

# Where is Noninvasive Ventilation Actually Delivered for Acute Respiratory Failure?

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## Abstract

**Purpose** Few studies have examined locations of noninvasive ventilation (NIV) application for acute respiratory failure (ARF). We aimed to track actual locations of NIV delivery and related outcomes.

**Methods** Observational cohort study based at 8 acute care hospitals in Massachusetts on adult patients admitted for ARF requiring ventilatory support during pre-determined time intervals.

**Results** Of 1225 ventilator starts, 499 were NIV; 209 (42 %) in intensive care units (ICU), 185 (37 %) in emergency departments (ED), 91 (18 %) on general wards, and 14 (3 %) in other units. Utilization (% of all ventilator

starts) (1), success (2) and in-hospital mortality (3) rates for patients initiated on NIV in ICU, ED, and general and other wards were (1) 38, 36, 73, and 52 %, (2) 60, 77, 68, and 93 % and (3) 25, 12, 17, and 0 %, respectively ( $p < 0.05$  for all). Patients with acute-on-chronic lung disease (ACLD) and acute pulmonary edema (APE) were begun on NIV most often in EDs and patients with ‘de novo’ ARF and neurologic disorders most often in ICU’s. Approximately 2/3 of patients begun on NIV outside of ICUs were transferred within 72 h to ICUs, wards or other units.

**Conclusions** Most NIV starts occurred in ICUs and EDs but utilization rate was highest (>50 %) on general wards where a fifth of NIV starts took place. Actual location depended on etiology of ARF as patients with ACLD and APE were started more often in EDs and “de novo” ARF in ICU. NIV failure and mortality rates were higher in ICUs related to the greater proportion of patients with “de novo” ARF.

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**Keywords** Noninvasive ventilation · Acute respiratory failure · Emergency care · Intensive care units · General wards

## Abbreviations

ACLD Acute-on-chronic lung disease  
APE Acute pulmonary edema  
ARF Acute respiratory failure  
BMI Body mass index  
COPD Chronic obstructive pulmonary disease  
CPAP Continuous positive airway pressure  
ED Emergency department  
EPAP Expiratory positive airway pressure  
ETI Endotracheal intubation  
ICU Intensive care unit  
INV Invasive mechanical ventilation

IPAP	Inspiratory positive airway pressure
LOS	Length of stay
MV	Mechanical ventilation
NIV	Noninvasive mechanical ventilation

## Introduction

Noninvasive mechanical ventilation (NIV) has been increasingly used worldwide for acute respiratory failure (ARF), mainly in patients with chronic obstructive pulmonary disease (COPD) and acute pulmonary edema (APE) [1–12]. Location of NIV treatment is an important predictor of NIV success which is associated with favorable outcomes of ARF [4, 10, 13]. The patient's severity of illness, need for monitoring and capabilities of the location to provide monitoring and skilled, experienced staff are important in deciding on the location of NIV application [13–18].

In our prior survey done between 2002 and 2003 in Massachusetts and Rhode Island; over half of the NIV starts took place in intensive care units (ICU), approximately a quarter in emergency departments (ED) and one fifth in general hospital wards [19]. Given the current focus on optimal use of resources, there has been increasing pressure to treat NIV patients outside of the ICU [20–27]. However, few studies have examined locations and associated outcomes of NIV application in acute care hospitals.

Therefore, we conducted an observational cohort study with on-site data collection at selected hospitals that participated in our previous survey [18] to determine rates for utilization, success, and mortality for NIV management as well as patient characteristics and outcomes in different hospital locations and the flow of patients between different locations.

## Methods

### Study Design and Patient Population

Eight of 76 medical centers from our prior survey [19] were selected as described previously [11]. The Institutional Review Boards of participating institutions approved the study (Tufts ID #7642) and waived the need for patient consent. The database was accrued for a block design study testing the impact of an educational intervention on NIV use and its outcomes for patients with ARF (The results of the impact of educational intervention will be reported separately). Characteristics of the hospitals randomized either to control or educational intervention groups are shown in Table 1.

Participants were enrolled prospectively at each institution during sequential 3-month periods: 1-at baseline (Pre) between January 1, 2004 and August 3, 2007, and 2-after the intervention or control periods (Post) between August 25, 2005 and December 26, 2009. Given that there were no significant time-related differences, the pre and post periods were combined to increase the number of enrollees.

