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On planar graphs of uniform polynomial growth

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Abstract

Consider an infinite planar graph with uniform polynomial growth of degree $d > 2$. Many examples of such graphs exhibit similar geometric and spectral properties, and it has been conjectured that this is necessary. We present a family of counterexamples. In particular, we show that for every rational $d > 2$, there is a planar graph with uniform polynomial growth of degree d on which the random walk is transient, disproving a conjecture of Benjamini (Coarse Geometry and Randomness, Volume 2100 of Lecture Notes in Mathematics. Springer, Cham, 2011). By a well-known theorem of Benjamini and Schramm, such a graph cannot be a unimodular random graph. We also give examples of unimodular random planar graphs of uniform polynomial growth with unexpected properties. For instance, graphs of (almost sure) uniform polynomial growth of every rational degree $d > 2$ for which the speed exponent of the walk is larger than $1/d$, and in which the complements of all balls are connected. This resolves negatively two questions of Benjamini and Papasoglou (Proc Am Math Soc 139(11):4105–4111, 2011).

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Contents

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1 Introduction

Say that a graph G has *uniform polynomial growth of degree d* if the cardinality of all balls of radius r in the graph metric lie between cr^d and Cr^d for two absolute constants $C > c > 0$, for every $r > 0$. Say that a graph has *nearly-uniform polynomial growth of degree d* if the cardinality of balls is trapped between $(\log r)^{-C} r^d$ and $(\log r)^C r^d$ for some universal constant $C \geq 1$.

Planar graphs of uniform (or nearly-uniform) polynomial volume growth of degree $d > 2$ arise in a number of contexts. In particular, they appear in the study of random triangulations in 2D quantum gravity [1] and as combinatorial approximations to the boundaries of 3-dimensional hyperbolic groups in geometric group theory (see, e.g., [8]).

When the dimension of volume growth disagrees with the topological dimension, one sometimes witnesses certain geometrically or spectrally degenerate behaviors. For instance, it is known that random planar triangulations of the 2-sphere have nearly-uniform polynomial volume growth of degree 4 (in an appropriate statistical, asymptotic sense) [3]. The distributional limit (see Section 1.1.1) of such graphs is called the uniform infinite planar triangulation (UIPT). But this 4-dimensional volume growth does not come with 4-dimensional isoperimetry: With high probability, a ball in the UIPT of radius r about a vertex v can be separated from the complement of a $2r$ ball about v by removing a set of size $O(r)$. And, indeed, Benjamini and Papasoglu [9] showed that this phenomenon holds generally: such annular separators of size $O(r)$ exist in all planar graphs with uniform polynomial volume growth.

Similarly, it is known that diffusion on the UIPT is *anomalous*. Specifically, the random walk on the UIPT is almost surely subdiffusive. In other words, if $\{X_t\}$ is the random walk and d_G denotes the graph metric, then $\mathbb{E}\, d_G(X_0, X_t) \leq t^{1/2-\varepsilon}$ for some $\varepsilon > 0$. This was established by Benjamini and Curien [6]. In [14], it is shown that on *any* unimodular random planar graph with nearly-uniform polynomial growth of degree $d > 3$ (in a suitable statistical sense), the random walk is subdiffusive. So again, a disagreement between the dimension of volume growth and the topological dimension results in a degeneracy typical in the geometry of fractals (see, e.g., [4]).

Finally, consider a seminal result of Benjamini and Schramm [10]: If (G, ρ) is the local distributional limit of a sequence of finite planar graphs with uniformly bounded degrees, then (G, ρ) is almost surely recurrent. In this sense, any such limit is spectrally (at most) two-dimensional. This was extended by Gurel-Gurevich and Nachmias [12] to unimodular random graphs with an exponential tail on the degree

of the root, making it applicable to the UIPT. Benjamini [7] has conjectured that this holds for every planar graph with uniform polynomial volume. We construct a family of counterexamples. Our focus on rational degrees of growth is largely for simplicity; suitable variants of our construction should yield similar results for all real $d > 2$ (see Remark 3.6).

Theorem 1.1 *For every rational $d > 2$, there is a* transient *planar graph with uniform polynomial growth of degree d.*

Conversely, it is well-known that *any graph* with growth rate $d \leq 2$ is recurrent. The examples underlying Theorem 1.1 cannot be unimodular. Nevertheless, we construct unimodular examples addressing some of the issues raised above. Angel and Nachmias (unpublished) showed the existence, for every $\varepsilon > 0$ sufficiently small, of a unimodular random planar graph (G, ρ) on which the random walk is almost surely diffusive, and which almost surely satisfies

$$\lim_{r\to\infty} \frac{\log |B_G(\rho, r)|}{\log r} = 3 - \varepsilon.$$

Here, $B_G(\rho, r)$ is the graph ball around ρ of radius r. In other words, r-balls have an asymptotic growth rate of $r^{3-\varepsilon}$ as $r \to \infty$.

The authors of [9] asked whether in planar graphs with uniform growth of degree $d \geq 2$, the speed of the walk should be at most $t^{1/d+o(1)}$. We recall the following weaker theorem.

Theorem 1.2 ([14]). *Suppose (G, ρ) is a unimodular random planar graph and G almost surely has uniform polynomial growth of degree d. Then:*

$$\mathbb{E}\left[d_G(X_0, X_t) \mid X_0 = \rho\right] \lesssim t^{1/\max(2,d-1)}.$$

We construct examples where this dependence is nearly tight.

Theorem 1.3 *For every rational $d \geq 2$ and $\varepsilon > 0$, there is a constant $c(\varepsilon) > 0$ and a unimodular random planar graph (G, ρ) such that G almost surely has uniform polynomial growth of degree d, and*

$$\mathbb{E}\left[d_G(X_0, X_t) \mid X_0 = \rho\right] \geq c(\varepsilon) t^{1/(\max(2,d-1)+\varepsilon)}.$$

Finally, let us address another question from [9]. In conjunction with the existence of small annular separators, the authors asked whether a planar graph with uniform polynomial growth of degree $d > 2$ can be such that the complement of every ball is connected. For example, in the UIPT, there are "baby universes" connected to the graph via a thin neck that can be cut off by removing a small graph ball.

Theorem 1.4 *For every rational $d \geq 2$, there is a unimodular random planar graph (G, ρ) such that almost surely:*

1. *G has uniform polynomial growth of degree d.*
2. *The complement of every graph ball in G is connected.*

Annular resistances. Our unimodular constructions have the property that the "Einstein relations" (see, e.g., [4]) for various dimensional exponents do not hold. In particular, this implies that the graphs we construct are not strongly recurrent (see, e.g., [13]). Indeed, the effective resistance across annuli can be made very small (see Section 2.3 for the definition of effective resistance).

Theorem 1.5 *For every $\varepsilon > 0$ and $d \geq 3$, there is a unimodular random planar graph (G, ρ) that almost surely has uniform polynomial volume growth of degree d and, moreover, almost surely satisfies*

$$R_{\mathrm{eff}}^{G}\left(B_G(\rho, R) \leftrightarrow V(G) \setminus B_G(\rho, 2R)\right) \leq C(\varepsilon) R^{-(1-\varepsilon)}, \quad \forall R \geq 1, \tag{1.1}$$

where $C(\varepsilon) \geq 1$ is a constant depending only on ε.

Note that the existence of annular separators of size $O(R)$ mentioned previously gives $\mathsf{R}_{\mathrm{eff}}^{G}\left(B_G(\rho, R) \leftrightarrow V(G) \setminus B_G(\rho, 2R)\right) \gtrsim R^{-1}$ by the Nash-Williams inequality. Moreover, recall that since the graph (G, ρ) from Theorem 1.5 is unimodular and planar, it must be almost surely recurrent (cf. [10]). Therefore the electrical flow witnessing (1.1) cannot spread out "isotropically" from $B_G(\rho, R)$ to $B_G(\rho, 2R)$. Indeed, if one were able to send a flow roughly uniformly from $B_G(\rho, 2^i)$ to $B_G(\rho, 2^{i+1})$, then these electrical flows would chain to give

$$\mathsf{R}_{\mathrm{eff}}^{G}\left(\rho \leftrightarrow V(G) \setminus B_G(\rho, 2^i)\right) \lesssim \sum_{j \leq i} 2^{-(1-\varepsilon)j},$$

and taking $i \to \infty$ would show that G is transient.

One formalization of this fact is that the graphs in Theorem 1.5 (almost surely) do not satisfy an elliptic Harnack inequality. These graphs are almost surely one-ended, and one can easily pass to a quasi-isometric triangulation that admits a circle packing whose carrier is the entire plane $\mathbb{R}^2$. By a result of Murugan [16], this implies that the graph metric $(V(G), d_G)$ on the graphs in Theorem 1.5 is *not* quasisymmetric to the Euclidean metric induced on the vertices by any such circle packing. (This can also be proved directly from (1.1).)

We remark on one other interesting feature of Theorem 1.5. Suppose that Γ is a Gromov hyperbolic group whose visual boundary $\partial_\infty \Gamma$ is homeomorphic to the 2-sphere $\mathbb{S}^2$. The authors of [8] construct a family $\{G_n : n \geq 1\}$ of discrete approximations to $\partial_\infty \Gamma$ such that each G_n is a planar graph and the family $\{G_n\}$ has uniform polynomial volume growth.[1] They show that if there is a constant $c > 0$ so that the annuli in G_n satisfy uniform effective resistance estimates of the form

$$\begin{aligned} &\mathsf{R}_{\mathrm{eff}}^{G_n}\left(B_{G_n}(x, R) \leftrightarrow V(G_n) \setminus B_{G_n}(x, 2R)\right) \geq c, \quad \forall 1 \leq R \\ &\quad \leq \operatorname{diam}(G_n)/10, \ x \in V(G_n), \ \forall n \geq 1, \end{aligned}$$

then $\partial_\infty \Gamma$ is quasisymmetric to $\mathbb{S}^2$ (cf. [8, Thm 11.1].)

[1] More precisely, for the boundary of a hyperbolic group as above, one can choose a sequence of approximations with this property.

In particular, if it were to hold that for any (infinite) planar graph G with uniform polynomial growth we have

$$\mathsf{R}^G_{\text{eff}}\left(B_G(x,R) \leftrightarrow V(G) \setminus B_G(x,2R)\right) \geq c > 0, \quad \forall R \geq 1, x \in V(G),$$

then it would confirm positively Cannon's conjecture from geometric group theory. Theorem 1.5 exhibits graphs for which this fails in essentially the strongest way possible.

1.1 Preliminaries

We will consider primarily connected, undirected graphs $G = (V, E)$, which we equip with the associated path metric d_G. We will sometimes write $V(G)$ and $E(G)$, respectively, for the vertex and edge sets of G. If $U \subseteq V(G)$, we write $G[U]$ for the subgraph induced on U.

For $v \in V$, let $\deg_G(v)$ denote the degree of v in G. Let $\operatorname{diam}(G) := \sup_{x,y\in V} d_G(x,y)$ denote the diameter (which is only finite for G finite). For $v \in V$ and $r \geq 0$, we use $B_G(v,r) = \{u \in V : d_G(u,v) \leq r\}$ to denote the closed ball in G. For subsets $S, T \subseteq V$, we write $d_G(S,T) := \inf\{d_G(s,t) : s \in S, t \in T\}$.

Say that an infinite graph G has *uniform volume growth of rate* $f(r)$ if there exist constants $C, c > 0$ such that

$$cf(r) \leq |B_G(v,r)| \leq Cf(r) \qquad \forall v \in V, r \geq 1.$$

A graph has *uniform polynomial growth of degree* d if it has uniform volume growth of rate $f(r) = r^d$, and has *uniform polynomial growth* if this holds for some $d > 0$.

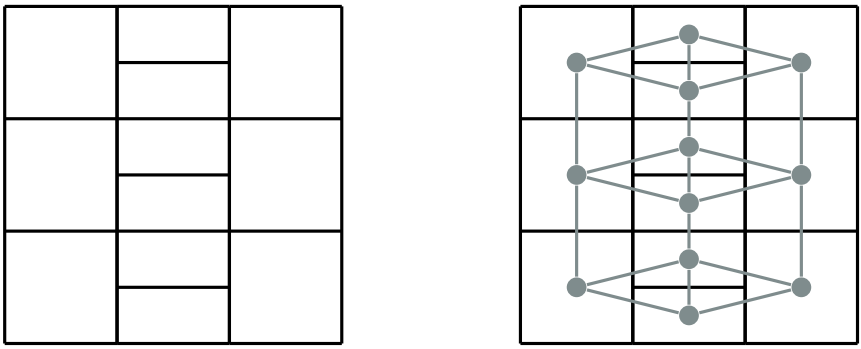
For two expressions A and B, we use the notation $A \lesssim B$ to denote that $A \leq CB$ for some *universal* constant C. The notation $A \lesssim_\gamma B$ denotes that $A \leq C(\gamma)B$ where $C(\gamma)$ is a number depending only on the parameter γ. We write $A \asymp B$ for the conjunction $A \lesssim B \wedge B \lesssim A$.

