



Significance of *achaete-scute complex homologue 1 (ASCL1)* in pulmonary neuroendocrine carcinomas; RNA sequence analyses using small cell lung cancer cells and *Ascl1*-induced pulmonary neuroendocrine carcinoma cells

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Abstract

ASCL1 is one of the master transcription factors of small cell lung carcinoma (SCLC). To investigate the significance of ASCL1 in pulmonary neuroendocrine carcinoma, we performed 2 comparative RNA-seq studies between H69 (ASCL1-positive, classical type SCLC) and H69AR (ASCL1-negative, variant type SCLC) and between *ASCL1*-transfected A549 adenocarcinoma cell lines (A549(ASCL1+) cell lines) and A549(control) cell lines. RNA-seq analyses revealed that 940 genes were significantly different between the H69 and H69AR cell lines, and 728 between the A549(ASCL1+) and A549(control) cell lines. In total, 120 common genes between these analyses were selected as candidate ASCL1-related genes, and included genes with various cellular functions, such as neural development, secretion, growth, and morphology. Their expression degrees in three classical and two variant SCLC cell lines, two A549(ASCL1+) and two A549(control) cell lines were subjected to quantitative PCR analyses. Since the candidate ASCL1-related genes were strongly expressed in the classical SCLC and A549(ASCL1+) cell lines and more weakly expressed in the variant SCLC and A549(control) cell lines, the ASCL1-related 7 molecules INSM1, ISL1, SYT4, KCTD16, SEZ6, MS4A8, and COBL were further selected. These molecules suggested diverse functions for A549(ASCL1+): INSM1 and ISL1 are transcription factors associated with neuroendocrine differentiation, while SYT4, KCTD16, and SEZ6 may be related to neurosecretory functions and MS4A8 and COBL to cell growth and morphology. An immunohistochemistry of these seven molecules was performed on lung carcinoma tissues and the xenotransplanted tumors of A549(ASCL1+), and they were preferentially and positively stained in ASCL1-positive tumor tissues.

Keywords ASCL1 · Pulmonary neuroendocrine carcinoma · Small cell lung carcinoma · RNA sequence · Immunohistochemistry

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Abbreviations

ASCL1	Achaete-scute complex homologue 1
HES1	Hairy and enhancer of split 1
CHGA	Chromogranin A
CHGB	Chromogranin B
SCG2	Secretogranin 2
SYP	Synaptophysin
NCAM	Neural cell adhesion molecule
GRP	Gastrin releasing peptide,
SYT1	Synaptotagmin1
INSM1	Insulinoma associated protein 1
SNAP25	Synaptosome associated protein 25
YAP1	Yes associated protein 1
NEUROD1	Neurogenic differentiation 1

POU2F3	POU class 2 homeobox 3
CPLX2	Complexin 2
SYTL3	Synaptotagmin like 3
NTRK3	Neurotrophic receptor tyrosine kinase 3
NCF2	Neutrophil cytosolic factor 2
GAS2	Growth arrest specific 2
CCND2	Cyclin D2
INHBB	Inhibin subunit beta B
CREG1	Cellular repressor of E1A stimulated genes 1
PLK2	Polo-like kinase 2
CCND3	Cyclin D3
CLDN4	Claudin 4
BCAM	Basal cell adhesion molecule
JUP	Junction plakoglobin
CDH1	Cadherin 1
CD44	CD44 molecule
CLMP	CXADR like membrane protein
ISL1	Islet1
SYT4	Synaptotagmin4
KCTD16	Potassium channel tetramerization domain containing 16
SEZ6	Seizure-related 6 homolog
MS4A8	Membrane spanning 4 domains A8
COBL	Cordon-bleu WH2 repeat protein
BDNF	Brain derived neurotrophic factor
NFASC	Neurofascin
DMD	Dystrophin
GDNF	Glial cell derived neurotrophic factor
VCAN	Versican
ABCC4	ATP binding cassette subfamily C member 4
ABCC8	ATP binding cassette subfamily C member 8
SLC30A3	Solute carrier family 30 member 3
SLC39A6	Solute carrier family 39 member 6
SLC40A1	Solute carrier family 40 member 1

Introduction

Lung cancer includes various histological types, among which neuroendocrine (NE) tumors are a distinct family of lung cancers with shared morphological, ultrastructural, immunohistochemical, and molecular characteristics (Brambilla et al. 2015). Small cell lung cancer (SCLC) is the highest malignant type among this lung cancer family, and is characterized by rapid growth and metastasis as well as NE differentiation (Travis et al. 2015; Pietanza et al. 2015; Bunn et al. 2016). SCLC is characterized by the expression of NE molecules, which are regulated by signal pathway-transcription factor networks, such as the Notch signaling pathway and achaete-scute complex homologue 1 (ASCL1) (Ito et al. 2003, 2017; Ball 2004; Meder et al. 2016).

ASCL1 is a proneuronal basic helix-loop-helix transcription factor that plays an important role in cell fate decisions during neurogenesis (Guillemot et al. 1993). The significance of ASCL1 in the NE differentiation of the mouse fetal lung epithelium and human SCLC was previously demonstrated (Borges et al. 1997). ASCL1 expression is regulated by Notch signaling (Ito et al. 2000; Morimoto et al. 2012), and mouse pulmonary NE cells are positive for ASCL1, but negative for Notch1-3 and hairy and enhancer of split 1 (Hes1), and many pulmonary NE cells appear in Hes1 gene-deficient mice (Ito et al. 2000). Linnoila et al. reported that the overexpression of ASCL1 and SV40 large T antigen in lung epithelial cells using a club cell secretory protein gene promoter induced non-SCLC with NE features in mice (Linnoila et al. 2000). Transfection studies have been performed on the ASCL1 gene in various human lung adenocarcinoma (ADC) cell lines, and NE differentiation was confirmed in ASCL1-transfected ADC cells (Osada et al. 2008; Fujino et al. 2015; Meder et al. 2016). After transfection of the ASCL1 gene, A549 ADC cells showed the expression of SYP, CHGB, and SCG2 (Osada et al. 2008), H1975 and H358 ADC cells showed the expression of CHGA, SYP, and NCAM (Fujino et al. 2015), and PC9 ADC cells showed the expression of NCAM (Meder et al. 2016). Besides the induction of NE differentiation by the ASCL1 gene, ASCL1 may play roles in growth, cell survival, migration, epithelial-mesenchymal transition, and the modulation of tumor-initiating cells (Sriuranpong et al. 2001; Osada et al. 2005, 2008; Jiang et al. 2009; Demelash et al. 2012; Li and Linnoila 2012; Ito et al. 2017; Tenjin et al. 2019). The ASCL1 gene is considered to be a master regulator of NE differentiation (Borges et al. 1997) and a lineage-specific oncogene of SCLC (Gazdar et al. 2017). SCLC may be subdivided into four main subtypes based on the expression of lineage-specific transcription factors, with SCLC having ASCL1 being the most common subtype according to a recent review (Rudin et al. 2019). Transcriptional targets of ASCL1 have been examined using chromatin immunoprecipitation sequencing (ChIP-seq) (Augustyn et al. 2014; Borromeo et al. 2016). ChIP-seq studies identified many target molecules of ASCL1, including genes related to cell survival (Augustyn et al. 2014) and tumorigenesis (Borromeo et al. 2016) as well as NE differentiation, which supports ASCL1 functioning as a lineage-specific oncogene. A ChIP-seq analysis of the ASCL1 gene revealed neural and NE differentiation-related targets molecules, such as GRP, SYT1, INSM1, SNAP25, and potassium voltage-gated channel subfamily members (Augustyn et al. 2014; Borromeo et al. 2016). Zhang et al. recently examined SCLC tumors and cell lines using a 50-gene expression-based NE score, which was developed by expression arrays and RNA sequence (RNA-seq) data (Zhang et al. 2018a). SCLC cell lines have been subdivided into two groups, classical and

variant SCLCs (Gazdar et al. 1985; Zhang et al. 2018a). Classical SCLC cell lines are characterized by floating cell growth in medium and distinct NE differentiation, and variant SCLC cell lines by adhesive growth and poor NE differentiation (Gazdar et al. 1985; Zhang et al. 2018a). Classical cell lines belong to NE high SCLC, and variant cell lines to NE low SCLC, in which Notch activity and the loss of ASCL1 expression have been demonstrated (Zhang et al. 2018a).

