

REVIEW

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Neuronal death after brain injury**Models, mechanisms, and therapeutic strategies in vivo**

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Abstract Neuronal damage in the central nervous system leads to primary cell death, induced directly by the trauma, and delayed secondary death of neurons, the latter depending on environmental changes, lack of metabolic and trophic supply, and altered gene transcription. While primary death of neurons occurring within a short time after trauma is not a realistic target for therapy, secondary cell death might be prevented by new neuroprotective strategies. Although there are increasing data concerning cell rescue after ischemic and traumatic brain injury through the last decade, the mechanisms that underlie secondary death of neurons following lesion are still incompletely understood and are now the subject of a more detailed investigation. In this review, we want to give an overview on what is known about the molecular mechanisms of delayed ischemic and traumatic neuronal death in vivo and about promising neuroprotective treatment strategies that might be of future clinical relevance or have already entered clinical trials.

Key words Neuronal death · Ischemia · Traumatic brain injury · Axotomy · Mechanisms · Neuroprotection

Animal models of ischemic and traumatic brain injury

For investigating the cellular and subcellular events occurring in ischemic or traumatic brain injury, a large number of animal models have been developed. However, their major aim, which is to approximate the clinical conditions and neuropathological consequences found in human ischemic and traumatic brain injury as closely as possible, has been achieved with variable degrees of success. Since discussing all the advantages and disadvantages of the various models is beyond the scope of this

review (for reviews, see Biros 1991; Zwiener et al. 1991), we will focus on basic differences between the available models for ischemia and trauma.

Among the models for ischemic brain injury, one can distinguish between two major paradigms: global ischemia and focal ischemia. Global ischemia is supposed to reflect the cardiac arrest situation, eventually leading to hypo- or nonperfusion of the complete brain, whereas focal ischemia leads to circumscribed brain infarction. While in global ischemia models the lack of perfusion is often permanent, focal ischemia models hold the advantage of being either permanent or reversible, allowing the investigation of secondary injury due to reperfusion.

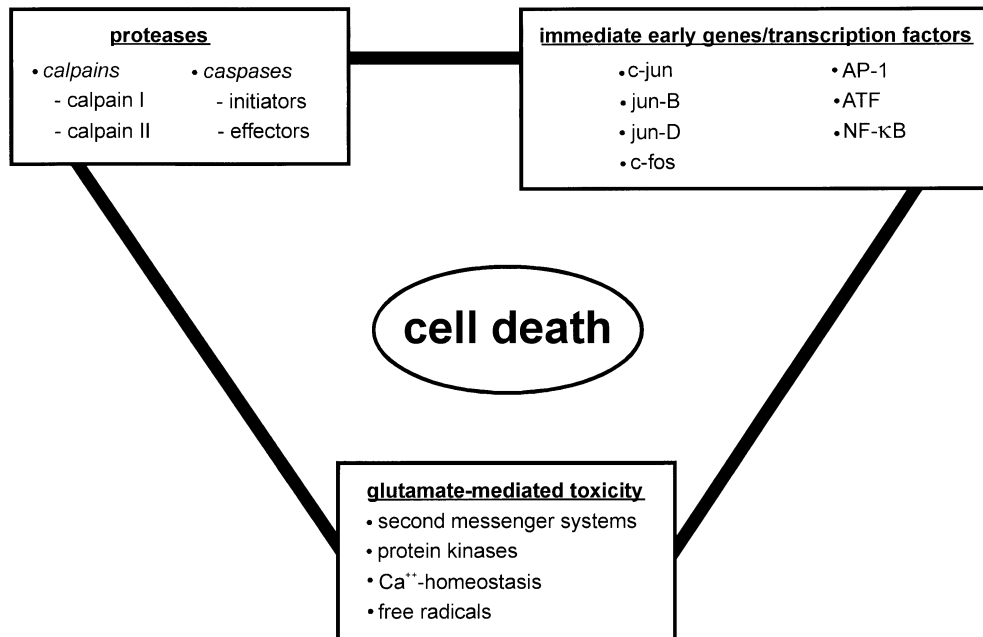
Among the trauma models, one can also distinguish between two groups. One is represented by deformation injuries, either as head impact models, in which the skull of the animal is primarily left intact and thus absorbs some of the forces, or as brain deformation models, in which the impact is delivered directly onto the exposed dura producing rather diffuse injuries. The second group of models consists of head acceleration/deceleration models, having the general limitation of being confined to primates, which have an anatomical head-to-neck relations and brain-to-skull mass ratios comparable with the human anatomy.

