

ORIGINAL PAPER

Sanja Kapitanović · Senka Radošević · Neda Slade
 Milan Kapitanović · Šimun Anđelinović · Željko Ferencić
 Mahvash Tavassoli · Šime Spaventi · Krešimir Pavelić
 Radan Spaventi

Expression of erbB-3 protein in colorectal adenocarcinoma: correlation with poor survival

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Abstract Background/Aims: The family of erbB receptors includes four transmembrane glycoproteins with tyrosine kinase activity. These receptors are widely expressed in normal tissues, but they also have been implicated in the development of several human adenocarcinomas. c-erbB-3/HER-3 has been detected to a greater or lesser extent in many tissues from the digestive, urinary, reproductive and respiratory tracts. The overexpression of c-erbB-3/HER-3 protein has also been shown in 53%–88% of colorectal adenocarcinomas. In this study we investigated the expression of the c-erbB-3/HER-3 gene product in colorectal tumour samples, and compared the results obtained with several clinicopathological parameters, including the survival of patients. **Methods:** Paraffin-embedded tissue sections were analysed immunohistochemically, using monoclonal antibody RTJ1 to human erbB-3 protein. Antibody RTJ1 specificity was confirmed by immunoprecipitation followed by Western blotting analysis. Amplification of the *erbB-3* oncogene was tested by dot-blot hybridization.

Results: Adenocarcinomas of the colon were positive for erbB-3 protein in 78% of samples examined. Dot-blot analysis showed no amplification of the *erbB-3* gene in colon adenocarcinomas. Statistical analysis showed that patients with tumours that could not be stained for erbB-3 protein survived significantly longer ($P < 0.05$) than patients with tumours staining positive for the erbB-3 protein. A Cox proportional-hazards model with stepwise variable selection identified age, sex and erbB-3 expression as important prognostic factors. **Conclusion:** These findings demonstrate that erbB-3 protein expression could serve as a prognostic factor in colorectal malignancies.

Key words erbB-3 · Colorectal carcinoma · Survival · Prognosis

Abbreviation EGF-R epidermal growth factor receptor

S. Kapitanović (✉) · N. Slade · K. Pavelić · R. Spaventi
 Division of Molecular Medicine, Ruđer Bošković Institute,
 Bijenička c.54, 10 000 Zagreb, Croatia
 e-mail: kapitan@rudjer.irb.hr
 Tel.: + 385-1-4561-108
 Fax: + 385-1-468 00 94

S. Radošević · Ž. Ferencić · R. Spaventi
 PLIVA Research Institute, Zagreb, Croatia

M. Kapitanović
 Department of Surgery, County Hospital Šibenik, Šibenik, Croatia

Š. Anđelinović
 Department of Pathology, Clinical Hospital Split, Split, Croatia

M. Tavassoli
 RCS Department of Dental Sciences,
 King's College School of Medicine and Dentistry,
 London, England

Š. Spaventi
 Croatian Academy of Sciences and Arts, Zagreb, Croatia

Introduction

The family of type-1 growth factor receptors includes four transmembrane glycoproteins [epidermal growth factor receptor (EGF-R), c-erbB-2/HER-2, c-erbB-3/HER-3 and erbB-4/HER-4] that share a common structure, each comprising a cysteine-rich extracellular area, a transmembrane component and an intracellular region with tyrosine kinase activity (Coussens et al. 1985; Kraus et al. 1989; Plowman et al. 1990, 1993; Yamamoto et al. 1986). These receptors are widely expressed in epithelial, mesenchymal and neuronal tissues, but they have also been implicated, more than other growth factor receptors, in the development of several human adenocarcinomas (Grunt and Huber 1994; Alroy and Yarden 1997; Tzahar and Yarden 1998). Increased expression of EGF-R has been associated with stomach, bladder, lung and breast tumours, while amplification and/or overexpression of the c-erbB-2/HER-2 gene has been correlated with poor prognosis of breast, ovarian, stomach and colorectal cancers (Kay et al. 1994;

Kapitanović et al. 1994, 1997; Slamon et al. 1987, 1989; Yonemura et al. 1997).