The purpose of this study is to identify common biomarkers or therapeutic targets in ASCL1-associated PNECs, as ASCL1 is the most common cell-lineage-specific transcription factor in SCLC (Rudin et al. 2019) and it is important to know the ASCL1-associated molecules for further understanding of PNECs including SCLC. Some studies have clarified the genes associated with ASCL1 with use of DNA microarray (Osada et al. 2008; Zhang et al. 2018a), RNA-seq (Zhang et al. 2018a) and ChIP-seq analyses (Augustyn et al. 2014; Borromeo et al. 2016). While referring to these previous studies, to find the candidate common molecules associated with ASCL1, we performed RNA-seq analyses using 2 sets of lung cancer cell lines; (1) H69, ASCL1-positive, classical subtype SCLC cell line comparing with H69AR, ASCL1-negative, variant subtype SCLC cell line, and (2) *ASCL1*-transfected A549 (*ASCL1*-induced pulmonary NE carcinoma; A549(ASCL1+)) cell line comparing with ASCL1-negative mock-A549 (A549 (control)) cell line. 120 ASCL1-associated molecules were detected through these studies, and to improve the accuracy of them as the ASCL1-associated molecule, we applied them with quantitated PCR analyses in 3 ASCL1-positive and 2 ASCL1-negative SCLC cell lines, 2 ASCL1+ and 2 control-A549 cell lines, and we have selected the authentic ASCL1-associated molecules which are highly expressed in the ASCL1-positive SCLC and ASCL1 + A549 cell lines, and lowly in the ASCL1-negative SCLC and A549(control) cell lines. We finally selected 7 genes, 5 are NE-related, and the other 2 are related to proliferation and morphology. Our observation could provide valuable information for future studies of ASCL1-positive PNECs including SCLC.

Materials and methods

Cell lines

Five SCLC cell lines (H69, H889, SBC1, H69AR, and SBC3) and one ADC cell line, A549 were used in the present study. H69, H889, H69AR, and A549 were purchased from ATCC (Manassas, Virginia), and SBC1 and SBC3 from the Japan Collection of Research Bioresources Cell Bank (Osaka, Japan).

Plasmid construction

To construct pCAG-IRES-Puro-*ASCL1*, we replicated the ASCL1 gene of a human ASCL1 cDNA ORF clone (OriGene, Rockville, Maryland) and replaced it with *ASCL1*. We generated pCAG-IRES-Puro mock from a human ASCL1 cDNA ORF clone by cleaving out the ASCL1 gene. These plasmids were transfected into A549 cells with FuGENE6 (Roche, Risch-Rotkreua, Switzerland) as described in the manufacturer's instructions. After 48 h, 5 µg/mL puromycin (SIGMA, St. Louis, Missouri) was added to cells for 4 weeks, with a medium change every 3 days. Stably transfected cells with resistance to puromycin were cloned for each transfectant.

Reverse transcription-polymerase chain reaction (RT-PCR) and qRT-PCR

Total RNA was isolated using an RNeasy Mini Kit (Qiagen, Hilden, Germany) and cDNA was produced using the SuperScriptII First-Strand RT-PCR kit (Invitrogen, Osaka, Japan). RT-PCR was conducted in accordance with the standard protocol for TAKARA Ex taq (TAKARA Bio, Shiga, Japan), and DNA amplification was performed using a Mastercycler® nexus (Eppendorf, Hamburg, Germany). Cycling conditions were as follows: one cycle at 95 °C for 2 min, followed by 32 cycles at 95 °C for 30 s, 57 °C for 30 s, and 72 °C for 30 s. Amplification products were separated on a 1.5% agarose gel, and identified after ethidium bromide staining. qRT-PCR was conducted according to the standard protocol of SYBR fast qPCR Mix (TAKARA Bio) on a LiteCycler Nano (Roche). Data were obtained from triplicate reactions. The means and standard deviation (SD) of the copy number were normalized to the value for glyceraldehyde-3-phosphate dehydrogenase (GAPDH) mRNA. A list of the specific primers is shown in Table 1.

RNA sequence analysis

Total RNA was isolated from cultured cells, including A549(control) cells (pCAG-IRES-Puro Flag HA), A549(ASCL1+) cells (pCAG-IRES-Puro Flag HA *ASCL1*), H69 cells, and H69AR cells using an RNeasy Mini Kit (Qiagen). A 2100 Bioanalyzer (Agilent, Santa Clara, California) was used to detect the concentration and purity of total RNA. All samples with an RNA integrity number (RIN) > 7.5 were used for sequencing. Nextseq 500 (Illumina, San Diego, California) was used to analyze data, which were converted to FastQ files. The quality control of data was performed by FastQC. Filtered reads were used to map to the UCSC hg19 genome reference genome using HISAT2 2.1.0. Fragments

Table 1 List of primers used in PCR

Target	Sequence	Product size (bp)
<i>ASCL1</i>	F: 5 -CGGCCAACAAAGAAGATGAGT-3'	169
	R: 5 -GCCATGGAGTTC AAGTCGTT-3'	
<i>INSM1</i>	F: 5 -CAGTGTGCGGAGAGTCGTT-3'	166
	R: 5 -ACCTGTCTGTTTTCCGATGG-3'	
<i>ISL1</i>	F: 5 -TGATGAAGCAACTCCAGCAG-3'	152
	R: 5 -TTTCCAAGGTGGCTGGTAAC-3'	
<i>SYT4</i>	F: 5 -ATCGGAGAATGCAACCCCG-3'	525
	R: 5 -AGTAACTCACCCCGTCCTGA-3'	
<i>KCTD16</i>	F: 5 -CTTCCAGCTCCCAGACTTGG-3'	377
	R: 5 -CAAAAGCCCTTTCCAGGTGC-3'	
<i>SEZ6</i>	F: 5 -CGATCCTGGAGATGTGGAGC-3'	552
	R: 5 -GAGCTTTTTCCCTGGAGCCT-3'	
<i>MS4A8</i>	F: 5 -CCATATGCCTACCCGACTA-3'	154
	R: 5 -TGCTTGATTGACAGCAGACC-3'	
<i>COBL</i>	F: 5 -TAAAAACGCTGCCTTGGCAC-3'	236
	R: 5 -GCACAGACGAAGACAGGGAA-3'	
<i>GAPDH</i>	F: 5 -CAGCCTCAAGATCATCAGCA -3'	106
	R: 5 -TGTGGTCATGAGTCCTTCCA -3'	

ASCL1 achaete-scute complex homologue 1, *INSM1* insulinoma-associated protein 1, *ISL1* islet1, *SYT4* synaptotagmin 4, *SEZ6* seizure related 6 homolog, *MS4A8* membrane spanning 4-domains A8, *COBL* cordon-bleu WH2 repeat protein, *GAPDH* glyceraldehyde-3-phosphate dehydrogenase, *F* forward, *R* reverse

per kilobase of exon per million mapped reads (FPKM) values were calculated using Cufflinks. Significant genes were extracted by cuffdiff ($p < 0.05$).

