### **ORIGINAL ARTICLE**



# **A verifcation phase adds little value to the determination of maximum oxygen uptake in well‑trained adults**

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### **Abstract**

**Purpose** The objective was to investigate if performing a sub-peak or supra-peak verifcation phase following a ramp test provides additional value for determining 'true' maximum oxygen uptake  $(\rm VO<sub>2</sub>)$ .

**Methods** 17 and 14 well-trained males and females, respectively, performed two ramp tests each followed by a verifcation phase. While the ramp tests were identical, the verifcation phase difered in power output, wherein the power output was either 95% or 105% of the peak power output from the ramp test. The recovery phase before the verifcation phase lasted until capillary blood lactate concentration was  $\leq 4$  mmol·L<sup>-1</sup>. If a VO<sub>2</sub> plateau occurred during ramp test, the following verification phase was considered to provide no added value. If no  $\rm{VO}_2$  plateau occurred and the highest  $\rm{VO}_2$  ( $\rm{VO}_{2peak}$ ) during verification phase was <97%, between 97 and 103%, or > 103% of  $\rm{VO}_{20eak}$  achieved during the ramp test, no value, potential value, and certain value were attributed to the verifcation phase, respectively.

**Results** Mean (standard deviation)  $VO_{2peak}$  during both ramp tests was 64.5 (6.0) mL·kg<sup>-1</sup>·min<sup>-1</sup> for males and 54.8 (6.2) mL·kg<sup>-1</sup>·min<sup>-1</sup> for females. For the 95% verification phase, 20 tests showed either a VO<sub>2</sub> plateau during ramp test or a verification  $\rm{VO}_{2n\text{e}ak}$  < 97%, indicating no value, 11 showed potential value, and 0 certain value. For the 105% verification phase, the values were 26, 5, and 0 tests, respectively.

**Conclusion** In well-trained adults, a sub-peak verification phase might add little value in determining 'true' maximum VO<sub>2</sub>, while a supra-peak verifcation phase adds no value.

**Keywords** Cardiopulmonary exercise tests  $\cdot$  Oxygen consumption  $\cdot$  Verification test  $\cdot$  VO<sub>2max</sub>  $\cdot$  VO<sub>2peak</sub>

#### **Abbreviations**



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# **Introduction**

The maximum oxygen uptake  $(\dot{V}O_{2max})$  is generally considered the gold standard for assessing cardiorespiratory ftness (Hill and Lupton [1923\)](#page-11-0), widely applied to evaluate the efficacy of exercise intervention programs and changes in physical ftness (Blair et al. [1995\)](#page-10-0), and used to predict all-cause mortality (Blair et al. [1995;](#page-10-0) Laukkanen et al.  $2016$ ). VO<sub>2max</sub> represents the upper limit of the physiological oxygen transport and utilization system (Bassett [2002](#page-10-1); Fletcher et al. [2013;](#page-11-2) Franklin [2007;](#page-11-3) Poole and Jones [2017\)](#page-12-0). It is determined by cardiopulmonary exercise testing (CPET) (Bassett [2002](#page-10-1)). The primary criterion for determining the attainment of a 'true'  $\rm \dot{VO}_{2max}$ , and thus the highest physiologically achievable value during CPET, is the occurrence of a  $\dot{V}O_2$  plateau in the severe intensity domain (Howley et al. [1995](#page-11-4); Niemeyer et al. [2021](#page-11-5); Poole and Jones  $2017$ ). However, even at maximum effort, a  $VO<sub>2</sub>$  plateau at the end of ramp-based CPET is identified in less than half of the participants (Knaier et al. [2019](#page-11-6); Lucía et al. [2006;](#page-11-7) Wagner et al. [2020](#page-12-1); Day et al. [2003](#page-11-8)). In the absence of a  $\dot{V}O_2$  plateau, secondary  $\dot{V}O_{2\text{max}}$  criteria including percentage of age-predicted maximum heart rate, respiratory exchange ratio, and rating of perceived exertion are commonly used to diagnose 'true'  $\rm \dot{VO}_{2max}$ (Knaier et al. [2019](#page-11-6); Midgley et al. [2007](#page-11-9)). However, the major criticism of the current VO<sub>2max</sub> criteria, including  $\rm{VO}_2$  plateau and secondary  $\rm{VO}_{2max}$  criteria, is that they are often sensitive to exercise test protocol, exercise type, and participant characteristics (Midgley et al. [2007](#page-11-9)). Too low or too high criteria thresholds lead to over- or underestimation, respectively, of participant's exhaustion, resulting in misclassification of 'true'  $\rm\dot{VO}_{2max}$  (Knaier et al. [2019\)](#page-11-6).

An alternative to  $\text{VO}_2$  plateau and secondary  $\text{VO}_2$ <sub>max</sub> criteria could be the so-called verifcation phase. This is an additive constant load exercise test to the limit of exercise tolerance performed directly after a brief recovery phase following a ramp-based CPET (Midgley et al. [2007](#page-11-9); Poole and Jones [2017;](#page-12-0) Scharhag-Rosenberger et al. [2011](#page-12-2); Rossiter et al. [2006](#page-12-3)). Concordance between the highest  $\rm{VO}_2$  ( $\rm{VO}_{2\rm{peak}}$ ) values achieved in the verification phase and ramp-based CPET (usually within 2–3% according to the  $VO_{2max}$  test–retest reliability) provides evidence of achievement of 'true'  $\rm \dot{VO}_{2max}$  during CPET (Costa et al. [2021;](#page-11-10) Dalleck et al. [2012\)](#page-11-11). To date, several authors have reported such verification of 'true'  $\rm{VO}_{2max}$  (Costa et al. [2021](#page-11-10)). Accordingly, mean  $\rm{VO}_{2peak}$  in the verification phase did not differ from mean VO<sub>2peak</sub> determined in a rampbased CPET (Costa et al. [2021\)](#page-11-10). One argument for implementing a verification phase to determine  $\rm{VO}_{2max}$  is that a higher incidence of successfully verified VO<sub>2max</sub> compared with the incidence of  $\text{VO}_2$  plateau during a rampbased CPET has been documented (Midgley et al. [2006](#page-11-12); Scharhag-Rosenberger et al. [2011](#page-12-2)). Although numerous studies have investigated the efectiveness of verifcation phase for determining  $\rm \dot{VO}_{2max}$ , there is currently no established recommendation for the ideal implementation of a verifcation phase and its clear added value. Thus, various verifcation phase protocols with diferent intensity and recovery phase duration have been applied so far (Costa et al. [2021\)](#page-11-10).

