ORIGINAL ARTICLE

Magali Lacroix-Triki · Eliane Mery · Jean-Jacques Voigt · Luc Istier · Philippe Rochaix

Value of cytokeratin 5/6 immunostaining using D5/16 B4 antibody in the spectrum of proliferative intraepithelial lesions of the breast. A comparative study with 34β E12 antibody

Received: 13 August 2002 / Accepted: 29 January 2003 / Published online: 24 April 2003 © Springer-Verlag 2003

Abstract Previous studies have shown that basal-type cytokeratins (CKs) can distinguish usual ductal hyperplasia (UDH) from the spectrum of atypical ductal hyperplasia (ADH), ductal carcinoma in situ (DCIS) and lobular carcinoma in situ (LCIS). Indeed, expression of these CKs is weak or absent in ADH, DCIS and LCIS. However, the diagnostic usefulness of D5/16B4 antibody (anti-CK5/6) has never been compared with that of $34\beta E12$ antibody (anti-CK1/5/10/14). We performed immunostaining of CK 5/6 and CK1/5/10/14 on 100 breast lesions, including UDH (n=31), ADH (n=5), DCIS (n=54) and LCIS (n=10). Abundant immunostaining was observed in all UDH using both antibodies. Four of five of the ADH cases showed less than 5% of CK5/6 stained cells, the remaining case showed 30% of labeled cells. With $34\beta E12$ antibody, three of five of the ADH cases showed less than 5% labeled cells, while two cases showed more than 30% of stained cells. None of the 54 DCIS or the 10 LCIS was labeled by D5/16B4, while a lack of $34\beta E12$ immunostaining was observed in only 15 of 54 DCIS and 2 of 10 LCIS. We confirmed that D5/ 16B4 antibody directed against CK5/6 is useful in distinguishing UDH from the spectrum of ADH/DCIS/ LCIS. We also demonstrated that D5/16B4 is far a more specific marker than $34\beta E12$ antibody.

Keywords Cytokeratin 5/6 · Breast · Hyperplasia · Atypical hyperplasia · Ductal and lobular carcinoma in situ

20-24 rue du pont Saint Pierre, 31052 Toulouse Cedex, France e-mail: rochaix@icr.fnclcc.fr Tel.: +33-5-61424231

Fax: +33-5-61424602

Introduction

Epithelial proliferations of the breast encompass a variety of proliferative and neoplastic lesions. Those formed in the terminal ductal-lobular units are categorized as ductal hyperplasia of usual type (UDH) or epitheliosis, atypical ductal hyperplasia (ADH), ductal carcinoma in situ (DCIS) and lobular carcinoma in situ (LCIS). The clinical management of these differs as the related risk of developing an invasive carcinoma is different in each case [1, 8, 18, 20, 26]. Distinction between these lesions is based on cyto-architectural analysis [24]. However, distinction often remains a challenge, as shown by the lack of interobserver reproducibility [17]. Some authors have proposed quantitative data as a useful means in separating ADH from DCIS, but controversies still exist regarding which criterion to apply [25, 26].

Immunohistochemistry may be of useful diagnostic assistance, as the expression of several molecules has been correlated to specific types of epithelial proliferations [22, 27]. Several studies have investigated the value of cytokeratin (CK) expression in such lesions [3, 7, 9, 10, 11, 13, 15].

Normal breast epithelium is complex and known to have three population cells, defined by the CK immunoprofile. The luminal layer is composed of a dual population, one of glandular-type epithelial cells associated with simple-epithelium keratins (CK7, CK8, CK18, CK19), and one of basal-type epithelial cells expressing basal-type keratins (CK5, CK14, CK17) but not smooth muscle actin α (SMA α) [2, 3, 9, 11, 14, 28]. The basal layer is composed of myoepithelial cells expressing basaltype keratins (CK5, CK14, CK17) and SMA α [2, 7, 9, 28]. Immunohistochemical studies with anti-CK antibodies have provided evidence for a distinct phenotype between UDH and the spectrum of ADH/DCIS. UDH is composed of a dual population, one associated with simple-epithelium keratins, and one associated with basal-type keratins [3, 7, 11, 13, 14, 15]. In contrast, previous studies have shown that most of ADH/DCIS are composed of a homogeneous population associated with

M. Lacroix-Triki · E. Mery · J.-J. Voigt · L. Istier · P. Rochaix (💌) Laboratoire d'Anatomie et de Cytologie Pathologiques,

Institut Claudius Regaud,

simple-epithelium keratins [3, 9, 11, 13, 15, 28]. However, the immunohistological distinction between UDH and ADH/DCIS/LCIS is not always clear, as basal-type keratins may sometimes be found in ADH, DCIS and LCIS [3, 9, 13, 15].