Gene ontology (GO) analysis

GO annotation and classification were based on three categories: biological process, molecular function, and cellular component. The Database for Annotation, Visualization, and Integrated Discovery 6.7 (DAVID 6.7, <https://www.david.niaid.nih.gov>) was used for the GO analysis (Huang et al. 2009). The gene list contained significant genes in the RNA-seq analysis. The DAVID online database was used to visualize key molecular functions and biological processes. $p < 0.05$ was regarded as the cut-off criterion with a significant difference.

Western blotting

Cultured cells were prepared for the WB analysis, as described previously (Motooka et al. 2017). A list of the primary antibodies used is shown in Table 2. The membrane was washed and incubated with the respective secondary antibodies conjugated with horseradish peroxidase

(Cell Signaling, Danvers, MA) for 1 h, and the immunocomplex was visualized with chemiluminescence substrate (Amersham Pharmacia Biotech, Buckinghamshire, United Kingdom).

Tissue samples

Tissue samples of SCLC ($n = 10$), ADC ($n = 10$), and SCC ($n = 10$) resected at the Department of Thoracic Surgery of Kumamoto University Hospital were obtained from 30 patients for the following studies. A histological diagnosis of the samples was made in accordance with World Health Organization criteria (Brambilla et al. 2015). These sections were used for immunohistochemistry. The present study followed the guidelines of the Ethics Committee of Kumamoto University.

Transcriptome data of SCLC tissues

The transcriptome data of SCLC tissue samples from the GSE60052 ($n = 79$) RNA-seq dataset (Jiang et al. 2016) were used to examine the relationship between the expression levels of *ASCL1* and the other mRNAs studied.

IHC

Formalin-fixed, paraffin-embedded specimens were cut into 3- μ m-thick sections and mounted onto MAS-GP-coated slides (Matsunami Glass Ind., Osaka, Japan). After being deparaffinized and rehydrated, the sections were heated using an autoclave in 0.01 mol/L citrate buffer (pH 6.0 or 7.0) for antigen retrieval. Sections were incubated with 0.3% H_2O_2 in absolute methanol for 20 min to block endogenous peroxidase activity. Sections were then incubated with Protein Block Serum-Free (Dako, Glostrup, Denmark) for 10 min to block non-specific staining. After this blocking step, sections were incubated with the primary antibodies (Table 2) at 4 °C overnight. This was followed by sequential 1-h incubations with the secondary antibodies (En Vision + System-HRP-labeled polymer; Dako) and visualization with liquid DAB + substrate Chromogen System (Dako). All slides were counterstained with hematoxylin for 30 s before being dehydrated and mounted. The specificity of the immunolabeling of each antibody was tested using normal mouse IgG (Santa Cruz Biotechnology) and normal rabbit IgG (Santa Cruz Biotechnology). We discerned that the tissue samples were positive, when more than 10% of tumor cells were positively stained for each antigen.

Xenotransplantation

A total of 1.0×10^6 cells each of A549(control) cells and A549(ASCL1+) cells were injected subcutaneously

Table 2 Antibodies used for IHC and WB analysis

Primary antibody	Manufacturer (location)	WB	IHC
ASCL1 (556604)	BD Biosciences (San Jose, CA)	1:500	
MASH1 (ab74065)	abcam (Cambridge, UK)		1:500
CHGA (H-300)	Santa Cruz Biotechnology (Santa Cruz, CA)	1:1000	
SYP (NCL-SYNAP-299)	Novocastra Laboratories Ltd (Newcastle upon Tyne, UK)	1:500	
NCAM (NCL-CD56-1B6)	Novocastra Laboratories Ltd	1:500	
YAP1(H-125)	Santa Cruz Biotechnology	1:1000	
NEUROD1 (NBP1-88661)	Novus Biological (Centennial, CO)	1:500	
POU2F3 (GTX17217)	GeneTex (Irvine, CA)	1:1000	
E-cadherin (610181)	BD Biosciences	1:1000	
p-Histone H3 (Ser10)	Millipore (Billerica, MA)	1:500	
Ki67	Dako (Tokyo, Japan)		1:50
INSM1 (A-8)	Santa Cruz Biotechnology		1:100
ISLET1 (GTX102807)	GeneTex		1:100
SYT4 (12642-1AP)	Proteintech (Rosemont, IL)		1:100
KCTD16 (HPA050154)	Atlas Antibodies (stockholm,SE)		1:50
SEZ6 (HPA011777)	Atlas Antibodies		1:100
MS4A8 (orb38075)	Biorbit (Cambridge, UK)		1:200
COBL (NBP1-81026)	Novus Biological		1:50
β-actin (A-5441)	Sigma Aldrich (Oakville, ON, Canada)	1:10,000	
Normal mouse IgG (sc-2025)	Santa Cruz Biotechnology	1:1000	1:100
Normal rabbit IgG (sc-2027)	Santa Cruz Biotechnology	1:1000	1:100

Manufacturers, quantities, and working dilutions are indicated

ASCL1 achaete-scute complex homologue 1, CHGA chromogranin A, SYP synaptophysin, NCAM neural cell adhesion molecule, YAP1 yes associated protein 1, NEUROD1 neuronal differentiation 1, POU2F3 POU class 2 homeobox 3, p-HH3 phospho-histone H3, INSM1 insulinoma-associated protein 1, SYT4 synaptotagmin 4, SEZ6 seizure related 6 homolog, MS4A8 membrane spanning 4-domains A8, COBL cor-don-bleu WH2 repeat protein, IHC immunohistochemistry, WB western blot

into the backs of Rag2^{-/-}:Jak3^{-/-} mice (a generous gift from Prof. Seiji Okada Kumamoto University). Twenty days after the injection, tumors were removed. Samples were fixed with phosphate-buffered 4% paraformaldehyde solution and embedded in paraffin. Tissue sections were stained with hematoxylin and eosin (HE), and additional sections were used for immunohistochemical analyses. All animal experiments were conducted in accordance with the guidelines of the Animal Care and Use Committee of Kumamoto University.

Statistical analysis

All data were obtained from independent experiments, and were expressed as the means ± SDs of triplicate measurements. Differences in mean values between the two groups were statistically analyzed using the *t* test. Prism v.7 software (GraphPad Software, San Diego, California) was used for statistical analyses. *p* < 0.05 was considered to be significant.

