ORIGINAL INVESTIGATION

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Laryngeal and oropharyngeal cancer, and alcohol dehydrogenase 3 and glutathione S-transferase M1 polymorphisms

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Abstract In this study the GSTµ phenotype and ADH genotype at the ADH3 locus were investigated in a group of 39 alcoholic men with upper respiratory/digestive tract cancer: 21 with oropharyngeal cancer and 18 with laryngeal cancer. The results are compared with those of a control group of 37 alcoholic men without alcohol-related medical complications. Of the control subjects, 48% were found to be GST μ deficient [GST μ (–)] and 19% carried the $ADH₃¹/ADH₃¹$ genotype. In the laryngeal cancer patients, a significantly elevated frequency of both the $GST\mu(-)$ (78%) and $ADH₃¹/ADH₃¹$ genotype (56%) was observed, relative to the control group. On the basis of this result, the risk of laryngeal cancer associated with the $GST\mu(-)$ and $ADH_3^{-1/}$ ADH3 ¹ genotypic combination within the population of alcoholics was estimated to be 12.9 with a 95% confidence interval of 1.8–92 ($P < 0.01$) relative to alcoholic individuals who have $GST\mu$ [GST μ (+)] and are not ADH_3^{-1}/ADH_3^{-1} . Thus, alcoholics who are $GST\mu(-)$ and ADH_3^{-1}/ADH_3^{-1} have at least an 80% greater risk of developing laryngeal cancer than alcoholics who are $GST\mu(+)$ and who are not $ADH₃¹/$ $ADH₃¹$. In addition, the oropharyngeal cancer patients had excess frequencies of both $GST\mu$ (–) (62%) and $ADH₃¹/$ $ADH₃¹$ (43%) relative to the control group, but these excess frequencies were not statistically significant. The $GST\mu(-)$ and ADH_3^{-1}/ADH_3^{-1} genotypic combination may be a constitutional risk factor for laryngeal cancer among alcoholics.

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Introduction

Long-term alcohol abuse is associated with a high incidence of cancer of the upper respiratory/digestive tract. It has been estimated that individuals with a high alcohol intake but low tobacco consumption have an eight times greater lifetime risk of upper respiratory/digestive tract cancer than comparable individuals of low alcohol intake (Bassendine 1986; Adami et al. 1992; Kato et al. 1992; Doll et al.1993; Kato and Nomura 1994). Recalculation of this relative risk according to the site of the cancer reveals a wide variation, from as high as 50 for cancer of the supraglottis, to a relative risk of the order of 2.4 for both the oropharynx and the larynx (Kato and Nomura 1994).

Nevertheless only a minority (10–20%) of heavy drinkers develop such a cancer (Wynder and Bross 1957). Part of the reason may lie in constitutional factors, predisposing some alcoholics to develop upper respiratory/digestive tract cancer. Evidence for this is scant, probably due to the complexity of the gene/environment interaction underlying the progression of this disease: carcinogenesis of the upper respiratory/digestive tract epithelium is an extremely complex multistep process (Volkes et al. 1993). Although family studies provide weak evidence for familial aggregation for oral and pharyngeal cancer (Goldstein et al. 1994), they do not support the hypothesis of any single gene component. Any hereditary component is likely to implicate variation at more than one gene locus.

One obvious candidate gene is the GSTM1 gene locus, which codes for the gluthatione S -transferase μ enzyme $(GST\mu)$. This enzyme is well known for its role in the detoxification of carcinogens in tobacco smoke, and as a factor preventing smoking-related cancers (Wolf 1990; Tsuchida and Sato 1992; Beckett and Hayes 1993). It also plays a more general role than this, however, detoxifying many carcinogenic or xenobiotic species, including ethanol or its metabolites (Wolf 1990; Hayes JD et al. 1991). The GSTM1 locus is polymorphic, carrying at least three alleles, one of which is a null allele (Seidegard et al. 1988).

