




# Molecular and clinicopathological analysis revealed an immuno-checkpoint inhibitor as a potential therapeutic target in a subset of high-grade myxofibrosarcoma

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## Abstract

This study aimed to identify differences in genetic alterations between low- and high-grade lesions in myxofibrosarcoma (MFS) and to examine the efficacy of immune checkpoint inhibitors in 45 patients with MFS. First, genetic differences between low- and high-grade components within the same tumor were analyzed in 11 cases using next-generation sequencing. Based on the obtained data, Sanger sequencing was performed for *TP53* mutations in the remaining 34 patients. Loss of heterozygosity (LOH) analysis was performed at the *TP53* and *RBI* loci. Immunohistochemistry was performed for FGFR3, KIT, MET, programmed death receptor ligand 1 (PD-L1), CD8, FOXP3, and mismatch repair proteins. The microsatellite instability status was also evaluated in all cases. *TP53* deleterious mutations and LOH at *TP53* and *RBI* loci were detected significantly more frequently in high-grade than in low-grade MFS ( $P = 0.0423$ ,  $0.0455$ , and  $0.0455$ , respectively). LOH at the *RBI* locus was significantly associated with shorter recurrence-free survival in both univariate and multivariate analyses. *TP53* alterations, such as mutation and LOH, were more frequently observed in low-grade areas within high-grade MFS than in pure low-grade MFS. The positive PD-L1 expression rate was 35.6% (16/45), and all these 16 cases were high-grade. A high density of both CD8+ and FOXP3+ tumor-infiltrating lymphocytes was associated with PD-L1 positivity. LOH at the *RBI* locus was identified an independent adverse prognostic factor for recurrence-free survival in patients with MFS. Immune checkpoint inhibitors may be a therapeutic option for a subset of high-grade MFS.

**Keywords** Myxofibrosarcoma · Next-generation sequencing · *TP53* · *RBI* · Programmed death receptor ligand 1 · Mismatch repair protein

## Introduction

Myxofibrosarcoma (MFS) is a malignant fibroblastic neoplasm with variable myxoid stroma, cellular pleomorphism, and a distinct curvilinear vascular pattern [1]. It represents approximately 5% of soft tissue sarcomas and is one of the

most frequent histological types of soft tissue sarcomas in elderly patients [2]. The World Health Organization (WHO) classification of tumors morphologically categorizes MFS into three histological grades (low grade, intermediate grade, and high grade) based on cellularity, cytological atypia, and proliferative activity [1]. Histological grades correlate with distant metastases and tumor-associated mortality [3]. Low-grade neoplasms are unlikely to metastasize, whereas intermediate- and high-grade neoplasms may develop metastases in approximately 20–30% of cases [4, 5]. MFS is a tumor with a highly complex karyotype, and the number of cytogenetic aberrations has been shown to correlate with MFS tumor grade [6]. Therefore, it is crucial to identify the genetic factors that contribute to tumor progression to improve MFS prognosis.

Tumors with complex karyotypes typically emit signals that increase their immunogenicity and create

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immunosuppressive contextures in the tumor microenvironment [7–10]. Considering that programmed cell death 1 (PD-1)/programmed death receptor ligand 1 (PD-L1)-mediated immune evasion is associated with tumor immunosuppressive mechanisms, immune checkpoint inhibitors may be an effective therapy for an MFS subset with a highly complex karyotype.

The aim of this study was to elucidate the mechanisms of tumorigenesis and tumor progression in MFS by evaluating the differences in genetic alterations between low- and high-grade components within the same tumor. Furthermore, PD-L1 and mismatch repair (MMR) protein expressions, profiles of tumor-infiltrating lymphocytes (TILs), and microsatellite instability (MSI) status were examined to explore the possibility of applying immunotherapy.

## Materials and methods

### Case selection

We selected 45 MFS patients, with primary and recurrent tumors, that were surgically resected at Juntendo University Hospital between June 2007 and June 2019. In all cases, sections were prepared from the maximum cut surface of the gross section for histological evaluation, and additional samplings were performed in areas with different characteristics or where the tumor was close to the margin on gross examination. The 2020 WHO classification of soft tissue and bone tumors formed the basis of histological criteria for diagnosis and tumor grading [1]. All resected specimens were subjected to a uniform protocol for formalin-fixed, paraffin-embedded (FFPE) specimens.

### Next-generation sequencing

For next-generation sequencing (NGS), we selected 11 cases with clear margins between low-grade and high-grade components within the tumor. DNA was extracted from each component by microdissection, and DNA integrity was assessed. Genomic DNA from each component and non-tumoral tissue was extracted using a QIAamp FFPE tissue kit (Qiagen, Antwerp, Belgium). NGS was performed as previously described [11], using the Ion Ampliseq Cancer Hotspot Panel v2 (Thermo Fisher Scientific, Waltham, MA, USA).

### Sanger sequencing and loss of heterozygosity analysis

The NGS findings frequently detected *TP53* mutations, *TP53* loss, and *RBI* loss in high-grade components. Hence, we examined *TP53* mutations in the remaining 34 cases using

Sanger sequencing. The *TP53* deleterious status of single nucleotide variants (SNVs) and insertion/deletions (Indels) was determined using ClinVar report and p53 immunohistochemistry (IHC) analysis. For cases where ClinVar showed *TP53* alterations with uncertain significance/conflicting interpretations of pathogenicity, samples with p53 overexpression determined by IHC were judged as deleterious and those without p53 overexpression as not deleterious. *TP53* loss and *RBI* loss were also assessed by loss of heterozygosity (LOH) analyses in all 45 cases. LOH analyses were performed for each low- and high-grade component of those analyzed by NGS. In the remaining 34 cases not analyzed by NGS, DNA was extracted from tumor and non-tumor tissues using the QIAamp FFPE tissue kit (Qiagen, Antwerp, Belgium) or Maxwell RSC DNA FFPE Kit – PKK Custom (Promega, Madison, WI, USA). Tumor DNA was extracted from the specimen containing the highest-grade area within the tumor.

### Immunohistochemistry

Tissue microarray (TMA) blocks, containing two foci of 2-mm cores from the highest-grade area of each case in all 45 cases, were prepared. IHC was performed in all cases using either whole sections or TMAs, depending on the primary antibodies used. When using whole sections in 11 NGS analyzed cases, we evaluated IHC in both low- and high-grade components. In contrast, in the remaining cases that were not examined by NGS, IHC was performed on the sections containing the highest-grade area. We selected IHC targets based on the targetable receptor tyrosine kinases revealed by NGS, which are frequently amplified in high-grade components. *FGFR3* and *c-KIT* were evaluated in whole sections from all 45 cases. IHC for *c-MET* was performed using the TMA blocks for all 45 cases. The following antibodies were used: *FGFR3* (clone B-9, sc-13121, 1:20, Santa Cruz Biotechnology, Santa Cruz, CA, USA), *c-KIT* (1:200, Dako, Carpinteria, CA, USA), and *c-MET* (clone SP44, 1:100, Ventana Medical Systems, Tucson, AZ, USA). *FGFR3*, *c-KIT*, and *c-MET* expressions were considered positive if at least 50% of tumor cells showed membranous and/or cytoplasmic staining. In addition, p53 and RB expressions were evaluated in whole sections in all 45 cases using antibodies against p53 (clone 1801, 1:1, BioGenex, Fremont, CA, USA) and RB (clone 13A/10, 1:50; Leica, Newcastle, UK). Overexpression of p53 was defined as strong nuclear staining in at least 10% of tumor cell nuclei; p53 complete loss was defined as complete absence of staining in tumor cell nuclei despite the physiological expression in the internal control within the slide, and for physiological expression in the internal controls, weak or strong staining in < 10% of tumor cells was considered negative as previously

