




Association between lymphovascular invasion and oncologic outcomes among upper urinary tract urothelial carcinoma patients who underwent radical nephroureterectomy

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Abstract

Purpose To determine the association between lymphovascular invasion (LVI) and upper tract urothelial carcinoma (UTUC) among patients who underwent radical nephroureterectomy (RNU).

Materials and methods From 2003 and 2018, retrospective data of 453 patients treated for UTUC with open, laparoscopic, or robotic RNU were collected. Pathological specimens were assessed for LVI through hematoxylin and eosin staining. According to presence of LVI, patients were stratified into two groups and compared for perioperative characteristics. Kaplan–Meier analysis was used to assess progression-free (PFS), cancer-specific (CSS), and overall survival (OS). Uni- and multivariate Cox regression models were used to find significance of LVI to survival.

Results LVI was present in 132 (29.1%) of patients and was associated with higher age and lower preoperative GFR. Pathological outcomes included significantly higher tumor grade, higher rates of lymph node invasion and more positive surgical margins. During median 23.2 months follow-up (mean 37.1 months), 59.2% ($n=268$) of total patients had tumor recurrence, with highest incidences in lymph nodes (51.5%). 5-year PFS, CSS, and OS were estimated at 35.4%, 94.6%, and 91.1% in LVI-negative patients and 17.2%, 75.1%, and 70.8% in LVI-positive patients, respectively (all $p < 0.001$). Multivariate analysis showed LVI to be an independent predictor of PFS (HR = 1.480; $p = 0.018$).

Conclusion LVI is an independent predictor of adverse PFS and is associated with poor CSS and OS in patients undergoing RNU for UTUC. These results may guide clinicians in selecting patients for adjuvant chemotherapy. Future prospective trials are necessary to further validate our results.

Keywords Lymphovascular invasion · Nephroureterectomy · Progression-free survival · Upper tract urothelial carcinoma

Introduction

Upper urinary tract urothelial carcinoma (UTUC), defined as a tumor originating from the surface epithelium of the urothelial lining, is a relatively rare tumor representing only 10% of all renal and 5% of all urothelial malignancies (Godfrey et al. 2012; Liu et al. 2019). Despite

advances in endoscopic and nephron-sparing surgery, radical nephroureterectomy (RNU) with bladder cuff excision remains the mainstay of treatment for UTUC. UTUC is associated with relatively poor outcome, with previous literature suggesting difficulty in early detection, anatomically thinner muscular and submucosal layers, and the lack of serosa compared to bladder cancer to be associated with a higher rate of advanced disease, accounting for nearly 60% of total cases (Liu et al. 2019; Van der Poel et al. 2005). To date, several studies have identified predictors for adverse prognosis, including age, tumor grade, tumor stage, lymph node metastasis, and concurrent carcinoma in situ (CIS) (Godfrey et al. 2012; Kikuchi et al. 2005; Ku et al. 2013).

Lymphovascular invasion (LVI), or invasion of tumor cells into the vascular or lymphatic systems, has been established as a factor for poor prognosis in other solid tumors

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including liver, colorectal, prostate, bladder, and testicular cancer (Ku et al. 2013; Lee et al. 2015). Entry into the angio-lymphatic channels allows for initiation of tumor dissemination, and while several studies have reported poor prognosis in UTUC (Godfrey et al. 2012; Kikuchi et al. 2005), others have found the association to be insignificant (Colin et al. 2012; Yoshida et al. 2017), making the role of LVI still controversial. In this study, we aimed to further elucidate independent prognostic factors and identify the relationship of LVI with survival outcome in UTUC patients undergoing RNU at a single institution.

Materials and methods

Study population

This was an institutional review board-approved (no. SNUBH-B-1907-552-110), retrospective single-institution study comprised of 453 patients diagnosed with UTUC and treated with open, laparoscopic, or robotic RNU between 2003 and 2018. Of the 453 patients, LVI was present in 132 patients and absent in 321 patients. We excluded patients with a history of muscle-invasive urothelial carcinoma of the bladder, history of neoadjuvant therapy, distant metastasis prior to surgery, or incomplete data. Surgical technique and the extent of lymphadenectomy were decided based on the individual surgeon's discretion. Regional lymphadenectomy was performed when suspicious lesions were identified in preoperative imaging or enlarged in intraoperative examination.