Several studies have performed a verifcation phase with a supra-peak load, i.e., intensities above the peak power output (PPO) achieved during ramp-based CPET. The rationale underlying this is that during a constant exercise test above PPO,  $\rm \dot{V}O_{2}$  increases to  $\rm \dot{V}O_{2max}$  and thus provides a second  $\rm{VO}_{2max}$  value that can be compared to the previous one (Hill and Ferguson [1999](#page-11-13); Hill and Smith [1999;](#page-11-14) Poole et al. [1988,](#page-12-4) [1990\)](#page-12-5). However, this is only possible if premature exercise intolerance does not intervene (Jones et al. [2011](#page-11-15); Poole and Jones [2017](#page-12-0)). To be considered, however, for endurance-trained participants, work rate increase in ramp-based CPET is rather high to prevent exceeding optimal test duration (Midgley et al. [2008](#page-11-16); Yoon et al. [2007\)](#page-12-6). This can cause excessive peak intensity in the verifcation phase that cannot be sustained long enough for  $\text{VO}_2$  kinetics to enable  $\text{VO}_2$ <sub>max</sub> to be reached (Iannetta et al.  $2020$ ). For trained athletes with fast  $\rm \dot{VO}_{2}$ kinetics, it requires approximately 2:00 min to achieve  $VO<sub>2max</sub>$  (Caputo and Denadai [2008\)](#page-10-2). However, in most studies using supra-peak intensity, verifcation phase duration was less than 2:00 min (Niemeyer et al. [2021](#page-11-5)). For example, a recent published study by Wagner et al. [\(2021\)](#page-12-7) implementing a verifcation phase in well-trained adults with a supra-peak intensity of 105% of the PPO revealed little added value of a verifcation phase for determining  $\rm{VO}_{2\text{max}}$ . This little added value was likely due to the fact that the supra-peak load, based on a previously performed ramp-based CPET with a rather high increase in work rate, could not be sustained for a sufficient duration to allow  $\rm \dot{VO}_2$  to increase to  $\rm \dot{VO}_{2max}$  (Wagner et al. [2021\)](#page-12-7). Hence, the question arises whether a supra-peak verifcation phase can have additional value in determining  $\rm{VO}_{2max}$ . It might be benefcial to conduct a verifcation phase below PPO since the loading can be sustained for a longer duration due to the reduced intensity. Indeed, not the PPO but rather the critical power represents the threshold intensity beyond which  $\rm{VO}_{2max}$  can theoretically be evoked (Hill and Ferguson [1999](#page-11-13); Hill and Smith [1999;](#page-11-14) Poole et al. [1988,](#page-12-4) [1990](#page-12-5)). Thus, verifcation phase with exercise intensities above the critical power but below the PPO might be benefcial for determining  $\rm{VO}_{2max}$ , particularly if the work rate increase of the ramp-based CPET is rather high (Iannetta et al. [2020\)](#page-11-17).

Besides the intensity of the verifcation phase, the duration of the recovery phase between the ramp-based CPET and verifcation phase should be considered. Previous investigations found no signifcant efect of recovery phase duration on the difference between  $\rm{VO}_{2\rm{peak}}$  achieved in a ramp test and verifcation phase (Costa et al. [2021\)](#page-11-10). Regardless of the recovery phase lasting less than 15 min (Foster et al. [2007;](#page-11-18) Rossiter et al. [2006](#page-12-3); Scharhag-Rosenberger et al. [2011](#page-12-2); Sedgeman et al. [2013](#page-12-8)) or 24 h (Scharhag-Rosenberger et al.  $2011$ ), an identical mean  $\rm \dot{VO}_{2peak}$  was obtained in the ramp test and verifcation phase. However, it is already known that prior vigorous or severe exercise increasing baseline blood lactate concentration to approximately  $3-5$  mmol $\cdot L^{-1}$ , improves subsequent high-intensity cycling performance in well-trained adults (Burnley et al. [2005](#page-10-3)). Additionally, prior vigorous exercise has been shown to efectively accelerate the  $\rm VO_2$  response to exercise (Wilkerson et al. [2004](#page-12-9)) and increase the time to the limit of exercise tolerance during subsequent supra-peak exercise (Jones et al. [2003\)](#page-11-19). Therefore, the question arises whether an optimal determination of the recovery phase duration, adapted to the individual blood lactate concentration of each participant, can result in an increased added value of a verifcation phase. To the author's knowledge, this has not been investigated in any study to date (Costa et al. [2021\)](#page-11-10).

In previous analyses,  $\rm{VO}_{2peak}$  attained during CPET has mostly been compared to verification  $\rm{VO}_{2\rm{peak}}$  only on group level (Costa et al. [2021](#page-11-10)), and the proportion of participants in whom  $VO_{2<sub>max</sub>}$  could actually be verified was only reported in a few studies (Bowen et al. [2012;](#page-10-4) Schaun et al. [2021](#page-12-10); Wagner et al. [2021;](#page-12-7) Murias et al. [2018](#page-11-20); Mier et al. [2012\)](#page-11-21). In addition, most studies focused only on the incidence of 'successful' verifcation and failed to capture the actual added value of conducting such verifcation phases in consideration of previously achieved VO<sub>2</sub> plateaus or the attainment of secondary  $\rm \dot{VO}_{2max}$  criteria (Costa et al. [2021](#page-11-10); Schaun [2017](#page-12-11); Niemeyer et al. [2021](#page-11-5)).

Thus, this study aimed to investigate the usefulness of a verifcation phase protocol in which the factors of intensity and recovery phase duration are implemented optimally and individually for determining  $\rm{VO}_{2max}$  in well-trained male and female adults. In addition, the study aimed to clarify the added value of this verifcation phase protocol in relation to the presence of a  $\dot{V}O_2$  plateau and achievement of secondary  $\rm \dot{VO}_{2max}$  criteria during previous ramp-based CPET testing.