All patients in whom NIV (continuous positive airway pressure (CPAP) or pressure support ventilation and positive end expiratory pressure) or invasive mechanical ventilation (INV) was initiated at any time throughout their hospitalization were screened. To maximize the number of patients for this analysis, patients in the interventional and control and pre- and post-surveys were combined. Although NIV utilization rates increased from 31 to 41 % of all ventilator starts in each unit in test centers after the educational intervention (with no significant difference in control centers), it was significant only in ICUs (from 26 to 42 % of all ventilator starts in ICU) ( $p < 0.05$ ). Screened patients were enrolled into the study if they required ventilator support for ARF. Patients were excluded if they were 1-intubated for surgery/procedures or prior to admission, 2-chronic NIV users without any acute deterioration, 3-younger than 18 years old, 4-tracheostomized, or 5-recorded with insufficient data (Fig. 1).

### Data Collection

Respiratory therapists from each hospital prospectively enrolled patients and at the time of enrollment completed standardized data as previously described [11]. The main indications for ventilatory support were classified into 6 groups [11]: (1) acute-on-chronic lung disease (ACLD) (i.e., COPD and other chronic lung diseases); (2) “de novo” ARF (i.e., pneumonia, ARDS); (3) APE; (4) ARF associated with neurologic diseases; (5) cardiopulmonary arrest, and (6) others (post-extubation failure, immune-suppressed with ARF, sepsis, shock and other diseases).

### Outcome Variables

Patients were placed into four groups based on the location of NIV initiation: ED, ICU, general wards, and other (including step-down units). The primary outcome was the utilization rate of NIV as a percentage of all ventilator starts to treat ARF in each unit. Rates of NIV success (avoidance of ETI or death during use of NIV or the subsequent 48 h) [11] and in-hospital mortality per unit were secondary outcomes. Other secondary outcomes included patient characteristics, etiology of ARF, vital signs, gas exchange parameters, duration of mechanical ventilation use, and length of stay in hospital.

**Table 1** Characteristics of participating hospitals

Hospital	Type	Intervention	No. of hospital beds	No. of ICU beds	No. of step-down beds <sup>a</sup>	No. of ED annual visits (1000)
T1	T	I	496	62	14	129
T2	T	I	382	46	0	47
C1	NT	I	220	26	0	58
C2	NT	NI	155	12	0	55
C3	NT	I	210	14	18	45
C4	NT	NI	182	12	0	55
C5	NT	NI	157	10	28	35
C6	NT	I	200	10	0	45
Total			2002	192	60	469

C community, ED Emergency Department, I intervention, ICU intensive care unit, NI no intervention, NT nonteaching, T teaching

<sup>a</sup> Available for NIV use as first-line ventilator support

## Statistical Analysis

Statistical analysis was performed using SPSS statistical analysis software, version 12.0 (SPSS Inc, Chicago, IL, USA). Continuous variables were expressed as median with interquartile range (IQR) and compared using Mann–Whitney U test or Kruskal–Wallis tests. The chi-square test (with Monte Carlo method) was used for categorical data when appropriate. A two-tailed  $p$  value  $<0.05$  was considered statistically significant.

## Results

### Location of NIV Initiation and Continuation

Of 2310 screened cases initiated on mechanical ventilation at our 8 hospitals, 1085 cases were excluded and 1225 ventilator starts in 1208 patients were enrolled into our study. NIV was used as a first-line modality in 499 (41 %) of all ventilator starts. Most patients were initiated in the ED or ICU, in almost equal numbers (Fig. 1). In both locations, most patients were placed on INV whereas on the wards/step-down units, most patients were placed on NIV initially. Among those begun on NIV, initial disposition depended on the location of initiation as shown in Fig. 1. Twenty four patients [mainly with APE (8 patients), ACLD (7) and pneumonia (6)] were managed solely in the ED, with a success rate of 88 %.

Of all 1225 ventilator starts, 27 were initiated in other units (Table 2). Considering that this group was so small and comprised 7 different units, it was excluded from further analysis.