Pathologic evaluation

All surgical specimens after RNU were assessed in detail by a single uro-pathologist according to standard pathologic procedures. Tumor stage and grading were evaluated according to the 2002 American Joint Committee on Cancer (AJCC)/Union Internationale Contre le Cancer (UICC) TNM classification and 1998 World Health Organization (WHO)/International Society of Urologic Pathology (ISUP) consensus classification, respectively (Epstein et al. 1998; Greene et al. 2002). Clinicopathologic data included age, patient co-morbidity, presence of concurrent bladder cancer, laterality and location of the primary tumor, tumor stage, tumor grade, presence of LVI, surgical margin, venous or perineural invasion, squamous or glandular metaplasia, and presence of carcinoma in situ (CIS). LVI was defined as the presence of tumor cells in endothelium-lined lymphovascular channels without underlying muscular walls (Hasui et al. 1992). Routine light microscopic examination with hematoxylin and eosin was performed to identify LVI.

Immunohistochemical techniques were not routinely used as to match standard practice of pathologists.

Statistical analyses

The clinical and pathological covariates between the two groups with or without LVI were evaluated by a Chi squared test for categorical variables and an independent *t* test for continuous variables. Further evaluation was performed for the node-negative subgroup to assess predictability of disease recurrence and survival. Patients with negative pathological lymph nodes and those who did not undergo lymphadenectomy were grouped together for the purpose of analysis. Progression-free (PFS), cancer-specific (CSS) and overall survival (OS) curves were generated by a Kaplan–Meier analysis, with survival rate differences assessed with log rank statistics. Univariate and multivariate Cox proportional hazard models were applied to address the effect of LVI for tumor recurrence after adjusting for presence of preoperative hydronephrosis and hypertension, BMI, tumor stage, and lymph node invasion. Statistical analysis was performed with IBM SPSS software package version 21.0 (Statistical Package for Social Sciences™, Chicago, IL, USA). A two-tailed *p* value < 0.05 was considered significant for all analyses.

Results

Baseline characteristics of patients with or without LVI

The median age of 453 patients enrolled in the study was 69 years, with a median and mean follow-up duration of 23.2 months and 37.1 months, respectively (range 0–172 months). LVI was present in 132 (29.1%) patients, and baseline characteristics were similar to the LVI absent group (*n* = 321, 70.9%) (Table 1). The LVI (+) group had significantly higher age (68.79 vs 65.44, *p* = 0.025), lower preoperative GFR (62.65 vs 70.40, *p* = 0.002), and higher rate of concurrent bladder cancer at the time of surgery (27.3% vs 18.1%, *p* = 0.028). There was no difference in tumor laterality or location, nor with patient characteristics such as gender, ECOG performance status, or pre-existing comorbidities (DM, HTN).

Perioperative and pathological outcomes

Operation methods, selected based on the surgeon's discretion, was significantly different between the two groups, with a larger percentage of patients with LVI undergoing open surgery rather than laparoscopic or robotic operations (Table 1). There was no significant difference in average

Table 1 Baseline characteristics and perioperative outcomes among 453 patients who underwent RNU according to lymphovascular invasion

