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Plane constrained shear of single crystals

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Abstract This paper studies the plane constrained shear problem for single crystals having one active slip system and subjected to loading in both directions within the small strain thermodynamic dislocation theory proposed by Le (J Mech Phys Solids 111:157–169, 2018). The numerical solution of the boundary value problem shows the combined isotropic and kinematic work hardening, the sensitivity of the stress–strain curves to temperature and strain rate, the Bauschinger effect, and the size effect.

Keywords Plane constrained shear \cdot Configurational temperature \cdot Work hardening \cdot Bauschinger effect \cdot Size effect

List of symbols

Plastic slip
Stress tensor
Total strain tensor
Elastic strain tensor
Plastic strain tensor
Configurational temperature
Steady-state configurational temperature
Shear amount (as control parameter)
Energy of one dislocation per unit length
Shear modulus
Poisson's ratio
Total density of dislocations
Density of non-redundant dislocations
Density of redundant dislocations
Resolved shear stress (Schmid stress)
Back stress

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Taylor stress
Flow stress
Angle between slip direction and x_1 -axis
Unit vector normal to the slip plane
Unit vector showing the slip direction
Displacement vector
The minimally possible area occupied by one dislocation
Magnitude of Burgers' vector
Height, width, and depth of the slab
Dimensionless plastic strain rate
Dimensionless total strain rate
Kinetic-vibrational temperature
Time characterizing the depinning rate
Energy barrier expressed in the temperature unit
Time rates
Quantities averaged over the thickness
Rescaled (dimensionless) quantities

1 Introduction

When crystalline solids deform, dislocation entanglement together with thermal fluctuation determines the kinetics of dislocation depinning and, thus, the rate of plastic deformation and the isotropic work hardening. In addition, if there are obstacles in the form of grain boundaries or precipitates, some of the dislocations, after being depinned and driven by the applied resolved shear stress, may become non-redundant (geometrically necessary) dislocations that pile up near these obstacles giving rise to the non-uniform plastic deformation and the size-dependent kinematic work hardening. Therefore, any plasticity theory aiming at predicting plastic vielding, work hardening, and hysteresis must take the nucleation, multiplication, annihilation, and motion of dislocations into account. The continuum approach to dislocation mediated plasticity is dictated by the high dislocation densities accompanying plastic deformations as well as the disorder induced by the dislocation network. The measure of the latter quantity in terms of the configurational entropy has been introduced into dislocation mediated plasticity by Langer, Bouchbinder, and Lookman [21] (see also the earlier work by Berdichevsky [8] where the entropy of microstructure has been defined in a somewhat different way). These authors have formulated two fundamental laws of non-equilibrium thermodynamics applicable to the driven configurational subsystem of dislocations: (i) The first law for the plastic slip rate containing the double exponential function based on the kinetics of dislocation depinning and (ii) the second law necessitating the increase in the configurational entropy toward the maximum achieved at the steady state. It was shown recently by Langer and Le [20] and Le [23] that both laws are confirmed by the experiments conducted by Samanta [39] for copper and aluminum. The so-called LBL theory [21] deduced from these laws predicts correctly the stress-strain curves recorded by Kocks [14] and [39] during uniform plastic deformations of copper in the wide range of temperatures and strain rates. Its extension that includes thermal softening and adiabatic shear banding, proposed recently in [29,30], exhibits quantitative agreement with the experimental observations by Abbod et al. [1], Marchand and Duffy [33], and Shi et al. [40]. The extension of LBL theory to nonuniform plastic deformation that takes into account the non-redundant (geometrically necessary) dislocations [11, 12, 17, 18, 27, 35, 36, 41], called the thermodynamic dislocation theory (TDT), was proposed in [22]. Among various dislocation based plasticity theories, we mention here only those in [2,3,6,7,9,15,16,31,32,37,38] which are closely relevant to our thermodynamic approach.

Le [22] solved the plane constrained shear problem within the small strain TDT approximately by first neglecting the non-redundant dislocations in the loaded specimen. After obtaining the flow stress, the total dislocation density, and the configurational temperature, the distribution of non-redundant dislocations appearing in thin boundary layers near the grain boundaries is subsequently found by solving the variational problem similar to that considered in [26]. Based on this solution, he showed that the stress–strain curves exhibit both the isotropic hardening due to the redundant dislocations and kinematic hardening due to the pileups of non-redundant dislocations against the grain boundaries which is size dependent (see also [10,26]). In view of the approximate character of this solution, we aim in this paper at clarifying if this behavior is confirmed by the rigorous numerical treatment. Besides, we aim at studying the load reversal leading to the Bauschinger effect as well as its sensitivity with respect to the size of the specimen, the temperature, and the strain rate (cf. [28]).



Fig. 1 Single crystal subjected to the time-dependent plane constrained shear $\gamma(t)$ with an active slip system inclined under the angle φ

The paper is organized as follows. The setting of the problem is outlined in Sect. 2 that contains also the derivation of the governing equations of TDT. Section 3 develops its numerical implementation. In Sect. 4, we present the results of simulations, the temperature, and strain rate sensitivity of the stress–strain curves as well as the size and Bauschinger effects. Finally, Sect. 5 concludes the paper.

2 Plane constrained shear

Let a thin slab, made of a single crystal, with width w, height h and depth L, where $0 \le x_1 \le w$, $0 \le x_2 \le h$ and $-L \le x_3 \le 0$, be subjected to a shear controlled test (see Fig. 1). We assume that the depth of the slab is the dominant length scale, while the height of the slab is much smaller than its width $(L \gg w \gg h)$, so that the boundary effects can be neglected for $x_1 = 0$ and $x_1 = w$. Based on this assumption, the independent variables are reduced to the spatial coordinate x_2 and the time t. Besides, only one active slip system is admitted, whose slip direction **s** forms the angle φ with the x_1 -axis, while the dislocation lines are oriented parallel to the x_3 -axis.

The slab is clamped on the lower side and deformed on the upper side with the given shear $\gamma(t)$, so that the temporal development of $\gamma(t)$ evokes the changing load. Thus, the kinematic boundary conditions are

$$u_1(0,t) = 0, \quad u_2(0,t) = 0, \quad u_1(h,t) = \gamma(t)h, \quad u_2(h,t) = 0,$$
 (1)

with $u_1(x_2, t)$ and $u_2(x_2, t)$ being the nonzero components of the displacement vector $\mathbf{u}(\mathbf{x}_2, \mathbf{t})$ ($u_3 \equiv 0$). As these hard conditions do not allow dislocations to reach the upper and lower boundaries, we set

$$\beta(0,t) = 0, \quad \beta(h,t) = 0 \tag{2}$$

for the plastic slip $\beta(x_2, t)$. Thus, the lower and upper sides act as grain boundaries that hinder the upward and downward movement of edge dislocations.