described [11, 12]. Complete RB loss was defined as the complete absence of staining in the tumor cell nuclei and detectible expression in the internal controls. Furthermore, PD-L1 expression on tumor cells and CD8 and FOXP3 expression in tumor-infiltrating lymphocytes (TILs) were also examined by IHC on whole sections of all 45 cases using antibodies against PD-L1 (clone 22C3, 1:50, Dako), CD8 (clone 1A5, 1:100, Leica), and FOXP3 (clone 236A/E7, 1:500, Abcam, Cambridge, UK). PD-L1 staining was performed using the Dako Autostainer Link 48 platform and an automated staining protocol validated for the PD-L1 IHC 22C3 PharmDx assay. PD-L1 positivity was defined as a combined positive score (CPS) of  $\geq 1$ . CPS is defined as the sum of PD-L1 stained tumor cells, lymphocytes, and macrophages divided by the total number of viable tumor cells multiplied by 100 [13]. The numbers of CD8- and FOXP3-positive TILs were quantified as the average (mean) of five representative high-power fields (HPFs) ( $\times 400$  magnification; field diameter = 0.5 mm). In PD-L1 positive cases, the number of TILs was quantified around the PD-L1 positive area, while in PD-L1 negative cases, the number of TILs was counted around the sites with the largest number. TIL staining was classified into two scores based on the average of five fields: low ( $\leq 10$  per HPF) and high ( $> 10$  per HPF). MMR protein expression was also analyzed by IHC in TMAs in all 45 cases. The primary antibodies (mouse monoclonal) used in this study were as follows: MLH1 (clone ES05, 1:10, Dako, Glostrup, Denmark), MSH2 (clone FE11, 1:20, Dako), PMS2 (dilution 1:10, clone EP51, Dako), and MSH6 (clone EP49, 1:20, Dako). Immunohistochemical staining was performed using the Ventana Benchmark XT autostainer. MMR deficiency (dMMR) was defined as the complete loss of nuclear expression of one or more MMR proteins in tumor cells. MMR proficiency (pMMR) was defined as the presence of normal staining of all MMR proteins. Lymphocytes in the germinal center of the lymph nodes and proliferative cell zones of the intestinal crypts served as positive controls for MMR staining. Negative cases of TMA were re-evaluated in whole sections.

### Microsatellite instability analysis

We evaluated the MSI status for all 45 cases using the same tumoral DNA used in Sanger sequencing and LOH analyses. We examined NGS cases for both low- and high-grade components. Five markers (*BAT25*, *BAT26*, *NR21*, *NR24*, and *MONO27*) were used. MSI status was defined as previously described [14]. Microsatellite instability high (MSI-H) status was defined as positive for two or more microsatellite markers, while microsatellite instability low (MSI-L) status was defined as positive for only one microsatellite

marker. Microsatellite stable (MSS) was defined as negative for all markers.

### Survival and statistical analyses

The tumor grade was determined as the highest-grade component within the tumor with a mixture of various grades of components, regardless of the amount of the component. If the genetic alterations and IHC results differed between low- and high-grade components in the 11 NGS analyzed cases, the results of the components with genetic alterations, abnormal IHC findings, and a larger number of TILs were adopted for the cases. We categorized intermediate-grade MFS as high-grade MFS in all analyses because only three cases of intermediate-grade MFS were present in our study. Categorical and continuous variables were analyzed using the Fisher's exact test, Student's *t*-test, or Mann-Whitney *U* test. The surgical margin was positive when the tumor cells were exposed at the cut end. We performed Kaplan-Meier survival analysis and log-rank tests to elucidate the effects of clinicopathological factors on the prognosis of MFS. The analysis for recurrence-free survival in the chemotherapy and/or radiotherapy category excluded patients who received chemotherapy and/or radiotherapy only after local recurrence or distant metastasis. Multivariate analysis was performed using the Cox proportional hazards model for variables that were significant in each test. These statistical analyses were performed using EZR (Saitama Medical Center, Jichi Medical University, Saitama, Japan) [15], a graphical user interface for R (R Foundation for Statistical Computing, Vienna, Austria). Statistical significance was set at  $P < 0.05$ .

## Results

### Clinicopathological findings

Supplementary Fig. 1 shows representative cases of the three histological grades (low, intermediate, and high grade). Supplementary Table 1 summarizes the clinicopathological characteristics of 45 MFS cases with low-grade tumors and high-grade histology. Statistically significant differences were observed in age, tumor size, radiotherapy, and pStage ( $P = 0.0431$ ,  $0.00729$ ,  $0.0424$ , and  $4.11 \times 10^{-11}$ , respectively). After surgery, 10 patients who had high-grade histology received irradiation as adjuvant therapy before local recurrence and/or distant metastasis. Among the four patients who received chemotherapy, one was treated with neoadjuvant therapy, and the remaining three were treated after local recurrence and/or distant metastasis. Seven and four out of 32 patients with high-grade tumors experienced local recurrence and distant metastasis, respectively,

whereas none of the patients with pure low-grade tumors experienced local recurrence/distant metastasis. No patient presented with distant metastases at the time of surgery.

## NGS analysis

As previously mentioned, 11 patients underwent NGS analysis (Fig. 1). In total, we detected eight SNVs/Indels in seven of 11 cases (63.3%). Five SNVs were observed as the same mutations throughout the low- and high-grade components within the same tumor, and three SNVs/Indels were detected only in the high-grade components: *TP53* (2/11, 18.2%) and *KIT* (1/11, 9.1%). Furthermore, copy number variations (CNVs) detected only in high-grade components but not in low-grade components within the same tumor as lost genes were *RB1* (2/11, 18.2%), *APC* (1/11, 9.1%), *CDH1* (1/11, 9.1%), *NOTCH1* (1/11, 9.1%), *NPM1* (1/11, 9.1%), *PTEN* (1/11, 9.1%), *SMARCB1* (1/11, 9.1%), and *TP53* (1/11, 9.1%). Interestingly, although *TP53* and *RB1* losses were detected in three cases each by NGS, they were detected only in one of the two components. CNVs detected only in high-grade components but not in low-grade components within the same tumor as amplified genes were *CSF1R* (1/11, 9.1%), *EGFR* (1/11, 9.1%), *EGFR-AS1* (1/11, 9.1%), *ERBB2* (1/11, 9.1%), *FBXW7* (1/11, 9.1%), *FGFR3* (1/11, 9.1%), *HRAS* (1/11, 9.1%), *KDR* (1/11, 9.1%), *KIT* (1/11, 9.1%), *MET* (1/11, 9.1%), *PDGFRA* (1/11, 9.1%), and *SMAD4* (1/11, 9.1%). All these amplifications were detected as triploid.

## Sanger sequencing, LOH analyses, and IHC for p53 and RB

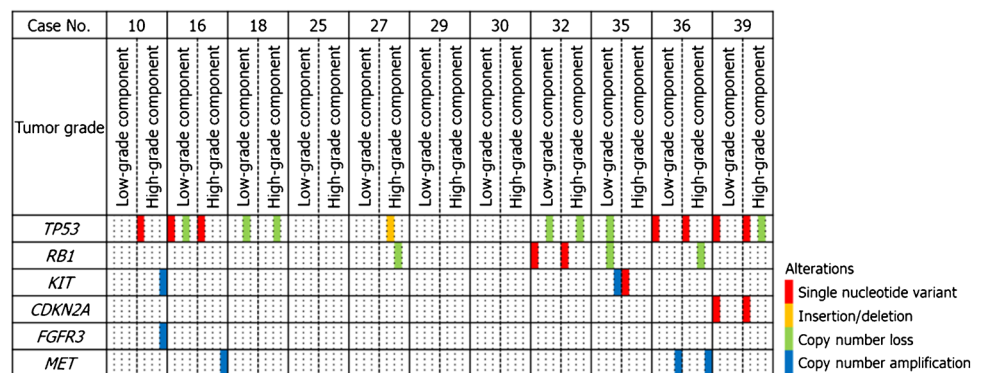
Sanger sequencing of *TP53* in the remaining 34 cases not subjected to NGS was performed, and we found five additional cases with *TP53* mutations in high-grade tumors. The pathogenicity of the various SNVs detected in this study is provided in Supplementary Table 2. Between the NGS and Sanger sequencing findings, *TP53* mutations were identified