	Lymphovascular invasion			p value
	All (n=453)	Yes (n=132)	No (n=321)	
Mean age (years) ± SD	66.4 ± 14.4	68.9 ± 13.1	65.4 ± 14.8	0.025*
BMI (kg/m ²) ± SD	24.1 ± 3.3	23.8 ± 3.4	24.2 ± 3.2	0.313
Gender (%)				0.956
Male	320 (70.6)	93 (70.5)	227 (70.7)	
Female	133 (29.4)	39 (29.5)	94 (29.3)	
Smoking (%)				0.545
Yes	69 (15.2)	18 (13.6)	51 (15.9)	
No	384 (84.8)	114 (86.4)	270 (84.1)	
ECOG (%)				0.860
0	22 (4.9)	5 (3.8)	17 (5.3)	
1	422 (93.2)	125 (94.7)	297 (92.5)	
3–5	9 (1.9)	2 (1.5)	7 (2.2)	
Preoperative GFR (mL/min/1.73 m ²)	68.16	62.65	70.40	0.002*
Laterality (%)				0.652
Left	245 (54.1)	68 (51.5)	177 (55.1)	
Right	206 (45.5)	63 (47.7)	143 (44.5)	
Location (%)				0.368
Lower ureter	88 (19.4)	23 (17.4)	65 (20.2)	
Mid ureter	52 (11.5)	12 (9.1)	40 (12.5)	
Upper ureter	61 (13.5)	15 (11.4)	46 (14.3)	
Pelvis	161 (35.5)	49 (37.1)	112 (34.9)	
Multiple	91 (20.1)	33 (25.0)	58 (18.1)	
Hydronephrosis (%)				0.452
Yes	304 (67.1)	92 (69.7)	212 (66.0)	
No	149 (32.9)	40 (30.3)	109 (34.0)	
DM (%)	86 (19.0)	24 (18.2)	62 (19.3)	0.780
HTN (%)	233 (51.4)	72 (54.5)	161 (50.2)	0.396
Perioperative outcomes				
Operation method (%)				<0.001*
Open	164 (36.2)	68 (51.5)	96 (29.9)	
Laparoscopic	143 (31.6)	36 (27.3)	107 (33.3)	
Robot assisted	146 (32.2)	28 (21.2)	118 (36.8)	
Operation time (min)	227.97	230.25	227.03	0.685
Estimated blood loss (ml)	264.59	325.98	239.35	0.020*
Transfusion rate (%)	88 (19.4)	38 (28.8)	50 (15.6)	0.010*
Complications (%)	16 (3.5)	5 (3.8)	11 (3.4)	0.850
≥ Grade 3 complications	0 (0)	0 (0)	0 (0)	

SD standard deviation, BMI Body Mass Index, GFR glomerular filtration rate, DM diabetes mellitus, HTN hypertension

*p values ≤ 0.05

operation time (230.25 vs 227.03 min, $p=0.685$). The LVI (–) group had significantly less estimated blood loss (239.35 vs 325.98 ml, $p=0.020$) and less transfusion rates (15.6% vs 28.8%, $p=0.010$), which can be attributed to the larger percentage of patients having underwent robotic surgery (36.8% vs 21.2%).

Final pathologic outcomes include significantly larger tumor volume (5.45 vs 3.99 cm), higher tumor grade, and

higher rates of lymph node invasion (29.5% vs 2.2%) in the LVI (+) group. Positive surgical margins (15.9% vs 2.8%), venous/perineural invasion (42.0% vs 3.8%), squamous/glandular metaplasia (33.3% vs 17.4%), and CIS (22.7 vs 12.1%) were frequently observed in patients with LVI ($p < 0.001$). There was no difference in the percentage of ureteroscopic biopsy, interval from preoperative ureteroscopy to nephro-ureterectomy, and postoperative complications.

Progression, cancer-specific mortality, and overall mortality outcomes

During the follow-up period, 59.2% (268) of total patients experienced tumor recurrence (Table 2). 77.3% (102) of patients with positive LVI had recurrent tumor, most frequently occurring in lymph nodes (51.5%), followed by lung (28.8%), bladder (26.5%), bone (19.7%), and liver (15.9%). Other sites of metastasis include contralateral ureter and renal pelvis (9.9%), pelvic cavity (3.0%), colorectal (4.5%), adrenal gland (3.0%), and brain (3.0%) in LVI (+) groups. There were single incidences of neck and spleen metastasis in the LVI (+) group and penile solid tumor metastasis in the LVI (–) group. 46 (10.2%) of all patients died during the follow-up period, 32 (7.1%) deaths of whom were cancer-related. LVI was associated with worse PFS as well as CSS and OS ($p < 0.001$). 5-year PFS in all patients was 35.4% in LVI (–) vs. 17.2% in LVI (+);

5-year CSS in all patients 94.6% in LVI (–) vs. 75.1% in LVI (+); and 5-year OS in all patients 91.1% in LVI (–) vs. 70.8% in LVI (+) (Fig. 1).

