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# Genetics pathway-based imaging approaches in Chinese Han population with Alzheimer's disease risk

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**Abstract** The tau hypothesis has been raised with regard to the pathophysiology of Alzheimer's disease (AD). Mild cognitive impairment (MCI) is associated with a high risk for developing AD. However, no study has directly examined the brain topological alterations based on combined effects of tau protein pathway genes in MCI population. Forty-three patients with MCI and 30 healthy controls underwent resting-state functional magnetic resonance imaging (fMRI) in Chinese Han, and a tau protein pathway-based imaging approaches (7 candidate genes: 17 SNPs) were used to investigate changes in the topological organisation of brain activation associated with MCI. Impaired regional activation is related to tau protein pathway genes (5/7 candidate genes) in patients with MCI and likely in topologically convergent and divergent functional alterations patterns associated with genes, and combined effects of tau protein pathway genes disrupt the topological architecture of cortico-cerebellar loops. The associations between the loops and behaviours further suggest that tau protein pathway genes do play a significant

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role in non-episodic memory impairment. Tau pathwaybased imaging approaches might strengthen the credibility in imaging genetic associations and generate pathway frameworks that might provide powerful new insights into the neural mechanisms that underlie MCI.

## Introduction

Alzheimer's disease (AD) is a progressive neurodegenerative disorder, and brain neurofibrillary tangles (NFTs) are a characteristic neuropathological lesion of this disorder. NFTs consist of hyperphosphorylated forms of the microtubule-associated protein tau (Grundke-Iqbal et al. 1986). Several protein kinases regulate the phosphorylation of tau, and these kinases are associated with *MAPT\STH* (Chen et al. 2010), *GSK3β* (Hooper et al. 2008), *LRP6* (Small and Duff 2008), *CDK5* (Shelton and Johnson 2004), *CDC2* (Pei et al. 2002), *CAMK2G* (Yamamoto et al. 2005) and *DDYRK1A* (Ryoo et al. 2007) in the brains of patients with AD. Tau protein is a promising diagnostic candidate and therapeutic target for AD (Maccioni et al. 2010).

In the tau hypothesis, intracellular aggregates of tau (either in soluble or insoluble forms) likely disrupt cellular machinery and synaptic function, which ultimately leads to neuronal death (Tolnay and Probst 1999; Marcus and Schachter 2011). Altered and aggregated forms of tau likely act as toxins that contribute to neurofibrillary degeneration in AD-affected brains. This degeneration directly reflects aberrant brain function (Wang et al. 2007). Neurofibrillary degeneration starts at the hippocampal

formation, reaches the polymodal association areas, and then successively spreads to the unimodal association areas and the entire cerebral cortex (Braak and Braak 1991; Delacourte et al. 1999), involving frontal and parietal cortex (Leinonen et al. 2010; Guadagna et al. 2012), basal ganglia (Attems et al. 2012), brainstem (Dugger et al. 2011) and cerebellum (Sepulveda-Falla et al. 2011). These regions may be involved in cortico-cerebellar loops (Gomez-Beldarrain and Garcia-Monco 1998; Georgiou-Karistianis et al. 2012).

Advances in the development and application of functional magnetic resonance imaging (fMRI) have allowed us to investigate the topological patterns of brain function in AD (Sperling et al. 2011). Recently, genetic susceptibility occurring at multiple genes and the interactions among them, as well as environmental factors, likely influence the risk of AD (Williamson et al. 2009). Recent imaging genetic studies have suggested that the genes also influence neuroimaging correlates associated with AD, including the APOE ɛ4 allele (Schuff et al. 2009; Sheline et al. 2010; Vemuri et al. 2010; Frank et al. 2011; Westlye et al. 2011; Koch et al. 2012; Trachtenberg et al. 2012), COMT Val158Met (Lancaster et al. 2011) and the ACE D allele (Zhang et al. 2010). Our recent study also showed genebrain-behaviour interactions involving a  $GSK3\beta$  polymorphism in patients with mild cognitive impairment (MCI) (Bai et al. 2012). These findings hold promise for understanding the underlying mechanisms using image-derived endophenotypes in the emerging field of imaging genetics. However, it should be noted that single candidate genebased approach might coherently account for the diversity and lack of independent replications of these associations, and the occurrence of AD might be the result of the integrated effects of multiple genes (Williamson et al. 2009; Liu et al. 2012).

An alternative pathway-based approach has been suggested to address this issue by testing polymorphisms in multiple functionally related genes to increase credibility in imaging genetics and generate a pathway framework (Inkster et al. 2010). However, no study has directly examined the brain topological alterations based on combined effects of tau protein pathway genes, i.e., MCI that is at high risk for AD (Petersen et al. 1999; Petersen and Negash 2008). Resting-state fMRI provides a viable alternative imaging approach for the assessment of brain function in the low-frequency range (<0.1 Hz) of blood oxygenation level dependent (BOLD) fluctuations. Zang et al. (2007) developed an index, amplitude of low-frequency fluctuations (ALFF) in which the square root of power spectrum was integrated in a low-frequency range, for detecting the regional intensity of spontaneous fluctuations in BOLD signal. Specifically, low-frequency fluctuations in BOLD-fMRI signals are closely related to spontaneous neuronal activity (Zang et al. 2007). Furthermore, ALFF has already been applied in a wide range of brain disorders including Parkinson's disease (Long et al. 2012), schizophrenia (Yu et al. 2014), post-traumatic stress disorder (Bing et al. 2012), Alzheimer's disease (Wang et al. 2011), MCI (Bai et al. 2012) and so on. Therefore, we used tau protein pathway-based imaging approaches to investigate changes in the topological organisation of brain activation in patients with MCI using ALFF. As described above, we sought to determine whether this pathway-based approach would show (a) detailed topological patterns of brain activation associated with tau protein pathway genes in patients with MCI; and (b) the associations of tau protein pathway genes that are related to architectural disruptions in the cortico-cerebellar loops of the patients group.