1.1.1 Distributional limits of graphs

We briefly review the weak local topology on random rooted graphs. One may consult the extensive reference of Aldous and Lyons [2], and [5] for the corresponding theory of reversible random graphs. The paper [10] offers a concise introduction to distributional limits of finite planar graphs. We briefly review some relevant points.

Let $\mathcal{G}$ denote the set of isomorphism classes of connected, locally finite graphs; let $\mathcal{G}_\bullet$ denote the set of *rooted* isomorphism classes of *rooted*, connected, locally finite graphs. Define a metric on $\mathcal{G}_\bullet$ as follows: $\mathbb{d}_{\text{loc}}((G_1,\rho_1),(G_2,\rho_2)) = 1/(1+\alpha)$, where

$$\alpha = \sup\left\{r > 0 : B_{G_1}(\rho_1, r) \cong_\rho B_{G_2}(\rho_2, r)\right\},$$



(a) A tiling of the unit square (b) The associated dual graph

Fig. 1 Tilings and their dual graph

and we use $\cong_\rho$ to denote rooted isomorphism of graphs. $(\mathcal{G}_\bullet, \mathrm{d}_{\mathrm{loc}})$ is a separable, complete metric space. For probability measures $\{\mu_n\}, \mu$ on $\mathcal{G}_\bullet$, write $\{\mu_n\} \Rightarrow \mu$ when μ_n converges weakly to μ with respect to $\mathrm{d}_{\mathrm{loc}}$.

A random rooted graph (G, X_0) is said to be *reversible* if (G, X_0, X_1) and (G, X_1, X_0) have the same law, where X_1 is a uniformly random neighbor of X_0 in G. A random rooted graph (G, ρ) is said to be *unimodular* if it satisfies the Mass Transport Principle (see, e.g., [2]). For our purposes, it suffices to note that if $\mathbb{E}[\deg_G(\rho)] < \infty$, then (G, ρ) is unimodular if and only if the random rooted graph $(\tilde{G}, \tilde{\rho})$ is reversible, where $(\tilde{G}, \tilde{\rho})$ has the law of (G, ρ) biased by $\deg_G(\rho)$

If $\{(G_n, \rho_n)\} \Rightarrow (G, \rho)$, we say that (G, ρ) is the *distributional limit* of the sequence $\{(G_n, \rho_n)\}$, where we have conflated random variables with their laws in the obvious way. Consider a sequence $\{G_n\} \subseteq \mathcal{G}$ of finite graphs, and let ρ_n denote a uniformly random element of $V(G_n)$. Then $\{(G_n, \rho_n)\}$ is a sequence of $\mathcal{G}_\bullet$-valued random variables, and one has the following: if $\{(G_n, \rho_n)\} \Rightarrow (G, \rho)$, then (G, ρ) is unimodular. Equivalently, if $\{(G_n, \rho_n)\}$ is a sequence of connected finite graphs and $\rho_n \in V(G_n)$ is chosen according to the stationary measure of G_n, then if $\{(G_n, \rho_n)\} \implies (G, \rho)$, it holds that (G, ρ) is a reversible random graph.

2 A transient planar graph of uniform polynomial growth

We begin by constructing a transient planar graph with uniform polynomial growth of degree $d > 2$. Our construction in this section has $d = \log_3(12) \approx 2.26$. In Section 3, this construction is generalized to any rational $d > 2$.

2.1 Tilings and dual graphs

Our constructions are based on planar tilings by rectangles. A *tile* is an axis-parallel closed rectangle $A \subseteq \mathbb{R}^2$. We will encode such a tile as a triple $(p(A), \ell_1(A), \ell_2(A))$, where $p(A) \in \mathbb{R}^2$ denotes its bottom-left corner, $\ell_1(A)$ its width (length of its projec-

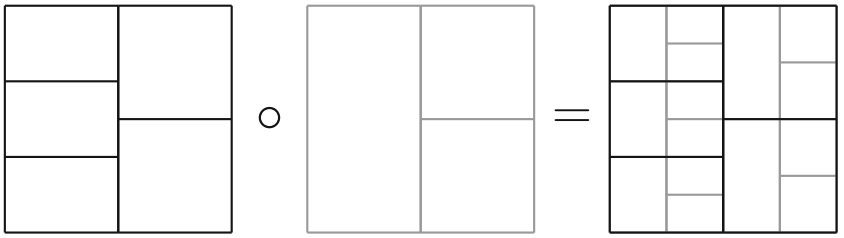


Fig. 2 An example of the tiling product $S \circ T$

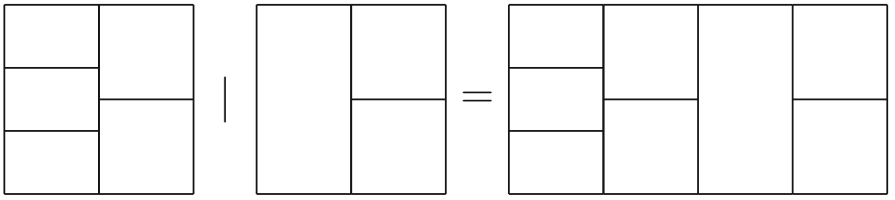


Fig. 3 An example of the tiling concatenation $S \mid T$

tion onto the x-axis), and $\ell_2(A)$ its height (length of its projection onto the y-axis). A *tiling* $\boldsymbol{T}$ is a finite collection of interior-disjoint tiles. Denote $[\![\boldsymbol{T}]\!] := \bigcup_{A \in \boldsymbol{T}} A$. If $R \subseteq \mathbb{R}^2$, we say that $\boldsymbol{T}$ *is a tiling of* R if $[\![\boldsymbol{T}]\!] = R$. See Fig. 1a for a tiling of the unit square.

We associate to a tiling its *dual graph* $G(\boldsymbol{T})$ with vertex set $\boldsymbol{T}$ and with an edge between two tiles $A, B \in \boldsymbol{T}$ whenever $A \cap B$ has Hausdorff dimension one; in other words, A, B are tangent, but not only at a corner. Denote by $\mathcal{T}$ the set of all tilings of the unit square. See Fig. 1b. For the remainder of the paper, we will consider only tilings $\boldsymbol{T}$ for which $G(\boldsymbol{T})$ is connected.

Definition 2.1 (Tiling product). For $\boldsymbol{S}, \boldsymbol{T} \in \mathcal{T}$, define the product $\boldsymbol{S} \circ \boldsymbol{T} \in \mathcal{T}$ as the tiling formed by replacing every tile in $\boldsymbol{S}$ by an (appropriately scaled) copy of $\boldsymbol{T}$. More precisely: For every $A \in \boldsymbol{S}$ and $B \in \boldsymbol{T}$, there is a tile $R \in \boldsymbol{S} \circ \boldsymbol{T}$ with $\ell_i(R) := \ell_i(A)\ell_i(B)$, and

$$p_i(R) := p_i(A) + p_i(B)\ell_i(A),$$

for each $i \in \{1, 2\}$. See Fig. 2.

If $\boldsymbol{T} \in \mathcal{T}$ and $n \geq 0$, we will use $\boldsymbol{T}^n := \boldsymbol{T} \circ \cdots \circ \boldsymbol{T}$ to denote the n-fold tile product of $\boldsymbol{T}$ with itself. The following observation shows that this is well-defined.

Observation 2.2 The tiling product is associative: $(\boldsymbol{S} \circ \boldsymbol{T}) \circ \boldsymbol{U} = \boldsymbol{S} \circ (\boldsymbol{T} \circ \boldsymbol{U})$ for all $S, T, U \in \mathcal{T}$. Moreover, if $\boldsymbol{I} \in \mathcal{T}$ consists of the single tile $[0, 1]^2$, then $\boldsymbol{T} \circ \boldsymbol{I} = \boldsymbol{I} \circ \boldsymbol{T}$ for all $\boldsymbol{T} \in \mathcal{T}$.

Definition 2.3 (Tiling concatenation). Suppose that $\boldsymbol{S}$ is a tiling of a rectangle R and $\boldsymbol{T}$ is a tiling of a rectangle R' and the heights of R and R' coincide. Let R'' denote the

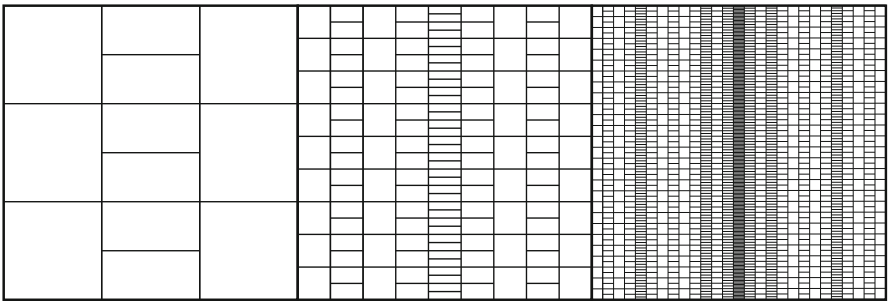


Fig. 4 The tiling $\boldsymbol{H}^1 \mid \boldsymbol{H}^2 \mid \boldsymbol{H}^3$

translation of R' for which the left edge of R'' coincides with the right edge of R, and denote by $\boldsymbol{S} \mid \boldsymbol{T}$ the induced tiling of the rectangle $R \cup R''$. See Fig. 3.

Let $\boldsymbol{H}$ denote the tiling in Fig. 1a, and define $\mathcal{H}_n := G(\boldsymbol{H}^0 \mid \boldsymbol{H}^1 \mid \cdots \mid \boldsymbol{H}^n)$; see Fig. 4, where we have omitted $\boldsymbol{H}^0$ for ease of illustration. The next theorem represents our primary goal for the remainder of this section. Note that $\boldsymbol{H}^0 = \{\rho\}$ consists of a single tile, and that $\{(\mathcal{H}_n, \rho)\}$ forms a Cauchy sequence in $(\mathcal{G}_\bullet, \mathrm{d}_{\mathrm{loc}})$, since $(\mathcal{H}_n, \rho)$ is naturally a rooted subgraph of $(\mathcal{H}_{n+1}, \rho)$. Letting $\mathcal{H}_\infty$ denote its limit, we will establish the following.

Theorem 2.4 *The infinite planar graph $\mathcal{H}_\infty$ is transient and has uniform polynomial volume growth of degree* $\log_3(12)$.

Uniform growth is established in Lemma 2.11 and transience in Corollary 2.17.

2.2 Volume growth

The following lemma shows that a ball of radius $r = \mathrm{diam}(\boldsymbol{H}^n)$ in $\boldsymbol{H}^n$ has volume $\asymp r^{\log_3(12)}$. Later on, in Lemma 2.10, we will show a similar bound holds for balls of arbitrary radius $1 \le r \le \mathrm{diam}(\boldsymbol{H}^n)$ in $\boldsymbol{H}^n$.

Lemma 2.5 *For $n \ge 0$, we have $|\boldsymbol{H}^n| = 12^n$, and $3^n \le \mathrm{diam}(\boldsymbol{H}^n) \le 3^{n+1}$.*

Proof The first claim is straightforward by induction. For the second claim, note that $\ell_1(A) = 3^{-n}$ for every $A \in \boldsymbol{H}^n$.

Moreover, there are 3^n tiles touching the left-most boundary of $[0, 1]^2$. Therefore to connect any $A, B \in \boldsymbol{H}^n$ by a path in $G(\boldsymbol{H}^n)$, we need only go from A to the left-most column in at most 3^n steps, then use at most 3^n steps of the column, and finally move at most 3^n steps to B. □

The next lemma is straightforward.

Lemma 2.6 *Consider $\boldsymbol{S}, \boldsymbol{T} \in \mathcal{T}$ and $G = G(\boldsymbol{S} \circ \boldsymbol{T})$. For any $X \in \boldsymbol{S} \circ \boldsymbol{T}$, it holds that $|B_G(X, \mathrm{diam}(G(\boldsymbol{T})))| \ge |\boldsymbol{T}|$.*

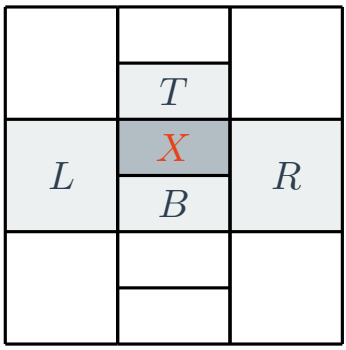


Fig. 5 A tile $X \in \boldsymbol{H}$ and its neighbors are marked. Here we have $N_{\boldsymbol{H}}(X, 1) = \{T, B\}$ and $N_{\boldsymbol{H}}(X, 2) = \{L, R\}$

If $\boldsymbol{T}$ is a tiling, let us partition the edge set $E(G(\boldsymbol{T})) = E_1(\boldsymbol{T}) \cup E_2(\boldsymbol{T})$ into horizontal and vertical edges. For $A \in \boldsymbol{T}$ and $i \in \{1, 2\}$, let $N_{\boldsymbol{T}}(A, i)$ denote the set of tiles adjacent to A in $G(\boldsymbol{T})$ along the ith direction, meaning that the edge separating them is parallel to the ith axis (see Fig. 5).

