\operatorname{left}(v))$  consist of arcs of the (boundary of the) disks  $\ell_1, \ldots, \ell_L$ , and let  $UE(\operatorname{right}(v))$  consists of arcs of  $r_1, \ldots, r_R$ , where the centers of these disks are ordered from left to right in this order. Then there exist  $i, j \ (0 \le i \le L, 1 \le j \le R+1)$  such that UE(v) consists of the arcs of disks  $\ell_1, \ldots, \ell_i, r_j, \ldots, r_R$  in this left-to-right order.

The data structure. Let p(v) be the (single) intersection point of  $UE(\operatorname{left}(v))$  and  $UE(\operatorname{right}(v))$ . We call this point the junction point of v. Associated with v we keep p(v),  $\ell_i, r_j$ , and LIST(v)—a doubly linked list of the vertices of UE(v) (with the disks defining them) that do not belong to UE(parent(v)). (If a disk does not intersect any disk to its left (right) we add the left (right) intersection of the disk with the bottom line of Q.) Observe that LIST(v) represents a connected portion of  $UE(\operatorname{left}(v))$  concatenated to a connected portion of  $UE(\operatorname{right}(v))$ , where p(v) is a common endpoint of these two portions. We maintain pointers from v to the corresponding "middle" vertices in  $LIST(\operatorname{left}(v))$  and  $LIST(\operatorname{right}(v))$ .

Construction of the data structure. The construction is performed bottom-up from the leaves of  $\mathcal{T}$  up to its root. In each step we are at a node v, and we have computed  $UE(\operatorname{left}(v))$  and  $UE(\operatorname{right}(v))$ . We merge  $UE(\operatorname{left}(v))$  and  $UE(\operatorname{right}(v))$ , in linear time using a standard line sweep procedure to find p(v), and we store in  $LIST(\operatorname{left}(v))$  (resp.

LIST(right(v))) the portion of UE(left(v)) (resp. UE(right(v)))) which does not appear in UE(v). Since at each level of the tree we process O(n) disks, the time required for the entire construction is  $O(n \log n)$ .

*Membership queries.* To carry out *member*(q, S), we consider the tree as a binary search tree on the values  $\mathbf{x}(p(v))$ —the x-coordinate of p(v)'s. Let  $\mathbf{x}(p_1) < \cdots < \mathbf{x}(p_{n-1})$  denote these values. Then the ith leaf  $u_i$  corresponds to the interval ( $\mathbf{x}(p_{i-1})$ ,  $\mathbf{x}(p_i)$ ). We find a leaf  $u_j$  such that  $\mathbf{x}(p_{j-1}) < \mathbf{x}(q) < \mathbf{x}(p_j)$ . The query point q is covered by  $\bigcup S_n^Q$  if and only if it belongs to  $d_j$ . The time complexity of this operation is  $O(\log n)$ .

*Deletions.* The difficulty with deletions is that deleting a disk d might cause disks that were occluded by d to appear in the upper envelope UE(v). The deletion of d proceeds bottom up: we first mark the leaf corresponding to d as being deleted, update  $LIST(v) = \emptyset$ , and continue to v's parent. No change takes place in the topology of the tree itself.

In a general step we are at a node v, and d appears in S(v), say in S(left(v)). The case that  $d \in S(\text{right}(v))$  is symmetric. We obtain the following information from the previous step:

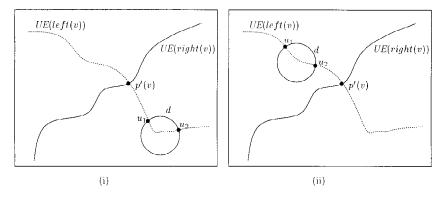
- A linked list L of all disks presently in UE(left(v)) which were occluded by d. Let  $u_1$  and  $u_2$  be the left and right endpoints of L, respectively.
- Pointers  $q_1$  and  $q_2$  to the vertices (in the appropriate  $LIST(\cdot)$  fields)  $u_1$  and  $u_2$ . See Figure 3 for a demonstration.

Let p'(v) denote p(v) before the deletion of d took place. Three cases might arise:

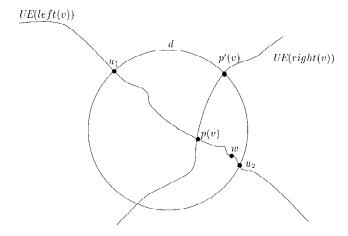
Case (i):  $\mathbf{x}(p'(v)) \leq \mathbf{x}(u_1)$  (see Figure 3(i)). This implies that d does not appear in UE(v), that is, p'(v) = p(v). This only requires us to insert L into  $LIST(\operatorname{left}(v))$  at the appropriate place, which is pointed at by  $q_2$ . This case terminates the deletion process.

Case (ii):  $\mathbf{x}(u_2) \leq \mathbf{x}(p'(v))$  (see Figure 3(ii)). We conclude again that p(v) = p'(v), we do not change L,  $q_1$ ,  $q_2$ , nor the fields within v, and continue to parent(v).

Case (iii):  $\mathbf{x}(u_1) \leq \mathbf{x}(p'(v)) \leq \mathbf{x}(u_2)$  (see Figure 4). This is the most involved case. Let p(v)p'(v) denote the part of UE(right(v)) from p(v) to p'(v), and let  $p(v)u_2$  denote the



**Fig. 3.** The two cases where d does not contain p(v).



**Fig. 4.** Exploring a new junction point p(v), which was occluded by d.

part of UE(left(v)) from p(v) to  $u_2$ . We can traverse L, since it is organized as a linked list. Moreover, observe that p(v)p'(v) must be a connected portion of LIST(right(v)) (organized as a linked list), hence we can easily travel along this list as well.

Traversing along the envelopes. Out of the points p'(v) and  $u_2$ , choose the point which is furthest to the right ( $u_2$  in Figure 4). We roll from this point to the left, along the corresponding envelope of  $UE(\operatorname{left}(v))$ , until we arrive at a point w with the same x-coordinate as the other point (p'(v) in Figure 4). Next we travel simultaneously on both  $UE(\operatorname{left}(v))$  and  $UE(\operatorname{right}(v))$  leftwards, maintaining the points we are in on both chains vertically one below the other, until we reach p(v). The time complexity of this stage is proportional to the number of disks of  $p(v)u_2$  plus that of p(v)p'(v).

Next we delete p(v)p'(v) from  $LIST(\operatorname{right}(v))$ , and insert  $p(v)u_2$  into  $LIST(\operatorname{left}(v))$  just after the vertex  $u_2$  pointed at by  $q_2$  (and we remove the arc  $p'(v)u_2$  of d from this list). We now need to prepare the output of the procedure. The list L is the portion  $u_1p(v)$  of the "old" L concatenated with the portion p(v)p'(v) just discovered ( $u_1$  and  $q_1$  are not changed). Vertex  $u_2$  is set to be p'(v), and we update  $q_2$  accordingly.

Time analysis for the deletion operation. As is easily seen, at a node v we spend time  $O(1+\lambda)$ , where  $\lambda$  is the length of L plus the length of p(v)p'(v). We need an amortized argument to bound the sum of these quantities over the course of the algorithm.

From its definition,  $\mathcal{T}$  is a complete binary tree with at most n leaves. Each disk corresponds to a leaf, and appears only in the ancestors of that leaf. Hence, a disk  $d_0$  might appear in at most  $O(\log n)$   $LIST(\cdot)$  fields, say  $LIST(v_1), \ldots, LIST(v_m)$ . However, in all but at most one of these fields,  $\partial d_0$  must contain the corresponding  $p(v_i)$ . For  $d_i \in S$  let  $level(d_i)$  denote the distance from the root of  $\mathcal{T}$  to a lowest node v for which  $\partial d_i$  contains a vertex of LIST(v). We say that  $d_0$  was promoted due to the deletion of another disk d, if  $level(d_0)$  decreased due to this operation. Obviously the level of a disk never increases, and since each disk can be promoted at most  $\log n$  times (the height of the tree), the total number of promotions in the course of the algorithm is  $O(n \log n)$ .