## Materials and methods

## Subjects

In the present study, 73 elderly individuals (right handed), including 43 MCI subjects and 30 healthy controls, were recruited through normal community health screening and newspaper advertisements. The study was approved by the Research Ethics Committee of Affiliated Zhong-Da Hospital, Southeast University, and written informed consent was obtained from all participants. All subjects underwent diagnostic evaluations.

#### Inclusion criteria

The diagnosis of MCI, including single domain (impairment involving only the memory domain) and multiple domain (impairments in the memory domain plus at least one other cognitive domain), was made following the recommendations of Petersen et al. (1999) and others (Winblad et al. 2004): (a) subjective memory impairment corroborated by the subject and an informant; (b) objective memory performance documented by an AVLT-delayed recall score less than or equal to 1.5 SD of age- and education-adjusted norms (cut-off of  $\leq 4$  correct responses on 12 items for patients with  $\geq 8$  years of education); (c) MMSE score of 24 or higher; (d) CDR of 0.5; (e) no or minimal impairment in activities of daily living; (f) absence of dementia or insufficient dementia to meet the NINCDS-ADRDA (National Institute of Neurological and Communicative Disorders and Stroke and the Alzheimer's Disease and Related Disorders Association) Alzheimer's criteria. In addition, the controls were required to have a CDR of 0, an MMSE score  $\geq 26$ , and an AVLT-delayed recall score >4 for subjects with 8 or more years of education.

Table 1	Descriptions	of tau	protein	pathway	genes
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Tau protein pathways SNPS	Chromosome	Location	Alleles	Function			
MAPT\STH (Mici	rotubule-associa	uted protein tau\s	aitohin)				
rs242557	17	Intron	AG	The predominant aggregation of certain MAPT isoforms, either the 4-repeat o			
rs2471738	17	Intron	CT	3-repeat isoform is a key for tau-related neurodegeneration (Chen et al. 2010)			
rs242562	17	Intron	AG				
$GSK3\beta$ (Glycoger	n synthase kinas	se-3 beta)					
rs12630592	3	Intron	GT	The hyperactivity of GSK-3 $\beta$ expressed in neurons causes cognitive impairment,			
rs334558	3	5'-Gene	CT	tau hyperphosphorylation, increased $\beta$ -amyloid (A $\beta$ ) production, neuronal death			
rs6438552	3	Intron	AG	and neuroinflammation) (Hooper et al. 2008)			
LRP6 (LDL recep	otor-related pro	tein 6)					
rs2284396	12	Intron	CT	The mechanism linking APOE and tau phosphorylation is based on cell-surface			
rs2302685	12	Exon (Val1062Ile)	CT	LDL receptor-related proteins to which APOE binds) (Small and Duff 2008)			
rs2417086	12	Intron	AG				
rs7294695	12	Intron	CG				
rs7316466	12	3'-Gene	CT				
CDK5 (Cyclin-de	pendent kinase	5)					
rs2069442	7	5'-Gene	CG	CDK5 dysregulation is associated with A $\beta$ production and the proliferation of NFTs) (Shelton and Johnson 2004)			
CDC2 (Cell divis	tion cycle 2)						
rs2448347	10	Intron	AG	CDC2 kinase is one of the main candidate kinases that phosphorylates normal tau			
rs7919724	10	5'-Gene	AG	in vitro at several sites seen in paired helical filaments-tau) (Pei et al. 2002)			
CAMK2G (Calcin	um/calmodulin-a	lependent protein	kinase L	I gamma)			
rs2242255	10	Intron	AT	CAMK2G is involved in the accumulation of tau in neuronal soma in AD brain) (Yamamoto et al. 2005)			
DYRK1A (Dual-s	pecificity tyrosii	ne-phosphorylatic	on regulat	ted kinase 1A)			

rs2835740	21	Intron	CT	The amounts of phospho-Ser-202-tau and phospho-Ser-404-tau are enhanced when
rs8126696	21	5'-Gene	СТ	DYRK1A amounts are high) (Ryoo et al. 2007)

## Exclusion criteria

Participants were excluded from the study if they had a history of known stroke, alcoholism, head injury, Parkinson's disease, epilepsy, major depression or other neurological or psychiatric illness, major medical illness, or severe visual or hearing loss.