Further denote $N_{\boldsymbol{T}}(A) := N_{\boldsymbol{T}}(A, 1) \cup N_{\boldsymbol{T}}(A, 2)$. Moreover, we define:

$$\begin{aligned} \alpha_{\boldsymbol{T}}(A, i) &:= \max\left\{\frac{\ell_j(A)}{\ell_j(B)} : B \in N_{\boldsymbol{T}}(A, i),\ j \in \{1, 2\}\right\}, \quad i \in \{1, 2\} \\ \alpha_{\boldsymbol{T}}(A) &:= \max_{i \in \{1,2\}} \alpha_{\boldsymbol{T}}(A, i) \\ \alpha_{\boldsymbol{T}} &:= \max\{\alpha_{\boldsymbol{T}}(A) : A \in \boldsymbol{T}\} \\ L_{\boldsymbol{T}} &:= \max\{\ell_i(A) : A \in \boldsymbol{T}, i \in \{1, 2\}\}. \end{aligned} \tag{2.1}$$

We take $\alpha_{\boldsymbol{T}} := 1$ if $\boldsymbol{T}$ contains a single tile. It is now straightforward to check that $\alpha_{\boldsymbol{T}}$ bounds the degrees in $G(\boldsymbol{T})$.

Lemma 2.7 *For a tiling $\boldsymbol{T}$ and $A \in \boldsymbol{T}$, it holds that*

$$\deg_{G(\boldsymbol{T})}(A) \le 4(1 + \alpha_{\boldsymbol{T}}) \le 8\alpha_{\boldsymbol{T}}.$$

Proof After accounting for the four corners of A, every other tile $B \in N_{\boldsymbol{T}}(A, i)$ intersects A in a segment of length at least $\ell_i(B) \ge \ell_i(A)/\alpha_{\boldsymbol{T}}$. The second inequality follows from $\alpha_{\boldsymbol{T}} \ge 1$. □

Lemma 2.8 *Consider $\boldsymbol{S}, \boldsymbol{T} \in \mathcal{T}$ and let $G = G(\boldsymbol{S} \circ \boldsymbol{T})$. Then for any $X \in \boldsymbol{S} \circ \boldsymbol{T}$, it holds that*

$$|B_G(X, 1/(\alpha_{\boldsymbol{S}}^4 L_{\boldsymbol{T}}))| \le 192\alpha_{\boldsymbol{S}}^2 |\boldsymbol{T}|. \tag{2.2}$$

Proof For a tile $Y \in \boldsymbol{S} \circ \boldsymbol{T}$, let $\hat{Y} \in \boldsymbol{S}$ denote the unique tile for which $Y \subseteq \hat{Y}$. Let us also define

$$\tilde{N}_{\boldsymbol{S}}(\hat{X}) := \{\hat{X}\} \cup N_{\boldsymbol{S}}(\hat{X}) \cup N_{\boldsymbol{S}}\left(N_{\boldsymbol{S}}(\hat{X}, 1), 2\right) \cup N_{\boldsymbol{S}}\left(N_{\boldsymbol{S}}(\hat{X}, 2), 1\right),$$

which is the set of vertices of $G(\boldsymbol{S})$ that can be reached from $\hat{X}$ by following at most one edge in each direction.

We will show that

$$\left[\!\left[B_G(X, 1/(\alpha_{\boldsymbol{S}}^4 L_{\boldsymbol{T}})) \right]\!\right] \subseteq \left[\!\left[\tilde{N}_{\boldsymbol{S}}(\hat{X}) \right]\!\right]. \tag{2.3}$$

It follows that

$$|B_G(X, 1/(\alpha_{\boldsymbol{S}}^4 L_{\boldsymbol{T}}))| \le |\boldsymbol{T}| \cdot |\tilde{N}_{\boldsymbol{S}}(\hat{X})| \le |\boldsymbol{T}| \cdot 3 \left(\max_{A \in \boldsymbol{S}} \deg_{G(\boldsymbol{S})}(A) \right)^2,$$

and then (2.2) follows from Lemma 2.7.

To establish (2.3), consider any path $\langle X = X_0, X_1, X_2, \ldots, X_h \rangle$ in G with $\hat{X}_h \notin \tilde{N}_{\boldsymbol{S}}(\hat{X})$. Let $k \le h$ be the smallest index for which $\hat{X}_k \notin \tilde{N}_{\boldsymbol{S}}(\hat{X})$. Then:

$$X_0, X_1, \ldots, X_{k-1} \subseteq \left[\!\left[\tilde{N}_{\boldsymbol{S}}(\hat{X}) \right]\!\right] \tag{2.4}$$

$$X_{k-1} \cap \left(\partial \left[\!\left[\tilde{N}_{\boldsymbol{S}}(\hat{X}) \right]\!\right] \cap (0,1)^2 \right) \neq \emptyset. \tag{2.5}$$

Now (2.4) implies that

$$\ell_i(X_j) \le L_{\boldsymbol{T}} \ell_i(\hat{X}_j) \le L_{\boldsymbol{T}} \alpha_{\boldsymbol{S}}^2 \ell_i(\hat{X}), \qquad j \le k-1, i \in \{1,2\}. \tag{2.6}$$

And (2.5) shows that for some $i' \in \{1,2\}$,

$$\sum_{j=0}^{k-1} \ell_{i'}(X_j) \ge \min \left\{ \ell_{i'}(Y) : Y \in \tilde{N}_{\boldsymbol{S}}(\hat{X}) \right\} \ge \ell_{i'}(\hat{X})/\alpha_{\boldsymbol{S}}^2. \tag{2.7}$$

To clarify why this is true, note that

$$\hat{X} + \left[-\ell_1(\hat{X})/\alpha_{\boldsymbol{S}}^2, \ell_1(\hat{X})/\alpha_{\boldsymbol{S}}^2 \right] \times \left[-\ell_2(\hat{X})/\alpha_{\boldsymbol{S}}^2, \ell_2(\hat{X})/\alpha_{\boldsymbol{S}}^2 \right] \subseteq \left[\!\left[\tilde{N}_{\boldsymbol{S}}(\hat{X}) \right]\!\right],$$

where '+' here is the Minkowski sum $R + S := \{r + s : r \in R, s \in S\}$. Indeed, this inclusion motivates our definition of the "ℓ_∞ neighborhood" $\tilde{N}$ above.

Combining (2.6) and (2.7) now gives

$$h \ge k \ge \frac{1}{\alpha_{\boldsymbol{S}}^4 L_{\boldsymbol{T}}},$$

completing the proof. □

We can now finish the analysis of the volume growth in the graphs $\{\boldsymbol{H}^n : n \ge 0\}$.

Lemma 2.9 *For $n \ge 1$, it holds that $\alpha_{\boldsymbol{H}^n} \le 2$.*

Proof Consider $A \in \boldsymbol{H}^n$ and $B \in N_{\boldsymbol{H}^n}(A)$. First note that, as in the proof of Lemma 2.5, all the tiles in $\boldsymbol{H}^n$ have the same width 3^{-n}, and so $\ell_1(A) = \ell_1(B)$. Moreover, one can easily verify that every two vertically adjacent tiles in $\boldsymbol{H}^n$ have the same height, and so we have $\ell_2(A) = \ell_2(B)$ when $B \in N_{\boldsymbol{H}^n}(A, 1)$. Now we prove by an induction on n that for all horizontally adjacent tiles $A, B \in \boldsymbol{H}^n$ we have

$$\frac{\ell_2(A)}{\ell_2(B)} \leq 2.$$

The base case is clear for $n = 1$. For $n \geq 2$ Let us write $\boldsymbol{H}^n = \boldsymbol{H} \circ \boldsymbol{H}^{n-1}$, and let $\hat{A}, \hat{B} \in \boldsymbol{H}$ be the unique tiles for which $A \subseteq \hat{A}$ and $B \subseteq \hat{B}$. If $\hat{A} = \hat{B}$, then the claim follows from the induction hypothesis. Otherwise, as $B \in N_{\boldsymbol{H}^n}(A, 2)$, it holds that $\hat{B} \in N_{\boldsymbol{H}}(\hat{A}, 2)$ as well. By symmetry, the tiles touching the left and right edges of $\boldsymbol{H}^{n-1}$ have the same height, and therefore it follows that

$$\frac{\ell_2(A)}{\ell_2(B)} \leq \frac{\ell_2(\hat{A})}{\ell_2(\hat{B})} \leq 2,$$

completing the proof. □

Lemma 2.10 *For any $n \geq 0$, it holds that*

$$\left|B_{G(\boldsymbol{H}^n)}(A, r)\right| \asymp r^{\log_3(12)}, \qquad \forall A \in \boldsymbol{H}^n, 1 \leq r \leq \operatorname{diam}(G(\boldsymbol{H}^n)).$$

Proof Writing $\boldsymbol{H}^n = \boldsymbol{H}^{n-k} \circ \boldsymbol{H}^k$ and employing Lemma 2.6 together with Lemma 2.5 gives

$$\left|B_{G(\boldsymbol{H}^n)}(A, 3^{k+1})\right| \geq |\boldsymbol{H}^k| = 12^k, \qquad \forall A \in \boldsymbol{H}^n, k \in \{0, 1, \ldots, n\}.$$

The desired lower bound now follows using monotonicity of $|B_{G(H^n)}(A, r)|$ with respect to r.

To prove the upper bound, first note that we have $L_{\boldsymbol{H}^k} = 3^{-k}$ (recall the definition (2.1)). Moreover, by Lemma 2.9 we have $\alpha_{\boldsymbol{H}^n} \leq 2$. Hence invoking Lemma 2.8 with $\boldsymbol{S} = \boldsymbol{H}^{n-k}$ and $\boldsymbol{T} = \boldsymbol{H}^k$ gives

$$\left|B_{G(\boldsymbol{H}^n)}(A, 3^k/16)\right| \leq 768 \cdot 12^k, \qquad \forall A \in \boldsymbol{H}^n, k \in \{0, 1, \ldots, n\},$$

completing the proof. □

Finally, this allows us to establish a uniform polynomial growth rate for $\mathcal{H}_\infty$.

Lemma 2.11 *It holds that*

$$|B_{\mathcal{H}_\infty}(v, r)| \asymp r^{\log_3(12)} \qquad \forall v \in V(\mathcal{H}_\infty), r \geq 1.$$

Proof Recall first the natural identification $\boldsymbol{H}^n \hookrightarrow V(\mathcal{H}_\infty)$ under which $V(\mathcal{H}_\infty) = \bigcup_{n\geq 0} \boldsymbol{H}^n$ is a partition. Consider $v \in V(\mathcal{H}_\infty)$ and let $n \geq 0$ be such that $v \in \boldsymbol{H}^n$. Now Lemma 2.10 in conjunction with Lemma 2.5 yields the bounds:

$$
\begin{aligned}
&|B_{\mathcal{H}_\infty}(v,r)| \geq |B_{\mathcal{H}_\infty}(v,r) \cap \boldsymbol{H}^n| \gtrsim r^{\log_3(12)} && r \leq 3^{n+3} \\
&|B_{\mathcal{H}_\infty}(v,r)| \geq |\boldsymbol{H}^k| = 12^k \gtrsim r^{\log_3(12)} && r \in [3^{k+3}, 3^{k+4}), k \geq n \\
&|B_{\mathcal{H}_\infty}(v,r)| = |B_{\mathcal{H}_\infty}(v,r) \cap \boldsymbol{H}^{n-1}| + |B_{\mathcal{H}_\infty}(v,r) \cap \boldsymbol{H}^n| + |B_{\mathcal{H}_\infty}(v,r) \cap \boldsymbol{H}^{n+1}| \\
&\qquad\qquad \lesssim r^{\log_3(12)} && r \leq 3^{n-1} \\
&|B_{\mathcal{H}_\infty}(v,r)| \leq \sum_{j \leq \max(k,n+1)} |\boldsymbol{H}^j| \leq 2 \cdot 12^{\max(k,n+1)} \lesssim r^{\log_3(12)} && r \in [3^{k-1}, 3^k), k \geq n.
\end{aligned}
$$

These four bounds together verify the desired claim. □

2.3 Effective resistances

Consider a weighted, undirected graph $G = (V, E, c)$ with edge conductances $c : E \to \mathbb{R}_+$. For $p \geq 1$, denote $\ell_p(V) := \{f : V \to \mathbb{R} \mid \sum_{u\in V} |f(u)|^p < \infty\}$, and equip $\ell_2(V)$ with the inner product $\langle f, g\rangle = \sum_{u\in V} f(u)g(u)$.