For the underlying plane shear, the total strain tensor $\boldsymbol{\varepsilon} = \frac{1}{2} (\nabla \mathbf{u} + \mathbf{u} \nabla)$ takes the form

$$\boldsymbol{\varepsilon} = \frac{1}{2} \begin{pmatrix} 0 & u_{1,2} & 0 \\ u_{1,2} & 2u_{2,2} & 0 \\ 0 & 0 & 0 \end{pmatrix}, \tag{3}$$

where the comma denotes the derivative with respect to x_2 . The active slip system is characterized by two unit vectors, where **s** indicates the slip direction and **m** the normal to the slip plane. They are given by

$$\mathbf{s} = \begin{pmatrix} \cos\varphi\\ \sin\varphi\\ 0 \end{pmatrix}, \quad \mathbf{m} = \begin{pmatrix} -\sin\varphi\\ \cos\varphi\\ 0 \end{pmatrix}. \tag{4}$$

In terms of these vectors, the plastic distortion can be written as $\beta = \beta(x_2, t)\mathbf{s} \otimes \mathbf{m}$. In matrix form, this tensor equation reads

$$\boldsymbol{\beta} = \boldsymbol{\beta}(x_2, t) \begin{pmatrix} -\sin\varphi\cos\varphi & \cos^2\varphi & 0\\ -\sin^2\varphi & \sin\varphi\cos\varphi & 0\\ 0 & 0 & 0 \end{pmatrix}.$$
 (5)

The plastic strain tensor $\boldsymbol{\varepsilon}^{\mathrm{p}} = \frac{1}{2}(\boldsymbol{\beta} + \boldsymbol{\beta}^{T})$ equals

$$\boldsymbol{\varepsilon}^{\mathbf{p}} = \frac{1}{2} \beta(x_2, t) \begin{pmatrix} -\sin 2\varphi & \cos 2\varphi & 0\\ \cos 2\varphi & \sin 2\varphi & 0\\ 0 & 0 & 0 \end{pmatrix}.$$
(6)

Furthermore, the elastic strain tensor $\boldsymbol{\varepsilon}^{e} = \boldsymbol{\varepsilon} - \boldsymbol{\varepsilon}^{p}$ is given by

$$\boldsymbol{\varepsilon}^{e} = \frac{1}{2} \begin{pmatrix} \beta \sin 2\varphi & u_{1,2} - \beta \cos 2\varphi & 0\\ u_{1,2} - \beta \cos 2\varphi & 2u_{2,2} - \beta \sin 2\varphi & 0\\ 0 & 0 & 0 \end{pmatrix},$$
(7)

while for the Nye's dislocation density tensor $\alpha = -\beta \times \nabla$, we have

$$\boldsymbol{\alpha} = \beta_{,2} \sin \varphi \begin{pmatrix} 0 & 0 & \cos \varphi \\ 0 & 0 & \sin \varphi \\ 0 & 0 & 0 \end{pmatrix}.$$
 (8)

With this, the scalar density of non-redundant dislocations per unit area perpendicular to the x_3 -axis is quantified according to

$$\rho^{g} = \frac{1}{b} |\boldsymbol{\alpha} \cdot \mathbf{e}_{3}| = \frac{1}{b} |\boldsymbol{\beta}_{,2} \sin \varphi|, \qquad (9)$$

where b is the magnitude of the Burgers' vector. Note that ρ^{g} can be measured by the high-resolution EBSD technique (see, e.g., [13]). Since the total dislocation density ρ can be measured with the TEM [34] or the XRD technique [4], the density of the redundant dislocation $\rho^{r} = \rho - \rho^{g}$ can in principle also be measured.

With regard to this two-dimensional problem, the energy functional per unit depth from [22] takes the following form

$$I\left[u_{1}, u_{2}, \beta, \rho^{r}, \chi\right] = w \int_{0}^{h} \left[\frac{1}{2}\lambda u_{2,2}^{2} + \frac{1}{2}\mu \left(u_{1,2} - \beta\cos 2\varphi\right)^{2} + \frac{1}{4}\mu\beta^{2}\sin^{2}2\varphi + \mu \left(u_{2,2} - \frac{1}{2}\beta\sin 2\varphi\right)^{2} + \gamma_{D}\rho^{r} + \gamma_{D}\rho^{s}\ln\left(\frac{1}{1 - \frac{1}{\rho^{s}b}|\beta_{2}}\sin\varphi|\right) - \frac{\chi}{L}\left(-\rho\ln\left(a^{2}\rho\right) + \rho\right)\right] dx_{2}.$$
 (10)

The four first terms in (10) describe energy of crystal due to the elastic strain, with μ and λ being Lamé constants. (For simplicity of the analysis, the crystal is assumed to be elastically isotropic.) The fifth term is the self-energy of redundant (statistically stored) dislocations, with γ_D being the energy of one dislocation per unit length. The sixth term is the energy of non-redundant dislocations, where ρ^s denotes a saturated dislocation density [7]. The last term has been introduced by Langer [19], with $S_C = (-\rho \ln(a^2 \rho) + \rho)$ being the configurational entropy of dislocations per unit area, a^2 the minimally possible area occupied by one dislocation, and χ/L the "two-dimensional" configurational temperature. For $S_C = \partial F/\partial \chi$ to be a function of ρ and χ , we assume that a is a slowly increasing function of χ [24]. Note that Berdichevsky [5] has calculated a similar term for anti-plane shear. However, his result cannot be applied here for two reasons: (i) In [5], only screw dislocations of the same sign are considered, and (ii) the loading is assumed to be quasi-static. By varying the functional with respect to u_1 and u_2 and integrating the resulting equations with the use of the boundary conditions (1), a reduction of the arguments of energy to only β , ρ^r , and χ can be achieved with

where $\kappa = \frac{\mu}{\lambda + 2\mu}$, and $\langle \beta \rangle = \frac{1}{h} \int_0^h \beta dx_2$. Inserting the two equations for $u_{1,2}$ and $u_{2,2}$ into the energy functional (10) yields its relaxed form

$$I = w \int_0^h \left[\frac{1}{2} \mu \kappa \langle \beta \rangle^2 \sin^2 2\varphi + \frac{1}{2} \mu (\langle \beta \rangle \cos 2\varphi - \gamma)^2 + \frac{1}{2} \mu (1 - \kappa) \beta^2 \sin^2 2\varphi \right]$$
$$+ \gamma_D \rho^r + \gamma_D \rho^s \ln \left(\frac{1}{1 - \frac{1}{\rho^s b} |\beta_{,2} \sin \varphi|} \right) - \frac{\chi}{L} \left(-\rho \ln(a^2 \rho) + \rho \right) dx_2.$$
(12)