Only rare studies of these lesions have investigated the practical value of CK5/6 immunostaining with D5/16 B4, a commercially available anti-basal-type keratin monoclonal antibody (mAb) which does not react with CK14 and which can be routinely used on formalin-fixed, paraffin-embedded specimens [4, 14]. Furthermore, no study has yet compared the CK5/6 immunostaining with the 34β E12 antibody (anti-CK 1/5/10/14) immunostaining in these lesions. Herein, we report the comparison of CK5/6 and 34β E12 labelings in 100 breast lesions including UDH, ADH, DCIS and LCIS.

Materials and methods

Case selection

All the specimens studied were obtained from the surgical pathology file of the Department of Pathology at the Institut Claudius Regaud, Toulouse, France, during the year 1999. No patient had previously received hormone or cytotoxic therapy. Sections stained with hematoxylin and eosin were reviewed independently by five pathologists (three seniors and two junior residents), and diagnosis discrepancies were resolved during a multi-head microscope session. The sections consisted of 100 consecutive female breast intraepithelial lesions showing either UDH (n=31), ADH (n=5), DCIS (n=54) and LCIS (n=10). The lesions were classified according to the criteria of Tavassoli et al. [24, 26]. DCIS cases were classified according to the Van Nuys grading system [21], based on nuclear grade and necrosis. The 54 specimens of DCIS were categorized as follows: 30 cases of grade 1, 12 cases of grade 2 and 12 cases of grade 3.

The tissue samples were fixed in formalin (32 cases) or in ethanol-based Bouin'fluid (Duboscq-Brasil) (68 cases) and paraffin embedded.

Immunohistochemical techniques

Immunohistochemistry was performed on 4- μ m-thick routinely processed paraffin sections. A prior antigen retrieval based on microwave oven heating in 10 mM citrate buffer, pH 6, was used for all the immunostainings [19]. The primary monoclonal antibodies used were directed against keratins 5 and 6 (clone D5/ 16 B4; Dako, Glostrup, Denmark; dilution 1:100), and keratins 1, 5, 10 and 14 (clone $34\beta E12$; Dako, dilution 1:200). The slides were processed using a Techmate Horizon (Dako) slide processor. Antibodies were incubated for 1 h and revealed using a streptavidin-biotin complex reagent (StrepABComplex/HRP Duet, Dako) according to the manufacturer's protocol. The chromogenic substrate was DAB (3,3'-diaminobenzidine). Slides were counterstained with hematoxylin. Staining was cytoplasmic, with or without membrane cell enhancement. Intensity of this staining was graded from weak to strong. Adjacent normal epithelial structures were used as positive (basal and myoepithelial cells) and negative (glandular cells) controls. Non-tumoral peripheral (myoepithelial) cell labeling was not taken into account. The percentage of labeled tumoral cells was reported for each case.

Normal breast

All of the 100 specimens had normal breast tissue next to the lesion. Normal tissue showed a slightly different reactivity with CK5/6 and 34β E12 mAbs: both antibodies stained heterogeneously peripheral myoepithelial cells, but CK5/6 mAb stained a few luminal epithelial cells while 34β E12 mAb stained most luminal epithelial cells. Intensity of the staining was moderate to strong with both mAbs. Number of epithelial and myoepithelial labeled cells varied greatly from case to case and even within a single case. Columnar cell and apocrine metaplasias showed no reactivity with CK5/6 mAb, whereas a weak immunostaining was observed with 34β E12 mAb in both metaplasias. The pattern and intensity of the immunostainings were not modified by the fixative used.

Ductal hyperplasia of usual type

The results of the UDH immunostainings are summarized in Fig. 1. The UDH immunostainings obtained using CK5/6 and 34β E12 mAbs were very similar. All the cases showed an intense intracytoplasmic staining with cell membrane enhancement of more than 50% of the intraluminal cells with both antibodies (Fig. 2).

Ductal carcinoma in situ

The results of the DCIS immunostainings are summarized in Fig. 1. All 54 cases of DCIS showed less than 51% of CK5/6 stained cells (Fig. 1). However, the labeled cells seem to be residual normal cells, as they were easily distinguished from tumoral cells according to their different morphological features (Fig. 2). Indeed, labeled cells were flattened and showed no cytological atypia. Moreover, they were often lifted by carcinomatous cells from the basal myoepithelial layer, as in a pagetoid pattern. Therefore, taking these distinctive and previously described features [14, 15] into account, careful microscopic examination showed that no carcinomatous cell was labeled by CK5/6 mAb in all DCIS cases (Fig. 3).