c-erbB-3/HER-3 is a 180-kDa glycoprotein encoded by a gene that has been mapped to human chromosome 12q13 (Kraus et al. 1989; Plowman et al. 1990). This protein, at its predicted tyrosine kinase domain, shows 64% amino acid sequence homology to the tyrosine kinase domain of EGF-R and 67% amino acid sequence homology to the tyrosine kinase domain of the c-erbB-2/HER-2 protein (Kraus et al. 1989). c-erbB-3/HER-3 has been detected to a greater or lesser extent in many tissues from the digestive, urinary and respiratory tracts, the circulatory system and the female and male reproductive organs, but not in haematopoietic tissues. It is overexpressed in more than 20% breast cancers (Lemoine et al. 1992a), in the majority of pancreatic (Lemoine et al. 1992b) and gastric cancers (Sandidas et al. 1993), in a squamous cell carcinoma (Funayama et al. 1998; Ibrahim et al. 1997) as well as in a proportion of other tumour types (Rajkumar et al. 1995; Poller et al. 1992). The overexpression of c-erbB-3/HER-3 protein has also been shown in 53%–88% of colorectal adenocarcinomas (Poller et al. 1992, Ciardiello et al. 1991; Rajkumar et al. 1993).

However, in spite of the large number of studies performed, very little is known about the correlation between c-erbB-3/HER-3 tumour overexpression and clinicopathological parameters, including the survival of patients. The last question has been raised for breast cancer (Lemoine et al. 1992a; Quinn et al. 1994), for which it has been shown that high expression of c-erbB-3/HER-3 is positively associated with the presence of lymph node metastasis, but not with patient survival (Lemoine et al. 1992a). Friess and co-workers (1995) noticed the correlation between enhanced erbB-3 expression in human pancreatic cancer and tumour progression. High c-erbB-3 protein expression is also associated with shorter survival in advanced non-small-cell lung carcinomas (Yi et al. 1997). In this study we investigated the expression of the *c-erbB-3/HER-3* gene product in 55 colorectal tumour samples, and compared the results obtained with several clinicopathological parameters, including the survival of patients. In addition, we have analysed whether the *c-erbB-3/HER-3* gene is amplified in these tumours.

Materials and methods

Patients and tissue specimens

This retrospective study was carried out using specimens of normal colon tissue and benign and malignant colon lesions. All specimens were obtained through routine surgery performed at the medical centres of Šibenik, Split and Zagreb, Croatia. The diagnoses were established by standard diagnostic procedures and confirmed histopathologically. Staging was performed according to Dukes' criteria (Deans et al. 1992; Turnbull et al. 1967). The patients were followed-up according to a standardized protocol that included laboratory tests at 1- to 2-month intervals, chest radiography, ultrasonography, computerized tomographic examination of the

liver and endoscopy of the colon at 1-year intervals during the first 3 postoperative years and thereafter at 6- to 12-month intervals. A disease-free interval was defined as the time from resection to the first clinically detectable recurrence of tumour. Causes of death were ascertained from the medical records or autopsy (if performed). Patients who died within 4 weeks of radical surgery were excluded from the analysis. Deaths by other causes were censored observations from the time of death.

None of the patients with colorectal adenocarcinoma underwent preoperative radiation or chemotherapy. The study included 27 men and 28 women ranging in age between 37 and 85 years (mean age, 64.1 years).

Each specimen was routinely fixed in 10% formalin and immersed in melted paraffin. Sections of 4 µm were cut and mounted on glycerine-treated slides. Fresh samples of resected normal colon (more than 5 cm from the tumour) and colon carcinoma, immediately adjacent to the segment of tissue that was fixed in formalin, were snap-frozen in liquid nitrogen and stored in the Human Tumour Bank (Spaventi et al. 1994) at -80 °C until further use. Before inclusion in the study, each specimen was verified by a histopathologist.

Cell line

The human pancreas carcinoma (MiaPaCa-2) cell line was used for immunohistochemistry, DNA isolation and dot-blot hybridization. The cells were cultured in Dulbecco's modified Eagle medium (Gibco) supplemented with 10% fetal calf serum. For immunocytochemical studies, the cells were grown in four-chamber slides (Nunc) overnight in a humidified atmosphere with 5% CO₂ at 37 °C.