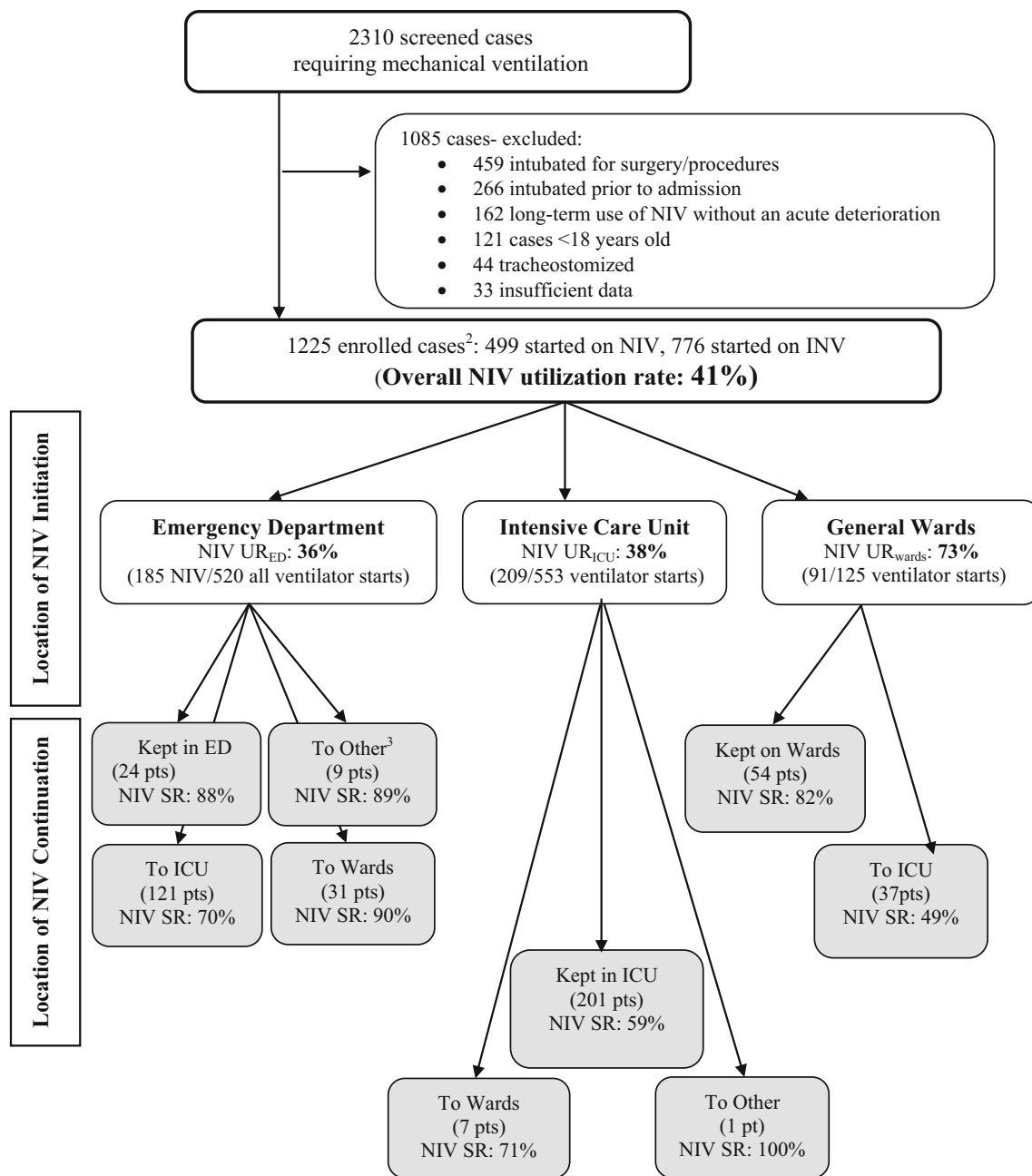
### Patient Characteristics at Baseline

NIV patients were older, heavier, and more apt to have a DNI/DNR status than INV patients (Table 3). Additionally, they were less tachycardic, more tachypneic, and had higher blood pressures. They were also less severely ill than INV patients, with lower SAPS II scores and higher pHs and PaCO<sub>2</sub>s. Among NIV patients initiated in different units; patients on wards had higher BMIs than patients in EDs or ICUs, and vital signs and arterial blood gas findings were significantly different between units with the most severe acidosis and hypercapnia in EDs.

Utilization rates of NIV differed for etiologies of ARF between units; being highest for all etiologies in general wards ( $p < 0.05$ ) (Table 3). Patients with ACLD, APE, or a DNR or DNI status were begun on NIV most commonly in ED's; whereas patients with 'de novo' ARF were most often begun in ICUs ( $p < 0.05$ , except for those with a DNI status). NIV utilization rates for the various units were significantly different between participating hospitals, as well (Fig. 2).

