REVIEW

### Locus coeruleus

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Abstract The locus coeruleus (LC) contains norepinephrine (NE)-synthesizing neurons that send diffuse projections throughout the central nervous system. The LC-NE system has a major role in arousal, attention and stress responses. In the brain, NE may also contribute to long-term synaptic plasticity, pain modulation, motor control, energy homeostasis and control of local blood flow. The LC is severely affected in neurodegenerative disorders including Parkinson disease (PD). Involvement of the noradrenergic neurons of the LC precedes that of dopaminergic neurons of the substantia nigra pars compacta and has been increasingly recognized as a potential major contributor to cognitive manifestations in early PD, particularly impaired attention. Abnormal noradrenergic signaling may also potentially contribute to motor manifestations of the disease. This makes the LC-NE system a major contributor to the pathobiology and potential target for therapy of PD.

Keywords Locus coeruleus · Norepinephrine · Parkinson disease

#### Functional anatomy of the locus coeruleus

The locus coeruleus (LC) or A6 group (Dahlstrom and Fuxe 1964), is located in the upper dorsolateral pontine tegmentum and is one of the several noradrenergic cell groups distributed through the brainstem. The LC is the

largest of these groups and its neurons have extensively branched axons that project throughout the neuraxis providing the main source of norepinephrine (NE) to the neocortex, hippocampus, amygdala, thalamus, cerebellum and spinal cord (Lindvall and Bjorklund 1974; Fig. 1). The LC neurons have different morphologies and neurochemical characteristics. Most neurons are predominantly medium-sized cells with a fusiform and polar morphology and three or four long thin dendrites (Chan-Palay and Asan 1989; Patt and Gerhard 1993),. In addition, the caudal and ventrolateral regions of the LC, including the subcoeruleus region, are intermingled with smaller spindle-shaped pigmented neurons; these caudal noradrenergic cells of the subcoeruleus region have different targets in the brainstem and spinal cord than those of the more rostrally located LC neurons (Westlund and Coulter 1980). The estimated number of bilateral LC neurons in the adult human brain is about 45,000-50,000 cells (Sharma et al. 2010). Neurons of the LC are identified by their immunoreactivity for tyrosine hydroxylase and dopamine-\beta-hydroxylase, the two enzymes critically involved in NE biosynthesis. Locus coeruleus neurons express a variety of neuropeptides including neuropeptide Y, somatostatin and cholecystokinin. Some neurons in the human subcoeruleus region also express galanin (Miller et al. 1999).

#### Efferent projections of the LC-NE system

Mature LC noradrenergic neurons have relatively sparse dendritic ramifications but their axons have extensive bifurcations and travel long distances within the cortical mantle potentially innervating multiple cortical domains (Foote and Morrison 1987). Norepinephrine may be released both at typical synapses and at non-synaptic

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release sites; extrasynaptic NE mediates paracrine effects on neurons, glial cells and microvessels (Waterhouse et al. 1998). Despite this widespread distribution, noradrenergic innervation has a differential distribution in the cerebral cortex. In humans, the most extensive innervation is in the somatosensory and motor cortices (Gaspar et al. 1989; Morrison et al. 1982) and in association areas including the prefrontal and parietal cortices (Lewis and Morrison 1989). Telencephalic efferents from the LC also innervate the medial prefrontal and anterior cingulate cortex, entorhinal cortex, hippocampus, subiculum and amygdala (Gaspar et al. 1989; Gompf et al. 2010; Leichnetz 1986; Radley et al. 2008; Sadikot and Parent 1990). Other targets include the basal forebrain cholinergic groups including those in the medial septum, diagonal band and nucleus basalis of Meynert. There is also heavy innervation of the thalamus, particularly the pulvinar/lateral posterior complex, periventricular, anteroventral, ventral posterolateral and