LVI status, as well as BMI, tumor stage ($\geq T3$), lymph node invasion, preoperative hydronephrosis, and hypertension, were established as potential predictors of PFS on univariate analysis (Table 3). Adjusting for the significant factors on multivariate analysis demonstrated LVI was an independent predictor of PFS (HR = 1.480; 95% CI = 1.071–2.045; $p = 0.018$). Subgroup analysis in patients with pN0/x suggests LVI to have a similar negative impact on PFS, CSS, and OS, with 5-year PFS 36.2% in LVI (–) vs. 24.3% in LVI (+), 5-year CSS 95.3% in LVI (–) vs. 82.6% in LVI (+), and 5-year OS 92.0% in LVI (–) vs. 76.5% in LVI (+) (all $p < 0.001$). However, LVI was not found to be a statistically significant predictor of CSS or OS on multivariate analysis (HR = 1.809, $p = 0.202$; HR = 1.737, 0.158, respectively).

Table 2 Pathological and oncological outcomes according to presence of lymphovascular invasion among patients who underwent RNU

	All (n = 453)	Lymphovascular invasion		All
		Yes (n = 132)	No (n = 321)	
Pathological outcomes				
Tumor size (cm) \pm SD	4.42 \pm 3.5	5.45 \pm 4.1	3.99 \pm 3.2	< 0.001*
T stage (%)				0.081
Ta	6 (1.3)	2 (1.5)	4 (1.2)	
T1	127 (28.0)	27 (20.5)	100 (31.2)	
T2	147 (32.5)	40 (30.3)	107 (33.3)	
T3	145 (32.0)	52 (39.4)	93 (29.0)	
T4	23 (5.1)	10 (7.6)	13 (4.0)	
Lymph node invasion (%)	46 (10.2)	39 (29.5)	7 (2.2)	< 0.001*
Grade (%)				< 0.001*
I	2 (0.4)	0 (0)	2 (0.6)	
II	225 (49.7)	27 (20.5)	198 (61.7)	
III	222 (49.0)	105 (79.5)	117 (36.4)	
Positive surgical margin (%)	30 (6.6)	21 (15.9)	9 (2.8)	< 0.001*
Venous/perineural invasion (%)	67 (14.8)	55 (42.0)	12 (3.8)	< 0.001*
Squamous/glandular metaplasia (%)	100 (22.1)	44 (33.3)	56 (17.4)	< 0.001*
Oncological outcomes				
Recurrence (%)	268 (59.2)	102 (77.3)	166 (51.7)	< 0.001*
Recurrence site (%)				
Bladder	153 (33.8)	35 (26.5)	118 (36.8)	0.036*
Lymph node	119 (26.3)	68 (51.5)	51 (15.9)	< 0.001*
Lung	68 (15.0)	38 (28.8)	30 (9.3)	< 0.001*
Bone	44 (9.7)	26 (19.7)	18 (5.6)	< 0.001*
Liver	34 (7.5)	21 (15.9)	13 (4.0)	< 0.001*
Other	105 (39.2)	50 (49.0)	55 (33.1)	
Cancer-specific mortality (%)	32 (7.1)	21 (15.9)	11 (3.4)	< 0.001*
Overall mortality (%)	46 (10.2)	26 (19.7)	20 (6.2)	< 0.001*