### Characteristics of NIV Patients Transferred Between Units

Patients transferred to the ICU from either the ED or wards were more tachypneic, acidotic, and hypercapnic and had higher SAPS II scores than patients who remained in those units, associated with a higher rate of NIV failure (Table 4). Compared to those transferred to wards, patients transferred to ICUs were younger, less often had a DNI status, and had lower success rates and longer hospital stays.



**Fig. 1** Flow chart of patients, including consort diagram showing locations of initiation and initial transfer and success rates of patients placed on NIV for ARF (Continued ventilator management was assessed within 72 h of NIV initiation. NIV success rate written in each box belongs to patients mentioned in that box.). ARF acute respiratory failure, ED emergency department, ICU intensive care

unit, INV invasive mechanical ventilation, NIV noninvasive mechanical ventilation, pts patients, SR success rate, UR utilization rate. (1) Twenty-seven of the enrolled patients were admitted to ‘other’ wards and NIV was initiated in 14 of them (UR = 52 %). (2) Includes 3 patients (1 intubated) transferred to other acute care hospitals

### NIV Failure and Mortality Rates and Other Outcomes

NIV success rates were better among patients begun on NIV in the ED and on the wards than in the ICU ( $p < 0.05$ ) (Table 5). Mortality and lengths of stay were greater for NIV starts in the ICU than in the ED, related to the lower

prevalence of ACLD/CPE diagnoses in ICU ( $p < 0.05$ ) (Table 3). As would be expected, mortality rates were lower for NIV than INV in all units. Success and mortality rates of 96 NIV patients with a DNI order were not significantly different between units.

Initial IPAP, EPAP, and CPAP settings were  $12.5 \pm 2.5$ ,  $5.5 \pm 1.2$ , and  $9.2 \pm 2.4$  cm H<sub>2</sub>O, respectively, and were

**Table 2** Characteristics and outcomes of patients initiated on mechanical ventilation in ‘other’ units

	INV ( <i>n</i> = 13)	NIV ( <i>n</i> = 14)	<i>p</i>
Age, years	61 (51–72)	71 (53–79)	NS
DNR/DNI, <i>n</i> (%)	0/0	2 (14)/1 (7)	NS
SAPS II	41 (37–76)	31 (23–37)	0.002
Etiology of ARF, <i>n</i> (%)			0.000
ACLD	0	9 (64)	
‘De novo’	1 (8)	1 (7)	
APE	2 (15)	3 (22)	
Cardiopulmonary arrest	5 (39)	0	
Other <sup>a</sup>	5 (39)	1 (7)	
Location, <i>n</i> (%)			0.000
Progressive care unit/intermediate care unit	0	9 (64)	
Post-anesthesia care unit	1 (8)	2 (15)	
Catheterization laboratory	6 (46)	3 (21)	
Operation room	3 (23)	0	
Hemodialysis	2 (15)	0	
Radiology department	1 (8)	0	
NIV success rate, %		13 (93)	
In-hospital mortality rate, %	4 (31)	0	0.01

Continuous variables are expressed as median with interquartile range in parenthesis

ACL D Acute-on-chronic lung disease, ARF acute respiratory failure, DNI do-not-intubate, DNR do-not-resuscitate, INV invasive mechanical ventilation, NIV noninvasive mechanical ventilation, NS non-significant, SAPS simplified acute physiology score

<sup>a</sup> Other diagnosis included patients with epiglottitis (3), cardiogenic shock (1) and severe arrhythmia (1) in INV, and patient with postoperative ARF (1) in NIV groups

similar between units (data not shown;  $p > 0.05$ ). Complications such as pneumonia, pneumothorax, and vomiting into the mask were similarly infrequent among the units; whereas patients were intolerant of NIV more often when initiated in wards (17 %) and less often in EDs (7 %) ( $p < 0.05$ ). Sedation/analgesia (especially morphine and lorazepam) was used in 91 patients (19 %), with similar rates in units (20, 15, and 25 % of NIV patients in ICU, ED and wards, respectively). Propofol and fentanyl were used only in the ICU; whereas benzodiazepines, morphine, and other opioids were used in all units.

## Discussion

In this study, we found that most patients with ARF were started on NIV in closely monitored settings, including the ICU and ED; whereas roughly one fifth of NIV starts occurred on general wards. On the other hand, the highest rate of NIV use as a percentage of all ventilator starts was on general wards, followed by other units (including step-down units), ICU’s and ED’s. The location for NIV start was associated with causal diagnosis of ARF, with starts for ACLD and APE occurring more often in EDs and “de novo” ARF in ICUs. We also observed that most NIV

patients were transferred to other locations soon after initiation, except for those started in the ICU. NIV failure and in-hospital mortality rates were significantly different between units, being higher in ICU patients, explained by differing diagnoses.