reticular nucleus (Morrison and Foote 1986), as well as the midline, intralaminar and mediodorsal thalamic nuclei (Vogt et al. 2008). The LC also innervates the hypothalamus, particularly the paraventricular and supraoptic nuclei (Ginsberg et al. 1993). Other projections of the LC target the superior colliculus (Morrison and Foote 1986) and cerebellum (Nystrom et al. 1972). Tracing and immunocytochemical studies showed that the descending projections from the LC and subcoeruleus region have different targets in the brainstem and spinal cord (Westlund and Coulter 1980). Whereas the LC primarily projects to the parasympathetic neurons of the dorsal motor nucleus of the vagus, nucleus ambiguus and sacral spinal cord, the descending subcoeruleus pathway projects to sympathetic preganglionic neurons and somatic cranial nerve nuclei. Both pathways have widespread projections to the brainstem reticular formation and dorsal horn of the spinal cord (including the marginal zone containing spinothalamic neurons (Westlund and Craig 1996), the region surrounding the central canal and the ventral horn (Westlund and Coulter 1980; Westlund and Craig 1996).

#### Inputs to the LC

Neurons of the LC receive a wide variety of afferent inputs from several sources (Fig. 1). Forebrain afferents include glutamatergic inputs from the prefrontal and anterior cingulate cortices (Arnsten and Goldman-Rakic 1984), corticotropin-releasing hormone containing inputs from the central nucleus of the amygdala (Pammer et al. 1990) and hypocretin/orexin inputs from the posterior lateral hypothalamus (Downs et al. 2007). Other brain regions projecting to the LC include the bed nucleus of the stria terminalis, preoptic region, periaqueductal gray, midbrain pontine reticular formation, pedunculopontine tegmental nucleus and cerebellum. The LC also receives excitatory inputs from the C1 area of the rostral ventrolateral medulla (Holloway et al. 2013) and is strongly interconnected with the dorsal raphe nucleus (Kim et al. 2004). Lamina I of the dorsal horn provides nociceptive inputs to the LC (Westlund and Craig 1996).

## Biochemistry and effects of the central noradrenergic system

#### Biosynthesis and metabolism of norepinephrine

Norepinephrine is synthesized from tyrosine by the ratelimiting enzyme tyrosine hydroxylase yielding dopamine, which is then targeted by dopamine- $\beta$ -hydroxylase within the synaptic vesicle to yield NE. Both dopamine and NE are transported into synaptic vesicles via the vesicular monoamine transporter 2. The synaptic effects of norepinephrine are terminated by its uptake via the presynaptic norepinephrine transporter (NET) followed by its metabolism by mitochondrial monoamine oxidase A and cytosolic catechol-O-methyltransferase. Of note, the NET is also the main transporter responsible for clearance of dopamine in the prefrontal cortex, as dopaminergic terminals in this region express low levels of the dopamine transporter (Moron et al. 2002).

#### Adrenergic receptors

The effects of NE are mediated by three families of Gprotein coupled receptors,  $\alpha_1$ ,  $\alpha_2$  and  $\beta$ , each consisting of several subtypes. There is a differential distribution of adrenergic receptors in the different targets of LC projections. The  $\alpha_1$  and  $\beta$  receptors are present primarily at postsynaptic sites. The  $\alpha_1$  receptors are coupled to the phospholipase C/inositol triphosphate/protein kinase C pathway and in general mediate excitatory effects. The  $\beta$  receptors (including  $\beta$ 1 and  $\beta$ 2 subtypes) are positively coupled to adenylyl cyclase, increasing cyclic adenosine monophosphate (cAMP), which affects synaptic excitability and plasticity both directly and via protein kinase Atriggered cascades. The  $\alpha_2$  receptors are located both preand postsynaptically. They are negatively coupled to adenylyl cyclase, activate K<sup>+</sup> currents (thereby reducing neuronal excitability) and inhibit presynaptic calcium (Ca<sup>2+</sup>) channels, thereby reducing neurotransmitter release. Alpha 2 receptors in somatodendritic and presynaptic axon domains of LC neurons act as inhibitory autoreceptors. Whereas in general  $\alpha^2$  receptors have an inhibitory function, their activation increases the excitability of prefrontal cortical networks, by inhibiting hyperpolarization-gated cAMP-regulated cation channels in pyramidal neurons (Arnsten et al. 2012).

