ORIGINAL COMMUNICATION

Resting-state functional connectivity of dentate nucleus is associated with tremor in Parkinson's disease

Huizi Ma^{1,2} • Huimin Chen^{1,2} • Jinping Fang^{1,2} • Liyan Gao^{1,2} • Lingyan Ma^{1,2} • Tao Wu^{3,4} • Yanan Hou^{3,4} • Jiarong Zhang^{3,4} • Tao Feng^{1,2,3}

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Abstract Cerebello-thalamo-cortical circuit has been indicated important for tremor in Parkinson's disease (PD), but the role of dentate nucleus (DN) in parkinsonian tremor remains unclear. To investigate whether DN plays a role in PD tremor, we recruited 50 PD and 29 age-matched health controls (HC). The patients were divided into tremordominant (TD) and non-tremor-dominant (NTD) groups. We collected resting-state fMRIs data for each subject. The bilateral DN was then chosen as the region of interest to examine PD tremor-related network changes, as well as its correlation with tremor severity. Voxel-wise functional connectivity analysis revealed that the bilateral DN had higher connectivity with the bilateral cerebellar anterior lobe, and had lower connectivity with the bilateral prefrontal cortex in TD compared to the HC and NTD groups. Functional connectivity of the bilateral DN with the bilateral cerebellar posterior lobe was also higher in TD than NTD group. Functional connectivity between the

H. Ma and H. Chen contributed equally to the work.

 \boxtimes Tao Feng bxbkyjs@sina.com

- Department of Neurology, Center for Neurodegenerative Disease, Beijing Tiantan Hospital, Capital Medical University, #6 Tian Tan Xi Li Street, Beijing 100050, China
- ² China National Clinical Research Center for Neurological Diseases, Beijing 100050, China
- ³ Parkinson's Disease Center, Beijing Institute for Brain Disorders, Capital Medical University, Beijing 100069, China
- Department of Neurobiology, Key Laboratory on Neurodegenerative Disorders of Ministry of Education, Beijing Institute of Geriatrics, Xuanwu Hospital, Capital Medical University, Beijing 100053, China

bilateral DN and the bilateral cerebellar posterior lobe showed positive correlation with tremor severity, while that between the bilateral DN and the bilateral prefrontal cortex displayed negative correlation. Our study demonstrates higher dentato-cerebellar connectivity and lower dentatoprefrontal connectivity in TD patients, which might be involved in the pathogenesis of PD tremor. And we conclude that DN might be associated with the pathogenesis of PD tremor.

Keywords fMRI - Dentate nucleus - Parkinson's disease - Tremor - Functional connectivity

Introduction

Tremor is one of the cardinal symptoms of Parkinson's disease (PD). Previous studies report it to be present in up to 80 % of patients with autopsy-proven PD [\[1](#page-8-0)]. However, the neural substrate underlying PD tremor remains largely unknown. Increasing evidence indicated the involvement of the cerebello-thalamo-cortical circuit in the pathophysiology of PD tremor $[2-5]$. The cerebellum, as an important component in the cerebello-thalamo-cortical circuit, has been indicated to be associated with PD tremor in different studies. Hyperactive cerebellum, for instance, was found in PD tremor-related pattern (PDTP) by FDG PET studies [\[6](#page-8-0)]. It has been suggested that dysfunction of basal ganglia triggers tremor while disturbance of cerebellum or primary motor cortex (M1) drives tremor by manipulating its amplitude [\[3](#page-8-0), [7,](#page-8-0) [8](#page-8-0)]. As a major efferent nucleus in the cerebellum, dentate nucleus (DN) connects with the motor cortex via the ventrolateral nuclei of thalamus [containing the ventral intermediate nucleus (VIM)] and red nuclei of the brainstem, and connects with the subthalamic nucleus

(STN) via pons [[9\]](#page-9-0). Deep brain stimulation (DBS) of Vim, STN, or the locations inside or in proximity to the dentatorubro-thalamic tract has been proven effective in reducing PD tremor [[5,](#page-8-0) [10\]](#page-9-0), which implies the participation of DN in PD tremor.

