


Blood lymphocyte subsets identify optimal responders to IFN-beta in MS

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Abstract Response to interferon-beta (IFN-beta) treatment is heterogeneous in multiple sclerosis (MS). We aimed to search for biomarkers predicting no evidence of disease activity (NEDA) status upon IFN-beta treatment in MS. 119 patients with relapsing–remitting MS (RRMS) initiating IFN-beta treatment were included in the study, and followed prospectively for 2 years. Neutralizing antibodies (NAb) were explored in serum samples obtained after 6 and 12 months of IFN-beta treatment. Soluble cytokines and blood lymphocytes were studied in basal samples by ELISA and flow cytometry, respectively. 9% of patients developed NAb. These antibodies were more frequent in patients receiving IFN-beta 1b than in those treated

subcutaneous ($p = 0.008$) or intramuscular ($p < 0.0001$) IFN-beta 1a. No patient showing NAb remained NEDA during follow-up. Basal immunological variables are also associated with patient response. Percentages below 3% of CD19 + CD5 + cells (AUC 0.74, CI 0.63–0.84; OR 10.68, CI 3.55–32.15, $p < 0.0001$; Likelihood ratio 4.28) or above 2.6% of CD8 + perforin + T cells (AUC 0.79, CI 0.63–0.96; OR 6.11, CI 2.0–18.6, $p = 0.0009$; Likelihood ratio 5.47) increased the probability of achieving NEDA status during treatment. Basal blood immune cell subsets contribute to identify MS patients with a high probability of showing an optimal response to IFN-beta.

Keywords Multiple sclerosis · Demyelinating diseases · Biomarkers · Lymphocytes

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Introduction

IFN-beta is a safe and effective drug for the treatment of patients with relapsing–remitting multiple sclerosis (RRMS) [1–4]. However, response to this treatment is heterogeneous and the search of biomarkers allowing early identification of patients who will be optimal responders to IFN-beta is important to achieve a personalized therapy.

No evidence of disease activity (NEDA) is defined as the absence of new relapses, disability progression and magnetic resonance imaging (MRI) activity on a disease-modifying treatment [5]. Patients achieving NEDA in the first years of treatment have a high probability of remaining free of progression for long [6]. Thus, the finding of biomarkers predicting this status at the beginning of a treatment would be very useful for therapeutic decisions.

Several clinical markers as the appearance of relapses, new lesions on MRI, or disability worsening during the first

years of treatment, could help to identify patients who will be optimal responders to IFN-beta at the long term [7]. However, the time needed for their evaluation ranges between 1 and 2 years, and this can imply some deterioration in non responders.

Biological biomarkers were also described [8, 9] but most of them are not being currently used, due in some cases to the lack of validation or to technical complexity. In fact, the presence of neutralizing antibodies (NAb) is the only biomarker used in clinical practice. They associate with a loss of bioavailability of the drug [10], appear between 6 and 12 months after treatment initiation and may persist for a long time.

We aimed to explore if the immunological profile present in blood before treatment initiation can identify optimal responders to IFN-beta. We studied effector and regulatory T and B lymphocytes and NK cells, since they play a role in the pathophysiology of MS [11] and are also related to the response to different MS treatments [8, 12–14].

Materials and methods

Patients

We included in the study two cohorts of patients diagnosed of RRMS according to modified McDonald criteria [15] that were initiating treatment with IFN-beta at Hospital Universitario Ramón y Cajal (Madrid, Spain) (119 patients, discovery cohort) and Hospital Universitari Vall d'Hebron (Barcelona, Spain) (49 patients, validation cohort). The study was approved by the Ethics Committees of both hospitals. Written informed consent was obtained from all patients before entry. Patients were not treated with immunosuppressive, immunomodulatory drugs or corticosteroids in the 6 months previous to IFN-beta therapy. Baseline clinical and demographic data of patients are shown in Table 1.