Via these multiple receptors and transduction pathways, NE exerts potent neuromodulatory actions. Its primary effect is to reduce the baseline activity and increase the responsiveness of its target neurons to novel synaptic stimuli. Norepinephrine also facilitates synaptic plasticity, including long-term potentiation, in the neocortex, hippocampus, amygdala and cerebellum (Hagena et al. 2016; Lim et al. 2010; Lippiello et al. 2015). In addition, NE released from extrasynaptic sites may diffuse in the extracellular fluid and influence neurons, astrocytes and microvessels via volume transmission. For example, NE activates glycogen metabolism and calcium signaling in astrocytes and contributes to the control of local blood flow throughout the sleep-wake cycle (O'Donnell et al. 2015). The LC-NE system also regulates expression of inflammatory cytokines and nitric oxide in astrocytes and microglia, which may have implications in mechanisms of dopamine neuronal loss in PD (Yao et al. 2015).

#### Physiology of LC neurons

#### Tonic and phasic firing of LC neurons

Locus ceruleus neurons fire in two distinct modes: tonic and phasic (Aston-Jones and Cohen 2005; Usher et al. 1999) and the switch between these modes regulates the different behavioral states of the individual. Tonic baseline activity characterized by a sustained and highly regular discharge pattern (2–5 Hz) is related to the arousal and waking state; this tonic LC discharge decreases with reduced arousal and disengagement from the environment and ceases during REM sleep. During focused attention, LC neurons transiently interrupt their tonic firing and respond with a phasic mode to task-relevant stimuli; this phasic discharge allows focused task performance by filtering of irrelevant stimuli and is closely associated with highly accurate behavioral responses (Aston-Jones and Cohen 2005). In contrast, high levels of tonic LC discharge, for example in response to stress, elicit behavioral arousal and exploratory behavior and promote distractibility and increased vigilance for irrelevant environmental events (Aston-Jones and Cohen 2005; Berridge and Waterhouse 2003).

#### Synaptic control of LC neurons

The LC neurons are electrotonically coupled and both their electrophysiological activity and strength of coupling are modulated by a variety of synaptically released neurotransmitters (Benarroch 2009). Norepinephrine released locally from the soma of LC neurons inhibits neuronal activity via somatodendritic  $\alpha$ 2 autoreceptors. Glutamatergic inputs originate primarily from the prefrontal cortex and activate phasic firing of LC neurons. Inputs from the amygdala, including those containing corticotropin-releasing hormone and from orexin/hypocretin neurons of the lateral hypothalamus facilitate tonic discharge of LC neurons. Inputs from the C1 area of the rostral ventrolateral medulla activate LC neurons. Serotonergic inputs from the dorsal raphe may have either excitatory (5-HT<sub>2</sub> receptors) or inhibitory (5-HT<sub>1</sub> receptors) effects. The LC receives GABAergic or opioidergic inputs

from several sources, including local neurons; these neurotransmitters elicit both postsynaptic and presynaptic inhibition of LC neurons.

#### Effects of the ascending LC-NE system

The LC-NE system has a critical role in attention, stress response, emotional memory and control of motor, sensory and autonomic functions (Fig. 2).

#### Attention

Noradrenergic inputs from the LC are particularly dense in the prefrontal and parietal cortices, which are involved in mechanisms of attention (Aston-Jones and Cohen 2005) and behavioral arousal (Berridge et al. 1997). The phasic firing of LC neurons, which occurs in response to novel salient stimuli or to changes in value of a particular stimulus, is critical for stimulus-induced shifts of attention and cognitive flexibility (Aston-Jones and Cohen 2005; Vazey and Aston-Jones 2012). Aston-Jones and Cohen (2005) proposed that the rapid change from a tonic to a phasic LC leads to a switch from an exploratory state to a task-specific state that facilitates focused attention and accurate task performance. Phasic LC discharge may also act as an interruption signal in response to an unexpected change in the environment within the context of a task (Dayan



and Yu 2006) and suppress activity in a ventral frontoparietal attention network to prevent reorienting attention to distracting events (Corbetta et al. 2008). The activity of the LC thus may facilitate the dynamic reorganization of neural networks for rapid behavioral adaptation to a changing environment (Bouret and Sara 2005), which is required both for the collection and processing of salient sensory information (Berridge and Waterhouse 2003).