Immunohistochemical detection of oncogene proteins

Following deparaffinization in xylene, the slides were rehydrated and washed in phosphate-buffered saline (PBS). The endogenous peroxidase activity was quenched by 15 min incubation in a mixture of 3% hydrogen peroxide solution (of 30%) in 100% methanol (Sigma). After the fixation, slides were cleared with PBS. Non-specific binding was blocked applying normal rabbit serum in a humidity chamber at a dilution of 1:10 for 30 min at room temperature. Slides were incubated with monoclonal antibody RTJ1 to human erbB-3 protein (the gift of Prof. M.H. Kraus) at 1:10 dilution overnight at +4 °C. Slides were washed three times in PBS containing 3%, 2% and 1% normal human serum. Secondary antibody (rabbit antibody to mouse immunoglobulins; Dakopatts, Denmark), diluted with PBS and normal human serum [40 µl rabbit anti-(mouse IgG) Ab, 100 µl normal human serum and 860 µl PBS] was applied for 1 h at room temperature. Finally, peroxidase/anti-peroxidase (Dakopatts, Denmark) conjugate diluted 1:100 in PBS was applied for 45 min at room temperature. After washing with PBS, slides were kept in diaminobenzidine tetrahydrochloride for 7 min (50 mg in 200 ml PBS with 25 µl 30% H₂O₂) (Sigma), then counterstained with haematoxylin for 30 s, dehydrated and mounted in Canada balsam.

Evaluation of the slides

Each slide was evaluated in the whole tumour area. We assessed the relative level of specific immunostaining and its localization. The intensity of cell immunostaining was evaluated semiquantitatively, so that negative staining was denoted - and positive staining +.

Preparation of the cells for biochemical analysis

The cells were washed with PBS (three times) and resuspended in lysis buffer [150 mM NaCl, 1% sodium deoxycholate, 1% Triton X-100, 0.1% sodium dodecyl sulfate (SDS), 10 mM TRIS/HCl,

pH 7.2] with 1 mM phenylmethylsulfonyl fluoride and aprotinin (2 µg/ml) as protease inhibitors, and subsequently centrifuged at 15 000g in an Eppendorf centrifuge; the supernatant was used in immunoprecipitation.

Immunoprecipitation

Monoclonal antibody RTJ1 at a dilution of 1:1 with 100 µl supernatant (150 mg total protein) was incubated for 1 h on ice with shaking. Immunoprecipitation was followed by the addition of protein-A-Sepharose (Pharmacia, Sweden) overnight. The reaction was stopped by adding cold lysis buffer (150 mM NaCl, 1% sodium deoxycholate, 1% Triton X-100, 0.1% SDS, 10 mM TRIS/HCl, pH 7.2) and the mixture centrifuged in Eppendorf centrifuge for 5 min at 15 000g. Immunoprecipitates were washed three more times with cold lysis buffer; 50 µl sample buffer for SDS/polyacrylamide gel electrophoresis (PAGE) was added to each precipitate, and the samples were frozen at -20 °C.

SDS-PAGE

Electrophoresis was run in TRIS/glycine buffer (0.025 M TRIS, 0.19 M glycine, 1% SDS, pH 8.6) on 7.5% polyacrylamide gel according to the method of Laemmli. Molecular masses were calculated by comparison with high-molecular-mass standards (Gibco BRL, USA).

Immunoblotting (Western blotting)

Electrophoretically separated proteins were electroblotted to nitrocellulose membrane (BA 85, 0.45 µm, Schleicher & Schuell, Dusseldorf, Germany) in electrode buffer (25 mM TRIS, 192 mM glycine, pH 8.3) at 150 mA for 45 min with cooling (Midget MultiBlot Electrophoretic Transfer Unit, LKB 2051, Pharmacia, Sweden). Immunodetection of antigen immobilized on nitrocellulose membrane was accomplished by the alkaline phosphatase method. Unoccupied protein-binding sites on the nitrocellulose were blocked with 3% bovine serum albumin (BSA; Sigma Chemical Co., St Louis, USA) and 0.05% Tween 20 (Sigma Chemical Co., St Louis, USA) in PBS for 30 min. The membrane was then incubated with primary monoclonal antibody RTJ1 at a dilution of 1:10 in 0.3% BSA/0.05% Tween 20/PBS overnight at +4 °C with agitation. After washing with same buffer, the membrane was incubated for 1 h with secondary antibody [goat anti-(mouse IgG) conjugated to alkaline phosphatase] at a concentration of 0.2 µg/ml, diluted in same buffer. The blot was washed and developed in alkaline phosphatase substrate solution (100 mM TRIS/HCl, pH 9.5, 100 mM NaCl, 5 mM MgCl₂) with nitroblue tetrazolium and 5-bromo-4-chloro-3-indolyl phosphate. The reaction was stopped with stop buffer (20 mM TRIS/HCl, pH 8.0, 5 mM EDTA).