However, the role of DN in the pathophysiology of PD tremor remains unclear. Resting-state functional magnetic resonance imaging (R-fMRI), a non-invasive and promising imaging technique, can be used to measure not only focal brain activity but also functional connectivity between different brain regions [\[11](#page-9-0), [12\]](#page-9-0), and it has been proven helpful for our understanding of pathophysiology of a disease state. With such application, Liu and colleagues reported that the posterior lobe of cerebellum showed higher connectivity with the bilateral DN in PD compared to healthy subjects, while this connectivity was relatively lower in tremor-dominant subtype of PD (TD) compared to non-tremor-dominant (NTD) subtype [\[13](#page-9-0)]. However, this investigation did not report different DN functional connectivity with brain regions in addition to the cerebellum in the TD subtype compared to the NTD subtype. Thus, more studies are needed to further investigate the role of DN in PD tremor-related networks.

In the present study, we used resting-state fMRI to investigate functional connectivity of DN in PD patients with tremor, and explore the correlation between DN functional connectivity and the tremor severity. We hypothesized that the functional connectivity of DN may underlie the pathophysiology of PD tremor.

Methods

Participant

Participants were recruited from Beijing Tiantan Hospital, Capital Medical University. Patients were diagnosed in accordance with the United Kingdom Parkinson's Disease Society Brain Bank (UKPDBB) criteria [[14](#page-9-0)], by two experienced experts on movement disorders. Exclusion criteria were: (1) diagnosis uncertain for PD or suspicious of parkinsonism syndrome (vascular, drug induced, toxin induced, post-infectious parkinsonism), multiple system atrophy, corticobasal ganglionic degeneration, or progressive supranuclear palsy; (2) a history of stroke, moderate to severe head trauma, hydrocephalus, brain surgery or brain tumor; (3) a contraindication for MRI scan (pacemaker implanted, etc.); (4) inability to cooperate or communicate; (5) Mini-Mental State Exam (MMSE) score \leq 24. Health controls were chosen with normal neurological statue and movement function, absence of neurological or psychiatric disease, as well as MMSE >24 . All procedures were approved and supervised by the ethics committee of

Beijing Tiantan Hospital, in accordance with the Declaration of Helsinki. Informed consent was obtained either from the participants or their closest relatives.

Demographic information, including age at assessment, disease duration, Hoehn and Yahr (H–Y) stage, Unified Parkinson's Disease Rating Scale (UPDRS) in the off state and levodopa daily dosage, were collected for each patient. In our current study, NTD represented posture instability/gait difficulty (PIGD) subtype. The TD and NTD groups were defined by UPDRS, where the ratio of the mean UPDRS tremor scores [UPDRS item 16, tremor; item 20, rest tremor (face, right upper extremity, left upper extremity, right lower extremity, left lower extremity); item 21, action tremor (right upper extremity, left upper extremity)] to the mean UPDRS PIGD scores (UPDRS item 13, falling; item 14, freezing; item 15, walking; item 29, gait; item 30, postural stability) was calculated. Patients with a ratio >1.5 were classified as TD and ratio <1 as NTD. Additionally, patients who had a positive mean in the numerator and zero in the denominator were classified as TD; patients with a zero in the numerator and a positive mean in the denominator were classified as NTD [[15\]](#page-9-0).

Data acquisition

fMRIs were performed on a 3T MR scanner (Trio system; Siemens Magnetom scanner, Erlangen, Germany). Highresolution anatomical images were acquired with 3D-MPRAGE sequence (TR = 2530 ms, TE = 3.39 ms, 128 axial slices, 1.33 mm thickness, $FOV = 256$ mm). BOLD data were acquired with gradient-echo echo-planar sequences (TR = 2000 ms, TE = 30 ms, 33 axial slices, 3.5 mm thickness, flip angle = 90° , FOV = 220 mm, voxel size = $4 \times 4 \times 5$ mm³). Two hundred and forty volumes were obtained from 79 individuals (25 TD, 25 NTD, 29 HC).

Before scanning, patients were washed off with levodopa, i.e. without taking levodopa drugs for at least 12 h. Each individual was asked to stay static, keep their eyes closed and think of nothing during scanning.