The effects of the LC-NE system on attention reflect the potent modulatory influence of NE in the prefrontal cortex, both directly and via interactions with dopaminergic inputs from the midbrain (Arnsten et al. 2012; Xing et al. 2016). There is an inverted U-curve relationship between LCnoradrenergic activity and prefrontal cortex function. Moderate levels of NE, reflecting transient phasic firing of LC neurons, facilitate working memory primarily via  $\alpha_2$  receptors; in contrast, high NE concentrations reflecting increased tonic LC firing (as occurs during stress), impair prefrontal cortex function via  $\alpha 1$  receptors (Arnsten et al. 2012; Aston-Jones and Cohen 2005; Berridge and Waterhouse 2003). Consistent with these findings, drugs that activate  $\alpha_2$ receptors, such as guanfacine, improve attention in patients with right hemispheric lesions causing visual neglect (Malhotra et al. 2006) or other disorders affecting attention.

#### Stress

The LC-NE system has a major role in behavioral and autonomic responses to stress (Chrousos 2009; Roozendaal and McGaugh 2011). Experimental evidence indicates that stressrelated activity of orexin neurons of the perifornical hypothalamus involves activation of orexin 1 receptors in the LC (Johnson et al. 2015). In the context of stress, the LC also modulates the interaction between the amygdala and hippocampus, thereby promoting emotional memory (Strange and Dolan 2004). The participation of the LC in stress response primarily involves activation of  $\beta$  receptors in the basolateral amygdala (Roozendaal and McGaugh 2011). The  $\beta$  adrenergic receptor blocker propranolol alleviates anxiety symptoms and prevents development of posttraumatic stress disorder; functional neuroimaging studies confirm that these anxiolytic effects are linked to modulation of basolateral amygdala activity (Hurlemann et al. 2010). The LC also participates in autonomic responses to stress, including tachycardia. This effect in part depends on inhibition of cardiovagal neurons of the nucleus ambiguus triggered by  $\alpha 1$  receptor- and  $\beta$ receptor-mediated activation of local GABAergic or glycinergic neurons (Wang et al. 2014).

#### Emotional memory and behavioral arousal

Like other monoaminergic systems, the LC-NE system also contributes to the maintenance of arousal via its effects on thalamocortical circuits, where both acetylcholine and monoamines inhibit rhythmic burst and promote tonic mode firing of thalamocortical neurons (McCormick 1992). The LC is one of the main effectors of the orexin/hypocretin neurons of the lateral hypothalamus involved in maintenance of the wake state and inhibition of REM sleep (Carter et al. 2009). It has been proposed that various levels of LC tonic activity promote the emergence of four global states covering the whole spectrum of brain activation (sleep, quite wakefulness, goal-driven attention and response to stress) through differential activation of adrenergic receptors with high ( $\alpha$ 2), intermediate ( $\alpha$ 1) and low ( $\beta$ ) affinity in their targets (Atzori et al. 2016).

#### Effects on basal ganglia and cerebellar circuits

Norepinephrine may differentially affect the activity in basal ganglia circuits through both presynaptic and postsynaptic mechanisms. For example, NE acting via a receptors modulates dopamine release in the striatum (Weitemier and McHugh 2016). Neurons of the subthalamic nucleus (STN) express both  $\alpha 1$  and  $\alpha 2$  receptors, which modulate their firing pattern and affect locomotor activity (Belujon et al. 2007). Firing of STN neurons depends on the interplay between their intrinsic electrophysiological properties, glutamatergic inputs from the motor cortex, inhibitory GABAergic inputs from the globus pallidus externus and modulatory effects of dopamine released from midbrain afferents. Some studies indicate that activation of presumably presynaptic  $\alpha 2$  receptors promotes STN burst firing and leads to locomotor deficits (Belujon et al. 2007; Delaville et al. 2012). In contrast, activation of  $\alpha 1$  receptors increases the firing frequency but not burst activity of STN neurons in vitro (Arcos et al. 2003; Delaville et al. 2012). Activation of adrenergic receptors in the STN may therefore affect the firing pattern of STN neurons through both presynaptic or postsynaptic effects. Norepinephrine also exerts complex effects in the cerebellum via different receptor subtypes (Schambra et al. 2005). For example, NE affects the spontaneous activity of Purkinje cells by enhancing the inhibitory GABAergic inputs from interneurons in the molecular layer (Guo et al. 2016); NE also produces  $\alpha 1$  receptor- and  $\alpha - 2$ receptor-mediated depression and B2 receptor-mediated potentiation at the parallel fiber-Purkinje cell synapse (Lippiello et al. 2015), whereas it decreases the probability of glutamate release at the climbing fiber-Purkinje cell synapse (Carey and Regehr 2009).

