



# Expression of the potential therapeutic target claudin-18.2 is frequently decreased in gastric cancer: results from a large Caucasian cohort study

Matthias Dottermusch<sup>1</sup> · Sandra Krüger<sup>1</sup> · Hans-Michael Behrens<sup>1</sup> · Christine Halske<sup>1</sup> · Christoph Röcken<sup>1</sup> 

Received: 23 April 2019 / Revised: 5 July 2019 / Accepted: 12 July 2019 / Published online: 22 July 2019  
© The Author(s) 2019

## Abstract

Gastric cancer (GC) is frequently diagnosed and treated in advanced tumour stages with poor prognosis. Recent studies have identified isoform 2 of the tight junction protein claudin-18 (CLDN18.2) as a promising target in GC therapy. In this study, we aimed to outline the expression of CLDN18.2 and its correlation with clinico-pathological patient characteristics in a large and well-characterized cohort of GC patients. The expression of CLDN18.2 was studied in 481 GCs by immunohistochemistry on whole tissue sections. Immunostained GCs were evaluated using the histoscore (H-score) and subsequently divided into two groups: tumours showing any or no expression. CLDN18.2 expression was investigated for correlation with various clinico-pathological patient characteristics, including survival. CLDN18.2 expression was found in 203 GCs (42.2%). Of these tumours, 71 (14.8%) showed solely weak immunostaining. CLDN18.2 expression correlated with mucin phenotype, EBV status, the integrin  $\alpha v \beta 5$ , the EpCAM extracellular domain EpEX, and lysozyme. We found no correlation with survival, Laurén phenotype, or any other clinico-pathological patient characteristic. In conclusion, we demonstrate frequently decreased expression of CLDN18.2 in a GC cohort of appropriate size. Correlating CLDN18.2 expression with clinico-pathological patient characteristics reveals new linkages to  $\alpha v \beta 5$ , EpEX, and lysozyme, which may pave the way for further investigations regarding the role of tight junction proteins in GC progression. Though CLDN18.2 continues to pose an attractive target candidate, we conclude that a rather low overall expression rate challenges its significance in advanced GC therapy and indicates the need for further investigations across different populations.

**Keywords** Gastric cancer · Stomach neoplasms · Tight junctions · Claudin-18.2 · EBV · Prognosis

## Introduction

Despite the extensive geographical variability of its incidence, gastric cancer (GC) is acknowledged as one of the most common malignancies worldwide. Unfortunately, GC is frequently discovered in advanced stages, aggravating its poor prognosis [1, 2]. Furthermore, the results of systemic chemotherapy are often limited due to therapeutic aggressiveness and poor performance status of patients [3]. This calls for the

development of new targeted agents to extend treatment possibilities while reducing substantial side effects of systemic therapy.

Claudin-18 (CLDN18) is a member of the claudin family and a component of tight junctions, regulating paracellular barrier functions. The expression of the isoform 2 of CLDN18 (CLDN18.2) has been shown to be restricted to differentiated epithelial cells of the gastric mucosa and also primary gastric malignancies, hence emphasizing its potential as a candidate in targeted therapy. Of note, ectopic expression is frequently found in other malignant entities such as pancreatic, oesophageal, ovarian, and lung tumours [4].

The phase II-FAST study (NCT01630083) investigated CLDN18.2 tumour expression and therapy with the chimeric monoclonal anti-CLDN18.2 antibody IMAB362 in combination with first-line chemotherapy in patients with advanced cancer of the stomach and gastro-oesophageal junction. It was shown that adding IMAB362 to chemotherapy improved

**Electronic supplementary material** The online version of this article (<https://doi.org/10.1007/s00428-019-02624-7>) contains supplementary material, which is available to authorized users.

✉ Christoph Röcken  
christoph.roecken@uksh.de

<sup>1</sup> Department of Pathology, Christian-Albrechts-University, Arnold-Heller-Str. 3, Haus 14, 24105 Kiel, Germany

overall- (OS) and progression-free survival (PFS) as well as objective response rate (ORR) in patients and therefore, CLDN18.2 was identified as a promising novel treatment target [5].

Yet, little is known about the clinical and pathological characteristics of CLDN18.2 expression in the wide field of heterogeneous GCs and across cohorts of different ethnic groups. Therefore, we investigated the expression of CLDN18.2 in a large and extensively characterized Caucasian cohort of therapy-naive GCs.

## Materials and methods

### Study population

From the archive of the Institute of Pathology, University Hospital Kiel, we sought all patients who had undergone either total or partial gastrectomy for adenocarcinoma of the stomach or oesophago-gastric junction between 1997 and 2009. We included patients with histologically confirmed adenocarcinoma of the stomach or gastro-oesophageal junction. Patients were excluded if histology of a tumour type other than adenocarcinoma was identified or if patients had undergone a perioperative or neoadjuvant chemo- or radiotherapy. Each resected specimen had undergone gross sectioning and histological examination by trained and board-certified surgical pathologists. Dates of patients' deaths were obtained from the Epidemiological Cancer Registry of the state of Schleswig-Holstein, Germany. Follow-up data of those patients who were still alive were retrieved from hospital records and general practitioners.

### Histology

Tissue specimens were fixed in formalin and embedded in paraffin. Deparaffinized sections were stained with haematoxylin and eosin. Histological re-examination of primary tissue sections was carried out for all cases to assure if inclusion criteria were met.

### Immunohistochemistry

Immunohistochemical CLDN18.2 stainings of GCs were carried out with a Bondmax automated slide staining system (Leica Biosystems, Wetzlar, Germany), using the Polymer Refine Detection Kit (Leica Biosystems) and the anti-CLDN18.2 antibody (clone EPR19202, Abcam, Cambridge, UK) in a 1:200 dilution. Pretreatment was done with ER-2 (Leica Biosystems) for 20 min.