Patient follow-up

Patients were included consecutively in the study and followed for 2 years. Response to treatment was monitored by measuring the Expanded Disability Status Scale (EDSS) score, the number of new relapses, and the onset of new lesions on annual MRI scans. Relapses were defined as worsening of neurological impairment or appearance of new symptoms attributable to MS, lasting at least 24 h and preceded by stability of at least 1 month. Disability progression was defined as a worsening of ≥ 1 point in EDSS score persisting in two consecutive visits.

MRI scans of the brain were performed in a 1.5 T (Philips Gyroscan NT, Netherlands). Slice thickness of 5 mm were acquired to obtain contiguous axial sections that covered the entire brain. The following sequences were performed: T1 weighted imaging, T1 weighted imaging with gadolinium enhancement, axial FLAIR T2, axial T2-weighted imaging and axial proton density T2-weighted imaging.

Patients showing NEDA were considered as optimal responders (NEDA +). To have at least one relapse, increase of at least one point in the EDSS score confirmed in two consecutive visits, or presence of new T2 lesions or gadolinium-enhanced lesions were considered as suboptimal response (NEDA –).

Samples

Peripheral blood was collected before treatment initiation and at 6 and 12 months of treatment. For soluble factors and NAb detection, serum samples were obtained, aliquoted and stored at -80°C until used.

Lymphocyte subsets were analyzed in fresh whole blood or in isolated peripheral blood mononuclear cells (PBMC) as detailed below. PBMC were isolated from whole blood by Ficoll-Isopaque density gradient centrifugation (Life Technologies Ltd, UK) and stored in liquid nitrogen until used.

Table 1 Clinical and demographic data of patients included in the study

	Discovery cohort (n = 119)	Validation cohort (n = 49)	p
Sex (male/female)	28/91	18/31	ns
Age at disease onset (years) (M \pm SEM)	32.3 \pm 0.9	31.8 \pm 1.2	ns
Age at treatment onset (years) (M \pm SEM)	37.1 \pm 0.9	35.4 \pm 1.3	ns
Disease duration (years) (M \pm SEM)	4.8 \pm 0.5	3.6 \pm 0.5	ns
EDSS score at treatment onset (M \pm SEM)	1.8 \pm 0.1	2.0 \pm 0.1	ns
Number of relapses in the 2 years before treatment (M \pm SEM)	1.5 \pm 0.2	1.2 \pm 0.2	ns
IFN-beta types (Betaferon/Rebif/Avonex)	23/37/59	16/16/17	ns

EDSS Expanded Disability Status Score, IFN interferon, M \pm SEM mean \pm standard error, ns not significant

NAb detection

NAb were measured in serum samples obtained after 6 and 12 months of IFN-beta treatment by the cytopathic effect assay as described [16]. The titers were calculated according to Kawade's formula [17], and expressed in tenfold reduction unit (TRU). Titers higher than 20 TRU/ml were considered as positive.

Study of serum cytokine levels

Serum cytokines were studied by ELISA (eBioscience, USA; R&D Systems, USA) following the manufacturer's instructions. We studied serum levels of interleukin (IL)-1beta, IL-2, IL-4, IL-5, IL-6, IL-9, IL-10, IL-12, IL-13, IL-17A, IL-17F, IL-22, IFN-gamma and tumor necrosis factor (TNF)-alpha.

Monoclonal antibodies

The following monoclonal antibodies were used in the study: CD3-PerCP, CD3-APC-H7, CD4-FITC, CD4-APC, CD5-PE, CD5-APC, CD8-FITC, CD8-PerCP, CD8-PE-Cy7, CD16-FITC, CD16-PerCP, CD19-FITC, CD19-PerCP, CD19-PE-Cy7, CD19-APC, CD25-PE, CD27-FITC, CD38-FITC, CD45-PE, CD45-PerCP, CD45-APC, CD45-APC-H7, CD56-PerCP, CD56-APC, CD80-PE, CD86-PE, HLA-DR-PE, FoxP3-Alexa647, Perforin-FITC, IFN-gamma-FITC, TNF-alfa-PerCP (BD Biosciences), IL-10-PE (Biolegend, USA) and IL-17-APC (R&D Systems).