in 10 cases. However, the *TP53* missense mutation in case 2 (p.Pro85Ser) was described as of uncertain significance in ClinVar, and this case did not show p53 overexpression by IHC. Therefore, this mutation was not considered deleterious. Consequently, *TP53* deleterious mutations were identified in nine cases of high-grade tumors (9/32, 28.1%) and zero cases of pure low-grade tumors (Table 1;  $P = 0.0423$ ). LOH analyses of *TP53* and *RB1* were performed for all 45 cases, including those subjected to NGS. LOH at *TP53* locus was detected in 16 cases of high-grade tumors (16/32, 50.0%) and two of pure low-grade tumors (2/13, 15.4%) (Table 1;  $P = 0.0455$ ). *TP53* biallelic inactivation, defined by deleterious mutation and the presence of LOH, was detected in six cases of high-grade tumors (6/32, 18.8%) and zero cases of low-grade tumors (0/13, 0%) (Table 1;  $P = 0.0895$ ). *TP53* alterations, such as mutation and LOH, were significantly more frequently observed in low-grade areas within high-grade MFS than in pure low-grade MFS (Supplementary Table 3:  $P = 0.011$ ). In addition, LOH at *RB1* locus was detected in 16 cases of high-grade tumors (16/32, 50.0%) and two cases of pure low-grade tumors (2/13, 15.4%) (Table 1,  $P = 0.0455$ ). Notably, among the 11 cases with clear margins between low- and high-grade areas, the LOH status of these two gene loci was preserved between the two areas in 10 cases. In the remaining case, LOH at *RB1* locus was observed only in the high-grade area. Complete RB loss by IHC was observed in seven of 18 cases with LOH at *RB1* locus. Among the 27 cases without LOH at the *RB1* locus, no cases revealed complete RB loss by IHC.

## IHC for FGFR3, c-KIT, and c-MET

Figure 2 shows representative FGFR3 (B), c-KIT (D), and c-MET (F) IHC results. FGFR3 expression was detected in four high-grade tumors (4/32, 12.5%) and one low-grade tumor (1/13, 7.7%) (Table 1,  $P = 1$ ). We detected c-KIT expression in three cases of high-grade tumors (3/32, 9.4%) but none in low-grade tumors (Table 1,  $P = 0.546$ ). Two cases of high-grade tumors (2/32, 6.3%), but none of

**Fig. 1** An oncoprint describing SNVs, Indels, and CNVs detected by NGS



**Table 1** Genetic alterations, IHC findings, and MSI status according to the tumor grade in MFS

	Low-grade	High-grade <sup>a</sup>	P value
<i>TP53</i> deleterious mutation			0.0423
(-)	13	23	
(+)	0	9	
LOH at <i>TP53</i> locus			0.0455
(-)	11	16	
(+)	2	16	
<i>TP53</i> biallelic inactivation			0.16
(-)	13	26	
(+)	0	6	
LOH at <i>RB1</i> locus			0.0455
(-)	11	16	
(+)	2	16	
FGFR3 expression			1
(-)	12	28	
(+)	1	4	
c-KIT expression			0.546
(-)	13	29	
(+)	0	3	
c-MET expression			1
(-)	13	30	
(+)	0	2	
PD-L1 expression			0.00136
(-)	13	16	
(+)	0	16	
CD8+ TILs score			0.0000183
Low	12	7	
High	1	25	
FOXP3+ TILs score			0.000634
Low	12	11	
High	1	21	
MMR proteins expression			1
pMMR	13	31	
dMMR	0	1	
MSI status			1
MSS	13	31	
MSI-L	0	1	
MSI-H	0	0	

Abbreviation: *MFS*, myxofibrosarcoma; *LOH*, loss of heterozygosity; *PD-L1*, programmed death receptor ligand 1; *TIL*, tumor infiltrating lymphocytes; *MMR*, mismatch repair; *MSI*, microsatellite instability

<sup>a</sup>Includes 3 cases of intermediate-grade MFS

the low-grade tumors (Table 1:  $P = 1$ ), displayed c-MET expression.

### IHC for PD-L1, CD8, and FOXP3

Figure 3 shows representative IHC results for PD-L1 (B), CD8 (C), and FOXP3 (D). PD-L1 expression was observed

in 16 cases of high-grade tumors (16/32, 50.0%) and no cases of low-grade tumors (0/13, 0%) (Table 1;  $P = 0.00136$ ). A large number of CD8-positive and FOXP3-positive TILs were significantly associated with PD-L1 positivity (Table 2;  $P = 0.0000167$  and  $P = 0.00366$ , respectively). A high score group of either CD8-positive or FOXP3-positive TILs was significantly more frequently observed in high-grade tumors than in low-grade tumors (Table 1;  $P = 0.0000183$  and  $P = 0.000634$ , respectively). Comparing PD-L1 expression between low- and high-grade components in the 11 NGS analyzed cases, PD-L1 expression was preserved between the two areas in 10 of 11 cases; however, the remaining case (case 27) was negative in the high-grade component but positive in the low-grade component. In this case, the number of TILs was also higher in the low-grade component than in the high-grade component (CD8+ 2.4/HPF vs. 1.2/HPF, FOXP3+ 29/HPF vs. 8.6/HPF). The number of CD8+ and FOXP3+ TILs tended to be significantly larger in the high-grade component than in the low-grade component among the 11 cases analyzed by NGS (Supplementary Table 4;  $P = 0.0659$  and  $0.0708$ , respectively). PD-L1 positive rates and the numbers of CD8+ and FOXP3+ TILs were significantly higher in the low-grade component of high-grade MFS than those in the pure low-grade MFS (Supplementary Table 5;  $P = 0.000224$ ,  $0.0136$ , and  $0.00484$ , respectively).

### IHC for MMR proteins and MSI analysis

TMA-based IHC revealed that one case (case 12) did not show any of the four MMR proteins and that another case (case 28) exhibited loss of MLH1 and PMS2 expression. We then re-evaluated these two cases using whole-section IHC. This analysis revealed that MLH1 and PMS2 expression was invariably lost in case 28 (Fig. 4), whereas case 12 retained both markers. As a result, only one high-grade case (case 28) was considered dMMR (1/45, 2.2%).

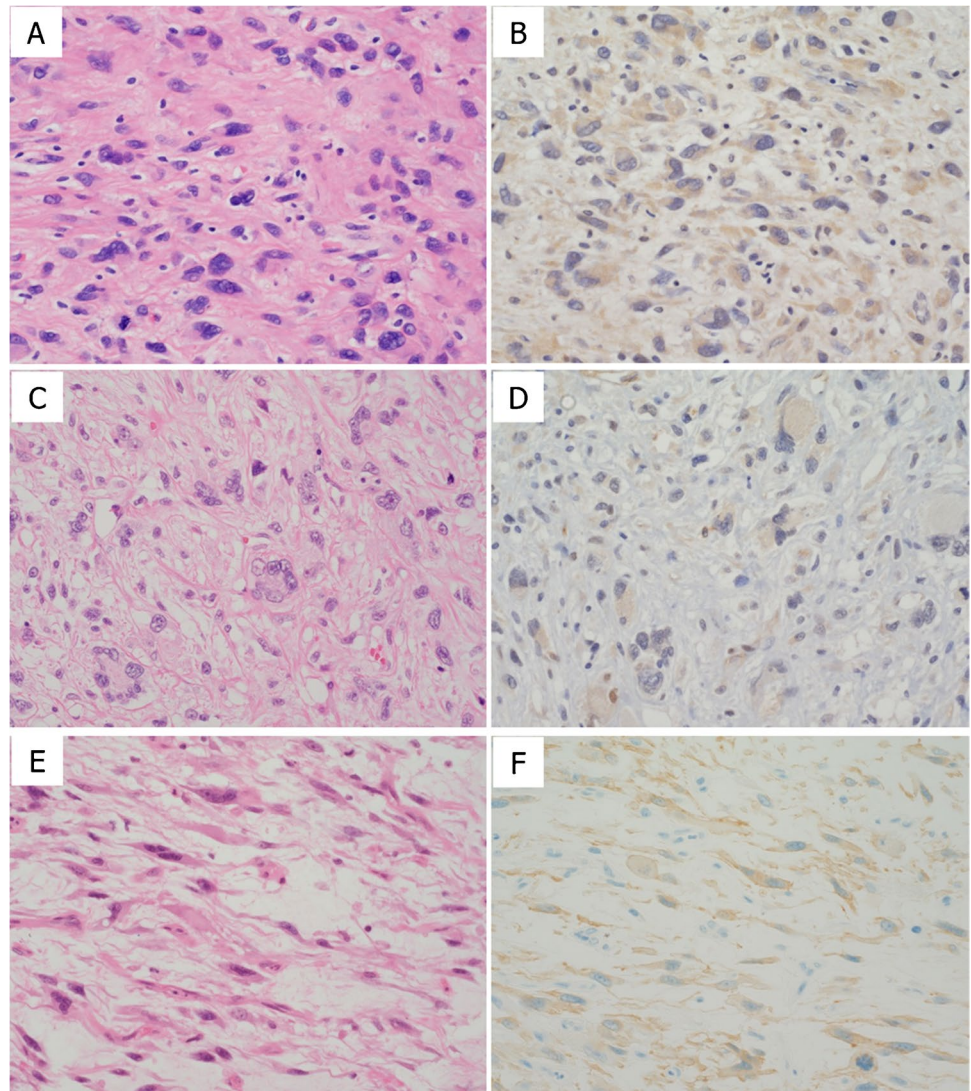
MSI-H was not detected in any of the cases. The MSI-L and MSS rates were 3.1% (1/32) and 96.9% (31/32), respectively, in high-grade MFS and 0% (0/13) and 100% (13/13), respectively, in low-grade MFS. MSI status was not statistically different between low- and high-grade tumors (Table 1,  $P = 1$ ). One MSI-L case was positive for *MONO27*.