Data preprocessing

fMRIs data were processed with Data Processing Assistant for Resting-State fMRI V2.3 (DPARSF V2.3, [http://rfmri.](http://rfmri.org/dparsf_v2_3) org/dparsf $v2\overline{3}$ [\[16](#page-9-0)]. Data were initially transformed into NIFTI format, and the first 10 volumes were excluded for magnetization stabilization. The remaining images were slice-time corrected, and aligned to the first image of each session for motion correction. Subjects with head motion parameter greater than 3 mm maximum translation in x , y , or z, or 3° of maximum rotation about three axes were excluded. The remaining imaging data were spatially

normalized with Montreal Neurological Institute (MNI) template, re-sampled to 3-mm isotropic voxels and smoothed with Gaussian filter of 4 mm of full width at half maximum (FWHM). Resulting data were then detrended and temporally band-pass filtered (0.01–0.08 Hz) to reduce low-frequency drifts and physiological high-frequency respiratory and cardiac noise. For functional connectivity analysis, regression of nuisance covariates derived from the head motion, the white matter signal, the global mean signal, and the cerebrospinal fluid signal was performed.

Each subject's head motion parameters were examined. Since correlation analysis is sensitive to gross head motion, we further evaluated effect of head motion via the mean framewise displacement (FD) derived with Jenkinson's relative root mean square (RMS) algorithm, which was initiated by Jenkinson [\[17](#page-9-0)] and was further used by other studies [[18,](#page-9-0) [19\]](#page-9-0). Parameters were averaged for each subject and then compared among groups by ANOVA analysis.

Definition of regions of interest (ROIs)

We chose the bilateral DN as ROIs for functional connectivity analysis. The centers of the ROIs were defined as $(-16.5, -60, -38)$ on the left and $(17, -59, -38)$ on the right according to a probabilistic 3D atlas of the human cerebellar DN in terms of MNI coordinate [[20\]](#page-9-0). The diameters of the ROIs were defined as 3.6 mm on the left and 4 mm on the right. According to Dimitrova's description, such ROI definition could reach a 61–71 % overlap for the bilateral DN of all 63 healthy subjects [[20\]](#page-9-0) (Fig. 1).

Functional connectivity analysis

Functional connectivity was analyzed using the REST Toolkit [\(http://restfmri.net/forum/REST_V1.8\)](http://restfmri.net/forum/REST_V1.8). A seed

reference time course was obtained by averaging the time courses within each ROI. Correlation analysis was carried out between the seed reference and the whole brain in a voxel-wise manner. The individual correlation coefficients were further transformed to z values using the Fisher r-to-z transformation. One-sample t test with levodopa dosage as a covariate was applied to investigate functional connectivity within each group. The correction threshold was determined as $p < 0.05$ and cluster size >5400 mm³, which corresponded to a corrected $p < 0.05$ by Monte Carlo simulations with the program AlphaSim in AFNI [\(http://afni.nih.gov/afni/docpdf/AlphaSim.pdf\)](http://afni.nih.gov/afni/docpdf/AlphaSim.pdf). To examine the differences in the DN functional connectivity among the three groups, a one-way analysis of covariance (ANCOVA) was performed in a voxel-wise manner with levodopa daily dosage treated as a covariant factor. The statistical significant level was set at $p < 0.05$ and cluster size >2160 mm³, which corresponded to a corrected $p<0.05$. To determine inter-group differences in DN functional connectivity, a subsequent post hoc analysis with the general linear model (GLM) was further performed (within a mask showing significant group differences in the ANCOVA analysis). The statistical significant level was set at $p < 0.05$ and cluster size > 1080 mm³, which corresponded to a corrected $p < 0.02$. Finally, we correlated UPDRS tremor score with DN functional connectivity in PD patients (within a mask showing significant group differences in ANCOVA). The statistical significant level was set at $p < 0.05$ and cluster size > 540 mm³, which corresponded to a corrected $p < 0.05$.

Results

Demographics

Three groups were age and gender matched. Levodopa dosage was significantly lower in TD group ($p = 0.006$) with Mann–Whitney Test), in keeping with clinical observation that NTD requires larger levodopa dosage to improve motor function. As for other parameters, no significant difference was detected (Table [1\)](#page-3-0).