Analyses performed in whole blood

The following lymphocyte subsets were analyzed in whole peripheral blood samples: total CD19 + B cells, CD19 + CD5 + and CD19 + CD38 + B cells, total CD4 + and CD8 + T cells, CD56 + CD16 + natural killer (NK) cells, CD56bright cells, and CD3 + CD56 + NKT cells. Whole blood samples were labelled for 20 min at room temperature and erythrocytes lysed with FACS Lysing Solution (BD Biosciences). After washing, samples were resuspended in PBS and analyzed by flow cytometry.

Analyses performed in thawed PBMC

In the discovery cohort, thawed PBMC were used to study CD4 + CD25hiFoxP3 + T regulatory cells (Treg), CD8 + perforin + T cells, and intracellular cytokine production. In the validation cohort, all studies were performed in thawed samples. Analysis of Treg was made using the transcription factor buffer set (BD Biosciences) following the manufacturer's instructions. To study perforin expression by cytotoxic T cells, surface antigens were labelled for 20 min

at 4 °C in the dark, cells were permeabilized with Cytofix/Cytoperm (BD Biosciences), stained with an anti-perforin antibody, washed and analyzed by flow cytometry.

For intracytoplasmic cytokine detection, PBMC were resuspended in complete medium (CM), stimulated with 0.5 µg/ml of phorbol-12-myristate-13-acetate and 0.75 µg/ml ionomycin (Sigma-Aldrich, USA), in presence of 2 µg/ml Brefeldin A and 2.03 µM Monensin (BD Biosciences), and incubated 4 h at 37 °C in 5% CO₂. For the analysis of IL-10 producing B cells, PBMC were incubated in CM with 3 µg/ml of ODN-CpG (InvivoGen, USA) for 20 h at 37 °C in 5% CO₂ prior to stimulation. Then, surface markers were labelled for 20 min at 4 °C in the dark, cells washed, permeabilized with Cytofix/Cytoperm and incubated with monoclonal antibodies recognizing IFN-gamma, TNF-alpha, IL-10 and IL-17 for 20 min in the dark. After washing, stained cells were analyzed by flow cytometry.

Flow cytometry analysis

Data acquisition was performed with a FACSCanto II flow cytometer and analyzed with FACSDiva software V.7.0 (BD Biosciences). A gate including cells with intermediate to high CD45 and low to intermediate side scatter and excluding debris and apoptotic cells was used. A minimum of 30,000 events were collected for every analysis. Mean autofluorescence values were set using appropriate negative isotype controls.

Statistical analysis

Results were analyzed with the Prism 6.0 statistical package (GraphPad Software, USA). Mann–Whitney *U* tests were used for comparisons between groups; Fisher's exact test to compare percentages and ROC-curve analyses to establish cut-off values. *p* values below 0.05 were considered significant.

Results

119 RRMS patients initiating treatment with IFN-beta were included consecutively in the study. 4 were lost during follow-up; 16 abandoned treatment for reasons not related to the efficacy of the drug, that is, desire for pregnancy, concomitant diseases, depression or poor tolerance to the drug, mild side effects. The remaining 99 patients were followed for 2 years and classified according to their clinical and MRI activity after follow-up in NEDA + or NEDA –.

Nine patients presented NAb. Seven received IFN-beta 1b (Betaferon®) and two subcutaneous IFN-beta 1a 44 µg (Rebif®). No patient treated with intramuscular IFN-beta 1a (Avonex®) developed these antibodies. The proportion

of patients showing NAb was significantly higher in patients treated with Betaferon. By contrast, no differences were found between patients treated with Avonex or Rebif (Fig. 1). All patients showing NAb were NEDA–. They were excluded from subsequent studies since treatment failure may be due to the lack of drug bioavailability produced by these antibodies.

Search for clinical and immunological biomarkers

34 patients (37.8%) achieved NEDA and the remaining 56 (62.2%) continued having disease activity during follow-up. No statistical differences were found in clinical and demographic characteristics of both groups of patients at treatment initiation, but they differed in clinical variables measured during treatment (Table 2).