In addition to the energy, the dissipation potential must also be proposed. According to [22], we take it in the form

$$D(\dot{\beta}, \dot{\rho}, \dot{\chi}) = \tau_Y \dot{\beta} + \frac{1}{2} d_\rho \dot{\rho}^2 + \frac{1}{2} d_\chi \dot{\chi}^2, \qquad (13)$$

where τ_Y is the flow stress, and d_{ρ} and d_{χ} need be determined so that the governing equations are reduced to those of the LBL theory for uniform plastic deformation. We formulate the following variational principle [22]: The true plastic slips $\check{\beta}(x_2, t)$, the true density of redundant dislocations $\check{\rho}^r(x_2, t)$, and the true configurational temperature $\check{\chi}(x_2, t)$ obey the variational equation

$$\delta I + w \int_0^h \left(\frac{\partial D}{\partial \dot{\beta}} \delta \beta + \frac{\partial D}{\partial \dot{\rho}} \delta \rho + \frac{\partial D}{\partial \dot{\chi}} \delta \chi \right) \mathrm{d}x_2 = 0 \tag{14}$$

for all variations of admissible fields $\beta(x_2, t)$, $\rho^{r}(x_2, t)$, and $\chi(x_2, t)$ satisfying the constraints (2).

For the considered problem of plane constrained shear the evolution equations of TDT for β , ρ^{r} and χ read

$$\begin{aligned} \langle \dot{\beta} \rangle &= \frac{q(\tau_{\mathrm{Y}}, \rho^{\mathrm{r}}, T)}{t_{0}}, \quad q\left(\tau_{\mathrm{Y}}, \rho^{\mathrm{r}}, T\right) = b\sqrt{\rho^{\mathrm{r}}} \left[f_{\mathrm{P}}\left(\tau_{\mathrm{Y}}, \rho^{\mathrm{r}}, T\right) - f_{\mathrm{P}}\left(-\tau_{\mathrm{Y}}, \rho^{\mathrm{r}}, T\right) \right], \\ \dot{\rho} &= \frac{\mathcal{K}_{\rho}}{a^{2}\mu\zeta(\rho^{\mathrm{r}}, q_{0}, T)^{2}} \tau \frac{q\left(\tau_{\mathrm{Y}}, \rho^{\mathrm{r}}, T\right)}{t_{0}} \left(1 - \frac{\rho}{\rho^{\mathrm{s}}(\chi)}\right), \\ \dot{\chi} &= \frac{\mathcal{K}_{\chi}}{\mu} \tau \frac{q\left(\tau_{\mathrm{Y}}, \rho^{\mathrm{r}}, T\right)}{t_{0}} \left(1 - \frac{\chi}{\chi_{0}}\right). \end{aligned}$$
(15)

Here, t_0 is the time characterizing the depinning rate, T is the ordinary temperature, $\tau_T(\rho^r) = \mu_T b \sqrt{\rho^r}$ - is the Taylor stress, and

$$f_{\rm P}\left(\tau_{\rm Y},\rho^{\rm r},T\right) \equiv \exp\left[-\frac{T_{\rm P}}{T}e^{-\tau_{\rm Y}/\tau_{\rm T}(\rho^{\rm r})}\right]$$
(16)

is the double exponential function originating from the kinetics of dislocation depinning, while the double logarithmic function

$$\zeta(\rho^{\mathrm{r}}, q_0, T) = \ln\left(\frac{T_{\mathrm{P}}}{T}\right) - \ln\left[\ln\left(\frac{b\sqrt{\rho^{\mathrm{r}}}}{q_0}\right)\right]$$
(17)

has the meaning of the stress ratio τ_Y/τ_T [21]. Note that when dealing with the load reversal, antisymmetry is required in Eq. (15)₁ for $q(\tau_Y, \rho^r, T)$ both to preserve reflection symmetry and to satisfy the second law requirement that the energy dissipation rate, $\tau_Y q/q_0$, is nonnegative. In contrary, in the balance of microforces acting on non-redundant dislocations

$$\tau - \tau_{\rm B} - \tau_{\rm Y} = 0 \tag{18}$$

obtained by varying (12) with respect to β , both the resolved shear stress (Schmid stress) $\tau = \mathbf{s} \cdot \boldsymbol{\sigma} \cdot \mathbf{m}$ and the back stress $\tau_{\rm B}$ must be

$$\tau = -\mu \left(\kappa \langle \beta \rangle \sin^2 2\varphi + (\langle \beta \rangle \cos 2\varphi - \gamma) \cos 2\varphi + (1 - \kappa)\beta \sin^2 2\varphi \right), \tag{19}$$

$$\tau_{\rm B} = -\frac{C_1}{\left(1 - C_2|\beta_{,2}|\right)^2} \beta_{,22}, \quad C_1 = \frac{\gamma_{\rm D}}{\rho^{\rm s} b^2} \sin^2 \varphi, \quad C_2 = \frac{1}{\rho^{\rm s} b} |\sin \varphi|.$$
(20)

Note that the back stress τ_B , obtained by varying the energy term containing $\beta_{,2}$ and integrating by parts using the kinematic boundary condition (2), describes the interaction between non-redundant dislocations of equal

sign. To derive the evolution equation for the flow stress τ_Y , let us consider first the uniform total and plastic deformations for which $\tau_Y = \tau$. Taking the time derivative of τ from (19), we get

$$\dot{\tau} = -\mu \left(\kappa \langle \dot{\beta} \rangle \sin^2 2\varphi + (\langle \dot{\beta} \rangle \cos 2\varphi - \dot{\gamma}) \cos 2\varphi + (1 - \kappa) \dot{\beta} \sin^2 2\varphi \right).$$
⁽²¹⁾

Using the evolution equation $(15)_1$, we obtain for $\dot{\tau}_Y$

$$\dot{\tau}_{\rm Y} = \mu \left(\dot{\gamma} \cos 2\varphi - \frac{q}{t_0} \right). \tag{22}$$