Results

ASCL1 is associated with NE differentiation in SCLC cell lines

SCLC belongs to the category of high-grade PNEC (Brambilla et al. 2015). To confirm the expression relationship between ASCL1 and NE markers, we performed a WB analysis on the 5 SCLC cell lines. As well as ASCL1, we examined the other lineage-specific transcription factors such as NEUROD1, POU2F3 and YAP1. WB revealed that ASCL1 and NE markers including CHGA, SYP, and NCAM were simultaneously expressed in 3 (H69, H889, and SBC1) out of the 5 SCLC cell lines examined. On the other hand, in accordance with the weaker expression of ASCL1, H69AR cells lost NE markers. The SBC3 cell line is a variant subtype of SCLC (Gazdar et al. 1985; Wael et al. 2014), in which ASCL1 and NE markers were negligibly expressed, but YAP1 positively expressed (Fig. 1a). These results suggest that ASCL1 is closely related to NE

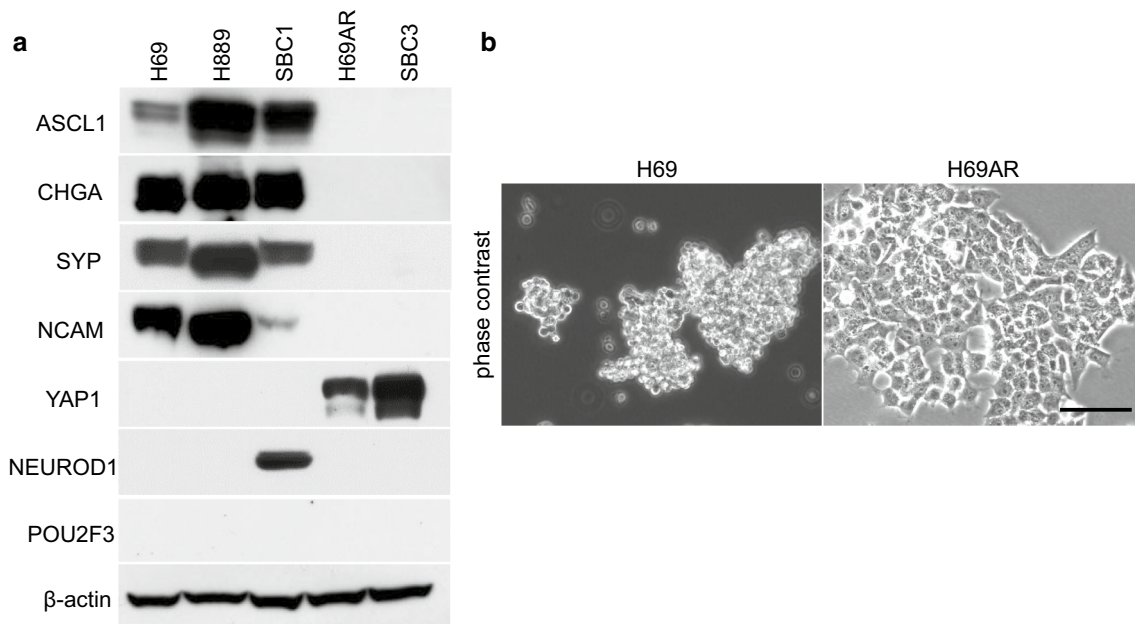


Fig. 1 a H69, H889, and SBC1 cell lines express ASCL1 and NE markers, including CHGA, SYP, and NCAM, and only SBC1 expresses NEUROD1. However, H69AR and SBC3 do not show the expression of ASCL1, NEUROD1, POU2F3, or NE markers, but

show the expression of YAP1. **b** H69 and H69AR cells are shown by phase contrast images. H69 cells are floating, while H69AR cells are adherent

differentiation in SCLC cell lines, such as H69, H889, and SBC1. The H69AR cell line is a drug (Adriamycin)-resistant form of the H69 cell line (Mirski et al. 1987), and lose ASCL1 expression, but showed YAP1 expression. H69 cells were the floating type, while H69AR cells were the adherent type, as shown in phase contrast images (Fig. 1b).

ASCL1 plays critical roles in NE differentiation, cell adhesion, and proliferation

To examine the biological significance of ASCL1 in the lung cancer cell line, we performed ASCL1 gene transfection into A549 cells, which is an ADC cell that expresses neither ASCL1 nor any other NE markers. We established A549(control) cells using an empty vector as the control. As the expression of the NE markers such as CHGA and SYP, in the ASCL1-transfected A549 (A549(ASCL1+)) cells was detected, the A549(ASCL1+) cells can be confirmed as NE carcinoma cells. The expression of phosphorylated-histone H3, a mitotic marker, was also stronger in A549(ASCL1+) cells than in A549(control) cells. In contrast, the expression of E-CADHERIN was significantly reduced after the forced expression of ASCL1 (Fig. 2a). It is important to note that A549(control) cells tightly adhered to the dish, whereas A549(ASCL1+) cells showed a round-shaped morphology with weaker adhesion (Fig. 2b). We then performed xenotransplantation

experiments on these cells using immunocompromised mice. A549(control) transplanted tumor cells had an ADC morphology, whereas A549(ASCL1+) cells showed undifferentiated features along with prominent nucleoli and increased mitosis by HE staining. IHC staining revealed that A549(ASCL1+) cells had increased expression levels of CHGA and Ki67, but decreased levels of E-CADHERIN (Fig. 2c). A RNA-seq study revealed that 728 molecules were significantly different between the A549 cell lines with or without ASCL1. We extracted molecules associated with NE differentiation from RNA-Seq data and generated heat maps (Fig. 2d). In the comparison between A549(ASCL1+) cells and A549(control) cells, we identified up-regulated genes, such as INSM1, CHGB, SYP, and CPLX2. We also identified down-regulated genes, such as SYTL3, NTRK3, and NCF2. In the other functional categories, for example, “Cell Proliferation”, we identified up-regulated genes, such as GAS2, CCND2, and INHBB, in a similar manner. In contrast, we also identified down-regulated genes, such as CREG1, PLK2, and CCND3. We then attempted to gain insights into the category “Cell Adhesion”. We identified up-regulated genes, such as CLDN4, BCAM, and JUP. Meanwhile, CDH1, CD44, and CLMP were identified as down-regulated genes. These results suggest that ASCL1 plays important roles in the regulation of NE differentiation, cell adhesion, and proliferation in this cell line.