SD standard deviation

* p values ≤ 0.05

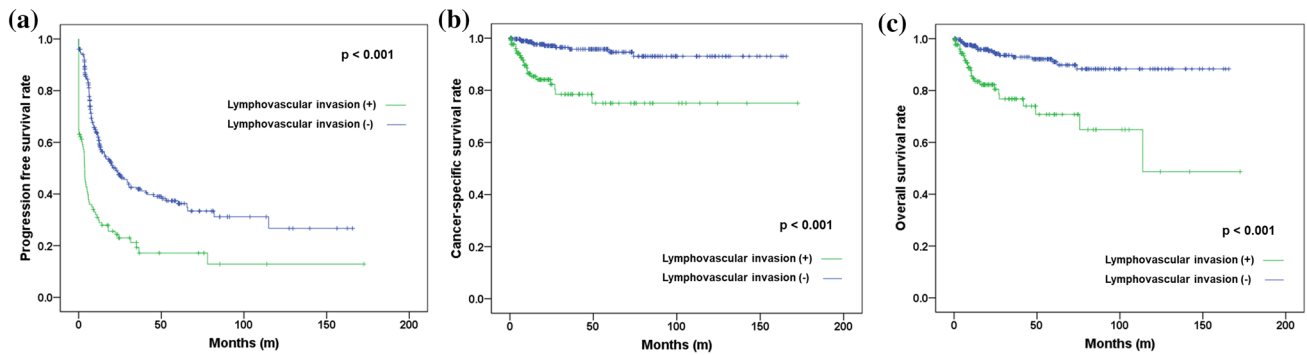


Fig. 1 Kaplan–Meier curves showing **a** progression-free survival, **b** cancer-specific survival, **c** overall survival in all patients who underwent RNU for UTUC according to LVI [blue line: LVI (+) patients, green line: LVI (–) patients]

Table 3 Uni- and multivariate Cox proportional hazard analysis to predict tumor recurrence among patients who underwent RNU

	Univariate analysis			Multi-variate analysis		
	HR	95% CI	<i>p</i> value	HR	95% CI	<i>p</i> value
Age	1.011	0.999–1.023	0.076			
Sex (female vs male)	1.221	0.932–1.600	0.147			
BMI	0.960	0.926–0.995	0.026*	0.962	0.921–1.004	0.074
Tumor stage (\geq T3 or not)	2.306	1.797–2.959	< 0.001*	1.611	1.196–2.171	0.002*
Tumor grade (\geq 3 or not)	1.149	0.904–1.460	0.255			
Tumor location (low or others)	1.110	0.813–1.517	0.511			
Lymphovascular invasion	2.437	1.865–3.183	< 0.001*	1.480	1.071–2.045	0.018*
Lymph node metastasis	5.058	3.593–7.121	< 0.001*	3.316	2.226–4.941	< 0.001*
Adjuvant chemotherapy	1.250	0.984–1.588	0.067			
Positive surgical margin	1.291	0.827–2.016	0.261			
Preoperative GFR	1.001	0.997–1.006	0.574			
Postoperative GFR	0.998	0.992–1.004	0.428			
Presence of preoperative hydronephrosis	1.449	1.106–1.899	0.007*	1.179	0.888–1.567	0.255
Presence of preoperative DM	1.130	0.836–1.527	0.426			
Presence of preoperative HTN	1.446	1.135–1.841	0.003*	1.360	1.050–1.761	0.020*
History of smoking	0.956	0.685–1.333	0.789			

BMI Body Mass Index, *GFR* glomerular filtration rate, *DM* diabetes mellitus, *HTN* hypertension, *CI* confidence interval

**p* values \leq 0.05

Additional survival analysis was done comparing patients with T2 and T3 disease, separated by presence of LVI. While pathologic (p) T2 with LVI (+) group and pT3 with LVI (–) showed a notable separation in the PFS Kaplan–Meier curve (Fig. 2), such difference was diminished in CSS and OS, with no statistical significance between the two groups ($p = 0.188$, $p = 0.461$, $p = 0.591$, respectively). However, patients with pT3 and LVI (+) had significantly poor PFS, CSS, and OS when compared to pT3 and LVI (–) patients ($p = 0.016$, $p = 0.003$, $p = 0.001$, respectively).