### **Materials and methods**

#### **Study design**

This study was a cross-sectional single-center randomized study conducted at the Department of Sport, Exercise and Health at the University of Basel, Switzerland. The study was conducted between September 2020 and June 2021 under consistent conditions (air humidity, 40–55%; room temperature, 20–22 °C). All procedures were approved by the Ethics Committee of Northwestern and Central Switzerland (EKNZ-2019-01697). Written informed consent was obtained from all participants before the start of the study. Participants attended two study visits, with a recovery phase of at least 24 h in between, and over a period of eight to ten days. To ensure equal testing conditions for all participants, standardized procedures and instructions were used. On both days, participants performed CPET using a ramp protocol followed by a verifcation phase. While the ramp tests were identical on both days, the verifcation test differed in workload. One verifcation phase was with sub-peak load (i.e., 95% of PPO achieved during ramp test) and the other was with supra-peak load (i.e., 105% of PPO achieved during ramp test). The order of the verifcation phase tests was randomized.

#### **Participants**

Eligibility criteria for the study were age between 18 and 39 years, body mass index  $\leq$  27 kg/m<sup>2</sup>, and high cardiorespiratory fitness. Exclusively participants with a  $\rm{VO}_{2max}$ score≥95th percentile (i.e.,≥55 mL·kg−1·min−1 for males and≥51 mL·kg−1·min−1 for females) according to normative data of the American College of Sports Medicine references were considered eligible (ACSM [2010](#page-10-5)). Exclusion criteria were cardiovascular diseases, febrile infections within the past 14 days, any type of diabetes mellitus, hypertension (systolic blood pressure>160 mmHg; diastolic blood pressure > 100 mmHg), and participation in another clinical trial within the past four weeks. Participants were instructed to maintain a balanced diet and adequate water intake 72 h before the laboratory appointments, refrain from exercising and drinking alcohol 24 h before, abstain from cafeine four hours before, refrain from eating two hours before, and to go to bed early the night before the measurement.

#### **Acquisition of participant characteristics**

To assess participants' pre-exercise risk stratifcation, a questionnaire was completed (Shephard [1988](#page-12-12)) and a resting 12-lead electrocardiogram was recorded before the frst measurement. Participants answering 'yes' to any of the questions of the questionnaire and/or exhibiting abnormalities in the electrocardiogram were examined by a physician before participating in the study. Body height and weight were determined to the nearest 0.5 cm and 0.1 kg, respectively. Participants' body fat content and lean body mass were determined using a four-segment bioelectrical impedance analysis (Inbody 720; Inbody Co. Ltd., Seoul, South Korea) at both laboratory appointments before each CPET.

#### **Cardiopulmonary exercise testing**

During both laboratory appointments, CPET using a ramp protocol to the limit of exercise tolerance followed by a verifcation phase were performed on a cycle ergometer (Sport Excalibur; Lode Medical Technology, Groningen, The Netherlands). Participants were allowed to choose their pedaling cadence as long as it was maintained above 60 rpm. Throughout the exercise test, participants were verbally encouraged to reach the limit of their exercise tolerance. After a 3-min warm-up at 50 W, the work rate increased linearly with 30 W per minute until exercise intolerance. The ramp test was followed by a recovery phase at 50 W. The recovery phase lasted until capillary blood lactate concentration was  $\leq$ 4 mmol⋅L<sup>-1</sup> ensuring sufficient recovery before the verification phase. Thus, the recovery phase duration difered among participants, but was limited to 30 min, regardless of whether the value was reached or not. The rationale for this cut-off value is that exercise tolerance might be increased during a subsequent exercise bout if the recovery phase duration is long enough to reduce blood lac-tate concentration below 3–5 mmol⋅L<sup>-1</sup> (Bailey et al. [2009](#page-10-6); Burnley et al. [2005\)](#page-10-3). Subsequent to the recovery phase, one of the two verifcation phase tests was performed to confrm the 'true'  $\rm{VO}_{2\rm{max}}$  of the ramp test. Throughout the entire exercise testing, gas exchange was continuously measured breath-by-breath (MetaMax 3B; Cortex Biophysik GmbH, Leipzig, Germany). Data were averaged across 10-s intervals for analysis.  $\rm \dot{VO}_{2peak}$  was defined as the highest consecutive 30 s of  $\dot{V}O_2$  and maximal respiratory exchange ratio as the highest value during the exercise testing. Heart rate was continuously recorded using a 12-channel electrocardiograph (Custo med GmbH, Ottobrunn, Germany). Rating of perceived exertion was assessed using the Borg scale 6–20 (Borg [1982](#page-10-7)) at rest, after warm-up, every 3 min during the ramp test to the limit of exercise tolerance, every 3 min during the recovery phase, and at the end of the verifcation phase. Capillary blood lactate concentration was analyzed using 10 μL of blood drawn from the earlobe at rest, after warm-up, immediately after reaching the limit of exercise tolerance during the ramp test, every 3 min during the recovery phase, and at the end of the verifcation phase (SuperGL Ambulance, Hitado Diagnostic Systems, Moehnesee, Germany). The highest value measured was defned as maximum blood lactate concentration. Before each study visit, volume and two-point gas concentration calibration were performed on the respective metabolic cart.

A  $\text{VO}_2$  plateau was defined as an increase in  $\text{VO}_2$  < 50% during the fnal 50 W of the ramp test compared to the individual increase in the submaximal intensity domain (Nie-meyer et al. [2020](#page-11-22)). For this purpose, we calculated the slope of the  $\rm\ddot{V}O_{2}$ -work rate relationship of the final 50 W and of the submaximal intensity domain (from 80 W to PPO-60 W) using linear regression analyses. As previously described, this definition allows the diagnosis of a VO<sub>2</sub> plateau with a risk of false plateau diagnoses of less than 5% (Niemeyer et al. [2020](#page-11-22)). Further, if the verification  $\rm{VO}_{2peak}$  was  $\pm 3\%$  of the  $\rm{VO}_{2neak}$  achieved in the ramp test,  $\rm{VO}_{2max}$  verification was accepted (Costa et al. [2021](#page-11-10); Nolan et al. [2014\)](#page-12-13). Secondary  $\rm{VO}_{2max}$  criteria were also analyzed to verify  $\rm{VO}_{2max}$  in the ramp test. These were defned as maximal respiratory exchange ratio  $\geq$  1.13, maximal heart rate  $\geq$  93% of 208-(0.7·age), maximal heart rate  $\geq$  96% of 210-age, and rating of perceived exertion≥19 (Knaier et al. [2019;](#page-11-6) Wagner et al. [2020](#page-12-1)).