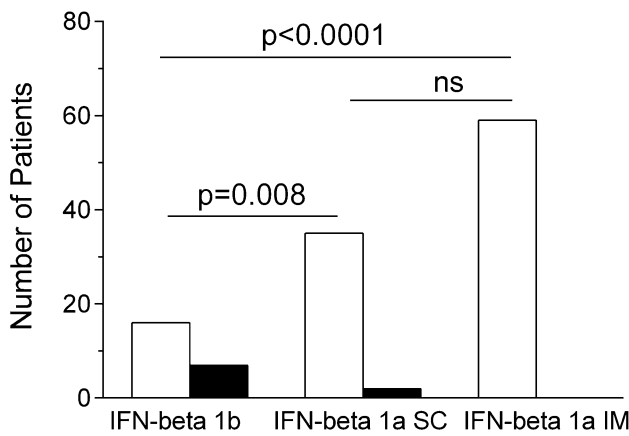


Fig. 1 The proportion of patients showing neutralizing antibodies (NAb, black bars) or lacking them (white bars) upon treatment with interferon (IFN)-beta 1b, subcutaneous IFN-beta 1a (SC) or intramuscular (IM) was studied. ns: not significant

No significant differences were observed in serum cytokines between both groups of patients (data not shown). However, we found differences between NEDA + and NEDA – in various lymphocyte subsets (Table 3). NEDA + patients showed lower percentages of B lymphocytes ($p = 0.0003$). These low values modestly associated with activated B cells CD19 + CD38 + ($p = 0.02$) and much clearly with the CD19 + CD5 + subpopulation ($p = 0.0002$) (Fig. 2a, c, d). NEDA + patients also showed a moderate decrease of Treg ($p = 0.01$) and a clear increase of CD8 + T lymphocytes producing perforin ($p = 0.003$, Fig. 2b, e, f). No differences were observed in any NK cell subset. When corrected p values by multiple comparison test (Bonferroni) data of total B cells ($p = 0.006$) and CD19 + CD5 + subset ($p = 0.004$) were the only ones that remained significant, although there was a trend for perforin + CD8 T cells ($p = 0.06$) (Table 3).

Value of CD19 + CD5 + and CD8 + perforin + to predict response to IFN-beta

Using ROC curve analyses, we established cut-off values for percentages of CD19 + CD5 + B lymphocytes [area under the curve (AUC) 0.74, confidence interval (CI) 0.63–0.84, $p = 0.0002$, likelihood ratio 4.28] and CD8 + perforin + T cells. (AUC 0.79, CI 0.63–0.96, $p = 0.003$; Likelihood ratio 5.47). Having less than 3% of CD19 + CD5 + B lymphocytes; odds ratio (OR) 10.68, CI 3.55–32.15, $p < 0.0001$ or more than 2.6% of CD8 + perforin + T cells (OR 6.11, CI 2.0–18.6, $p = 0.0009$) associated with optimal responses to IFN-beta. The combination of both subsets had no added value for predicting treatment response (data not shown).

Table 2 Clinical and demographic features of patients classified according to their response to interferon-beta treatment

	NEDA + (n = 34)	NEDA – (n = 56)	p
Sex (female/male)	29/5	41/15	ns
Age at treatment onset (years), Mdn (25–75% IQR)	38.0 (30.9–44.5)	33.4 (27.7–43.0)	ns
Disease duration (years), Mdn (25–75% IQR)	4.5 (1.3–11.0)	1.8 (0.9–6.3)	ns
EDSS at treatment onset, Mdn (25–75% IQR)	1.5 (1.5–1.6)	1.5 (1.0–2.0)	ns
Number of relapses in the 2 years before treatment, Mdn (25–75% IQR)	2.0 (1.0–4.0)	2.0 (1.0–3.0)	ns
EDSS at the end of treatment, Mdn (25–75% IQR)	1.5 (1.5–2.0)	2.0 (1.5–2.5)	0.005
Number of relapses during treatment, Mdn (25–75% IQR)	0.0 (0.0–0.0)	1.0 (0.0–1.0)	<0.0001
Number of patients showing new T2 lesions in MRI (yes/no)	0/34	37/18 ^a	<0.0001
IFN-beta types (Betaferon/Rebif/Avonex)	4/10/20	6/18/32	ns