Consider the disks contained in the list L and in p(v)p'(v), excluding the endpoints of these lists. The travel along p(v)p'(v) and  $p(v)u_2$  can be charged to such promotions: Each disk of  $UE(\operatorname{right}(v))$  that we scanned (excluding the endpoints) was in  $LIST(\operatorname{right}(v))$ , and will be promoted to LIST(v) or to a higher node. Each disk of  $UE(\operatorname{left}(v))$  that we scanned (excluding the endpoints) was promoted from the  $LIST(\cdot)$  field of some proper descendent of  $\operatorname{left}(v)$  to  $LIST(\operatorname{left}(v))$ . Hence the total time dedicated to traversals, over the entire course of the algorithm, is  $O(n \log n)$ .

Hence we have

LEMMA 5.3. Given a set S of n unit disks in the plane, we can construct in time  $O(n \log n)$  a linear size data structure, such that finding a disk containing a query point, and deleting this disk, requires amortized time  $O(\log n)$  per operation.

This lemma and Theorem 3.2 yield:

THEOREM 5.4. Let A and B be sets of points in  $\mathbb{R}^2$ , and r > 0. Then the oracle that determines whether r < Match(A, B) requires time  $O(n^{1.5} \log n)$ .

REMARK 5.5. It is easy to show that Lemma 5.1 holds for any Minkowski  $L_p$  metric. Once this lemma is established, the rest of the analysis carries through, and we thus conclude that Theorem 5.4 holds for all  $L_p$ .

5.2. Finding the Matching. In order to minimize the number of times the oracle is called, we need to solve the kth bichromatic distance selection problem of Section 4 efficiently.

LEMMA 5.6 [37]. Let  $A, B \subseteq \mathbb{R}^2$  be sets of n points, let  $L_2$  be the underlying norm and let  $1 \le k \le n^2$  be an integer. Then dist<sup>(k)</sup> can be found in time  $O(n^{4/3} \log^2 n)$ .

Theorem 5.4 and this lemma together with the considerations of Section 4 yield the following:

THEOREM 5.7. Let A, B be sets of n points in  $\mathbb{R}^2$ . Then Match(A, B) can be computed in time  $O(n^{1.5} \log^2 n)$ .

5.3. Accelerating the Algorithm. By combining the oracle phase and the generic part, the running time of the algorithm can be improved by a  $\log n$  factor.

Recall that  $dist^{(i)}$  is the *i*th largest distance between  $a \in A$  and  $b \in B$ . We maintain a lower bound,  $dist^{(\ell)}$  (initially  $\ell = 1$ ), and an upper bound,  $dist^{(u)}$  (initially  $u = n^2$ ), on the value of  $r^*$ . In Section 5.2 we conducted a binary search on the values  $dist^{(1)}, \ldots, dist^{(n^2)}$ , thus introducing a log factor. The purpose of this subsection is to eliminate this factor.

In the course of the algorithm, we maintain a maximum matching M of  $G[dist^{(\ell)}]$ , and use it as an initial matching for  $G[dist^{(i)}]$  ( $\ell < i < u$ ). If  $dist^{(i)} < r^*$ , we fail to find a perfect matching, and at some stage we even fail to construct  $\mathcal{L}$ , i.e., we do not reach any exposed vertex of B. If our first attempt to construct  $\mathcal{L}$  fails, then M is a maximum

matching of  $G[dist^{(i)}]$ . Otherwise, we update M. Since the size of every matching is bounded by n, M is updated at most n times, and at all other times only one layered graph is constructed.

If the new matching is perfect, then we update  $dist^{(u)}$  to r. However, we might have wasted much time in constructing several layered graphs. Therefore, a first step toward the desired improvement is to construct  $\mathcal{L}$  only a constant number of times for  $dist^{(i)} > r^*$ .

The key observation is that sometimes we can conclude that  $G[dist^{(i)}]$  does not contain a perfect matching, without even finding the maximum matching. For a partial matching  $M \subseteq G$  let  $\ell(M, G)$  denote the length of the shortest augmenting path for M ( $\ell(M, G)$ ) is equal to the number of layers of the layered graph constructed by the procedure ConstructLayerGraph(G, M) of Section 3.1 starting with the matching M).

LEMMA 5.8. Let M be a partial matching of G. If  $|M| < n - \sqrt{n}$  and  $\ell(M, G) > \sqrt{n}$ , then G does not contain a perfect matching.

PROOF. Let  $M^{\max}$  be a maximum matching of G.  $M \oplus M^{\max}$  consists of  $p = |M^{\max}| - |M|$  vertex disjoint augmenting paths  $P_1, \ldots, P_p$  (and some alternating cycles). The length of each augmenting path  $P_i$  satisfies

$$|P_i| > \ell(M, G)| > \sqrt{n}$$
.

Since the augmenting paths are vertex disjoint,

$$n \geq \sum_{i=1}^{p} |P_i| > p \cdot \sqrt{n}.$$

Hence the number of paths satisfies

$$p < \sqrt{n}$$
.

Therefore,  $M^{\text{max}}$  satisfies

$$|M^{\max}| = |M| + p < (n - \sqrt{n}) + \sqrt{n} = n.$$

Hence  $M^{\text{max}}$  is not a perfect matching.

Let  $|\mathcal{L}|$  denote the number of layers in the layer-graph  $\mathcal{L}$ . The following algorithm finds a maximum matching:

$$\ell \leftarrow 1; \quad u \leftarrow n^2; \quad M^1 \leftarrow \text{empty matching};$$

$$\underline{\text{While }} \ell + 1 < u \, \underline{\text{Do}}$$

$$\underline{\text{step}} \leftarrow \lceil (u - \ell + 1)/n^{1/7} \rceil; \quad i \leftarrow \ell + \underline{\text{step}};$$

$$\underline{\text{While }} i < u \, \underline{\text{Do}}$$

Use the bichromatic distance selection algorithm to find  $dist^{(i)}$ ;

```
M \leftarrow M^{\ell};
\mathcal{L} \leftarrow \textbf{ConstructLayerGraph}(M, G[dist^{(i)}]);
\underline{\text{While}}\ (\mathcal{L} \text{ contains exposed vertices of } B)
\underline{\text{and}}\ (|\mathcal{L}| \leq \sqrt{n} \ \underline{\text{or}}\ |M| \geq n - \sqrt{n}) \ \underline{\text{Do}}
\underline{\text{Update }}M \text{ by the procedure of Section } 3.2;
\underline{\mathcal{L}} \leftarrow ConstructLayerGraph(M, G[dist^{(i)}]);
\underline{\underline{\text{End}}}
\underline{\underline{\text{If}}\ |M| = n \ \underline{\underline{\text{Then}}}\ u \leftarrow i;
\underline{\underline{\text{Else}}}\ \ell \leftarrow i; \ M^{\ell} \leftarrow M; \ i \leftarrow i + step;
\underline{\underline{\text{End}}}
\underline{\underline{\text{End}}}
\underline{\underline{\text{End}}}
```

LEMMA 5.9. The outermost loop (While  $\ell + 1 < u$ ) is executed at most 14 times.

PROOF. Each time the loop is executed the range,  $u - \ell + 1$ , decreases at least by a factor of  $n^{1/7}$ . Since initially,  $u - \ell + 1 = n^2$ , the number of interactions is at most

$$\log_{n^{1/7}} n^2 = 14.$$

We argue now that each execution of the outermost loop takes time  $O(n^{1.5} \log n)$ . Note first that we solve the bichromatic distance selection problem  $n^{1/7}$  times. Since by [37] this problem can be solved in time  $O(n^{4/3} \log^2 n)$ , this sums up to time  $O(n^{31/21} \log^2 n) = O(n^{1.5})$ . The number of times the layered graph is constructed is bounded by the number of times that its construction procedure terminates successfully— $(O(\sqrt{n}))$ , by Hopcroft and Karp [32], as described in Section 3.2)—plus the number of times that this procedure fails, which is no more than the number of times we consult the oracle, which is  $O(n^{1/7})$ . The time needed for finding augmenting paths consists of the time spent when the layered graph is of depth smaller than  $\sqrt{n}$ , and the time when the layered graph is of larger depth. In the former case, the time for finding such a path is  $O(n \log n)$ , while in the latter case there are  $O(\sqrt{n})$  paths. Note that there are only 14 phases. This discussion and Remark 5.5 yield the following theorem:

THEOREM 5.10. Let A and B be sets of points in  $\mathbb{R}^2$  and let  $L_p$  be the underlying norm for any  $1 \le p \le \infty$ . Then Match(A, B) can be found in time  $O(n^{1.5} \log n)$ .