Mechanisms underlying neuronal death in vivo

Morphologically, two different forms of cell death can be distinguished: necrotic and apoptotic cell death. While necrosis is accompanied by a breakdown of transmembranous ionic pumps caused by a lack of ATP, apoptosis – also known as programmed cell death – requires active protein synthesis. Despite its decisive role during neuronal development, apoptotic cell death remained unnoticed for a long time in the context of trauma or ischemia. Based on many experimental data from the last decade, it became more and more accepted that necrotic and apoptotic cell death cannot be separated as two totally different entities. Both types of cell death are believed

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Fig. 1 Schematic illustration of the different pathways contributing to cell death after ischemia or traumatic brain injury. According to the text, the participants in neuronal death can be divided into three major groups: (1) proteases, (2) immediate early genes and transcription factors, (3) glutamate-mediated toxicity. Cross talk and intersections between these different groups have been described or are currently under investigation (*ATF* activating transcription factor, *AP-1* activator protein-1, *NF-κB* nuclear factor-κB)



to proceed in parallel in the lesioned tissue. There is even increasing evidence that, depending on the cellular environment and energy supply, a switch between the two types of cell death within a single cell is possible. Therefore, in the following, we will discuss the most important extra- and intracellular mechanisms contributing directly to secondary neuronal death after ischemia or neuronal trauma without a strict differentiation between necrosis and apoptosis. Related changes in the cellular environment enhancing the detrimental effects of neuronal trauma such as immune response and inflammation are reviewed elsewhere in greater detail (del Zoppo et al. 1997) and will not be a main topic of the following paragraph. A summary of the various pathways contributing to neuronal death as discussed below is given in Fig. 1.

Glutamate-mediated neurotoxicity and calcium homeostasis

In 1957, Lucas and Newhouse showed for the first time that sustained exposure to glutamate can destroy retinal neurons (Lucas and Newhouse 1957). Olney and co-workers discovered later that this neurotoxicity, which they termed “excitotoxicity,” was not confined to glutamate but could also be caused by other excitatory amino acids (EAAs) acting on CNS neurons (Olney and Sharpe 1969; Olney et al. 1971). On the basis of these results, they hypothesized that glutamate and related compounds might be a cause of neuronal cell death in certain neurological diseases. Meanwhile, it has become evident that excitotoxicity is involved both in acute and delayed neuronal death in vascular, traumatic, and degenerative disorders of the CNS (Choi 1988).

In vitro experiments showed that glutamate neurotoxicity involves two components (Choi 1988). The first

component is characterized by an influx of sodium, membrane depolarization, and subsequent influx of water leading to neuronal cell swelling. The second component, marked by delayed neuronal degeneration, depends on extracellular calcium and is produced by the toxic effects of excessive calcium influx.

Injured CNS neurons release glutamate (or other EAAs) in great amounts owing to depolarization after cell damage or energy failure, which are common events in cerebral ischemia and trauma. Furthermore, glutamate can leak through the damaged cell membranes. At the same time, energy failure stops reuptake mechanisms for glutamate. All these events lead to excessive glutamate concentrations in the extracellular space, which can then damage other, still healthy cells in the proximity of the lesion. A vicious circle can evolve, since extracellular glutamate triggers its own release by depolarization of responsive cells.

The cellular effects of glutamate are mediated by two different classes of receptors: ionotropic and metabotropic glutamate receptors (iGluRs and mGluRs, respectively). Whereas iGluRs are directly coupled to ion channels, activation of mGluRs involves activation of guanosine triphosphate (GTP)-binding proteins (G-proteins) leading to subsequent activation or inhibition of second messenger cascades. The pharmacological classification of the iGluRs is based on three selective agonists: *N*-methyl-D-aspartate (NMDA), α -amino-3-hydroxy-5-methyl-4-isoxazol-propionic acid (AMPA), and kainate. Activation of NMDA receptors is particularly important for the above-described sodium and calcium influxes in excitotoxic events. Nevertheless, depending on the subunit arrangement of the non-NMDA receptors and thus on the neuronal cell type, intracellular rise in calcium can also be caused by activation of AMPA and kainate receptors. Among the mGluRs, at least eight types of receptor can

be distinguished. Their pharmacological properties and their subcellular localization differ greatly, which might account for their respective pro- and antiexcitotoxic effects.

The massive calcium influx elicited by glutamate triggers further calcium release from intracellular stores and thereby dramatically elevates cytosolic free calcium. The consequence is an overstimulation of physiological processes at the cell membrane, in the cytoplasm, and in the nucleus, which may result in neurotoxicity. Increased intracellular calcium can induce a great number of various enzymes, among them protein kinase C, phospholipases, proteases, endonucleases, phosphatases, and nitric oxide synthase, which when excessively activated can act as mediators of the detrimental effects of glutamate.

The results of several studies, in both animals and humans, support glutamate-induced neurotoxicity in ischemic and mechanic injury of the CNS. Powerful evidence for its role in focal ischemia, for instance, derives from various neuroprotection studies using NMDA and non-NMDA antagonists (McIntosh et al. 1990; Meldrum 1990; Bullock and Fujisawa 1992; Kuroda et al. 1994). Intracerebral microdialysis studies have demonstrated a sixfold increase in glutamate levels in the extracellular fluid (ECF) following fluid percussion trauma in the rat (Faden et al. 1989; Katayama et al. 1990; Hayes et al. 1992). In humans, microdialysis studies suggest that the pattern of EAA release is determined mainly by the magnitude of the initial injury. Particularly, in patients with uncontrollable intracranial pressure and prolonged secondary events, the release of EAAs was shown to be massive and likely to cause the above-mentioned derangements in ionic fluxes (Zauner and Bullock 1995).