Tables 1 and 3 summarize the genetic alterations, IHC expression, and MSI status in MFS. Briefly, high-grade MFS frequently presented *TP53* deleterious mutations, LOH at *TP53* and *RB1* loci, PD-L1 expression, and a high score group of both CD8/FOXP3 positive TILs with statistical significance.

### Survival analysis

The follow-up observation period ranged from 1 to 136 months, with a median duration of 22.9 months. Univariate

**Fig. 2** FGFR3, c-KIT, and c-MET expression assessed by IHC. **A** and **B** Case 11. **C** and **D** Case 36. **E** and **F** Case 22. **A**, **C**, and **E** Hematoxylin and eosin staining. **B** FGFR3, intermediate intensity, and cytoplasmic pattern. **D** c-KIT, weak intensity, cytoplasmic pattern. **F** c-MET, intermediate intensity, and membranous and cytoplasmic pattern



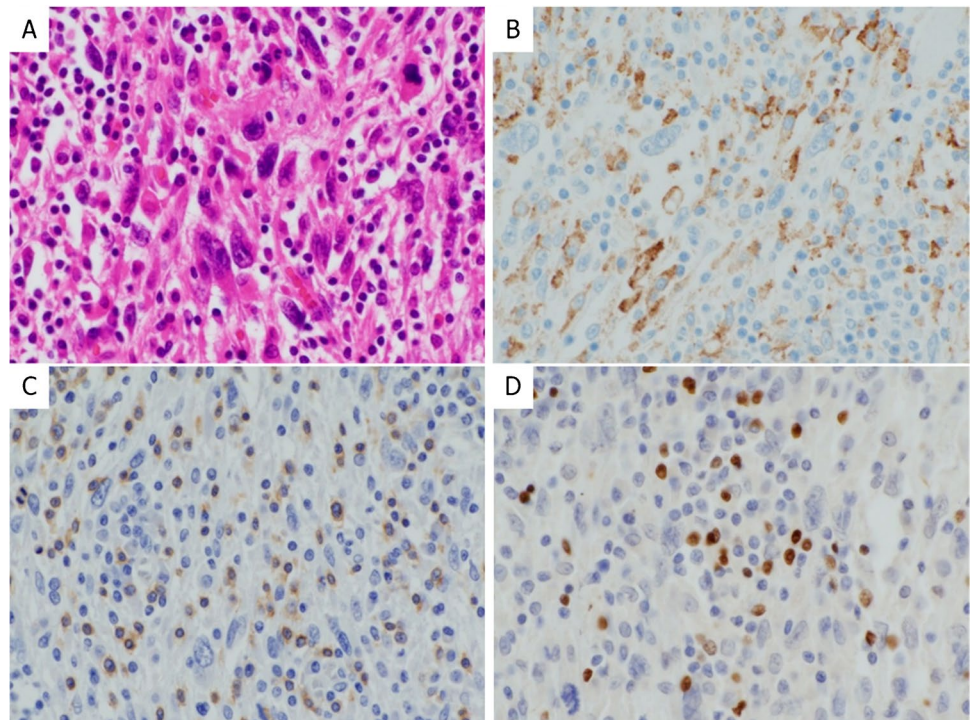
survival analyses in all 45 cases revealed that LOH at *RB1* locus ( $P = 0.0179$ ), positive surgical margins ( $P = 0.0499$ ), and older age ( $P = 0.0253$ ) were significantly associated with shorter recurrence-free survival (Fig. 5A). However, no significant differences were observed in overall survival and metastasis-free survival for all factors (Supplementary Table 6). Multivariate analysis of recurrence-free survival of LOH at *RB1* locus, surgical margin, and patient age showed that only LOH at *RB1* locus was significantly correlated with shorter recurrence-free survival ( $P = 0.03619$ ) (Supplementary Table 6). Univariate survival analyses in 32 cases treated by surgical resection only without chemoradiation therapy revealed that *TP53* deleterious mutation ( $P = 0.0432$ ), *TP53* biallelic inactivation ( $P = 0.0329$ ), LOH at the *RB1* locus ( $P = 0.00059$ ), positive surgical margin ( $P = 0.0000311$ ), and older age ( $P = 0.0226$ ) were significantly correlated with shorter recurrence-free survival (Fig. 5B). To further evaluate the prognostic impact of LOH at the

*RB1* locus, patients with *RB1* LOH were divided into two groups according to RB IHC findings. Cases with *RB1* LOH showed shorter recurrence-free survival regardless of RB IHC status than cases without *RB1* LOH with statistical significance ( $P = 0.031$  and  $0.0402$ , respectively) (Fig. 5C-1, 2). Recurrence-free survival between cases with *RB1* LOH/IHC (complete loss) and cases with *RB1* LOH/IHC(+) was not significantly different ( $P = 0.73$ ) (Fig. 5C-3).

## Discussion

Previous studies have frequently detected *RB1* and *TP53* alterations in sarcomas with complex karyotypes, such as undifferentiated sarcoma, dedifferentiated liposarcoma, and leiomyosarcoma [16–19]. Even in MFS, these alterations have been previously identified. The Cancer Genome Atlas Research Network study described *RB1*, *TP53*, and

**Fig. 3** PD-L1 expression on tumor cells and CD8 and FOXP3 expressions on TILs by IHC in case 37. **A** Hematoxylin and eosin staining. **B** PD-L1 IHC. PD-L1 positivity was defined as a combined positive score (CPS)  $\geq 1$ . PD-L1 stained lymphocytes and macrophages are calculated as PD-L1 positive cells in the CPS evaluation. **C** CD8 IHC. **D** FOXP3 IHC



**Table 2** The association of the number of CD8/FOXP3 positive TILs according to the PD-L1 status

	PD-L1 (-) cases	PD-L1 (+) cases	<i>P</i> value
CD8+ TILs (HPF) (median/range)	4.6/0–60.8	48.1/2.4–148.2	0.0000167
FOXP3+ TILs (HPF) (median/range)	4.8/0–43	20/1.4–98	0.00366