### Scoring of CLDN18.2 staining

Scoring of each tumour was assessed by determining a histoscore (H-score), following a semi-quantitative approach combining both immunostaining intensities (subsequently referred to as IHC-scores) and percentages of positive cells of the tumour. The IHC-score was based on tumour cells showing either strong (3+), intermediate (2+), weak (1+), or no (0) membranous staining of CLDN18.2. IHC-score 3+ was given if strong staining was circumferentially present in tumour cells. Partially present strong staining or circumferential light staining was assessed with the IHC-score 2+. If faint staining was partially present, score 1+ was given. Tumour cells without detectable membranous staining were scored with 0. The percentage of positive tumour cells (approximated to the nearest 10) showing the defined staining intensities (3+, 2+, 1+, 0) was gauged with respect to all tumour cells visible on each tissue specimen and always added up to a total of 100% tumour cells. Finally, a H-score was calculated according to the formula:  $H\text{-score} = [0 \times \text{percentage of immunonegative tumour cells}] + [1 \times \text{percentage of weakly stained tumour cells}] + [2 \times \text{percentage of intermediately stained tumour cells}] + [3 \times \text{percentage of strongly stained tumour cells}]$ . The maximum possible H-score was 300, if all cells of a given tumour sample showed a strong staining:  $[0 \times 0\%] + [1 \times 0\%] + [2 \times 0\%] + [3 \times 100\%] = 300$ . The multipliers within the formula yield an improved stratification of the H-scores: tumour samples with a predominantly high staining intensity and such samples with a predominantly low staining intensity are more distinctively separated.

### Assessment of heterogeneous expression

Upon reviewing both the IHC- and the H-scores, intratumoural heterogeneity becomes readily evident. Since there is no general guideline to assess heterogeneity in GC, we considered tumours to show a strong heterogeneity phenotype, when both 3+ and 0 IHC-scores were detectable and made up at least 50% of the tumour tissue combined. Heterogeneous tumours were additionally assessed for immunostaining patterns. Some GCs showed an apparent decrease in immunostaining intensity towards the depths of the tumour, which we referred to as a "downward gradient". Heterogeneity pattern of tumours with diffusely distributed tumour cells with low or no staining was considered "scattered". Tumours with a "patchy" pattern predominantly displayed large randomly distributed and well-circumscribed areas of aggregated tumour cells with low or no staining.

## Assessment of further clinico-pathological characteristics

The assessment of mucin expression was carried out with monoclonal antibodies directed against mucin 2 (clone Ccp58, 1:100; Novocastra, Leica Microsystems GmbH, Wetzlar, Germany), mucin 5 (clone 45 M1, 1:100; Thermo Scientific, Schwerte, Germany), mucin 6 (clone CLH5, 1:100), and CD10 (clone 56C6, 1:10; both Novocastra) [6]. Tumours were subsequently classified according to mucin phenotype [7]. pTNM stage of all study patients was determined according to the 8th edition of the UICC guidelines [8]. Infection with *H. pylori* was evaluated histologically using the modified Giemsa staining and PCR. *H. pylori*-specific DNA sequences were detected by a PCR-based assay targeting the 16S rRNA gene of *H. pylori* [6]. Epstein-Barr virus-encoded RNA was detected using the EBER probe (Novocastra) and the BondMax detection system according to the manufacturer's instructions (Leica Microsystems GmbH) [9]. MSI status was assessed by immunohistochemistry using antibodies directed against MLH1, PMS2, MSH2, and MSH6. For each case with reduced or absent nuclear staining, subsequent molecular comparison of the allelic profiles of the mononucleotide repeat markers BAT-25, BAT-26, NR-21, NR-24, and NR-27 in tumour and corresponding normal tissue was carried out [10]. Tumours were classified according to the Laurén classification [11]. Furthermore, assessment of EpEX, EpICD, E-cadherin,  $\alpha$ v $\beta$ 3,  $\alpha$ v $\beta$ 5, and lysozyme, as well as HER2 and MET status expression, was performed as previously described [6, 9, 10, 12–15].

## Statistical analysis

SPSS version 25.0.0.2 (IBM Corp., Armonk, NY, USA) was used for statistical analyses. To test for correlation between non-ordinal variables, we used Fisher's exact test. When testing for correlation between variables of ordinal scale, we used Kendall's tau test. We assumed a significance level of 0.05. To compensate false discovery rate within the correlations, we applied the Simes (Benjamini-Hochberg) procedure (multiple testing correction). We also included all variables with  $p < 0.1$  into a binary logistic regression (multivariate analysis) to test for independence. All  $p$  values having lost significance were marked accordingly. Median survival with 95% confidence intervals was determined by the Kaplan-Meier method. Differences between median survivals were tested with the log-rank test. A multivariate survival analysis (Cox regression) was performed. All  $p$  values are given uncorrected.

## Results

Table 1 summarizes the clinico-pathological patient characteristics of the GC cohort. Four hundred eighty-one patients fulfilled all the study criteria.

### CLDN18.2 expression rate

The expression was studied using whole tissue sections. CLDN18.2 was observed in non-neoplastic gastric mucosa and tumour cells. GC was considered positive, if membranous staining was visible in tumour cells (Fig. 1a–l). Normal, non-neoplastic gastric mucosa consistently displayed strong membranous and cytoplasmic staining (Fig. 1m, o, p). In conformity with similar findings [16], we observed loss of CLDN18.2 expression in intestinal metaplasia, whenever it was present (Fig. 1n). The overall expression rate of CLDN18.2 in tumour cells was rather low: 278 (57.8%) GCs were completely devoid of any CLDN18.2 expression. Of the positive GCs, 71 (14.8%) were scored no higher than IHC 1+, while 64 (13.3%) were scored up to but no higher than IHC 2+, and 68 cases (14.1%) were scored up to IHC 3+. Maximum of CLDN18.2-IHC 3+ found was in 50% of tumour cells, observable in two cases. Immunonegative tumour cells (CLDN18.2-IHC 0) were found in 479 (99.6%) cases. Distribution of IHC-scores in the cohort is displayed in Fig. 2a. Figure 2b summarizes the frequency and distribution of CLDN18.2-H-scores.

In order to find correlations of CLDN18.2 expression with clinico-pathological patient characteristics, the cohort was dichotomized by the median score, which corresponded to 0, due to the rather low overall staining intensity observed. Thus, the cohort was split into GCs with and without visible CLDN18.2 expression.