As the flow stress τ_Y determines the overall dislocation depinning process, we postulate that (22) is fulfilled in the most general case of non-uniform plastic deformations. To obtain the system of equations directly in term of the changing shear strain, a constant shear rate $\dot{\gamma} = q_0/t_0$ is assumed as [21] did. In this case, the time rate in the system of PDEs can be replaced by the rate with respect to the total amount of shear γ according to the relation $t_0\partial/\partial t = q_0\partial/\partial\gamma$, whereby the evolution equation for the average plastic slip can be transformed to

$$\frac{\partial \langle \beta \rangle}{\partial \gamma} = \frac{q(\tau_{\rm Y}, \rho^{\rm r}, T)}{q_0}.$$
(23)

The final system of PDEs governing the evolution of loaded crystal reads:

$$\frac{\partial \tau_{Y}}{\partial \gamma} = \mu \left(\cos 2\varphi - \frac{q(\tau_{Y}, \rho^{r}, T)}{q_{0}} \right),$$

$$\frac{\partial \chi}{\partial \gamma} = \frac{\mathcal{K}_{\chi}}{\mu_{T}} \tau \frac{q(\tau_{Y}, \rho^{r}, T)}{q_{0}} \left(1 - \frac{\chi}{\chi_{0}} \right),$$

$$\frac{\partial \rho}{\partial \gamma} = \frac{\mathcal{K}_{\rho}}{a^{2} \mu \zeta(\rho^{r}, q_{0}, T)^{2}} \tau \frac{q(\tau_{Y}, \rho^{r}, T)}{q_{0}} \left(1 - \frac{\rho}{\rho^{s}(\chi)} \right),$$

$$\tau - \tau_{B} - \tau_{Y} = 0.$$
(24)

These equations are subjected to the initial and boundary conditions (2).

3 Numerical implementation

In the previous section, the PDEs governing the plane constrained shear deformation of single crystal have been derived. As mentioned in Introduction, the approximate solution of this system has been found in [22]. With the aim of verifying the obtained result, the numerical solution algorithm of these PDEs based on the finite difference method is developed in the present section (cf. also [25,28]).

First, for the numerical integration of system (24), it is convenient to use the rescaled variables and unknown functions according to

$$\tilde{x}_2 = \frac{x_2}{b}, \quad \tilde{\rho} = a^2 \rho, \quad \tilde{\chi} = \frac{\chi}{e_{\rm D}}, \quad \tilde{\tau} = \frac{\tau}{\mu}, \quad \tilde{\tau}_{\rm Y} = \frac{\tau_{\rm Y}}{\mu}, \quad \tilde{\tau}_{\rm B} = \frac{\tau_{\rm B}}{\mu}.$$
(25)

In terms of these variables and unknown functions, $\tilde{\rho}^{g} = |\beta_{,\tilde{2}} \sin \varphi|$, while $\tilde{\rho}^{r} = \tilde{\rho} - |\beta_{,\tilde{2}} \sin \varphi|$. If $\tilde{\mu}_{T} = (b/a)\mu_{T} = \mu r$ is used, with r being a dimensionless quantity independent of the loading rate as well as the ordinary temperature, and the dimensionless ordinary temperature θ is defined as the ratio between T and the activation temperature

$$\theta = \frac{T}{T_{\rm P}},\tag{26}$$

then the dimensionless plastic slip rate can be rewritten as

$$q\left(\tau_{\rm Y},\rho^{\rm r},T\right) = \frac{b}{a}\tilde{q}(\tilde{\tau}_{\rm Y},\tilde{\rho}^{\rm r},\theta), \quad \tilde{q}(\tilde{\tau}_{\rm Y},\tilde{\rho}^{\rm r},\theta) = \sqrt{\tilde{\rho}^{\rm r}}[\tilde{f}_{\rm P}(\tilde{\tau}_{\rm Y},\tilde{\rho}^{\rm r},\theta) - \tilde{f}_{\rm P}(-\tilde{\tau}_{\rm Y},\tilde{\rho}^{\rm r},\theta)], \tag{27}$$

where

$$\tilde{f}_{\rm P}\left(\tilde{\tau}_{\rm Y},\tilde{\rho},\theta\right) = \exp\left[-\frac{1}{\theta}\exp\left(-\frac{\tilde{\tau}_{\rm Y}}{r\sqrt{\tilde{\rho}^{\rm r}}}\right)\right].$$
(28)

For the target steady-state dislocation density $\rho^{s}(\chi) = (1/a^2)e^{-e_{\rm D}/\chi}$ and configurational temperature χ , the dimensionless quantities

$$\tilde{\rho}^{s}(\tilde{\chi}) = \exp\left(-\frac{1}{\tilde{\chi}}\right), \quad \tilde{\chi}_{0} = \frac{\chi_{0}}{e_{\mathrm{D}}}$$
(29)

should be used. Note that the saturated dislocation density is assumed to be equal to the steady-state dislocation density at the maximum configurational temperature $\chi = \chi_0$: $\rho^s = \rho^s(\chi_0) = (1/a^2)e^{-e_D/\chi_0}$. The dimensionless plastic slip rate \tilde{q} effectively leads to a rescaling of the time t_0 by the factor b/a. Following the suggestion made by Langer et al. [21], $\tilde{t}_0 = (a/b)t_0 = 10^{-12}$ s is assumed. Correspondingly, the shear rate $\dot{\gamma} = \tilde{q}_0/\tilde{t}_0$.

The set of governing PDEs of the present material model in the dimensionless form more accessible for numerical integration is thus

$$\frac{\partial \tilde{\tau}_{Y}}{\partial \gamma} = \cos 2\varphi - \frac{\tilde{q}(\tilde{\tau}_{Y}, \tilde{\rho}^{r}, \theta)}{\tilde{q}_{0}}, \\
\frac{\partial \tilde{\chi}}{\partial \gamma} = \mathcal{K}_{\chi} \tilde{\tau}_{Y} \frac{\tilde{q}(\tilde{\tau}_{Y}, \tilde{\rho}^{r}, \theta)}{\tilde{q}_{0}} \left(1 - \frac{\tilde{\chi}}{\tilde{\chi}_{0}}\right), \\
\frac{\partial \tilde{\rho}}{\partial \gamma} = \frac{\mathcal{K}_{\rho}}{\tilde{\zeta}(\tilde{\rho}^{r}, \tilde{q}_{0}, \theta)^{2}} \tilde{\tau}_{Y} \frac{\tilde{q}(\tilde{\tau}_{Y}, \tilde{\rho}^{r}, \theta)}{\tilde{q}_{0}} \left(1 - \frac{\tilde{\rho}}{\tilde{\rho}^{s}(\tilde{\chi})}\right), \\
\tilde{\tau} - \tilde{\tau}_{B} - \tilde{\tau}_{Y} = 0.$$
(30)