#### Neuroprotection

Studies in vitro and in experimental models indicate that NE exerts neuroprotective effects through various mechanisms. These include  $\alpha 2$  receptor-mediated modulation of NMDA (N-methyl-D-aspartate) receptor function (Dong et al. 2008), increased production glutathione (Madrigal et al. 2007),

reduction of intracellular oxidative stress (Jhang et al. 2014) and inhibition of microglial activation through regulation of production of cytokines (Yao et al. 2015) and nicotinamide adenine diphosphate oxidase (Jiang et al. 2015). Norepinephrine also promotes survival pathways by increasing expression of survival molecules (Patel et al. 2010) and directly activating brain derived neurotrophic factor tyrosine kinase B receptors (Liu et al. 2015),

# Involvement of the locus coeruleus in parkinson disease

#### Neuropathological evidence

Lewy pathology and neuronal loss in the LC are early and prominent findings in PD (Braak et al. 2003; Brunnstrom et al. 2011; German et al. 1992; Halliday et al. 1990; McMillan et al. 2011; Seidel et al. 2015; Zarow et al. 2003; Fig. 3). Degeneration of the LC occurs at neuropathological stage 2 of Braak et al. (2003) together with other brain nuclei involved in setting the behavioral state (such as the lower raphe and paragigantocellular nucleus) and precedes both

Fig. 3 Involvement of the locus coeruleus in Parkinson disease. a Histological section showing normal locus coeruleus neurons as identified by tyrosine hydroxylase (TH) immunostaining. b Topographical relationship between the locus coeruleus, dorsal raphe and median raphe. c Loss of TH immunoreactive neurons in the locus coeruleus in a patient with Parkinson disease. d Accumulation of  $\alpha$ -synuclein ( $\alpha$ -SYN) immunoreactive Lewy bodies and Lewy neurites in locus coeruleus neurons in Parkinson disease. Bar 25 µm

Control (TH)

degeneration of dopaminergic neurons of the substantia nigra pars compacta (SNc; Del Tredici et al. 2002) and motor symptoms in PD (Del Tredici and Braak 2012). Consistent with loss of LC neurons, there is loss of noradrenergic innervation of several targets of the LC-NE system (Pifl et al. 2012).

#### Vulnerability of LC neurons

Noradrenergic neurons of the LC share several features with the dopaminergic neurons of the SNc; these features render these monoaminergic cells vulnerable to neurodegeneration. They are both pigmented neurons that contain neuromelanin and have the enzymatic machinery for catecholamine biosynthesis and metabolism. Both enzymatic metabolism and autoxidation of catecholamines yield products leading to oxidative stress (Zucca et al. 2015). The NE neurons of the LC, like dopaminergic neurons of the ventral tier of the SNc, express  $Ca_v 1$  (L-type) channels responsible for somatodendritic  $Ca^{2+}$ oscillations, which underlie their spontaneous spiking. However,  $Ca^{2+}$  influx also predisposes to mitochondrial oxidative stress (Chan et al. 2010; Sanchez-Padilla et al. 2014). Noradrenergic LC neurons may be particularly susceptible to neurodegeneration in PD, as they express not only  $Ca_v 1$ - but