### GC subtypes express CLDN18.2 differentially

CLDN18.2 expression correlated with mucin phenotype ( $p < 0.001$ ), lysozyme expression (LYZ;  $p < 0.001$ ), and EBV status ( $p < 0.001$ ). Mucin phenotypes had been assessed in 421 cases. In our cohort, GCs showed either intestinal (122 cases, 29%), gastric (64 cases, 15.2%), mixed (162 cases, 38.5%), or unclassified (73 cases, 17.3%) mucin differentiation. One hundred eighty of 421 GCs were CLDN18.2-positive; these showed the following distribution of mucin phenotypes: intestinal 29 cases (16.1% of CLDN18.2-positive tumours), gastric 32 cases (17.8%), mixed 78 cases (43.3%), and unclassified 41 cases (22.8%). Most notably, CLDN18.2 expression is predominantly deviant in GCs of the intestinal phenotype (93 of 122 intestinal GCs (76.2%) were CLDN18.2-negative).

Of 430 GCs, 213 (49.5%) were categorized as LYZ-positive. CLDN18.2 and LYZ expression significantly correlated

**Table 1** CLDN18.2 expression and correlation with clinico-pathological patient characteristics

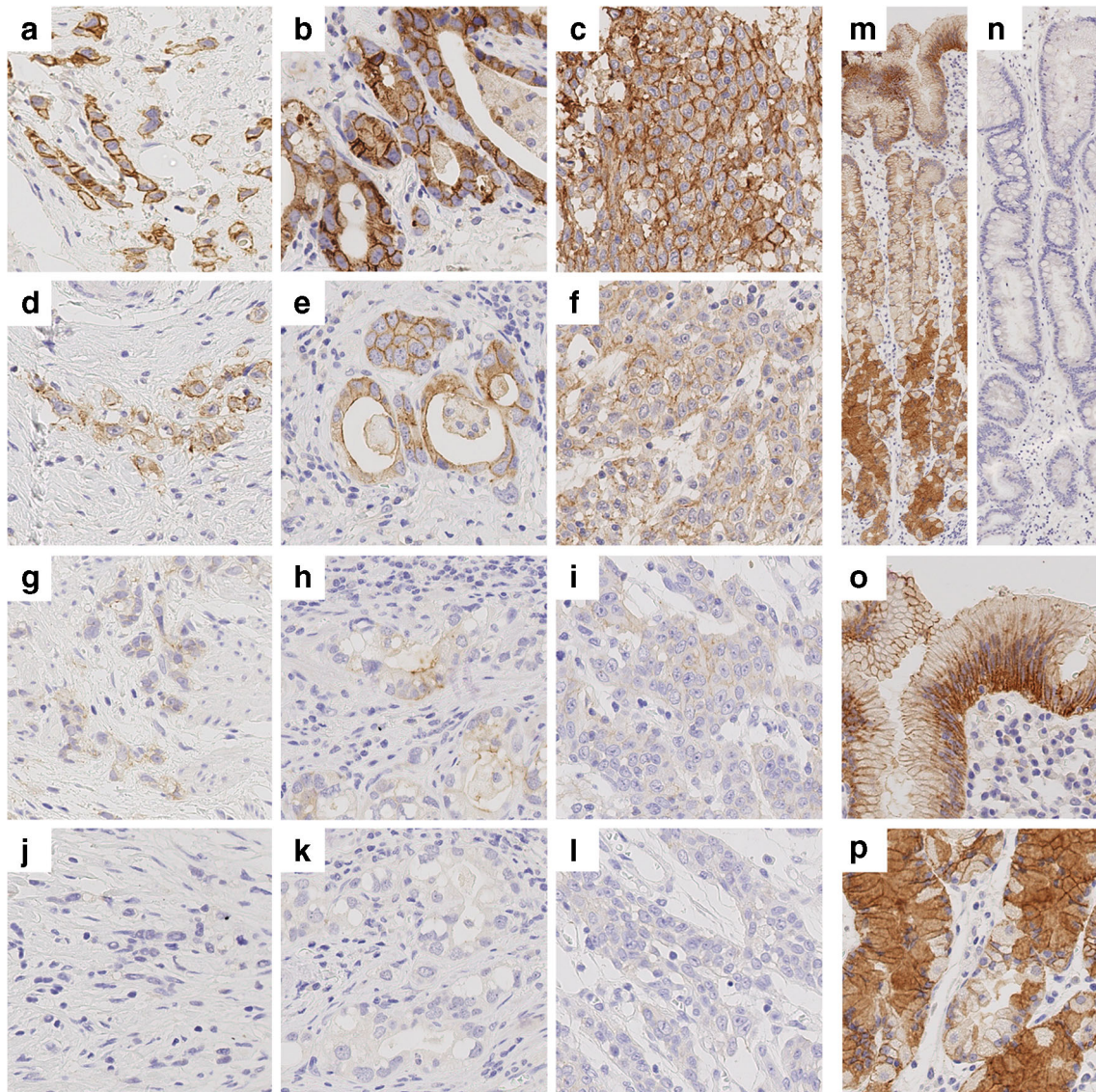
Characteristic	Variable	Total valid [N (%)]	CLDN18.2 expression		p value
			Positive [N (%)]	Negative [N (%)]	
Age	< 68	234 (49.4)	108 (46.2)	126 (53.8)	0.094
	≥ 68	240 (50.6)	92 (38.3)	148 (61.7)	
Gender	Female	179 (37.2)	72 (40.2)	107 (59.8)	0.506
	Male	302 (62.8)	131 (43.4)	171 (56.6)	
Localization	Proximal	145 (31.0)	60 (41.4)	85 (58.6)	0.840
	Distal	323 (69.0)	137 (42.4)	186 (57.6)	
Laurén phenotype	Intestinal	252 (52.5)	114 (45.2)	138 (54.8)	0.167
	Diffuse	145 (30.2)	55 (37.9)	90 (62.1)	
	Mixed	31 (6.5)	16 (51.6)	15 (48.4)	
Mucin type	Unclassified	52 (10.8)	17 (32.7)	35 (67.3)	< 0.001*
	Intestinal	122 (29.0)	29 (23.8)	93 (76.2)	
	Gastric	64 (15.2)	32 (50.0)	32 (50.0)	
	Mixed	162 (38.5)	78 (48.1)	84 (51.9)	
T category	Unclassified	73 (17.3)	41 (56.2)	32 (43.8)	0.703
	T1	58 (12.1)	25 (43.1)	33 (56.9)	
	T2	53 (11.0)	21 (39.6)	32 (60.4)	
	T3	195 (40.6)	81 (41.5)	114 (58.5)	
N category	T4	174 (36.3)	76 (43.7)	98 (56.3)	0.758
	N0	136 (28.5)	60 (44.1)	76 (55.9)	
	N1	67 (14.0)	27 (40.3)	40 (59.7)	
	N2	84 (17.6)	29 (34.5)	55 (65.5)	
M category	N3	190 (39.8)	86 (45.3)	104 (54.7)	0.352
	0	387 (80.5)	159 (41.1)	228 (58.9)	
UICC stage	1	94 (19.5)	44 (46.8)	50 (53.2)	0.716
	I	78 (16.4)	33 (42.3)	45 (57.7)	
	II	107 (22.4)	46 (43)	61 (57)	
	III	198 (41.5)	79 (39.9)	119 (60.1)	
L category	IV	94 (19.7)	44 (46.8)	50 (53.2)	0.773
	L0	214 (48.1)	88 (41.1)	126 (58.9)	
V category	L1	231 (51.9)	99 (42.9)	132 (57.1)	0.225
	V0	394 (88.7)	160 (40.6)	234 (59.4)	
Grading	V1	50 (11.3)	25 (50.0)	25 (50.0)	1.000
	G1/G2	116 (24.3)	49 (42.2)	67 (57.8)	
R status	G3/G4	361 (75.7)	153 (42.4)	208 (57.6)	0.023 <sup>1,2</sup>
	R0	402 (87.2)	160 (39.8)	242 (60.2)	
<i>H. pylori</i> status	R1 & 2	59 (12.8)	33 (55.9)	26 (44.1)	1.000
	Negative	341 (84.8)	143 (41.9)	198 (58.1)	
EBV status	Positive	61 (15.2)	26 (42.6)	35 (57.4)	< 0.001*
	Negative	445 (95.5)	179 (40.2)	266 (59.8)	
MSI status	Positive	21 (4.5)	17 (81.0)	4 (19.0)	1.000
	MSS	429 (92.3)	179 (41.7)	250 (58.3)	
HER2 status	MSI	36 (7.7)	15 (41.7)	21 (58.3)	0.029 <sup>1</sup>
	Negative	400 (92.2)	173 (43.3)	227 (56.8)	
MET status	Positive	34 (7.8)	8 (23.5)	26 (76.5)	0.277
	Negative	434 (92.9)	180 (41.5)	254 (58.5)	
EpEX	Positive	33 (7.1)	17 (51.5)	16 (48.5)	< 0.001*
	Negative	315 (70.6)	149 (47.3)	166 (52.7)	
EpICD	Positive	131 (29.4)	38 (29.0)	93 (71.0)	0.472
	Negative	142 (31.6)	64 (45.1)	78 (54.9)	
E-cadherin	Positive	307 (68.4)	126 (41.0)	181 (59.0)	0.387
	Negative	324 (73.1)	132 (40.7)	192 (59.3)	
$\alpha\text{v}\beta 3$ integrin	Positive	119 (26.9)	54 (45.4)	65 (54.6)	0.588
	Negative	336 (74.2)	142 (42.3)	194 (57.7)	
$\alpha\text{v}\beta 5$ integrin	Positive	117 (25.8)	46 (39.3)	71 (60.7)	0.007*
	Negative	209 (46.3)	72 (34.4)	137 (65.6)	
Lysozyme	Positive	242 (53.7)	114 (47.1)	128 (52.9)	< 0.001*
	Negative	217 (50.5)	66 (30.4)	151 (69.6)	
	Positive	213 (49.5)	115 (54.0)	98 (46.0)	