Nitric oxide synthase and free radical formation

The observation that activation of NMDA receptors can generate nitric oxide (NO) in a calcium-dependent manner has given rise to the hypothesis that NO, known as a cytotoxin, contributes to glutamate neurotoxicity (Garthwaite et al. 1988). NO is synthesized from L-arginine by the enzyme NO synthase (NOS). Three different isoforms have been characterized so far: neuronal NOS (nNOS), endothelial NOS (eNOS), and inducible NOS (iNOS; Griffith and Stuehr 1995). nNOS and eNOS are constitutively expressed and depend on intracellular calcium, whereas iNOS is calcium-independent and induced upon immunological stimuli, for instance. Depending on the availability of L-arginine and cofactors such as tetrahydrobiopterin, NOS produces not only NO but also superoxide anions, which can lead to the formation of highly cytotoxic peroxynitrite (Heinzel et al. 1992; Xia and Zweier 1997). Such reactions might particularly occur in cerebral ischemia and trauma, when substrate and cofactors are likely to become rate-limiting.

Despite the evidence that ischemia and trauma can have profound influences on NO synthesis, the role

played by NO in these pathophysiologies is still a source of debate (Choi 1993; Pelligrino et al. 1993; Iadecola et al. 1994; Iadecola 1997). Inhibition of NOS has been found to reduce (Nowicki et al. 1991; Buisson et al. 1992; Nagafuji et al. 1992; Carreau et al. 1994), to not affect (Dawson et al. 1992; Buchan et al. 1994), or even to enhance (Yamamoto et al. 1992; Kuluz et al. 1993; Zhang and Iadecola 1993) cerebral ischemic damage. The reason for these apparently contradictory results could be the fact that, besides its cytotoxic effects, NO is a potent vasodilator and an inhibitor of platelet aggregation, which might improve postischemic blood flow (Iadecola 1997). Furthermore, NO has been described to limit glutamate neurotoxicity by inhibiting calcium influx through the NMDA receptor (Manzoni et al. 1992; Lipton et al. 1993; Fagni et al. 1995). Finally, it has become clear that the redox status of NO has a profound impact on the biochemical effects of NO (Lipton et al. 1993; Mohr et al. 1997; Ogura et al. 1997; So et al. 1998).

Current understanding of NO effects in cerebral ischemia and trauma situations suggests that the point in time at which NO is produced after the insult is of great importance. At very early stages of ischemia, the beneficial vascular effects of eNOS activity seem to outweigh the potential neurotoxic effects of nNOS activity. A few hours later, the vascular effects are no longer protective and NO becomes predominantly toxic. In later stages, iNOS expression increases and causes a long-lasting, large production of NO, eventually contributing to the progression of cell damage.

Oxygen, while being essential for life, can also be part of the destructive cascade elicited by ischemia and trauma in brain tissue. Oxygen radicals are products of normal cellular metabolism. A number of cellular defense mechanisms exist to keep the extent of free radical formation and their effects under strict control. In pathophysiological situations, however, normal cellular function may be disrupted to such a degree that oxygen radical production may be substantially increased and cellular defense mechanisms are turned over. Formation of superoxide, hydrogen peroxide, and hydroxyl radicals can then result in peroxidation injury of lipid membranes, protein, and DNA damage. Despite significant advances in methodology, techniques assessing the occurrence of lipid peroxidation and oxygen radical formation *in vivo* are not entirely convincing. Most of the evidence for an important role of free radicals in cerebral ischemia and trauma comes from pharmacological studies demonstrating that antioxidants and free radical scavengers can prevent some of the pathophysiological and neurological sequelae of CNS trauma and ischemia (for review, see Braughler and Hall 1989).

Protein kinases, immediate early genes, and transcription factors

Activation of effector proteins causing secondary neuronal de- or regeneration after ischemia and traumatic

brain injury partly results from increased expression of so-called immediate-early genes (IEGs) and subsequent formation of transcription factors regulating a great variety of response genes (Akins et al. 1996; Herdegen et al. 1997).

Induction of IEGs by DNA binding proteins such as the serum response element (SRE) and the calcium/cAMP response element (Ca/CRE) is preceded by the activation of various second-messenger systems including protein kinases such as mitogen-activated protein kinases (MAPKs), protein kinase A, and protein kinase C (Cahill et al. 1996). Evidence is accumulating that all these signalling pathways can intersect and therefore "greatly alter a cell's response to a given stimulus" (Hale et al. 1996). Some examples of IEGs with increased expression after ischemia or traumatic brain injury include *c-fos*, *c-jun*, *jun-B* and *jun-D* (Kiessling et al. 1993; Hüll and Bähr 1994; Akins et al. 1996). *c-jun* as one of the most prominent IEGs can dimerize with *jun*, *fos*, activating transcription factor (ATF), and other transcription factors, which together form the activator protein-1 (AP-1), to control numerous target genes involved in cell cycle, organogenesis, cellular differentiation, apoptosis, cell survival, and regeneration (Angel and Karin 1991; Gass and Herdegen 1995; Hale et al. 1996; Herdegen et al. 1997). Following axotomy as an in vivo model of neuronal trauma, there is some correlation between *c-jun* expression and neuronal death. After intraorbital optic nerve transection, for example, *c-jun* is highly expressed in retinal ganglion cells that are supposed to die (Hüll and Bähr 1994; Isenmann and Bähr 1997). Moreover, *c-jun* has been found in neurons after ischemic insult (Dragunow et al. 1993). *c-jun* expression, predominantly investigated in nonneuronal cells, strongly depends on the activation of stress-activated protein kinases (SAPK), also known as Jun N-terminal kinases (JNKs), an alternative cascade of MAPKs. Immunocytochemistry and kinase assays have shown that activation of JNKs and phosphorylation of *c-jun* can last for weeks following neuronal damage and ischemia (Herdegen et al. 1997). Besides the control of target genes, activated JNK can interact with various crucial molecules regulating neuronal death or survival such as p53, *bax/bcl-2*, and caspases. The relation between the SAPK-JNK pathway and the activation of caspases as an up- or downstream event is under current investigation (Beyaert et al. 1997; Cardone et al. 1997; Huang et al. 1997; Lee et al. 1997).