This system of PDEs comprises four equations in which both spatial and temporal derivatives occur. In order to achieve a numerically accurate solution, the original overall problem is parceled out into a large number of more easily solvable ODEs. With $\tilde{h} = h/b$ being the dimensionless height of the body, the interval $0 < \tilde{x}_2 < \tilde{h}$ is first decomposed into *n* subintervals of the length $\Delta \tilde{h} = \tilde{h}/n$. The first and second spatial derivatives of the plastic slip β can then be calculated using the finite difference approximations

$$\frac{\partial \beta}{\partial \tilde{x}_2} = \frac{\beta_{i+1} - \beta_{i-1}}{2\Delta \tilde{h}}, \quad \frac{\partial^2 \beta}{\partial \tilde{x}_2} = \frac{\beta_{i+1} - 2\beta_i + \beta_{i-1}}{\Delta \tilde{h}^2},\tag{31}$$

where $\beta_i = \beta(i \Delta \tilde{h})$. The mean value of β , entering the equation for τ , is calculated using the trapezoidal rule

$$\langle \beta \rangle = \frac{1}{n} \sum_{i=1}^{n-1} \beta_i.$$

Let us express the dimensionless back stress from (20) in terms of these dimensionless derivatives:

$$\tilde{\tau}_{\rm B} = -\frac{k_1}{\left(1 - k_2 |\beta_{,\tilde{2}}|\right)^2} \beta_{,\tilde{2}\tilde{2}},\tag{32}$$

where $\beta_{,\tilde{2}}$ and $\beta_{,\tilde{2}\tilde{2}}$ are computed in accordance with (31), while

$$k_1 = \frac{\gamma_D}{\mu b^2} k \sin^2 \varphi, \quad k_2 = k |\sin \varphi|, \quad k = \frac{1}{\rho^s b^2}.$$
 (33)

This back stress enters equation (30)₄, making it a coupled system of *n* algebraic equations. Altogether, this procedure leads to a system of 4*n* ordinary differential-algebraic equations (DAE), which only have first derivatives with respect to γ . In the present study, a spatial discretization of the interval (0, \tilde{h}) into n = 1000 subintervals as well as a temporal decomposition with a step size of $\Delta \gamma = 10^{-6}$ is applied, whereby the latter is to be interpreted as a numerical shear increment. Finally, the usual DAE system is solved with the internal MATLAB subroutine *ode15s*.

In order to examine the material behavior under load reversals, an entire loading path is simulated. This starts with the unloaded initial state and leads first to the maximum value γ^* , then to the minimum value γ_* , and finally again to complete unloading (see Fig. 2). The shear rate remains constant independent of the load direction. The load reversal scenario finds its realization in the reversal of equation (27)₂ for the dimensionless plastic slip rate according to

$$\bar{q}(\tilde{\tau}_{\rm Y},\tilde{\rho}^{\rm r},\theta) = \sqrt{\tilde{\rho}^{\rm r}}[\tilde{f}_{\rm P}(-\tilde{\tau}_{\rm Y},\tilde{\rho}^{\rm r},\theta) - \tilde{f}_{\rm P}(\tilde{\tau}_{\rm Y},\tilde{\rho}^{\rm r},\theta)].$$
(34)



Fig.	2	А	loading	path
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Table	1	Set	of	parameters
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Materia	al parameters									
а	b	$T_{\rm P}$	r	χ̃o	$\mathcal{K}_{ ho}$	\mathcal{K}_{χ}	γd	μ	ν	
10 <i>b</i>	0.255 nm	40822 K	0.0323	0.25	350	96.1	μb^2	50GPa	0.33	
Loading conditions						Initial data				
γ*	γ.	$ ilde{q}_0$	\tilde{t}_0	Т		$\overline{\tilde{ au}_{Y}(0)}$	$\tilde{ ho}(0)$	$\tilde{\chi}(0)$	$\beta(0)$	
0.16	-0.01	10^{-12}	10^{-12} s	298 K		0	6.25×10^{-5}	0.18	0	

With (27) or (34), the solution is obtained for any load direction by integrating the equations (30) with the corresponding \tilde{q} or \bar{q} . In addition to the fulfillment of (30), the continuity requirements at the transition points of the sections must be met in order to ensure the physical consistency of the solution. Therefore, when the target value γ^* or γ_* is reached, the calculated end values of a section are taken as initial values for the following section. In this way, a calculation algorithm is available that allows the variation of load modalities, such as load direction or speed, as well as the simulation of numerous load cycles to a comprehensive degree.

After computing the unknowns $\tilde{\tau}_{Y}$, $\tilde{\chi}$, $\tilde{\rho}$, and β , further parameters can be quantified. The dimensionless mean Schmid stress and the dimensionless mean back stress can be computed in an identical way by the relations

$$\frac{\bar{\tau}}{\mu} = \frac{1}{\bar{h}} \int_0^h \tilde{\tau} dx_2, \qquad \frac{\bar{\tau}_Y}{\mu} = \frac{1}{\bar{h}} \int_0^h \tilde{\tau}_Y dx_2.$$
(35)

The total number of dislocations per unit width is given by

$$N = \int_0^h \rho dx_2 = \frac{b}{a^2} \int_0^{\tilde{h}} \tilde{\rho} d\tilde{x}_2,$$
 (36)

and the number of non-redundant dislocations per unit width is calculated by

$$N^{g} = 2 \int_{0}^{\frac{h}{2}} \rho^{g} dx_{2} = \frac{2}{b} |\sin\varphi| \int_{0}^{\frac{h}{2}} |\beta_{,2}| dx_{2} = \frac{2}{b} |\sin\varphi| \beta_{m},$$
(37)

where $\beta_{\rm m} = \beta(\tilde{h}/2)$. In view of the symmetrical distribution of the non-redundant dislocations over the height, the number from the only half of the height up to the center of the slab $\tilde{h}/2$ is computed, which should be multiplied by 2 to get $N^{\rm g}$. Finally, the difference between N and $N^{\rm g}$ gives the number of redundant dislocations per unit width according to

$$N^{\rm r} = N - N^{\rm g}.\tag{38}$$

Table 1 contains the set of parameters used in the numerical simulations. The list includes the parameters characterizing the material model, the loading conditions, and the initial values. These data are consistent with those for copper at room temperature [21,30]. From this table, we see that $k = (a^2/b^2) \exp(1/\tilde{\chi}_0) = 5.46 \times 10^3$.