SNP genotyping and candidate gene selection of tau protein pathway genes

Investigators blinded to all participant identifiers and information performed the genotype analysis. Blood samples were obtained from 43 patients with MCI and 30 controls. The data were processed and analysed using MassARRAY TYPER 4.0 software (Sequenom). In all, 17 previously suggested tagging SNPs from 7 candidate genes were selected based on the AD tau hypothesis, the Alzgene website and HapMap (Liu et al. 2012): *MAPT\STH*, *GSK3β*, *LRP6*, *CDK5*, *CDC2*, *CAMK2G* and *DYRK1A* (for details, see Table 1). The Hardy–Weinberg equilibrium was checked using the  $\chi^2$  test. SNPs were excluded from the study if (a) they demonstrated complete linkage disequilibrium (LD) with another SNP (i.e., the alleles were completely correlated with the alleles of another SNP) or (b) the minor allele frequency (MAF) was lower than 5 % (Inkster et al. 2010). Consequently, 17 SNPs from seven candidate genes can be detected in all subjects and entered into the following analysis (a flowsheet see Fig. 1).

MRI data acquisition and preprocessing

The subjects were scanned using a General Electric 1.5 Tesla scanner (General Electric Medical Systems, USA) with a homogeneous birdcage head coil. Subjects lay supine with the head snugly fixed by a belt and foam pads to minimize head motion. Conventional axial fast relaxation fast spin echo sequence T2 weighted anatomic MR images were obtained to rule out major white matter changes, cerebral infarction or other lesions: repetition time (TR) = 3500 ms; echo time (TE) = 103 ms; flip angle (FA) = 90°; acquisition matrix =  $320 \times 192$ ; field



Fig. 1 Overview of data process

of view (FOV) =  $240 \times 240$  mm; thickness = 6.0 mm; gap = 0 mm; no. of excitations (NEX) = 2.0. High-resolution T1-weighted axial images covering the whole brain were acquired using a 3D spoiled gradient echo sequence as follow: TR = 9.9 ms; TE = 2.1 ms;  $FA = 15^{\circ}$ ; acquisition matrix =  $256 \times 192$ ; FOV =  $240 \text{ mm} \times 240 \text{ mm}$ ; thickness = 2.0 mm; gap = 0 mm. The functional scans (T2\* weighted images) involved the acquisition of 30 contiguous axial slices using a GRE-EPI pulse sequence: TR = 3,000 ms; TE = 40 ms; FA =  $90^{\circ}$ ; acquisition matrix =  $64 \times 64$ :  $FOV = 240 \times 240 \text{ mm};$ thickness = 4.0 mm; gap = 0 mm and  $3.75 \times 3.75 \text{ mm}^2$  inplane resolution parallel to the anterior commissureposterior commissure line. This acquisition sequence generated 142 volumes in 7 min and 6 s. All subjects have eyes closed during scanning.

## Data preprocessing

Data analyses of groups were conducted with SPM5 toolkit (http://www.fil.ion.ucl.ac.uk/spm). The first eight volumes of the scanning session were discarded to allow for T1 equilibration effects. The remaining images were corrected

for timing differences and motion effects. No translation or rotation parameters of head motion in any given data set exceeded  $\pm 3$  mm or  $\pm 3^{\circ}$ . The resulting images were spatially normalised into the SPM5 Montreal Neurological Institute echo-planar imaging template using the default settings and resampling to  $3 \times 3 \times 3$  mm<sup>3</sup> voxels, and smoothed with a Gaussian kernel of  $8 \times 8 \times 8$  mm. Then, REST software (http://www.restingfmri.sourceforge.net) was used for removing the linear trend of time courses and for temporally band-pass filtering (0.01–0.08 Hz).

## ALFF analysis

Separate ALFF analyses were performed for each SNP using REST software following a procedure similar to that used in previous study (Wang et al. 2011; Bai et al. 2012; Bing et al. 2012; Long et al. 2012; Yu et al. 2014). In brief, the time series of the resultant images was transformed to the frequency domain after image preprocessing using a fast Fourier transform (FFT; taper percent = 0, FFT length = shortest). The power spectrum was then obtained. Because spectral power is the square of spectral amplitude, the square root was calculated at each frequency of the

power spectrum and averaged between 0.01 and 0.08 Hz at each voxel. The activity in this frequency band was then taken as the ALFF. The current study considered only regions within the brain (i.e., background noise and activity of tissue outside the brain were removed).

## Voxelwise-based grey matter volume correction

To control for possible differences in the brain activation results (i.e., the ALFF) that might be explained by differences in between-participant grey matter volume between subjects, we included estimates of a voxel's likelihood of containing grey matter as a covariate (nuisance variable) in the analysis of the resting-state functional data using standard statistical techniques (Oakes et al. 2007). The purpose of this method is to isolate the functional changes component which cannot be attributed to anatomical difference and is thus likely due to genuine functional differences. Firstly, voxel-based morphometry (VBM) was used to explore grey matter volume maps of every subject. These maps were transformed into the same standard space as the resting-state fMRI images using affine linear registration. As VBM results can be sensitive to the size of the smoothing kernel used to smooth the tissue segment images, the criterion used in this work was to match the smoothness of the grey matter volume map data to that of the corresponding functional data (8 mm). Finally, the resulting voxelwise grey matter volume maps were input as covariates in the analysis of functional data. The voxelwise-based grey matter volume correction was used for each subject. Note that there were no anatomical images for one MCI participant.