Head motion

Head motion influences the measurement of the intrinsic functional connectivity. To evaluate the intra-group differences in head motion, we applied mean framewise displacement (FD) Jenkinson measurement and ANOVA analysis. The Jenkinson parameters were 0.118 ± 0.080 (range 0.036–0.381) mm in HC group, 0.093 ± 0.050 (range 0.029–0.195) mm in TD group, and 0.094 ± 0.048 Fig. 1 ROI presentation of DN (range 0.034–0.202) mm in NTD group. There was no

Table 1 Demographics of TD, NTD and HC groups

TD tremor-dominant subtype of Parkinson's disease, NTD non-tremor-dominant subtype of Parkinson's disease, HC health control

 $*$ p < 0.05

^a Data were expressed as mean \pm SD

^b Data were expressed as median and interquartile range

- ^c Comparison were made among three groups
- ^d Comparison were made in TD and NTD groups

Fig. 2 Brain regions that functionally connected with the bilateral DN in HC, TD and NTD groups. The statistical significant level was set at $p < 0.05$ and cluster size >5400 mm³. Cool color represents negative correlation and warm color represents positive correlation.

significant difference in head motion among three groups $(p = 0.401)$. Therefore, TD, NTD and HC groups in the present study were similar in head motion characteristics.

Functional connectivity results within each group

In HC group, the DN positively connected with the bilateral cerebellar anterior and posterior lobes, the bilateral thalamus, the bilateral striatum (putamen and caudate), the bilateral globus pallidus and the brainstem; and negatively connected with the bilateral prefrontal cortex, the bilateral middle occipital lobe and the bilateral inferior parietal lobule (Fig. 2).

In TD and NTD groups, DN functional connectivity showed similar pattern with HC group in the bilateral The *left side* of the image corresponds to the *right side* of the brain in axial orientation; Slice coordinates according to MNI space are shown in the upper right corner of the slices, indicating Z axis in axial orientation

cerebellar anterior and posterior lobes, the bilateral thalamus, the bilateral globus pallidus, the bilateral inferior parietal lobule and the bilateral middle occipital lobe. In addition, DN had scarce functional connectivity with the putamen and caudate in TD and NTD groups (Fig. 2).

ANCOVA analysis for intra-group differences in the DN functional connectivity

The ANCOVA results revealed that the bilateral DN had different functional connectivity with the bilateral cerebellar anterior and posterior lobes, the bilateral prefrontal cortex, the bilateral caudate nucleus, and the right parieto-occipital area among TD, NTD and HC groups (Fig. [3\)](#page-4-0).

Fig. 3 Brain maps for differences in the DN functional connectivity among TD, NTD and HC groups. The statistical threshold was set at $p < 0.05$ and cluster size >2160 mm³, which corresponds to a corrected $p < 0.05$. Brain regions in the bilateral cerebellar anterior

and posterior lobe, the bilateral prefrontal cortex, the bilateral caudate nucleus and the right parieto-occipital area showed significantly different functional connectivity with the bilateral DN among TD, NTD and HC groups

Post hoc analysis for inter-group difference in the DN functional connectivity

Compared to the controls, the TD patients exhibited higher functional connectivity between the bilateral DN and the bilateral cerebellar anterior lobe, the left inferior temporal lobe, the right inferior frontal lobe, and the right parahippocampal, as well as lower functional connectivity between the bilateral DN and the left caudate, the right thalamus, the bilateral prefrontal cortex, and the right superior parietal lobule ($p < 0.02$, corrected) (Table [2;](#page-5-0) Fig. [4\)](#page-6-0).

Compared to the NTD group, the TD patients displayed higher functional connectivity of the bilateral DN with the left inferior temporal gyrus, the bilateral cerebellar anterior and posterior lobes, as well as lower functional connectivity between the bilateral DN with the bilateral prefrontal cortex, and the right superior parietal lobule ($p < 0.02$, corrected) (Table [2](#page-5-0); Fig. [4\)](#page-6-0).

In comparison between the NTD and HC groups, NTD group had higher DN functional connectivity with the right inferior frontal gyrus and the left superior temporal gyrus, and had lower DN functional connectivity with the left cerebellar posterior lobe, the right prefrontal cortex, the left caudate, and the left fusiform gyrus compared to the HC group.

Correlation of DN functional connectivity with tremor severity

In PD patients (including the TD and NTD groups), the functional connectivity of the bilateral DN with the left cerebellar posterior lobe $(r = 0.67)$, and with the left cerebellar posterior lobe $(r = 0.63)$ showed positive correlation with the UPDRS tremor score. And the functional connectivity of the bilateral DN with the bilateral prefrontal cortex, and with the right superior occipital lobe showed negative correlation with the UPDRS tremor score (Table [3;](#page-7-0) Fig. [5\)](#page-7-0).