For $s, t \in \ell_1(V)$ with $\|s\|_1 = \|t\|_1$, we define the *effective resistance*

$$\mathsf{R}^G_{\mathrm{eff}}(s,t) := \left\langle s - t, L_G^\dagger (s-t) \right\rangle,$$

where L_G is the combinatorial Laplacian of G, and $L_G^\dagger$ is the Moore-Penrose pseudoinverse. Here, L_G is the operator on $\ell_2(V)$ defined by

$$L_G f(v) = \sum_{u:\{u,v\}\in E} c(\{u,v\})\,(f(v) - f(u))\,.$$

If G is unweighted, we assume it is equipped with unit conductances $c \equiv \mathbb{1}_{E(G)}$.

Equivalently, if we consider mappings $\theta : E \to \mathbb{R}$, and define the energy functional

$$\mathcal{E}_G(\theta) := \sum_{e\in E} c(e)^{-1}\theta(e)^2,$$

then $\mathsf{R}^G_{\mathrm{eff}}(s,t)$ is the minimum energy of a flow with demands $s - t$. (See, for instance, [15, Ch. 2].) For two finite sets $A, B \subseteq V$ in a graph, we define

$$\mathsf{R}^G_{\mathrm{eff}}(A \leftrightarrow B) := \inf\left\{\mathsf{R}^G_{\mathrm{eff}}(s,t) : \mathrm{supp}(s) \subseteq A, \mathrm{supp}(t) \subseteq B, s, t \in \ell_1(V), \|s\|_1 = \|t\|_1 = 1\right\},$$

and we recall the following standard characterization (see, e.g., [15, Thm. 2.3]).

If we define additionally $c_v := \sum_{u \in V(L)} c(\{u, v\})$ for $v \in V$, then we can recall that weighted random walk $\{X_t\}$ on G with Markovian law

$$\mathbb{P}\left[X_{t+1} = v \mid X_t = u\right] = \frac{c(\{u, v\})}{c_u}, \qquad u, v \in V.$$

Theorem 2.12 *(Transience criterion). A weighted graph $G = (V, E, c)$ is transient if and only if there is a vertex $v \in V$ and an increasing sequence $V_1 \subseteq \cdots \subseteq V_n \subseteq V_{n+1} \subseteq \cdots$ of finite subsets of vertices satisfying $\bigcup_{n \geq 1} V_n = V$ and*

$$\sup_{n \geq 1} R_{\mathrm{eff}}^G \left(\{v\} \leftrightarrow V \setminus V_n\right) < \infty.$$

For a tiling $\boldsymbol{T}$ of a closed rectangle R, let $\mathscr{L}(\boldsymbol{T})$ and $\mathscr{R}(\boldsymbol{T})$ denote the sets of tiles that intersect the left and right edges of R, respectively. We define

$$\rho(\boldsymbol{T}) := \mathsf{R}_{\mathrm{eff}}^{G(\boldsymbol{T})} \left(\mathbb{1}_{\mathscr{L}(\boldsymbol{T})}/|\mathscr{L}(\boldsymbol{T})|, \mathbb{1}_{\mathscr{R}(\boldsymbol{T})}/|\mathscr{R}(\boldsymbol{T})|\right),$$

Observation 2.13 For any $\boldsymbol{S}, \boldsymbol{T} \in \mathcal{T}$, we have $|\mathscr{L}(\boldsymbol{S} \circ \boldsymbol{T})| = |\mathscr{L}(\boldsymbol{S})| \cdot |\mathscr{L}(\boldsymbol{T})|$ and $|\mathscr{R}(\boldsymbol{S} \circ \boldsymbol{T})| = |\mathscr{R}(\boldsymbol{S})| \cdot |\mathscr{R}(\boldsymbol{T})|$. In particular, $|\mathscr{L}(\boldsymbol{H}^n)| = |\mathscr{R}(\boldsymbol{H}^n)| = 3^n$.

Lemma 2.14 *Suppose that $\boldsymbol{S}, \boldsymbol{T}$ are tilings satisfying the conditions of Definition 2.3. Suppose furthermore that all rectangles in $\mathscr{R}(\boldsymbol{S})$ have the same height, and the same is true for $\mathscr{L}(\boldsymbol{T})$. Then we have*

$$\rho(\boldsymbol{S} \mid \boldsymbol{T}) \leq \rho(\boldsymbol{S}) + \rho(\boldsymbol{T}) + \frac{1}{\max(|\mathscr{R}(\boldsymbol{S})|, |\mathscr{L}(\boldsymbol{T})|)}.$$

Proof By the triangle inequality for effective resistances, it suffices to prove that

$$\mathsf{R}_{\mathrm{eff}}^G \left(\mathbb{1}_{\mathscr{R}(\boldsymbol{S})}/|\mathscr{R}(\boldsymbol{S})|, \mathbb{1}_{\mathscr{L}(\boldsymbol{T})}/|\mathscr{L}(\boldsymbol{T})|\right) \leq \frac{1}{\max(|\mathscr{R}(\boldsymbol{S})|, |\mathscr{L}(\boldsymbol{T})|)},$$

where $G = G(\boldsymbol{S} \mid \boldsymbol{T})$. We construct a flow from $\mathscr{R}(\boldsymbol{S})$ to $\mathscr{L}(\boldsymbol{T})$ as follows: If $A \in \mathscr{R}(\boldsymbol{S})$, $B \in \mathscr{L}(\boldsymbol{T})$ and $\{A, B\} \in E(G)$, then the flow value on $\{A, B\}$ is

$$F_{AB} := \frac{\mathrm{len}(A \cap B)}{\ell_2(A)} \frac{1}{|\mathscr{R}(\boldsymbol{S})|}.$$

Denoting $m := \max(|\mathscr{R}(\boldsymbol{S})|, |\mathscr{L}(\boldsymbol{T})|)$, we clearly have $F_{AB} \leq 1/m$. Moreover,

$$\sum_{A \in \mathscr{R}(\boldsymbol{S})} \sum_{\substack{B \in \mathscr{L}(\boldsymbol{T}): \\ \{A,B\} \in E(G)}} F_{AB} = 1,$$

hence

$$\sum_{A \in \mathscr{R}(\boldsymbol{S})} \sum_{\substack{B \in \mathscr{L}(\boldsymbol{T}): \\ \{A,B\} \in E(G)}} F_{AB}^2 \leq 1/m,$$

completing the proof. □

Say that a tiling $\boldsymbol{T}$ is *non-degenerate* if $\mathcal{L}(\boldsymbol{T}) \cap \mathcal{R}(\boldsymbol{T}) = \emptyset$, i.e., if no tile $A \in \boldsymbol{T}$ touches both the left and right edges of $[\![\boldsymbol{T}]\!]$. Let $\Delta_{\boldsymbol{T}} := \max_{A \in \boldsymbol{T}} \deg_{G(\boldsymbol{T})}(A)$. If $\boldsymbol{S}$ and $\boldsymbol{T}$ are non-degenerate, we have the simple inequalities $\rho(\boldsymbol{T}) \geq 1/(\Delta_{\boldsymbol{T}} \cdot |\mathscr{L}(\boldsymbol{T})|)$ and $\rho(\boldsymbol{T}) \geq 1/(\Delta_{\boldsymbol{T}} \cdot |\mathscr{R}(\boldsymbol{T})|)$. Together with Lemma 2.7, this yields a fact that we will employ later.

Corollary 2.15 *For any two non-degenerate tilings $\boldsymbol{S}, \boldsymbol{T}$ satisfying the assumptions of Lemma 2.14, it holds that*

$$\begin{aligned} \rho(\boldsymbol{S} \mid \boldsymbol{T}) &\leq \rho(\boldsymbol{S}) + \rho(\boldsymbol{T}) + 8 \min(\alpha_S \rho(\boldsymbol{S}), \alpha_T \rho(\boldsymbol{T})) \\ &\lesssim_{\alpha_S, \alpha_T} \rho(\boldsymbol{S}) + \rho(\boldsymbol{T}). \end{aligned}$$

Lemma 2.16 *For every $n \geq 1$, it holds that*

$$\rho(\boldsymbol{H}^n) \lesssim (5/6)^n.$$

Proof Fix $n \geq 2$. Recalling Fig. 1b, let us consider $\boldsymbol{H}^n$ as consisting of three (identical) tilings stacked vertically, and where each of these three tilings is written as $\boldsymbol{H}^{n-1} \mid \boldsymbol{S} \mid \boldsymbol{H}^{n-1}$ where $\boldsymbol{S}$ consists of two copies of $\boldsymbol{H}^{n-1}$ stacked vertically. Applying Lemma 2.14 to $\boldsymbol{H}^{n-1} \mid \boldsymbol{S} \mid \boldsymbol{H}^{n-1}$ gives

$$\begin{aligned} \rho(\boldsymbol{H}^n) &\leq (1/3)^2 \cdot 3 \left(2\rho(\boldsymbol{H}^{n-1}) + \rho(\boldsymbol{S}) + \frac{1}{\max(|\mathscr{R}(\boldsymbol{H}^{n-1})|, |\mathscr{L}(\boldsymbol{S})|)} \right. \\ &\quad \left. + \frac{1}{\max(|\mathscr{L}(\boldsymbol{H}^{n-1})|, |\mathscr{R}(\boldsymbol{S})|)} \right) \\ &\leq (1/3)^2 \cdot 3 \left(2\rho(\boldsymbol{H}^{n-1}) + (1/2)^2 \cdot 2\rho(\boldsymbol{H}^{n-1}) + \frac{2}{2 \cdot 3^{n-1}} \right) \\ &= (5/6)\rho(\boldsymbol{H}^{n-1}) + 3^{-n}, \end{aligned}$$

where in the second inequality we have employed Observation 2.13. This yields the desired result by induction on n. □

Corollary 2.17 *The graphs $\mathcal{H}_n = G(\boldsymbol{H}^0 \mid \boldsymbol{H}^1 \mid \cdots \mid \boldsymbol{H}^n)$ satisfy*

$$\sup_{n \geq 1} \rho(\mathcal{H}_n) < \infty. \tag{2.8}$$

Hence $\mathcal{H}_\infty$ is transient.

Proof Employing Lemma 2.14, Observation 2.13, and Lemma 2.16 together yields

$$\rho(\mathcal{H}_n) \lesssim \sum_{j=1}^{n} \left[(5/6)^j + 3^{-j} \right],$$

verifying (2.8). Now Theorem 2.12 yields the transience of $\mathcal{H}_\infty$. □

3 Generalizations and unimodular constructions

Consider a sequence $\gamma = \langle \gamma_1, \ldots, \gamma_b \rangle$ with $\gamma_i \in \mathbb{N}$. Define a tiling $\boldsymbol{T}_\gamma \in \mathcal{T}$ as follows: The unit square is partitioned into b columns of width $1/b$, and for $i \in \{1, 2, \ldots, b\}$, the ith column has γ_i rectangles of height $1/\gamma_i$. For instance, the tiling $\boldsymbol{H}$ from Fig. 1a can be written $\boldsymbol{H} = \boldsymbol{T}_{\langle 3,6,3 \rangle}$.

We will assume throughout this section that $\min(\gamma) = b$ and $\gamma_1 = \gamma_b$. Let us use the notation $|\gamma| := \gamma_1 + \cdots + \gamma_b$. The proof of the next lemma follows just as for Lemma 2.5 using $\min(\gamma) = b$ so that there is a column in $\boldsymbol{T}_\gamma^n$ of height b^n.

Lemma 3.1 *For $n \geq 0$, it holds that $|\boldsymbol{T}_\gamma^n| = |\gamma|^n$, and $b^n \leq \operatorname{diam}(\boldsymbol{T}_\gamma^n) \leq 3b^n$.*

Clearly we have $\alpha_{\boldsymbol{T}_\gamma} \leq |\gamma|/b$. The following lemma can be shown using a similar argument to that of Lemma 2.9. Note that the only symmetry required in the proof of Lemma 2.9 is that the first and last column of $\boldsymbol{T}_\gamma$ have the same geometry, and this is true since $\gamma_1 = \gamma_b$.

Lemma 3.2 *For any $n \geq 1$, it holds that $\alpha_{\boldsymbol{T}_\gamma^n} \leq \alpha_{\boldsymbol{T}_\gamma} \leq |\gamma|/b$.*

The next lemma also follows from Lemma 3.1 and the same reasoning used in the proof of Lemma 2.10. The dependence of the implicit constant on $|\gamma|/b$ comes from Lemma 3.2.