EBV, Epstein-Barr virus; MSI, microsatellite instability

p values obtained via Fisher's exact test or Kendall's tau test.; \*Significant after multiple testing correction

<sup>1</sup> Not significant after multiple testing correction. <sup>2</sup> Not significant after multivariate analysis





**Fig. 1** CLDN18.2 expression in neoplastic and non-neoplastic gastric cells. **a–l** Representative images of CLDN18.2 expression in gastric cancer cells with different growth patterns: diffuse (**a, d, g, j**), intestinal (**b, e, h, k**), and unclassified (**c, f, i, l**). Immunostaining of tumour cells was scored as 3+/ strong (**a–c**), 2+/ intermediate (**d–f**), 1+/ weak (**g–i**), or 0/ none (**j–l**). **m–p** Strong CLDN18.2 expression was seen in foveolar and

glandular epithelium of the normal gastric mucosa with sparse staining in the neck region (**m**). Foveolar epithelium (**o**) displayed a strong membranous staining predominantly in the basolateral region. Glandular epithelium (**p**) further displayed cytoplasmic staining with granular morphology. Intestinal metaplasia (**n**) was regularly devoid of CLDN18.2 expression. Original magnification 400-fold (**a–l, o, p**) and 100-fold (**m, n**)

( $p < 0.001$ ), with 181 CLDN18.2-positive GCs showing LYZ expression in 115 cases (63.5%). However, no correlation was found between CLDN18.2 and the Laurén phenotype.

