



Optimization of immobilization conditions for *Lactobacillus pentosus* cells

Jianfei Wang¹ · Jiaqi Huang² · Huanyu Guo¹ · Shaoming Jiang¹ · Jing Zhang¹ · Yuchen Ning¹ · Mudannan Fang¹ · Shijie Liu¹

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Abstract

In this study, the immobilization technology was used to improve the LA yield and shorten the fermentation time. The optimum conditions to immobilize *Lactobacillus pentosus* ATCC 8041 cell were determined by Taguchi design L16 (4⁵). The immobilized *L. pentosus* ATCC 8041 cells prepared by 2% sodium alginate (SA) and 6% polyvinyl alcohol (PVA) with the immobilization process by 0.10 M calcium chloride (CaCl₂) and 2.5% boric acid (H₃BO₃) had the best performance of LA yield at the temperature of 35 °C, which is significantly higher than that of *L. pentosus* ATCC 8041 free cells. These cells maintained the stable and efficient performance in 15 repeated batch fermentation, and they also have excellent mechanical strength to keep from breakage caused by cell growth and agitation.

Keywords *Lactobacillus pentosus* · Immobilization · Fermentation · Lactic acid

Introduction

Immobilized cell fermentation is an effective way to shorten the fermentation time and improve the product yield based on high dense of cells encapsulated in immobilized cell beads [1]. Gel encapsulation is the most widely used method of cell immobilization [2]. The carrier solution is usually a mixed solution of sodium alginate (SA) and polyvinyl alcohol (PVA), and the calcium chloride (CaCl₂) solution and boric acid (H₃BO₃) are usually used as cross-linking agents [3]. Alginate is a kind of true block copolymers consisting of homopolymeric regions of (1 → 4)-linked β-D-mannuronic acid (M) and α-L-guluronic acid (G) as MM, GG and MG blocks [4]. In CaCl₂ solution, the reaction between Ca²⁺ and GG blocks occurs instantaneously and irreversibly as an ionic cross-link process [5]. PVA is an organic polymer containing diols on the side chain. Those diols are sensitive

to borate ions in H₃BO₃ solution and form cross-link bonds with them [6]. Alginate-PVA gel has many excellent properties compared with other carrier materials, including high mechanical strength, good mass transfer performance, and high activity of immobilized cells. In the hybrid gel, alginate reduces the tendency to agglomeration and improve the surface properties of immobilized cell beads, while PVA promotes mechanical strength and stability [7]. Therefore, alginate-PVA based gel can be considered as a proper and applicable material to prepare immobilized cell beads. In the immobilization process, the concentrations of carrier solutions and cross-linking agent solutions have significant effects on the strength and mass transfer performance of immobilized cell beads [8]. Therefore, appropriate concentrations of carrier solutions and cross-linking agent solutions can ensure that the beads have excellent strength and good mass transfer performance, improving the production yield and shortening the fermentation time [9].

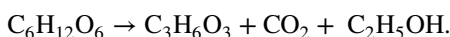
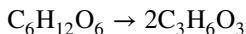
As a traditional industrial product, lactic acid (LA) has been widely used in food, pharmaceutical, cosmetics, and chemical industries [10]. *Lactobacillus pentosus* (*L. pentosus*) degrades hexoses via the EmbdenMeyerhoff-Parnas pathway (EMP-P) to produce LA, while it utilizes pentoses via the phosphoketolase pathway (PK-P) [11]. In the absence of glucose, its metabolic pathway changes from homologous fermentation to heterologous fermentation, and acetic acid is

✉ Shijie Liu
sliu@esf.edu

¹ Department of Paper and Bioprocess Engineering, SUNY College of Environmental Science and Forestry, Syracuse, NY 13210, USA

² The Center for Biotechnology and Interdisciplinary Studies (CBIS) at Rensselaer Polytechnic Institute, Troy, NY 12180, USA

also produced as the subproduct during the LA production process [12]. Therefore, *L. pentosus* can be considered a facultatively heterofermentative organism. The both pathways are always shown as:



Therefore, *L. pentosus* is a proper bacterium to produce lactic acid in the fermentation process [13]. The *L. pentosus* cell immobilization technology has practical significance in the industrial production of lactic acid [14].

The study presents a search for optimal immobilization conditions using Taguchi design L16 (4⁵). Also, the effect of the application of immobilized cells on fermentation efficiency was investigated.