Evidence of benefits of NIV for selected patients with ARF has accrued over the past couple of decades, not only for patients treated in ICUs, but also outside of the ICU [6, 12, 21–24, 28–30]. Although ICUs provide an appropriate, safe environment for NIV use, bed availability is limited and cost is much higher [14]. Moreover, hospital staff, both inside and outside of the ICU, is gaining skill and knowledge with the implementation of NIV as they gain more experience, rendering out-of-ICU NIV use safer.

We found that although only a fifth of our NIV patients were started on general wards, NIV utilization rate was highest for general wards (73 %), reflecting the higher rates of ACLD and DNI status there compared to other units. These patients were not as acutely ill as those started in the ED, as indicated by their lower heart and respiratory rates and less hypercapnia, and NIV success rate for patients managed on general wards was quite favorable, in the 80–90 % range. These results are consistent with current guidelines that recommend use of NIV in EDs and ICUs, as well as on general wards, as long as patients are

**Table 3** Demographics, vital signs, and arterial blood gas values based on location of initiation and mechanical ventilation type

	ICU ( <i>n</i> = 553)		ED ( <i>n</i> = 520)		WARDS ( <i>n</i> = 125)	
	INV (344)	NIV (209)	INV (335)	NIV (185)	INV (34)	NIV (91)
Age, years	67 (56–78)	72 (63–79)*	63 (49–78)	72 (61–82)*	66 (52–77)	70 (59–78)
BMI <sup>a,1</sup> , kg/m <sup>2</sup>	27 (23–31)	27 (23–33)	25 (21–29)	26 (21–32)	25 (22–29)	28 (23–38)*
Female sex, <i>n</i> (%)	141 (41)	94 (45)	172 (51)	92 (50)	17 (50)	49 (54)
DNR <sup>1,2</sup> /DNI, <i>n</i> (%)	27 (8)/2 (1)	34 (16)*/31 (15)*	23 (7)/ 3 (1)	54 (29)*/ 44 (24)*	2 (6)/ 0	21 (23)*/ 21 (23)*
Heart rate <sup>b,1,2,4</sup> , bpm	103 (87–121)	94 (82–106)*	102 (81–123)	105 (85–122)	92 (0–121)	91 (80–109)
Systolic BP <sup>c,1,2</sup> , mmHg	110 (90–139)	120 (104–140)*	129 (98–158)	136 (113–156)*	89 (63–144)	128 (105–147)*
Diastolic BP <sup>d,1,2,3</sup> , mmHg	60 (48–75)	61 (51–73)	70 (53–90)	73 (58–90)	47 (27–79)	70 (57–84)*
Resp. rate <sup>e,1,2,4</sup> , / min	22 (16–30)	24 (20–30)*	19 (14–27)	28 (24–34)*	16 (0–28)	24 (20–29)*
pH <sup>f,1,2</sup>	7.31 (7.20–7.41)	7.33 (7.25–7.41)*	7.27 (7.13–7.36)	7.28 (7.22–7.33)	7.30 (7.06–7.42)	7.30 (7.22–7.41)
pCO <sub>2</sub> <sup>g,1,2,4</sup> , mmHg	40 (33–53)	55 (40–73)*	46 (37–66)	72 (55–87)*	43 (35–76)	57 (41–76)
pO <sub>2</sub> /FiO <sub>2</sub> <sup>h</sup>	153 (99–239)	173 (116–275)	161 (94–238)	184 (130–214)	170 (47–440)	153 (96–232)
HCO <sub>3</sub> <sup>i,1,2,4</sup>	22 (17–26)	28 (23–34)*	23 (18–28)	31 (25–39)*	23 (18–29)	27 (23–35)*
SAPS II <sup>j</sup>	47 (36–59)	36 (28–46)*	44 (32–55)	35 (28–42)*	60 (42–84)	33 (25–42)*
Etiology of ARF <sup>k,1</sup>						
<i>n</i> (% within INV or NIV group; % utilization rate)						
ACLD	20 (6; 21)	74 (35; 79)	36 (11; 30)	83 (45; 70)	1 (3; 2)	43 (48; 98)
‘De novo’ ARF	76 (22; 55)	62 (30; 45)	53 (16; 60)	36 (19; 40)	8 (23; 27)	22 (24; 73)
APE	40 (12; 43)	53 (25; 57)	34 (10; 36)	60 (32;64)	3 (9; 13)	20 (22; 87)
Neurologic disorders	64 (19; 94)	4 (2; 6)	125 (37; 98)	3 (2; 2)	7 (21; 70)	3 (3; 30)
CP arrest	59 (17; 100)	0 (0;0)	40 (12; 100)	0 (0; 0)	15 (44; 100)	0 (0; 0)
Other diagnosis <sup>k</sup>	85 (24; 84)	16 (8; 16)	47 (14; 94)	3 (2; 6)	0 (0; 0)	3 (3; 100)