#### Statistical analysis

#### Mass univariate modelling

A procedure similar to that used in previous studies was applied to the present study (Inkster et al. 2009, 2010). In brief, a general linear model was used to analyse MCI-bygenotype interactions in the ALFF data at each SNP. Groups  $\times$  genotypes ANOVA was recruited in the present study (groups: MCI and controls; genotypes: 2- or 3-level covariate for genotype status). Briefly, we used a genotypic model parameterised with orthogonal polynomials (and 2 degrees of freedom) for SNPs among the 10 % of participants who showed this rare genotype (i.e., MAF >  $\sqrt{0.10} = 0.31$ ). For SNPs with MAF < 0.31, a recessive model that merged the rare homozygous and heterozygous groups was used. In addition, because indisputable genetic neuroimaging data have identified APOE E4 as a risk allele in AD and showed that patients with this allele present with abnormal resting-state brain function. In detail, MCI group included 13 APOE-E4 carriers (9 subjects were single E4 carriers; 4 subjects were double  $\varepsilon$ 4– $\varepsilon$ 4 carriers) and 30 no APOE- $\varepsilon$ 4 carriers (including 26 subjects  $\varepsilon$ 3– $\varepsilon$ 3 carriers and 4 subjects  $\varepsilon$ 2– $\varepsilon$ 3 carriers), and controls group included 1 subject  $\varepsilon$ 4– $\varepsilon$ 3 carriers and 29 subjects  $\varepsilon$ 3– $\varepsilon$ 3 carriers. To control for possible brain functional differences that may be explained by differences of samples, the present study included APOE genotypes, age, gender and education as covariates in the following fMRI analysis. ANOVA statistical thresholds were set at an AlphaSim-corrected P < 0.05 as determined by Monte Carlo simulation (single voxel P value = 0.005, a minimum cluster size of 1,296 mm<sup>3</sup>, and FWHM = 8 mm with mask, see the AlphaSim program by D. Ward at http://afni.nimh.nih.gov/pub/dist/doc/manual/AlphaSim.pdf).

#### Cluster-based inference

Non-stationary cluster-size inference (Inkster et al. 2009, 2010) has been used to test for associations between individual SNPs and brain variations while correcting for searching across the entire brain imaging space using a minimum non-stationary AlphaSim-corrected *P* value as a summary measure to reflect the ALFF of any one SNP within each gene [denoted as  $P^{\text{corrected(S)}} < 0.05$ ]. For cases in which multiple SNPs related to the same gene could be tested, we selected the SNP that had the lowest (significant) *P* value for final analysis after applying a Bonferroni correction based on the number of SNPs tested for that gene. Thus, the final measure of significance for each gene included corrections for multiple comparisons both within and between genes [denoted as *P*<sup>corrected(S, G)</sup> < 0.05].

#### Network construction and behavioural significance

MCI-by-genotype associations with ALFF differences remained significant after correcting for imaging space for any one SNP  $[P^{\text{corrected}(S)}]$  and across multiple SNPs per gene  $[P^{\text{corrected}(S, G)}]$ , including  $GSK3\beta$  (rs12630592), LRP6 (rs2302685), CDK5 (rs2069442), CDC2 (rs7919724) and CAMK2G (rs2242255) (also see Results section). To simplify the analysis, only the 11 clusters associated with the highest peak F value in every abovementioned SNPs were extracted as regions of interest (ROIs), including right anterior cingulum (MNI: 12 33 9), bilateral cerebellum posterior lobe (MNI: 0 - 57 - 45), left lentiform nucleus/ putamen/insula (MNI:-18 0 12), right cerebellum anterior lobe/bilateral brainstem (MNI:-3 - 36 - 30), and bilateral cerebellum posterior Lobe (MNI: 18 - 72 - 24) (for details, see Table 3). These 11 cluster masks (ROIs) were extracted using a semi-automated imaging analysis program (developed by Dr. Liao W). To evaluate the overall effects of these 11 ROIs as well as interaction with aMCI, these ROIs