### **Data analysis**

Data in text are presented as mean (standard deviation [SD]) unless noted otherwise. Descriptive statistics were applied to present participant characteristics, the results of both ramp

tests, and the corresponding verifcation phase. A scatterplot was used to display the percentage of verifcation V*̇*  $O_{2peak}$  (Fig. [1\)](#page-4-0). The percentage was computed by dividing the verification  $\rm{VO}_{2peak}$  by the  $\rm{VO}_{2peak}$  achieved in the ramp test. To examine the additive value by performing a verifcation phase on the determination of the 'true'  $\rm VO_{2max}$ , the percentage of tests was calculated for each of the subsequent three conditions: (1) no added value, (2) potential added value, and (3) certain added value (Fig. [2\)](#page-5-0). No added value was defined if a  $\rm \dot{VO}_2$  plateau occurred during the ramp test, indicating by itself that  $\rm{VO}_{2max}$  was achieved, or if no  $\rm{VO}_2$ plateau occurred and verification  $\rm \dot{VO}_{2peak}$  was <97% of the  $\rm{VO}_{2neak}$  achieved in the ramp tests. Potential added value was defined if no  $\rm{VO}_2$  plateau occurred and verification  $\rm \dot{VO}_{2peak}$  was between 97 and 103% of the  $\rm \dot{VO}_{2peak}$  achieved in the ramp test. This condition can be caused by two options: first  $\rm{VO_{2max}}$  was reached in the ramp test and confirmed by the verification  $\rm \dot{VO}_{2peak}$ ; second  $\rm \dot{VO}_{2max}$  was not reached in the ramp test but the time to the limit of exercise tolerance in the verifcation phase was too short to disprove a low  $\rm{VO_{2max}}$ . Certain added value was defined if no  $\rm{VO}_2$  plateau occurred and verification  $\rm{VO}_{2peak}$  was > 103% of the  $\rm\dot{VO}_{2neak}$  achieved in the ramp test, suggesting that the verifcation phase was efective in disproving a low  $\rm \dot{VO}_{2max}$ . The probability of verifying the occurrence of a  $VO<sub>2max</sub>$  during a ramp test with a verification phase depends on the time to reach the limit of exercise tolerance in the verifcation phase (Iannetta et al. [2020](#page-11-17)). Therefore, Pearson correlation between time to the limit of exercise tolerance in the verifcation phase and the diference between the  $\rm \dot{VO}_{2neak}$  achieved in the ramp test and verification phase was calculated. Descriptive data are reported as mean and SD. A signifcance level of 0.05 was used for two-sided tests. For the analyses, IBM SPSS Statistics for Mac (Version 28, IBM, Armonk, NY, USA) was used. Figures were done in R version 4.1.2 (R-Core-Team [2021](#page-12-14)).

### **Results**

### **Participants' characteristics**

Fifty-seven participants were assessed for eligibility. Seventeen participants did not meet the inclusion criteria for  $\rm{VO}_{2max}$ , one participant was excluded due to the onset of the lockdown policies caused by the coronavirus disease 2019 pandemic, and one participant dropped out for personal reasons unrelated to the study participation. The remaining 38 participants completed the two laboratory appointments, whereby the values of seven of these participants had to be excluded due to technical measurement deficiencies  $(n=3)$ , face mask leakage  $(n=2)$ , and human error  $(n=2)$ . Finally, 17 males and 14 females were included in the analysis. Mean



<span id="page-4-0"></span>**Fig. 1** Ratio of verification  $VO_{2peak}$  divided by  $VO_{2peak}$  achieved in preliminary ramp test. Presented as a percentage for all tests conducted by participants.  $T_{lim}$  time to the limit of exercise tolerance,  $\dot{V}O_{2peak}$  highest oxygen uptake

(SD) age, height, body mass, and body fat content were 23 (2) years, 176.8 (5.2) cm, 70.0 (5.2) kg, and 13.3 (5.2) %, for males (*n*=17); and 22 (2) years, 165.9 (6.0) cm, 61.2 (8.2) kg, and 18.6 (6.2) %, for females  $(n=14)$ , respectively. Mean (SD) of  $\rm\dot{VO}_{2peak}$  reached during the ramp test prior to the sub-peak verification phase was 64.5 (6.6) mL·kg<sup>-1</sup>·min<sup>-1</sup> for males and 54.9 (5.9) mL·kg<sup>-1</sup>·min<sup>-1</sup> for females. During the ramp test prior to the supra-peak verifcation phase, this value was  $64.5 (5.9)$  mL·kg<sup>-1</sup>·min<sup>-1</sup> for males and  $54.8 (6.7)$ mL·kg−1·min−1 for females.

### **Descriptive statistics**

Descriptive fndings from both ramp tests and the corresponding verifcation phase at 95% or 105% of the previously achieved PPO, respectively, are shown in Table [1.](#page-6-0)

Twelve participants did not show a  $\dot{V} > O_2$  plateau in either ramp test, while in turn, twelve participants showed a V*̇*  $O_2$  plateau in both ramp tests. In 15 (24.2%) of the 62 ramp tests, no V*̇* O2 plateau was achieved and a verifcation  $\text{VO}_{2\text{peak}}$  of less than 97% was reached (Fig. [2](#page-5-0)).

### **Additional value of verifcation phase**

Figure [1](#page-4-0) shows the percentage of VO<sub>2peak</sub> achieved in each verification phase in relation to  $\rm{VO}_{2peak}$  achieved in the previous ramp test. Further, Fig. [2](#page-5-0) presents the number of tests in which performing a verifcation phase at an intensity of 95% and 105% of the previously achieved PPO during ramp test, respectively, resulted in no added value, potential added value, and certain added value.