DNA isolation

The positive colorectal adenocarcinoma tissue, frozen in liquid nitrogen, was pulverized mechanically to a fine powder. The powder or MiaPaCa-2 cells were resuspended and DNA was isolated in buffer containing 10 mM TRIS/HCl, pH 8.0, 0.1 M EDTA, pH 8.0, 0.5% SDS and 100 µg/ml proteinase K. RNA was removed by digestion with 20 µg/ml RNase A. DNA was purified by sequential extraction through phenol and chloroform and finally precipitated with two volumes of ethanol at -20 °C.

Dot-blot hybridization

Samples (10 µg) of DNA from the control cell line were obtained, diluted to 50 µl with buffer (10 mM TRIS/HCl, pH 8.0, 1 mM EDTA, pH 8.0), denatured at 100 °C for 10 min, chilled on ice and

incubated with 50 µl 1 M NaOH for 20 min at room temperature. An equal volume of neutralization buffer (1 M NaCl, 0.3 M sodium citrate, 0.5 M TRIS/HCl pH 8.0, 1 M HCl) was added to each sample. The samples were blotted onto Hybond nylon membrane (Amersham plc) saturated with 6× standard saline citrate, using a dot-blot apparatus. Reference DNA samples of known copy number were simultaneously blotted. Hybridization was performed under stringent conditions at 68 °C overnight. The probe used in the analyses was a 650-bp *HindIII/EcoRI* cDNA fragment isolated from recombinant pUC 19 plasmid DNA pE3-HK, containing a fragment of human *erbB3* encoding the carboxyl terminus and a 3' untranslated sequence (nucleotide position 3568–4189) (Kraus et al. 1989).

Controls

Control staining was performed by omitting the primary antibody. As a positive control in immunohistochemical studies, we used paraffin slides of the malignant insulinoma. In immunocytochemical studies we used MiaPaCa-2 cells as a positive control. As a negative control of amplification in the dot-blot study we also used DNA isolated from Mia PaCa-2 cells.

Statistical analysis

Categorical data were analysed using χ^2 statistics. Quantitative data were analysed with Student's *t*-test and the Mann-Whitney *U*-test. The probability of survival was calculated by the Kaplan-Meier method. Four-week mortality was excluded from the survival curves. Statistical difference was evaluated by Gehan's generalized Wilcoxon test and the Cox's *F*-test. All evaluations were performed using SAS/Stat software and STATISTICA. Multivariate analysis was performed with the Cox's proportional-hazards model with stepwise variable selection. This was used to identify the major prognostic indicators independently associated with survival.

Results

Specificity of antibodies for erbB-3 protein

To verify the specificity of the monoclonal antibodies used in these experiments we tested them immunocytochemically on a human pancreas carcinoma cell line MiaPaCa-2 (Fig. 1A). Antibody specificity was confirmed by immunoprecipitation of the cell lysate and Western blot analysis, which showed precipitation of a single band at 180 kDa. As shown in Fig. 2, erbB-3 protein was detected in lysates of MiaPaCa-2 cells. Immunoprecipitation of a lysate of MiaPaCa-2 cells with the specific anti-erbB-3 antibodies resulted in the precipitation of a single band at 180 kDa (Fig. 2).

erbB-3 protein in colorectal lesions

A total of 85 colon samples (10 samples of normal colon, 10 hyperplastic polyps, 10 adenomas and 55 samples of colon adenocarcinomas) were examined for the presence of erbB-3 oncoprotein. Normal mucosa and benign and malignant lesions of colorectal origin involved in our study clearly differed in erbB-3 immunostaining.