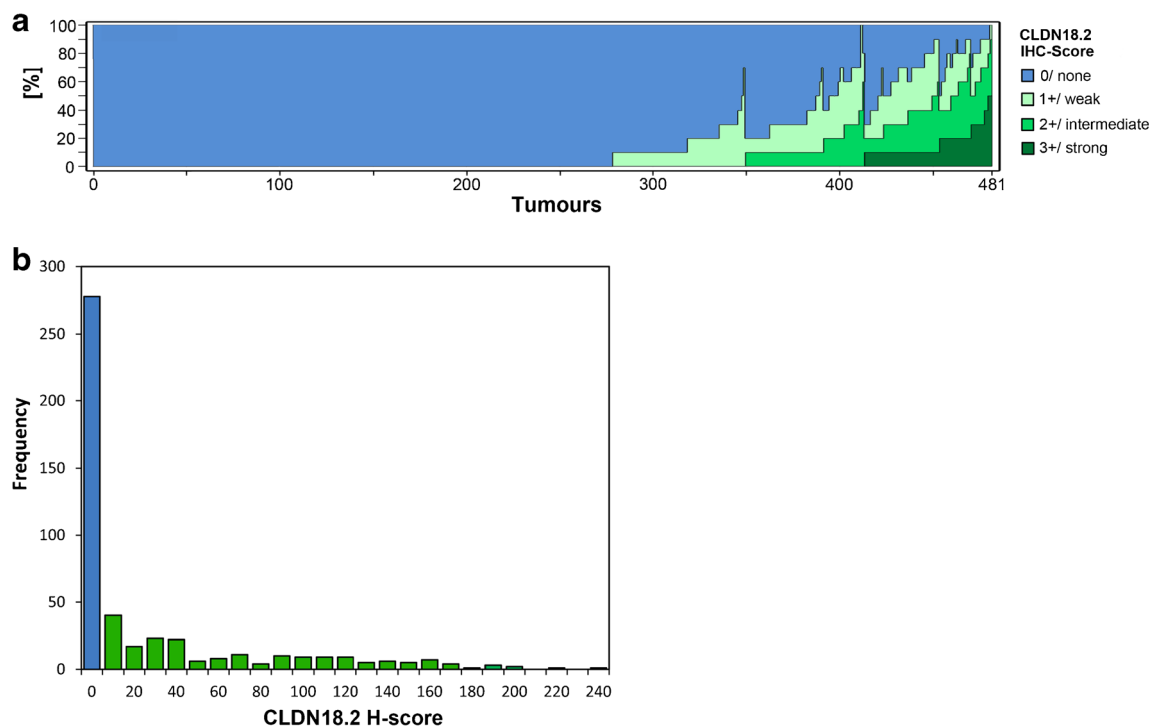
In our cohort, 21 of 466 GCs were EBV-positive. CLDN18.2 expression correlated with positive EBV status on a highly significant level ( $p < 0.001$ ). Furthermore, EBV-positive CLDN18.2-positive GCs tended to show strong CLDN18.2 expression more frequently. In numbers, only 4 of 21 EBV-positive GCs (19%) were devoid of any CLDN18.2 expression, while 11 of 21 EBV-positive GCs (52.4%) were scored up to IHC 3+. We found no correlation between CLDN18.2 and MSI status.

### CLDN18.2 and further tumour or patient characteristics

We found no correlation with HER2 and MET status (Table 1). No further clinico-pathological patient characteristic, i.e. gender, age, localization, TNM, or tumour stage, correlated with CLDN18.2 expression.

### CLDN18.2 correlates with other cell junction proteins

The expression of the integrin  $\alpha v \beta 5$  was considered positive in tumour cells of 242 of 451 (53.7%) GCs. One

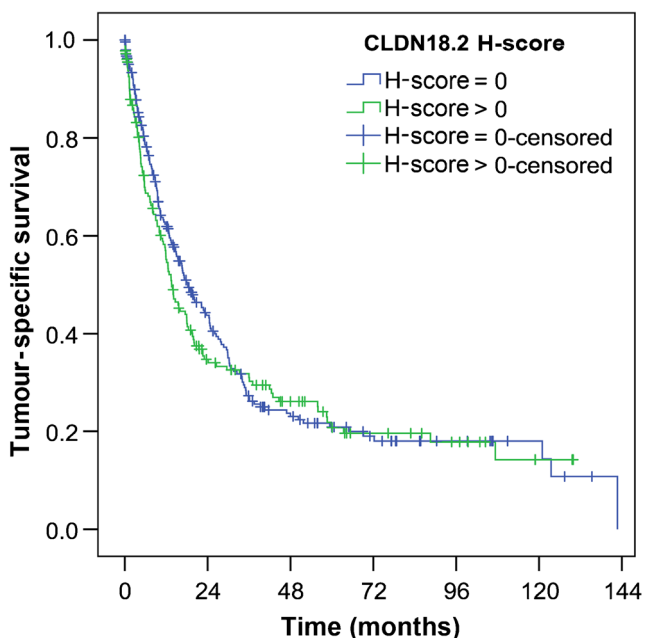


**Fig. 2** CLDN18.2 overall expression intensity. **a** 278 of 481 gastric carcinomas (57.8%) were completely devoid of any CLDN18.2 expression. In the positive cases, the maximum staining intensity reached was either weak (71 cases, 14.8%), intermediate (64 cases,

13.3%), or strong (68 cases, 14.1%). **b** The distribution of H-scores in the cohort is shown. Minimum H-Score is 0. Maximum H-score reached is 240. Median H-score of positive tumours is 40

hundred fourteen of these 242 (47.1%) GCs also showed CLDN18.2 expression, demonstrating a significant positive correlation ( $p=0.007$ ). We also tested  $\alpha v \beta 3$  and,

interestingly, no correlation was found ( $p=0.588$ ). Of 446 GCs, 131 (29.3%) were considered positive for expression of the epithelial cellular adhesion molecule (EpCAM) extracellular domain (EpEX). Of these 131 EpEX-positive GCs, a highly significantly increased number was CLDN18.2-negative (93 of 131, 71%), suggesting an inverse correlation. The intracellular domain of EpCAM (EpICD), as well as the adherens junction protein E-cadherin, showed no correlation with CLDN18.2.



**Fig. 3** CLDN18.2 and survival. There was no significant correlation between tumour-specific survival and CLDN18.2 expression in tumour cells (249 vs. 181 patients; median survival 18.2 vs. 13.6 months;  $p=0.439$ ).  $p$  value was obtained via log-rank test

### CLDN18.2 is frequently heterogeneously expressed in GC

In our cohort, nearly all tumours with strong immunostaining also comprised negative tumour cells, demonstrating an expression phenotype of CLDN18.2 with high tendencies towards heterogeneity. To emphasize the extent of heterogeneity, we considered those tumours to show a strong heterogeneity phenotype that displayed both strongly stained and negative tumour cells in at least half of the tumour tissue combined. Thirty-two tumours (6.7% of 481) met those criteria.

These tumours were closely investigated for different distribution patterns of heterogeneity. Five of 32 (15.6%) GCs showed a “downward gradient” with weaker staining intensity towards the depth of the tumour. Sixteen of 32 (50%) tumours



showed a patchy distribution of different immunostaining intensities. The remaining cases (11 of 32; 34.4%) displayed a scattered pattern, with randomly distributed cells of different staining intensities. Representative images are displayed in Online Resource 1.