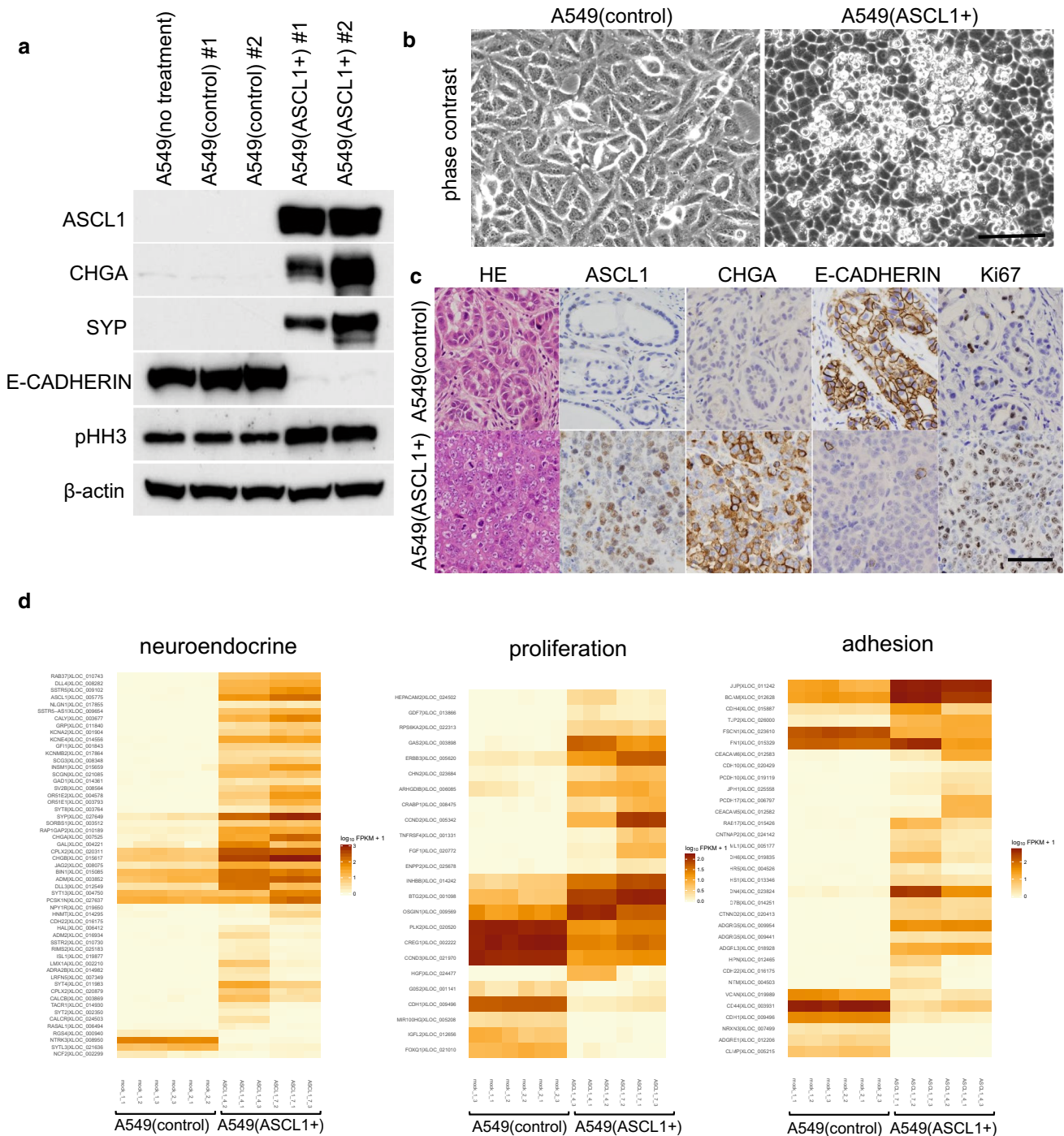


Fig. 2 **a** A WB analysis was performed using A549(no treatment), A549(control), and *ASCL1*-transfected A549 (A549(ASCL1+)) cell lines. CHGA and SYP were induced by the forced expression of *ASCL1*. pHH3 expression was stronger in A549(ASCL1+) cells than in A549(control) cells. On the other hand, E-CADHERIN expression levels were lower in A549(ASCL1+) cells than in A549(control) cells. β -actin serves as an internal control. **b** A549(control) cells show a rhomboid-shaped morphology, whereas A549(ASCL1+) cells show a round-shaped morphology in phase contrast images. Scale bar=100 μ m. **c** Xenotransplantation experiments using immunocompromised mice. A549(ASCL1+) transplanted tumor cells appear undifferentiated, while A549(control) transplanted tumor cells show

adenocarcinoma features with tubular formation by hematoxylin and eosin staining (HE). IHC staining confirmed that A549(ASCL1+) transplanted tumors had higher expression levels of CHGA and Ki67, but lower expression levels of E-CADHERIN. Scale bar=50 μ m. **d** Heatmap of the FPKM of significant genes obtained by A549(control) and A549(ASCL1+) RNA-seq analysis. We annotated the genes to the ontologies which related to neuroendocrine, proliferation and adhesion. In the significant up-regulated genes, INSM1, CHGB, SYP and CPLX2 appear in neuroendocrine category. GAS2, CCND2 and INHBB appear in proliferation category. BCAM, JUP and CLDN4 appear in adhesion category

RNA-sequencing analysis showed that ASCL1 drives characteristic downstream target genes

To identify the genes regulated by ASCL1, we performed the two sets of RNA-seq studies: (1) a comparison between H69 and H69AR cells, and (2) a comparison between A549(ASCL1+) and A549(control) cells. Through these 2 sets of RNA-seq studies, we identified 120 common genes that showed significantly different mRNA expression in the 2 groups (Fig. 3a). The DAVID online bioinformatics tool was used for a GO functional analysis of the 120 shared genes. The top 20 significantly enriched terms ($p < 0.05$) in the molecular function and biological process category are shown in Fig. 3b. Biological process contains the terms involved in neuron-related matters, for example, neuronal development and differentiation or the regulation of synaptic transmission. Molecular function contains the terms involved in endocrine or cell metabolic processes, such as hormone activity, growth factor activity, or enzyme activity. We screened the 120 genes by qRT-PCR to extract target genes that show high expression levels in all ASCL1-positive SCLC cell lines and the A549(ASCL1+) cell lines (supplementary Table S1). We ultimately selected 7 out of

120 common genes, which included NE-associated molecules, such as *INSM1* (Fujino et al. 2015), *ISL1* (Agaimy et al. 2013), *SYT4* (Zhang et al. 2018a), *KCTD16* (van Coevorden-Hameete et al. 2019), and *SEZ6* (Zhang et al. 2018a). Moreover, *MS4A8* and *COBL*, which function in cell proliferation (Ye et al. 2014) and morphogenesis (Ahuja et al. 2007), were selected. We then examined the expression profiles of all 7 genes in SCLC cell lines, A549(control) cell lines, and A549(ASCL1+) cell lines using RT-PCR (Fig. 3c). We confirmed that ASCL1-positive SCLC cell lines and A549(ASCL1+) cell lines strongly expressed these 7 genes. These results suggest that ASCL1 potently drove the expression of NE-related molecules, such as *INSM1*, *ISL1*, *SYT4*, *KCTD16*, and *SEZ6*, and also correlated with the non-NE-related gene expression of *MS4A8* and *COBL*. Based on the result showing that 7 representative ASCL1 and ASCL1-regulated genes, *INSM1*, *ISL1*, *SYT4*, *KCTD16*, *SEZ6*, *MS4A8*, and *COBL*, were more likely to be mainly co-expressed in the classical SCLC cell lines and A549(ASCL1+) cell lines, we surveyed public datasets that used the RNA-seq method (Jiang et al. 2016) of gene expression profiling in human SCLC tumor tissue samples and their correlations were evaluated. The RNA-seq dataset