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Individuals homozygous for the null allele $(GSTM₁⁰)$ lack the GST μ enzyme and are classified as GST μ (–).

It has been estimated that approximately 50% of French people are GSTµ(–) (Laisney et al. 1984; Groppi et al. 1991). Possession of the $GST\mu(-)$ phenotype is a risk factor for cancer of the larynx (Lafuente et al. 1993) as well as for many other cancers (Seidegard et al. 1986; Harada et al. 1987; Strange et al. 1991; Zhong et al. 1991; Harada et al. 1992; Daly et al. 1993).

A second candidate gene that has not hitherto been considered in the context of upper respiratory/digestive tract cancer is the ADH3 gene locus. This gene is one of three coding for the Class-I alcohol dehydrogenase (ADH) enzymes, which are primarily responsible for the oxidation of ethanol to acetaldehyde. Acetaldehyde is cytotoxic and has been implicated in ethanol-induced cell damage, and production of free radicals and DNA hydroxylated bases (Wickramasinghe et al. 1986; Tan et al. 1988). In alcoholic patients, the concentration of this metabolite within cells might be an important factor inducing cell proliferation, and increasing the risk of neoplastic transformation, particularly within epithelial cells in direct contact with the ingested ethanol. The ADH3 locus carries two alleles coding respectively for the γ_1 and γ_2 subunits of the dimeric ADH enzyme. The frequencies of the two alleles have been estimated to be 0.55 and 0.45, respectively, within the French population (Coutelle 1992). The various isozymes formed by combinations of these two different allelic products show different in vitro kinetic properties with respect to the rate of ethanol oxidation (Edenberg and Brown 1992). Populations of alcoholic patients have been described as differing significantly from nonalcoholic controls with respect to the distribution of the ADH3 genotype (Poupon et al. 1993; Chao et al. 1994), raising the possibility that the ADH3 genotype might govern the individual's in vivo rate of ethanol elimination. The ADH3 gene is expressed in many epithelial tissues, including the mucosal layer of the upper digestive tract (Edenberg and Brown 1992; Yin et al. 1993; Moreno et al. 1994). No investigation has yet considered the ADH3 polymorphism as a potential cancer risk factor among excessive drinkers.

The present study investigates the possibility of an hereditary predisposition to alcohol-induced cancer of the larynx and/or the oropharynx. Particular attention was focused on individuals with excessive alcohol intake: a group of French alcoholics with laryngeal and/or oropharyngeal cancer. A control group of French alcoholics with neither cancer, nor any other alcohol-related disease was used for comparison. These groups were compared with respect to the genotype distribution simultaneously at two loci: ADH3, and GSTM1 as reflected by the GSTµ phenotype.

Materials and methods

Subjects

The control group consisted of 37 subjects, all classified as "alcoholics", i.e., each subject had consumed in excess of 100 g of ethanol per day for more than 10 years. They were recruited at a local alcoholism clinic where they had undergone consultation concerning withdrawal. No clinically diagnosed cancer was detected or other alcohol-related medical complication. The mean age of this group was 42 years.

The cancer group consisted of 39 patients, each having consumed more than 100 g of ethanol per day for more than 10 years. Each subject had developed a Malpighian cancer of either the oropharynx or the larynx that was verified histologically. The mean age of this group was 54 years.

This study was approved by the Ethics Committees of the relevant institutions. All subjects agreed to participate in this study.

Blood samples and genotyping

Blood drops were taken from each subject by finger prick, deposited onto filter paper, and used for determination of the GSTM1 and ADH3 genotypes. Another 5 ml of blood was collected into a citrated tube from each patient by venous puncture for determination of the GSTµ protein phenotype.