AMCI $(n = 43)$	Controls $(n = 30)$	Р
$72.00 \pm 4.88$	$72.93 \pm 3.93$	0.661 <sup>†</sup>
$13.58 \pm 3.10$	$14.98 \pm 2.67$	$0.045^{\dagger}$
27:16	17:13	$0.601^{\dagger}$
0.5	0	_
$27.05 \pm 1.53$	$28.2 \pm 1.37$	$0.002^{\dagger}$
$-0.45 \pm 0.68$	$0.71 \pm 0.66$	0.000*
$-0.64 \pm 0.57$	$0.99\pm0.62$	0.000*
$-0.26 \pm 0.96$	$0.44 \pm 0.91$	0.003*
$-0.03 \pm 0.42$	$0.02 \pm 0.27$	0.564
$0.25 \pm 1.03$	$-0.37 \pm 0.85$	0.009*
$0.22 \pm 1.11$	$-0.41 \pm 0.60$	0.006*
$-0.24 \pm 0.94$	$0.39 \pm 0.96$	0.007*
$-0.23 \pm 1.14$	$0.29 \pm 0.70$	0.032*
$-0.13 \pm 0.93$	$0.23 \pm 0.99$	0.119
	AMCI $(n = 43)$ 72.00 ± 4.88 13.58 ± 3.10 27:16 0.5 27.05 ± 1.53 -0.45 ± 0.68 -0.64 ± 0.57 -0.26 ± 0.96 -0.03 ± 0.42 0.25 ± 1.03 0.22 ± 1.11 -0.24 ± 0.94 -0.23 ± 1.14 -0.13 ± 0.93	AMCI $(n = 43)$ Controls $(n = 30)$ $72.00 \pm 4.88$ $72.93 \pm 3.93$ $13.58 \pm 3.10$ $14.98 \pm 2.67$ $27:16$ $17:13$ $0.5$ $0$ $27.05 \pm 1.53$ $28.2 \pm 1.37$ $-0.45 \pm 0.68$ $0.71 \pm 0.66$ $-0.64 \pm 0.57$ $0.99 \pm 0.62$ $-0.26 \pm 0.96$ $0.44 \pm 0.91$ $-0.03 \pm 0.42$ $0.02 \pm 0.27$ $0.25 \pm 1.03$ $-0.37 \pm 0.85$ $0.22 \pm 1.11$ $-0.41 \pm 0.60$ $-0.24 \pm 0.94$ $0.39 \pm 0.96$ $-0.13 \pm 0.93$ $0.23 \pm 0.99$

Table 2 Demographic and neuropsychological data between MCI group and healthy controls group

Values are mean  $\pm$  (SD); <sup>†</sup> *P* value was obtained by Mann–Whitney *U*-test, which was used here due to the neuropsychological data that were not normally distributed; other *P* values were obtained by Independent-samples *t* test, \* indicates had statistical difference between groups, *P* < 0.05. Episodic memory includes auditory verbal memory test-delayed recall and Rey-Osterrieth complex figure test- delayed recall, the global score of episodic memory was formed by averaging the *z* scores of all these two tests. Non-episodic memory includes trail-making test-A, trail-making test-B, symbol digit modalities test, clock-drawing test and digit span test. The global score of non-episodic memory was formed by averaging the *z* scores of all five tests

were marked out a network. In brief, the topological characteristics of the network merged above resulted in regions using the following steps: (a) Using network node definitions, 11 ROIs were extracted based on their significance after correcting for imaging space for any one SNP [P<sup>corrected(S)</sup>] and across multiple SNPs per gene  $[P^{\text{corrected}(S, G)}]$ . (b) Network edge definitions were used to individually extract averaged BOLD time from the 11 ROIs for each participant; then, we regressed the six head motion parameters, averaged the signals from the cerebrospinal fluid and white matter, and acquired a global brain signal (Fox et al. 2005). A Pearson's correlation coefficient (after Fisher's z-transform) was obtained for each of the 11 ROI time series pairs. Thus, we obtained an  $11 \times 11$  matrix for each participant, and the weight of the edge between any two nodes represented the z-valued strength of the functional connectivity between the two corresponding brain regions. (c) We constructed a complete undirected weighted graph to model the topology of the network for each participant. The degree Si quantifies the extent to which a node is relevant to the network, and is defined as follows:

$$s_i = \sum_j w_{ij},$$

where  $w_{ij}$  denotes the weighted edge that connects node *i* and node *j*. In other words, it is the *z*-value strength of the functional connectivity between brain region *i* and brain region *j* in the present study. (d) Finally, Pearson's correlational analyses between  $S_i$  and cognitive performance

(i.e., episodic memory and non-episodic memory) were performed (P < 0.05).

## Results

## Participant characteristics

Compared with the controls, participants with MCI showed deficits in CDR, MMSE, episodic memory (i.e., AVLT-delayed recall and Rey-Osterrieth complex figure test-delayed recall) and non-episodic memory (i.e., trail-making test-A, trail-making test-B, the symbol digit modalities test, the clock-drawing test and the digit span test). Significant differences did not exist between these groups with regard to performance on the digit span test (Table 2). In addition, the genotype frequencies did not deviate from the Hardy–Weinberg equilibrium in either group (P > 0.05).

Tau protein pathway genes

## Within genes

MCI-by-genotype associations with activation (ALFF) differences were identified for SNPs in 9/17 of the tau protein pathway SNPs after a minimum non-stationary AlphaSim-corrected *P* value for imaging space for any one SNP  $[P^{\text{corrected}(S)}]$ , including *MAPT/STH* (rs242557), *GSK3β* (rs12630592 and rs6438552), *LRP6* (rs2284396