Fig. 3 (Color online) stress–strain curves at the strain rate $\tilde{q}_0 = 10^{-12}$ and room temperature, with $\tilde{h} = 20,000$ and $\varphi = 30^{\circ}$: (i) loading path OAB (black), (ii) load reversal BCD (red/dark gray), (iii) second load reversal DO (yellow/light gray), (iv) flow stress versus strain (dotted black curve)

Note that copper is comparatively often the object of investigation in the numerical implementation of TDT, which is explained by its high thermal conductivity: The fast rate at which heat flows to the surrounding thermal bath during plastic deformations ensures an almost isothermal deformation process, so that the constant temperature assumed in theory finds its physical justification. The final shear of the opposing load γ_{\star} is specifically defined in such a way that the specimen is stress free after unloading. In addition, the dimensionless initial dislocation density represents an actual density of $\rho(0) = \tilde{\rho}(0)/(10b)^2 \approx 10^{13} \text{m}^{-2}$, which corresponds to a value typically found in metallic undeformed materials.

4 Results of simulations

4.1 Stress-strain curves

Figure 3 presents the rescaled averaged Schmid stress, $\bar{\tau}/\mu$ (bold line), and rescaled averaged flow stress, $\bar{\tau}_Y/\mu$ (dotted line), versus the shear strain γ over the complete loading path shown in Fig. 2. The dimensionless height of the slab $\tilde{h} = 20,000$ and the angle $\varphi = 30^{\circ}$ are chosen. The plots of the two averaged stresses versus the shear strain γ curves show identical behavior: Starting with the loading phase from the origin O, both initially develop in an identical manner along the elastic region on the line OA, before moving on from the identical initial yielding point A into the plastic region AB exhibiting the work hardening as γ goes further to γ^* . Remarkable for the plastic region are the different slops of the two curves (hardening rates). Note that with increasing shear strain, the isotropic hardening due to $\bar{\tau}_Y$ decreases, while the kinematic hardening due to the back stress $\bar{\tau}_B = \bar{\tau} - \bar{\tau}_Y$ increases. This first loading phase is followed by the load reversal phase in which γ decreases from γ^* to γ_* . The stress–strain curve also begins with the elastic line BC. Note that the elastic line of $\bar{\tau}_Y/\mu$ is parallel to, but differs from that of $\bar{\tau}/\mu$ at this stage. The yielding transition occurs at C, where the magnitude of the stress is much lower than that at the end of the loading path exhibiting the Bauschinger effect which will be explained later. Then, the stress–strain curve follows the plastic region on the line CD



Fig. 4 (Color online) Stress–strain curves at three strain rates $\tilde{q}_{01} = 10^{-4}$ (solid lines), $\tilde{q}_{02} = 10^{-8}$ (dashed lines) and $\tilde{q}_{03} = 10^{-12}$ (dotted lines) and at room temperature, with $\tilde{h} = 20,000$ and $\varphi = 30^{\circ}$

which shows the increasing hardening as γ decreases to γ_* . The second load reversal phase, in which γ rises from γ_* to zero, again shows the elastic behavior on the line DO.

The stress–strain curves are sensitive with respect to the shear rate. In order to show this, we plot in Fig. 4 the rescaled averaged Schmid stress, $\bar{\tau}/\mu$ versus the shear strain γ over the complete loading path for three different shear rates, where the solid lines correspond to the rate $\tilde{q}_{01} = 10^{-4}$, the dashed lines $\tilde{q}_{02} = 10^{-8}$ and the dotted lines $\tilde{q}_{03} = 10^{-12}$. The resulting curves in Fig. 4 confirm the findings of the rate-dependent study, according to which faster strain rates imply larger Schmid stresses. Moreover, this figure allows a statement to be made regarding the sensitivity of isotropic and kinematic hardening to a variation in shear rate: The rate dependence of the work hardening is mainly due to the isotropic hardening, whereas the kinematic hardening proves to be relatively less sensitive to a variation of the shear rate.

We also study the sensitivity of the stress-strain curves $\bar{\tau}(\gamma)/\mu$ with respect to the ordinary temperature of the surrounding thermal bath. For a more detailed evaluation of the work hardening during the plastic deformation, the rescaled averaged Schmid stress versus γ for the three ordinary temperatures of the thermal bath $T_1 = 298$ K (solid lines), $T_2 = 498$ K (dashed lines), and $T_3 = 698$ K (dotted lines) accompanying a complete load cycle is plotted in Fig. 5. This figure illustrates the physically reasonable tendency that, with increasing T, the Schmid stress together with the work hardening decreases. The physical explanation is simple: The increase in temperature facilitates the "triggering" of dislocations from the immobile to the free state, which leads to an increase in the dislocation depinning rate and together with it the plastic strain rate. Consequently, an increased T is reflected in the reduction of the work hardening. This explanation also justifies the shortening of the elastic ranges as the initial yielding is reached faster with increasing temperature. Similar to the strain rate sensitivity, the temperature sensitivity mainly concerns the isotropic hardening and to a much lesser extent the kinematic hardening.

The next factor of interest is the height *h* of the sheared slab. To analyze the size effect, the stress–strain curves $\bar{\tau}(\gamma)/\mu$ over the complete loading path are plotted in Fig. 6 using three dimensionless slab's heights $\tilde{h}_1 = 10,000$ (bold lines), $\tilde{h}_2 = 20,000$ (dashed lines) and $\tilde{h}_3 = 100,000$ (dotted lines). The simulation results from this figure show the tendency that the decrease in the sample height causes the increase of $\bar{\tau}$, an observation which can be summarized in the relation $\bar{\tau}(\tilde{h}_1) > \bar{\tau}(\tilde{h}_2) > \bar{\tau}(\tilde{h}_3)$ with $\tilde{h}_1 < \tilde{h}_2 < \tilde{h}_3$. Besides, the hardening



Fig. 5 (Color online) Stress–strain curves at the strain rate $\tilde{q}_{01} = 10^{-12}$ and at three different (ordinary) temperatures $T_1 = 298$ K (solid lines), $T_2 = 498$ K (dashed lines) and $T_3 = 698$ K (dotted lines), with $\tilde{h} = 20,000$ and $\varphi = 30^{\circ}$