### CLDN18.2 and survival

Tumour-specific survival data was available in a total of 430 patients. We found no correlation between CLDN18.2 expression and tumour-specific survival (Fig. 3). However, we saw haphazard tendencies of R1/2-resected carcinomas to express CLDN18.2 more frequently, which had lost significance after appliance of the multiple testing procedure as well as multivariate analysis (Table 1). Hence, we reviewed the survival curves of patients with solely R0-resected carcinomas (Online Resource 2A). Additionally, we tested for the impact of different CLDN18.2 expression intensities and different cancer stages (Online Resource 2B-F). We found no significant correlation of CLDN18.2 with survival in any of these cases.

## Discussion

### EBV infection is associated with strong CLDN18.2 expression

EBV-associated GC (EBVaGC) is a unique etiological entity, characterized by distinct features such as male predominance, predisposition to the proximal stomach, and relative favourable prognosis [17]. Several lines of evidence indicate that EBV infection into epithelial cells is mediated by cell-to-cell contact, while it has been suggested that the presence of extensive cell junctions may restrict antibody accessibility [18, 19]. Our data not only show that CLDN18.2 expression is correlated with EBVaGC, as it was previously implied by other studies [20], but also that the magnitude of its expression appears to play an important role for EBV in GC, shown by the fact that most EBVaGCs expressed CLDN18.2 at astoundingly high levels. Preserved expression of CLDN18.2 in tumour cells is likely linked to key features of EBV-mediated carcinogenesis. Recently described heterogeneous EBV distribution in GC [9] may also suggest roles of yet unknown factors ensuring EBV maintenance in tumour cells.

### Mucin phenotype correlates with CLDN18.2 expression

Expression of various mucins has been used to classify GC into different mucin phenotypes. We found CLDN18.2 to significantly correlate with mucin phenotypes, the intestinal

phenotype predominantly showing downregulation of CLDN18.2. Similar findings have been previously described, suggesting the loss of CLDN18 to be involved in the pathogenesis of the intestinal phenotype and indicating its expression as a marker for the gastric phenotype [21, 22].

Of note, we found no correlation between CLDN18.2 expression and the Laurén classification. This is consistent with results obtained by Jun et al. [23], and in contrast with Sahin et al. [4], who found significantly less CLDN18.2 expression in intestinal compared with diffuse-type GC. However, in our study, CLDN18.2 did correlate with LYZ expression, which has recently been shown to be linked to diffuse-type GC [6]. Due to a substantial overlap of expression, our data underpins that CLDN18.2 may not be decisively attributable to a specific GC subtype, but could rather be differently involved in the pathogenesis and invasion progression of distinct GC subtypes.

### CLDN18.2 expression shows no correlation with survival

We did not find a correlation between CLDN18.2 expression and survival. In contrast to our results, two previous studies have suggested that reduced CLDN18.2 expression correlates with poor prognosis. This was reported from a 134 patient cohort [23] and a 65 patient cohort with advanced GCs [21]. Hence, CLDN18.2 was presumed to resemble an independent prognostic marker. The data we obtained from our cohort of 481 patients does not support these previous indications. In contrast, patients with tumours expressing CLDN18.2 at different levels showed no congruent survival phenotype tendencies, whatsoever (Online Resource 2B).

### CLDN18.2 is linked to other cell junction proteins

Previous studies have depicted the critical role of cell junction dysregulation in malignant transformation and invasion [24]. Interaction of different cell junction proteins has for example been demonstrated in human lung cancer cells, where claudin-7 and integrin  $\beta$ 1 form a complex regulating cell growth and cell cycle progression [25]. We demonstrate a correlation of CLDN18.2 and the integrin  $\alpha$ v $\beta$ 5 in GC, which may provide ground for further studies to investigate the connection between these two potential antibody targets.

The epithelial cellular adhesion molecule (EpcAM) is composed of an extracellular (EpEX) and an intracellular domain (EpICD), which are separated upon cleavage. EpICD is released into the cytoplasm to trigger oncogenic signalling, while EpEX is shedded [26]. In human colon cancer cells, EpcAM has been suggested to regulate tight junctions by degradation of selected claudins [27]. Hence, the inverse correlation of CLDN18.2 and EpEX we describe in GC is particularly interesting. However, since we found no correlation with EpICD, EpEX may also have distinct functions, which

link it to CLDN18.2. Recently, EpEX has been shown to serve as a soluble agonist to promote cell migration and proliferation through activation of the EGFR pathway in colon cancer [28]. Intriguingly, a direct interaction of CLDN18 with the EGFR pathway has also recently been proposed in bile duct neoplasia [29]. Hence, EGFR signalling may also play a role for CLDN18.2 expression in GC and should therefore be of interest to future studies.

### CLDN18.2 heterogeneity poses a challenge to diagnostic evaluations

Previous studies have addressed diagnostic insecurities that may arise from heterogeneous CLDN18.2 immunostaining [30]. On the basis of distributions of H-scores and IHC-scores in our cohort, we also demonstrate a high prevalence of CLDN18.2 heterogeneity in our study (Fig. 2a). Furthermore, we depict heterogeneity patterns, which may amount to great challenges in clinical practice and scientific research (Online Resource 1). While a scattered distribution of staining intensities may still enable a proper and representative assessment of the tumour in a small tissue specimen, a patchy pattern may lead the examiner towards a severe misjudgement of the overall expression rate. Additionally, we describe a frequent occurrence of declining immunostaining intensity towards the invasive front and therefore within the depth of the tumour tissue. This may be exceptionally problematic for biopsy examinations, which predominantly allow assessment of shallow parts of the tumour. Of note, this observed heterogeneity pattern may be linked to previous findings, suggesting an inverse correlation between CLDN18.2 expression and the invasive potential [16].

### CLDN18.2 expression is decreased in gastric cancer

The majority of GCs of our cohort was completely devoid of any CLDN18.2 expression, while a large proportion of positive GCs showed solely weak staining levels. The specificity of our antibody was frequently demonstrated by a strong staining of the normal gastric mucosa and loss of staining in intestinal metaplasia.

A low expression level of claudin proteins in tumour cells is compliant with the concept of destruction of tight junctions leading to disruption of epithelial cell cohesion and promoting cell invasiveness [31]. Congruent with this and supporting to our findings, various previous studies have also implicated CLDN18 downregulation as a characteristic of GC [16, 21, 23, 32, 33].