### Parkinson disease (TH)



also Ca<sub>v</sub>3 (T)-type channels, which contribute to their pacemaking activity (Matschke et al. 2015). This may conceivably increase Ca<sup>2+</sup>-triggered mitochondrial stress in LC neurons. Studies of the 6-hydroxdopamine (6-OHDA) rat model of PD showed increased and irregular firing of LC neurons after SNc lesions (Wang et al. 2009); this may also contribute to Ca<sup>2+</sup> overload and mitochondrial dysfunction. Activation of the mitochondrial-associated apoptotic pathway, reflected by apoptosome formation and caspase 9 activation, occurs in both the LC and the SNc in patients with PD (Kawamoto et al. 2014). Many NE metabolites contribute to the production of neuromelanin in the LC (Wakamatsu et al. 2015). Whereas neuromelanin may have an initial neuroprotective anti-oxidant effect by sequestering free irons, when released from degenerating neurons neuromelanin may activate microglia and trigger neuronal death, thereby starting a selfsustained mechanism of neurodegeneration and neuroinflammation (Zucca et al. 2015). Like in the case of SNc dopaminergic neurons, reduced vesicular storage of catecholamines due to reduced expression of the vesicular monoamine transporter 2 leads to increased levels of catecholamines and their metabolites in the cytosol, which may contribute to progressive degeneration of LC neurons in PD (Taylor et al. 2014). Accumulation of free NE may also reflect upregulation of presynaptic NET, as shown with single-photon computer emission tomography using FP-CIT ( $[^{123}I]$  N- $\omega$ fluoropropyl-2 $\beta$  carbomethoxy-3 $\beta$ -(4-iodophenyl) tropane) in patients with early stage PD (Isaias et al. 2011). Proteome studies of the LC in PD patients also showed a differential expression of proteins involved in maintenance of intracellular Ca<sup>2+</sup> homeostasis, oxidative stress, proteostasis, misfolding, cytoskeletal regulation and neuroinflammation compared to controls (van Dijk et al. 2012).

#### Effects of LC lesions in experimental models of PD

In transgenic mice expressing the A53T mutant of human  $\alpha$ synuclein, there was an age-dependent reduction of tyrosine hydroxylase-immunoreactive terminals and levels of NE (but not dopamine) in the striatum, olfactory bulb and spinal cord; this would indicate that the LC is more vulnerable than the SNc system to the toxic effects of aberrant  $\alpha$ -synuclein (Sotiriou et al. 2010). Studies in transgenic mice also showed that overexpression of wild-type or mutant-  $\alpha$ -synuclein interferes with the cAMP/PKA-dependent transcriptional activation of dopamine-β-hydroxylase in LC neurons (Kim et al. 2014). Studies on MPTP (1-methyl-4-phenyl-1,2,3,.6 tetrahydropyridine)-induced parkinsonism in monkeys show a 30-40% neuronal loss in the LC and reduced noradrenergic innervation of the dopaminergic groups in the ventral tegmental area, retrorubral field and dorsal (but no ventral) tier of the SNc, as well as reduced noradrenergic innervation of the STN (Masilamoni et al. 2016).

Loss of noradrenergic LC neurons potentiates neurodegeneration in midbrain dopaminergic neurons in the 6-OHDA model in rats (Srinivasan and Schmidt 2003). Likewise, knockout of the DBH gene encoding dopamine-\u03b3-hydroxylase results in more severe dopaminergic cell loss and motor manifestations in animal models of PD (Rommelfanger et al. 2007). In contrast, pharmacological or genetic blockade of NET or administration of the  $\alpha_2$  receptor agonist clonidine protects dopaminergic neurons (Rommelfanger and Weinshenker 2007). Striatal dopamine turnover is reduced in  $\alpha_{2C}$  receptor knockout mice and increased in  $\alpha_{2C}$  receptor transgenic mice. These findings are consistent with the evidence discussed above, indicating that NE, in part via  $\alpha_2$  receptors, exerts neuroprotective effects via several mechanisms, including prevention of oxidative stress and promotion of cell survival pathways (Liu et al. 2015; Patel et al. 2010).