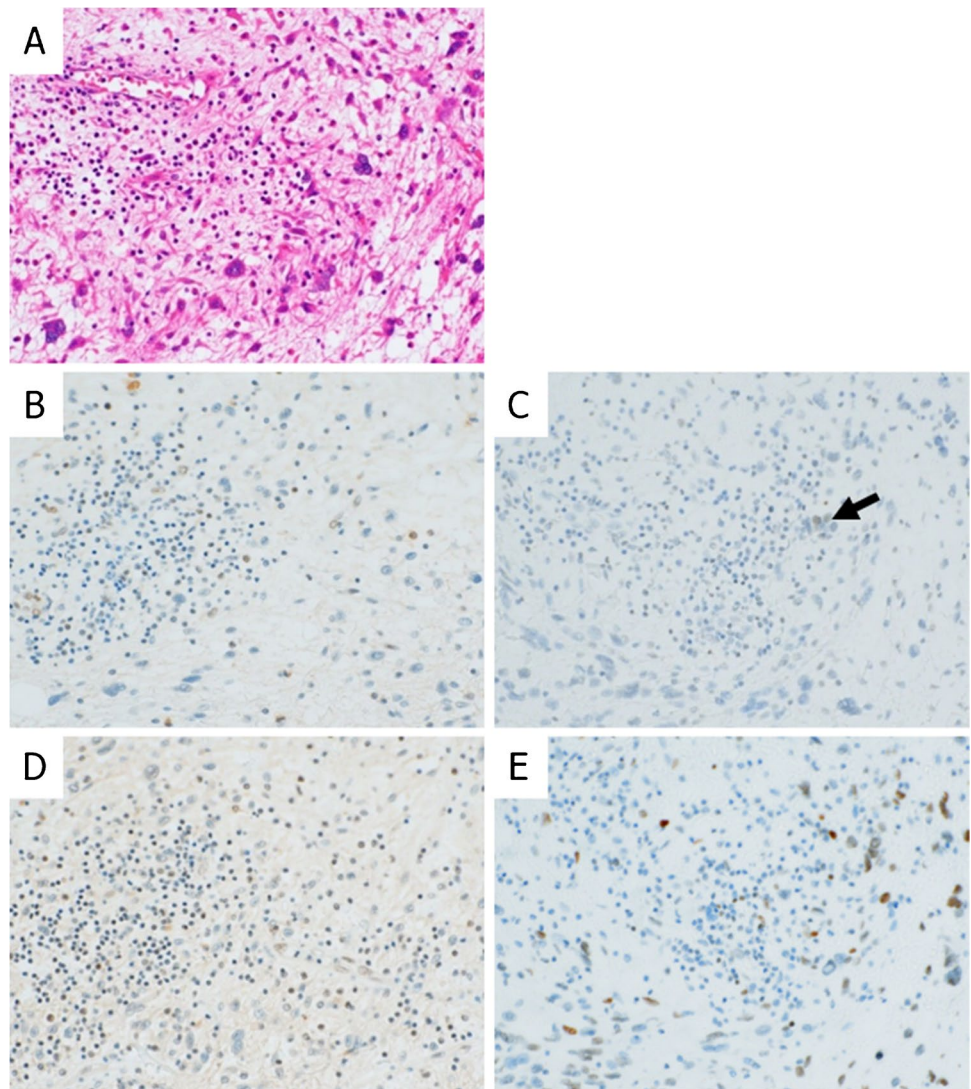
Abbreviation: *TIL*, tumor infiltrating lymphocytes; *PD-L1*, programmed death receptor ligand 1

*CDKN2A* deletions in 24%, 12%, and 18% of MFS cases, respectively [16]. Ogura et al. reported frequent *TP53* (46%), *RBI* (18%), and *CDKN2A/2B* (16%) mutations in MFS [20]. However, these studies did not consider the tumor grade in MFS. We attempted to detect alterations that were characteristic of the high-grade component within cases of high-grade MFS containing a low-grade component using NGS to elucidate the mechanisms associated with the acquisition of a higher malignant potential. *TP53* mutation and LOH at the *TP53* and *RBI* loci, which were identified by NGS, were significantly more frequently detected in high-grade MFS than in pure low-grade MFS. In addition, among the 11 cases analyzed by NGS, LOH at the *TP53* and *RBI* loci was preserved in both low-grade and high-grade areas in 10 cases, and in the remaining case, LOH at the *RBI* locus was

observed only in the high-grade area. Genetic alterations in low-grade components of high-grade MFS may be somewhat different from those in pure low-grade MFS. These findings suggest that low-grade components in high-grade MFS seem to have distinct patterns for genetic alterations compared to pure low-grade MFS and that alterations in *TP53* and *RBI* might be associated with the acquisition of higher malignant potential in MFS. Genetic alterations in low-grade components of high-grade MFS may be somewhat different from those in pure low-grade MFS.

The molecular prognostic factors for MFS have not been fully elucidated. However, by integrating genetic analyses, Ogura et al. reported that *RBI*, *CDKN2A*, *CDKN2B*, and *TP53* alterations were associated with poor overall survival but not with local recurrence-free survival by univariate and multivariate analyses [20]. In the present study, as tumor deaths occurred in only two cases, none of the factors was associated with overall survival. However, LOH at the *RBI* locus was significantly associated with poor recurrence-free survival ( $P = 0.0179$ ) in univariate analysis in all 45 cases. Even in the multivariate analysis of clinicopathological factors, only LOH at the *RBI* locus was significantly correlated with poor recurrence-free survival ( $P = 0.03619$ ), emphasizing that this alteration was a considerably influential prognostic factor. Complete RB loss by IHC was observed in seven of 18 *RBI* LOH(+) cases. Among the 27 cases without LOH at the *RBI* locus, no cases displayed complete RB loss by IHC. Furthermore, patients with *RBI* LOH showed shorter recurrence-free survival regardless of

**Fig. 4** MMR protein expression in case 28. **A** Hematoxylin and eosin staining. **B** MLH1, loss of expression. **C** MSH2, retained weak staining (arrow). **D** PMS2, loss of expression. **E** MSH6, retained staining



RB IHC status than those without *RB1* LOH with statistical significance. These findings suggest that *RB1* LOH acts as a driver of MFS progression. In several previous studies, the surgical margin was a significant predictor of local recurrence in MFS [5, 21–23]. In the present study, 5 of 7 cases with positive surgical margins were treated with radiation therapy after surgery, and 4 of these 5 cases did not show recurrence. Both cases with positive surgical margins that were not treated with radiation therapy later showed recurrence. Univariate survival analyses in 32 cases treated with surgical resection only without chemoradiation therapy revealed that *TP53* deleterious mutation, *TP53* biallelic inactivation, LOH at the *RB1* locus, positive surgical margin, and older age were significantly associated with shorter recurrence-free survival. However, in the univariate analysis, all 45 cases, including those who received chemoradiation therapy, were no longer significant, unlike the independent implications of *RB1* LOH, positive surgical margins, and

older age as adverse prognosticators. Therefore, although a positive surgical margin is also an important prognostic factor for recurrence-free survival, it is conceivable that radiation therapy could have affected the prognostic impact of positive surgical margins. In studies describing molecular markers correlating with recurrence-free survival in liposarcoma, *NIP7*, *RPL10L*, and *MCM2* alterations were significantly associated with distant recurrence-free survival in multivariate analysis [24], and *CDK4* and *JUN* amplification were associated with recurrence-free survival in univariate analysis [25]. Furthermore, in extraskeletal osteosarcoma, cases harboring simultaneous *TP53* and *RB1* biallelic copy number losses were associated with worse overall survival and local recurrence [26]. However, comparisons of surgical margins were not included in these studies, and no previous studies have reported any genetic alterations as stronger molecular biomarkers for recurrence-free survival than surgical margins in soft tissue sarcoma. Similarly, there are no