Continuous variables are expressed as median with interquartile range in parenthesis

Abbreviations as per Tables 1 and 2. Also *BMI* body mass index, *BP* blood pressure, *CP* cardiopulmonary

Data available for <sup>a</sup> 1105 cases, <sup>b</sup> 1064 cases, <sup>c</sup> 1017 cases, <sup>d</sup> 1007 cases, <sup>e</sup> 991 cases, <sup>f</sup> 702 cases, <sup>g</sup> 703 cases, <sup>h</sup> 701 cases, <sup>i</sup> 197 cases, <sup>j</sup> 1179 cases

<sup>k</sup> Post-extubation failure, immune-suppressed with ARF, sepsis and other diseases

For comparison of INV vs.NIV groups within each unit: \*  $p < 0.05$ ;  $p$  values for remaining ones are not significant

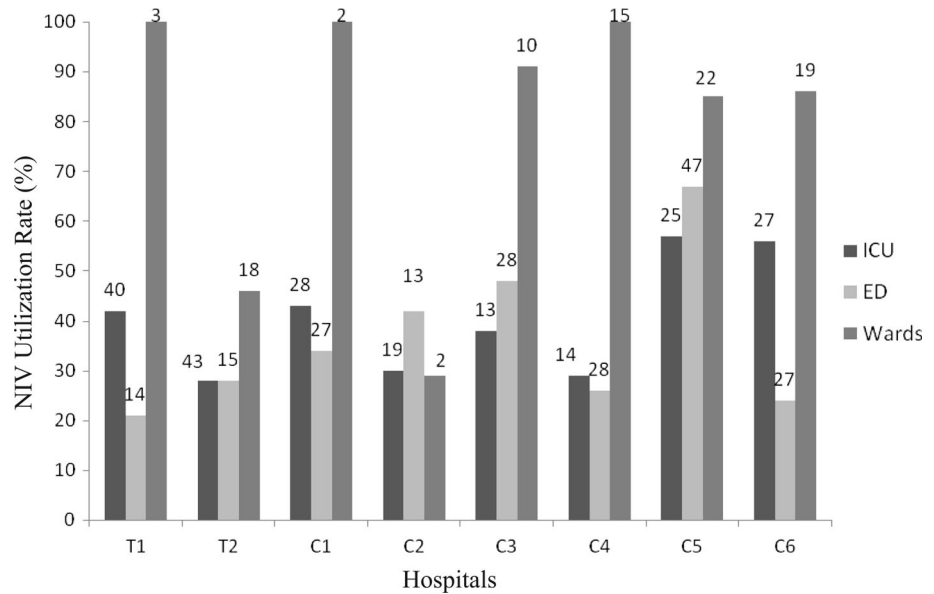
For comparison of NIV groups in each unit: <sup>1</sup>  $p < 0.05$  among all units;  $p < 0.016$  for <sup>2</sup> ICU vs. ED, <sup>3</sup> ICU vs. wards, <sup>4</sup> ED vs. wards

appropriately selected and managed by medical staff with adequate training [2, 3].

In our prior survey done between 2002 and 2003 in the same region [19], 55 % of NIV starts were in the ICU, 26 % in the ED and 18 % on general wards, similar to another Massachusetts study from a single teaching hospital that reported in 2001 that 47 % of NIV starts occurred in the ICU as opposed to 33 % on general wards and 20 % in the ED [25]. The higher percentage of NIV starts in the

ED (36 %) in our current study may reflect increasing use of NIV in EDs prior to ICU or ward admission. Paus-Jenssen et al. reported from a single Canadian tertiary care institution that 32 % of NIV starts occurred in the ED, 27 % in the ICU, 23 % in a ward observation unit and 18 % on general wards [31]. Sinuff et al. reported even higher rates for NIV starts in the ED (62 %) from another Canadian Teaching Hospital [32]. These variations may reflect different times of data collection as well as