Discussion

The impact of LVI on the prognostic outcome of patients undergoing RNU for UTUC remains to be debated, as certain previous literature associate the pathological profile with poor outcome (Ku et al. 2013; Mellouli et al. 2017; Novara et al. 2010), while others have failed to establish a significant correlation (Colin et al. 2012; Mathieu 2015; Yoshida et al. 2017). In this study, we retrospectively analyzed our single institutional experience with patients

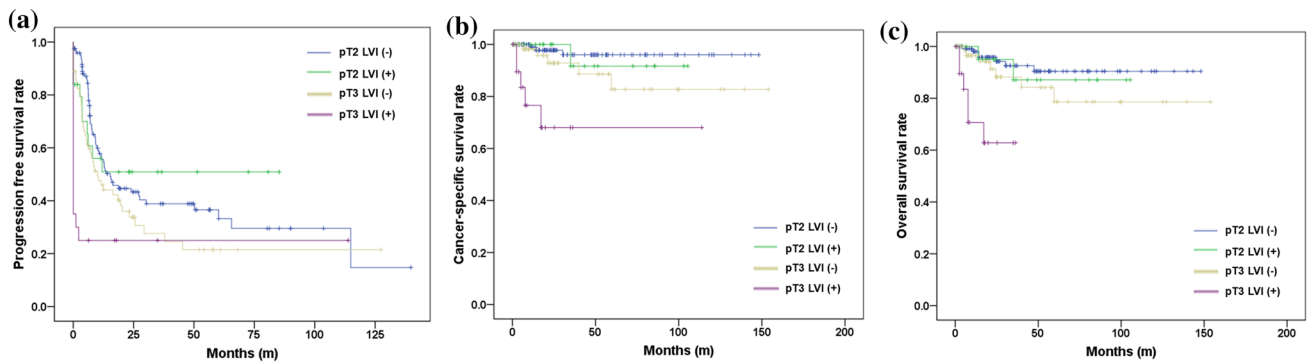


Fig. 2 Kaplan–Meier curves showing **a** progression-free survival, **b** cancer-specific survival, **c** overall survival in stage pT2 and T3 patients who underwent RNU for UTUC according to LVI [blue

line: pT2 and LVI (–), 122 patients, green line: pT2 and LVI (+), 25 patients, yellow line: pT3 and LVI (–), 63 patients, purple line: pT3 and LVI (+), 20 patients]

who underwent RNU for UTUC. In line with previous reports (Kikuchi et al. 2005, 2009; Saito et al. 2007), approximately 30% of our patients had LVI, which was associated with higher rate of concurrent bladder cancer at time of surgery, as well as increased risk of positive margin status, venous/perineural invasion, squamous/glandular differentiation, and CIS. Univariate and multivariate analyses indicated LVI to be an independent predictor of progression-free survival (HR = 1.480; 95% CI = 1.071–2.045; $p = 0.018$), which was consistent in the pN0/x subgroup. Our findings support findings by previous research postulating tumor staging to be an important prognostic factor (Zigeuner and Pummer 2008), with tumor stage over \geq T3 to have a HR of 1.611 on multivariate analysis (p value = 0.002). Progression related to LVI occurred most frequently in lymph nodes as well as lung, bladder, bone, and liver. The metastatic pattern closely resembles previous literature by Shinagare et al. (2011), where metastasis occurred most frequently in lymph node (75%), lung (65%), liver (54%), and bone (39%). Literature on sites of metastasis in UTUC are limited, and the high prevalence of recurrence (59% overall; 77.3% in LVI (+) vs. 51% in LVI (–), $p < 0.001$) highlight the possibility of micrometastases prior to surgery.

Previous articles have established the clinicopathological association of LVI in lymph-node positivity and poor prognostic outcome in other urological malignancies including penile, prostate, and bladder cancer (Ku et al. 2013). Intuitively, LVI provides a key pathway of tumor cell dissemination and metastatic spread, with initial preferential entry into local lymphatic and vascular systems and sequential invasion through routes of natural drainage (Pepper 2001). Previous meta-analysis of 17 trials including a total of 4896 patients demonstrated LVI to be a significant predictor of mortality in UTUC (Ku et al. 2013). LVI in bladder cancer also predicted shorter PFS and OS in patients undergoing radical cystectomy, with a pooled hazard ratio of 1.57 and 1.59,

respectively (Mari et al. 2018). Based on these studies, some have argued for its inclusion in urothelial carcinoma TNM staging (Kikuchi et al. 2009), but it is inherently difficult to assess LVI at a morphological level, which inevitably leads to internal inconsistencies between pathologists (Verhoest et al. 2011). Additional analysis via immunohistochemical staining, which is only performed in select equivocal cases and not routinely used in clinical practice, may be obtained to achieve increased accuracy (Godfrey et al. 2012).