Nuclear factor- κ B (NF- κ B), another transcription factor distinct from the AP-1 family, is also of interest concerning neuronal death and survival. Since NF- κ B is a preformed factor with regulated activity, it can directly transduce an extracellular signal to the nucleus, ensuring a rapid response. Up to now, however, it remains unclear whether activation of NF- κ B supports neuroprotection or neurodegeneration (O'Neill and Kaltschmidt 1997). An example for the complex function of NF- κ B activation in neurodegeneration is provided by recent studies that suggest a neuroprotective role of NF- κ B in a culture model

of Alzheimer's disease (Barger et al. 1998; Mattson et al. 1997). In contrast, it was shown that NF- κ B is activated by the neurotoxic peptide A β via the formation of reactive oxygen intermediates and can be found around early plaque stages in Alzheimer's disease patients, thus indicating a role for NF- κ B in neurodegeneration (Kaltschmidt et al. 1997; O'Neill and Kaltschmidt 1997). More detailed investigations in various models of neuronal trauma are necessary to understand the regulation and interaction of signalling cascades determining the fate of injured neurons.

Caspases and calpains

Caspases

Besides many apoptosis-inducing or -preventing proteins that will not be discussed here in great detail, an increasing number of cysteine proteases termed caspases (cysteiny l aspartate-specific proteinases) are central mediators of apoptotic cell death (Alnemri et al. 1996). Our knowledge about this family of proteases resides mainly in the fundamental work of Horvitz and colleagues (Ellis et al. 1991), who dealt with programmed cell death in the developing nematode *Caenorhabditis elegans*. During normal development of this worm, 131 cells of the 1090 originally generated cells die (Ellis et al. 1991). Three principal genes (*ced* genes) were identified to mediate or prevent this apoptotic death. While *ced-3* and *ced-4* mediate apoptosis in *C. elegans*, *ced-9* acts to antagonize these death genes and to suppress the death program (Hengartner et al. 1994). *Ced-9* bears sequence homology with the mammalian proto-oncogene *bcl-2*, one of a family of genes involved in the prevention or regulation of apoptotic death (for review, see Merry and Korsmeyer 1997). The mammalian homologue of *ced-4* is the recently identified "apoptotic protease activating factor" (*Apaf-1*), which seems to play an important role in cytochrome c-dependent apoptosis (Zou et al. 1997). *Ced-3* encodes for a cysteine protease related to the above-mentioned group of caspases in vertebrates (Alnemri et al. 1996). Interleukin-1 β -converting enzyme (ICE; caspase-1) was the first caspase identified (Yuan et al. 1993), whereas CPP32 (caspase-3), also called Yama (the Hindu god of death) or apopain, is the caspase with the highest sequence homology to *ced-3* (Fernandes-Alnemri et al. 1994; Nicholson et al. 1995). Based on sequence homology, structure, and catalytic mechanism, the family of caspases meanwhile comprises at least 14 members (Cohen 1997; Hu et al. 1998; Thornberry and Lazebnik 1998), divided into the ICE and the CED-3 subfamily (Nicholson and Thornberry 1997).

Evidence for the in vivo relevance of caspases mainly derives from experiments in knockout mice. Caspase-1 knockout mice, apart from defective generation of mature interleukin-1 β (IL-1 β), develop normally and lack an in vivo phenotype indicating apoptotic failure (Kuida et al. 1995; Li et al. 1995). Thus, caspase-1 does not ap-

pear to play an essential, nonredundant role in neuronal apoptosis. Mice deficient in caspase-3, however, display a striking phenotype, with profound affection of brain development resulting in multiple hyperplasias, disorganized cell deployment, and failure in neuronal apoptosis detectable in the cortex, cerebellum, striatum, hippocampus, and the retina (Kuida et al. 1996). Surprisingly, other tissues and organ systems were not affected. These results suggest an important and predominant role for caspase-3 in neuronal apoptosis (Nicholson and Thornberry 1997). How caspase-3 mediates neuronal apoptosis and by which pathways caspase-3 is activated in vivo is under current investigation and not yet completely understood. It is believed that active caspase-3 leads to apoptotic death by cleavage of proteins implicated in DNA repair (poly(ADP-ribose)polymerase, PARP, DNA-dependent protein kinase, DNA-PK), mRNA splicing (U1-70 K), sterol biosynthesis (sterol regulatory element binding proteins, SREBPs), and cytoskeletal reorganization (Kumar 1997).