Materials and methods

Seed culture preparation

Lactobacillus pentosus ATCC 8041 used in this experiment was supplied by the American Type Culture Collection (ATCC). The cells were lyophilized and stored in a refrigerator at $-8\text{ }^\circ\text{C}$. Before immobilization, the cells were activated in de Man, Rogosa and Sharpe broth (MRS broth) at $37\text{ }^\circ\text{C}$ and 150 rpm for 8 h on a rotary shaker (GYROMAXTM 747R, Amerex Instruments, Lafayette, CA, USA).

Immobilized cell preparation

Experimental design: Taguchi design L16 (4⁵)

Prior to producing immobilized cells for fermentation experiment, Taguchi design L16 (4⁵) was used to figure out the best combination of concentrations of carrier solutions and cross-linking agent solutions [15]. The concentration levels of sodium alginate (SA), CaCl₂, polyvinyl alcohol (PVA), and H₃BO₃ were designed as Table 1. Factor A, B, C, and D were SA concentration, CaCl₂ concentration, PVA concentration, and H₃BO₃ concentration, respectively.

Immobilization process

Specific amounts of SA and PVA were gradually added to deionized water with continuous agitation at $30\text{ }^\circ\text{C}$ and $80\text{ }^\circ\text{C}$, respectively. Two prepared carrier solutions were mixed and sterilized to prepare the SA-PVA transparent hydrogel with certain viscosity as a semi-interpenetrating polymer network [16]. 5 mL centrifuge-concentrated *L. pentosus* seed culture, corresponding to a cell density of 1.00×10^9 CFU/mL (9.00 log CFU/mL), was injected into

Table 1 Factors and levels of immobilization conditions for *L. pentosus* ATCC 8041 cells

Level	Factor			
	A	B	C	D
	SA (%)	CaCl ₂ (M)	PVA (%)	H ₃ BO ₃ (%)
1	1.5	0.10	5.5	1.5
2	2.0	0.15	6.0	2.0
3	2.5	0.20	6.5	2.5
4	3.0	0.25	7.0	3.0

the carrier solution with continuous stirring. The fully mixed carrier solution containing *L. pentosus* cells was injected into the mixed solution of CaCl₂ and H₃BO₃ without agitation to keep the stable shape of beads initially formed, and subsequently stored in a refrigerator to prepare immobilized cell beads with the diameter of 2.5 ± 0.5 mm at $4\text{ }^\circ\text{C}$ for 12 h [17]. The prepared immobilized cell beads with a shape of approximate sphere were washed by sterilized deionized water to remove residual CaCl₂ and H₃BO₃, and subsequently transferred into the fresh MRS medium. The immobilized cells were activated at $37\text{ }^\circ\text{C}$ and 150 rpm on the shaker for 8 h [18].

Batch fermentation process

Determination of optimum conditions in immobilized cell fermentation

Immobilized *L. pentosus* ATCC 8041 cell beads prepared under certain conditions were added into a 1.0 L New Brunswick Bioreactor (BIOFLO 110; New Brunswick Scientific Co., Edison, NJ, USA), along with 800 mL fermentation medium consisting of 50 g/L glucose, 5 g/L peptone, 5 g/L yeast extract, 0.5 g/L MgSO₄, and 0.5 g/L KH₂PO₄ [19]. The fermentation pH was maintained at 6.0 by adding 5 mol/L NaOH. Impellers of the bioreactor were disassembled to avoid gel bead damage. Agitation speed was maintained at 150 rpm by a magnetic stirrer. The fermentation was controlled at $35\text{ }^\circ\text{C}$ by heat system. The optimum temperature for LA production by immobilized *L. pentosus* ATCC 8041 cells prepared under optimum conditions was determined by setting the fermentation temperature at $31\text{ }^\circ\text{C}$, $32\text{ }^\circ\text{C}$, $33\text{ }^\circ\text{C}$, $34\text{ }^\circ\text{C}$, $35\text{ }^\circ\text{C}$, $36\text{ }^\circ\text{C}$, $37\text{ }^\circ\text{C}$, $38\text{ }^\circ\text{C}$ and $39\text{ }^\circ\text{C}$, respectively. Other fermentation conditions were kept being the same as previous conditions.