The effects of the LC-NE system in the motor manifestations of PD are yet to be fully understood and likely to be complex. Studies on experimental PD models showed that LC lesions promote levodopa-induced dyskinesia (Marin et al. 2008; Perez et al. 2009; Shin et al. 2014) and reduce the efficacy of levodopa therapy (Ostock et al. 2014). In experimental models of PD, neurons of the STN exhibit increased activity with a burst pattern that is related to motor deficits, primarily akinesia (Pan et al. 2016). The generation of STN neuron bursts requires deinactivation of Ca<sub>v</sub>3.1 (T)-type calcium channels in the setting of activation of NMDA receptors (Pan et al. 2016). GABAergic inputs from the globus pallidus externus may promote burst firing by producing hyperpolarization of STN neurons and thus deinactivating their T channels. Several studies have indicated that  $\alpha 2$  receptors promote STN burst firing and lead to locomotor deficits (Belujon et al. 2007; Delaville et al. 2012). Local infusion of clonidine, a  $\alpha 2$  receptor agonist, induced a switch from a tonic to burst pattern, which was associated with reduced locomotor activity in both sham and 6-OHDA rats (Delaville et al. 2012). The  $\alpha 2$  receptor antagonist idazoxan prevented STN burst firing and improved locomotor activity elicited by adrenergic agonists (Belujon et al. 2007). These findings would be consistent with studies showing that  $\alpha 2$  receptor antagonists relieve parkinsonian manifestations, extend the duration of levodopa responses and improve motor coordination in experimental models of PD (Bezard et al. 1999; Domino et al. 2003; Philippens et al. 2014). The mechanisms underlying these latter findings are uncertain and apparently contradictory to the evidence that NE, acting via  $\alpha 2$  receptor receptors, protects against dopamine cells loss. This may reflect fundamental differences between systemic effects, direct synaptic effects and indirect neuroprotective effects of pharmacological manipulations of the LC-NE system. For example, systemically administered  $\alpha 2$  receptor antagonists may increase LC firing by blocking inhibitory autoreceptors in LC neurons and thus induce NE release at their targets. However, at the level of the SNc, activation of  $\alpha 2$  receptors may be neuroprotective, for example by reducing release of glutamate or direct inhibition of oxidative stress and inflammatory pathways. At the level of the STN, presynaptic  $\alpha 2$  receptor activation could potentially promote burst firing by preventing local dopamine release; evidence in other circuits suggests that presynaptic  $\alpha 2$ receptors may also prevent release of GABA or glutamate. Whereas inhibition of glutamate release or NMDA receptor activation may reduce abnormal burst firing in STN neurons, inhibition of GABA release from pallido-STN afferents could exert distinct effects depending on the functional state of the STN. For example, excessive GABA release would promote hyperpolarization and thus burst firing of STN neurons in response to glutamatergic input. In this case,  $\alpha 2$  receptor agonists, rather than antagonists, would prevent burst activity in the STN. Consistent with this possibility, the  $\alpha 2$  receptor agonist dexmedetomidine decreased burst activity in the STN, as recorded in the setting of deep brain stimulation in PD patients (Krishna et al. 2015).

#### Clinical correlations of LC involvement in PD

#### **Cognitive manifestations**

Loss of NE innervation of forebrain targets of the LC may have a major role in the cognitive manifestations of PD (Del Tredici and Braak 2013; Lewitt 2012; Rommelfanger and Weinshenker 2007; Vazey and Aston-Jones 2012). Cognitive dysfunction may occur at early stages of disease, before development of motor symptoms. One early manifestation is executive dysfunction, particularly cognitive flexibility, which depends on prefrontal cortex function and its substantially affected by the LC-NE system (Vazey and Aston-Jones 2012). For example, patients with PD have difficulties in tests such as the Wisconsin Card Sorting Task (Lees and Smith 1983; Owen et al. 1993), which depends on normal activity of the prefrontal cortex (Konishi et al. 2010; Miller et al. 2013; Sawada et al. 2012). Patients with early stage PD also have disproportional impairment in tasks requiring behavioral shift (Downes et al. 1989), which depends on LC-NE innervation of the prefrontal cortex (McGaughy et al. 2008). In the prefrontal cortex, noradrenergic inputs acting via  $\alpha 2A$ receptors strengthen synaptic efficacy and increase dynamic network connectivity and firing, whereas optimal levels of dopaminergic D1 receptor activation refine mental representations (Arnsten et al. 2012). Whereas loss of dopaminergic innervation of the prefrontal cortex can also impair attention (Chudasama and Robbins 2006) in PD, these dopaminergic inputs originate in the dorsal tier of the SNc and ventral tegmental area, which are spared in initial stages of disease (Fu et al. 2016). However, these