Lemma 3.3 *For any $n \geq 0$, it holds that*

$$|B_G(A, r)| \asymp_{|\gamma|/b} r^{\log_b(|\gamma|)} \qquad \forall A \in \boldsymbol{T}_\gamma^n,\ 1 \leq r \leq \operatorname{diam}(G(\boldsymbol{T}_\gamma^n)).$$

3.1 Degrees of growth

Consider $b, k \in \mathbb{N}$ with $k \geq b \geq 4$, and define the sequence

$$\gamma^{(b,k)} := \Bigg\langle b, \underbrace{\left\lceil \frac{k-3}{b-3} \right\rceil b, \ldots, \left\lceil \frac{k-3}{b-3} \right\rceil b}_{(k-3) \bmod (b-3) \text{ copies}}, b, \underbrace{\left\lfloor \frac{k-3}{b-3} \right\rfloor b, \ldots, \left\lfloor \frac{k-3}{b-3} \right\rfloor b}_{(b-3)-[(k-3) \bmod (b-3)] \text{ copies}}, b \Bigg\rangle.$$

Denote $\boldsymbol{T}_{(b,k)} := \boldsymbol{T}_{\gamma^{(b,k)}}$ and note that $|\gamma^{(b,k)}| = bk$. Define $\mathsf{d}_g(b, k) := \log_b(bk)$, and $\Gamma_{b,k} := \sum_{i=1}^{b} 1/\gamma_i^{(b,k)}$.

Observation 3.4 The following facts hold for $k \geq b \geq 4$ and $n \geq 0$:

(a) There are b^n tiles in the left- and right-most columns of $\boldsymbol{T}^n_{(b,k)}$.
(b) If a pair of consecutive columns in $\boldsymbol{T}^n_{(b,k)}$ have heights h and h', then $\min(h, h')$ divides $\max(h, h')$.

Now observe that Lemma 3.3 yields the following.

Corollary 3.5 *The family of graphs* $\mathcal{F} = \left\{G\left(\boldsymbol{T}^n_{(b,k)}\right) : n \geq 0\right\}$ *has uniform polynomial growth of degree* $\mathsf{d}_g(b,k)$ *in the sense that*

$$|B_G(x,r)| \asymp_k r^{\mathsf{d}_g(b,k)}, \qquad \forall G \in \mathcal{F}, x \in V(G), 1 \leq r \leq \operatorname{diam}(G).$$

For any rational $p/q \geq 2$*, one can achieve* $\mathsf{d}_g(b,k) = p/q$ *by taking* $b = 4^q$ *and* $k = 4^{p-q}$.

Remark 3.6 (Arbitrary real degrees $d > 2$). We note that by considering more general products of tilings, one can obtain planar graphs of uniform polynomial growth of any real degree $d > 2$ for which the main results of this paper still hold. Instead of working with the family of powers $\{\boldsymbol{T}^n_\gamma\}$ for a fixed tiling $\boldsymbol{T}_\gamma$, one defines an infinite sequence $\langle \gamma^{(1)}, \gamma^{(2)}, \ldots \rangle$, and examines the family of graphs $\boldsymbol{T}_{\gamma^{(n)}} \circ \cdots \circ \boldsymbol{T}_{\gamma^{(1)}}$.

More concretely, fix some real $d > 2$, and let us consider a sequence $\{h_n : n \geq 1\}$ of nonnegative integers. Also define $\gamma^{(n)} := \gamma^{(4,4+h_n)}$ and $\boldsymbol{T}^{(n)} := \boldsymbol{T}_{\gamma^{(n)}} \circ \cdots \circ \boldsymbol{T}_{\gamma^{(1)}}$. Then $|\boldsymbol{T}^{(n)}| = 4^n \prod_{j=1}^n (4 + h_j)$, and $\operatorname{diam}(\boldsymbol{T}^{(n)}) \asymp 4^n$. By a similar argument as in Lemma 2.10 based on the recursive structure, it holds that for $i \in \{1, 2, \ldots, n\}$, balls of radius $\asymp 4^i$ in $\boldsymbol{T}^{(n)}$ have volume $\asymp_K 4^i \prod_{j=1}^i (4 + h_j)$, where $K := \max\{h_n : n \geq 1\}$. Given our choice of $\{h_1, \ldots, h_{n-1}\}$, we choose $h_n \geq 0$ as large as possible subject to

$$\sum_{j=1}^n \log_4(1 + h_j/4) \leq (d-2)n.$$

It is straightforward to argue that $K \lesssim_d 1$, and

$$\left| \sum_{j=1}^n \log_4(1 + h_j/4) - (d-2)n \right| \lesssim_d 1,$$

implying that $4^n \prod_{j=1}^n (4 + h_j) \asymp_d 4^{dn}$ for every $n \geq 1$. It follows that the graphs $\{\boldsymbol{T}^{(n)} : n \geq 1\}$ have uniform polynomial growth of degree d.

Let us now return to the graphs $\boldsymbol{T}^n_{(b,k)}$ and analyze the effective resistance across them.

Lemma 3.7 *For every* $n \geq 1$*, it holds that*

$$\Gamma^n_{b,k} \lesssim_k \rho\left(\boldsymbol{T}^n_{(b,k)}\right) \lesssim \Gamma^n_{b,k}.$$

Proof Fix $n \geq 2$ and write $\boldsymbol{T}^n_{(b,k)} = \boldsymbol{T}_{(b,k)} \circ \boldsymbol{T}^{n-1}_{(b,k)}$ as $\boldsymbol{A}_1 \mid \boldsymbol{A}_2 \mid \cdots \mid \boldsymbol{A}_b$ where, for $1 \leq i \leq b$, each $\boldsymbol{A}_i$ is a vertical stack of $\gamma_i^{(b,k)}$ copies of $\boldsymbol{T}^{n-1}_{(b,k)}$. Since $\rho(\boldsymbol{A}_i) = \rho(\boldsymbol{T}^{n-1}_{(b,k)})/\gamma_i^{(b,k)}$ by the parallel law for effective resistances, applying Lemma 2.14 to $\boldsymbol{A}_1 \mid \boldsymbol{A}_2 \mid \cdots \mid \boldsymbol{A}_b$ gives

$$\rho\left(\boldsymbol{T}^n_{(b,k)}\right) \leq \sum_{i-1}^{b} \rho(\boldsymbol{T}^{n-1}_{(b,k)})/\gamma_i^{(b,k)} + \sum_{i=1}^{b-1} \frac{1}{\min\left(\mathscr{R}(\boldsymbol{A}_i), \mathscr{L}(\boldsymbol{A}_{i+1})\right)} \leq \rho(\boldsymbol{T}^{n-1}_{(b,k)})\Gamma_{b,k} + b^{1-n},$$

where in the second inequality we have employed $\min\left(\mathscr{R}(\boldsymbol{A}_i), \mathscr{L}(\boldsymbol{A}_{i+1})\right) \geq b^n$ which follows from Observation 3.4(a). Finally, observe that $\Gamma_{b,k} \geq 1/\gamma_1^{(b,k)} + 1/\gamma_b^{(b,k)} = 2/b$, and therefore the desired upper bound follows by induction.

For the lower bound, note that since the degrees in $\boldsymbol{T}^n_{(b,k)}$ are bounded by k, the Nash-Williams inequality (see, e.g., [15, §5]) gives

$$\rho(\boldsymbol{T}^n_{(b,k)}) \gtrsim_k \sum_{i=2}^{b^n-1} \frac{1}{|K_i|} \gtrsim \sum_{i=1}^{b^n} \frac{1}{|K_i|} = \Gamma^n_{b,k}, \tag{3.1}$$

where K_i is the ith column of rectangles in $\boldsymbol{T}^n_{(b,k)}$, and the last equality follows by a simple induction. □

The next result establishes Theorem 1.1.

Theorem 3.8 *For every $k > b$, the graphs $\mathcal{T}_n^{(b,k)} := G\left(\boldsymbol{T}^0_{(b,k)} \mid \boldsymbol{T}^1_{(b,k)} \mid \cdots \mid \boldsymbol{T}^n_{(b,k)}\right)$ satisfy*

$$\sup_{n\geq 1} \rho\left(\mathcal{T}_n^{(b,k)}\right) < \infty. \tag{3.2}$$

Hence the limit graph $\mathcal{T}_\infty^{(b,k)}$ is transient. Moreover, $\mathcal{T}_\infty^{(b,k)}$ has uniform polynomial growth of degree $\mathsf{d}_g(b,k)$.

Proof Employing Lemma 2.14, Observation 3.4(a), and Lemma 3.7 together yields

$$\rho\left(\mathcal{T}_n^{(b,k)}\right) \lesssim \sum_{j=1}^{n} \left(\Gamma^j_{b,k} + b^{-j}\right).$$

For $k > b$, we have $\max(\gamma^{(b,k)}) > b$ and $\min(\gamma^{(b,k)}) = b$, hence $\Gamma_{b,k} < 1$, verifying (3.2). Now Theorem 2.12 yields transience of $\mathcal{T}_\infty^{(b,k)}$.

Uniform polynomial growth of degree $\mathsf{d}_g(b,k)$ follows from Corollary 3.5 as in the proof of Lemma 2.11. □

3.2 The distributional limit

Fix $k \geq b \geq 4$ and take $G_n := G(\boldsymbol{T}^n_{(b,k)})$. Since the degrees in $\{G_n\}$ are uniformly bounded, the sequence has a subsequential distributional limit, and in all arguments that follow, we could consider any such limit. But let us now argue that if μ_n is the law of (G_n, ρ_n) with $\rho_n \in V(G_n)$ chosen according to the stationary measure, then the measures $\{\mu_n : n \geq 0\}$ have a distributional limit.

Lemma 3.9 *For any $k \geq b \geq 4$, there is a reversible random graph $(G_{b,k}, \rho)$ such that $\{(G_n, \rho_n)\} \Rightarrow (G_{b,k}, \rho)$. Moreover, almost surely $G_{b,k}$ has uniform polynomial volume growth of degree $\mathsf{d}_g(b,k)$.*

Proof It suffices to prove that $\{(G_n, \rho_n)\}$ has a limit $(G_{b,k}, \rho)$. Reversibility of the limit then follows automatically (as noted in Section 1.1.1), and the degree of growth is an immediate consequence of Corollary 3.5. It will be slightly easier to show that the sequence $\{(G_n, \hat{\rho}_n)\}$ has a distributional limit, with $\hat{\rho}_n \in V(G_n)$ chosen uniformly at random. As noted in Section 1.1.1, the claim then follows from [5, Prop. 2.5] (the correspondence between unimodular and reversible random graphs under degree-biasing).

Let $\mu_{n,r}$ be the law of $B_{G_n}(\hat{\rho}_n, r)$. It suffices to show that the measures $\{\mu_{n,r} : n \geq 0\}$ converge for every fixed $r \geq 1$, and then a standard application of Kolmogorov's extension theorem proves the existence of a limit.

For a tiling $\boldsymbol{T}$ of a rectangle R, let $\partial \boldsymbol{T}$ denote the set of tiles that intersect some side of R. Define the neighborhood $N_r(\partial \boldsymbol{T}^n_{(b,k)}) := \{v \in \boldsymbol{T}^n_{(b,k)} : d_{G_n}(v, \partial \boldsymbol{T}^n_{(b,k)}) \leq r\}$ and abbreviate $\mathsf{d} = \mathsf{d}_g(b,k)$. Then $|\partial \boldsymbol{T}^n_{(b,k)}| \leq 4b^n$, so Corollary 3.5 gives

$$\left| N_r(\partial \boldsymbol{T}^n_{(b,k)}) \right| \lesssim_k b^n r^{\mathsf{d}}.$$

Since $|\boldsymbol{T}^n_{(b,k)}| = (bk)^n$, it follows that

$$1 - \mathbb{P}\left[\mathcal{E}_{r,n}\right] \lesssim_k k^{-n} r^{\mathsf{d}},$$

where $\mathcal{E}_{r,n}$ is the event $\{B_{G_n}(\hat{\rho}_n, r) \cap \partial \boldsymbol{T}^n_{(b,k)} = \emptyset\}$.

Now write $\boldsymbol{T}^n_{(b,k)} = \boldsymbol{T}_{(b,k)} \circ \boldsymbol{T}^{n-1}_{(b,k)}$, and note that $\hat{\rho}_n$ falls into one of the $|\gamma^{(b,k)}| = bk$ copies of G_{n-1} and is, moreover, uniformly distributed in that copy. Therefore we can naturally couple $(G_n, \hat{\rho}_n)$ and $(G_{n-1}, \hat{\rho}_{n-1})$ by identifying $\hat{\rho}_n$ with $\hat{\rho}_{n-1}$. Moreover, conditioned on the event $\mathcal{E}_{r,n-1}$, we can similarly couple $B_{G_n}(\hat{\rho}_n, r)$ and $B_{G_{n-1}}(\hat{\rho}_{n-1}, r)$.

It follows that, for every $r \geq 1$,

$$d_{TV}\left(\mu_{n-1,r}, \mu_{n,r}\right) \leq 1 - \mathbb{P}[\mathcal{E}_{r,n-1}] \lesssim_k k^{-n} r^{\mathsf{d}}.$$

As the latter sequence is summable, it follows that $\{\mu_{n,r}\}$ converges for every fixed $r \geq 1$, completing the proof. □

3.3 Speed of the random walk

Let $\{X_t\}$ denote the random walk on $G_{b,k}$ with $X_0 = \rho$. Our first goal will be to prove a lower bound on the speed of the walk. Define:

$$\mathsf{d}_w(b,k) := \mathsf{d}_g(b,k) + \log_b(\Gamma_{b,k}).$$

We will show that $\mathsf{d}_w(b,k)$ is related to the speed exponent for the random walk.