**Table 3** Genetic alterations, IHC expression, and MSI status in MFS

Case No.	Tumor grade	Mutation	LOH		LOH		IHC		PD-L1	The number of		MSI status		
			TP53	TP53	RB1	RB	FGFR3	c-KIT		c-MET	TILs		CD8+	FOXP3+
			TP53	p53	IHC	IHC	IHC	IHC		IHC	IHC		IHC	IHC
1	High grade	None	(-)	(-)	(+)	(+)	(-)	(-)	(-)	(-)	16.4	1.6	pMMR	MSS
2	High grade	p.Pro85Ser	(+)	(-)	(+)	(+)	(-)	(-)	(-)	(-)	2.6	0.6	pMMR	MSS
3	Intermediate grade	p.Tyr236Cys	(-)	Overexpression	(-)	(+)	(-)	(-)	(-)	(-)	60.8	2	pMMR	MSS
4	High grade	None	(+)	(-)	(-)	(+)	(-)	(-)	(+)	(+)	59.2	1.4	pMMR	MSS
5	Low grade	None	(-)	(-)	(-)	(+)	(-)	(-)	(-)	(-)	0.2	0.2	pMMR	MSS
6	Low grade	None	(-)	(-)	(-)	(+)	(-)	(-)	(-)	(-)	2.6	0.4	pMMR	MSS
7	Low grade	None	(+)	(-)	(-)	(+)	(-)	(-)	(-)	(-)	0.8	0.6	pMMR	MSS
8	Low grade	None	(-)	(-)	(+)	(+)	(-)	(-)	(-)	(-)	49.4	10.8	pMMR	MSS
9	High grade	None	(-)	(-)	(-)	(+)	(-)	(-)	(-)	(-)	32.2	9.2	pMMR	MSS
10 <sup>a</sup>	Low-grade component	None	(+)	Overexpression	(+)	(+)	(-)	(-)	(+)	(+)	27.4	4	pMMR	MSS
	High-grade component	p.Tyr163Cys	(+)	Overexpression	(+)	(+)	Diffuse/weak/cytoplasmic	(-)	(+)	(+)	49.2	12.4		MSS
11	High grade	None	(+)	(-)	(+)	(+)	Focal/intermediate/cytoplasmic	(-)	(-)	(-)	8.2	16	pMMR	MSS
12	High grade	None	(-)	(-)	(+)	Complete loss	(-)	(-)	(-)	(-)	12.4	8.2	pMMR	MSS
13	Intermediate grade	None	(-)	(-)	(-)	(+)	Diffuse/weak/cytoplasmic	(-)	(-)	(-)	21.6	43	pMMR	MSS
14	Low grade	None	(-)	(-)	(-)	(+)	(-)	(-)	(-)	(-)	0	0	pMMR	MSS
15	Low grade	None	(-)	(-)	(-)	(+)	(-)	(-)	(-)	(-)	0	0	pMMR	MSS
16 <sup>a</sup>	Low-grade component	p.Arg342Ter	(+)	Complete loss	(+)	(+)	(-)	(-)	(+)	(+)	24.8	8	pMMR	MSS
	High-grade component	p.Arg342Ter	(+)	Complete loss	(+)	(+)	(-)	(-)	(+)	(+)	59.2	32.4		MSS
17	Low grade	None	(-)	(-)	(-)	(+)	Diffuse/weak/cytoplasmic	(-)	(-)	(-)	0.4	0.6	pMMR	MSS

Table 3 (continued)

Case No.	Tumor grade	Mutation	LOH		IHC		LOH		IHC		MSI status					
			<i>TP53</i>	<i>p53</i>	<i>TP53</i>	<i>p53</i>	<i>RB1</i>	<i>RB</i>	<i>FGFR3</i>	<i>IHC</i>						
			Localization/intensity/pattern													
18 <sup>a</sup>	Low-grade component	None	(+)	(-)	(-)	(-)	(-)	(-)	(-)	(-)	(-)	0	0	0	pMMR	MSS
	High-grade component	None	(+)	(-)	(-)	(-)	(-)	(-)	(-)	(-)	(-)	9.4	4.8			MSS
19	Low grade	None	(-)	(-)	(-)	(+)	(-)	(-)	(-)	(-)	(-)	0	0	0	pMMR	MSS
20	High grade	None	(+)	(-)	(-)	(+)	(+)	(-)	(-)	(-)	(+)	90.4	93.2		pMMR	MSS
21	High grade	None	(-)	(-)	(-)	(+)	(+)	(-)	(-)	(-)	(-)	20.6	14.8		pMMR	MSS
22	High grade	p.Pro.190fs	(-)	(-)	(-)	(+)	(+)	(-)	(-)	(-)	Diffuse/ intermedi- ate/mem- branous and cyto- plasmic	10.4	35		pMMR	MSI-L
23	Low grade	None	(-)	(-)	(-)	(+)	(+)	(-)	(-)	(-)	(-)	2.6	0.4		pMMR	MSS
24	Intermediate grade	None	(-)	(-)	(-)	(+)	(+)	(-)	(-)	(-)	(-)	3.8	24		pMMR	MSS
25 <sup>a</sup>	Low-grade component	None	(-)	(-)	(-)	(+)	(+)	(-)	(-)	(-)	(+)	16	18.8		pMMR	MSS
	High-grade component	None	(-)	(-)	(-)	(+)	(+)	(-)	(-)	(-)	(+)	59.2	89.2			MSS
26	High grade	None	(+)	(-)	(-)	(+)	(+)	(-)	(-)	(-)	(-)	14.4	31.6		pMMR	MSS
27 <sup>a</sup>	Low-grade component	None	(-)	(-)	(-)	(+)	(+)	(-)	(-)	(-)	(+)	2.4	29		pMMR	MSS
	High-grade component	p.Ile251fs	(-)	(-)	(-)	(+)	(+)	(-)	(-)	(-)	(-)	1.2	8.6			MSS
28	High grade	None	(-)	(-)	(-)	(+)	(+)	(-)	(-)	(-)	(-)	14.8	14		dMMR(MLH1&PMS2 loss)	MSS
29 <sup>a</sup>	Low-grade component	None	(-)	(-)	(-)	(+)	(+)	(-)	(-)	(-)	(+)	18	19.6		pMMR	MSS
	High-grade component	None	(-)	(-)	(-)	(+)	(+)	(-)	(-)	(-)	(+)	148.2	11.6			MSS

**Table 3** (continued)

Case No.	Tumor grade	Mutation	LOH		IHC		LOH		IHC		IHC		MSI status		
			<i>TP53</i>	<i>p53</i>	<i>TP53</i>	<i>p53</i>	<i>RB1</i>	<i>RB</i>	<i>FGFR3</i>	<i>c-KIT</i>	<i>c-MET</i>	<i>PD-L1</i>		The number of TILs	MMR proteins
			Localization/intensity/pattern												
30 <sup>a</sup>	Low-grade component	None	(+)	(-)	(+)	Complete loss	(-)	(-)	(-)	(-)	(+)	36.8	22.6	pMMR	MSS
	High-grade component	None	(+)	(-)	(+)	Complete loss	(-)	Focal/weak/cytoplasmic	(+)	(+)	(+)	37.6	53.4		MSS
31	Low grade	None	(-)	(-)	(-)	(+)	(-)	(-)	(-)	(-)	(-)	1.4	2	pMMR	MSS
32 <sup>a</sup>	Low-grade component	None	(+)	(-)	(+)	Complete loss	(-)	(-)	(-)	(-)	(-)	1.6	1.4	pMMR	MSS
	High-grade component	None	(+)	(-)	(+)	Complete loss	(-)	(-)	(-)	(-)	(-)	3.4	12.4		MSS
33	Low grade	None	(+)	(-)	(+)	(+)	(-)	(-)	(-)	(-)	(-)	1.2	7.6	pMMR	MSS
34	High grade	None	(-)	(-)	(-)	(+)	(-)	(-)	(-)	(+)	(+)	44	20.4	pMMR	MSS
35 <sup>a</sup>	Low-grade component	None	(+)	(-)	(+)	Complete loss	(-)	(-)	(-)	(+)	(+)	10.8	9.6	pMMR	MSS
	High-grade component	None	(+)	(-)	(+)	Complete loss	(-)	(-)	(-)	(+)	(+)	8.6	19.6		MSS
36 <sup>a</sup>	Low-grade component	p.Ser241Phe	(+)	Overexpression	(+)	Complete loss	(-)	(-)	(-)	(-)	(-)	3.2	5	pMMR	MSS
	High-grade component	p.Ser241Phe	(+)	Overexpression	(+)	Complete loss	(-)	Focal/weak/cytoplasmic	(-)	(-)	(-)	27.6	28.8		MSS
37	High grade	None	(+)	(-)	(+)	Complete loss	(-)	(-)	(-)	(+)	(+)	67.2	98	pMMR	MSS
38	High grade	None	(-)	Overexpression	(-)	(+)	(-)	(-)	(-)	(-)	(-)	13.2	26	pMMR	MSS
39 <sup>a</sup>	Low-grade component	p.Arg175His	(+)	Overexpression	(-)	(+)	(-)	(-)	(-)	(+)	(+)	10.4	13.6	pMMR	MSS
	High-grade component	p.Arg175His	(+)	Overexpression	(-)	(+)	(-)	(-)	(-)	(+)	(+)	47	34.4		MSS
40	High grade	None	(-)	(-)	(-)	(+)	(-)	(-)	(-)	(+)	(+)	43.8	2	pMMR	MSS
41	High grade	p.Ser240fs	(+)	Complete loss	(+)	(+)	(-)	(-)	(-)	(+)	(+)	38.8	6.4	pMMR	MSS
42	High grade	p.Arg175His	(+)	Overexpression	(-)	(+)	(-)	Focal/weak/cytoplasmic	(-)	(+)	(+)	76	19.2	pMMR	MSS
43	High grade	None	(-)	(-)	(-)	(+)	(-)	(-)	(-)	(+)	(+)	37.2	9.2	pMMR	MSS