midbrain dopaminergic areas receive NE inputs from the LC (Masilamoni et al. 2016), and thus their noradrenergic denervation may indirectly affect their function. Furthermore, loss of NE terminals in the prefrontal cortex may reduce dopamine uptake, which mainly depends on NET activity in this region, thereby affecting local dopamine levels and preventing optimal activation of its receptors (Moron et al. 2002). This may explain in part why NET inhibitors such as atomoxetine improve manifestations of prefrontal lobe dysfunction, such as impulsivity, in PD (Kehagia et al. 2014; Ye et al. 2015).

#### Depression

Indirect evidence, including the beneficial effect of drugs that inhibit NE re-uptake, point to a role of the LC-NE system in mechanisms of depression and anxiety in PD (Ehgoetz Martens and Lewis 2016; Remy et al. 2005; Ressler and Nemeroff 2001). However, studies in elderly patients without PD show no consistent relationship between the magnitude of LC neuronal loss and depressive symptoms (Syed et al. 2005; Wilson et al. 2013). In contrast, studies in patients without PD show that depression appears linked to loss of dopaminergic neurons in the ventral tegmental area (Wilson et al. 2013). This is consistent with the beneficial effects of pramipexole in the management of depression in PD patients (Seppi et al. 2011).

#### Motor symptoms

In PD, there is loss of noradrenergic innervation in nuclei of the motor thalamus (pallidonigral and cerebellar territories), as well as in associative, limbic and intralaminar thalamic regions (Pifl et al. 2012). Loss of NE innervation may contribute to the abnormal thalamocortical neuron discharge pattern, with increased bursting and oscillatory activity; this may perturb the faithful transfer of thalamic information from the basal ganglia and cerebellum to the cortex. Consistent with this possibility, there is a reported case of unilateral rest tremor associated with a contralateral lesion of the LC region (Mevawalla et al. 2009). It has been hypothesized that excessive  $\alpha 2$  receptor activation may increase abnormal firing of the STN associated with motor deficits by preventing GABA release from pallido-subthalamic afferents (Belujon et al. 2007). However, the effects of GABA on the pattern of STN firing are complex, as discussed above. Early studies show that the  $\alpha 2$  receptor antagonist idazoxan may improve bradykinesia and rigidity in patients with PD (Delaville et al. 2011; Rascol et al. 2001). However, the potential benefits of manipulating the noradrenergic system for the management of motor manifestations of PD remain to be determined.

#### Neuroimaging of the locus coeruleus in PD

The locus coeruleus can be clearly identified on melaninbased magnetic resonance imaging. Several studies indicate progressive loss of the LC signal, in parallel to that of the dopaminergic signal in PD (Chen et al. 2014; Isaias et al. 2016; Keren et al. 2015; Ohtsuka et al. 2013, 2014; Schwarz et al. 2016). This is consistent with evidence of progression of monoaminergic dysfunction as assessed using positron emission tomography (Pavese et al. 2011). Neuromelanin-sensitive imaging also showed that a reduced signal in the locus coeruleus/subcoeruleus complex was more severe in PD patients with REM sleep behavior disorder (RBD) than in those without RBD (Garcia-Lorenzo et al. 2013). A reduced neuromelanin signal in this region was also reported in idiopathic RBD cases (Ehrminger et al. 2016). However, based on experimental studies, RBD is thought to reflect loss of glutamatergic inputs from the subcoeruleus region activating GABA/glycinergic neurons in the medulla oblongata and/or spinal cord but not loss of NE innervation to these regions (Luppi et al. 2013).

#### Conclusions

The LC/NE system is particularly vulnerable to neurodegeneration and is affected early in the course of PD. Experimental evidence indicates that early loss of noradrenergic inputs from the LC may contribute to neurodegeneration of dopaminergic neurons and can be responsible for some non-motor manifestations of the disease, including prefrontal cortex dysfunction. Whereas the precise role of the LC/NE system in the motor manifestations of PD remains to be better understood, all this evidence provides the basis for pharmacological approaches that target both the noradrenergic and dopaminergic systems in PD.

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