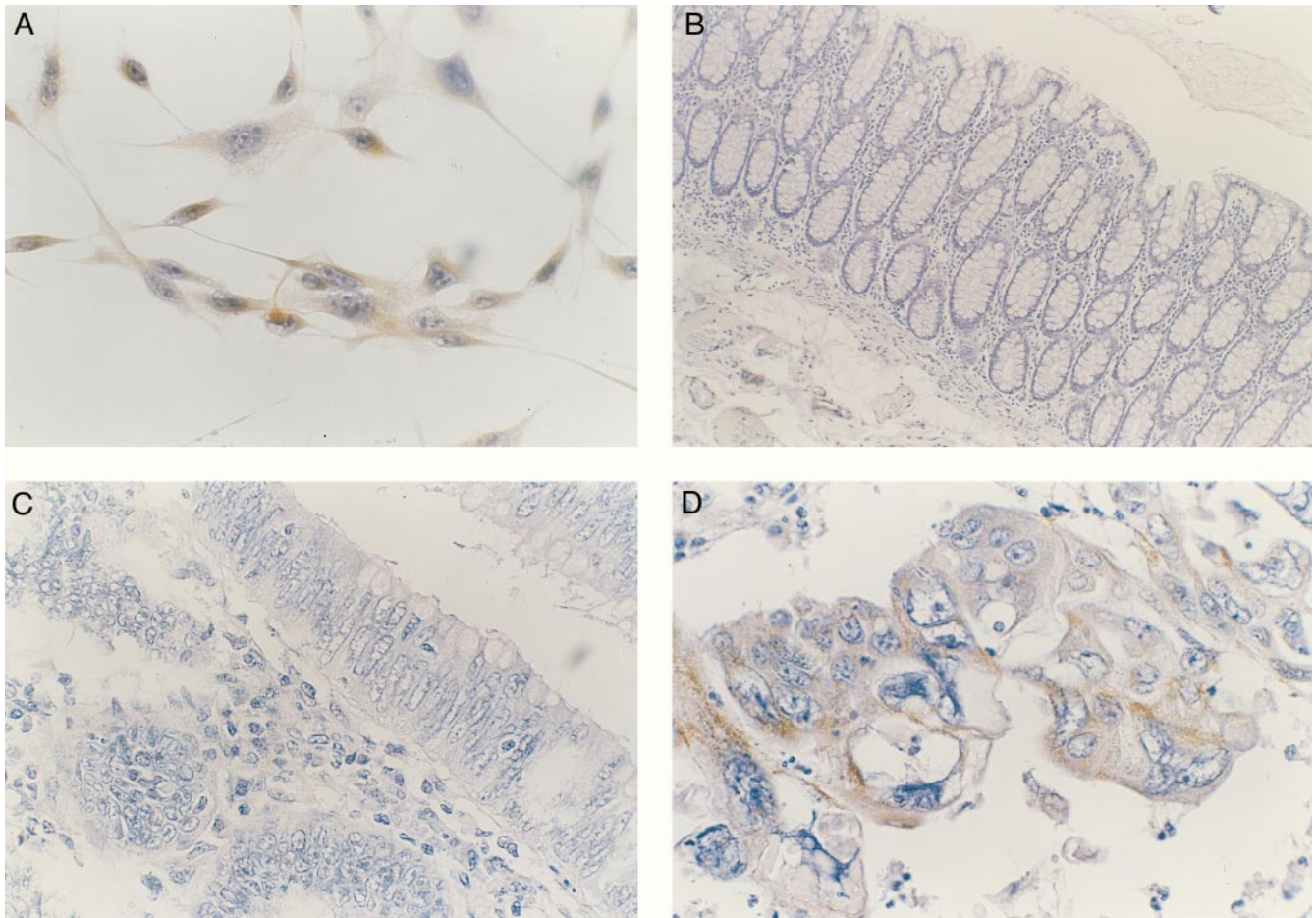


Fig. 1A–D Immunohistochemical staining with RTJ1 antibody. **A** Cultured pancreas carcinoma cells (MiaPaCa-2); note perinuclear cytoplasmic staining. **B, C** Normal colon (**B**) and tubulovillous adenoma (**C**) were negative for erbB-3 protein. **D** Adenocarcinoma of the colon: cytoplasmic perinuclear staining of tumour cells