### 6. Additional Settings

6.1. *Points in 3-Space.* In a recent paper [23], Efrat et al. obtained results concerning matching points into fat shapes that contain them in two and three dimensions. These algorithms use the matching procedure of Section 3.1, but use different data structures  $\mathcal{D}_r(S)$  than those used here. The following result will be useful:

THEOREM 6.1 [23]. Let A be a set of n points in  $\mathbb{R}^3$ , and let B be a set of n balls in  $\mathbb{R}^3$ . Then in time  $O(n^{11/6+\varepsilon})$  we can either find a matching between A and B, such that

each point  $a \in A$  is contained in the object of B matched to a, or determine that no such matching exists.

An immediate consequence of the theorem above is a result for bottleneck matching for two point sets in 3-space.

COROLLARY 6.2. Let A and B be two sets of n points in  $\mathbb{R}^3$ , and r > 0. Then the oracle that determines whether r < Match(A, B) requires time  $O(n^{11/6+\epsilon})$ .

PROOF. For each point  $b \in B$  let  $b^r$  be a ball of radius r centered at b.  $||a - b|| \le r$  if and only if  $a \in b^r$ .

THEOREM 6.3. Let A and B be two sets of n points in  $\mathbb{R}^3$ . Then Match(A, B) can be found in time  $O(n^{11/6+\varepsilon})$ .

PROOF. To select the kth distance we use a three-dimensional bichromatic version of the planar distance selection algorithm of Aronov et al. [1], that selects the kth bichromatic distance. The selection requires time  $O(n^{7/4+\varepsilon}) = O(Oracle-time)$ , and hence does not affect the overall running time.

- 6.2. Arbitrary Dimension. Here we assume that  $S \subseteq B$  is a set of points in  $\mathbb{R}^d$ , for fixed d, and the underlying norm is  $L_{\infty}$ .
- 6.2.1. The Oracle. Our goal is to obtain a data structure  $\mathcal{D}_r(S)$  supporting the operations  $\mathbf{neighbor}_r(\mathcal{D}_r(S), q)$  and  $\mathbf{delete}_r(\mathcal{D}_r(S), s)$ , defined in Section 3.1. We maintain a set S of d-dimensional cubes of edge-length 2r, centered at the points of S.  $\mathcal{D}_r(S)$  consists of a (d-2)-level segment-tree (on the projection of the cubes on the first d-2 axes) [8, pp. 221–225], and the two-dimensional data structure of Section 5.1 built on the projection of the cubes on the last two axes. It is easy to show how to perform both operations  $\mathbf{neighbor}_r$  and  $\mathbf{delete}_r$  in this data structure in time  $O(\log^{d-1} n)$  each. The preprocessing of the structure is easily shown to be  $O(n\log^{d-1} n)$ , and does not affect the overall running time of the algorithm. Hence  $T(n) = O(\log^{d-1} n)$ .

The space requirements are as follows: A segment tree on n segments requires space  $O(n \log n)$ , therefore the d-2 level segment tree requires space  $O(n \log^{d-2} n)$ . Since the two-dimensional structure requires linear space, the entire space requirements are  $O(n \log^{d-2} n)$ . (This data structure is reminiscent of the orthogonal range trees described in [46].) We summarize with the following theorem:

THEOREM 6.4. Let A and B be two sets of n points in  $\mathbb{R}^d$ , for fixed d, and let the underlying norm be  $L_{\infty}$ . Then there is an oracle that determines whether Match(A, B) < r in time  $O(n^{1.5} \cdot \log^{d-1} n)$ . The space requirements are  $O(n \log^{d-2} n)$ .

6.2.2. Finding the matching. As a method to generate critical distances, we use the approach taken by Chew and Kedem [14]. Note that when  $L_{\infty}$  is the underlying norm,  $r^*$  is the distance between the projection of some  $a \in A$  and  $b \in B$  on one of the axes  $X_m$ .

That is, let  $(q)_m$  be the projection of point q on axis m, then  $dist(a_i, b_j) = |(a_i)_m - (b_j)_m|$  for some  $m \in \{1, ..., d\}$ .

While previously we used the oracle to perform a binary search on the distances, here we conduct the search on a superset of size  $2dn^2$ . For dimension m rearrange A so that  $(a_1)_m < (a_2)_m < \cdots < (a_n)_m$ , and likewise for B. Now consider the matrix  $D_m$  defined as  $(D_m)_{i,j} = (a_i)_m - (b_j)_m$ . Each row and column of this matrix is monotonically increasing. However, some of the entries are negative. Let  $(\bar{D}_m)_{i,j} = (b_j)_m - (a_i)_m$ . Thus each distance  $dist(a_i, b_j) = |(a_i)_m - (b_j)_m|$  appears as an entry in  $\mathcal{D} = \{D_1, \ldots, D_d, \bar{D}_1, \ldots, \bar{D}_d\}$ . We now use Frederickson and Johnson's [25] algorithm to select the kth value of D in time O(dn).

We now discuss the time complexity of the method. The preprocessing consists of sorting  $\{(a_i)_m\}_{i=1}^n$  and  $\{(b_j)_m\}_{j=1}^n$  for each dimension. Thus the preprocessing requires time  $O(dn \log n)$ . After this we need  $t = \log 2 dn^2 = O(\log d + \log n)$  selections each requiring time O(dn), and t oracle calls. Since the time for the oracle calls dominates the preprocessing of the selections, we have:

THEOREM 6.5. Let A, B be sets of n points in  $\mathbb{R}^d$   $(d \ge 2 \text{ a constant})$ , with  $L_{\infty}$  as the underlying norm. Then finding Match(A, B) requires time  $O(n^{1.5} \log^d n)$  and space  $O(n \log^{d-2} n)$ .

REMARK 6.6. Naturally, for d=2 and the  $L_{\infty}$ -norm, we can use the shortcut of Section 5.10 on this data structure to get a slightly faster method that runs in time  $O(n^{1.5} \log n)$ .

- 6.3. Other Objects in the Plane. We next extend our techniques to find a matching between a set A of n points and a set  $B \subseteq \mathbb{R}^2$  of n objects, which may be one of the following:
- (i) A set of disjoint segments, where the distance from a point  $q \in \mathbb{R}^2$  to a segment  $b \in B$  is defined as the distance from q to its closest point of b.
- (ii) A set of points, and each  $b_i \in B$  is associated with a nonnegative weight  $w_i$ , so that for a point  $q \in \mathbb{R}^2$ , we have  $dist(q, b_i) = w_i + \|q b_i\|$ .

In both cases the underlying norm may be any  $L_p$ -norm. The two cases are handled similarly. To implement the oracle of Theorem 3.2 we only need to implement the data structure  $\mathcal{D}_r(S)$ . For this purpose, we use the dynamic nearest-neighbor scheme of Agarwal et al. [2] who presented such data structures for both these problems. The data structure can be constructed in time  $O(n^{1+\varepsilon})$ . These data structures enable us to find and delete the closest object of B (either a segment or a point) to the query point q in time  $O(n^{\varepsilon})$ , and hence to implement  $\mathbf{neighbor}_r(\mathcal{D}_r(B), q)$ , by checking if the distance of the closest object of S to q is at most r. These data structures support deletions of objects in time  $O(n^{\varepsilon})$  as well. Hence  $T(n) = O(n^{\varepsilon})$ , for any  $\varepsilon > 0$ , leading to the following result.

THEOREM 6.7. For A and B as defined above, the oracle for testing whether r < Match(A, B) requires time  $O(n^{1.5+\varepsilon})$  for any  $\varepsilon > 0$ .

Thus, to find the optimal matching, we need to implement efficiently the kth bichromatic distance problem. This may be done in time  $O(n^{1.5} \log^3 n)$  by a straightforward extension of the kth distance selection problem of Agarwal et al. [1]. We summarize:

THEOREM 6.8. Let  $A \subseteq \mathbb{R}^2$  be a set of n points, and let  $B \subseteq \mathbb{R}^2$  be a set of either (i) n disjoint segments or (ii) n points, where each point  $b_i \in B$  is associated with a nonnegative weight  $w_i$ , and the distance from a point  $q \in \mathbb{R}^2$  to  $b_i$  is  $||q - b_i|| + w_i$ . Then in both cases Match(A, B) can be found in time  $O(n^{1.5+\varepsilon})$ .