Discussion

The current study investigated resting-state functional connectivity of the dentate nucleus (DN) in PD patients with tremor. Our main findings include: (1) DN functional connectivity with the bilateral cerebellar anterior lobe was higher in TD group compared to the HC and NTD groups, and that with the bilateral cerebellar posterior lobe was higher in TD group compared to the NTD group. (2) DN functional connectivity with the bilateral prefrontal cortex was lower in TD compared to the HC and NTD groups. (3) The UPDRS tremor score positively correlated with the connectivity between the DN and the bilateral cerebellar posterior lobe, whereas it negatively correlated with the connectivity between the bilateral DN and the bilateral prefrontal cortex in PD patients. These findings emphasize the dysfunction of brain motor network in PD tremor, particularly in the cerebellum and the bilateral prefrontal cortex.

Our results showed higher DN functional connectivity with the bilateral cerebellum in TD, which we postulated to be associated with PD tremor as a compensatory effect to overcome impaired motor network. Hyperactivation in the cerebellum has been suggested to compensate for defective basal ganglia [[4,](#page-8-0) [21,](#page-9-0) [22\]](#page-9-0). Previous evidence has indicated that tremor itself might be a compensatory symptom as TD patients have relatively benign nigrostriatal degeneration [\[23](#page-9-0)], displayed slower progression, and symptom tends to

Table 2 Post hoc analysis for inter-group differences in the DN functional connectivity

TD tremor predominant subtype of Parkinson's disease, NTD non-tremor-dominant subtype of Parkinson's disease, HC health control, Mid middle, Inf inferior, Sup superior, L left, R right ($p < 0.02$, alphasim corrected)

decrease with disease progression in some patients [\[24](#page-9-0)]. More recently, hypothesis is raised where the alteration of the cerebello-thalamo-cortex circuit is indicated to lead to PD tremor as a compensatory effect [[3,](#page-8-0) [7,](#page-8-0) [8](#page-8-0), [25](#page-9-0)]. In consistence with such hypothesis, alteration of DN functional connectivity was observed in our study. Differently, we show here enhanced connectivity within the cerebellum, i.e. higher functional connectivity between the DN and the bilateral cerebellar anterior and posterior lobes. In addition, higher dentato-cerebellum connectivity positively correlated with the UPDRS tremor score in PD patients. No other study yet has demonstrated correlation between tremor severity and the DN functional connectivity with the bilateral cerebellar posterior lobe. In contrast to our finding, a previous study found lower connectivity between DN and the posterior lobe of cerebellum in TD group compared to NTD patients [\[13](#page-9-0)]. Such conflict lies possibly in different sample size and disease duration between the two studies. In summary, we postulated higher coupling between the DN and the cerebellar posterior lobe may be associated with PD tremor. And we appealed more investigations of dentato-cerebellar connectivity in PD patients,

Fig. 4 Brain maps for inter-group differences in the DN functional connectivity. The statistical threshold was set at $p < 0.05$ and cluster size >1080 mm³, which corresponds to a corrected $p < 0.02$. Warm colors illustrate regions showing higher functional connectivity in the

former group. a Comparison between the TD and HC groups. b Comparison between the TD and NTD groups. c Comparison between the NTD and HC groups. For the details of the regions, see Table [2](#page-5-0)

such as its reaction towards levodopa or DBS treatment to provide additional evidence.

We also found that the functional connectivity of the DN with the bilateral prefrontal cortex was significantly lower in TD group compared to the HC and the NTD groups, which negatively correlated with tremor severity in PD patients (Fig. 4; Table [2](#page-5-0)). Lower coupling between the striatum and prefrontal area has been frequently observed in PD [\[11](#page-9-0), [26,](#page-9-0) [27\]](#page-9-0), while lower connectivity between the DN and the prefrontal cortex has not been reported in PD

Table 3 Correlation between the DN functional connectivity and UPDRS tremor score in PD patients

Frontal_Mid_R (aal) $BA 6$ 39 0 51 -0.48 57 Mid middle, Inf inferior, Sup superior, Oper operculum, L left, R right ($p < 0.05$, alphasim corrected)

Frontal_Inf_Oper_R (aal) BA 44 48 9 21 -0.65 Frontal_Inf_Oper_L (aal) BA 44 -45 9 21 -0.64 Occipital_Sup_R (aal) BA 7 30 -69 42 -0.57 37 Frontal Mid L (aal) $BA\ 46$ -45 39 15 -0.56