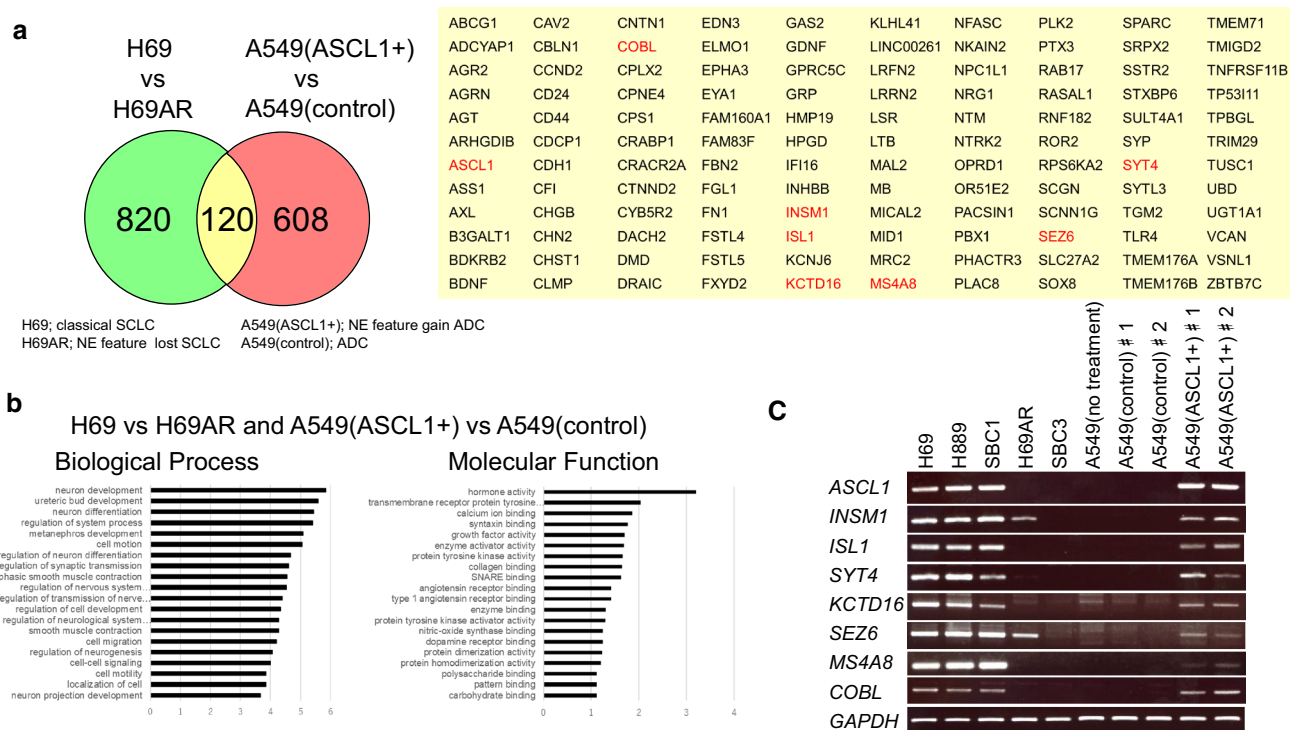


Fig. 3 **a** Two sets of RNA sequence analyses were performed. In total, 940 significant genes in the comparison of H69 and H69AR cell lines were extracted. In the same manner, 728 significant genes in the comparison of A549(ASCL1+) and A549(control) cell lines were extracted. In total, 120 genes were shared in the 2 groups. **b** Gene Ontology (GO) terms of biological processes or molecular functions

assessed by the 120 shared genes were sorted by combined scores. The top 20 categories in each of the terms are presented. Values indicate $-\log_{10}(p \text{ value})$. **c** The expression patterns of ASCL1, INSM1, ISL1, SYT4, KCTD16, SEZ6, MS4A8, and COBL mRNA are shown by RT-PCR in 5 SCLC, A549(no treatment), A549(control), and A549(ASCL1+) cell lines. GAPDH serves as an internal control

using the human tumor tissue samples of 79 SCLC patients confirmed the coordinated expression of 7 representative *ASCL1* and *ASCL1*-regulated genes, *INSM1* (GSE60052: $\rho=0.3579$), *ISL1* ($\rho=0.3929$), *SYT4* ($\rho=0.6167$), *KCTD16* ($\rho=0.3126$), *SEZ6* ($\rho=0.3360$), *MS4A8* ($\rho=0.5970$), and *COBL* ($\rho=0.3240$) in human SCLC tumor tissue samples (Fig. 4). These results support *INSM1*, *ISL1*, *SYT4*, *KCTD16*, *SEZ6*, *MS4A8*, and *COBL* expression being positively regulated by *ASCL1*.

Expression of *ASCL1*-regulated genes positively correlated in SCLC tumor tissue

We examined the expression of *ASCL1*, *INSM1*, *ISL1*, *SYT4*, *KCTD16*, *SEZ6*, *MS4A8*, and *COBL* in xenotransplanted A549(*ASCL1*+) cells and A549(control) cells tumor tissues from immunocompromised mice and in surgically resected SCLC and NSCLC tissues. The immunohistochemical analysis showed that *ASCL1*, *INSM1*, and *ISL1* were strongly expressed in the nuclei of A549(*ASCL1*+) tumor cells and SCLC tumor cells. As expected, *ASCL1*, *INSM1*, and *ISL1* were not expressed in A549(control) transplanted tumor cells or NSCLC tumor cells (Fig. 5). Similarly, *SYT4*, *KCTD16*, *SEZ6*, *MS4A8*, and *COBL* were expressed in A549(*ASCL1*+) cells and SCLC cells, but not in A549(control) transplanted tumor cells or NSCLC tumor cells (Fig. 5). *ASCL1* was strongly expressed in the nuclei of A549(*ASCL1*+) tumors cells and 70% of SCLC tumor samples, and not in A549(control) tumor cells or NSCLC tumor samples. The expression

of *ISL1* was similar to that of *ASCL1*, whereas *INSM1* was positive in *ASCL1*-negative SCLC tumor samples (Table 3). *SYT4*, *KCTD16*, *SEZ6*, *MS4A8*, and *COBL* were strongly expressed by A549(*ASCL1*+) tumor cells and the majority of *ASCL1*-positive SCLC tumor samples. However, some NSCLC tumor samples were also positive for *SYT4*, *KCTD16*, *SEZ6*, *MS4A8*, and *COBL* (Table 3).

The difference of gene expressions between H69 and H69AR cell lines.

We identified 940 genes with expression levels that were significantly different between H69 cells and H69AR cells in RNA-seq (Fig. 3a). These genes were then statistically tested in a GO annotation enrichment analysis using DAVID. The top 3 GO terms in biological processes were neuron differentiation, neuron development, and neuron projection development (Fig. 6). These 3 GO terms related to neurons included *BDNF*, *ISL1*, *NFASC*, *DMD*, *GDNF*, and *VCAN*. On the other hand, drug resistance is generally associated with ATP-binding cassette transporters and solute carrier proteins (Li and Shu 2014; Kathawala et al. 2015; Domenichini et al. 2019). Therefore, the GO term analysis on molecular function within the top 10 revealed passive transmembrane transporter activity and metal ion transmembrane transporter activity, which included *ABCC4* and *ABCC8*, *SLC30A3*, *SLC39A6* and *SLC40A1*. Not only NE differentiation but also drug resistance or cell adhesive properties could be differed between these cell lines.

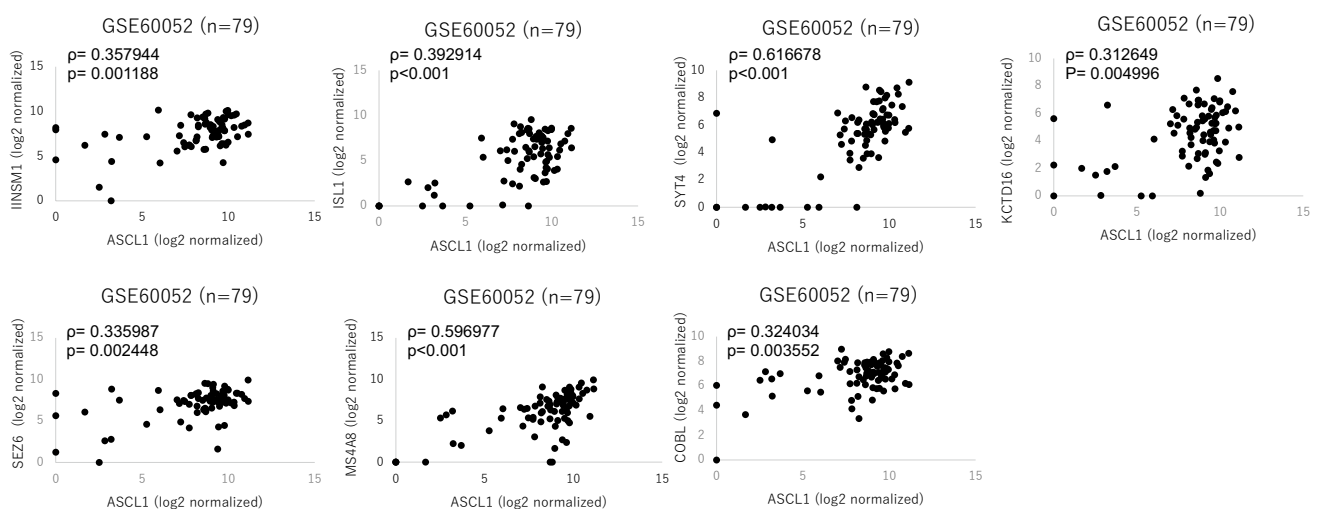


Fig. 4 Expression levels of *ASCL1* and *INSM1*, *ISL1*, *SYT4*, *KCTD16*, *SEZ6*, *MS4A8* and *COBL* in RNA-seq dataset of SCLC tissues. GSE 60052 ($n=79$) dataset were analyzed. Positive correlation

of *ASCL1* and the other 7 genes mRNA expression were seen respectively. Spearman correlation coefficients (ρ) and p values are indicated