7. Approximating the Matching. In this section we present an approximation scheme for  $r^* = Match(A, B)$  in any dimension. Heffernan and Schirra [29] and Heffernan [28], gave an approximation technique whose running time depends on  $r^*$ . We describe an improved technique for finding an approximation to Match(A, B) for A, B point sets in  $\mathbb{R}^d$  where the underlying norm is any Minkowski norm  $L_p$ .

DEFINITION 7.1. Let A and B be sets of n objects in  $\mathbb{R}^d$ , and let  $\varepsilon > 0$  be a parameter. A perfect matching  $M^{\varepsilon}$  between A and B is an  $\varepsilon$ -approximating matching if

$$r^* \le \max(M^{\varepsilon}(A, B)) \le r^*(1 + \varepsilon),$$

where  $r^* = Match(A, B)$ .

We use the data structure of Arya et al. [7] who described a data structure for a set of points  $S \subseteq \mathbb{R}^d$ , that can report in time  $O(f(d,\varepsilon)\log n)$  (for  $f(d,\varepsilon)=d(1+1/\varepsilon)^d$ ) an approximated nearest-neighbor of a query point  $q\in\mathbb{R}^d$ . That is, a point  $s\in S$  for which  $\|q-s\|_p \le (1+\varepsilon)\cdot \|q-s'\|_p$ , where s' is the closest point of S to q. This data structure can also be dynamized so that a deletion takes time  $O(f(d,\varepsilon)\log n)$ —see [10].

Let  $\mathcal{D}_r(\cdot)$  denote this data structure. To implement  $\mathbf{neighbor}_r(\mathcal{D}_r(B),q)$ , we consult  $\mathcal{D}_r(B)$  to find b (the approximated nearest neighbor) and if  $\|b-q\|_p \leq r \cdot (1+\varepsilon)$ , we report that  $\mathbf{neighbor}_r(\mathcal{D}_r(B),q)$  is b. Otherwise, report that  $\mathbf{neighbor}_r(\mathcal{D}_r(B),q)=\emptyset$ . Our approximation scheme consists of applying the procedure of Theorem 3.2, with the approximating data-structure replacing the exact one. We refer to this procedure as the approximating oracle.

LEMMA 7.2. If for parameter r the approximating oracle returns a positive answer then  $r(1 + \varepsilon) \ge r^*$ . Otherwise,  $r < r^*$ .

PROOF. Note that if  $H \supseteq G$ , then any matching in G is also a matching of H. Moreover, if M is a matching of G which can be increased by an augmenting path, then for any matching of size |M| of H there exists an augmenting path in H.

When applying the approximate distance query, we use a graph  $G^A \supseteq G[r]$  instead of the graph G[r]. The graph  $G^A$  contains all the edges of length  $\leq r$  and some of the edges whose length is between r and  $(1 + \varepsilon)r$ , but no longer edges, i.e.,  $G[r] \subseteq G^A \subseteq G[(1 + \varepsilon)r]$ . Thus if G[r] has a perfect matching, so does  $G^A$ . Since all the edges of  $G^A$  have length  $\leq (1 + \varepsilon)r$ , if the approximating oracle returns a negative answer, then

G[r] does not have a perfect matching and thus  $r < r^*$ . In the case of a positive answer, since all the edges of  $G^A$  have length  $\leq (1 + \varepsilon)r$ , the length of the maximum edge in the matching is bounded by  $(1 + \varepsilon)r$ .

To find an approximate matching, we have to search among the  $n^2$  distances. Even though we do not know how to solve the kth bichromatic distance selection problem efficiently for all dimensions and every norm, in Theorem 6.5 we showed an  $O(n \log^d n)$  solution for  $L_\infty$  in  $\mathbb{R}^d$ . We now use this solution to find an approximation for the  $L_p$ -norm for all p.

THEOREM 7.3. Let A and B be sets of n points in  $\mathbb{R}^d$ , and let  $\varepsilon > 0$  be a parameter. Let  $r_p^* = Match(A, B)$  where  $L_p$   $(1 \le p \le \infty)$  is the underlying norm. We can find in time  $O(f(d, \varepsilon) \cdot n^{1.5} \log n)$  a matching  $M_p^{\varepsilon}$  between A and B satisfying

$$r_p^* \le M_p^{\varepsilon}(A, B) \le (1 + \varepsilon)r_p^*,$$

where  $f(d, \varepsilon) = d(1 + 1/\varepsilon)^d$ .

PROOF. We first prove the theorem for the  $L_{\infty}$ -norm. In this case, we use the techniques of Theorem 6.5 with Lemma 7.2 instead of the exact oracle of Theorem 6.4 to find a matching  $M_{\infty}^{\varepsilon}(A,B)$  that satisfies

$$r_{\infty}^* \le M_{\infty}^{\varepsilon}(A, B) \le (1 + \varepsilon)r_{\infty}^*.$$

Now we use the relationship between Minkowski norms:

$$d ||x||_{\infty} \ge ||x||_{1} \ge ||x||_{2} \ge \cdots \ge ||x||_{\infty},$$

where  $||x||_p$  denotes the length of the vector x using the  $L_p$ -norm. Thus  $dr_\infty^*$  is an upper bound on  $r_p^* = M_p^\varepsilon(A,B)$ , and  $d(1+\varepsilon)r_\infty^\varepsilon$  is an upper bound on  $r_p^\varepsilon$ . Likewise,  $r_\infty^\varepsilon/(1+\varepsilon)$  is a lower bound on  $r_p^\varepsilon$ . Thus  $r_p^\varepsilon$  belongs to the interval  $[r_\infty^\varepsilon/(1+\varepsilon), d(1+\varepsilon)r_\infty^\varepsilon]$ .

We now conduct a binary search on this interval, using the approximating oracle for  $L_p$  with  $\varepsilon/2$ . After  $2 + \log d + \log \varepsilon^{-1}$  iterations, the length of the remaining interval is less than  $r_p^* \varepsilon/2$ . Choosing  $r_p^\varepsilon$  as the left boundary of this interval satisfies the requirement of the theorem.

## 8. The Translation Problem

8.1. The Translation Oracle. Let A and B be two sets of n points in  $\mathbb{R}^2$  and  $\tau \in \mathbb{R}^2$ . Let  $\tau + B = \{\tau + b \mid b \in B\}$  denote the set B translated by  $\tau$ . The translation problem is to find  $\tau^*$ , a translation  $\tau$  that minimizes  $Match(A, \tau + B)$ . Let  $\rho^* = Match(A, \tau^* + B)$ . The translation oracle receives  $\rho > 0$  as input and determines whether there exists a translation  $\tau$  for which  $Match(A, \tau + B) \leq \rho$ . In other words, the translation oracle determines whether  $\rho^* \leq \rho$ . Alt et al. [5] presented an  $O(n^6)$ -time algorithm for the translation oracle. Using our technique we improve the running time of their algorithm to  $O(n^5 \log n)$ . In Section 8.2 we use this oracle to solve the translation problem.

We briefly describe the algorithm of [5], and refer the reader to that paper for further details: If, for a translation  $\tau$ ,  $Match(A, \tau + B) \le \rho$ , then there also exists a translation  $\tau'$  and a pair of points  $a \in A$ ,  $b \in B$  such that  $Match(A, \tau' + B) = \rho$  and the distance from a to  $\tau + b$  is exactly  $\rho$ . Hence we can limit our attention to translations  $\tau$  that bring some point of A to distance  $\rho$  (exactly) from some point  $b \in B$ .