Despite an immense number of studies showing an activation of caspases during neuronal apoptosis in vitro, up to now little has been known about caspase activation following ischemia or traumatic brain injury in vivo. In 1996, for the first time, an increased expression of caspase-1 mRNA and protein in hippocampus after ischemia was shown (Bhat et al. 1996). This expression of caspase-1 was mainly localized in microglial cells, suggesting an indirect role in ischemic neuronal damage through mediation of an inflammatory response. One year later, Hara and colleagues (1997) were able to show an activation of caspase-1 by detecting the active p20 fragment after ischemia. An involvement of caspase-2 in ischemic cell death was suggested by increased mRNA expression without identification of active fragments (Kinoshita et al. 1997). Evidence for caspase-3 as mediator of neuronal death after ischemia rises from a study showing the active fragment of caspase-3 in TUNEL-positive neurons dying apoptotically by confocal microscopy (Namura et al. 1998). This is in line with findings after fluid percussion trauma (Yakovlev et al. 1997) and axotomy (Kermer et al. 1998), in which caspase-3 was identified as a crucial player in neuronal apoptosis. Together, these results suggest that activation of caspase-3 might be a general feature in neuronal apoptosis after various types of brain injury.

Calpains

Calpains are calcium-activated neutral proteases belonging to a papain family of cysteine proteases distinct from caspases. At least six members of the calpain family have been identified so far. Two isoenzymes are present in the brain: μ -calpain (calpain I) and *m*-calpain (calpain II; Melloni and Pontremoli 1989). Preferred substrates for proteolysis by calpains are: (1) cytoskeletal proteins such as fodrin (brain spectrin), microtubule-associated protein 2 (MAP2), tau, and neurofilaments; (2) enzymes

involved in signal transduction such as protein kinase C and some phosphatases; (3) membrane proteins such as EGF receptors; (4) transcription factors (e.g., Jun and Fos); and (5) calmodulin-binding proteins such as G proteins (for review, see Wang et al. 1989; Saïdo et al. 1994; Suzuki et al. 1995). Interestingly, calpains share substrate specificity for the cleavage of fodrin with caspase-3.

The physiological role of calpains in vivo, however, is not completely understood yet, but there are reports demonstrating a role in neural differentiation (Hirai et al. 1991), cytoskeletal protein turnover (Giancotti et al. 1992), long-term potentiation (del Cerro et al. 1990), and physiological apoptosis (Squier and Cohen 1997; Zalewska 1996; Kampfl et al. 1997; Zhivotovsky et al. 1997).

Under pathological conditions, the disruption of calcium homeostasis, as it occurs in experimental traumatic brain injury and ischemia by excessive exposure to excitatory amino acids, leads to an unphysiological activation of calpains with a strong proteolytic activity and subsequent cleavage and degradation of intracellular proteins and membranes (Kampfl et al. 1997). Extensive cytoskeleton and plasma membrane damage can disrupt the integrity of the cell, cause increased membrane permeability to ions and even macromolecules, compromise transport of essential cell products, and induce signalling cascades, finally mediating secondary neuronal death (Zalewska 1996).

Pharmacological treatment strategies for prevention of neuronal death in vivo

The various processes and pathophysiological changes related to neuronal death after ischemia and trauma as outlined in the first section of this review initiated preclinical and/or clinical putative neuroprotective treatment strategies in humans. Below, we will give an overview focused on the most important classes of neuroprotectants. This information cannot be complete but may serve as a reference for the clinical relevance of basic neuroscience research.

NMDA antagonists

In the group of pharmacological agents known as NMDA antagonists, one can distinguish between noncompetitive NMDA antagonists, for instance binding to the phenylcyclidine (PCP) recognition site of the NMDA receptor, and competitive NMDA blockers. Most of these drugs proved to be effective in animal models of ischemia or trauma, but failed to show significant neuroprotection in humans.

Dizlocipine (MK-801), belonging to the group of noncompetitive antagonists, significantly reduced the infarct size in focal ischemia models in rats, cats, rabbits, and primates (Kochhar et al. 1988; Ozyurt et al. 1988; Park et al. 1988a, 1988b). In global ischemia models, re-

sults were conflicting, particularly when the treatment was started after the onset of ischemia (Gill et al. 1988). In trauma models such as optic nerve lesion, MK-801 led to a complete rescue of the subpopulation of β -ganglion cells in cats, whereas it was not effective, even showing adverse effects, in the rat retina (Russelakis-Carneiro 1996; Schmitt and Sabel 1996). The clinical development of dizolcipine was discontinued as a consequence of safety concerns, particularly psychotic side effects (Koek et al. 1988; Leung and Desborough 1988; Olney et al. 1989; Park et al. 1989; Buchan 1990).