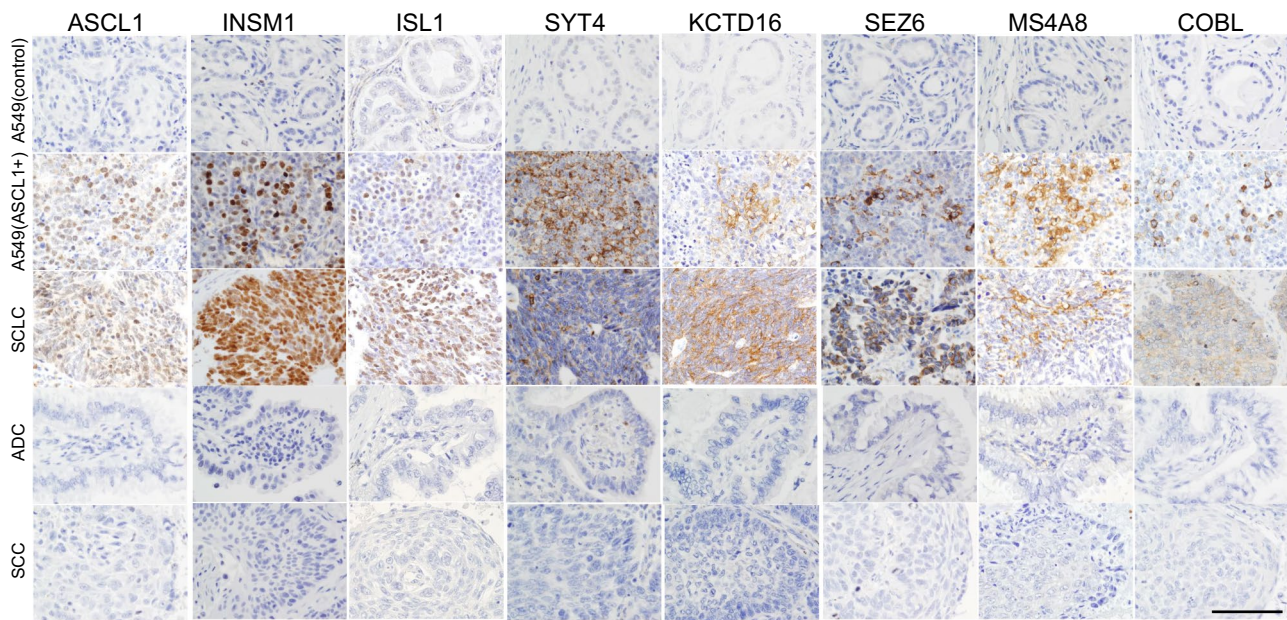


Fig. 5 ASCL1, INSM1, and ISL1 are positively immunostained in nuclei of A549(ASCL1+) transplanted tumor cells and SCLC tissues. SYT4, SEZ6, and COBL were expressed in both the cell membrane and cytoplasm, while KCTD16 and MS4A8 were expressed in

the cell membranes of A549(ASCL1+) and SCLC tumor cells. All of these markers were mostly negative in A549(control) transplanted tumor cells and ADC and SCC tissues. Representative images are shown. Scale bar = 100 μ m

Table 3 Results of immunohistochemical staining of human lung cancer

	ASCL1	INSM1	ISL1	SYT4	KCTD16	SEZ6	MS4A8	COBL
SCLC	7/10(70%)	10/10(100%)	6/10(60%)	7/10(70%)	6/10(60%)	7/10(70%)	6/10(60%)	5/10(50%)
ADC	0/10(0%)	0/10(0%)	0/10(0%)	2/10(20%)	4/10(40%)	1/10(10%)	2/10(20%)	2/10(20%)
SCC	0/10(0%)	0/10(0%)	0/10(0%)	1/10(10%)	4/10(40%)	1/10(10%)	4/10(40%)	2/10(20%)

Small cell lung cancer tissues highly expresses ASCL1, INSM1, SYT4, KCTD16, SEZ6, MS4A8 and COBL. Immunohistochemical staining for ASCL1 and others was performed in 10 small cell lung carcinoma (SCLC), 10 adenocarcinoma (ADC), 10 squamous cell carcinoma (SCC) specimens that had been surgically resected

SCLC small cell lung carcinoma, *ADC* adenocarcinoma, *SCC* squamous cell carcinoma, *ASCL1* achaete-scute complex homologue 1, *INSM1* insulinoma associated protein 1, *ISL1* islet 1, *KCTD16* potassium channel tetramerization domain containing 16, *SEZ6* seizure related 6 homolog, *MS4A8* membrane spanning 4-domains A8, *COBL* cordon-bleu WH2 repeat protein

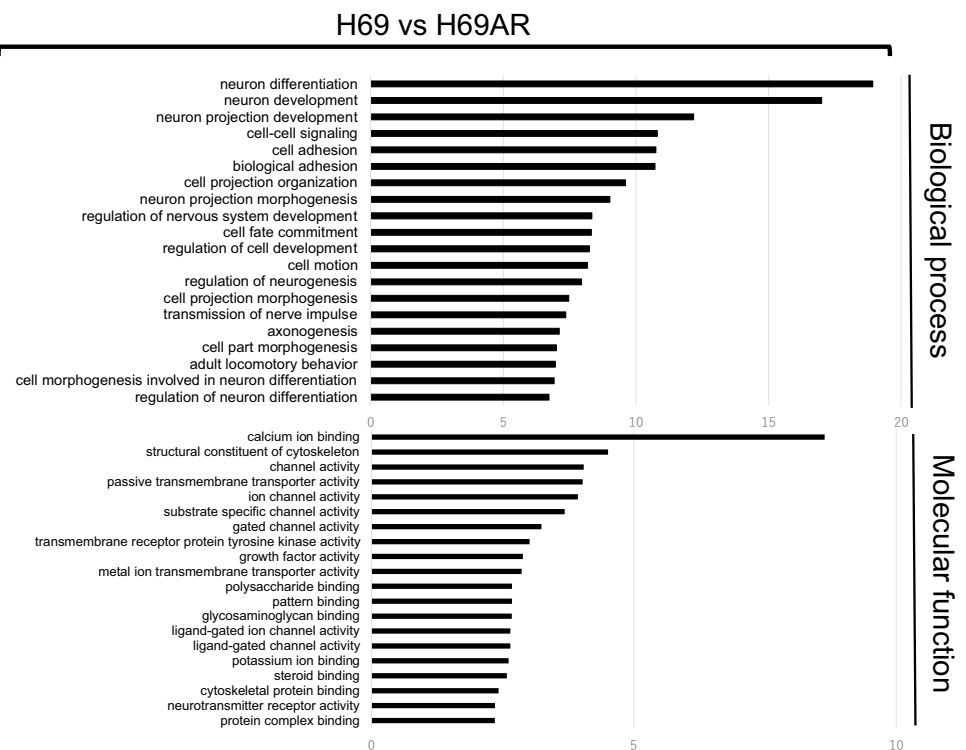
Discussion

In the present study, we used H69, H889, and SBC1 as classical SCLC subtypes, and they were positive for ASCL1 with NE markers, such as CHGA, SYP, and NCAM, and showed floating growth. We used H69AR and SBC3 as the variant SCLC subtypes, and they were negative for ASCL1 and the NE markers and showed adhesive growth. RNA-seq analyses comparing these cell lines suggest important molecules related to biological differences in classical and variant SCLC cell lines, including NE differentiation. In the present study, we focused on the significance of ASCL1, the master transcription factor

of SCLC (Gazdar et al. 2017), in SCLC, and selected ASCL1-associated candidate molecules with combination RNA-seq studies using A549(ASCL1+).