For  $a \in A$ , let  $a^{\rho}$  denote the disk of radius  $\rho$  (in the underlying norm) centered at a, and let  $A^{\rho}$  denote the set  $\{a^{\rho} \mid a \in A\}$ . For  $a \in A, b \in B$ , let  $circ[a, b, \rho]$  denote the set of translations that bring a to distance  $\rho$  from b; this is a circle of radius  $\rho$  centered at b-a. The algorithm checks for each pair  $a \in A$ ,  $b \in B$  if  $Match(A, \tau + B) \leq \rho$ for some translation  $\tau \in circ[a, b, \rho]$ . That is, if there exists a perfect matching in the graph  $G_{\tau}[\rho]$  determined by A and  $\tau + B$ . Let  $\tau_0$  be a fixed translation in  $circ[a, b, \rho]$ . We first construct  $Match(A, \tau_0 + B)$ . If its value is less than or equal to  $\rho$ , then we are done. Otherwise, we translate B rigidly by all translations of  $circ[a, b, \rho]$ . During this process, images of points of B are moved into or out of disks of  $A^{\rho}$ , implying that edges are inserted into or deleted from the graph  $G_{\tau}[\rho]$ . While the image of b revolves around a, each image of a point  $b' \in B$  travels along a circle of radius  $\rho$ , and enters and exits each disk  $(a')^{\rho} \in A^{\rho}$  at most once. Hence, each edge is born (inserted to  $G_{\tau}[\rho]$ ) and dies (deleted from  $G_{\tau}[\rho]$ ) at most once. The birth/death events are called critical events. Therefore,  $circ[a, b, \rho]$  contains at most  $2n^2$  such critical events. After each critical event, we might need to recompute  $Match(A, \tau + B)$ . Each critical event adds or deletes a single edge: In the case of a birth, the matching increases by at most one edge. Therefore, we look for an augmenting path which contains the new edge. If an edge of the matching dies, we need to search for a single augmenting path. Thus in order to update the matching, we need to find a single augmenting path in  $G_{\tau}[\rho]$ , for which we need only one layered graph.

Alt et al. [5] use standard graph-theoretical techniques to find the path, and hence spend  $O(n^2)$  time for each critical event. Summed over all pairs  $a \in A$ ,  $b \in B$ , the total number of critical events encountered in the course of the algorithm is  $O(n^4)$ , so the total time spent by the algorithm of Alt et al. is  $O(n^4) \times O(n^2) = O(n^6)$ .

Instead, we use the procedure of Section 3.1 and Section 5.1 to construct the layered graph. This procedure requires only  $O(n \log n)$  time for each augmenting path. Taken over all  $O(n^4)$  critical events, the total time sums to  $O(n^5 \log n)$ . Hence we have proved:

THEOREM 8.1. Given A, B, and  $\rho$  as above, we can decide in time  $O(n^5 \log n)$  whether there exists a translation  $\tau$  for which Match $(A, \tau + B) < \rho$ .

8.2. Finding the Optimal Translation. Alt et al. [5] found the translation itself in time  $O(n^6 \log n)$ . For pedagogical reasons, we first develop an inefficient polynomial algorithm to find an optimal translation. Then we examine several parallel versions of the algorithm, to which we apply the parametric search paradigm of Megiddo [42] to improve the time to  $O(n^5 \log^2 n)$ , i.e., the complexity of our final algorithm is only a  $\log n$  factor more than that of the translation

We start by introducing a polynomial-sized set of *critical radii* which contains  $\rho^*$ . Given  $a \in A$ ,  $b \in B$ ,  $\rho > 0$ , and  $0 \le \theta < 2\pi$ , let  $G_{ab}[\rho, \theta]$  denote the graph  $G[\rho]$  when b is translated to  $a + (\rho \cos \theta, \rho \sin \theta)$ . As b revolves around a,  $\theta$  increases from 0 to  $2\pi$  and  $G_{ab}[\rho, \theta]$  evolves: At some angle  $\theta$  a vertex  $b' \in B$  enters  $(a')^{\rho} \in A^{\rho}$  and

the edge (a', b') is *born*. At some other angle b' leaves  $(a')^{\rho}$  and the edge (a', b') *dies*. The optimal translation  $\tau^*$  occurs when some edge (a', b') is born in  $G_{ab}[\rho^*, \theta]$ .

We examine more closely the birth angle of an edge e = (a', b') as  $\rho$  grows. It is easy to see that the birth occurs when b' lies on the perpendicular bisector of a' and  $\beta' = b' - b + a$ . Also, the *life arc of* e' = (a', b')—the circular arc between the birth and death of e'—is less than half the circle (i.e., less than  $180^{\circ}$ ).

A critical radius of the first type occurs when the life arc degenerates to a point, i.e., when the circles of radius  $\rho$  centered at a' and at  $\beta'$  are tangent to each other, i.e., when b' is midway between a' and  $\beta'$ . This occurs when the value of  $\rho$  equals  $dist(a', \beta')/2$ , which we denote by  $\rho_{ab}(e')$ . Let  $\mathcal{R}^1_{ab} = \{\rho_{ab}(e') \mid e' \in A \times B\}$  denote the set of all such critical radii.

Next we examine another type of critical radii, that might occur for a pair of edges e', e''. At some value  $\rho_{ab}^{(s)}(e', e'')$  the birth angle of e' coincides with the death angle of e''.

Given e', e'', the values of  $\rho_{ab}(e', e'')$  and of  $\rho_{ab}^{(s)}(e', e'')$  are solutions of a quadratic equation which has at most two solutions. These solutions can be computed in constant time. Let  $\mathcal{R}_{ab}^2 = \{\rho^{(s)}{}_{ab}(e', e'')|e', e'' \in A \times B\}$ .

The optimal translation occurs at an angle  $\theta$  at which the graph  $G_{ab}[\rho^*, \theta]$  changes, i.e., either some edge is added (in which case  $\rho^* \in \mathcal{R}^1_{ab}$ ), or two edges that did not coexist for smaller  $\rho$  now belong to the same graph (in which case  $\rho^* \in \mathcal{R}^2_{ab}$ ). Let  $\mathcal{R} = \bigcup_{ab} \mathcal{R}^1_{ab} \cup \bigcup_{ab} \mathcal{R}^2_{ab}$  be the set of *critical radii*. From the above discussion  $\rho^* \in \mathcal{R}$ . Since  $\left|\mathcal{R}^1_{ab}\right| < n^2$  and  $\left|\mathcal{R}^2_{ab}\right| < n^4$ ,  $\left|\mathcal{R}\right| = O(n^6)$ .

Our first algorithm constructs  $\mathcal{R}$ , sorts it, and then uses the translation oracle to conduct a binary search to find  $\rho^*$ . Finding  $\mathcal{R}$  requires time  $O(n^6)$ , sorting it  $O(n^6 \log n)$ , and the binary search requires  $O(\log n)$  oracle calls, each of which requires  $O(n^5 \log n)$  time. Thus the entire algorithm requires  $O(n^6 \log n)$  time.

Our second algorithm does not construct  $\mathcal{R}$  explicitly. Instead, for each A, B we sort the birth and death angles of edges at  $\rho^*$ . For that purpose we need to know which edges exist at  $\rho^*$ , and, for all pairs e', e'' that exist at  $\rho^*$ , check whether the death of e' precedes the birth of e'' or vice versa. The difficulty is that we do not yet know  $\rho^*$ . However, we may use the translation oracle.

To check whether e' = (a', b') exists at  $\rho^*$ , we note that if e' exists at r, then it exists for all r' > r. We, therefore, compute  $\rho_{ab}(e')$  and ask the translation oracle if  $\rho_{ab}(e') \le \rho^*$ . If it is, then e' exists at  $\rho^*$ .

To check whether the death of e' precedes the birth of e'', we apply the translation oracle at  $\rho_{ab}(e',e'')$ . If it answers that  $\rho^* < \rho_{ab}(e',e'')$ , then at  $\rho^*$  the edge e' died before e'' was born. Otherwise, at  $\rho^*$  the edge e' died after e'' was born, i.e., their life arcs are not disjoint. We call this test the *overlap oracle*.

Since for each A, B there are at most  $2n^2$  birth/death events, there could be a total of about  $n^4$  such events, and sorting them might require  $\Theta(n^4 \log n)$  comparisons, i.e.,  $\Omega(n^4 \log n)$  oracle calls—far too many.