Repeated batch fermentation of immobilized cells

The same immobilized cell beads were used for batch fermentation at the optimum temperature found in Sect. 2.3.1 for 720 h and all the other fermentation conditions were kept

as mentioned in Sect. 2.3.1. Every 48 h, the fermentation broth was poured out and replaced with the fresh medium. In other words, 15 batches of repeated fermentation were carried out. After each batch was completed, immobilized *L. pentosus* beads were recovered and washed by sterilized deionized water, and subsequently added to 800 mL fresh fermentation medium for next batch. 50 immobilized cell beads were selected randomly after each batch to detect the number of damaged beads, and the ratio of intact beads was calculated to describe the mechanical strength of beads [8].

Comparison between immobilized cell and free cell batch fermentation

The free cell batch fermentation was conducted as a control experiment at 150 rpm, pH 6.0 and the temperature found from Sect. 2.3.1 in a 1.0 L New Brunswick Bioreactor to compare with the results gathered from immobilized cells. 5 mL centrifuge-concentrated seed culture and 800 mL fermentation medium consisted of 50 g/L glucose, 5 g/L yeast extract, 0.5 g/L $MgSO_4$, and 0.5 g/L KH_2PO_4 were added.

Determination of substrate and product concentrations

Proton nuclear magnetic resonance spectroscopy (1H NMR) was used to monitor the concentrations of residual glucose and LA [20]. NMR samples consisting of 0.5 mL fermentation sample, 0.4 mL deuterium oxide (Acros organics), and 0.1 mL internal standard were injected into 5-mm-o.d. nuclear magnetic resonance (NMR) tubes (Corning, NY, USA), and then analyzed by 1H NMR spectroscopy [21].

The internal standard was a mixture of 95.5 wt% deuterium oxide, 4.2 wt% glucosamine, 0.2 wt% trimethylamine and 0.1 wt% trimethylsilyl propionate. The signal peak area was integrated using MestReNova software. Glucose concentration and LA concentration were calculated based on the calibration curve developed by the linear relationship between the concentration and peak area.

Determination of cell density in immobilized cell beads

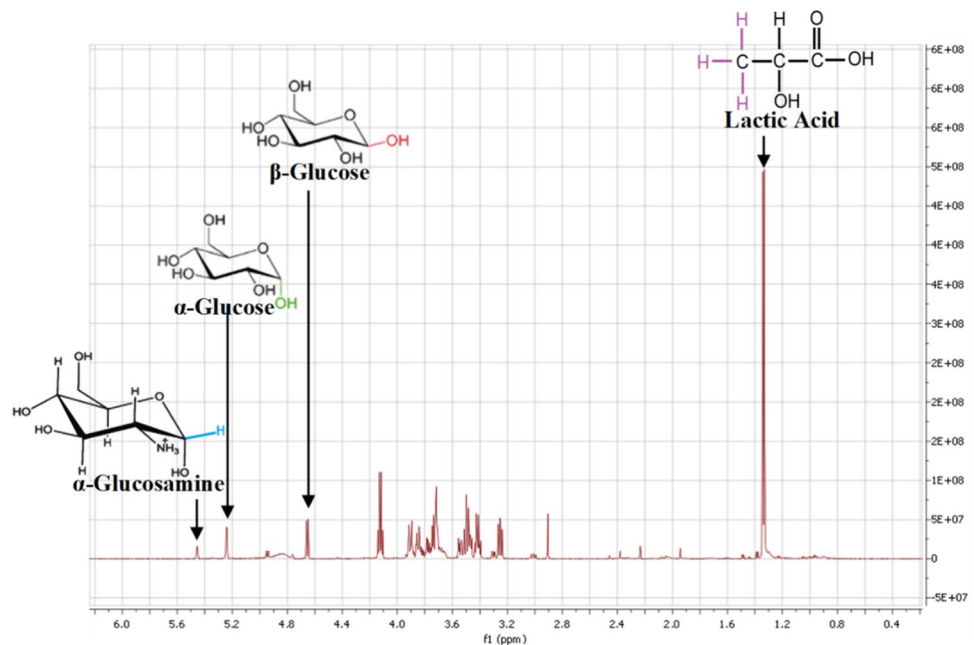
The cells were recycled by dissolving immobilized cell beads of 1 g by 0.2 mol/L sodium-citrate solution to prepare the cell solution with the dilution rate of 10^{-1} . The cell solution was subsequently diluted to reach the dilution rate of 10^{-9} by sterilized water. 0.1 mL diluted cells were inoculated to the MRS agar and cultivated at 37 °C for 2 days, and the cell density can be subsequently calculated [22].