We investigated the pathological features of A549(ASCL1+), which are generated by *ASCL1* transfection in the human lung ADC cell line, A549. To clarify the biological significance of ASCL1. A549(ASCL1+) showed NE differentiation similar to other *ASCL1*-transfected lung ADC cells previously reported (Osada et al. 2008; Fujino et al. 2015; Meder et al. 2016), and the present study supports the crucial role of ASCL1 in NE differentiation in lung cancer cells. Moreover, A549(ASCL1+) showed a floating morphology in the culture medium, which mimicked the classical SCLC subtype, and we previously reported that

Fig. 6 Comparison with H69 cells and H69AR cells by RNA sequence analysis. The results of biological process and molecular function of significant 940 genes by gene ontology analysis using DAVID are shown. The top 20 significantly enriched terms are presented in the graph. Value indicate $-\log_{10}(p \text{ value})$



ASCL1 modified epithelial-mesenchymal transition (Ito et al. 2017). Western blotting and histological studies using tumors grown in immunocompromised mouse subcutaneous tissue revealed that ASCL1 increased cell proliferation activity and apoptosis. Thus, ASCL1 is considered to play roles in various important cell biological functions other than NE differentiation in pulmonary NE tumors.

We selected a further 120 common ASCL1-associated molecules from RNA-seq analyses of the two sets of lung cancer cell lines. The DAVID analysis revealed the 120 molecules have various biological functions, including neuronal differentiation and development, neuronal secretory activity, cell growth, stem cell biology, enzyme activity, and others, which supports ASCL1 being one of the master genes of SCLC (Gazdar et al. 2017; Rudin et al. 2019). We performed qRT-PCR analyses of these molecules in 3 classical and 2 variant SCLC cell lines, A549(ASCL1+) and A549(control) cell lines and we further selected seven molecules that were strongly expressed in ASCL1-positive cell lines, but weakly or not expressed in the ASCL1-negative cell lines. We performed immunohistochemistry for these molecules in human lung carcinoma samples, including SCLC and A549(ASCL1+), to confirm whether these molecules are useful as biomarkers of SCLC. All molecules functioned as a positive marker for SCLC and A549(ASCL1+). INSM1 and ISL1 were transcription factors. Before the ChIP-seq study for ASCL1 (Borromeo et al. 2016), and our RNA-seq studies, we confirmed that INSM1 played important roles in

the NE differentiation of lung neoplasms in cooperation with ASCL1 (Fujino et al. 2015). INSM1 was recently identified as a valuable marker for confirming the NE differentiation of neoplasms from the lung (Rooper et al. 2017; Mukhopadhyay et al. 2019) and other organs (Rosenbaum et al. 2015; Fujino et al. 2017). Among SCLC samples, INSM1 was positive expression in a few ASCL1-negative samples, and INSM1 expression in the ASCL1-negative samples could be explained by regulation by lineage-specific transcription factor such as NeuroD1 (Borromeo et al. 2016). ISL1 was previously reported to be a preferential immunostain for pancreatic NE neoplasms (Schmitt et al. 2008; Agaimy et al. 2013), and may be a marker for NE neoplasms of the lung. In our pilot ISL1 RNA knockdown study, the cell proliferation activity and NE differentiation of an ISL1-positive SCLC cell line were not affected, and ISL1 was not essential for the NE differentiation of pulmonary NE neoplasms. Synaptotagmins play roles in neurotransmitter release or hormone secretion in nervous and NE cells, and SYT4 is expressed by many NE cells (Moghadam and Jackson 2013). SYT4 was shown to be expressed in rat pheochromocytoma (Vician et al. 1995), and the present study demonstrated that SYT4 has potential as a good protein marker of pulmonary NE neoplasms and has an important function in the secretory activity of tumors. Regarding neuronal function, in addition to SYT4, we found that SEZ6 and KCTD16 may be common ASCL1-target molecules. SEZ6 was previously reported to be localized in the brain (Kim et al. 2002), functioned in

neuronal development (Gunnarsen et al. 2007), and was involved in β -cell function in pancreas islets (Stützer et al. 2013). KCTD16 was shown to play roles in the regulation of GABAB receptors (Zuo et al. 2019), and regulated membrane channel activities via the modulation of GTPases (Teng et al. 2019). The biological significance of SEZ6 and KCTD16 has not yet been investigated in malignant neoplasms, including SCLC, but may play roles in the neuronal aspects of SCLC cells. The other 2 molecules we selected in the present study, MS4A8 and COBL, are not always related to the neuronal phenotype of SCLC. MS4A family proteins are expressed on the cell surface and play roles in growth and development (Eon et al. 2016). Previous studies reported that MS4A8 was expressed in carcinomas of the stomach, colon, and prostate (Michel et al. 2013; Ye et al. 2014; Sun et al. 2018) as well as in SCLC (Bangur et al. 2004). The biological roles of MS4A8 vary among cancer types. In the gastrointestinal tract, MS4A8 may function in epithelial differentiation, and its forced expression suppressed cell proliferation and motility in colon cancer cells (Michel et al. 2013; Eon et al. 2016). In contrast, in prostate cancer, MS4A8 promoted prostatic cancer cells (Carney et al. 1985). Using cDNA subtraction and DNA microarrays, MS4A8 was found to be strongly expressed in SCLC, and was detected in 3 out of 11 SCLC cell lines and 1 out of 2 SCLC samples (Bangur et al. 2004). Although the biological significance of MS4A8 remains unclear, MS4A8 may be one of the representative molecules of ASCL1-expressing SCLC, and has potential utility as a biomarker and therapeutic target of SCLC. One of the selected ASCL1-target molecules in PNEC is COBL. COBL functions as an actin nucleation factor and modulates cell morphology because it is localized at sites of high actin dynamics (Ahuja et al. 2007). However, the significance of COBL has not yet been examined with a focus on cell biological functions in cell morphology and motility in carcinomas, except for a study on prognostic genes for squamous cell carcinoma of the lung (Zhang et al. 2018b).

In summary, in the present study, we performed comparative RNA-seq studies on two sets of samples including H69, an ASCL1-positive classical SCLC cell line with H69AR, an ASCL1-negative variant SCLC cell line, and an A549(ASCL1+) cell line with a A549(control) cell line to clarify ASCL1-related molecules. Based on previous ChIP-seq studies (Augustyn et al. 2014; Borromeo et al. 2016) and NE score data (Zhang et al. 2018a), 120 molecules were confirmed to be common ASCL1-associated molecules totally, and further 7 molecules were carefully selected after qRT-PCR analyses of the 120 molecules in 5 ASCL1-positive and 4 ASCL1-negative cell lines. Their putative functions are related to neuronal development and secretion, proliferation, and morphology, and positive immunohistochemical staining was confirmed in formalin-fixed and paraffin-embedded samples.

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Compliance with ethical standards

Conflict of interest: All authors declare that they have no conflict of interest.

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