We use the parametric search paradigm of Megiddo [42] to reduce the number of calls to the overlap oracle. Again, we assume that the reader is familiar with this technique, and refer to [24] for a similar application. To this end, we consider a parallel algorithm in which for each pair A, B the sorting is performed by a separate processor. We now describe an efficient sequential simulation. We consider each processor's first call to the overlap oracle. The parallel algorithm performs all these calls in parallel. The sequential

simulation answers all the comparisons by first sorting the radii  $\rho(e'_1, e''_1), \ldots, \rho(e'_{n^2}, e''_{n^2})$  and then performing a binary search to find the smallest  $\rho(e'_i, e''_i)$  that satisfies the translation oracle. We need therefore time  $O(n^2 \log n)$  for the sort and  $O(\log n)$  calls to the translation oracle—a total of  $O(n^5 \log^2 n)$  time for each parallel comparison step. However, the entire algorithm involves  $n^2 \log n$  such steps, i.e., a total of  $O(n^7 \log^3 n)$ —again far too much.

To get a good algorithm, we increase the degree of parallelism. Each pair A, B conducts its sort in parallel using the depth  $O(\log n)$  parallel AKS sorting network of Ajtai et al. [3] that sorts  $O(n^2)$  critical radii with  $O(n^2 \log n)$  comparisons.

In each parallel step each of the  $n^2$  networks performs  $n^2$  comparisons, thus a total of  $O(n^4)$  comparisons are conducted in parallel. The sequential simulation sorts all these  $n^4$  radii, then performs a binary search calling the translation oracle  $O(\log n)$  times. Now we may deduce in constant time whether a critical radius is smaller than  $\rho^*$ —thus answering all the overlap oracles in time  $O(n^4 \log n + n^5 \log^2 n)$ . Since the combined network has depth  $\log n$ , the total number of oracle calls is  $O(\log^2 n)$ . Thus in time  $O(n^5 \log^3 n)$  we have ordered all the critical events at  $\rho^*$ . Since a critical event happens at  $\rho^*$ , the value of  $\rho^*$  is the minimal translation oracle call that returned a positive answer.

Cole [15] studied parallel sorts on sorting networks and showed how to reorder the comparisons, so as to save a  $\log n$  factor. His technique is applicable in any setting where one uses the AKS sorting network as a generic algorithm. Using this technique, the number of calls to the translation oracle is reduced from  $\log^2 n$  to  $\log n$ . See [15] for more details. We summarize these results:

THEOREM 8.2. Given A, B as above, the translation problem can be solved in time  $O(n^5 \log^2 n)$ .

8.3. Approximating the Optimal Translation. We next note that while finding a translation  $\tau^*$  which minimizes  $Match(A, \tau + B)$  is a nontrivial problem for which only high degree polynomial algorithms are known, and only in the plane, it is easy to find a translation that brings  $Match(A, \tau + B)$  within a factor of 1 + diam(p, d) of the optimum, where  $diam(p, d) = ||(1, \ldots, 1)||_p$  is the diameter of the d-dimensional unit cube in the underlying norm, norm  $L_p$   $(1 \le p \le \infty)$ , i.e., for finite p,  $diam(p, d) = \sqrt[p]{d}$  and  $diam(\infty, d) = 1$ .

For a point  $s \in \mathbb{R}^d$  let  $s_i$  denote the ith coordinate of s. For a set of points  $S \subseteq \mathbb{R}^d$  let LL(S) denote the point in  $\mathbb{R}^d$  whose ith coordinate is equal to the minimum among the values of the ith coordinate of all the points of S for each  $i=1,\ldots,d$ . In the plane, LL(S) is the lower-left corner of the smallest axis-parallel rectangle that encloses S, and analogously in higher dimensions. Henceforth, we assume, with no loss of generality, that LL(A) coincides with the origin. Thereby, for all  $a \in A$  and  $i=1,\ldots,d$ , we have  $a_i \geq 0$ .

We can identify a translation  $\tau$  of B with the image of LL(B). Let  $\tau^0$  be the translation that maps LL(B) to the origin and let  $\delta = \tau^* - \tau^0$ .

LEMMA 8.3. For i = 1, ..., d,

PROOF. Let  $M^*$  be the optimum matching for  $(A, B + \tau^*)$ .

Case 1:  $\delta_i < 0$ . Consider the point  $b' \in B$  for which  $(b')_i$  is minimal. Since  $(b')_i = (LL(B))_i$  we have  $(b' + \tau^0)_i = 0$ . Let a' be the point matched to b' in  $M^*$ , i.e.,  $(a', b') \in M^*$ . Since we chose the origin to be LL(A),  $(a')_i \ge 0$ ,

$$\rho^* \ge dist(a', b' + \tau^*) = dist(a', b' + \tau^0 + \delta) \ge |(a')_i - (b' + \tau^0 + \delta)_i|$$
  
=  $|(a')_i - \delta_i| = (a')_i + |\delta_i| \ge |\delta_i|$ .

Case 2:  $\delta_i > 0$ . Choose  $a'' \in A$  to be a point such that  $(a'')_i = 0$  (this is possible since the origin was chosen to be LL(A)). Now choose  $b'' \in B$  such that  $(a'', b'') \in M^*$ . Since  $(a'')_i = 0$  and  $(b'' + \tau^0)_i \ge 0$ ,

$$\rho^* \ge dist(a'', b'' + \tau^*) = dist(a'', b'' + \tau^0 + \delta) \ge |(a'')_i - (b'' + \tau^0 + \delta)_i|$$
  
>  $|(b'' + \tau^0)_i + \delta_i| = (b'' + \tau^0)_i + \delta_i > \delta_i = |\delta_i|$ .

The following theorem is reminiscent of a similar result of Alt et al. [4].

THEOREM 8.4. Let  $1 \le p \le \infty$  and diam(p, d) be as above. Then  $\tau^0$  satisfies

$$Match(A, B + \tau^0) \le (1 + diam(p, d)) Match(A, \tau^* + B).$$

PROOF. Let  $(a, b) \in M^*$  be a pair for which  $dist(a, b + \tau^0)$  is maximum. Consider the matching  $M^*$  for A and  $B + \tau^0$ . Since  $Match(A, B + \tau^0)$  is the minimum value over all matchings for A and  $B + \tau^0$ ,  $\rho^0 \le dist(a, b + \tau^0)$ . Therefore,

$$\rho^{0} \leq dist(a, b + \tau^{0}) = dist(a, b + \tau^{*} + \delta) \leq ||a - (b + \tau^{*} + \delta)||$$
  

$$\leq ||a - (b + \tau^{*})|| + ||\delta|| = \rho^{*}$$
  

$$+ ||(\pm \rho^{*}, \dots, \pm \rho^{*})|| = (1 + diam(p, d)) \rho^{*}.$$

If we care for a better approximation for  $\rho^* = Match(A, \tau^* + B)$ , we use the following approach, borrowing some ideas from Efrat [19]. Let cube[r] denote the axis-parallel cube of edge lengths 2r centered at the origin. Lemma 8.3 states that the optimal translation  $\tau^*$  brings LL(B) to a point in  $cube[\rho^*]$ .

Let  $0 < \varepsilon < 1$ , let  $\tau^0$  be the translation of Theorem 8.4, and  $\rho^0 = Match(A, \tau^0 + B)$ . Consider a grid  $\Gamma$  centered at the origin with cell size  $\gamma = \varepsilon \rho^0/(2 \ diam(p,d))$ . The distance of any point of  $cube[\rho^0]$  to its closest grid point of  $\Gamma$  is at most  $\gamma \ diam(p,d) = (\varepsilon/2)\rho^0$ . Let  $T(\Gamma)$  be the set of all translations that bring LL(B) to some grid point of  $\Gamma$  in  $cube[\rho^0]$ . Since this cube properly contains  $cube[\rho^*]$ , Lemma 8.3 implies that the distance of the optimal translation  $\tau^*$  to some grid point of  $\Gamma$  is at most  $(\varepsilon/2)\rho^0$ .

For each translation  $\tau \in T(\Gamma)$  we approximately evaluate  $Match(A, \tau + B)$  using the procedure of Theorem 7.3, and choose  $\tau^{\varepsilon}$  to be the best one.