Results and discussions

Result of 1H NMR spectrum

As shown in Fig. 1, 1H NMR was able to measure the concentration of glucose and lactic acid (LA) [23]. The peaks representing the concentration of glucose in the two configurations (α and β) on the spectrum were generated by an anomeric proton region between 4.4 and 5.4 ppm [24]. The signal of LA was formed in the region between 1.30 and 1.35 ppm [25]. Other research reported that the lactic acid peaks were located at 1.42 or 1.44 ppm [26, 27]. The signals

Fig. 1 1H NMR spectrum of glucose and LA



of glucose and LA were integrated based on α -glucosamine as the known reference. Bouteille et al. reported that the uncertainty of LA and sugar measurement by ^1H NMR was smaller than 5.0% and 3.0%, respectively [27]. Gjersing et al. pointed out that the data collection time of ^1H NMR measurement (20 min) was significantly reduced compared with HPLC (1 h) [23].

Optimization of conditions in the immobilization process

The experimental results were analyzed by Design Expert (Version 11) [28]. As shown in Table 2, the maximum and minimum LA yields of immobilized cells prepared by different schemes of conditions were 92.3% and 87.6%, respectively. The maximum yield was 4.7% higher than the minimum yield, and it was 0.9% higher than the scheme reaching second highest LA yield. Therefore, the appropriate scheme of conditions in the immobilization process plays a significant role in improving the fermentation yield of immobilized cells. According to the result, the optimum scheme of conditions in the immobilization process was A2–B1–C2–D3, which meant that the immobilized cell beads prepared by 2% sodium alginate (SA) and 6% polyvinyl alcohol (PVA), and immobilized by 0.10 M CaCl_2 and 2.5% H_3BO_3 had the best fermentation performance. The optimal concentration of SA was 2%, which is consistent with the results of immobilized cell fermentation experiment developed by Goksungur and

Guvenc [29]. Immobilized cell beads made from SA solution with a concentration lower than 1.5% are soft and easily broken due to low mechanical strength, and the overgrowth or expansion of the bead diameter might be caused in sugar solutions [30]. The glucose consumption and production rate will decrease if SA concentration is higher than 3%, and SA concentration higher than 6% leads to a decrease of cell activity [31]. The concentration of Ca^{2+} influences the mass transfer performance of immobilized cell beads [32]. During the immobilization process, the membrane thickness and compactness of the beads continue to increase with the consumption of calcium ions in the cross-link reaction, and this process stops only when the calcium ions are completely consumed [33]. The resistance formed by the dense membrane restricts the diffusion of substrates and nutrients into the beads, resulting in a decrease in yield and production rate [34, 35]. During the immobilization process, CaCl_2 concentration is usually between 0.10 and 0.20 M to ensure the progress of the crosslinking reaction and to maintain good mass transfer performance of the beads [19, 34, 36]. When the concentration of sodium alginate is 2%, CaCl_2 solution with a concentration of 0.10 M has less Ca^{2+} reacted with alginate, and less densely packed three-dimensional lattices are formed from the layer to the core of immobilized cell beads. These lattices facilitate the diffusion of substrates and nutrients in beads, thereby promoting the yield and production rate of the target product [34]. The cross-link reaction between PVA and H_3BO_3 with a suitable concentration can

Table 2 The experimental result of Taguchi design L16 (4^5)

Run	Factor				Null column	Response	
	A	B	C	D		LA yield (g/g glucose)	residual glucose concentration (g/L)
1	2	2	1	4	3	0.897 ± 0.006	1.42 ± 0.28
2	1	1	1	1	1	0.909 ± 0.002	0.75 ± 0.09
3	3	4	2	1	3	0.901 ± 0.004	1.18 ± 0.16
4	4	3	2	4	1	0.876 ± 0.005	2.53 ± 0.23
5	3	3	1	2	4	0.906 ± 0.003	0.94 ± 0.12
6	1	4	4	4	4	0.879 ± 0.006	2.38 ± 0.25
7	4	4	1	3	2	0.884 ± 0.004	2.10 ± 0.19
8	1	2	2	2	2	0.914 ± 0.002	0.51 ± 0.08
9 ^a	2	1	2	3	4	0.923 ± 0.003	0.09 ± 0.04
10	1	3	3	3	3	0.911 ± 0.002	0.66 ± 0.12
11	4	2	3	1	4	0.881 ± 0.005	2.29 ± 0.26
12	2	4	3	2	1	0.905 ± 0.002	0.99 ± 0.11
13	3	2	4	3	1	0.903 ± 0.003	1.09 ± 0.13
14	3	1	3	4	2	0.891 ± 0.005	1.76 ± 0.23
15	2	3	4	1	2	0.899 ± 0.004	1.33 ± 0.21
16	4	1	4	2	3	0.879 ± 0.007	2.38 ± 0.29
Optimum	A2	B1	C2	D3			