**Fig. 2** Distribution of NIV utilization rates for all ventilator starts in each unit among participating hospitals (Numbers given on the bars are the absolute number of NIV patients.). Abbreviations as per Fig. 1. Also C community, T teaching.  $p < 0.05$  for NIV utilization rates in each unit among participating hospitals



**Table 4** Characteristics of patients initiated on NIV outside of ICU and continued mechanical ventilation there compared to those transferred to a different unit within 72 h of NIV initiation

	Continued out-of-ICU ( $n = 78$ )	Transfer to ICU ( $n = 158$ )	Transfer to wards ( $n = 31$ )
Age <sup>1,3</sup> , years	73 (60–83)	69 (60–78)	78 (65–85)
Respiratory rate <sup>a,1,2</sup> , /min	24 (22–30)	28 (23–34)	24 (18–32)
DNI status (+) <sup>1,3</sup> , $n$ (%)	22 (28)	31 (20)	12 (29)
pH <sup>b,1,2</sup>	7.30 (7.26–7.38)	7.25 (7.20–7.33)	7.29 (7.24–7.36)
pCO <sub>2</sub> <sup>c,1,2</sup> , mmHg	55 (40–72)	71 (54–89)	76 (60–86)
HCO <sub>3</sub> <sup>d,1,2</sup>	27 (23–34)	31 (24–39)	30 (26–40)
SAPS II <sup>e,1,2</sup>	32 (24–39)	35 (29–43)	37 (30–40)
NIV success rate <sup>1–3</sup> , $n$ (%)	65 (83)	103 (65)	28 (90)
Length of stay <sup>1–3</sup> , days	5 (2–8)	7 (4–12)	5 (3–7)
Total duration of MV <sup>1,2</sup> , days	0.4 (0.1–1.4)	2.4 (0.5–5.4)	1.2 (0.3–3.3)

Abbreviations as per Table 3. Continuous variables are expressed as median with interquartile range in parenthesis

Data available for <sup>a</sup> 233 cases, <sup>b</sup> 161 cases, <sup>c</sup> 162 cases, <sup>d</sup> 160 cases, <sup>e</sup> 259 cases

$p < 0.05$  <sup>1</sup> between all groups, <sup>2</sup> between patients continued outside of ICU vs. those transferred to ICU, <sup>3</sup> between patients transferred to ICU and wards

differences between individual institutions as detected in the current study (Fig. 2). Such differences are very challenging to explain given the many uncontrolled confounding variables, such as differences in patient populations, knowledge and skill levels, resource and staffing levels, and availability of intermediate care units, to name just a few.

Factors that were associated with the site of NIV initiation in our study included the causal diagnosis of ARF. NIV was started most commonly in the ED for patients with ACLD, APE, or DNI/DNR status and in the ICU for cases with ‘de novo’ ARF, consistent with other studies [28, 33]. Patients in our study who started NIV in the ED

were more tachypneic, tachycardic, acidotic, and hypercarbic than those started in other units. Considering that delay in NIV initiation can lead to increased mortality [34, 35], initiation of NIV of these sicker patients in EDs rather than awaiting transfer elsewhere, is almost certainly beneficial.

Our study also tracked transfers of patients within 72 h of initiating NIV. Most patients starting in the ED were transferred to the ICU, whereas the majority of patients starting in the ICU or on the wards or other units remained where they started. Thirteen per cent of NIV patients starting in the ED remained there, a few due to death but most due to sufficient recovery to discontinue NIV. In total,

**Table 5** Outcomes based on location of initiation

	ICU ( $n^a = 344/209/31$ )	ED ( $n^a = 335/185/44$ )	Wards ( $n^a = 34/91/21$ )	Overall ( $n^a = 713/485/96$ )
NIV success rates, %				
All NIV pts <sup>1</sup>	60	77	68	68
NIV pts without DNI <sup>1</sup>	58	76	73	67
NIV pts with DNI	68	80	52	70
Hospital mortality rates, %				
All NIV pts <sup>1</sup>	25 <sup>2</sup>	12 <sup>2</sup>	17 <sup>2</sup>	19 <sup>2</sup>
NIV pts with DNI	45	25	43	35
INV pts <sup>1</sup>	42 <sup>2</sup>	21 <sup>2</sup>	46 <sup>2</sup>	32 <sup>2</sup>
Length of stay, days				
NIV pts <sup>1</sup>	10 (6–17)	5 (3–8)	7 (4–14) <sup>2</sup>	7 (4–13)
INV pts <sup>1</sup>	11 (4–18)	6 (3–12)	13 (5–23) <sup>2</sup>	8 (4–16)
Duration of NIV <sup>b,1</sup> , days	1.0 (0.3–2.5)	0.5 (0.1–2.4)	1.0 (0.3–2.8)	0.8 (0.2–2.4)
Total duration of MV <sup>c</sup> , days				
NIV pts <sup>1</sup>	2.7 (0.6–7.5) <sup>2</sup>	0.9 (0.2–4.0) <sup>2</sup>	1.4 (0.5–4.4) <sup>2</sup>	1.8 (0.4–5.8) <sup>2</sup>
INV pts <sup>1</sup>	3.6 (1.3–8.9) <sup>2</sup>	1.9 (0.8–5.3) <sup>2</sup>	3.4 (1.4–6.6) <sup>2</sup>	2.8 (1.0–7.3) <sup>2</sup>