Tau protein pathways SNPs	Alleles	Cluster size	Peak MNI coordinates $x, y, z$	Peak F value	$P^{\text{corrected(S)}} < 0.05$	$P^{\text{corrected (S,G)}} < 0.05$	Brain region
MAPT\STH							
rs242557	AG				*	_	_
rs2471738	CT				_	_	_
rs2471730	AG				_	_	_
GSK3B	110						
rs12630592	GT	1.404	12 33 9	25.32	*	**	Anterior cingulum R
1512000072	01	4,536	-27 -6 45	17.51	*	**	Precentral_L/middle frontal gyrus_L/superior frontal_L
		2,079	30 - 30 51	16.64	*	**	Postcentral_R
rs334558	CT				_	-	-
rs6438552	AG				*	-	-
LRP6							
rs2284396	СТ				*	_	_
rs2302685	CT	1,458	0 -57 -45	21.68	*	**	Cerebellum posterior lobe_B
		4,185	-12 -21 9	17.79	*	**	Thalamus_L/brainstem_L
		1,485	12 -33 6	16.06	*	**	Thalamus_R
rs2417086	AG				_	-	_
rs7294695	CG				_	-	_
rs7316466	CT				_	_	-
CDK5							
rs2069442	CG	7,641	-18 0 12	22.76	*	**	Lentiform nucleus_L/ putamen_L/insula_L
		7,344	27 -9 15	19.37	*	**	Lentiform nucleus_R/ putamen_R/insula_R
		1,566	21 -69 -48	16.46	*	**	Cerebellum posterior lobe_R
CDC2							
rs2448347	AG				_	-	_
rs7919724	AG	2,700	-3 -36 -30	15.51	*	**	Cerebellum anterior lobe_R/ brainstem_B
CAMK2G							
rs2242255	AT	6,669	18 -72 -24	21.79	*	**	Cerebellum posterior lobe_B
DYRKIA							
rs2835740	CT				-	_	_
rs8126696	CT				*	-	-

R right, L left, B bilateral, BA Brodmann's area, Cluster size is in mm<sup>3</sup>, MNI Montreal Neurological Institute

\* Remained statistically significant after correction for imaging space (the statistical threshold was set at P < 0.005 and cluster size 1,296 mm<sup>3</sup>, which corresponds to a corrected P < 0.05)

\*\* Remained statistically significant after correction for imaging space and across multiple SNP per gene (P < 0.05)

and rs2302685), *CDK5* (rs2069442), *CDC2* (rs7919724), *CAMK2G* (rs2242255) and *DYRK1A* (rs8126696) [which had  $P^{\text{corrected(S)}} < 0.05$ ]. The association clusters were widely found in frontal/parietal cortex, basal ganglia, brainstem and cerebellum (details see Table 3). It should be noted that there was no remained statistically significant after correction for imaging space between opposite statistical contrasts (controls <MCI). Furthermore, this study confirmed the interactions between the SNP associations and brain activation associated with different tau protein pathway genes, showing different patterns among patients with MCI. For  $GSK3\beta$ , the regions associated with the rs12630592 and rs6438552 SNPs converged approximately at the right anterior cingulum cingulum/left precentral gyrus/left middle/superior frontal gyrus/right postcentral gyrus (Fig. 2a). In contrast,



**◄ Fig. 2** a *GSK3β* (rs12630592 and rs6438552) is associated with the MCI-by-genotype ALFF map. These SNPs demonstrated approximately converging regions in the right anterior cingulum/left precentral gyrus/left middle/superior frontal gyrus/right postcentral gyrus [*P*<sup>corrected(S)</sup> < 0.05]. b *LRP6* (rs2284396 and rs2302685) is associated with the MCI-by-genotype ALFF map. These SNPs showed completely diverging regions in the left temporal lobe and the bilateral cerebellum posterior lobe/bilateral thalamus/left brainstem, respectively [*P*<sup>corrected(S)</sup> < 0.05]

the rs2284396 and rs2302685 SNPs of *LRP6* completely diverged at the left temporal lobe and the bilateral cerebellum posterior lobe/bilateral thalamus/left brainstem, respectively (Fig. 2b).

#### Between genes

For cases in which multiple SNPs related to the same gene could be tested, we selected the SNP that had the lowest (significant) P value for final analysis after applying a Bonferroni correction based on the number of SNPs tested for that gene. Finally, 5/17 tau protein pathway SNPs remained significant after correcting for imaging space for anv one SNP [ $P^{corrected(S)}$ ] and across multiple SNPs per gene [ $P^{\text{corrected(S, G)}}$ ], including GSK3 $\beta$  (rs12630592), LRP6 (rs2302685), CDK5 (rs2069442), CDC2 (rs7919724) and CAMK2G (rs2242255). This statistical approach was used to test the candidate genes of the tau protein pathway as all of these genes contribute to brain activation. In detail, these regions predominate along the cortico-cerebellar loops (i.e., the frontal-parietal-basal and ganglia-brainstem-cerebellum systems) were observed in patients with MCI, including the frontal superior/middle gyrus, the precentral/postcentral gyrus, anterior cingulum, lentiform nucleus, putamen, insula, brainstem, thalamus, and cerebellum posterior/ anterior lobe (Table 3; Fig. 3a). None remained statistically significant after correction for imaging space and across multiple SNP per gene (P < 0.05) between opposite statistical contrasts (controls <MCI) in the present study.