Table 3 (continued)

Case No.	Tumor grade	Mutation	LOH		IHC		c-KIT	c-MET	PD-L1	The number of TILs		MSI status
			<i>TP53</i>	<i>p53</i>	<i>RB1</i>	<i>RB</i>				FGFR3	MMR proteins	
44	Low grade	None	(-)	(-)	(-)	(+)	(-)	(-)	(-)	1.2	2.6	pMMR
45	Low grade	None	(-)	(-)	(-)	(+)	(-)	(-)	(-)	4.6	3.4	pMMR

Abbreviation: *MFS*, myxofibrosarcoma; *LOH*, loss of heterozygosity; *IHC*, immunohistochemistry; *PD-L1*, programmed death receptor ligand 1; *TIL*, tumor infiltrating lymphocytes; *MMR*, mismatch repair; *MSI*, microsatellite instability

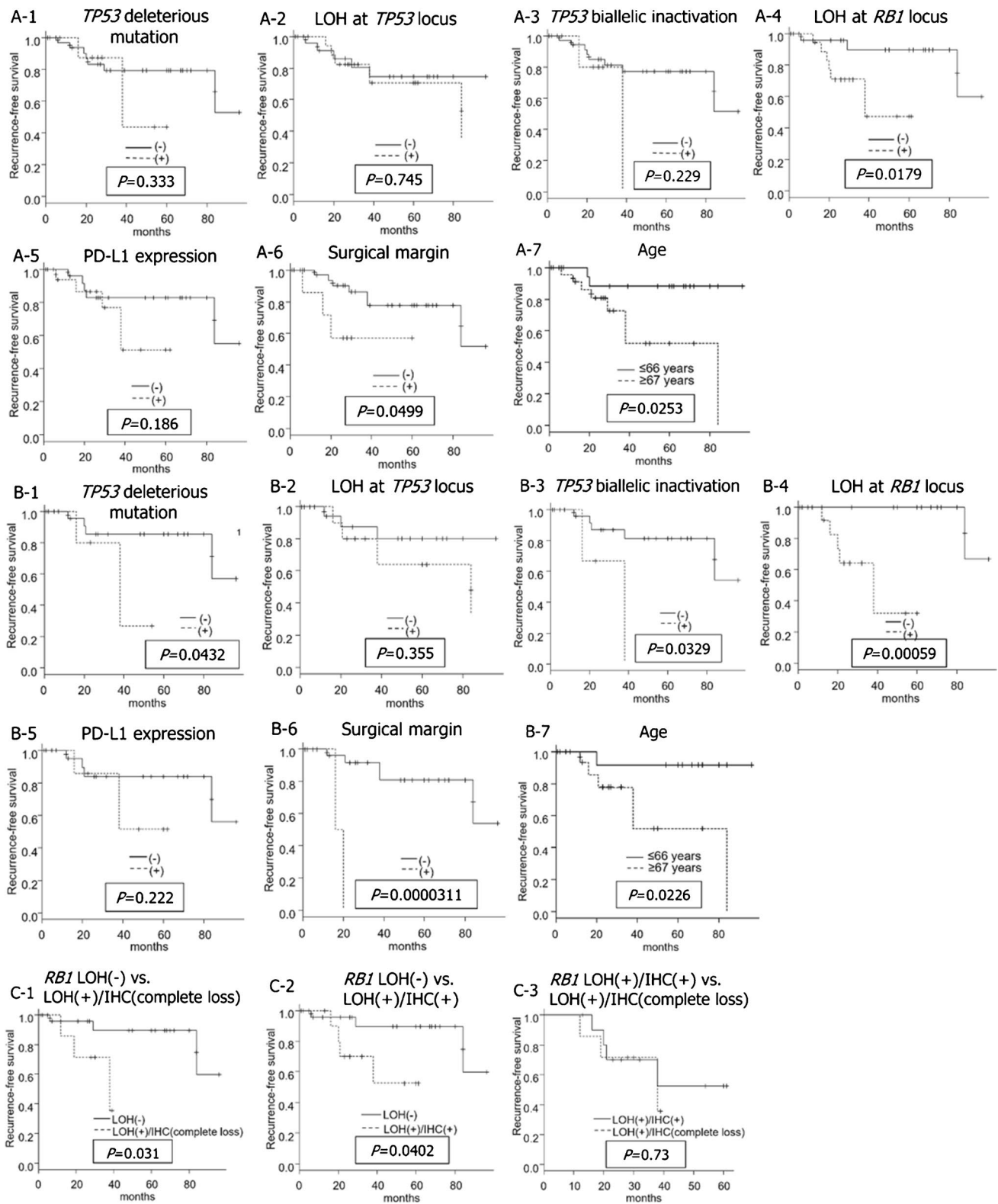
<sup>a</sup>Cases analyzed by next-generation sequencing

reports showing that LOH at the *RB1* locus is a prognostic factor for recurrence-free survival in soft tissue sarcomas. Here, we present the novel finding that a specific molecular biomarker has a prognostic impact exceeding the surgical margin on recurrence-free survival, although further studies are required to draw a definitive conclusion.

FGFR3, c-KIT, and c-MET expressions as measured by IHC were not observed more frequently in high-grade MFS than in pure low-grade MFS and were not significantly associated with prognosis. Previous studies have identified mutations and amplifications of *FGFR3* and *KIT* in MFS [27, 28], but a detailed analysis of these genes has not been performed. In contrast, MET overexpression by IHC has been reported to positively correlate with higher grades (FNCLCC grades 2–3) in MFS [29, 30], and independently correlates with worse metastasis-free and overall survival with statistical significance [30]. However, these findings conflict with those of our study, which revealed that MET overexpression showed a trend toward better prognosis.

Several studies have assessed PD-L1 expression by IHC in various sarcoma subtypes, but several meta-analyses and mini-reviews have reported that the positive rates show variability [31–34]. These results might be due to the variety of PD-L1 antibodies used for IHC, differing cut-off values, and the evaluation methods for PD-L1 positivity. In addition, the small number of each sarcoma subtype in those studies might have degraded the significance of the results. In MFS, Kosemehmetoglu et al. reported the PD-L1 positivity rate of neoplastic cells by IHC as 0% (0/4) using the E1L3N (Cell Signaling) antibody [35] and Vargas et al. reported the positive rate of tumor cells on TMAs as 18% (17/97) using the SP263 (VENTANA) antibody [36]. In the present study, the PD-L1 positivity rate assessed by CPS on whole sections was 35.6% (16/45) using the 22C3 (Dako) antibody. This frequency is slightly higher than the previously reported values. The different scoring methods may have affected this variation.