EDSS Expanded Disability Status Score, IFN interferon, IQR interquartile range, Mdn median, MRI magnetic resonance imaging, NEDA no evidence of disease activity, ns not significant

^aIn one patient, it was not possible to perform MRI studies because he had a metal prosthesis

Table 3 Circulating lymphocyte subsets obtained prior to interferon-beta treatment

Lymphocyte subset	NEDA +, Mdn (25–75% IQR)	NEDA –, Mdn (25–75% IQR)	<i>p</i>	<i>p</i> (Bonferroni correction)
CD19 + B cells	7.6 (6.0–8.9)	11.5 (7.1–14.7)	0.0003	0.006
CD19 + CD5 +	1.9 (1.3–2.5)	4.1 (1.7–5.6)	0.0002	0.004
CD19 + CD5 –	6.1 (4.2–6.9)	6.8 (4.9–9.2)	0.013	0.26
CD19 + CD38 +	0.6 (0.3–1.1)	1.0 (0.5–1.4)	0.02	0.4
CD19 + TNF-alpha +	2.2 (1.4–4.2)	1.5 (1.1–3.7)	ns	
CD19 + IL-10 +	0.1 (0.0–0.2)	0.2 (0.1–0.3)	ns	
CD4 + T cells	45.2 (42.9–52.3)	48.7 (43.4–51.8)	ns	
CD4 + CD25hiFoxP3 +	0.9 (0.6–1.2)	1.8 (1.2–2.2)	0.013	0.26
CD4 + TNF-alpha +	10.5 (8.7–21.2)	9.6 (3.5–20.6)	ns	
CD4 + IFN-gamma +	3.7 (2.8–8.3)	4.6 (1.6–6.6)	ns	
CD4 + IL-17 +	0.2 (0.1–0.4)	0.3 (0.1–0.4)	ns	
CD4 + IL-10 +	0.1 (0.1–0.2)	0.1 (0.1–0.2)	ns	
CD8 + T cells	26.2 (22.3–30.7)	25.2 (21.0–29.2)	ns	
CD8 + perforin +	5.1 (3.1–9.0)	2.2 (1.7–3.7)	0.003	0.06
CD8 + TNF-alpha +	4.8 (2.3–13.3)	3.4 (2.1–7.1)	ns	
CD8 + IFN-gamma +	3.8 (1.8–8.8)	2.9 (2.3–7.6)	ns	
CD8 + IL-17 +	0.0 (0.0–0.1)	0.0 (0.0–0.08)	ns	
NK cells	7.0 (4.7–13.7)	5.4 (2.8–8.3)	ns	
NKT cells	2.5 (1.4–5.2)	4.2 (2.4–7.8)	ns	
CD56bright cells	0.5 (0.3–1.1)	0.6 (0.4–0.9)	ns	

IFN interferon, *IL* interleukin, *IQR* interquartile range, *Mdn* median, *NEDA* no evidence of disease activity, *NK* natural killer, *ns* not significant, *TNF* tumor necrosis factor

Validation of these biomarkers in an independent cohort

We studied NAb, CD19 + CD5 + B cells and CD8 + perforin + T cells in an independent cohort of 49 patients with RRMS treated with IFN-beta. Four patients (8%) developed NAb. All showed a suboptimal response to the drug. We analyzed CD19 + CD5 + B lymphocytes and CD8 + perforin + T cells in the remaining 45 patients. NEDA + patients (13, 28.9% of the total group) showed higher levels of CD8 + T cells expressing perforin ($p = 0.01$, Fig. 3a), thus confirming results obtained in the discovery cohort. However, no significant differences in the percentage of CD19 + CD5 + B lymphocytes were observed (Fig. 3b). Of note, NEDA + and NEDA – patients showed low percentages of CD19 + CD5 + B cells similar to those with optimal responses in the discovery cohort (Fig. 3b). We investigated if this could be due to differences in sample processing, since the analysis was made in whole blood in the discovery cohort and in thawed PBMC in the validation one, and cryopreservation may alter B-cell percentages [18]. We studied a new series of five RRMS patients, analyzed CD19 + CD5 + B cells in whole blood and in cryopreserved cells, and noted that cryopreservation decreased percentages of CD19 + CD5 + B cells (Fig. 3c), thus preventing validation of this biomarker in frozen samples.