Consider any two points  $a \in A$ ,  $b \in B$ . Then

$$\begin{aligned} dist(a, \tau^{\varepsilon} + b) &\leq dist(a, \tau^{*} + b) + dist(\tau^{*} + b, \tau^{\varepsilon} + b) \\ &= dist(a, \tau^{*} + b) + dist(\tau^{*}, \tau^{\varepsilon}) \\ &\leq dist(a, \tau^{*} + b) + \frac{\varepsilon}{2} \rho^{0}. \end{aligned}$$

Thus, if  $\rho^* = dist(a^*, \tau^* + b^*)$ , then for any two points A, B matched by the approximated match at  $\tau^{\varepsilon}$ , we have

$$\operatorname{dist}(a,\tau^{\varepsilon}+b) \leq \operatorname{dist}(a,\tau^*+b) + \frac{\varepsilon}{2}\rho^0 \leq \operatorname{dist}(a^*,\tau^*+b^*) + \frac{\varepsilon}{2}2\rho^* = \rho^*(1+\varepsilon).$$

The number of grid points of  $\Gamma$  is

$$|\Gamma| = \left(1 + \frac{2\rho^0}{\gamma}\right)^d = \left(1 + \frac{2\rho^0}{\varepsilon \rho^0/(2 \ diam(p,d))}\right)^d = \left(1 + \frac{4}{\varepsilon} \ diam(p,d)\right)^d.$$

By Theorem 7.3, finding the approximate matching for each grid point requires time  $O(d(1+1/\varepsilon)^d \cdot n^{1.5} \log n \log \varepsilon^{-1})$ . Hence we have:

THEOREM 8.5. Let A and B be sets of n points in  $\mathbb{R}^d$  and let  $L_p$   $(1 \le p \le \infty)$  be the underlying norm. Then there exists an algorithm that for all  $\varepsilon > 0$  finds in time

$$O\left(d\left(1+\frac{1}{\varepsilon}\right)^d\left(1+\frac{4}{\varepsilon}\operatorname{diam}(p,d)\right)^d\cdot n^{1.5}\log n\log \varepsilon^{-1}\right)$$

a translation  $\tau^{\varepsilon}$  such that

$$\rho^{\varepsilon} = Match(A, B + \tau^{\varepsilon}) \le (1 + \varepsilon)\rho^{*},$$

where  $\rho^*$  is the value of the matching at the optimal translation. For constant d the time is  $O(\varepsilon^{-2d} \cdot n^{1.5} \log n \log \varepsilon^{-1})$ .

- **9. Related Problems to the Bottleneck Matching.** Several related problems are easily tackled by our method.
- 9.1. Partial Matching. Let A and B be sets of objects (not necessarily with the same cardinality), and let  $1 \le p \le \min\{|A|, |B|\}$  be an integer. The problem is to find  $r^p$ , the smallest r for which a matching of cardinality p exists in G[r]. This problem might arise in pattern matching, when we suspect that some of the points are superfluous, or we seek the appearance of a relatively small pattern A inside a large picture B.

To find whether  $r < r^p$  we use the methods of Section 3.2. There we increased the matching incrementally, so after matching p pairs, we can answer whether  $r < r^p$ . The time spent by this procedure is  $O(|A|^{1.5} \cdot T(|B|))$ , where T(|S|) is the time required to perform an operation on  $\mathcal{D}_r(S)$ .

To find  $r^p$ , we need to be able to solve the k-bichromatic distance selection problem efficiently. Here too the methods of Section 4 can be applied.

9.2. Finding a Batch of Partial Matchings. When the number of points is not known in advance, we can further modify the algorithm, so for every  $1 \le m \le n$  in time  $O(n^{1.5+\varepsilon} + \eta n^{1+\varepsilon})$  we find a batch of values  $r_m^*, r_{m+1}^*, \ldots, r_{m+\eta}^*$ . The proposed procedure is faster than separately finding for each  $i = m, \ldots, m + \eta$  the value of  $Match_i^*$ —the best partial match on i points. This is achieved as follows: We first find  $Match_m^*$  using the

procedure described in Section 9.1 above. Next we find  $\eta$  augmenting paths, such that each such path augments  $Match_{m+i}^*$  to  $Match_{m+i+1}^*$ , for  $i=0,\ldots,\eta-1$ . An augmenting path is found as follows. Let  $A_0 \subseteq A$  and  $B_0 \subseteq B$  be the exposed vertices of A and B in  $Match_{m+i}^*$ . We maintain a forest of augmenting trees containing  $A_0$ . Let  $A_1 \subseteq A$  be all nodes reachable from  $A_0$  via an augmenting path of one of the trees in the forest, and let  $\bar{B} \subseteq B$  be all nodes not in any tree of the forest. At each step of the algorithm we add to the forest the edge (a,b) such that

$$dist(a, b) = min\{dist(a, b) \mid a \in A_1, b \in \bar{B}\}.$$

If b is an exposed vertex  $(b \in B_0)$ , then an augmenting path has been found. Otherwise,  $(b, a') \in Match_{m+i}^*$  for some  $a' \notin A_1$ . We add (a, b) and (b, a') to the forest.

Adding an edge to the forest is done in  $O(n^{\varepsilon})$  time by inserting the vertex a' to  $A_1$  and deleting b from  $\bar{B}$ , using the procedure of Agarwal et al. [2] for maintaining the closest bichromatic pair.

Since each vertex can be added to the forest only once, updating  $Match_{m+i}^*$  to  $Match_{m+i+1}^*$  requires O(n) update operations, i.e., a total of  $O(n^{1+\varepsilon})$  time. To update the forest we need to delete the tree whose root  $a_0 \in A_0$  was matched. This also involves at most 2n update operations per augmenting path. Thus the time required for the entire batch  $Match_{m+n}^*$  is  $O(n^{1.5}\log n + \eta n^{1+\varepsilon})$ .

- 9.3. Finding the Longest Perfect Matching. We describe briefly another set of problems. Let A and B be two sets of n points, let r > 0, and let  $\bar{G}[r]$  denote that graph on  $A \cup B$  whose edges are pairs of points of distance at least r. The problem is to find  $\overline{Match}(A, B)$ , the largest r for which a perfect matching exists in  $\bar{G}[r]$  (in this scenario, this problem is the dual of finding Match(A, B)). Surely, our basic scheme will do here as well, provided we obtain a data structure  $\mathcal{D}_r(B)$  that allows:
- (i) finding a point of B whose distance from a query point q is at least r,
- (ii) deleting a point from B.

Fortunately, these operations can be done efficiently in the Euclidean planar case by maintaining the *Circular Hull* of *B*—namely, the region consisting of the intersection of all disks of radius r containing B. Hershberger and Suri [31] showed how both these operations can be handled in (amortized) time  $O(\log n)$ . Hence  $\overline{Match}(A, B)$  can be found in this scenario in time  $O(n^{1.5} \log n)$ .

Recall that finding Match(A, B), when A and B are point-sets in  $\mathbb{R}^3$  can be done in time  $O(n^{11/6+\varepsilon})$  (Theorem 6.3). It is surprising, in our opinion, that  $\overline{Match}(A, B)$  can be found in this setting much faster; we describe only the data structure and use the same oracle and generic algorithms used in the proof of Theorem 6.3.

Let  $S \subseteq B$ , and fix a parameter r. Trivially, if some point  $b \in S$  can be matched in  $\overline{G}[r]$  to a point  $a \in A$ , then  $a \notin \bigcap_{b_i \in S} b_i^r$ , where  $b^r$  is the three-dimensional ball of radius r centered at b. Agarwal et al. [2] proposed a data structure  $\Xi(S)$  for a set of congruent three-dimensional balls. This data structure enables us to determine whether a point is in  $\bigcap_{s \in S} s^r$ , and to delete a ball in time  $O(n^{\varepsilon})$ . The data structure may be constructed in time  $O(n^{1+\varepsilon})$ . To use this structure, we build a balanced binary tree  $\mathcal{T}$ , whose leaves are the points of S, and each internal node v is associated with  $S_v$ , the set of balls whose centers are associated with the leaves of v's subtree. We also associate

with v the data structure  $\Xi_v = \Xi(S_v)$ . To perform  $\mathbf{neighbor}_r(\mathcal{D}_r(S), q)$  (that is, to find  $s \in S$  such that q does not lie in  $s^r$ ), we use  $\Xi_v$  where v = root(T), to find if  $q \notin \bigcap_{s \in S_v} s^r$ , we recursively check each of its two children to find (at least) one v', such that  $q \notin \bigcap_{s \in S_{v'}} s^r$ . We repeat this process until v' is a leaf, and then return the singleton v'. Deletion is carried out in a trivial fashion. Note that both these operations are done in time  $O(n^\varepsilon)$ , so, by plugging this data structure into the oracle of Section 3.2 we get the following theorem:

THEOREM 9.1. Let A and B be two sets of n points in  $\mathbb{R}^3$ . Then  $\overline{Match}(A, B)$ , the longest perfect matching, can be found in time  $O(n^{1.5+\varepsilon})$  for any  $\varepsilon > 0$ .