However, Sahin et al. [4] reported CLDN18.2 expression in 77% of GCs (51/66 patients) and significant labelling (defined as  $\geq 60\%$  of tumour cells displaying  $\geq 2+$  staining intensity) in 56% of GCs (37/66 patients). In the previously performed FAST study [5], significant CLDN18.2 expression (defined as  $\geq 40\%$  of tumour cells displaying  $\geq 2+$  staining intensity) was

shown in 48% (334/686 patients). Recently published results from a Japanese study cohort even displayed 52% significant expression (135/262 patients) using the same criteria [34]. In comparison, when applying the criteria of the FAST study to our data, we obtain 10% GCs with significant expression (48/481, see Online Resource 3 for clinico-pathological characteristics). The remarkable divergence of CLDN18 expression rates across studies may be related to ethnic characteristics or linked to intratumoural GC heterogeneity, especially with respect to limitations of small tissue specimens. Furthermore, well-defined immunostaining and scoring approaches are crucial for generating comparable data.

In conclusion, our study provides a detailed illustration and description of CLDN18.2 expression and its correlation with various clinico-pathological factors in GC, using appropriate tissue specimens and a Caucasian cohort of considerable size. We conclude that further studies will be needed in order to establish CLDN18.2 in future GC therapy.

**Acknowledgements** We wish to thank Maren Dirks for her excellent technical support.

**Contributions** Study concept and design were done by MD and CR. Surgical pathological data were acquired by MD, SK, CH, and CR. The data were analysed and interpreted by MD, HMB, and CR. Drafting of the manuscript and critical revision of the manuscript for important intellectual content were done by all authors. Administrative, technical, or material support was provided by SK and CR. The study was supervised by CR.

### Compliance with ethical standards

This study was performed according to the Declaration of Helsinki. Ethical approval was obtained from the local ethical review board (D 453/10). After study inclusion, data from all patients was pseudonymized.

**Conflict of interest** The authors declare that they have no conflict of interest.

**Open Access** This article is distributed under the terms of the Creative Commons Attribution 4.0 International License (<http://creativecommons.org/licenses/by/4.0/>), which permits unrestricted use, distribution, and reproduction in any medium, provided you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons license, and indicate if changes were made.

### References

1. Bray F, Ferlay J, Soerjomataram I, Siegel RL, Torre LA, Jemal A (2018) Global cancer statistics 2018: Globocan estimates of incidence and mortality worldwide for 36 cancers in 185 countries. *Ca Cancer J Clin* 68:394–424. <https://doi.org/10.3322/caac.21492>
2. Takahashi T, Saikawa Y, Kitagawa Y (2013) Gastric cancer: current status of diagnosis and treatment. *Cancers (Basel)* 5:48–63. <https://doi.org/10.3390/cancers5010048>
3. Lazar DC, Taban S, Comianu M, Faur A, Goldis A (2016) New advances in targeted gastric cancer treatment. *World J Gastroenterol* 22:6776–6799. <https://doi.org/10.3748/wjg.v22.i30.6776>