Dextrorphan and dextromethorphan are also noncompetitive NMDA antagonists, interacting with the PCP binding site. In a rabbit model of ischemia, both agents reduced the infarct volume, when given before or after the onset of ischemia (George et al. 1988; Steinberg et al. 1988a, 1988b, 1989). In a small pilot study of ten patients, dextromethorphan did not cause any severe side-effects (Albers et al. 1991), whereas the clinical development of dextrorphan had to be stopped because of similar side effects to those elicited by dizolcipine (Albers et al. 1995).

Cerestat (CNS 1102; Reddy et al. 1994), another noncompetitive NMDA antagonist, significantly reduced infarct volume even when given 15 min after permanent occlusion of the MCA in rats (Meadows et al. 1994). A first dose-finding safety trial with Cerestat in over 60 patients approached neuroprotective dose levels without major side effects (Fisher 1994). A safety and efficacy trial of Cerestat in acute ischemic stroke patients is in progress (Turrini 1996).

Competitive NMDA antagonists include substances such as CGS 19755 (Selfotel), CPP, APH, MDL 100,453 (Boast et al. 1988; Hasegawa et al. 1994; Warkins and Olverman 1998). Selfotel is probably the most extensively studied drug in this group. Phase III clinical trials were terminated because of increased mortality (statistically not significant) in the treatment group and/or psychotic side effects (Wahlgren 1997). Eliprodil, an antagonist binding to the polyamine site of the NMDA receptor, was effective in animal models of ischemia (Gotti et al. 1990). However, a large phase III trial was recently terminated after interim analysis indicated a lack of efficacy (Wahlgren 1997).

Calcium channel antagonists

Opening of voltage-dependent calcium channels contributes largely to intracellular calcium overload during excitotoxic events. Glutamate-mediated depolarization leads to activation of voltage-dependent calcium channels, allowing extracellular calcium to enter the cell along its concentration gradient.

Nimodipine, an antagonist of L-type calcium channels, has been evaluated in controlled randomized trials for neuroprotection in acute ischemia using various treatment regimens. The Intravenous Nimodipine West European Stroke Trial (INWEST) had to be terminated due to

worsened outcome and increased mortality (Wahlgren et al. 1994). Hypotension during intravenous nimodipine treatment might have been the reason for the worse functional outcome compared with placebo. Another study, with patients who had been given nimodipine orally, found a significant 38% reduction of the odds for an unfavorable outcome only in those patients who had received the treatment within the first 12 h after the onset of symptoms (Mohr et al. 1994). The early treatment approach is now being tested in a Dutch study (Very Early Nimodipine Use in Stroke, VENUS; Limburg 1996).

Glutamate release inhibitors

A known endogenous inhibitor of presynaptic EAA release is the nucleoside adenosine, which mediates its neuroprotective effects via four subtypes of G-protein-coupled receptors (Simpson et al. 1992; Olah and Stiles 1995). In the brain, EAA release is reduced predominantly via the A₁ adenosine receptor. Exogenous adenosine receptor agonists and enhancement of endogenous adenosine levels by metabolic inhibitors or uptake inhibitors have been discussed as promising therapeutic options ameliorating excitotoxic neuronal death. Reports reviewing the neuroprotective effects of such compounds following ischemia are numerous (Sweeney 1997). However, severe cardiovascular side effects of receptor agonist treatment such as bradycardia and hypotension have been reported (Sollevi 1986; von Lubitz et al. 1994) indicating that "the possible therapeutic value of treating acute stroke with systemically administered adenosine or one of the currently available metabolically stable analogs is dubious" (Sweeney 1997).

Another drug reducing neurotransmitter release, probably by interaction with presynaptic voltage-sensitive sodium channels, is BW619C89, a derivative of the anticonvulsive lamotrigin, which proved to be neuroprotective in experimental ischemia (Leach et al. 1993; Graham et al. 1994; Smith et al. 1997). Safety and tolerability was recently tested in a small group of stroke patients (Muir et al. 1998). Development of a phase III trial was stopped owing to detrimental effects.

Lubeluzole is a benzothiazole compound that prevents the increase in extracellular glutamate concentrations and inhibits glutamate-induced nitric oxide-related neurotoxicity. Systemically administered lubeluzole reduced infarct size and sensorimotor deficits in animal models of ischemia (De Ryck 1996) and proved to be well tolerated in stroke patients in a dose-dependent manner (De Keyser et al. 1997). A single intravenous injection of 10 mg lubeluzole/day reduced stroke mortality in a phase II study (Diener et al. 1996). The North American phase III trial showed a reduction in mortality and a significant improvement in functional and neurological recovery in the lubeluzole group (Grotta 1997). In general, no such effect was found in the European and Australian phase III trial, but treatment benefit was related to stroke severity with a significant reduction of mortality

only in patients for whom stroke severity was mild to moderate (Diener 1998). A new trial with a modified protocol is in progress. It has to be noted that lubeluzole is the first and, to the authors knowledge, the only neuroprotectant that has led to positive results in acute ischemic stroke in large phase III trials so far.