Polymerase chain reaction (PCR)

The GSTM1 and ADH3 genotyping were both carried out via PCR amplification. A 5-mm disk of filter paper impregnated with dry blood was placed directly into 100 μ l of PCR buffer (0.01 M TRIS-HCl, 0.05 M KCl, 0.0015 M MgCl₂, 0.1% Triton \times 100, pH = 8.8) together with the deoxyribonucleoside triphosphates dATP, dCTP, dGTP, and dTTP, as well as two oligonucleotide primers each at 1 μ M. The primers were those described by Groppi et al. (1991) for GSTM1, and by Groppi et al. (1990) for ADH3.

Genomic DNA was denatured at 92°C for 10 min. The thermostable DNA polymerase extracted from Thermus brockianus was then added (2.5 U per 100 µl). Thirty-five cycles were carried out, each comprising denaturation for 1 min at 92°C, annealing for 1 min at 55° C, and extension for 2 min at 72° C.

For ADH3 genotyping, the PCR mixture was directly digested using the restriction enzyme SspI. Another site for SspI was created by directed mutagenesis, as an internal digestion control (Groppi et al. 1990). The fragments were separated using high-voltage, vertical, polyacrylamide gel electrophoresis. The ADH₃¹ allele was characterized by the presence of fragments of 67 and 63-bp, whereas the $ADH₃²$ allele was characterized by a single 130-bp fragment. The bands were visualized in a bath of ethidium bromide and photographed under UV light.

For GSTM1 genotyping, an aliquot of the amplified solution was submitted to high-voltage gel electrophoresis, and the gel was then placed in a bath of ethidium bromide and photographed under UV light. An amplified 165-bp fragment was observed in $GST\mu(+)$ samples, and was absent in $GST\mu(-)$ samples. The $GST\mu$ phenotype was verified by scoring for the presence of the leukocytic GSTµ enzyme on most blood samples via an immunoassay method: the presence of GSTµ in mononuclear blood cells was detected using a commercially available, sandwich enzyme-linked assay (Biotrin International, Dublin).

Statistical analysis

Statistical associations between the risk of cancer and the ADH3 genotype and GSTµ phenotype were tested for significance via likelihood ratio χ 2 tests. These tests reflect changes in the likelihood ratio statistic (reduction of deviance) associated with the removal of explanatory factors from a linear regression model (Breslow and Day 1980). Analyses were adjusted on patient age by including in the model an indicator variable taking the value 1 for a patient older than 45 years, and the value 0 otherwise. Cancer status was regressed logistically on genotype and age using the BMDP statistical package.

All subjects were French Caucasian men residing within the Bordeaux region; all of them were heavy smokers. Genotyping was carried out in two groups.

Table 1 Observed number of individuals, categorized by pathology, GSTµ phenotype and ADH3 genotype

Group	n	Genotype category						
		$GST\mu(+)$			$GSTu(-)$			
				ADH_3^{-1}/ADH_3^{-1} ADH_3^{-1}/ADH_3^{-2} ADH_3^{-2}/ADH_3^{-2}		ADH_3^{1}/ADH_3^{1} ADH_3^{1}/ADH_3^{2} ADH_3^{2}/ADH_3^{2}		
Noncancer			14			3		
Oropharyngeal cancer	21				₍			
Laryngeal cancer	18	θ			10			

Genotype/cancer associations were also tested for significance using the T_{AB} statistic (Ward 1993), which is a recent method developed for the analysis of genotype-disease associations within case-control studies.

Results

Table 1 presents the observed joint distribution of GST μ phenotype and ADH3 genotype in both the control group and the two cancer patient groups. Since there were small numbers of patients within some categories of this crossclassification, it was necessary to pool the two cancer groups for some of the statistical analyses. In order first to verify whether the two cancer groups could be pooled, they were compared with respect to the distribution of age, GSTµ phenotype and ADH3 genotype. The results are illustrated in Table 2, and show that the two groups of cancer patients did not differ significantly with respect to

Table 2 Comparison of oropharyngeal cancer patients with laryngeal cancer patients with respect to mean age and the distributions of GSTµ phenotype and ADH3 genotype