#### Genes-brain-behaviour interactions

To simplify the analysis, the 11 clusters associated with highest peak *F* value in every abovementioned 5/17 SNPs were further extracted as ROIs, including right anterior cingulum (MNI:12 33 9), bilateral cerebellum posterior lobe (MNI:0 -57 -45), left lentiform nucleus/putamen/ insula (MNI:-18 0 12), right cerebellum anterior lobe/ bilateral brainstem (MNI:-3 -36 -30) and bilateral cerebellum posterior Lobe (MNI:18 -72 -24) (for details, see Table 3). To evaluate the overall effects of these abovementioned 11 ROIs as well as interaction with MCI, these ROIs were marked out a unidirectional weighted network with 11 nodes and 55 edges that globally described the

network connectivity pattern for each participant. The characteristic network associations and behaviours demonstrated that the degree of correlated activity in right postcentral gyrus (R = -0.414, P = 0.006) and right cerebellum posterior lobe (R = -0.468, P = 0.002) were negatively correlated with non-episodic memory performance for all patients with MCI (Fig. 3b). No correlation was found between memory-related performance and network significance in the present study.

#### Discussion

This present study revealed that the topological alterations of brain activation were associated with tau protein pathway genes in patients with MCI. The two major findings are as follows: (a) impaired regional activation is related to tau protein pathway genes in patients with MCI and likely in topologically convergent and divergent functional alterations patterns within genes; and (b) tau protein pathway genes disrupt the topological architecture of cortico-cerebellar loops. This study was the first to extend our understanding of the tau pathway neuroimaging framework, which increases the information regarding combined genetics and imaging datasets among patients with MCI.

Topologically convergent and divergent functional alterations patterns

An increasing number of independent genetic association studies have found tau protein pathway genes in patients with AD and MCI disorders (Pei et al. 2002; Shelton and Johnson 2004; Yamamoto et al. 2005; Ryoo et al. 2007; Hooper et al. 2008; Small and Duff 2008; Chen et al. 2010). However, little is known regarding the detailed neuroimaging patterns related to these genes. The hyperactivity of  $GSK3\beta$  expressed in neurons causes cognitive impairments, tau hyperphosphorylation, increased  $\beta$ -amyloid production, neuronal death and neuroinflammation (Hooper et al. 2008). Furthermore, this gene is a potential target for the effective treatment of all AD clinical symptoms (Martinez et al. 2011). Kwok et al. (2005) reported that rs6438552 of  $GSK3\beta$  might regulate the selection of splice acceptor sites and enhance tau phosphorylation in patients with Parkinson's disease. The present imaging genetic study showed that variations in the activation regions of the frontal-parietal lobe are associated with a common intronic polymorphism (rs6438552) at the GSK3 $\beta$  locus in patients with MCI, and a similar association between genotype and local region activation was also detected for rs12630592, which is 136,432 kb away from rs6438552. These findings were highly consistent with rs6438552, which is in high linkage disequilibrium with rs12630592 (i.e.,  $r^2 = 0.95$ ; the C allele



**◄ Fig. 3 a** The following genes remained significant after correcting for imaging space for any one SNP [ $P^{\text{corrected(S)}}$ ] and across multiple SNPs per gene [ $P^{\text{corrected(S, G)}}$ ]: *GSK3β* (rs12630592, *red*), *LRP6* (rs2302685, *blue*), *CDK5* (rs2069442, green), *CDC2* (rs7919724, *violet*) and *CAMK2G* [rs2242255, *yellow*;  $P^{\text{corrected(S, G)}} < 0.05$ ]. These regions predominated in the cortico-cerebellar loops, including the frontal superior/middle gyrus, precentral/postcentral gyrus, anterior cingulum, lentiform nucleus, putamen, insula, brainstem, thalamus, and cerebellum posterior/anterior lobe. **b** The network characteristics and behavioural significance of the degrees of the correlated activity in right postcentral gyrus (*R* = −0.414, *P* = 0.006, *blue*) and right cerebellum posterior lobe (*R* = −0.468, *P* = 0.002, *yellow*) were negatively correlated with non-episodic memory performance in all patients with MCI

of rs6438552 corresponds to the a allele of rs12630592, which has been found in Caucasian individuals' HapMap data). Interestingly, our previous study observed significant resting-state differences for the  $GSK3\beta$  rs334558 polymorphism, while in the present study no significant changes are found (Bai et al. 2012). Previous study only focused on single SNP (GSK-3 $\beta$  rs334558), therefore, two factors are the possible reasons. One is associated with the method of statistical analysis (i.e., uncorrected for other SNPs vs. corrected for other SNPs), and another is a single SNP-based approach might coherently account for the diversity and lack of independent replications of these associations (i.e., one SNP-based approach ).

In addition, different alterations of brain regions were observed within the SNPs of other tau protein pathway genes among MCI populations. The LRP family is of high interest due to its key function in cholesterol/APOE uptake (Jaeger and Pietrzik 2008), which links APOE and tau phosphorylation given that APOE binds to cell-surface LDL receptorrelated proteins (Small and Duff 2008). Recently, several studies have suggested that  $GSK3\beta$  promotes beta-catenin phosphorylation and degradation, as well as mediates the phosphorylation and activation of LRP6 (Zeng et al. 2005). In contrast to the convergent alterations of  $GSK3\beta$  (rs12630592 and rs6438552) and *LRP6* (rs2284396 and rs2302685), however, related brain activation showed completely divergent patterns between the SNPs in the present sample of patients with MCI. Importantly, no linkage disequilibrium existed between rs2284396 and rs2302685, although they were slightly correlated ( $r^2 = 0.43$  from HapMap data). Therefore, we infer that the linkage disequilibrium of the SNPs in the tau protein pathway genes contributes to the underlying target brain patterns in patients with MCI.