Theorem 3.10 *Consider any $k \geq b \geq 4$. It holds that for all $T \geq 1$,*

$$\mathbb{E}\left[d_{G_{b,k}}(X_T, X_0) \mid X_0 = \rho\right] \gtrsim_k T^{1/d_w(b,k)}. \tag{3.3}$$

Before proving the theorem, let us observe that it yields Theorem 1.3. Fix $k \geq b \geq 4$. Observe that for any positive integer $p \geq 1$, we have $\mathsf{d}_g(b^p, k^p) = \mathsf{d}_g(b,k)$. On the other hand,

$$\begin{aligned}
\mathsf{d}_g(b^p,k^p) - \mathsf{d}_w(b^p,k^p) &= -\log_{b^p}(\Gamma_{b^p,k^p}) \\
&\geq -\log_{b^p}\left(3b^{-p} + (b/k)^p\right) - o_p(1) \\
&\geq \min\left(1, \log_b(k) - 1\right) - o_p(1) \\
&\geq \min\left(1, \mathsf{d}_g(b^p,k^p) - 2\right) - o_p(1)\,.
\end{aligned} \tag{3.4}$$

So for every $\varepsilon > 0$, there is some $p = p(\varepsilon)$ such that

$$\mathsf{d}_w(b^p,k^p) \leq \max\left(2, \mathsf{d}_g(b^p,k^p) - 1\right) + \varepsilon\,,$$

and moreover G_{b^p,k^p} almost surely has uniform polynomial growth of degree $\mathsf{d}_g(b,k)$. Combining this with the construction of Corollary 3.5 for all rational $d \geq 2$ yields Theorem 1.3.

3.3.1 The linearized graphs

Fix integers $k \geq b \geq 4$ and $n \geq 1$, and let us consider now the (weighted) graph $L = L^n_{(b,k)}$ derived from $G = G(\boldsymbol{T}^n_{(b,k)})$ by identifying every column of rectangles into a single vertex. Thus $|V(L)| = b^n$.

We connect two vertices $u, v \in V(L)$ if their corresponding columns $\mathcal{C}_u$ and $\mathcal{C}_v$ in G are adjacent, and we define the conductances $c_{uv} := |E_G(\mathcal{C}_u, \mathcal{C}_v)|$, where $E_G(S,T)$ denotes the number of edges between two subsets $S, T \subseteq V(G)$. Define additionally $c_{uu} := 2|\mathcal{C}_u|$ and

$$c_u := c_{uu} + \sum_{v:\{u,v\}\in E(L)} c(\{u,v\})\,.$$

Let us order the vertices of L from left to right as $V(L) = \{\ell_1, \ldots, \ell_{b^n}\}$. The series law for effective resistances gives the following.

Observation 3.11 For $1 \le i \le t \le j \le b^n$, we have

$$\mathsf{R}^L_{\text{eff}}(\ell_i \leftrightarrow \ell_j) = \mathsf{R}^L_{\text{eff}}(\ell_i \leftrightarrow \ell_t) + \mathsf{R}^L_{\text{eff}}(\ell_t \leftrightarrow \ell_j)$$

We will use this to bound the resistance between any pair of columns.

Lemma 3.12 *If $1 \le s < t \le b^n$, then*

$$R^L_{\text{eff}}(\ell_s \leftrightarrow \ell_t) \cdot \left(c_{\ell_s} + c_{\ell_{s+1}} + \cdots + c_{\ell_t}\right) \asymp_k (\Gamma_{b,k} \cdot bk)^{\log_b(t-s)}. \tag{3.5}$$

Proof Let us first establish the upper bound. Denote $h := \lceil \log_b(t-s) \rceil$, $\boldsymbol{T} := \boldsymbol{T}_{(b,k)}$, and $\Gamma := \Gamma_{b,k}$. Write $\boldsymbol{T}^n = \boldsymbol{T}^{n-h} \circ \boldsymbol{T}^h$ and along this decomposition, partition $\boldsymbol{T}^n$ into b^{n-h} sets of tiles $\mathcal{D}_1, \ldots, \mathcal{D}_{b^{n-h}}$, where each $\mathcal{D}_i$ is formed from adjacent columns

$$\mathcal{D}_i := \mathcal{C}_{(i-1)\cdot b^h+1} \cup \cdots \cup \mathcal{C}_{i \cdot b^h}. \tag{3.6}$$

Suppose that, for $1 \le i \le b^{n-h}$, the tiling $\boldsymbol{T}^{n-h}$ has β_i tiles in its ith column. Then $\mathcal{D}_i$ consists of β_i copies of $\boldsymbol{T}^h$ stacked atop each other.

Thus we have $|\mathcal{D}_i| = \beta_i |\boldsymbol{T}^h|$, and furthermore $\rho(\mathcal{D}_i) \le \rho(\boldsymbol{T}^h)/\beta_i$, hence

$$\rho(\mathcal{D}_i) \cdot |\mathcal{D}_i| \le \rho(\boldsymbol{T}^h) \cdot |\boldsymbol{T}^h| \lesssim \Gamma^h \cdot (bk)^h, \tag{3.7}$$

where the last inequality uses Lemma 3.7.

Let $1 \le i \le j \le b^{n-h}$ be such that $\mathcal{C}_s \subseteq \mathcal{D}_i$ and $\mathcal{C}_t \subseteq \mathcal{D}_j$. Since $t \le s + b^h$, and each set $\mathcal{D}_i$ consists of b^h consecutive columns, it must be that $j \le i + 1$. If $i = j$, then $|\mathcal{C}_s| + \cdots + |\mathcal{C}_t| \le |\mathcal{D}_i|$, and Observation 3.11 gives

$$\mathsf{R}^L_{\text{eff}}(\ell_s \leftrightarrow \ell_t) \le \rho(\mathcal{D}_i),$$

thus (3.7) yields (3.5), as desired.

Suppose, instead, that $j = i + 1$. From Lemma 3.2, we have $\alpha_{\boldsymbol{T}^{n-h}} \le |\gamma|/b \le k$. Therefore $1/k \le \beta_{i+1}/\beta_i \le k$. Since the degrees in $G(\boldsymbol{T}^n_{(b,k)})$ are bounded by k, this yields the following claim, which we will also employ later.

Claim 3.13 For any $\ell_i \in V(L)$, we have

$$c_{\ell_i} \asymp_k |\mathcal{C}_i|, \tag{3.8}$$

and for any $D > 0$ and columns $\mathcal{C}_a \in \mathcal{D}_i$ and $\mathcal{C}_b \in \mathcal{D}_j$ with $|i - j| \le D$, it holds that

$$c_{\ell_a} \asymp_k |\mathcal{C}_a| \asymp_{k,D} |\mathcal{C}_b| \asymp_k c_{\ell_b}. \tag{3.9}$$

Thus using Corollary 2.15 (and noting that each $\mathcal{D}_i$ is non-degenerate) along with (3.7) gives

$$\rho(\mathcal{D}_i \cup \mathcal{D}_{i+1}) = \rho(\mathcal{D}_i \mid \mathcal{D}_{i+1}) \lesssim_k \rho(\mathcal{D}_i) + \rho(\mathcal{D}_{i+1}) \lesssim_k \rho(\boldsymbol{T}^h)/\beta_i.$$

Since it also holds that $|\mathcal{D}_i|+|\mathcal{D}_{i+1}| = (\beta_i+\beta_{i+1})|\boldsymbol{T}^h| \le 2k\beta_i|\boldsymbol{T}^h|$, Observation 3.11 gives

$$\mathsf{R}^L_{\mathrm{eff}}(\ell_s \leftrightarrow \ell_t)\cdot(|\mathcal{C}_s|+\cdots+|\mathcal{C}_t|) \le \rho(\mathcal{D}_i\cup\mathcal{D}_{i+1})|\mathcal{D}_i\cup\mathcal{D}_{i+1}| \lesssim_k \rho(\boldsymbol{T}^h)|\boldsymbol{T}^h|,$$

and again (3.7) establishes (3.5). Now (3.8) completes the proof of the upper bound.

For the lower bound, define $h' := \lfloor \log_b(t-s)\rfloor - 1$ and decompose $\boldsymbol{T}^n = \boldsymbol{T}^{n-h'}\circ\boldsymbol{T}^{h'}$. Partition $\boldsymbol{T}^n$ similarly into $b^{n-h'}$ sets of tiles $\mathcal{D}_1,\dots,\mathcal{D}_{b^{n-h'}}$. Suppose that $\mathcal{C}_s\subseteq\mathcal{D}_i$ and $\mathcal{C}_t\subseteq\mathcal{D}_j$, and note that the width of each $\mathcal{D}_i$ is $b^{h'}$ and $b^{h'+2}\ge t-s\ge b^{h'+1}$, hence $j > i+1$. Therefore using again Observation 3.11 and the Nash-Williams inequality, we have

$$\mathsf{R}^L_{\mathrm{eff}}(\ell_s\leftrightarrow\ell_t) \ge \sum_{j=s+1}^{t-1}\frac{1}{c_{\ell_j}} \overset{(3.8)}{\gtrsim_k} \sum_{j=s+1}^{t-1}\frac{1}{|\mathcal{C}_j|} \ge \sum_{j=(i-1)b^h+1}^{ib^h}\frac{1}{|\mathcal{C}_j|} = \frac{1}{\beta_{i+1}}\Gamma^n_{b,k},$$

where the final inequality uses (3.1). Note also that

$$|\mathcal{C}_s|+\cdots+|\mathcal{C}_t| \ge |\mathcal{D}_{i+1}| = \beta_{i+1}|\boldsymbol{T}^{h'}| = \beta_{i+1}(bk)^{h'}.$$

An application of (3.8) completes the proof of the lower bound. □

3.3.2 Rate of escape in L

Consider again the linearized graph $L = L^n_{(b,k)}$ with conductances $c : E(L)\to\mathbb{R}_+$ defined in Section 3.3.1, and let $\{Y_t\}$ be the random walk on L defined by

$$\mathbb{P}[Y_{t+1} = v \mid Y_t = u] = \frac{c_{uv}}{c_u}, \quad \{u,v\}\in E(L) \text{ or } u = v. \tag{3.10}$$

Let π_L be the stationary measure of $\{Y_t\}$.

For a parameter $1\le h\le n$, consider the decomposition $\boldsymbol{T}^n = \boldsymbol{T}^{n-h}\circ\boldsymbol{T}^h$, and let $V_1, V_2, \dots, V_{b^{n-h}}$ be a partition of $V(L)$ into continguous subsets with $|V_1| = |V_2| = \cdots = |V_b^{n-h}| = b^h$.

Let $\{Z_i : i\in\{1,2,\dots,b^{n-h}\}\}$ be a collection of independent random variables with

$$\mathbb{P}[Z_i = v] = \frac{\pi_L(v)}{\pi_L(V_i)}, \quad v\in V_i.$$

Define the random time $\tau(h)$ as follows: Given $Y_0\in V_j$, let $\tau(h)$ be the first time $\tau\ge 1$ at which

$$\begin{aligned} Y_\tau &\in \{Z_{j-2}, Z_{j+2}\} \qquad 3 \le j \le b^{n-h} - 2 \\ Y_\tau &= Z_{j+2} \qquad j \in \{1, 2\} \\ Y_\tau &= Z_{j-2} \qquad j \in \{b^{n-h} - 1, b^{n-h}\}. \end{aligned}$$

The next lemma shows that the law of the walk stopped at time $\tau(h)$ is within a constant factor of the stationary measure.

Lemma 3.14 *Suppose Y_0 is chosen according to π_L. Then for every $v \in V(L)$,*

$$\mathbb{P}\left[Y_{\tau(h)} = v\right] \asymp_k \pi_L(v).$$

Proof Consider some $5 \le j \le b^{n-h} - 4$ and $v \in V_j$. The proof for the other cases is similar. Let $\mathcal{E}$ denote the event $\{Y_0 \in \{V_{j-2}, V_{j+2}\}\}$. The conditional measure is

$$\mathbb{P}[Y_0 = u \mid \mathcal{E}] = \frac{\pi_L(u)}{\pi_L(V_{j-2}) + \pi_L(V_{j+2})}, \quad u \in V_{j-2} \cup V_{j+2}.$$

Consider three linearly ordered vertices $v, u, w \in V(L)$, i.e., such that v, w are in distinct connected components of $L[V(L) \setminus \{u\}]$. Let $p_u^{v \prec w}$ denote the probability that the random walk, started from $Y_0 = u$ hits v before it hits w. Now we have:

$$\begin{aligned} \mathbb{P}\left[Y_{\tau(h)} = v\right] = \frac{\pi_L(v)}{\pi_L(V_j)} \Bigg(&\sum_{u \in V_{j-2}} \pi_L(u) \sum_{w \in V_{j-4}} \frac{\pi_L(w)}{\pi_L(V_{j-4})} p_u^{v \prec w} \\ &+ \sum_{u \in V_{j+2}} \pi_L(u) \sum_{w \in V_{j+4}} \frac{\pi_L(w)}{\pi_L(V_{j+4})} p_u^{v \prec w} \Bigg) \end{aligned} \tag{3.11}$$

It is a classical fact (see [15, Ch. 2]) that

$$p_u^{v \prec w} = \frac{\mathsf{R}_{\mathrm{eff}}^L(u \leftrightarrow w)}{\mathsf{R}_{\mathrm{eff}}^L(u \leftrightarrow v) + \mathsf{R}_{\mathrm{eff}}^L(u \leftrightarrow w)} = \frac{\mathsf{R}_{\mathrm{eff}}^L(u \leftrightarrow w)}{\mathsf{R}_{\mathrm{eff}}^L(v \leftrightarrow w)},$$

where the final equality uses Observation 3.11 and the fact that v, u, w are linearly ordered.