Variable	Cancer type	P-value	
	Oropharynx $(n=21)$	Larynx $(n=18)$	
Mean age	55.8	51.9	0.26 ^a
(standard error)	(11.7)	(8.9)	
Percentage $GST\mu(-)$	62	78	0.47 ^b
Percentage ADH_3^1/ADH_3^1	43	56	
Percentage ADH ₃ ¹ /ADH ₃ ²	38	33	0.42 ^c
Percentage ADH_3^2/ADH_3^2	19	11	

^aVariance ratio *(F)* test
^bContingency table χ^2 test
^cDue to small numbers of patients, the contingency table χ^2 test compared the number of $ADH₃¹/ADH₃¹$ patients with the number of patients who were either ADH_3^{-1}/ADH_3^{-2} or ADH_3^{-2}/ADH_3^{-2}

Table 3 Mean age within categories of GSTµ phenotype and of ADH3 genotype, separately for cancer patients and for control patients

mean age, the distribution of GST μ phenotype, or the distribution of ADH3 genotype.

The control patients were younger on average than the cancer patients: the age range was $30-73$ years (mean = 42) for controls, 36–72 years (mean = 56) for pharyngeal cancer, and $35-72$ (mean = 52) for laryngeal cancer. Therefore, before proceeding with the analysis, we investigated whether any effects due to age could be separated statistically from those due to GSTµ phenotype and/or ADH3 genotype (i.e., statistical tests were carried out to find out whether the age distribution was confounded with either the GSTµ phenotype or the ADH3 genotype distribution). A comparison of mean age between categories of GST μ phenotype and of ADH3 genotype is presented in Table 3, separately for cancer patients and for control patients.

These results illustrate that age was not significantly different across these genotypic categories, either for the cancer patients or the control patients. The distributions of GSTµ phenotype and ADH3 genotype can therefore be considered independently of the age distribution in the present sample.

Table 4 summarizes a comparison of control patients with cancer patients, with respect to the distribution of GSTµ phenotype. Approximately 51% of the noncancer control patients were $GST\mu(+)$, and a relative excess of $GST\mu(-)$ individuals was observed among cancer patients: 62% of oropharyngeal cancer patients and 78% of laryngeal cancer patients were $\text{GST}\mu(-)$. The number of $\text{GST}\mu(-)$ individuals is significantly elevated among cancer cases relative to control patients ($OR = 2.9, P < 0.06$), and this elevation is largely accounted for by an excess of $GST\mu(-)$ individuals among the laryngeal cancer cases relative to controls (OR = 4.7, $P < 0.036$). The excess of GST μ (-) individuals among oropharyngeal cancer patients relative to controls is not statistically significant ($OR = 1.8$, $P <$ 0.37).

Table 4 also presents estimates of the relative risk of cancer associated with the $ADH₃¹/ADH₃¹$ genotype (the ADH_3^1/ADH_3^2 and ADH_3^2/ADH_3^2 categories being com-

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Table 4 Odds ratio *(OR)* of cancer according to GSTµ phenotype and according to ADH3 genotype

^aRisk of cancer, relative to reference category, which is set to $OR = 1$

^b 95% confidence interval

cUnconditional logistic regression adjusted on age of patients

Table 5 Odds ratio *(OR)* of cancer according to a grouping of patients based on their GSTµ phenotype and ADH3 genotype

^aGroup 1 patients are $GSTu(+)$ and are not $ADH₃¹/ADH₃¹$, Group 2 patients are either $GST\mu(+)$ and $ADH₃¹/ADH₃¹$ or are $GST\mu(-)$ and not $ADH₃¹/$ $ADH₃¹$, Group 3 patients are $GST\mu(-)$ and ADH_3^{-1}/ADH_3^{-1} ^bRisk of cancer, relative to reference category, which is set to $OR = 1$

c95% confidence interval dUnconditional logistic regression adjusted on age of patients. The *P* value refers to a test comparing the relevant population with the reference population for which $OR = 1$