^aOptimum condition obtained by Taguchi design L16 (4^5)

effectively increase the mechanical strength of the immobilized cell beads [37]. The optimum concentration was found as 6%, which agreed with results reported by Bhatnagar et al. and Wang et al. [38, 39]. Bhatnagar et al. observed that the agglomeration of beads occurs if the concentration of PVA is higher than 7%, while the disintegration occurs if the PVA concentration is lower than 5%. The H₃BO₃ solution with a concentration higher than 3% causes a decrease of the cell viability, so the H₃BO₃ solution with a concentration of 2–3% is usually chosen [38].

After 48 h of immobilized cell fermentation, the residual glucose concentration was less than 2.53 g/L, which was 5.06% to the initial glucose concentration with the LA yield higher than 0.876 g/g. Under the optimum conditions in the immobilization process, the glucose was consumed more rapidly, with the lowest concentration of

0.09 g/L, 0.18% to the initial glucose concentration. The amount of glucose consumed was slightly higher than the glucose used for LA production, suggesting that a small amount of glucose was utilized for cell growth in each batch during the fermentation process [40]. The density of viable cells in immobilized *L. pentosus* ATCC 8041 cell beads prepared under optimum conditions was obtained as 4.52×10^9 CFU/g (9.66 log CFU/g) beads, implying a high cell viability of immobilized *L. pentosus* ATCC 8041 cells prepared under optimum conditions [37].

The results of analysis of variance (ANOVA) were shown in Tables 3 and 4. The factor p-values of A, B, C, and D were less than 0.05. Therefore, the concentrations of SA, CaCl₂, PVA, and H₃BO₃ could be considered to have significant influence on both LA yield and residual glucose concentration in immobilized *L. pentosus* ATCC 8041 cell fermentation [41].

Table 3 ANOVA for LA yield in immobilized *L. pentosus* ATCC 8041 cell fermentation

Source	Sum of squares	Degree of freedom	Mean square	F value	p value
A—SA (%)	0.0017	3	0.0006	394.41	0.0002*
B—CaCl ₂ (M)	0.0002	3	0.0001	36.06	0.0075*
C—PVA (%)	0.0004	3	0.0001	89.12	0.0020*
D—H ₃ BO ₃ (%)	0.0008	3	0.0003	197.94	0.0006*
Residual	4.250×10^{-6}	3	1.417×10^{-6}		
Cor total	0.0031	15			
Standard deviation	0.0012		R ²	0.9986	
Mean	0.8974		Adjusted R ²	0.9930	
Coefficient of variation (C.V.%)	1.04		Predicted R ²	0.9604	
Press	0.0001		Adequate precision	44.7400	

*Significant in 5% level

Table 4 ANOVA for residual glucose concentration in immobilized *L. pentosus* ATCC 8041 cell fermentation

Source	Sum of squares	Degree of freedom	Mean square	F value	p value
A—SA (%)	4.73	3	1.58	238.76	0.0005*
B—CaCl ₂ (M)	0.3977	3	0.1326	20.08	0.0173*
C—PVA (%)	1.08	3	0.3602	54.58	0.0041*
D—H ₃ BO ₃ (%)	2.39	3	0.7972	120.79	0.0013*
Residual	0.0198	3	0.0066		
Cor total	8.62	15			
Standard deviation	0.0812		R ²	0.9977	
Mean	1.40		Adjusted R ²	0.9885	
Coefficient of variation (C.V.%)	5.80		Predicted R ²	0.9348	
Press	0.5632		Adequate precision	34.4808	

*Significant in 5% level

The temperature effects on immobilized *L. pentosus* cell fermentation

Lactobacillus pentosus cells can grow and produce LA within a certain temperature range, but the growth and production rate were not the same [42]. As shown in Fig. 2, LA yield increased with increasing temperature in the range of 31–35 °C, and the highest LA yield of immobilized *L. pentosus* cells was obtained as 0.923 g/g in the batch at 35 °C, with the lowest residual glucose concentration of 0.09 g/L, 0.18% of residual glucose. The LA yield remained at 90.1% in the batch at 39 °C with a small amount of glucose consumption for cell growth, and the LA yield decreased slowly as temperature raised, suggesting that SA-PVA immobilized cell beads prepared under the optimum conditions had good heat resistance to tolerant and adapt to higher temperature [43].