4. Sahin U, Koslowski M, Dhaene K, Usener D, Brandenburg G, Seitz G, Huber C, Tureci O (2008) Claudin-18 splice variant 2 is a pan-cancer target suitable for therapeutic antibody development. *Clin Cancer Res* 14:7624–7634. <https://doi.org/10.1158/1078-0432.CCR-08-1547>
5. Lordick F, Schuler MH, Al-Batran S, Zvirbulė Z, Manikhas G, Rusyn AV, Vynnychenko I, Fadeeva N et al (2016) Claudin 18.2 – a novel treatment target in the multicenter, randomized, phase ii fast study, a trial of epirubicin, oxaliplatin, and capecitabine (EOX) with or without the anti-clnd18.2 antibody imab362 as 1st line therapy in advanced gastric and gastroesophageal junction (GEJ) cancer. *Ann Oncol* 27:ix68–ix85. <https://doi.org/10.1093/annonc/mdw582>
6. Warneke VS, Behrens HM, Haag J, Balschun K, Boger C, Becker T, Ebert MP, Lordick F et al (2013) Prognostic and putative predictive biomarkers of gastric cancer for personalized medicine. *Diagn Mol Pathol* 22:127–137. <https://doi.org/10.1097/PDM.0b013e318284188e>
7. Namikawa T, Hanazaki K (2010) Mucin phenotype of gastric cancer and clinicopathology of gastric-type differentiated adenocarcinoma. *World J Gastroenterol* 16:4634–4639
8. Brierley J, Gospodarowicz MK, Wittekind C, Union for International Cancer C (2017) Tnm classification of malignant tumours. Chichester, UK Wiley Blackwell
9. Boger C, Kruger S, Behrens HM, Bock S, Haag J, Kalthoff H, Rocken C (2017) Epstein-Barr virus-associated gastric cancer reveals intratumoral heterogeneity of pik3ca mutations. *Ann Oncol* 28:1005–1014. <https://doi.org/10.1093/annonc/mdx047>
10. Mathiak M, Warneke VS, Behrens HM, Haag J, Boger C, Kruger S, Rocken C (2017) Clinicopathologic characteristics of microsatellite instable gastric carcinomas revisited: urgent need for standardization. *Appl Immunohisto M M* 25:12–24. <https://doi.org/10.1097/PAL.0000000000000264>
11. Lauren P (1965) The two histological main types of gastric carcinoma: diffuse and so-called intestinal-type carcinoma. An attempt at a histo-clinical classification. *Acta Pathol Microbiol Scand* 64:31–49
12. Warneke VS, Behrens HM, Haag J, Kruger S, Simon E, Mathiak M, Ebert MP, Rocken C (2013) Members of the EpCAM signalling pathway are expressed in gastric cancer tissue and are correlated with patient prognosis. *Brit J Cancer* 109:2217–2227. <https://doi.org/10.1038/bjc.2013.536>
13. Boger C, Warneke VS, Behrens HM, Kalthoff H, Goodman SL, Becker T, Rocken C (2015) Integrins alphavbeta3 and alphavbeta5 as prognostic, diagnostic, and therapeutic targets in gastric cancer. *Gastric Cancer* 18:784–795. <https://doi.org/10.1007/s10120-014-0435-2>
14. Warneke VS, Behrens HM, Boger C, Becker T, Lordick F, Ebert MP, Rocken C (2013) Her2/neu testing in gastric cancer: evaluating the risk of sampling errors. *Ann Oncol* 24:725–733. <https://doi.org/10.1093/annonc/mds528>
15. Metzger ML, Behrens HM, Boger C, Haag J, Kruger S, Rocken C (2016) Met in gastric cancer—discarding a 10% cutoff rule. *Histopathology* 68:241–253. <https://doi.org/10.1111/his.12745>
16. Matsuda Y, Semba S, Ueda J, Fuku T, Hasuo T, Chiba H, Sawada N, Kuroda Y, Yokozaki H (2007) Gastric and intestinal claudin expression at the invasive front of gastric carcinoma. *Cancer Sci* 98:1014–1019. <https://doi.org/10.1111/j.1349-7006.2007.00490.x>
17. Chen JN, He D, Tang F, Shao CK (2012) Epstein-Barr virus-associated gastric carcinoma: a newly defined entity. *J Clin Gastroenterol* 46:262–271. <https://doi.org/10.1097/MCG.0b013e318249c4b8>
18. Sattentau Q (2008) Avoiding the void: cell-to-cell spread of human viruses. *Nat Rev Microbiol* 6:815–826. <https://doi.org/10.1038/nrmicro1972>
19. Tugizov SM, Berline JW, Palefsky JM (2003) Epstein-Barr virus infection of polarized tongue and nasopharyngeal epithelial cells. *Nat Med* 9:307–314. <https://doi.org/10.1038/nm830>
20. Shinozaki A, Ushiku T, Morikawa T, Hino R, Sakatani T, Uozaki H, Fukayama M (2009) Epstein-Barr virus-associated gastric carcinoma: a distinct carcinoma of gastric phenotype by claudin expression profiling. *J Histochem Cytochem* 57:775–785. <https://doi.org/10.1369/jhc.2009.953810>
21. Sanada Y, Oue N, Mitani Y, Yoshida K, Nakayama H, Yasui W (2006) Down-regulation of the claudin-18 gene, identified through serial analysis of gene expression data analysis, in gastric cancer with an intestinal phenotype. *J Pathol* 208:633–642. <https://doi.org/10.1002/path.1922>
22. Oue N, Sentani K, Sakamoto N, Yasui W (2015) Clinicopathologic and molecular characteristics of gastric cancer showing gastric and intestinal mucin phenotype. *Cancer Sci* 106:951–958. <https://doi.org/10.1111/cas.12706>
23. Jun KH, Kim JH, Jung JH, Choi HJ, Chin HM (2014) Expression of claudin-7 and loss of claudin-18 correlate with poor prognosis in gastric cancer. *Int J Surg* 12:156–162. <https://doi.org/10.1016/j.ijsu.2013.11.022>
24. Knights AJ, Funnell AP, Crossley M, Pearson RC (2012) Holding tight: cell junctions and cancer spread. *Trends Cancer Res* 8:61–69
25. Lu Z, Kim DH, Fan J, Lu Q, Verbanac K, Ding L, Renegar R, Chen YH (2015) A non-tight junction function of claudin-7-interaction with integrin signaling in suppressing lung cancer cell proliferation and detachment. *Mol Cancer* 14:120. <https://doi.org/10.1186/s12943-015-0387-0>
26. Maetzel D, Denzel S, Mack B, Canis M, Went P, Benk M, Kieu C, Papior P, Baeuerle PA, Munz M, Gires O (2009) Nuclear signalling by tumour-associated antigen EpCAM. *Nat Cell Biol* 11:162–171. <https://doi.org/10.1038/ncb1824>
27. Wu CJ, Mannan P, Lu M, Udey MC (2013) Epithelial cell adhesion molecule (EpCAM) regulates claudin dynamics and tight junctions. *J Biol Chem* 288:12253–12268. <https://doi.org/10.1074/jbc.M113.457499>
28. Liang KH, Tso HC, Hung SH, Kuan II, Lai JK, Ke FY, Chuang YT, Liu JJ, Wang YP, Chen RH, Wu HC (2018) Extracellular domain of EpCAM enhances tumor progression through EGFR signaling in colon cancer cells. *Cancer Lett* 433:165–175. <https://doi.org/10.1016/j.canlet.2018.06.040>
29. Takasawa K, Takasawa A, Osanai M, Aoyama T, Ono Y, Kono T, Hirohashi Y, Murata M, Sawada N (2017) Claudin-18 coupled with EGFR/ERK signaling contributes to the malignant potentials of bile duct cancer. *Cancer Lett* 403:66–73. <https://doi.org/10.1016/j.canlet.2017.05.033>
30. Matsusaka K, Ushiku T, Urabe M, Fukuyo M, Abe H, Ishikawa S, Seto Y, Aburatani H, Hamakubo T, Kaneda A, Fukayama M (2016) Coupling cdh17 and clnd18 markers for comprehensive membrane-targeted detection of human gastric cancer. *Oncotarget* 7:64168–64181. <https://doi.org/10.18632/oncotarget.11638>
31. Yang L, Sun X, Meng X (2018) Differences in the expression profiles of claudin proteins in human gastric carcinoma compared with nonneoplastic mucosa. *Mol Med Rep* 18:1271–1278. <https://doi.org/10.3892/mmr.2018.9122>
32. Zhang SJ, Feng JF, Wang L, Guo W, Du YW, Ming L, Zhao GQ (2014) Mir-1303 targets claudin-18 gene to modulate proliferation and invasion of gastric cancer cells. *Dig Dis Sci* 59:1754–1763. <https://doi.org/10.1007/s10620-014-3107-5>
33. Hagen SJ, Ang LH, Zheng Y, Karahan SN, Wu J, Wang YE, Caron TJ, Gad AP, Muthupalani S, Fox JG (2018) Loss of tight junction protein claudin 18 promotes progressive neoplasia development in mouse stomach. *Gastroenterology* 155:1852–1867. <https://doi.org/10.1053/j.gastro.2018.08.041>
34. Rohde C, Yamaguchi R, Mukhina S, Sahin U, Itoh K, Tureci O (2019) Comparison of claudin 18.2 expression in primary tumors and lymph node metastases in Japanese patients with gastric adenocarcinoma. *Jpn J Clin Oncol*. <https://doi.org/10.1093/jjco/hyz068>

**Publisher's note** Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.