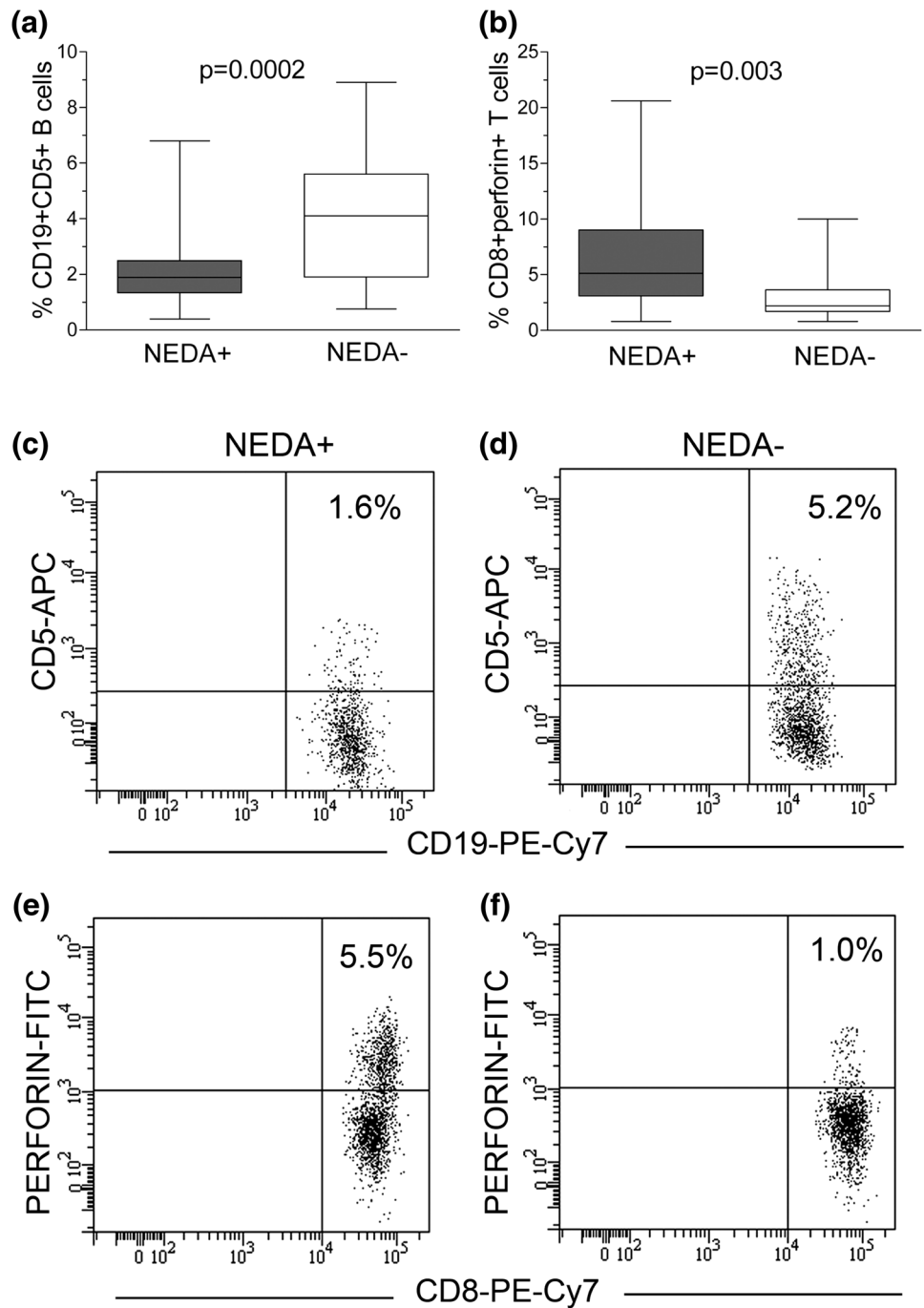
Discussion

The increasing number of treatments actually available in MS and the heterogeneous response of patients to these drugs make necessary the search for biomarkers that allow early identification of optimal responders to every treatment.

Different blood biomarkers were proposed for predicting response to IFN-beta in MS. Circulating CD56 bright NK cells increased after treatment in optimal responders [8], but were not useful to identify them prior to treatment initiation. Serum IL-17F was also proposed as candidate biomarker [9] but could not be validated in later studies [19]. The presence of NAb is the only biomarker currently used to identify sub-optimal responders [20]. Our study showed that no patient developing NAb remained NEDA after 2 years of follow-up, thus confirming the predictive value of these antibodies. The proportion of patients showing these antibodies was higher in patients treated with IFN-beta 1b, thus corroborating that IFN-beta 1a and 1b have different degrees of immunogenicity [21].

We studied in the remaining patients clinical and epidemiological variables, since optimal responders to treatment in MS can include benign patients that tend to have a milder disease course even if they do not receive immunomodulatory treatment. However, no pre-treatment differences were found between NEDA + and NEDA – patients, which