GABA agonists

γ -Amino-butyric acid (GABA) is the major inhibitory neurotransmitter in the CNS modulating glutamate release via hyperpolarization of the cell membrane, suggesting a neuroprotective action via reversing the excitotoxic cascade (del Zoppo et al. 1997). While baclofen, a GABA_B agonist, was ineffective, muscimol, a GABA_A receptor agonist, as well as clomethiazole, another GABA agonist, proved to be protective in experimental cerebral ischemia (Lyden and Hedges 1992; Sydserff et al. 1995). The latter has already been tested in phase III (the Clomethiazole Acute Stroke Study, CLASS, unpublished data). However, the final analysis was not convincing (Bogousslavsky 1998).

Free radical scavengers

Potential agents reducing free radical damage include superoxide dismutase (SOD), catalase, alpha-tocopherol, glutathione, lazaroids, iron chelators, and phenyl-*t*-butyl-nitrones (PBN).

Tirilazad, a lipid peroxidation inhibitor, has been shown to significantly reduce infarct size in various stroke models (Xue et al. 1992). In clinical trials, however, Tirilazad was ineffective (Haley et al. 1995).

PBNs form stable adducts with various kinds of free radicals. In gerbils and rats, PBN reduced ischemia-induced forebrain edema and loss of hippocampal CA1 neurons (Yue et al. 1992; Sen and Phillis 1993; Cao and Phillis 1994). Excitotoxic lesions in the striatum, produced by injection of NMDA, AMPA, kainate, or malonate, were significantly attenuated by PBN and its derivative S-PBN (Schulz and Beal 1995). Interestingly, S-PBN was found either to add to the neuroprotective effect of the NMDA antagonist MK-801 or potentiate the neurotrophic effect of brain-derived neurotrophic factor (BDNF; Schulz et al. 1995; Klöcker et al. 1998). To our knowledge, there is no clinical trial testing PBN in the treatment of mechanical or ischemic CNS injury yet.

Gangliosides

EAs unequivocally contribute to secondary neuronal death after injury as described above. Blocking downstream pathological events of EAA receptor stimulation without affecting physiological activation offers a therapeutic option avoiding the side-effects of direct receptor antagonism. This property, known as abuse-dependent

antagonism (Manev et al. 1990), was shown for the exogenous monoganglioside GM₁ as a member of a family of glycosphingolipids. In vitro and in vivo treatment with GM₁ or GM₁-L (siagoside) attenuates EAA-related neuronal death in a dose-dependent manner even when administered systemically (for review, see Leon et al. 1990). First clinical trials in stroke patients were initiated in the early 1980s (Bassi et al. 1984; Battistin et al. 1985). However, two large trials were finished in 1994 without convincing evidence of efficacy for this therapeutic approach (Alter et al. 1994; Lenzi et al. 1994).

Neurotrophic factors and other growth factors

Based on the neurotrophic factor hypothesis (Barde 1989), lack of trophic support was suggested to cause secondary neuronal death after neuronal trauma. During the last decade, regulation of mRNA as well as neuroprotective action of neurotrophic factors such as the neurotrophins (NGF, BDNF, NT-3, NT-4/5), basic fibroblast growth factor (bFGF), insulin-like growth factor (IGF), glial-derived neurotrophic factor (GDNF), and ciliary neurotrophic factor (CNTF) was investigated in various models of ischemia and traumatic brain injury in vivo. To give only a few examples, the neurotrophins, CNTF, and IGF-I exhibit neuroprotective action on axotomized mouse motoneurons (Li et al. 1994). Following experimental cerebral or retinal ischemia in rat and mouse, several growth and neurotrophic factors rescued injured neurons, including NGF, BDNF, IGF-I, and FGF (Nakata et al. 1993; Siliprandi et al. 1993; Mattson and Scheff 1994; Unoki and LaVail 1994; Doré et al. 1997; Schabitz et al. 1997; Cuevas et al. 1998; Ferrer et al. 1998; Hicks et al. 1998; Ishimaru et al. 1998). After optic nerve transection, axotomized retinal ganglion cells could be rescued by neurotrophins, GDNF, and other factors (Mey and Thanos 1993; Mansour-Robaey et al. 1994; Peinado-Ramón et al. 1996; Klöcker et al. 1997, 1998). However, there are data suggesting that trophic factors rather delay neuronal death than provide permanent rescue (Naumann et al. 1994; Di Polo et al. 1998; Ishimaru et al. 1998).

Some of the factors mentioned above have already been clinically tested in amyotrophic lateral sclerosis and diabetic neuropathy, unfortunately only with moderate success (for review, see Ochs et al. 1997). A first American and European phase III trial has been launched to treat stroke in humans with bFGF. Neurotrophic effects of neurotrophins following traumatic brain injury in humans have not been investigated yet.

Calpain inhibitors

As stated above, calpains are activated after cerebral ischemia and traumatic brain injury (Bartus et al. 1998). There is an increasing number of studies verifying a neuroprotective effect of calpain inhibitors in vivo. Bartus et al (1994), for example, showed that administration of a

calpain inhibitor within 3 h after occlusion of the MCA (MCAO) in rats reduced the infarct volume by 75%. Following traumatic brain injury, inhibitors of calpains attenuated cytoskeletal protein loss in cortical neurons, leading to a reduced extent of contusion at the injury site (Postmantur et al. 1997). Since no clinical trials with these drugs are reported so far, future studies are needed to qualify this therapeutic approach for use after neuronal injury in humans.