CPS was combined with a positive score, including not only tumor cells but also lymphocytes and macrophages stained with PD-L1 in positive cells. Phase Ib trials for pembrolizumab to treat recurrent or metastatic squamous cell carcinoma of the head and neck and advanced gastric cancer suggested that the assessment of PD-L1 expression in tumor and immune cells might be correlated with the response rate to pembrolizumab [37, 38]. Furthermore, phase II and III trials used CPS to effectively evaluate PD-L1 expression with using pembrolizumab as a therapy for recurrent or metastatic squamous cell carcinoma of the head and neck and advanced gastric or gastroesophageal junction cancer [39–41]. PD-L1 CPS positivity was correlated with a high density of both CD8+ and FOXP3+ TILs in head and neck squamous cell carcinomas [42, 43] and both densities were associated with better disease-specific survival [42]. In



**Fig. 5** Kaplan-Meier survival analysis according to the clinicopathological factors (recurrence-free survival). A, Analyses in all 45 cases. B, Analyses in the 32 cases treated by surgical resections only with-

out chemoradiation therapy. C, Analyses comparing three groups: 27 cases without *RB1* LOH, 7 cases with *RB1* LOH(+)/IHC(complete loss), and 11 cases with *RB1* LOH(+)/IHC(+)

addition, a high density of CD8+ and FOXP3+ TILs was significantly correlated with PD-L1 expression in various sarcomas [34, 44], and a high density of CD8+ TILs was an independent prognostic factor for a favorable prognosis [44]. In the present study, immune cells such as lymphocytes and macrophages were variably accompanied by PD-L1 expression in all cases. A large number of CD8+ and FOXP3+ TILs were significantly associated with PD-L1 CPS positivity. As in previous studies, we found that the profile of TILs was closely related to PD-L1 positivity but did not affect the prognosis of patients with MFS. CPS is a simple evaluation method for PD-L1 expression using IHC because it does not need to distinguish PD-L1 positive tumor cells from lymphocytes and macrophages [13]; however, previous studies have generally not used it for sarcomas. Further analyses are required to establish a standard method, including consideration of CPS, to evaluate PD-L1 expression in sarcomas.

All PD-L1 positive cases in this study were high-grade MFS, and the high score group of CD8+ and FOXP3+ TILs was observed more frequently in high-grade MFS than in pure low-grade MFS. This suggests that the PD-L1 positivity rate and the profile of TILs vary according to tumor grade in MFS. However, comparing PD-L1 expression between low- and high-grade components revealed that only one out of 11 cases analyzed by NGS had PD-L1 expression that was negative in the high-grade component but positive in the low-grade component. In this case, the number of TILs was also higher in the low-grade component than in the high-grade component. Compared with pure low-grade MFS, PD-L1 positive rates and the numbers of CD8+ and FOXP3+ TILs were higher in low-grade components of high-grade MFS. These findings suggest that not only the genetic alterations but also the tumor microenvironment may differ between low-grade component within high-grade MFS and pure low-grade MFS. In addition to tumor grade, the number of TILs may also be important for PD-L1 expression.

In our study, PD-L1 expression was associated with poor prognosis in recurrence-free survival, although it did not reach statistical significance ( $P = 0.186$ ). Wang et al. showed that PD-L1 expression correlated with poor overall survival (HR 1.45, 95% CI 1.11–1.90,  $P < 0.01$ ), metastasis-free survival (HR 1.58, 95% CI 1.14–2.19,  $P < 0.01$ ), and event-free survival (HR 2.82, 95% CI 1.69–4.71,  $P < 0.01$ ) in different sarcomas (HR; hazard ratio, 95% CI) [34]. In a multicenter, two-cohort, open-label, phase II study of pembrolizumab monotherapy for safety and activity in patients with advanced soft tissue sarcoma or bone sarcoma (SARC028), seven (18%) of 40 patients with soft tissue sarcoma had an objective response, including four (40%) of 10 patients with undifferentiated pleomorphic sarcoma, two (20%) of 10 patients with liposarcoma, and one (10%) of 10 patients with synovial sarcoma [45]. Thus, immune checkpoint inhibitors

could be effective in a subset of patients with MFS, especially those with PD-L1 expression.

MSI-H was not identified in any of the cases in this study, but MSI-L was detected in one high-grade MFS case, which was determined to be pMMR by IHC. In contrast, we identified dMMR in one high-grade tumor. However, this case was MSS and represented an MLH1 altered pattern. Previous studies have noted a high concordance rate between MSI analysis and MMR protein expression in more than 90% of colorectal cancers [46]. However, this rate may be lower in solid cancers other than colorectal cancers [47]. A recent study examining MSI status using targeted NGS in 15,045 patients with cancer (in more than 50 cancer types) identified 5.7% (45/785) of soft tissue sarcomas with MSI-H/indeterminate [48]. In other studies, all 50 samples of various types of soft tissue and bone sarcomas examined by NGS, and all 71 soft tissue sarcomas examined by PCR were MSS [49, 50]. In the latter case, all 71 soft-tissue sarcomas had pMMR. Furthermore, another report detected dMMR in seven of 304 cases of various types of soft tissue sarcomas (7/304, 2.3%) (four unclassified sarcomas, one uterine leiomyosarcoma, one PEComa, and one rhabdomyosarcoma), the most common being unclassified sarcomas (4/40, 10%), with zero occurrence of MFS cases (0/6) [51]. NGS analysis formed the basis of this report, but IHC for MMR proteins showed protein expression patterns corresponding to molecular abnormalities in all dMMR cases. Furthermore, compared to 70 dMMR carcinomas, seven dMMR sarcomas showed a lower tumor mutation burden (TMB) (median 28 vs. 16,  $P = 0.006$ ) [51]. It has also been reported that the TMB is low in MFS [16, 20]. MSI-H tumors are thought to be TMB-high (TMB-H), with a high probability of colorectal and gastric cancers [52, 53]. However, it is less likely that the dMMR MFS in this study showed TMB-H.

Hyperplastic cancer cells become immunogenic because of a constitutive endoplasmic reticulum stress response, resulting in aberrant cell surface exposure to calreticulin, which initiates protective immune responses mainly involving cytotoxic T lymphocytes (CTLs) [7]. Similarly, cells with complex karyotypes exhibit features of senescence and produce proinflammatory signal characteristic of a senescence-associated secretory phenotype and high expression of NKG2D and DNAM1 ligands on their surface, stimulating natural killer cell-mediated cytotoxic responses [8, 9]. Tumors with complex karyotypes appear to have mechanisms, apart from neoantigens, which increase their immunogenicity. Conversely, tumors with high levels of somatic copy number alterations (SCNAs) create an immunosuppressive microenvironment, defined by a paucity of antitumor immune cells such as CD8+ T cells and an increased population of protumorigenic immune cells such as M2 macrophages [10]. The expression of cytotoxic functions such as granzymes and the IFN- $\gamma$  pathway is significantly lower in tumors with

high degrees of aneuploidy than in those with low degrees of aneuploidy [10]. Karyotypes and aneuploidy were not examined in this study, although they generally exhibit a complex karyotype. LOH at *RBI* and *TP53* loci was more frequently observed in high-grade tumors than in pure low-grade tumors. However, all copy numbers of genes revealed as amplified by NGS were threefold higher in this study; thus, SCNAs were not considered high in MFS. Therefore, it is reasonable that a large number of TILs infiltrate high-grade MFS, especially in PD-L1-positive cases.

Finally, genomic alteration data in this study might be diluted by admixed inflammatory cells, because MFS usually contain admixed neoplastic fibroblastic cells and inflammatory cells. This cannot be denied, especially for the CNV data, because all genes were amplified only threefold. Thus, genes with true significance in cancer biology may not have been identified by this study.

In conclusion, LOH at the *RBI* locus was found to be a prognostic factor for adverse recurrence-free survival. *TP53* mutations and LOH at *TP53* and *RBI* loci may be associated with MFS tumor progression. Immune checkpoint inhibitors may be a therapeutic option for the MFS subset.

**Supplementary Information** The online version contains supplementary material available at <https://doi.org/10.1007/s00428-022-03358-9>.

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## Declarations

**Ethics approval** This study was reviewed and approved by Juntendo University School of Medicine Institutional Review Board (#2021-079).

**Conflict of interest** The authors declare no competing interests.

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