Fig. 6 (Color online) stress–strain curves at the strain rate $\tilde{q}_{01} = 10^{-12}$ and room temperature, for three different sample heights $\tilde{h}_1 = 10,000$ (bold lines), $\tilde{h}_2 = 20,000$ (dashed lines) and $\tilde{h}_3 = 100,000$ (dotted lines), with $\varphi = 30^\circ$



Fig. 7 (Color online) Normalized back stress $\tau_{\rm B}/\mu$ near the boundary versus γ at the strain rate $\tilde{q}_{01} = 10^{-12}$ and room temperature, with $\tilde{h} = 20,000$ and $\varphi = 30^{\circ}$: (i) loading path (black), (ii) load reversal (red/dark gray), and (iii) second load reversal (yellow/light gray)

rate in the plastic region increases with the reduction in the sample height ("smaller is stronger"). The more detailed analysis of the flow stress $\bar{\tau}_Y$ shows that this size effect is solely due to the kinematic hardening and the pileup of non-redundant dislocations. For instance, despite the increase of $\bar{\tau}$ after the end of the loading phase, the spans of all three flow areas turn out to be almost invariant, which demonstrates the size independence of the isotropic hardening. A further qualitative confirmation of this claim is the common intersection of all three stress–strain curves at the onset of plastic yielding and after the load reversal. For heights greater than 100,000*b*, which correspond to almost macroscopic specimens, the size effect is less pronounced and only becomes apparent at greater strains.

To explain the Bauschinger effect, we now show the evolution of the normalized back stress τ_B/μ computed in the thin boundary layer as function of γ over the complete loading path in Fig. 7. After the starting point O and the subsequent relatively short elastic line OA without the back stress, τ_B/μ increases with the applied shear γ over the entire loading phase ending in the point B. The load reversal phase is also divided into a constant elastic line BC with constant τ_B/μ and a falling plastic line CD. The positive back stress at C causes the lower magnitude of the stress required for initiating the second yielding than that at the first yielding point A. The plastic line ends with the reaching of the zero value for τ_B/μ in point D, from which the unloading phase follows, until the initial state without applied shear is reached again in point O. Finally, the parameter study on the back stress (see Sect. 4.5) is used to validate the hypotheses expressed in the investigations of the rate, temperature, and sample size dependence for $\bar{\tau}_{\rm Y}$ and $\bar{\tau}$ with respect to the hardening behavior. According to this, a comparatively constant development of the back stress with respect to the variation of shear rate and temperature witnesses the insensitivity of kinematic hardening to these parameters. On the other hand, the change in sample size affects the back stress in the boundary layer in such a way that $\tau_{\rm B}$ decreases with increasing slab's height.



Fig. 8 (Color online) Evolution of $\beta(\tilde{x}_2)$ at the strain rate $\tilde{q}_0 = 10^{-12}$ and room temperature, with $\tilde{h} = 20,000$ and $\varphi = 30^\circ$, during the loading along AB: (i) $\gamma = 0.04$ (black), (ii) $\gamma = 0.08$ (red/dark gray), (iii) $\gamma = 0.12$ (yellow/light gray)

4.2 Distributions and evolution of plastic slip

Figure 8 represents the plastic slip $\beta(\tilde{x}_2, \gamma)$ during the loading phase for the three shear amounts $\gamma_1 = 0.04$, $\gamma_2 = 0.08$, and $\gamma_3 = 0.12$, simulated with the use of the standard parameter set from Table 1. The plot results in symmetrical, plateau-shaped curves, each of which reaches its plateau value starting and ending with the zero value within a comparatively short length of boundary layers. The solution also shows the basic relative increase in plastic slip during increasing shear stress and agrees qualitatively with the approximate solution found in [22]. The quantitative comparison is difficult due to the different choices for the energy of non-redundant dislocations. On the background of the physical interpretation of $\beta(\tilde{x}_2, \gamma)$, the result appears to make sense. The non-uniformity of the plastic slip causes non-redundant dislocations whose Burgers vectors do not cancel each other out. The relationship here is that the absence of non-redundant dislocations is characterized by the zero slope of the curve in the middle, whereas the positive and negative slopes near the boundaries. The plastically deformed specimen thus has two thin boundary layers on the top and bottom in which the non-redundant (geometrically necessary) dislocations accumulate, whereas the predominant, central area does not contain this type of dislocations.

Figure 9 shows the evolution of $\beta(\tilde{x}_2, \gamma)$ during the load reversal phase, and, for comparison purpose, also $\beta(\tilde{x}_2, \gamma)$ during the loading phase. Again, the data from Table 1, $\tilde{h} = 20,000$ and the angle $\varphi = 30^{\circ}$ are used for the simulation. The load reversal phase is characterized by basically identical distribution of the plastic slip as those of the load phase: In addition to the steep slopes near the boundaries, there is a plateau in the middle section where non-redundant dislocations are not present. The values in the plateau have increased moderately compared to those during the loading phase. The results show a physically reasonable behavior: Despite the change in load direction, the distribution of non-redundant dislocations is maintained so that the β profile is present in an analogous manner. The almost constant difference is explained by the fact that from the onset of plastic flow, regardless of the direction of loading, non-redundant dislocations are formed at the same rate.



Fig. 9 (Color online) Evolution of $\beta(\tilde{x}_2)$ at the strain rate $\tilde{q}_0 = 10^{-12}$ and room temperature, with $\tilde{h} = 20,000$ and $\varphi = 30^\circ$, during the loading (dotted lines) and load reversal (bold lines): (i) $\gamma = 0.04$ (black), (ii) $\gamma = 0.08$ (red/dark gray), (iii) $\gamma = 0.12$ (yellow/light gray)

4.3 Evolution of dislocations

Figure 10 shows the evolution of the number of non-redundant dislocations (per unit width) $N^{g}(\gamma)$ during the complete load cycle which is qualitatively identical to that of the back stress $\tau_{B}(\gamma)$ in Fig. 7. At first, these show a rising, then a falling tendency, whereby the rising line AB and falling line CD are separated by the two horizontal lines BC and AD. While initially no non-redundant dislocations are present in the crystal, their maximum number, when the maximum shear $\gamma = 0.16$ is reached, is about $3.1 \times 10^8 \text{m}^{-1}$. To obtain the dislocation density ρ^{g} , we must divide this number by the height of the slab, resulting in $6.1 \times 10^{12} \text{m}^{-2}$. This number N^{g} changes only during the plastic deformation, whereas it remains constant (frozen) during the elastic deformation. The decrease of N^{g} during the load reversal can be explained as follows: The presence of the positive back stress reduces the magnitude of shear stress required for pulling the non-redundant dislocations back to the center of the specimen. There, the non-redundant dislocations of opposite signs meet and annihilate each other, so the number of non-redundant dislocations reduces gradually to zero along the curve CD.