In our present study, subgroup analysis of patients with pN0/x showed negative impact of LVI on PFS, which is in line with a previous report by Liu et al. (Liu et al. 2019) that indicated LVI to be a significant predictor of PFS and CSS in node-negative patients (HR = 3.732 and 3.825, respectively). Also, survival analysis on subgroups of pT2 and T3 has shown a trend towards poor prognosis, especially in pT3 patients with LVI compared to patients without LVI. This is comparable to reports by Danzig et al. (2018), which looked at the prognostic impact of LVI in patients with UTUC limited to the renal pelvis, where patients with pT3 LVI (+) disease had an adverse survival pattern that was comparable to pT4 LVI (–) disease, even when controlling for lymph node status on multivariate analysis. Based on our results, certain groups with pT2 LVI (+) disease show a survival pattern that is not statistically different from pT3 LVI (–), which merits further investigation and possible consideration in the selection for adjuvant treatment.

While adjuvant chemotherapy is not currently recommended due to ambiguous results from observational studies (Necchi et al. 2018; Rouprêt et al. 2018), some reports have suggested an overall survival benefit in patients with locally advanced pT3/T4 tumor and/or positive lymph nodes (Seisen et al. 2017). In contrast, poor postoperative renal function makes cisplatin-based chemotherapy inadequate in a large percentage of patients with UTUC, making the role of adjuvant chemotherapy controversial (Lee et al. 2018). In light of recent evidence supporting

poor prognosis in LVI (+), node-negative patients, further risk stratification based on LVI status could provide additional information for selection of candidates for adjuvant chemotherapy.

Our study had several limitations. First, this was a single institution, retrospective study, which by design has the risk of intrinsic bias. The retrospective nature of the study further obscures the possibility of higher rates of micro-LN metastasis in patients with positive LVI despite perioperative clinical N0 or Nx disease. Also, most patients did not undergo routine lymphadenectomy, which may have led to inaccurate assessment of node invasion at the time of surgery. However, the percentage of patients who underwent lymphadenectomy ($n = 141$, 31.1%) do not differ significantly from previous studies, ranging from 30 to 40% (Kikuchi et al. 2009; Lee et al. 2015). Our study also did not assess the mean or median number of lymph nodes dissected; however, previous reports have shown that number of removed lymph nodes did not predict PFS and CSS (Kim et al. 2010). Also, LVI was shown to be a statistically significant predictor of PFS but not CSS or OSS, which may be in part due to the relatively short median and mean follow-up durations (23.2 months and 37.1 months, respectively). Finally, the long study interval spanning from 2003 to 2018 over a period of 15 years may not accurately reflect the current clinical practice. Future large-scale randomized prospective trials may be necessary to further elucidate the effect of LVI on patient prognosis, but this may be limited by the relatively low incidence of the disease.

In conclusion, LVI is an independent predictor of adverse PFS in patients undergoing RNU for UTUC, which is also apparent in the node-negative subgroup. Further risk stratification for staging and prognosis estimation may provide additional criteria to guide selection of patients for adjuvant chemotherapy. Future prospective studies should be performed to further validate our results.

Author contributions JJO: protocol/project development. CHY, SL, SKH, S-SB, SEL: data collection or management. SHS: data analysis, manuscript writing/editing.

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Compliance with ethical standards

Conflict of interest The authors declare no conflict of interest related to this manuscript.

Research involving human participants and/or animals All procedures performed in studies involving human participants were in accordance with the ethical standards of the institutional and/or national research committee and with the 1964 Helsinki Declaration and its later amendments or comparable ethical standards. Institutional review board approval was obtained (no. SNUBH-B-1907-552-110).

Informed consent Informed consent was obtained from all individual participants included in the study.

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