In contrast, in addition to residual cells, a large proportion of carcinomatous cells were stained by $34\beta E12$ mAb in DCIS cases (Fig. 1 and Fig. 3). These cells were moderately labeled in comparison with the intense staining observed in residual cells. More than 50% of labeled cells were observed in ten cases, and a very strong reaction (more than 70% of stained cells) was observed in four of the cases (Fig. 3). The $34\beta E12$ immunostaining results did not differ according to the Van Nuys grade (Fig. 4).

Fig. 1 Comparative percentage results of CK5/6 and $34\beta E12$ labeled cells according to the mammary ductal and lobular proliferation type [31 cases of usual ductal hyperplasia (UDH), 5 cases of atypical ductal hyperplasia (ADH), 54 cases of ductal carcinoma in situ (DCIS) and 10 cases of lobular carcinoma in situ (LCIS)]. Peripheral myoepithelial cell labeling was not taken into account



Atypical ductal hyperplasia

Lobular carcinoma in situ

The results of the ADH immunostainings are summarized in Fig. 1. All five cases of ADH showed less than 50% of CK5/6 stained cells. The staining concerned 30% of cells in one case and was very patchy (less than 5% of stained cells) in four cases. Not surprisingly, the labeled cells in these four cases shared the same morphological features as the residual cells in DCIS. They were often isolated and lifted by negative cells (Fig. 2).

Four cases of the ADH showed less than 50% of $34\beta E12$ stained cells, while more than 50% of labeled cells were observed in one case. These results were similar to those obtained in the DCIS cases.

The results of the LCIS immunostainings are summarized in Fig. 1 and Fig. 3. The CK5/6 immunostaining pattern was most often characterized by nonreactive neoplastic cells, sometimes surrounded by immunoreactive nonneoplastic residual cells in the ductal extension of the lesion (Fig. 2). Therefore, none of the LCIS showed CK5/6 immunostaining.

In contrast, $34\beta E12$ mAb labeled more than 50% of the cells in most of the LCIS (eight) cases. The staining was weak-to-moderate and cytoplasmic in a perinuclear pattern.

Fig. 2 Comparative immunostainings with D5/16 B4 (anti CK5/6, right side) and 34β E12 (anti-CK1/5/10/14, left side) antibodies. Ductal hyperplasia (A and A', $\times 200$): immunostaining of epithelial and myoepithelial cells is quite similar with the two antibodies. Atypical ductal hyperplasia (B and **B**', $\times 100$): some proliferative epithelial cells are weekly stained by $34\beta E12$ mAb, while only residual normal cells are stained by D5/16B4 mAb. Ductal carcinoma in situ (C and C', ×200) and lobular carcinoma in situ (**D** and **D**', $\times 200$): immunostaining of several carcinomatous cells with $34\beta E12$ but not with D5/16B4 mAb. Residual entrapped normal cells are strongly marked with both antibodies



Discussion

Normal breast epithelium is a "mixed" bistratified glandular epithelium composed of three populations of cells, i.e., glandular-type epithelial, basal-type epithelial and myoepithelial cells. Earlier immunohistochemical reports provided evidence that each UDH is composed of a biphasic population, one type associated with simpleepithelium keratins, the other associated with basal-type keratins. In contrast, most DCIS and ADH cases have been reported to be composed of a predominantly or exclusively monophasic population of cells expressing simple-epithelium type keratins; but, in a minority of cases, tumoral cells expressed basal-type CK [3, 9, 11, 13, 14, 15, 28].

Fig. 3 Comparative percentage results of CK5/6 and 34β E12 neoplastic labeled cells according to the mammary ductal and lobular proliferation type [31 cases of usual ductal hyperplasia (UDH), 5 cases of atypical ductal hyperplasia (ADH), 54 cases of ductal carcinoma in situ (DCIS) and 10 cases of lobular carcinoma in situ (LCIS)]. Residual entrapped normal cell labeling was not taken into account



The majority of previous studies of the basal-type CK in in situ breast lesions used antibodies directed against at least the CK14 or CK17 [2, 3, 11, 13, 15, 28]. Antibody directed against CK5 (clone D5/16 B4) without cross reactivity against CK14 or CK17 has been rarely used [14].