Fig. 2 The temperature effects on residual glucose concentration and LA yield in the immobilized *L. pentosus* ATCC 8041 cell fermentation

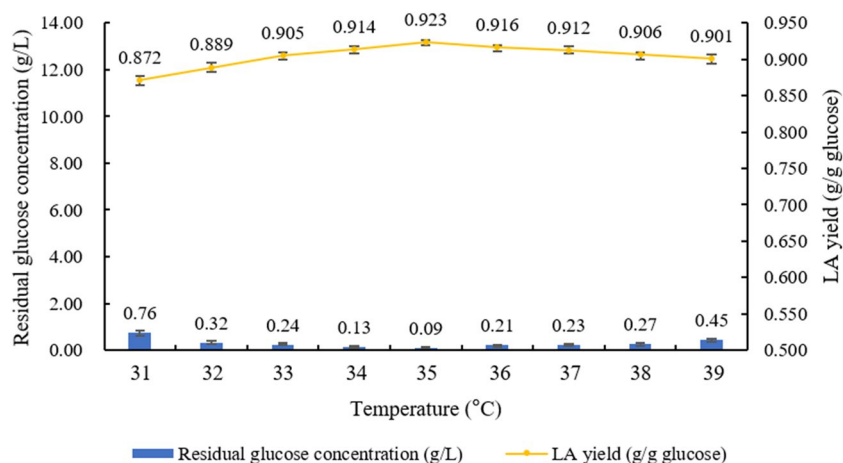


Table 5 The result of repeated batch fermentation by *L. pentosus* ATCC 8041 cells

Batch number	Residual glucose concentration (g/L)	LA yield (g/g glucose)	LA productivity $\text{g} \times (\text{L} \times \text{h})^{-1}$	Intact bead (%)
1	0.09 ± 0.03	0.924 ± 0.002	0.963 ± 0.002	100
2	0.12 ± 0.05	0.922 ± 0.003	0.960 ± 0.003	100
3	0.11 ± 0.04	0.919 ± 0.002	0.957 ± 0.002	100
4	0.08 ± 0.02	0.927 ± 0.005	0.966 ± 0.005	100
5	0.09 ± 0.04	0.927 ± 0.002	0.966 ± 0.002	100
6	0.13 ± 0.05	0.918 ± 0.003	0.956 ± 0.003	100
7	0.11 ± 0.03	0.923 ± 0.004	0.961 ± 0.004	100
8	0.13 ± 0.04	0.920 ± 0.003	0.958 ± 0.003	100
9	0.07 ± 0.02	0.926 ± 0.005	0.965 ± 0.005	100
10	0.09 ± 0.04	0.925 ± 0.002	0.964 ± 0.002	100
11	0.11 ± 0.05	0.920 ± 0.004	0.958 ± 0.004	100
12	0.11 ± 0.03	0.918 ± 0.002	0.956 ± 0.002	100
13	0.10 ± 0.02	0.920 ± 0.004	0.958 ± 0.004	100
14	0.09 ± 0.05	0.926 ± 0.006	0.965 ± 0.006	100
15	0.12 ± 0.04	0.924 ± 0.003	0.963 ± 0.003	100

avoided the breakage caused by cell growth and agitation during fermentation process [46].