Fig. 2 Percentages of CD19 + CD5 + B cells (a) and CD8 + perforin + T cells (b) shown by patients with no evidence (NEDA +) or ongoing (NEDA -) disease activity before interferon-beta treatment. Representative dot plots showing CD19 + CD5 + B cells from NEDA + (c) and NEDA - (d) patients and CD8 + perforin + T cells from NEDA + (e) and NEDA - (f) patients



suggest benign patients do not represent a substantial proportion of our responder cohort.

In the same way, no differences were observed in serum cytokine concentrations between optimal and suboptimal responders. By contrast, we noticed that NEDA - group had higher levels of Treg. This increase was modest (Indeed, significance was lost when Bonferroni correction was applied) and did not allow patient stratification.

One possible explanation for this phenomenon could be a higher subclinical inflammatory activity in

NEDA - patients. Treg may attempt to counteract this inflammation with only partial success, since in MS these cells show a diminished regulatory function [22].

NEDA + patients showed lower percentages of circulating CD19 + CD5 + B cells and higher proportion of CD8 + perforin + T cells. Both lymphocyte subpopulations play an important role as effector mechanisms in MS pathophysiology. CD19 + CD5 + B cells mediate T-independent B-cell responses, and thus can be activated directly by antigens without needing T-cell co-stimulation. High