<span id="page-5-0"></span>**Fig. 2** Classifcation of all 95% verifcation phases (**A**) and 105% verifcation phases (**B**) performed into no added value, potential added value, and certain added value

### **Infuence of recovery phase duration**

Mean (SD) durations of the recovery phase before the 95% and 105% verifcation phase were 26:02 (3:40) minutes and 25:44 (3:22) minutes, respectively. All but one male participant had a blood lactate concentration of less than 4 mmol·L−1 by the end of the maximum 30-min recovery phase prior to both verifcation phases. Mean (SD) blood lactate concentration, heart rate, and rating of perceived exertion at the end of the recovery phase were 3.37 (0.55) mmol·L<sup>-1</sup>, 124 (13) bpm and 7.6 (1.9), immediately before the 95% verification phase; and 3.40 (0.49) mmol⋅L<sup>-1</sup>, 121 (12) bpm and 7.8 (1.9), immediately before the 105% verifcation phase, respectively.

## **Infuence of the time to the limit of exercise tolerance in verifcation phase**

Mean (SD) time to the limit of exercise tolerance for the sub-peak and supra-peak verifcation phase were 2:23 (0:24) minutes and 1:38 (0:16) minutes, respectively. The Pearson correlation between the time to the limit of exercise

tolerance in the sub-peak verifcation phase and the diference between the  $\rm \dot{VO}_{2peak}$  achieved in the ramp test and verification phase was not significant  $(r=-0.258; p>0.161)$ . Eleven of the 31 sub-peak verification tests resulted in potential value, whereas two of these eleven are unlikely to have added value because the duration of the verifcation phase was too short. In the remaining nine tests with potential value and a time to the limit of exercise tolerance longer than 2:00 min, almost all secondary  $\rm{VO}_{2max}$  criteria were reached during the previously performed ramp test. In detail, all nine participants reached a respiratory exchange ratio of  $\geq$  1.13 and a maximum heart rate  $\geq$  93% of 208-(0.7·age), whereas a maximum heart rate≥96% of 210-age and a rating of perceived exertion≥19 were both achieved by eight participants.

For the supra-peak workload, there was a signifcant negative Pearson correlation between the time to the limit of exercise tolerance in the verifcation phase and the diference between  $\rm{VO}_{2\text{peak}}$  achieved in the ramp test and verification  $\text{VO}_{2\text{peak}}$  ( $r = -0.417$ ;  $p = 0.020$ ). In only one out of five verifcation phases resulting in potential value, was the suprapeak power output maintained for  $> 2:00$  min (Fig. [2b](#page-5-0)).

<span id="page-6-0"></span>



Data are mean (standard deviation) or total numbers [percentages]

Note that ΔVO2 <50% of the corresponding increase in VO2 in the submaximal intensity domain indicates the occurrence of a VO2 plateau, and that VER/RAMP <97%, 97-103%, or >103% represent no additional, potential, or clear added value of performing a VER, respectively, without considering the occurrence of a  $\dot{V}O2$  plateau

*RAMP* ramp test, *VER95* verifcation phase at 95% of the previously achieved PPO, *VER105* verifcation phase at 105% of the previously achieved PPO, *PPO* peak power output, *Tlim* time to the limit of exercise tolerance, V*̇ O2peak* highest oxygen uptake, ∆V*̇ O2* diference between the final and second-to-final 30 Watts, *RER<sub>peak</sub>* highest respiratory exchange ratio, *HR<sub>peak</sub>* highest heart rate, *RPE<sub>peak</sub>* highest rate of perceived exertion

Thus, the supra-peak verifcation phase might have added value in only one out of 31 participants. Of note, as already seen for the sub-peak test, this one participant reached all applied cutoffs for secondary  $\rm{VO}_{2max}$  criteria in the previously conducted ramp test.

### **Discussion**

The main fnding of the present study is that sub-peak and supra-peak verifcation phases following a ramp test both add little value to determining  $\rm \dot{VO}_{2max}$  in well-trained male and female adults. For the sub-peak test,  $\rm \dot{VO}_{2max}$  could be confrmed with certain value in none and potential value

in just 11 of 31 tests. Further, in only 9 of these 11 tests, the power output was sustained long enough to even enable reaching  $\rm \dot{VO}_{2max}$ . For the supra-peak test,  $\rm \dot{VO}_{2max}$  could be confrmed with certain value in none and potential value in 5 tests, of which 4 showed an insufficient verification test duration of < 2:00 min. Half of all verifcation tests are obsolete because a  $\text{VO}_2$  plateau is evident during the ramp test. In further 25% of participants,  $\rm \dot{VO}_2$  is <97% of the ramp test, and in further 10% of participants, the verifcation phase cannot be sustained long enough to enable verification of  $VO<sub>2max</sub>$ . Hence the benefits of a verification phase seem minor in comparison to the higher burden for participants and staf.

#### **Additional value of a verifcation phase**

In the absence of a  $\rm \dot{VO}_{2}$  plateau, verification  $\rm \dot{VO}_{2neak}$  at 95% and 105% intensity was less than 97% in 35.3% and 64.3% of the tests, respectively, implying that the verifcation phase also provided no additional beneft. Furthermore, verifcation  $\rm \dot{VO}_{2neak}$  at 95% and 105% intensity was between 97 and 103% of  $VO_{2\text{peak}}$  achieved in the ramp test in 64.7% and 35.7% tests, respectively. Here, the performed verifcation phase provided a potential added value. This uncertainty relies on two options: either  $\rm{VO}_{2max}$  was already reached in the ramp test and confirmed by verification  $\rm VO_{2peak}$ , or  $\rm{VO}_{2max}$  was not reached in the ramp test but the time to the limit of exercise tolerance in the verifcation phase was too short to disprove a low  $\rm\dot{VO}_{2max}$ . Importantly, in none of the tests failing to reach a  $\dot{V}O_2$  plateau did the implementation of a verifcation phase provide certain added value. This applied to both verifcation phases at 95% and 105% of the PPO achieved in ramp test. Hence, it is evident that performing a verifcation phase does not yield any additional information on  $\rm{VO}_{2max}$  beyond what is already encompassed by the  $\rm\dot{VO}_{2}$  plateau definition.