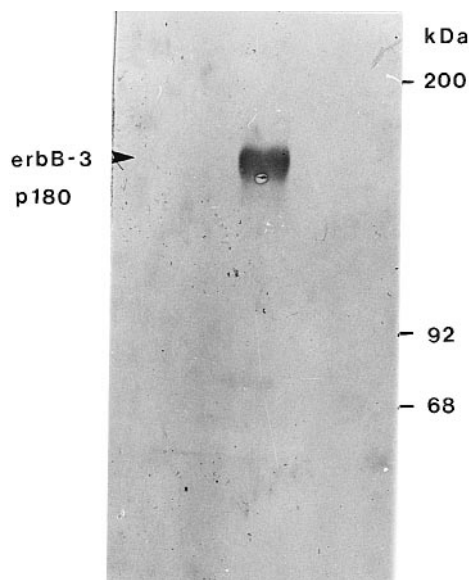


Fig. 2 Immunoprecipitation of cell lysates followed by Western blot analysis with RTJ1 antibodies showed a single band at 180 kDa in a lysate of MiaPaCa-2 cells

All histologically normal colon samples examined were negative for erbB-3 protein (Fig. 1B). Positively stained samples were histologically normal colon mucosa adjacent (within 5 cm of the tumour) to the adenocarcinoma. Luminal epithelial cells with no crypt involvement were also positive.

Hyperplastic polyps examined in this study were all negative for erbB-3 protein. Neoplastic progression in the colon is characterized by the appearance of tumours defined as tubular, tubulovillous and villous adenomas. This study included sections from 10 such lesions. All samples examined, including villous adenomas (Fig. 1C), were negative for erbB-3 protein.

Adenocarcinomas of the colon were positive for erbB-3 protein in 78% of samples examined. The observed staining pattern was essentially identical in all carcinomas and featured the cytoplasmic perinuclear staining of tumour cells (Fig. 1D). The patients we tested (whose primary tumours were positive for erbB-3 protein) also had erbB-3-positive tumour cells in the regional lymph nodes.

erbB-3 gene amplification

Dot-blot analysis showed no amplification of the *erbB-3* gene in normal colon and colon adenocarcinomas (Fig. 3).

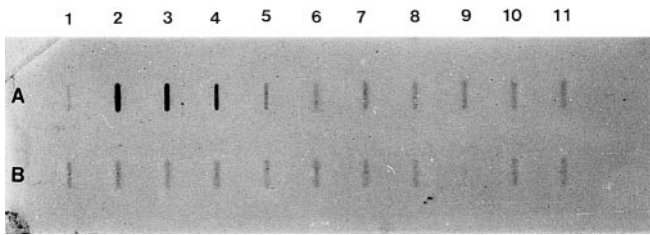


Fig. 3 Dot-blot analysis showed no amplification of the *erbB-3* gene in colon adenocarcinomas. A1 Negative control, A2–A4 positive controls, A5 DNA of MiaPaCa-2 cells, A6–A11, B1–B11 DNA of colon adenocarcinomas

erbB-3 protein expression and clinical parameters

No correlation was found between the positivity of erbB-3 protein expression and the age or sex of patients with positive adenocarcinoma samples. Also no correlation was found between erbB-3 protein expression and tumour size or grade or the Dukes' stage of positive adenocarcinoma samples ($P > 0.05$) (Table 1).

Survival analysis

Exploratory analysis was conducted to correlate the outcome of patients monitored during the 260-week period with the presence of erbB-3 protein, determined immunohistochemically. Survival analysis was performed on 55 patients who survived for more than 4 weeks after surgery. The survival curves according to erbB-3 expression are shown in Fig. 4. The median survival time of the patients with no erbB-3 oncoprotein expression was 181.1 weeks, 43% of them remaining alive at the end of the monitoring period. The median survival time of the patients with erbB-3 oncoprotein expression was 113.9 weeks, 29% of them remaining alive at the end of the monitoring period. Statistical analysis (Cox's F -test) showed that patients with tu-

Table 1 Clinicopathological features of 55 patients with colorectal cancer stratified by erbB-3 status

Characteristic	erbB-3 staining		P
	Positive ($n = 41$)	Negative ($n = 14$)	
Mean age (years)	63.58	65.71	0.575
Sex			
Male	17	10	
Female	24	4	0.053
Tumour size (cm)	4.77	4.68	0.525
Histological grade (differentiation)			
Well	6	1	
Moderate	23	5	
Poor	12	8	0.170
Dukes' stage			
A	7	3	
B	14	3	
C	20	8	0.671