Caspase inhibitors

By means of special synthetic inhibitors, the cascade of caspases can be blocked specifically and irreversibly with excellent neuroprotective effects in vitro (for review, see Martinou and Sadoul 1996; Cohen 1997). Up to now, data applying these promising compounds in vivo have been rare. One of the first in vivo papers in this context was the work of Milligan and coworkers (1995), who showed that caspase inhibition arrests developmental programmed cell death of motoneurons. Effectiveness of caspase inhibition after ischemia with reduced infarct region in mice was demonstrated by Hara et al. (1997). Evidence of caspase-3 as major mediator of neuronal apoptosis (Nicholson and Thornberry 1997) led to therapeutic approaches with a potent inhibitor of caspase 3-like proteases: z-DEVD-cmk (benzyloxycarbonyl-Asp-Glu-Val-Asp-chloromethylketone). This compound proved to be a highly neuroprotective agent in vivo after MCAO in rats (Namura et al. 1998), axotomy of adult rat retinal ganglion cells (Kermer et al. 1998), and fluid percussion trauma (Yakovlev et al. 1997). More studies are necessary to support this therapeutic strategy as a general neuroprotectant in various models of neuronal injury. Subsequently, after optimizing administration, this approach might be very promising in the treatment of cerebral trauma and even neurodegenerative disorders as already discussed elsewhere (Holtzman and Deshmukh 1997).

Immunomodulation and modulation of cell metabolism

After having realised that the brain is an immune-competent organ, a potential neuroprotective role for immune modulators after injury evolved. Indeed, pretreatment of rats with steroids provides protection against hypoxic-ischemic brain damage. The underlying mechanism, however, is unknown (Tuor 1997). Inhibition of protein synthesis, for example, with cycloheximide has been shown to prevent neuronal apoptosis and proved to be neuroprotective in experimental focal cerebral ischemia (Linnik et al. 1993; Ishitani and Chuang 1996). Moreover, tacrolimus (FK506) and cyclosporin, both immunosuppressive agents, were of benefit after ischemia in rats and gerbils (Shiga et al. 1992; Sharkey et al. 1996).

The only immune modulatory strategy that has reached clinical testing so far is the blockade of selected

leucocyte and endothelial cell adhesion receptors by a murine antibody against the intercellular adhesion molecule ICAM-1 (enlimomab). Although the antibody was shown to reduce neurological deficits after embolic stroke in rabbits and rats (Bowes et al. 1993; Zhang et al. 1994), the clinical testing indicated no positive effects when compared with placebo (unpublished data; see Bogousslavsky 1998).

Others

Besides the described neuroprotective treatment strategies, few other approaches have been performed to reduce tissue damage following ischemia in animals and humans that are not acting via pathways described above. *Piracetam*, for instance, increases cyclic adenosine monophosphate in the brain. Heiss et al (1983) found that glucose metabolism in ischemic brain in acute stroke patients may be increased by piracetam. A randomized controlled study found no significant difference in clinical outcome between piracetam and placebo group (De Deyn 1998). Results of a large phase II trial are lacking so far.

Lifarizine is a sodium and calcium channel modulator with neuroprotective potential in experimental ischemia. A phase II trial reported a poorer outcome in the verum group (Squire et al. 1995), so that a phase III trial has been abandoned.

Citicoline raises the possibility of systemically administering cytidine and choline, both essential metabolites for cell membrane repair. Two smaller clinical stroke trials reported safety and efficacy (Tazaki et al. 1988; Clark et al. 1997). The drug is already available in Japan and Europe. No results of a phase III trial are reported.

Ebselen is a lipophilic compound containing selenium and inhibiting lipid peroxidation. Its neuroprotective potential was tested in cats and in rats. Oral treatment of stroke patients in a small study ameliorated the patients' outcome 1 month following stroke (Yamaguchi et al. 1998). A phase III study will follow.

Serotonin receptor agonists have been considered to be neuroprotectants in cerebral ischemia, and opiate receptor antagonists such as *naloxone* have been shown to ameliorate neuronal injury (Hamilton et al. 1985; Andrews et al. 1988). Also *barbiturates* are suggested to be neuroprotective by reducing metabolic demands in the affected tissue. However, larger clinical trials were unsuccessful or are not yet available.

Conclusions

This review shows that significant advances have been made in the recent years in identifying the cellular and molecular mechanisms that underlie secondary neuronal loss after trauma and ischemia. However, the clinical setting and the human conditions are much more complex

than any animal model system available at present. Therefore, a therapeutic strategy possibly needs to be tested in several models and must prove efficacy also after delayed drug administration, which is closer to the clinical situation than online drug administration performed in many model systems. Furthermore, it seems that one single-treatment strategy will not be able to solve the whole problem. Instead, complex combination applications that target several cell death pathways need to be evaluated in the laboratory as well as in the hospital. This will pose major difficulties in clinical trials because of trial design and randomization of patients to treatment arms that have already proven to be not as effective as a single-treatment regimen. Further insight into the molecular regulation pattern of cell survival and death may help to design better targets for a pharmacological or gene therapy in the future.

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