Thus from Lemma 3.12 and (3.9), whenever $w \in V_{j-4}, u \in V_{j-2}, v \in V_j$ or $u \in V_{j+2}, v \in V_j, w \in V_{j+4}$, it holds that

$$p_u^{v \prec w} \asymp_k 1$$

Another application of (3.9) gives

$$\pi_L(V_{j-2}) \asymp_k \pi_L(V_{j-4}) \asymp_k \pi_L(V_j) \asymp_k \pi_L(V_{j+2}),$$

hence (3.11) gives

$$\mathbb{P}\left[Y_{\tau(h)} = v\right] \asymp_k \pi_L(v),$$

completing the proof. □

Lemma 3.15 *It holds that* $\mathbb{E}[\tau(h) \mid Y_0] \lesssim_k b^{h\,\mathsf{d}_w(b,k)}$.

Proof Consider a triple of vertices $u \in V_j$, $v \in V_{j-2}$, $w \in V_{j+2}$ for $3 \le j \le b^{n-h}-2$. Let $\tau_{v,w}$ be the smallest time $\tau \ge 0$ such that $X_\tau \in \{v, w\}$, and denote

$$t_u^{v,w} := \mathbb{E}[\tau_{v,w} \mid Y_0 = u].$$

Then the standard connection between hitting times and effective resistances [11] yields

$$t_u^{v,w} \le 2\left(\sum_{i=j-2}^{j+2}\sum_{x\in V_i} c_x\right)\min\left(\mathsf{R}_{\mathrm{eff}}^L(u \leftrightarrow v), \mathsf{R}_{\mathrm{eff}}^L(u \leftrightarrow w)\right) \lesssim_k \left(\Gamma_{b,k} bk\right)^h,$$

where the last line employs Lemma 3.12. Recalling that $\mathsf{d}_w(b,k) = \log_b(bk\Gamma_{b,k})$, this yields

$$\mathbb{E}[\tau(h) \mid Y_0 = v] \lesssim_k b^{h\,\mathsf{d}_w(b,k)}$$

for any $v \in V_3 \cup V_4 \cup \cdots \cup V_{b^{n-h}-2}$. A one-sided variant of the argument follows in the same manner for $v \in V_j$ when $j \le 2$ or $j \ge b^{n-h}-1$. □

Lemma 3.16 *Let* Y_0 *have law* π_L. *There is a number* $c_k > 0$ *such that for any* $T \le c_k \mathrm{diam}(L)^{\mathsf{d}_w(b,k)}$, *we have*

$$\mathbb{E}\left[d_L(Y_0, Y_T)\right] \gtrsim_k T^{1/\mathsf{d}_w(b,k)}.$$

Proof First, we claim that for every $T \ge 1$,

$$\mathbb{E}\left[d_L(Y_0, Y_T)\right] \ge \frac{1}{2}\max_{0\le t\le T}\mathbb{E}\left[d_L(Y_0, Y_t) - d_L(Y_0, Y_1)\right]. \tag{3.12}$$

Let $s' \le T$ be such that

$$\mathbb{E}[d_L(Y_0, Y_{s'})] = \max_{0\le t\le T}\mathbb{E}[d_L(Y_0, Y_t)].$$

Then there exists an even time $s \in \{s', s'-1\}$ such that $\mathbb{E}[d_L(Y_0, Y_s)] \ge \mathbb{E}[d_L(Y_0, Y_{s'}) - d_L(Y_0, Y_1)]$. Consider $\{Y_t\}$ and an identically distributed walk $\{\tilde{Y}_t\}$

such that $\tilde{Y}_t = Y_t$ for $t \le s/2$ and $\tilde{Y}_t$ evolves independently after time $s/2$. By the triangle inequality, we have

$$d_L(Y_0, \tilde{Y}_T) + d_L(\tilde{Y}_T, Y_s) \ge d_L(Y_0, Y_s).$$

But since $\{Y_t\}$ is stationary and reversible, $(Y_0, \tilde{Y}_T)$ and $(\tilde{Y}_T, Y_s)$ have the same law as (Y_0, Y_T). Taking expectations yields (3.12).

Let $h \in \{1, 2, \ldots, n\}$ be the largest value such that $\mathbb{E}[\tau(h)] \le T$. We may assume that T is sufficiently large so that $\mathbb{E}[\tau(1)] \le T$, and Lemma 3.15 guarantees that

$$b^h \gtrsim_k T^{1/\mathsf{d}_w(b,k)}, \tag{3.13}$$

as long as $h < n$ (which gives our restriction $T \le c_k b^{h\mathsf{d}_w(b,k)} = c_k \mathrm{diam}(L)^{1/\mathsf{d}_w(b,k)}$ for some $c_k > 0$).

From the definition of $\tau(h)$, we have

$$d_L(Y_0, Y_{\tau(h)}) \ge b^h,$$

hence the triangle inequality implies

$$d_L(Y_0, Y_{2T}) \ge \mathbb{1}_{\{\tau(h) \le 2T\}} \left(b^h - d_L(Y_{\tau(h)}, Y_{2T}) \right). \tag{3.14}$$

Again, let $\{\tilde{Y}_t\}$ be an independent copy of $\{Y_t\}$. Then since $\mathbb{P}(\tau(h) \le 2T) \ge 1/2$, Lemma 3.14 implies

$$\mathbb{P}\left[Y_{\tau(h)} = v \mid \{\tau(h) \le 2T\} \right] \le 2\,\mathbb{P}\left[Y_{\tau(h)} = v \right] \lesssim_k \mathbb{P}\left[\tilde{Y}_0 = v \right].$$

Therefore,

$$\mathbb{E}\left[\mathbb{1}_{\{\tau(h) \le 2T\}}\, d_L(Y_{\tau(h)}, Y_{2T}) \right] \lesssim_k \mathbb{E}\left[\mathbb{1}_{\{\tau(h) \le 2T\}}\, d_L(\tilde{Y}_0, \tilde{Y}_{2T-\tau(h)}) \right].$$

Define $\eta := b^{-h} \max_{0 \le t \le 2T} \mathbb{E}[d_L(Y_0, Y_t)]$. Using the above bound yields

$$\mathbb{E}\left[\mathbb{1}_{\{\tau(h) \le 2T\}}\, d_L(Y_{\tau(h)}, Y_{2T}) \right] \le C(k)\, \mathbb{P}(\tau(h) \le 2T)\, \eta b^h,$$

for some number $C(k)$. Taking expectations in (3.14) gives

$$\mathbb{E}\left[d_L(Y_0, Y_{2T}) \right] \ge \mathbb{P}(\tau(h) \le 2T)\,(1 - \eta C(k))\, b^h \ge \frac{1}{2}\,(1 - \eta C(k))\, b^h.$$

If $\eta \le 1/(2C(k))$, then $\mathbb{E}[d_L(Y_0, Y_{2T})] \ge b^h/4$. If, on the other hand, $\eta > 1/(2C(k))$, then (3.12) yields

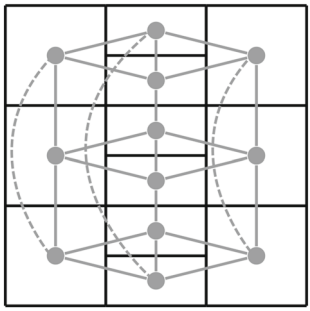


Fig. 6 The cylindrical graph $\tilde{G}$ for $G = G(\boldsymbol{T}_{\langle 3,6,3\rangle})$. The new edges are dashed

$$\mathbb{E}[d_L(Y_0, Y_{2T})] \geq \frac{1}{2}\left(\eta b^h - 1\right) \gtrsim_k b^h.$$

Now (3.13) completes the proof. □

3.3.3 Rate of escape in $G_{b,k}$

Consider now the graphs $G_n := G(\boldsymbol{T}^n_{(b,k)})$ for some $k \geq b \geq 4$ and $n \geq 1$. Let us define the cylindrical version $\tilde{G}_n$ of G_n with the same vertex set, but additionally and edge from the top tile to the bottom tile in every column (see Fig. 6). If we choose $\tilde{\rho}_n \in V(\tilde{G}_n)$ according to the stationary measure on $\tilde{G}_n$, then clearly $\{(\tilde{G}_n, \tilde{\rho}_n)\} \Rightarrow (G_{b,k}, \rho)$ as well.

Define also $L_n := L^n_{b,k}$. Because of Observation 3.4(b), the graph $\tilde{G}_n$ has vertical symmetry: Tiles within a column all have the same degree and, more specifically, have the same number of neighbors on the left and on the right. Let $\pi_n : V(G_n) \to V(L_n)$ denote the projection map and observe that

$$d_{\tilde{G}_n}(u, v) \geq d_{L_n}(\pi_n(u), \pi_n(v)), \qquad \forall u, v \in V(G_n).$$

Let $\{X^{(n)}_t\}$ denote the random walk on $\tilde{G}_n$ with $X^{(n)}_0 = \tilde{\rho}_n$, and let $\{Y^{(n)}_t\}$ be the stationary random walk on L_n defined in (3.10).

Note that, by construction, $\{\pi_n(X^{(n)}_t)\}$ and $\{Y^{(n)}_t\}$ have the same law, and therefore

$$\mathbb{E}\left[d_{\tilde{G}_n}\left(X^{(n)}_0, X^{(n)}_T\right)\right] \geq \mathbb{E}\left[d_{L_n}\left(Y^{(n)}_0, Y^{(n)}_T\right)\right]. \tag{3.15}$$

With this in hand, we can establish speed lower bounds in the limit $(G_{b,k}, \rho)$.

Proof of Theorem 3.10 Observe that (3.15) in conjunction with Lemma 3.16 gives, for every $T \leq c_k(\mathrm{diam}(\tilde{G}_n)/2)^{\mathsf{d}_w(b,k)}$,

$$\mathbb{E}\left[d_{\tilde{G}_n}\left(X^{(n)}_0, X^{(n)}_T\right)\right] \gtrsim_k T^{1/\mathsf{d}_w(b,k)}$$

Since $\{(\tilde{G}_n, \rho_n)\} \Rightarrow (G, \rho)$ by Lemma 3.9, it holds that if $\{X_t\}$ is the random walk on G with $X_0 = \rho$, then for all $T \geq 1$,

$$\mathbb{E}\,[d_G(X_0, X_T)] \gtrsim_k T^{1/\mathsf{d}_w(b,k)}.$$

□

3.4 Annular resistances

We will establish Theorem 1.5 by proving the following.

Theorem 3.17 *For any $k \geq b \geq 4$, there is a constant $C = C(k)$ such that for $G = G_{b,k}$, almost surely*

$$R^G_{\mathrm{eff}}\left(B_G(\rho, R) \leftrightarrow V(G) \setminus B_G(\rho, 2R)\right) \leq C R^{\log_b(\Gamma_{b,k})}, \quad \forall R \geq 1.$$

To see that this yields Theorem 1.5, consider some $k \geq b^2$, corresponding to the restriction $\mathsf{d}_g(b,k) \geq 3$. Then for all positive integers $p \geq 1$, we have $\mathsf{d}_g(b^p, k^p) = \mathsf{d}_g(b,k)$ and recalling (3.4),

$$\lim_{p\to\infty} \log_{b^p}\left(\Gamma_{b^p,k^p}\right) = -1\,.$$

To prove Theorem 3.17, it suffices to show the following.

Lemma 3.18 *For every $n \geq 1$, $k \geq b \geq 4$, there is a constant $C = C(k)$ such that for $G = G(\boldsymbol{T}^n_{(b,k)})$, we have*

$$R^G_{\mathrm{eff}}\left(B_G(x, R) \leftrightarrow V(G) \setminus B_G(x, 2R)\right) \leq C R^{\log_b(\Gamma_{b,k})}, \quad \forall x \in V(G), 1 \leq R \leq \mathrm{diam}(G)/C\,.$$

Proof Denote $\boldsymbol{T} := \boldsymbol{T}_{(b,k)}$. Consider some value $1 \leq R \leq \mathrm{diam}(G)/C$, and define $h := \lfloor \log_b(R/3) \rfloor$.