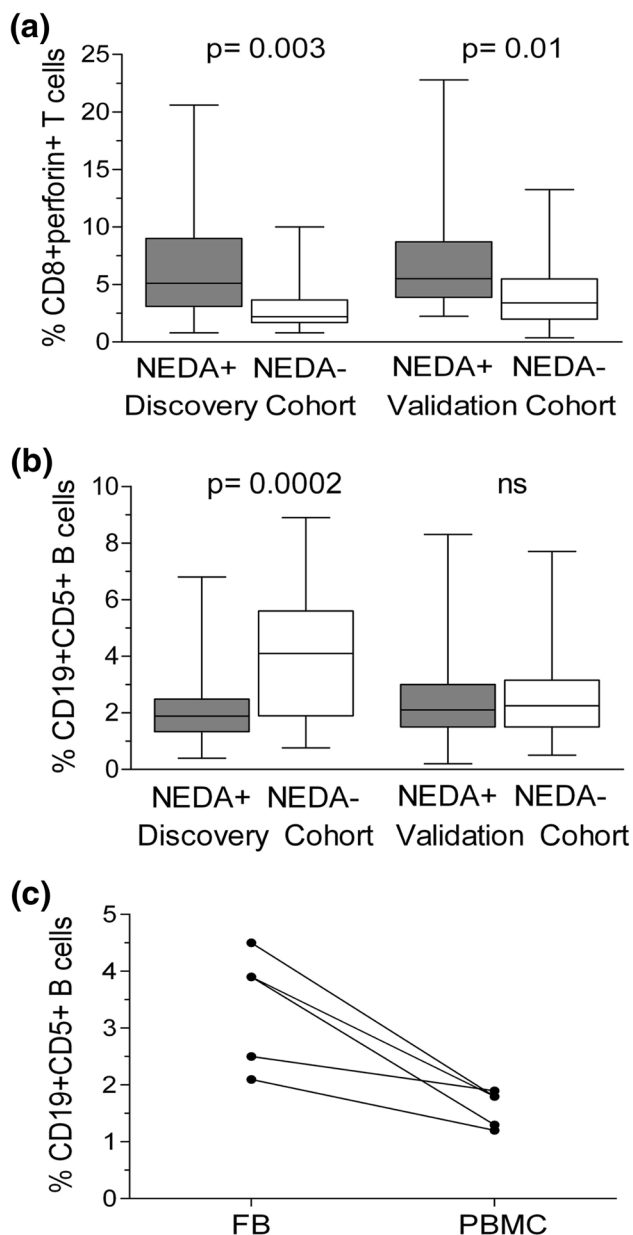


Fig. 3 Differences in CD8 + perforin + T cells between patients with no evidence (NEDA +) or ongoing (NEDA –) disease activity were similar in the discovery and validation cohorts (a). However, the increase in CD19 + CD5 + cells observed in NEDA – patients of the discovery cohort was not confirmed in the validation one (b). We assessed if this could be due to the differences in cell processing in both cohorts and observed that the percentages of CD19 + CD5 + B cells diminished in thawed peripheral blood mononuclear cells (PBMC) compared with fresh blood (FB) (c)

percentages of these cells associated with inflammatory activity in MS [23, 24]. IFN-beta would hardly modulate this T-independent B-cell response since it appears to act primarily on T cells [25]. CD8 + perforin + T cells are activated during Th1 immunological responses and play an important role in MS pathophysiology inducing axonal

damage [26, 27]. These two mechanisms seem to be alternatively predominant in the blood of MS patients, that is, patients with high values of CD8 + perforin + T cells tend to have low levels of CD19 + CD5 + B cells. We hypothesize that IFN-beta may be more effective in patients with increased CD8 + perforin + T cell responses, which depend on IFN-gamma for their activation, than in those in which predominate T-cell independent B-cell responses.

We attempted to validate these results in frozen PBMC from an independent patient cohort. We obtained equivalent results for CD8 + perforin + T cells but could not validate CD19 + CD5 + B cell results since B-cell percentages are altered by freezing [18], thus showing the importance of cell processing in biomarker validation.

Although our results should be confirmed in larger series, these data strongly suggest that the percentage of circulating CD19 + CD5 + B cells and CD8 + perforin + T cells identify patients with a high probability of showing an optimal response to IFN-beta. Both biomarkers are relatively easy to perform and could contribute to a personalized treatment of MS patients in clinical practice.

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Compliance with ethical standards

Ethical statement The study protocol was approved by the Ethics Committee of Hospital Universitario Ramón y Cajal (Madrid, Spain) and of Hospital Universitari Vall d'Hebron (Barcelona, Spain). The study was carried out according to the International Conference on Harmonization Guidelines for Good Clinical Practice and the Declaration of Helsinki.

Informed consent Every patient provided written informed consent before entering the study.

Conflicts of interest LMV, LCF, SSM, JCA-C, JR and XM received payment for lecturing or travel expenses or research Grants or consultancy from Merck-Serono, Biogen, Sanofi-Genzyme, Roche, Bayer and Novartis. The remaining authors declare no conflicts of interest.

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