Considering the fndings reported above, implementing our verifcation phase protocol with an optimal and individualized determination of the intensity and recovery phase duration does not seem to provide a clear additional value in determining  $\rm \dot{VO}_{2max}$  in well-trained male and female adults. These fndings support the results of a recently published meta-analysis by Costa et al. [\(2021](#page-11-10)), which examined apparently healthy adults aged between 19 and 68 years. Overall, the authors concluded that although the verifcation phase is a robust method for confirming the attainment of  $VO<sub>2max</sub>$ during a ramp test, the added value is questionable because the difference in  $\rm{VO}_{2neak}$  between ramp test and verification phase is only small (Costa et al. [2021](#page-11-10)). This agreement between the achieved  $\rm VO_{2peak}$  in the ramp test and verification phase was not infuenced by ramp test protocol, recovery phase (type and duration), and verifcation phase protocol (intensity and duration) (Costa et al. [2021](#page-11-10)).

# **Efects of the verifcation phase protocol and chosen analytical approach**

In this study, a newly conducted verifcation phase protocol was applied. Most previous studies used only supra-peak verifcation phase intensity, recovery durations between 3 and 20 min, and group-level analyses (Costa et al. [2021](#page-11-10)). Moreover, these studies only examined whether verifcation phases are valuable in determining 'true'  $\rm\dot{VO}_{2max}$  (Costa et al. [2021\)](#page-11-10). However, a more expedient question may be, if verifcation phases provide added value beyond the use of a  $\rm \dot{V}O_2$  plateau during the ramp test. The rationale for this is that the presence of a  $\text{VO}_2$  plateau can be identified during a ramp test not necessitating additional burdensome examinations. Furthermore, a  $\rm\dot{V}O_{2}$  plateau is the primary criterion to determine  $\rm \dot{VO}_{2max}$  (Howley et al. [1995;](#page-11-4) Niemeyer et al. [2021](#page-11-5); Poole and Jones [2017](#page-12-0)). In contrast, the present study investigated the usefulness of a sub-peak verifcation phase in addition to a supra-peak verifcation phase, implemented exhaustion-depended recovery duration, analyzed the collected data on an individual level, and considered the added value of a verification phase beyond a  $\dot{V}O_2$  plateau during ramp test. Rationales for choosing this verifcation phase protocol are as follows. First, considering that during supra-peak verifcation phase the limit of exercise tolerance might be reached prematurely, and thus the physical strain cannot be sustained long enough for the  $\rm\dot{VO}_{2}$  kinetics to allow  $\rm\dot{VO}_{2max}$  to be confrmed by a plateau (Caputo and Denadai [2008;](#page-10-2) Hill et al. [2002](#page-11-23)), a sub-peak verifcation phase was implemented additionally. Second, by monitoring the duration of the recovery phase before a verifcation phase through measuring blood lactate concentration, the effect of inadequate recovery can be controlled. Therefore, the occurrence of relevant disturbances in the skeletal muscle milieu due to metabolic acidosis can be excluded when analyzing the data (Schaun [2017](#page-12-11)). And third, most studies published to date have compared the  $\rm \dot{VO}_{2neak}$  achieved during ramp test and verification phase averaged across study participants (Costa et al. [2021](#page-11-10)). Indeed, Costa et al. ([2021\)](#page-11-10) concluded a successful verifcation, since there were no signifcant group-level diferences between the two  $\rm \dot{VO}_{2neak}$  values. However, this is not sufficient for the individual athlete, as the results of the ramp test and the verifcation phase need to be compared at the level of the individual athlete, taking into account an existing  $\rm VO<sub>2</sub>$ plateau, in order to determine whether the implementation of a verifcation phase can add value to determining 'true'  $\rm \dot{VO}_{2max}$ . To the authors' knowledge, although previous studies with healthy participants have performed individual analyses and assessed the achievement of a  $\rm VO_2$  plateau during the ramp test (Mier et al. [2012](#page-11-21); Murias et al. [2018](#page-11-20)), the analytical approach described above to determine the added value of a verifcation phase has never been applied in this way, except in the study by Wagner et al. [\(2021](#page-12-7)).

#### **Usefulness of sub‑peak verifcation phase**

That  $\rm{VO_{2max}}$  achieved in a ramp test can be verified by a sub-peak verifcation phase has already been shown in several studies (Day et al. [2003;](#page-11-8) Niemeyer et al. [2019](#page-11-24), [2020](#page-11-22); Rossiter et al. [2006](#page-12-3); Sedgeman et al. [2013\)](#page-12-8). The meta-analysis by Costa et al. [\(2021](#page-11-10)) underlines this fnding. Thus, differences between the  $\rm\dot{VO}_{2peak}$  achieved in a ramp test and verifcation phase do not difer as a function of the chosen verifcation phase intensity (Costa et al. [2021](#page-11-10)). However, it should be noted that verifying  $\rm \dot{VO}_{2max}$  using a sub-peak verifcation phase requires intensities above the critical power

(Hill and Ferguson [1999](#page-11-13); Hill and Smith [1999](#page-11-14); Poole et al. [1988,](#page-12-4) [1990\)](#page-12-5). Hence, determining the optimal intensity of the sub-peak power output is essential. To note, longer ramp test duration results in a PPO closer to critical power (Morton [2011](#page-11-25); Sedgeman et al. [2013](#page-12-8)). Conceivably, intensities substantially lower than the PPO might cause verifcation intensity to be below critical power and, therefore, inhibiting attainment of  $VO_{2max}$  (Sedgeman et al. [2013\)](#page-12-8). That the sub-peak intensity used in the present study is above the critical power can be confrmed by two factors: frst, the sub-peak verification phase yielded a  $\rm{VO}_{2neak}$  that was not different from the  $\rm \dot{VO}_{2peak}$  achieved during the ramp test, and second, the time to the limit of exercise tolerance could only be sustained over a period of 2:23 min. Since  $\rm{VO}_{2max}$  can only be achieved above critical power during constant exercise testing (Hill and Ferguson [1999](#page-11-13); Hill and Smith [1999](#page-11-14); Poole et al. [1988](#page-12-4), [1990](#page-12-5)) and intensities below critical power can be maintain for a very long time without fatigue occurring (Monod and Scherrer [1965\)](#page-11-26), the aforementioned factors indicate that the intensity of 95% of the PPO must be above critical power and consequently suitable for testing  $\rm VO_{2max}$ .