Abbreviations as per Table 4. Also *pts* patients. Continuous variables are expressed as median with interquartile range in parenthesis

<sup>a</sup> Provides total number of patients started on INV, NIV and NIV patients with DNI order within each unit, <sup>b</sup> Covers duration of NIV as first iteration, <sup>c</sup> Covers duration of all iterations of mechanical ventilation (NIV or INV) through 30 days

$p < 0.05$  <sup>1</sup> for comparison between units, <sup>2</sup> for comparison between NIV vs. INV within unit

72 % of all patients started on NIV ended up being treated in the ICU. Likewise, several single-center studies from North America have found that most patients starting NIV in the ED are transferred to higher acuity units [25, 31, 32]. However, the percent of patients begun outside, and then transferred to the ICU varies considerably between studies, from one tenth in Italy to 56 % in Australia [20, 24]. One Italian study found that only 10 % of NIV patients begun on wards required transfer to the ICU [23]. However, it is important to emphasize that this low transfer rate was achieved using a specially organized medical emergency team [36] that is not available in most institutions. In our study, ICU transfers were more tachypneic, acidotic, and hypercapnic with higher SAPSII scores and less often had a DNI status than ward transfers, thus having a higher perceived need for intensive monitoring.

In our study as in others [6, 25, 28], location of NIV initiation was related to NIV success and in-hospital mortality. NIV success rate was lowest in the ICU (60 %), undoubtedly reflecting the more common diagnosis of “de novo” ARF as opposed to the more common diagnoses of ACLD and APE encountered among patients started in the ED or on general wards where success rates were 77 and 68 %, respectively.

NIV is frequently offered for management of ARF in patients with a DNI order as the ceiling of ventilator care or palliative therapy [37–39]. Thus, as expected, the rate of

DNI status was higher in our NIV patients treated in the ED or on general wards than in the ICU. The in-hospital mortality rate of DNI patients managed with NIV in our study was much lower than reported in recent studies [37, 40], perhaps related to a higher rate of ACLD and APE and lower severity scores in our patients.

Limitations of our study include its observational design, precluding conclusions about the efficacy of care in different units. Additionally, although the total number of patients enrolled is greater than most previous epidemiologic studies, we are still limited by small numbers when comparing sub-groups of patients. Also, considering that this is a regional study, the results may not be generalizable to other regions in the US, or to other countries. This is particularly true for use of intermediate care or step-down units, which were present in only 3 of our 8 institutions and were used infrequently for NIV so that we excluded these for purposes of analysis. Our study also has strengths, including our prospective data collection with efforts to detect every NIV application during a particular time period and our use of multiple hospitals, both community and teaching, to obtain a “real world” glimpse of NIV use.

In conclusion, we found that patients with ARF started NIV mainly in the ED and ICU, with about one fifth starting on general wards; however, the utilization rate was highest for the wards. Once started on NIV, most patients in the ED were transferred to the ICU and most started in



the ICU or on general wards remained there. NIV was started proportionately more often in EDs and less often in ICUs than in our earlier survey, perhaps related to a greater awareness of NIV in EDs. Success rates were higher and mortality rates were lower among patients started on NIV in the ED and on wards, probably reflecting the greater prevalence of diagnoses favoring use of NIV, such as ACLD and APE, compared to ICU patients, but also supporting the idea that NIV is being applied appropriately for most patients in these settings. These findings suggest that NIV is being applied in multiple different locations in acute care hospitals with specific location determined by patient and hospital characteristics.

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#### Compliance with Ethical Standard

**Conflict of interest** Dr. Hill received Research Grants from Respironics, Inc and Breathe Technologies. No other authors report any conflicts of interest.

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