9.4. Computing a Most Uniform Matching. The following problem has applications in pattern matching [21]. Let A and B be two sets of n points in the plane. We seek  $Match_{II}^*$ , a matching Match that minimizes the difference max(Match) - min(Match). Let G[r, r'] denote the bipartite graph whose set of vertices is  $A \cup B$ , and there is an edge between  $a \in A$  and  $b \in B$  iff  $r \le ||a - b|| \le r'$ , where ||a - b|| is the Euclidean distance between a and b. Recall that  $dist^{(1)}, \ldots, dist^{(n^2)}$  denote the  $n^2$  distances between points of A and points of B, in increasing order. We refer to them as critical distances, and we assume, for simplicity of exposition, that they are all distinct. We seek  $1 < i < j < n^2$  such that  $G[dist^{(i)}, dist^{(j)}]$  contains a perfect matching Match, and the difference  $dist^{(j)} - dist^{(i)}$  is as small as possible; *Match* is then the desired matching. Our algorithm maintains a maximum matching in  $G[dist^{(i)}, dist^{(j)}]$ . We start with  $G[dist^{(i)}, dist^{(j)}]$  for i = j = 1, and with the matching consisting of the single edge whose corresponding distance is  $dist^{(1)}$ . The top level of the algorithm consists of the following loop. If there is no perfect matching in  $G[dist^{(i)}, dist^{(j)}]$  we increase j by one, else we increase i by one; in either case we compute a maximum matching in the new graph, and repeat. Increasing j adds a single edge to the graph, and we check whether the size of the maximum matching increases by one. Increasing i deletes a single edge from the graph, and, if this edge was in the current maximum matching, we must check whether the size of the maximum matching remains as before (or decreases by one). Both these checks are done by trying to compute an augmenting path for the current matching using a slightly simpler version of the procedure of Section 3.1, as we did in Section 8.2. (In the latter check, we do this after deleting the edge corresponding to the distance dist<sup>(i)</sup> from the current matching.) If such a path exists, then the answer is positive and we update the current maximum matching; otherwise, the answer is negative. If a perfect matching was found, then we compare the appropriate difference, i.e., either  $dist^{(j+1)} - dist^{(i)}$  or  $dist^{(j)} - dist^{(i+1)}$ , with the difference corresponding to the best perfect matching found so far. Clearly, the most uniform matching will be discovered in this way, and the number of times we need to compute an augmenting path is  $O(n^2)$ .

As in Section 3.1 we need a data structure  $\mathcal{D}_{r,r'}(P)$  over a set of points  $P \subseteq B$ , supporting the following operations, in amortized time  $O(n^{1/3} \log n)$ :

- **neighbor**<sub>r,r'</sub>( $\mathcal{D}_{r,r'}(P), q$ ): For a query point q, return a point  $p \in P$  whose distance from q is between r and r'. If no such p exists, then **neighbor**<sub>r,r'</sub>( $\mathcal{D}_{r,r'}(P), q$ ) =  $\emptyset$ .
- **delete**<sub>r,r'</sub>( $\mathcal{D}_{r,r'}(P)$ , p): Delete the point p from P.

We show below that such a data structure can be constructed in time  $O(n^{4/3} \log n)$ , and that an augmenting path can be computed within the same time bound. Since we repeat this process  $O(n^2)$  times, we obtain an  $O(n^{10/3} \log n)$ -time algorithm for computing a most uniform matching. The data structure is based on the following theorem.

THEOREM 9.2 [37]. Let  $\mathcal{M}$  be a set of m congruent annuli and let A be a set of n points in the plane. One can compute the set of pairs

$$\mathcal{Z} = \{(c, a) \mid c \in \mathcal{M}, a \in A, and a \text{ lies in } c\}$$

as a collection  $\{\mathcal{M}_u \times A_u\}_u$  of complete edge-disjoint bipartite graphs, in time and space  $O((m^{2/3}n^{2/3}+m+n)\log m)$ . (That is, for each annulus-point pair  $(c,a) \in \mathcal{Z}$ , there exists a single graph  $\mathcal{M}_u \times A_u$  such that  $c \in \mathcal{M}_u$  and  $a \in A_u$ , and for each graph  $\mathcal{M}_u \times A_u$  and for each  $c \in \mathcal{M}_u$ , the pair (c,a) is in  $\mathcal{Z}$ .) The number of graphs is  $O(m^{2/3}n^{2/3}+m+n)$ , and  $\sum_u |A_u|$ ,  $\sum_u |\mathcal{M}_u| = O((m^{2/3}n^{2/3}+m+n)\log m)$ .

For each point  $b \in B$  we draw the annulus of radii r and r' that is centered at b. Let  $\mathcal{M}$  be the set of these annuli. Clearly,  $r \leq \|q - b\| \leq r'$  for a point q iff q lies inside the annulus associated with b. We apply Theorem 9.2 to the sets A and  $\mathcal{M}$  and obtain in time  $O(n^{4/3}\log n)$  a collection of  $O(n^{4/3})$  bipartite graphs  $H_u = \mathcal{M}_u \times \mathcal{A}_u$  such that  $\sum_u |A_u|, \sum_u |\mathcal{M}_u| = O(n^{4/3}\log n)$ .

The operations are implemented as follows:

**neighbor**<sub>r,r'</sub>( $\mathcal{D}_{r,r'}(B)$ , a): Find any bipartite graph  $H_u$  such that  $a \in A_u$ , and return any  $b \in \mathcal{M}_u$ .

**delete**<sub>r,r'</sub>( $\mathcal{D}_{r,r'}(B), b$ ): For each graph  $H_u$  such that  $b \in \mathcal{M}_u$ , remove b from  $\mathcal{M}_u$ , and, if after the removal  $\mathcal{M}_u = \emptyset$ , remove the entire graph  $H_u$  (i.e., remove the points in  $A_u$ ).

Each graph  $H_u$  is represented by two lists  $A_u$  and  $\mathcal{M}_u$ . In addition, for each  $a \in A$  we maintain a list  $L_a$  of the occurrences of a in the lists  $A_u$ . All lists are doubly linked to enable deletions, and there is a pointer from the occurrence of a in a list  $A_u$  back to the entry in  $L_a$  which points to this occurrence. Similar lists  $L_b$  ( $b \in B$ ) are constructed according to the  $\mathcal{M}_u$  lists. Once the complete bipartite graphs have been constructed, the implementation of **neighbor**<sub>r,r'</sub> and **delete**<sub>r,r'</sub> is a matter of list processing.

Since each occurrence of a or b in  $\{H_u\}$  is removed only once, the time needed for n **neighbor**<sub>r,r'</sub> and **delete**<sub>r,r'</sub> operations is  $O(n^{4/3} \log n)$ , as asserted.

REMARK 9.3. Note that if the underlying norm is  $L_{\infty}$ , we can find a most uniform matching in time  $O(n^3 \log^d n)$ , for any fixed d > 2.

This is done by constructing a d-level orthogonal range tree for the set B. The points of B lying at distance between r and r' of a query point q, lie in a region that is defined as the difference between two concentric cubes; namely, the cube centered at q with edge length 2r' and the cube centered at q with edge length 2r. This region can be partitioned into 2d disjoint axis-aligned boxes, on each of which a query can be performed. Details are standard and hence omitted.

Summarizing, we have:

THEOREM 9.4. Let A and B be two sets of n points. It is possible to compute a most uniform matching in time  $O(n^{10/3} \log n)$  when the points are in  $\mathbb{R}^2$  and the underlying norm is  $L_2$ , or in time  $O(n^3 \log^d n)$  when the points are in  $\mathbb{R}^d$ ,  $d \ge 2$ , and the underlying norm is  $L_{\infty}$ .

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