bined in these analyses in order to avoid small numbers of patients in some categories of the cross-classification). It was found that alcoholic individuals with the $ADH₃¹/$ $ADH₃¹$ genotype have a 3.6 times greater risk of such cancer compared with individuals who did not carry this genotype $(P < 0.03)$. This result largely reflects an excess frequency of ADH_3^{-1}/ADH_3^{-1} individuals within the laryngeal cancer group (Tables 1, 4). The risk of laryngeal cancer among alcoholics who carry the $ADH₃¹/ADH₃¹$ genotype was estimated to be 6.1 times that of alcoholics who did not carry this genotype ($P < 0.024$). In contrast, the excess number of ADH_3^{-1}/ADH_3^{-1} individuals among oropharyngeal cancer cases, relative to controls, was not statistically significant (OR = 2.6, $P < 0.18$).

In order to estimate the relative risk of cancer associated with the combination of GST μ phenotype and ADH₃¹/ ADH3 ¹ genotype, some patient categories were further combined to overcome the problem of unacceptably small sample sizes in some categories of the cross-classification. Thus, individuals who were both $GST\mu$ and $ADH_3^{-1/2}$

 $ADH₃¹$ were placed in one category (Group 3), individuals who were $GST\mu(+)$ and not $ADH₃¹/ADH₃¹$ were placed in another category (Group 1), and the remaining individuals were placed in a third category (Group 2).

Table 5 presents age-adjusted estimates for the risk of cancer for individuals in Groups 2 and 3, relative to that of individuals in Group 1. Alcoholics who are $GST\mu(-)$ and who carry the ADH_3^{-1}/ADH_3^{-1} genotype have an eight times greater risk of cancer relative to individuals who are $GST\mu(+)$ and who do not have genotype $ADH₃¹/ADH₃¹$ $(P < 0.02)$, and this result was largely explained in terms of a 13 times greater risk of laryngeal cancer $(P < 0.01$, bottom line of Table 5). The corresponding effect was not significant within oropharyngeal cancer patients, but it was nevertheless noteworthy that $GST\mu(-)$ and $ADH₃¹/$ ADH3 ¹ genotypes increased the risk of oropharyngeal cancer (OR = 4.3, $P < 0.16$) among alcoholics.

These latter results were verified using the T_{AB} test statistic (Ward 1993). Table 6 presents T_{AB} test statistics for association between ADH3 genotype and cancer, presented

Table 6 T_{AB} test statistics for association between genotype at the ADH3 locus and the incidence of cancer among alcoholic patients. The T_{AB} test statistic has an approximately standard normal distribution under the null hypothesis that the genotype distribution is the same in the case population as in the control population. All tests are one sided. See Ward (1993) for further details

Cancer group	$GST\mu(+)$ subjects	$GST\mu(-)$ subjects	All subjects
Laryngeal cancer Oropharyngeal cancer	1.150 -0.856	$6.869**$ 0.486	2.468* 0.0648

 $* P < 0.02$

 $*$ * $P < 0.001$

across different GSTµ categories, and according to whether cases had oropharyngeal or laryngeal cancer. Comparison of the ADH3 genotype distribution of $GST\mu(-)$ individuals with laryngeal cancer to that of $GST\mu(-)$ individuals without laryngeal cancer leads to a highly significant result of $T_{AB} = 6.9$ ($P < 0.001$). This reflects an excess frequency of the ADH_3^{-1}/ADH_3^{-1} genotype among $GST\mu(-)$ individuals with laryngeal cancer, relative to $GST\mu(-)$ individuals without cancer (see Table 1). A corresponding analysis of the oropharyngeal cancer patients, using T_{AB} test statistics, revealed no such significant interaction between GSTµ phenotype and ADH3 genotype as a factor determining cancer risk (Table 6).

Discussion

Within a sample of 39 alcoholic patients with cancer of the upper respiratory/digestive tract, approximately equal numbers were found to have oropharyngeal or laryngeal cancer (21 and 18, respectively), consistent with findings of previous studies (Tuyns et al. 1988; Franceschi et al. 1990; Choi and Kahyo 1991; Blot 1992).