#### **Usefulness of a supra‑peak verifcation phase**

As mentioned beforehand, the time to the limit of exercise tolerance is the commonest limitation in the analysis and interpretation of verifcation test data. Several authors concluded  $\rm{VO_{2max}}$  is reached when the  $\rm{VO_{2neak}}$  in the ramp test and the verifcation phase only deviate by 2–3% (Costa et al. [2021;](#page-11-10) Dalleck et al. [2012\)](#page-11-11). However, as Wagner et al. ([2021\)](#page-12-7) pointed out, this is just one approach. Another explanation might be the inability to sustain the verifcation power output long enough for the  $\rm\dot{VO}_{2}$  kinetics to allow reaching  $\rm\dot{VO}_{2max}$ , and that the verification  $\dot{V}O_2$  would indeed be even higher. This approach has been illustrated in Fig. [1](#page-4-0) in Wagner et al. [\(2021\)](#page-12-7). The importance of the time to the limit of exercise tolerance in verifcation phases arises from fndings showing that both healthy young individuals as well as runners and cyclists with fast  $VO<sub>2</sub>$  kinetics appear to require approximately 2:00 min to reach their  $\rm{VO}_{2max}$  (Caputo and Denadai [2008](#page-10-2); Hill et al. [2002\)](#page-11-23).

In our study, overall, only 3 out of 31 participants reached a sufficient duration of more than  $2:00$  min in the  $105\%$  verification phase. Notably, in 2 of these 3 participants,  $\rm{VO}_{2max}$ was already confirmed by a  $\rm\dot{VO}_{2}$  plateau in the previous ramp test. The observed negative correlation between the duration of the supra-peak verifcation phase and the diference between the highest  $\rm\dot{VO}_{2}$  achieved in the ramp test and verifcation phase may have resulted from the participants' inability to sustain the supra-peak loading long enough for  $\rm\dot{VO}_{2}$  to reach 'true'  $\rm\dot{VO}_{2max}$ . The small number of participants able to maintain the duration for a sufficiently long period is consistent with most studies that have assessed the validity of supra-peak verifcation phases in healthy untrained, non-specifc or specifc trained adults (Niemeyer et al. [2021\)](#page-11-5). When considering studies with either specifc or non-specifc trained participants, fast-increasing work rates in the ramp test, ranging from 25 to 30 W per minute, result in substantially higher PPO (Morton [2011](#page-11-25)). Consequently, the power output in the verifcation phase should be linked to the work rate increase in the ramp test. In many studies published to date, an insufficient duration of the supra-peak verification phase to reach  $\rm \dot{VO}_{2max}$  was observed, regardless of the implemented intensity (between 105 and 110%) or type of exercise (running or cycling) (Astorino and DeRevere [2018](#page-10-8); Nolan et al. [2014](#page-12-13); Rossiter et al. [2006;](#page-12-3) Sedgeman et al. [2013\)](#page-12-8). This is further emphasized in the meta-analysis from Costa et al.  $(2021)$ . However, it is important to note, that just because in many previous supra-maximal verifcation phase protocols participants were not able to maintain the duration for a sufficiently long period, this does not mean that it is not possible. The development of "better" protocols could provide additional value in determination of  $\rm{VO}_{2max}/$  $\rm\dot{VO}_{2neak}$  in future. For example, Gaesser et al. ([1995\)](#page-11-27) claimed that the participants in their study were able to sustain a supra-maximal constant load for at least one minute in duration. However, they did not present the respective data. In participants with very fast  $\rm \dot{V}O_{2}$ -kinetics this duration could be sufficient to reach  $VO_{2max}$ .

# **Comparison between sub‑peak and supra‑peak verifcation phases**

In the present study,  $\rm \dot{VO}_{2max}$  was more often verified by the verifcation phase at 95% than at 105% of PPO achieved in the ramp test (Fig. [2\)](#page-5-0). While the 95% verifcation phase power output was maintained on average for 2:23 (0:24) minutes, the duration of the 105% verifcation phase averaged only 1:38 (0:16) minutes. Thus, the time to the limit of exercise tolerance in the 95% verifcation phase is clearly above the 2:00 min required for healthy young individuals as well as runners and cyclists to allow the  $\rm\dot{VO}_2$  kinetic to reach 'true'  $\rm\dot{VO}_{2max}$  (Caputo and Denadai [2008\)](#page-10-2). Hence, for well-trained male and female adults, performing a sub-peak verifcation phase seems to be more benefcial than performing a supra-peak verifcation phase. Note, although sub-peak verifcation phases may appear preferable, they are still of limited value in determining 'true'  $VO_{2max}$  in a cohort of well-trained participants, especially when considering the presence of a  $VO<sub>2</sub>$  plateau in the ramp test, as shown by our results.

#### **Infuence of recovery phase duration**

Prior high-intensity exercise above the lactate threshold has been repeatedly shown to accelerate overall

 $VO<sub>2</sub>$  kinetics and reduce the accumulation of blood lactate concentration during a subsequent exercise bout (Bailey et al. [2009](#page-10-6); Burnley et al. [2005,](#page-10-3) [2011](#page-10-9)). The underlying mechanism of this exercise-induced efect is the subject of intense debate and currently obscure (Bailey et al. [2009](#page-10-6)). As Bailey et al. [\(2009](#page-10-6)) and Burnley et al. ([2005\)](#page-10-3) emphasized, myriad physiological changes, including among others enhanced blood flow and muscle  $O<sub>2</sub>$  availability, increased activity of oxidative muscle enzymes, and altered recruitment profles of motor units may be underlying mechanism. A signifcant increase in time to the limit of exercise tolerance (Jones et al. [2003](#page-11-19)) and mean power output (Burnley et al. [2005](#page-10-3)) during an exercise bout following prior high-intensity exercise was observed in regularly active individuals and well-trained cyclists, respectively. Participants in both studies exhibited mild elevation in blood lactate concentration of approximately 2.5–3 mmol⋅L<sup>-1</sup> at the onset of the subsequent exercise bout (Burnley et al. [2005;](#page-10-3) Jones et al. [2003\)](#page-11-19). In contrast, Koppo and Bouckaert ([2002\)](#page-11-28) as well as Wilkerson et al. ([2004](#page-12-9)) indicated that prior exercise producing a blood lactate concentration of approximately 6–7 mmol⋅L<sup>-1</sup> had no effect respectively a negative effect on the time to the limit of exercise tolerance during the subsequent exercise bout. Thus, an appropriate combination of prior exercise intensity and recovery phase duration is of paramount importance, and severe lactic acidosis at the onset of the subsequent exercise bout may be accompanied by unchanged or reduced physical performance.