Fig. 5 Correlations of DN functional connectivity with UPDRS tremor score in PD patients. For the brain map, the statistical threshold was set at $p < 0.05$ and cluster size > 540 mm³, which

corresponds to a corrected $p < 0.05$. The scatter plots displayed correlation between the DN functional connectivity of the peak voxels and the tremor score in PD patients

patients. Allen and colleagues reported functional connectivity between the cerebellum and the prefrontal cortex in healthy subjects using fMRI [[28\]](#page-9-0), which was consistent with our findings. The prefrontal cortex can be subdivided into the dorsolateral prefrontal cortex (DLPFC), pre-motor cortex (PMC) and supplementary motor area (SMA), where DLPFC (Brodmann area 46) and PMC (Brodmann area 6) displayed prominent altered functional connectivity with the bilateral DN in TD group as in our study. The DLPFC plays critical role in attention, motor preparation and motor monitoring [[29\]](#page-9-0). The PMC is important in the motor learning and the temporal organization of sequential movements [[30,](#page-9-0) [31](#page-9-0)]. Previous resting-state fMRI study has demonstrated decreased functional connectivity of the subthalamus

nucleus with the DLPFC and the PMC in PD patients [\[11](#page-9-0)], and also between the pre-SMA and PMC [[32\]](#page-9-0), which may be responsible for PD motor dysfunction. Thus, we postulated that decoupling between the DN and the prefrontal cortex may be associated with the movement disturbance in PD, which may in turn advance or set on compensatory physiological alteration to generate PD tremor.

In addition to the prefrontal cortex, we also found that the parieto-occipital area (Mainly in the Brodmann area 7) displayed lower functional connectivity with the DN in TD group than the HC and NTD groups. Besides, functional connectivity between the Brodmann area 7 and the bilateral DN showed negative correlation with the tremor score. The Brodmann area 7 is believed to play a role in visuo-motor coordination (e.g., in reaching to grasp an object) and participates in the motor preparation and monitoring [[33,](#page-9-0) [34](#page-9-0)]. Previous study has demonstrated functional coherence between the DN and the parieto-occipital area in vivo [\[28](#page-9-0)]. Liu and colleagues further showed that PD patients have lower functional connectivity between the DN and Brod-mann area 7 [\[13](#page-9-0)], which is consistent with our findings. Therefore, we speculate that the lower functional connectivity between the DN and the parieto-occipital area may be associated with PD motor dysfunction. However, such speculation needs further explorations.

We acknowledged some limitations in our study. First, there was a mismatch of levodopa dosage between the TD and NTD groups. Such a mismatch is a clinical reflection that NTD patients always require higher drug dosage to maintain motor function. As we treated levodopa daily dosage as a covariate in statistical analyses and also our patients were off levodopa for at least 12 h before scanning, the confounding from mismatched levodopa dosage could be reduced. Second, only moderate correlation between connectivity and tremor score was found in PD, which could be attributed to the narrow range of the tremor score as our patients with at most moderate tremor, to avoid the disturbance of MRI signal. Third, overestimation of correlation strengths can be found in scatter plots by calculating the peak correlation from just these regions that survive correlation analysis [\[35](#page-9-0)], thus more caution should be exercised in interpreting the plotted figures. Finally, it has been suggested that functional connectivity estimates are particularly vulnerable to motion artifact and that group differences in connectivity could be influenced by confounding differences in head motion between groups [\[36](#page-9-0)]. It is likely that data pre-procession such as exclusion of subjects with excessive head motion and regression of head motion parameters will lessen the effects of head motion artifact. In addition, Zeng et al. pointed out that head motion itself may underlie neurological basis in brain imaging [[37\]](#page-9-0). Nevertheless, the effects from individual head motion should still be included as limitation.

Conclusion

Our study demonstrates higher dentato-cerebellar connectivity and lower dentato-prefrontal connectivity in TD patients, which might be involved in the pathogenesis of PD tremor. We conclude that DN might be associated with the pathogenesis of PD tremor. Whether the DN has the potential to be an effective target for treatment of PD tremor is worth future investigations.

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Compliance with ethical standards

Conflicts of interest No conflict existsamong authors.

Ethical standard All procedures were approved and supervised by the ethics committee of Beijing Tiantan Hospital, in accordance with the Declaration of Helsinki.

Informed consent Informed consent was obtained either from the participants or their closest relatives.

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