Figure 11 shows the essentially different evolution of the number of redundant dislocations (per unit width) $N^{r}(\gamma)$ as compared to that of $N^{g}(\gamma)$. The most remarkable difference between the behaviors of N^{r} and N^{g} is that the former increases further along CD during the load reversal, while the latter decreases to zero. Thus, along CD the material is closer to the steady state than along AB, and consequently, the hardening rate of the stress–strain curve of CD shown in Fig. 3 must be less than that of AB. This asymmetry between loadings in opposite directions becomes more pronounced as γ^* increases. Note that the total number of dislocations $N(\gamma)$ behaves in exactly the same way as $N^{r}(\gamma)$. The numerical difference between them is due to the number of non-redundant dislocations, which, for small strains, is still much smaller than $N^{r}(\gamma)$.

4.4 Evolution of configurational temperature

The evolution of $\tilde{\chi}(\tilde{h}/2)$ versus γ turns out to be similar to that of N^r (or N) and is shown in Fig. 12. In addition to the small horizontal elastic lines, the plastic lines are characterized by the positive slops. Thus, after



Fig. 10 (Color online) Number of non-redundant dislocations per unit width N^g versus γ at the strain rate $\tilde{q}_0 = 10^{-12}$ and room temperature, with $\tilde{h} = 20,000$ and $\varphi = 30^\circ$: (i) loading path (black), (ii) load reversal (red/dark gray), (iii) second load reversal (yellow/light gray)



Fig. 11 (Color online) Number of redundant dislocations per unit width N^r versus γ at the strain rate $\tilde{q}_0 = 10^{-12}$ and room temperature, with $\tilde{h} = 20,000$ and $\varphi = 30^{\circ}$: (i) loading path (black), (ii) load reversal (red/dark gray), (iii) second load reversal (yellow/light gray)



Fig. 12 (Color online) The configurational temperature $\tilde{\chi}(\tilde{c}/2)$ versus γ at the strain rate $\tilde{q}_0 = 10^{-12}$ and room temperature, with $\tilde{h} = 20,000$ and $\varphi = 30^{\circ}$: (i) loading path (black), (ii) load reversal (red/dark gray), (iii) second load reversal (yellow/light gray)

	$\bar{\tau}$	$ar{ au}_{ m Y}$	$ au_{ m B}$	N^{r}	N^{g}	χ	β	kinem. hard.	isotr. hard.
\tilde{q}_0 \uparrow	+ + +	+ + +	_		_	++	_	_	+ + +
\hat{T}			+	++	+		+	+	
$\tilde{h} \uparrow$		0		+ + +	_	0	_		0
$\varphi \uparrow$			++		++			++	

Table 2 Effect of the increase in the influencing parameters on the results

a short stagnation along BC the configurational temperature increases further during the load reversal, what moves the system closer to the steady state. Note that the correlation between the curves of the configuration temperature $\tilde{\chi}(\gamma)$ and the dislocation number $N(\gamma)$ has its root in the mathematically comparable category of the DE of these two quantities according to (30)₂ and (30)₃: Both right-hand sides are of limited decreasing character, whereby the latter additionally depends on the weakly varying function ν , whose influence proves to be negligible in the present context. From a thermodynamic point of view, the increase in ρ and χ causes the dislocation multiplication to decrease or, in other words, the dislocation annihilation to intensify. Thus, the higher the disorder temperature, the faster the saturation effect affects the number of dislocations.

4.5 Summary of the parameter study

We perform the detailed parameter study of all quantities in the previous subsections, the results of which are summarized in Table 2. This table shows the qualitative changes of all variables during the increase in the four influencing parameters. The binary evaluation scale represents the relations exclusively qualitatively, where a "+" basically means the relative increase and a "–" the relative decrease. The "0" indicates an inert behavior toward parameter variation.

In particular, the list demonstrates the significant sensitivity of the Schmid and flow stresses, the number of redundant dislocation, and the configurational temperature on the variation of strain rate and temperature.

The variation in the loading modality, however, has a comparatively marginal effect on the back stress and the number of non-redundant dislocations. In contrary, the enlargement of the sample causes changes of completely different characteristics which mainly concern the non-redundant dislocations and the kinematic hardening. While the number of non-redundant dislocations does not change much, which leads to a reduction in the density of this type of dislocations due to the increase in height, the number of redundant dislocations increases simultaneously to the extent that the corresponding density remains constant, and therefore, no noticeable change in the flow stress can be observed. Another remarkable feature is the analogy between the Schmid stress and the back stress, both of which have the identical dependence on the change in height. The last variable, the angle of inclination φ characterizing the orientation of the slip system, causes a manifold change of the quantities: On the one hand, this is expressed in the relative reduction in the number of redundant dislocations, the configurational temperature, and consequently the Schmid and flow stress, while on the other hand, the number of non-redundant dislocations increases relatively, as does the back stress.

5 Conclusion

The thermodynamic approach, which incorporates configurational temperature and non-redundant dislocations, is proving to be an effective tool for the construction of dislocation-based predictive plasticity. The system of PDEs derived from the TDT has been transformed into a system of DAEs by discretization and then solved numerically efficiently. Parameter studies prove the increase in the Schmid stress on the one hand by increasing the shear rate and on the other hand by reducing the ordinary temperature, the grain size, and the angle of inclination of the slip direction. The back stress is primarily a dominating factor for the size effect, whereas the isotropic hardening is insensitive to the change in size. The physical explanation of the Bauschinger effect, which is based on back stress and the retraction and annihilation of non-redundant dislocations, is convincing. Based on this theory, the asymmetry of work hardening between loads in opposite directions could be explained and predicted.

The computer-aided implementation of the present study is characterized by a comparatively high numerical performance, which strengthens the integration of the basic concept in higher-dimensional problems based on finite element computation. The numerical realization presented in this paper can be used as a subroutine that quantifies the development of the microstructure after each loading step from the structural–mechanical data of the finite element calculation.

Compliance with ethical standards

Conflicts of interest On behalf of all authors, the corresponding author states that there is no conflict of interest.

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