When compared with a sample of 37 alcoholics with no evidence of cancer or other alcohol-related medical problems, these cancer patients were found to contain a significant excess frequency of individuals carrying the $GST\mu(-)$ phenotype: 62% of the oropharyngeal cancer patients and 78% of the laryngeal cancer patients were $GST\mu(-)$, compared with 49% of the alcoholic patients without cancer. An excess of $GST\mu(-)$ individuals among laryngeal cancer patients has previously been reported (Davidson et al. 1993; Lafuente et al. 1993) although those studies concerned heavy smokers and not alcoholics. As far as is known, no previous study has reported an excess frequency of $GST\mu(-)$ individuals among oropharyngeal cancer patients, although such an excess has been reported for many other types of cancer (Di Ilio et al. 1989; Howie et al. 1989; Seidegard et al. 1990; Shea et al. 1990; Hayes PC et al. 1991; Strange et al. 1991; Zhong et al. 1991; Bell et al. 1992; Harada et al. 1992; Hayachi et al. 1992; Daly et al. 1993; Davidson et al. 1993; Hirvonen et al. 1993; Kihara et al. 1993; Nakachi et al. 1993; Nazar-Stewart et al. 1993; Anttila et al. 1994; Heagerty et al. 1994).

The present sample of laryngeal cancer patients who are $GST\mu(-)$ also had a significant excess of individuals carrying the ADH_3^1/ADH_3^1 genotype. On the basis of this data, the age-adjusted risk of laryngeal cancer among alcoholics who are $GST\mu(-)$ and who carry the $ADH₃¹/$ $ADH₃¹$ genotype, relative to $GST\mu(+)$ alcoholics not carrying the ADH_3^{-1}/ADH_3^{-1} genotype, is estimated to be 12.9 with a lower bound of 1.8, i.e., an alcoholic carrying the $GST\mu(-)$ and ADH_3^{-1}/ADH_3^{-1} genotypic combination is at least at 80% greater risk of laryngeal cancer than a comparable alcoholic not carrying either of these genetic traits.

A similar excess frequency of the $ADH₃¹/ADH₃¹$ genotype was also observed among GSTµ(–) oropharyngeal cancer patients, but the excess was not statistically significant.

Previous studies have shown alcohol consumption to increase the risk of oropharyngeal cancer more than the risk of laryngeal cancer (Franceschi et al. 1990; Choi and Kahyo 1991). The converse has also been reported (Tuyns et al. 1988; Blot 1992). Such findings are not to be confused with the relative risks reported here, which concern the risk of cancer associated with a particular genotypic combination among alcoholics, and not the risk associated with an increasing level of alcohol consumption.

In the present sample, the control alcoholics (those without cancer) were younger on average than the cancer cases, and therefore some caution must be expressed concerning the validity of the findings reported here. However, in both the control and cancer groups, neither the distribution of the GSTµ phenotype nor the frequency of $ADH₃¹/ADH₃¹$ varied significantly with age. In addition, all statistical tests concerning the relative risk of cancer associated with GSTµ phenotype, and/or ADH3 genotype, were adjusted according to the age of subjects. The conclusions of this study did not change when age was not taken into account in the statistical analysis, nor when the analysis was restricted to a subgroup having the same mean age in cases as in controls.

No previous study has investigated ADH3 genotype distribution as a cancer risk factor. The results of the present study place the ADH3 locus forward as a candidate susceptibility gene for laryngeal (and possibly oropharyngeal) cancer within the group of alcoholic patients who are $GST\mu(-)$. These results need to be confirmed on a larger sample, taking into account other risk factors for oral cancer, such as the smoking habits of the subjects. The GST μ (-) and ADH₃¹/ADH₃¹ combination may constitute a risk factor for oral cancer among alcoholics, and further investigation into this possibility is needed.

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