Cortico-cerebellar loops associated with tau protein pathways

This study was the first to identify the disrupted corticocerebellar loops associated with tau protein pathway genes in patients with MCI. Although none remained statistically significant between opposite statistical contrasts (controls <MCI) in the present study, neural hyperactivation that have been highlighted in the recent studies. For example, some regions with early hyperfunction could be due to compensation for other impairments (Clément and Belleville 2010), indeed neural hyperactivation was also closely associated with synaptic integrity. Bero et al. (2011) suggested that excess activity in several brain regions could be amyloidogenic processing through that neuronal activity increases AB production and secretion into interstitial fluid. Namely, increased activity at early stage of disease may be a pathological process that contributes to generating plaques, which then creates a pathological load in the same regions that subsequently causes a rapid decline in connectivity in these regions. This was also consistent with the threshold model of AD (Mortimer 1997).

cortico-cerebellar loop projections originated The throughout the cerebral cortex. In addition, they originated from the dentate nucleus of the cerebellum to the prefrontal and posterior parietal cortices via the brainstem and thalamus (He et al. 2004; Allen et al. 2005). These findings hold promise for understanding the underlying mechanisms of the disease-related increases in the pathological alterations (which may be attributable to functional disruptions in the cortico-cerebellar loops). Interestingly, a recent review has indicated that tauopathy of the AD process begins in the brainstem decades before the appearance of the first clinical AD symptoms (Braak and Del Tredici 2012). Another study showed a significant shortening of rapid eye movement (REM) sleep in MCI subjects relative to healthy elders (Hita-Yañez et al. 2012), while REM is strongly regulated by neuronal populations in the brainstem (Diniz Behn and Booth 2012). The present study observed that the brainstem and other areas comprised a network of regions significantly associated with tau protein pathway genes in MCI subjects. It could be a better understanding of the role of the brainstem in early neurodegeneration.

Furthermore, the present study demonstrated that the network characteristics (i.e., the degree of network) of the cortico-cerebellar loops were strongly negatively correlated with non-memory cognitive performance in patients with MCI. In detail, non-episodic memory tests of the present study includes trail-making test-A/B (assessment of visual attention and task switching), symbol digit modalities test (assessment of executive function), clock-drawing test (assessment of executive function and psychomotor speed), and the digit span test (assessment of memory span). This observation is consistent with the psychological functions of cortico-cerebellar loops, which include attention, psychomotor speed, executive function and visuospatial skills (Habas et al. 2009). Thus, patients with MCI might be able to alleviate or arrest cognitive decline through the increasing use of brain resources. The associations between networks

and behavioural significance also implicate direct (or indirect) interactions among tau protein pathway genes. It should be noted that MCI can be sub-divided into two subtypes (Petersen et al. 1999; Winblad et al. 2004), including MCI-single domain that is assumed if the impairment involves only memory domain and MCI-multiple domain that pertains to impairments in the memory domain plus at least one other cognitive domain. Although the present study mainly recruited MCI-multiple domain patients, these findings only demonstrated that the network characteristics were strongly negatively correlated with non-memory cognitive performance. The lack of correlation with memoryassociated tests may suggest that tau protein pathway genes do not play a significant role in the initial stages of memory impairment. We could assume that significance between the cortico-cerebellar loops and memory may become more apparent as disease changes worsen.

#### Methodological issues

Several issues should be addressed. First, brain function might be influenced by additional pathway variants that have not been studied with regard to other AD hypotheses, such as the amyloid cascade, cholinergic and cholesterol metabolism hypotheses. Future studies that focus on more complex pathways are necessary and important to provide a comprehensive picture of the topological alterations of brain networks in patients. Second, the present 17 selected SNPs were previously suggested to be tagging SNPs based on the tau protein hypothesis of AD, the Alzgene website and HapMap. This common, operational definition of a pathway was biased by the information obtained at the time of the analysis (Inkster et al. 2010). A larger sample size (i.e., the number of genes or SNPs) is needed to expand upon these preliminary findings. Third, a considerable amount of clinical and biological heterogeneity existed in the present sample of MCI participants whose recruitment was based on clinical criteria only. Certain participants may not have displayed the underlying AD pathology; thus, the sample was "contaminated" with non-AD cases. This limitation could be overcome by adding biomarker information to better characterise the study groups. This addition would yield the current "MCI due to AD" diagnosis that was recently published in the revised diagnostic criteria for AD (Dubois et al. 2010). Finally, the present perform analyses with samples were not fully matched (not statistically, but one-to-one) in age and gender. Therefore, these data should be interpreted with caution.

In all, the disrupted cortico-cerebellar loops were associated with tau protein pathway genes in patients with MCI. Tau protein pathway-based imaging approaches might strengthen the credibility in imaging genetic associations and generate pathway frameworks that might provide powerful new insights into the neural mechanisms that underlie MCI.

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