Let $\mathcal{C}_1, \ldots, \mathcal{C}_{b^n}$ denote the columns of $\boldsymbol{T}^n$ and writing $\boldsymbol{T}^n = \boldsymbol{T}^{n-h} \circ \boldsymbol{T}^h$, let us partition the columns into consecutive sets $\mathcal{D}_1, \ldots, \mathcal{D}_{b^{n-h}}$ (as in the proof of Lemma 3.12), where $\mathcal{D}_i = \mathcal{C}_{(i-1)b^h+1} \cup \cdots \cup \mathcal{C}_{ib^h}$. For $1 \leq i \leq b^{n-h}$, let β_i denote the number of tiles in the ith column of $\boldsymbol{T}^{n-h}$ so that $\mathcal{D}_i$ consists of β_i copies of $\boldsymbol{T}^h$ stacked vertically.

Fix some vertex $x \in V(G)$ and suppose that $x \in \mathcal{D}_s$ for some $1 \leq s \leq b^{n-h}$. Denote $\Delta := 9b$. By choosing C sufficiently large, we can assume that $b^{n-h} > 2\Delta$, so that either $s \leq b^{n-h} - \Delta$ or $s \geq 1 + \Delta$. Let us assume that $s \leq b^{n-h} - \Delta$, as the other case is treated symmetrically. Define $t := \lceil s + 2 + 6b \rceil$ so that $t - s \leq \Delta$, and

$$d_G(\mathcal{D}_s, \mathcal{D}_t) \geq b^h(t - s - 1) \geq (t - s - 1)\frac{R}{3b} > 2R\,. \tag{3.16}$$

Denote $\xi := \gcd(\beta_s, \beta_{s+1}, \ldots, \beta_t)$. We claim that

$$\xi \gtrsim_k \max(\beta_s, \beta_{s+1}, \ldots, \beta_t)\,. \tag{3.17}$$

This follows because $\min(\beta_i, \beta_{i+1}) \mid \max(\beta_i, \beta_{i+1})$ for all $1 \le i < b^n$ (cf. Observation 3.4(b)), and moreover the ratio $\max(\beta_i, \beta_{i+1})/\min(\beta_i, \beta_{i+1})$ is bounded by a function depending only on k. Since $t - s \lesssim_k 1$, this verifies (3.17).

Denote $\hat{\mathcal{D}} := \mathcal{D}_s \cup \cdots \cup \mathcal{D}_t$. One can verify that $\hat{\mathcal{D}}$ is a vertical stacking of ξ copies of $\hat{\boldsymbol{T}} := \boldsymbol{T}_{\langle \beta_s/\xi, \ldots, \beta_t/\xi \rangle} \circ \boldsymbol{T}^h$, and Corollary 2.15 implies that

$$\rho(\hat{\boldsymbol{T}}) \lesssim_k \rho(\boldsymbol{T}^h) \lesssim \Gamma_{b,k}^h , \tag{3.18}$$

with the final inequality being the content of Lemma 3.7.

Let $\hat{\boldsymbol{A}}$ be the copy of $\hat{\boldsymbol{T}}$ that contains x, and let $\boldsymbol{S}$ be the copy of $\boldsymbol{T}^h$ in $\boldsymbol{T}^n = \boldsymbol{T}^{n-h} \circ \boldsymbol{T}^h$ that contains x. Since ξ divides β_s, it holds that $\boldsymbol{S} \subseteq \hat{\boldsymbol{A}}$ and $\mathscr{L}(\boldsymbol{S}) \subseteq \mathscr{L}(\hat{\boldsymbol{A}})$. We further have

$$|\mathscr{L}(\hat{\boldsymbol{A}})| = |\mathscr{L}(\hat{\boldsymbol{T}})| = (\beta_s/\xi)|\mathscr{L}(\boldsymbol{T}^h)| = (\beta_s/\xi)|\mathscr{L}(\boldsymbol{S})| \lesssim_k |\mathscr{L}(\boldsymbol{S})| .$$

This yields

$$\mathsf{R}_{\mathrm{eff}}^G(\mathbb{1}_{\mathscr{L}(\boldsymbol{S})}/|\mathscr{L}(\boldsymbol{S})| \leftrightarrow \mathscr{R}(\hat{\boldsymbol{A}})) \lesssim_k \mathsf{R}_{\mathrm{eff}}^G(\mathbb{1}_{\mathscr{L}(\hat{\boldsymbol{A}})}/|\mathscr{L}(\hat{\boldsymbol{A}})| \leftrightarrow \mathscr{R}(\hat{\boldsymbol{A}})), \tag{3.19}$$

where we have used the hybrid notation: For $s \in \ell_1(V)$,

$$\mathsf{R}_{\mathrm{eff}}^G(s \leftrightarrow U) := \inf \left\{ \mathsf{R}_{\mathrm{eff}}^G(s, t) : \mathrm{supp}(t) \subseteq U, \|t\|_1 = \|s\|_1 \right\} .$$

Therefore,

$$\begin{aligned} \mathsf{R}_{\mathrm{eff}}^G(\mathscr{L}(\boldsymbol{S}) \leftrightarrow \mathscr{R}(\hat{\boldsymbol{A}})) &\le \mathsf{R}_{\mathrm{eff}}^G(\mathbb{1}_{\mathscr{L}(\boldsymbol{S})}/|\mathscr{L}(\boldsymbol{S})| \leftrightarrow \mathscr{R}(\hat{\boldsymbol{A}})) \\ &\overset{(3.19)}{\lesssim_k} \mathsf{R}_{\mathrm{eff}}^G(\mathbb{1}_{\mathscr{L}(\hat{\boldsymbol{A}})}/|\mathscr{L}(\hat{\boldsymbol{A}})| \leftrightarrow \mathscr{R}(\hat{\boldsymbol{A}})) = \rho(\hat{\boldsymbol{T}}) \overset{(3.18)}{\lesssim_k} \Gamma_{b,k}^h . \end{aligned}$$

Since $\mathrm{diam}_G(\boldsymbol{S}) \le 3b^h \le R$ and $x \in \boldsymbol{S}$, it holds that $\boldsymbol{S} \subseteq B_G(x, R)$. On the other hand, since $x \in \boldsymbol{S}$, (3.16) shows that $B_G(x, 2R) \cap \mathscr{R}(\hat{\boldsymbol{A}}) = \emptyset$. We conclude that

$$\begin{aligned} &\mathsf{R}_{\mathrm{eff}}^G(B_G(x, R) \leftrightarrow V(G) \setminus B_G(x, 2R)) \le \mathsf{R}_{\mathrm{eff}}^G(\mathscr{L}(\boldsymbol{S}) \leftrightarrow \mathscr{R}(\hat{\boldsymbol{A}})) \\ &\quad \lesssim_k \Gamma_{b,k}^h \lesssim_k R^{\log_b(\Gamma_{b,k})}, \end{aligned}$$

as desired. □

3.5 Complements of balls are connected

Let us finally prove Theorem 1.4. Recall the setup from Section 3.3.3: We take $k \ge b \ge 4$, define $G_n = G(\boldsymbol{T}_{(b,k)}^n)$, and use $(\tilde{G}_n, \tilde{\rho}_n)$ to denote the cylindrical version, which satisfies $\{(\tilde{G}_n, \tilde{\rho}_n)\} \Rightarrow (G_{b,k}, \rho)$.

Partition the vertices of $\tilde{G}_n$ into columns $\mathcal{C}_1, \ldots, \mathcal{C}_{b^n}$ in the natural way (as was done in Sects. 3.3 and 3.4). In what follows, we say that a set $S \subseteq V(\tilde{G}_n)$ *is connected* to mean that $\tilde{G}_n[S]$ is a connected graph.

Definition 3.19 Say that a set of vertices $U \subseteq V(\tilde{G}_n)$ is *vertically convex* if for all $1 \le i \le b^n$, either $U \cap \mathcal{C}_i = \emptyset$ or $U \cap \mathcal{C}_i$ is connected.

Observation 3.20 Consider a connected set $U \subseteq \mathcal{C}_i$ for some $1 \le i \le b^n$. Then for $1 \le i' \le b^n$ with $|i - i'| \le 1$, the set $B_{\tilde{G}_n}(U, 1) \cap \mathcal{C}_{i'}$ is connected.

Momentarily, we will argue that balls in $\tilde{G}_n$ are vertically convex.

Lemma 3.21 *Consider any $A \in \boldsymbol{T}$ and $R \ge 0$. It holds that $B_{\tilde{G}_n}(A, R)$ is vertically convex.*

With this lemma in hand, it is easy to establish the following theorem which, in conjunction with Lemma 3.9, implies Theorem 1.4.

Theorem 3.22 *Almost surely, the complement of every ball in $G_{b,k}$ is connected.*

Proof Since $\{(\tilde{G}_n, \tilde{\rho}_n)\} \Rightarrow G_{b,k}$, it suffices to argue that for every $n \ge 1$, $x \in V(\tilde{G}_n)$, and $R \le b^n/3$, the set $U := V(\tilde{G}_n) \setminus B_{\tilde{G}_n}(x, R)$ is connected.

By Lemma 3.21, it holds that $B_{\tilde{G}_n}(x, R)$ is vertically convex. Since the complement of a vertically convex set is vertically convex (given that every column in $\tilde{G}_n$ is isomorphic to a cycle), U is vertically convex as well. To argue that U is connected, it therefore suffices to prove that there is a path from $\mathscr{L}(\boldsymbol{T}^n_{(b,k)})$ to $\mathscr{R}(\boldsymbol{T}^n_{(b,k)})$ in $\tilde{G}_n[U]$.

In fact, the tiles in $\boldsymbol{T}^n_{(b,k)}$ have height at most b^{-n}, and therefore the projection of $K := \left[\!\left[B_{\tilde{G}_n}(x, R) \right]\!\right]$ onto $\{0\} \times [0, 1]$ has length at most $(2R+1)b^{-n} < 1$. Hence there is some height $h \in [0, 1]$ such that a horizontal line ℓ at height h does not intersect K. The set of tiles $\{A \in V(\tilde{G}_n) : \ell \cap [\![A]\!] \neq \emptyset\}$ therefore contains a path from $\mathscr{L}(\boldsymbol{T}^n_{(b,k)})$ to $\mathscr{R}(\boldsymbol{T}^n_{(b,k)})$ that is contained in $\tilde{G}_n[U]$, completing the proof. □

We are left to prove Lemma 3.21. To state the next lemma more cleanly, let us denote $\mathcal{C}_0 = \mathcal{C}_{b^n+1} = \emptyset$.

Lemma 3.23 *Consider $1 \le i \le b^n$ and let $U \subseteq \mathcal{C}_{i-1} \cup \mathcal{C}_i \cup \mathcal{C}_{i+1}$ be a connected, vertically convex subset of vertices. Then $B_{\tilde{G}_n}(U, 1) \cap \mathcal{C}_i$ is connected as well.*

Proof For $i' \in \{i-1, i, i+1\}$, denote $U_{i'} := U \cap C_{i'}$. Clearly we have

$$B_{\tilde{G}_n}(U, 1) = B_{\tilde{G}_n}(U_{i-1}, 1) \cup B_{\tilde{G}_n}(U_i, 1) \cup B_{\tilde{G}_n}(U_{i+1}, 1)\,.$$

If $U_i = \emptyset$, then as U is connected it should be that either $U = U_{i-1}$ or $U = U_{i+1}$, and in either case the claim follows by Observation 3.20.

Now suppose $U_i \neq \emptyset$, and consider some $i' \in \{i-1, i+1\}$ such that $U_{i'} \neq \emptyset$. Then Observation 3.20 implies that $B_{\tilde{G}_n}(U_{i'}, 1) \cap \mathcal{C}_i$ is connected. Furthermore, since U is connected, it holds that $B_{\tilde{G}_n}(U_{i'}, 1) \cap U_i \neq \emptyset$. Now, as we know that U_i is connected by the assumed vertical convexity of U, we obtain that $B_{\tilde{G}_n}(U, 1) \cap \mathcal{C}_i$ is connected, completing the proof. □

Proof of lemma 3.21 We proceed by induction on R, where the base case $R = 0$ is trivial, so consider $R \geq 1$. Fix some $i \in \{1, 2, \ldots, b^n\}$, and suppose $B_{\tilde{G}_n}(A, R) \cap \mathcal{C}_i \neq \emptyset$. Denote

$$U := B_{\tilde{G}_n}(A, R-1) \cap (\mathcal{C}_{i-1} \cup \mathcal{C}_i \cup \mathcal{C}_{i+1}) .$$

Clearly we have $B_{\tilde{G}_n}(A, R) \cap \mathcal{C}_i = B_{\tilde{G}_n}(U, 1) \cap \mathcal{C}_i$. The set U is manifestly connected and, by the induction hypothesis, is also vertically convex. Thus from Lemma 3.23, it follows that $B_{\tilde{G}_n}(U, 1) \cap \mathcal{C}_i$ is connected as well. We conclude that $B_{\tilde{G}_n}(A, R)$ is vertically convex, completing the proof. □

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