The present study is the first to evaluate the practical value of CK5/6 expression in in situ epithelial breast lesions as a comparative study with 34β E12 mAb. The pattern of reactivity of CK5/6 mAb in normal breast was not exactly the same as that of 34β E12 mAb. Both antibodies reacted with some epithelial and myoepithelial cells in normal ducts and lobules as previously described for 34β E12 mAb. However, this later antibody labeled

more cells in the inner layer of the ductal epithelium. Therefore, one can hypothesize that CK5/6 mAb is less sensitive for the basal cell detection than 34β E12 mAb. Another explanation could be that 34β E12 mAb not only labels basal cells, but also some glandular cells that express CK14 but not CK5/6, leading to this immunostaining discrepancy.

The present results demonstrate that CK5/6 is strongly expressed in all cases of UDH (31 of 31). This result is in agreement with that we obtained with 34β E12 mAb and those previously reported in the literature [2, 11, 13, 15, 28]. This result also demonstrates that most of the cells in UDH have a true basal-cell immunophenotype, not only due to CK14 but also to CK5 or, less probably, to CK6.

Fig. 4 Percentage results of $34\beta E12$ neoplastic labeled cells in the 54 ductal carcinoma in situ (DCIS) cases according to the Van Nuys grade



In contrast, CK5/6 and 34β E12 immunostainings were significantly different in atypical/malignant in situ lesions. CK5/6 immunostaining was negative, while 34β E12 mAb labeled more than 50% of cells in 31% of atypical/malignant lesions.

Our results with $34\beta E12$ mAb in ADH/DCIS appear higher than those of previous studies performed using $34\beta E12$ mAb [13, 15, 23]. One can attribute this discrepancy to technical parameters such as antibody dilution or the type of fixative used. Indeed, in our study, the staining intensity was most often low in these lesions, even if the percentage of labeled cells was high (Fig. 2). This staining might not have been detected if a higher antibody dilution had been used. The different fixatives used could also partially explain our results, but no modification of the pattern of staining was observed with regard to the fixative used. However, the staining was a specific one, as negative controls were not labeled without background staining.

CK5/6 immunostaining appears to be much more specific than the $34\beta E12$ immunostaining in distinguishing between UDH and ADH/DCIS/LCIS. Thus, this antibody can be helpful in differential diagnosis between UDH and ADH/DCIS/LCIS when cyto-architectural diagnosis is difficult. CK5/6 use allows for a more simple approach than those used in a recent study to improve $34\beta E12$ mAb specificity, which combined percentage of labeled cells and staining intensity [13].

ADH lesions share similar CK5/6 and 34β E12 immunophenotype with DCIS. The diagnosis of ADH was made according to Tavassoli's criteria, i.e., when the lesions displayed cyto-architectural aspects similar to DCIS but were lower than 2 mm in diameter, or when the cells displayed atypia but did not fulfill all the DCIS criteria [26]. The "DCIS-like" immunophenotype of the ADH cells and the loss of the biphasic population suggests a common pathogenetic mechanism with DCIS, leading to basal-type keratin loss and clonal proliferation. In these particularly difficult-to-recognize lesions, CK5/6 immunostaining appears to be a very helpful tool.

For the first time, we report here the immunostaining of LCIS using 34/3E12 and CK5/6 mAbs. The LCIS CK5/ 6 immunophenotype was close to that of DCIS, implying that CK5/6 mAb cannot be used to distinguish solid variant of low-grade DCIS that mimics LCIS from true LCIS. And yet these lesions, which have overlapping ductal and lobular morphological features, must be separated, as they have different clinical implication. Interestingly, we frequently observed more than 50% of 34β E12 immunostained cells in LCIS. This important 34β E12 mAb labeling was much more frequent in LCIS (8 of 10 cases) than in DCIS (24% of the cases). These results are in accordance with those of Bratthauer et al. (2002), which have demonstrated that lobular lesions show cytoplasmic reactivity with $34\beta E12$ mAb, often in a perinuclear pattern, and lack any immunoreactivity for Ecadherin [5]. Bratthauer et al., therefore, suggested that the combination of $34\beta E12$ and E-cadherin mAbs could be useful in distinguishing lobular and ductal lesions that have overlapping morphological features [5].

One may infer that the most frequent staining observed with $34\beta E12$ mAb in DCIS and LCIS lesions is due to CK14 detection. Previous studies that used other antibodies have shown that CK14 expression signifies the worst prognosis in infiltrative carcinomas [6, 12, 16]. Further studies are needed to evaluate the prognostic value of $34\beta E12$ mAb staining in in situ lesions.

In conclusion, anti-CK5/6 mAb appears to be a new, very sensible and specific tool to assist in the differential diagnosis between benign and malignant in situ epithelial proliferations of the breast—far more specific than the 34β E12 mAb.

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