The comparison of fermentation performance between immobilized *L. pentosus* cells and free *L. pentosus* cells

As shown in Fig. 3, after 72 h of free cell fermentation, the LA yield was 0.810 g/g with a residual glucose concentration of 0.62% to initial glucose and a productivity of $0.563 \text{ g} \times (\text{L} \times \text{h})^{-1}$. The fermentation period of immobilized cells was shortened to about 48 h, and the LA yield was 0.923 g/g with the residual glucose concentration of 0.17% to initial glucose and a productivity of $0.961 \text{ g} \times (\text{L} \times \text{h})^{-1}$. When the residual glucose concentration was not significantly different, the LA production of immobilized cell beads prepared under the optimum conditions was 11.3% higher than that of free cell fermentation with 33.3% of fermentation time reduction and 70.7% of productivity improvement [47]. In the fermentation process, the maximum production rate of immobilized cells was higher than free cells and was reached immediately because of a higher cell density after the start of fermentation [48, 49]. The same result was reported by Vatakit and Leenanon, observing that encapsulated *L. pentosus* cells using fermented purple glutinous rice beverage had the higher cell counts in comparison with free cells [50]. In the initial stage of free cell fermentation, cell growth was dominant, so the LA yield was lower, and the period of LA fermentation was longer [51]. In the immobilized cell fermentation process, cell growth was limited by the space and oxygen/nutrient diffusion, resulting in a higher glucose consumption in lactic acid production to obtain a higher LA yield [52]. Compared to free cell fermentation where the LA yield and productivity were lower

because of a higher proportion of glucose utilized for cell growth in a longer period, the LA yield and productivity was improved in immobilized cell fermentation [53].

Conclusion

The optimum conditions for preparing immobilized *L. pentosus* cells

The immobilized *L. pentosus* cells prepared by 2% sodium alginate (SA) and 6% polyvinyl alcohol (PVA), and immobilized by 0.10 M CaCl_2 and 2.5% H_3BO_3 have the best performance of lactic acid (LA) yield in the fermentation process, with an excellent strength to avoid the breakage caused by cell growth and agitation, which can also maintain the stable and efficient performance in repeated batch fermentation.

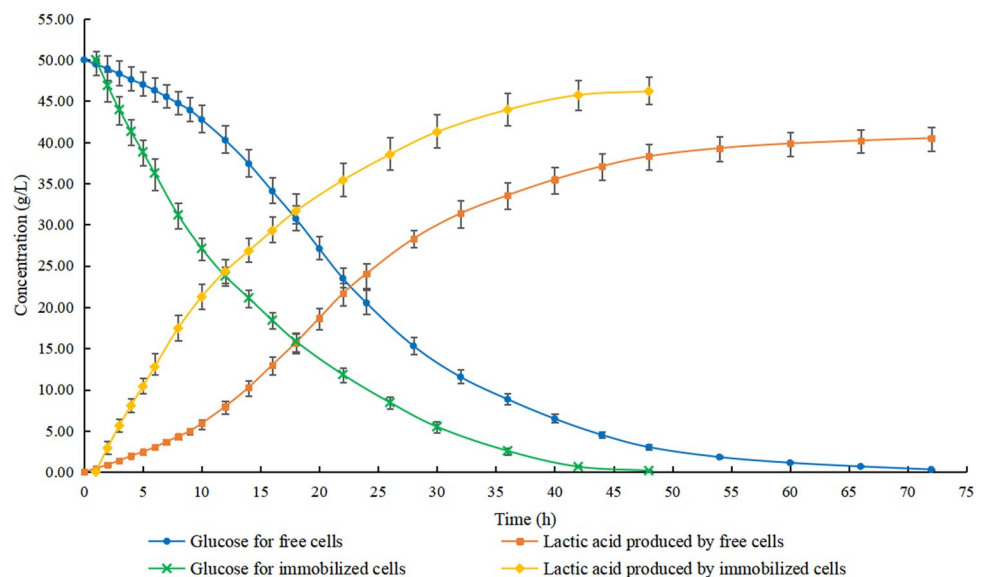
The temperature effects on LA yield in the immobilized *L. pentosus* cell fermentation

The highest LA yield of immobilized *L. pentosus* fermentation is obtained at 35 °C. The immobilized cells prepared under the optimum conditions have good heat resistance to maintain a high LA yield at higher temperature.

The comparison of fermentation performance between immobilized *L. pentosus* cells and free *L. pentosus* cells

Compared with the free cell fermentation of *L. pentosus*, a higher LA yield can be reached in a significantly shortened period during the immobilized *L. pentosus* cell fermentation process under the same fermentation conditions.

Fig. 3 The comparison of the changes of glucose and LA concentration between immobilized *L. pentosus* ATCC 8041 cell fermentation and free *L. pentosus* ATCC 8041 cell fermentation



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