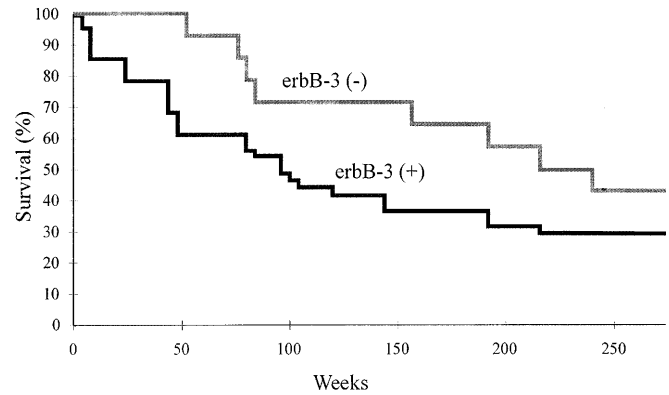


Fig. 4 Overall survival of 55 patients with colon adenocarcinoma according to the presence (+) or absence (-) of positivity for erbB-3 protein in tumour cells

Table 2 Stepwise regression model

Parameter	β	SE	P	RR
Sex	-0.827182	0.35115	0.0185	0.437
Age	0.030750	0.01468	0.0362	1.031
erbB-3	0.895234	0.41699	0.0318	2.448

mours that could not be stained for erbB-3 protein survived significantly longer ($P < 0.05$) than patients with erbB-3-protein-positive staining.

Regression analysis

Stepwise regression analysis, based on the Cox proportional-hazards model, identified age, sex and erbB-3 expression as important prognostic factors (Table 2).

Discussion

In this study we have examined the expression of the erbB-3/HER-3 product in colorectal carcinomas and 78% of carcinomas have shown overexpression of the oncoprotein investigated. The rest of the samples were negative for p180^{c-erbB-3/HER-3}. These results are in accordance with previous studies. Ciardiello and co-workers (1991) have demonstrated that the c-erbB-3/HER-3 mRNA is overexpressed in 53.3% of primary colorectal carcinomas and in 55.9% colorectal-carcinoma-derived metastases in the liver. Also, same authors have detected the primary transcript of the c-erbB-3/HER-3 gene in 5/7 colonic adenocarcinoma-derived cell lines. Similar results have been obtained through immunohistochemical analysis of polyclonal (8/9 positive tumour samples; Poller et al. 1992) or monoclonal (11/16 positive tumour samples; Rajkumar et al. 1995) antibodies against p180^{c-erbB-3/HER-3}. Maurer and co-workers (1998) showed moderate to strong immunoreactivity for erbB-3 in 89% (31 of 35) of colorectal cancers. As in these studies, the immunoreactivity of the

samples we tested was cytoplasmic in a finely granular perinuclear distribution.

No correlation was found between the protein expression and the histological grade of positive adenocarcinoma samples ($P > 0.05$). Also, no correlation was found between erbB-3 protein expression and the Dukes' stage of positive adenocarcinoma samples ($P > 0.05$).

Survival analysis revealed that patients bearing tumours that expressed c-erbB-3/HER-3 protein survived for significantly shorter periods than patients with c-erbB-3/HER-3-negative tumours. Similar studies on breast cancer could not establish a relationship between c-erbB-3/HER-3 expression and patient survival (Lemoine et al. 1992a; Quinn et al. 1994), although they found that high expression of c-erbB-3/HER-3 is positively associated with the presence of lymph node metastases (Lemoine et al. 1992a). To our knowledge, no similar study has been performed on colorectal cancer.

Unlike other members of the same tyrosine kinase receptor family (EGF-R, c-erbB-2/HER-2), the mechanisms underlying the overexpression of c-erbB-3/HER-3 are still unknown. Since our results, and the results of other studies on breast and pancreatic cancers (Kraus et al. 1989; Lemoine et al. 1992a, b), provide no evidence of gene amplification or rearrangement in human tumours, it is reasonable to assume that these events are not a common feature (if they happen at all) of the *c-erbB-3/HER-3* gene. However, it has been shown that the *c-erbB-2/HER-2* gene can be activated through mechanisms other than gene amplification. They include point mutation in the transmembrane domain of this receptor as well as the control of expression by specific transcription factors (Bargmann et al. 1986, 1988; Hollywood et al. 1993). Further studies will certainly clarify whether similar mechanisms are involved in the activation of the *c-erbB-3/HER-3* gene.

Our preliminary data show that expression of erbB-3 protein in tumour cells of colon carcinoma is independently related to survival. Therefore, a study on a larger cohort of patients is necessary to confirm our results.

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