By implementing a recovery phase lasting until blood lactate concentration was  $\leq$  4 mmol⋅L<sup>-1</sup>, the present study aimed to establish an optimal condition for faster  $\dot{V}O<sub>2</sub>$ kinetics during the verifcation phase and to increase the probability of a successful  $\rm{VO}_{2max}$  verification. However, considering the results of the present study, little added value could be attributed to both the sub-peak and suprapeak verifcation phases for the determination of 'true'  $\rm{VO}_{2max}$ . Thus, overall  $\rm{VO}_{2peak}$  achieved in both verification phases did not show any signifcant increase compared to VO<sub>2peak</sub> from the ramp test. Considering the time to the limit of exercise tolerance of the supra-peak verifcation phase, compared to the study by Wagner et al. [\(2021\)](#page-12-7) using an identical verifcation intensity but a remarkably shorter recovery phase duration, our participants sustained the verifcation phase for approximately 30 s longer. This may be related to an improved recovery, as our participants showed substantially lower blood lactate concentrations, heart rate, and rate of perceived exertion prior to the verifcation phase. However, despite individual adjustment of the recovery phase duration based on physiological exhaustion markers, the supra-peak verifcation phase in our study was preliminary terminated, i.e., a duration of at least 2:00 min was not reached. In conclusion, despite individually timed recovery phase duration, the used verifcation phase protocol adds little value to the determination of 'true'  $\rm \dot{V}O_{2max}$ . The benefit of a lactatedependent recovery phase duration is therefore debatable, as it reduces the practicability in clinical routine due to increased time expenditure and amount of required blood samples.

#### **Generalizability of the results**

It is crucial to emphasize that the present study, as well as the referenced studies supporting our fndings, focused mainly on healthy adults. Consequently, the generalizability of the fnding that both a sub-peak and a supra-peak verifcation phase do not contribute substantially to determining 'true'  $\rm{VO}_{2max}$  is limited to trained individuals without underlying health conditions. In the context of clinical populations, studies have investigated the usefulness of a verifcation phase in obese adults (Sawyer et al. [2015](#page-12-15)), patients with chronic heart disease (Bowen et al. [2012](#page-10-4)), cancer survivors (Schneider et al. [2020\)](#page-12-16), as well as children, adolescents, and adults with cystic fbrosis (Saynor et al. [2013;](#page-12-17) Causer et al.  $2018$ ). While mean  $\rm{VO}_{2peak}$  values achieved during the ramp test and verifcation phase were mostly not signifcantly different, on an individual level, the verifcation phase elicited a higher  $\rm \dot{VO}_{2neak}$  values compared to the previous ramp test in 20–66% of the participants (Saynor et al. [2013](#page-12-17); Bowen et al. [2012](#page-10-4); Sawyer et al. [2015](#page-12-15); Schneider et al. [2020\)](#page-12-16). Thus, assuming an underestimation of  $\rm{VO}_{2neak}$  during a ramp test in clinical populations, the use of a verifcation phase may be more justifed. In clinical populations a verifcation phase could serve two purposes. First, it could be used to determine 'true'  $\text{VO}_{2\text{max}}$ . It is crucial to emphasize that a higher  $\rm \dot{VO}_2$  value measured during the verification phase compared to the ramp test neither confirms the accuracy of the VO<sub>2peak</sub> achieved during the ramp test nor provides a definitive  $\rm\dot{VO}_{2max}$  value. For example, probability that the participant may not have reached the  $\rm VO_{2peak}$  during the verification phase due to slow  $\rm \dot{V}O_{2}$  kinetics is still substantial (Caputo and Denadai [2008\)](#page-10-2). The second purpose of using a verifcation phase in a clinical population could be to increase the chances of detecting falsely measured  $\rm{VO}_{2neak}$  during the incremental protocol. Risk stratifcation and risk prediction models are all based on  $\rm{VO}_{2peak}$  derived from incremental testing, rather than  $\rm VO_{2max}$ . However, some guidelines suggest certain thresholds to guide clinical decision making (Mancini et al. [1991](#page-11-30)). Consequently, in some patients, a verifcation phase test could be benefcial to support clinical decisions for the patient. However, it should be noted that clinical decisions, e.g., for heart transplantation, are not based on a single value but on the whole clinical picture.

#### **Strengths and limitations**

In addition to examining both sexes, strengths of the present study included investigating the beneft of a sub-peak verifcation phase in addition to a supra-peak verifcation phase, implementing an exhaustion-dependent recovery duration, analyzing the data collected at the individual level, and considering the added value of a verifcation phase beyond a  $\rm\dot{VO}_{2}$  plateau during the ramp test.

The inclusion of only well-trained participants in the present study has to be acknowledged as a noteworthy limitation. Our fndings can thus not be generalized to exercise naïve individuals, clinical populations and older adults unaccustomed to reaching the limits of their exercise tolerance.

### **Conclusion**

In well-trained male and female adults, conducting a subpeak verifcation phase following a ramp test may add little value to determining 'true'  $\rm\dot{VO}_{2max}$ , while a supra-peak verifcation phase may add no value. Despite the use of a verifcation protocol in which factors of intensity and recovery phase duration were implemented optimally and an individual-level analysis was performed, no clear additional beneft was seen by performing a verifcation phase. Conclusively, the little added value of conducting a sub-peak verification phase for determining  $\rm VO_{2max}$  barely justifes the enhanced physical strain, time, and fnancial efort. Further, conducting a supra-peak verifcation phase showed potential value in only one of the 31 tests performed. Here, confirmation of  $\rm{VO}_{2max}$  would already have been possible by secondary  $VO_{2<sub>max</sub>}$  criteria. Since a verifcation phase inficts substantial additional burden on all participants in addition to the use of  $\text{VO}_2$  plateau and secondary  $\rm{VO}_{2max}$  criteria, its use might also be questionable. We infer that performing a verifcation phase in welltrained and highly motivated adults to determine 'true'  $VO<sub>2max</sub>$  can be omitted.

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**Data availability** Data will be provided upon reasonable request.

#### **Declarations**

**Conflict of interest** None of the authors involved in the present study have any confict of interest, fnancial, personal, or otherwise, which would infuence this research.

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