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Distinct characteristics of limbic‑predominant age‑related TDP‑43 encephalopathy in Lewy body disease

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Abstract

Limbic-predominant age-related TDP-43 encephalopathy (LATE) is characterized by the accumulation of TAR-DNA-binding protein 43 (TDP-43) aggregates in older adults. LATE coexists with Lewy body disease (LBD) as well as other neuropathological changes including Alzheimer's disease (AD). We aimed to identify the pathological, clinical, and genetic characteristics of LATE in LBD (LATE-LBD) by comparing it with LATE in AD (LATE-AD), LATE with mixed pathology of LBD and AD (LATE-LBD + AD), and LATE alone (Pure LATE). We analyzed four cohorts of autopsy-confirmed LBD $(n=313)$, AD (*n*=282), LBD+AD (*n*=355), and aging (*n*=111). We assessed the association of LATE with patient profles including LBD subtype and AD neuropathologic change (ADNC). We studied the morphological and distributional diferences between LATE-LBD and LATE-AD. By frequency analysis, we staged LATE-LBD and examined the association with cognitive impairment and genetic risk factors. Demographic analysis showed LATE associated with age in all four cohorts and the frequency of LATE was the highest in LBD+AD followed by AD, LBD, and Aging. LBD subtype and ADNC associated with LATE in LBD or AD but not in LBD+AD. Pathological analysis revealed that the hippocampal distribution of LATE was diferent between LATE-LBD and LATE-AD: neuronal cytoplasmic inclusions were more frequent in cornu ammonis 3 (CA3) in LATE-LBD compared to LATE-AD and abundant fne neurites composed of C-terminal truncated TDP-43 were found mainly in CA2 to subiculum in LATE-LBD, which were not as numerous in LATE-AD. Some of these fne neurites colocalized with phosphorylated α-synuclein. LATE-LBD staging showed LATE neuropathological changes spread in the dentate gyrus and brainstem earlier than in LATE-AD. The presence and prevalence of LATE in LBD associated with cognitive impairment independent of either LBD subtype or ADNC; LATE-LBD stage also associated with the genetic risk variants of *TMEM106B* rs1990622 and *GRN* rs5848. These data highlight clinicopathological and genetic features of LATE-LBD.

Keywords Limbic-predominant age-related TDP-43 encephalopathy · TDP-43 proteinopathy · Lewy body disease · TMEM106B · GRN

Introduction

TAR-DNA-binding protein 43 (TDP-43) proteinopathy is characterized by abnormally phosphorylated, aggregated, cleaved, and mislocalized TDP-43 protein in the central and peripheral nervous systems [\[31](#page-14-0), [39](#page-15-0)]. Although TDP-43

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proteinopathy was frst discovered in cases with amyotrophic lateral sclerosis (ALS) and frontotemporal lobar degeneration (FTLD-TDP) [[39\]](#page-15-0), it is also frequently observed in older adults in a distinct distribution called limbic-predominant age-related TDP-43 encephalopathy (LATE) [\[19,](#page-14-1) [35,](#page-15-1) [42](#page-15-2)]. LATE neuropathological change (LATE-NC) frequently coexists with other neurodegenerative diseases including Alzheimer's disease (AD) [[22,](#page-14-2) [41](#page-15-3)] and Lewy body disease (LBD) [\[2](#page-13-0), [11,](#page-14-3) [32\]](#page-14-4). LATE-NC in AD (LATE-AD) is well characterized [[39](#page-15-0)] and starts in the amygdala spreading to other regions in a stereotypical manner [\[15](#page-14-5), [16](#page-14-6)]. LATE-AD is associated with AD neuropathologic change (ADNC),

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hippocampal sclerosis, brain atrophy, and cognitive impairment [\[1,](#page-13-1) [3,](#page-13-2) [6,](#page-14-7) [13](#page-14-8), [29](#page-14-9), [30,](#page-14-10) [34\]](#page-15-4). In particular, tau pathologies such as neurofbrillary tangles are strongly associated with LATE-NC [\[11](#page-14-3), [14](#page-14-11), [17](#page-14-12), [43](#page-15-5), [46](#page-15-6)]. LATE in LBD (LATE-LBD) is also associated with hippocampal sclerosis and the limbic-predominant subtype of LBD [\[2](#page-13-0), [3,](#page-13-2) [22](#page-14-2), [32,](#page-14-4) [41](#page-15-3)]. Some previous studies have indicated the possibility that pathological tau or α-synuclein infuences the occurrence of TDP-43 pathology [[7,](#page-14-13) [11,](#page-14-3) [26](#page-14-14), [43](#page-15-5)]. Moreover, patients with mixed pathologies of tau, amyloid $β$, α-synuclein, and TDP-43 show more severe cognitive decline [[18](#page-14-15)], suggesting the importance of co-pathologies in clinicopathological feature. Genetic analyses revealed that single-nucleotide polymorphisms (SNPs) associated with increased risk for FTLD-TDP, such as loci in transmembrane protein 106B (*TMEM106B*) [[2](#page-13-0), [12,](#page-14-16) [36](#page-15-7)] and progranulin (*GRN*) [[12,](#page-14-16) [36](#page-15-7)], are also associated with both LATE-AD and LATE-LBD. However, it is unknown whether LATE-LBD has distinct characteristics in distribution or morphology compared with LATE-AD and if LATE-LBD is associated with cognitive impairment independent of LBD subtype or ADNC. Here, we identify morphological and distribution differences between LATE-LBD and LATE-AD and propose a staging of LATE-LBD. In addition, we report the clinical relevance of LATE-LBD independent of LBD subtype and ADNC and identify that genetic risk variants for FTLD-TDP, but not for Lewy body dementia, are associated with LATE-LBD stage.

Materials and methods

Participants

Demographic and neuropathological data were obtained for autopsy cases in the Integrated Neurodegenerative Disease (INDD) database [\[45](#page-15-8), [50](#page-15-9)] at the Center for Neurodegenerative Disease Research (CNDR) from 1985 to 2021. (Table [1\)](#page-2-0). Out of 1997 autopsy cases, the cases with primary neuropathological diagnosis of ALS, FTLD, Pick's disease, argyrophilic grain disease, corticobasal degeneration, progressive supranuclear palsy, multiple system atrophy, Creutzfeldt–Jakob disease, Down syndrome, and fetal brain were excluded. After their exclusion, 1,061 cases were grouped into four cohorts by LBD subtype and ADNC according to $3rd$ and $4th$ McKeith criteria [[23,](#page-14-17) [24](#page-14-18)] and National Institute on Aging and the Alzheimer's Association (NIA-AA) guidelines [[27](#page-14-19), [28\]](#page-14-20): (1) LBD cohort, with cases that had more severe LBD than ADNC; (2) AD cohort, with cases that had "No" Lewy pathology with "Intermediate" or "High" ADNC; (3) LBD + AD cohort, with cases that had equivalent LBD and ADNC progression, or cases that had more advanced ADNC than LBD; and (4) Aging cohort, with cases that had "No" Lewy pathology with

"Low" or "Not" ADNC (Fig. [1](#page-4-0)a). Out of 1,061 cases, a subset of patients had available Mini-Mental State Exam (MMSE) scores (*n*=338–507) and genetic risk variant data $(n=770-987)$.

Neuropathology and pathological analysis

Sixteen regions are routinely examined by neuropathologists (EBL and JQT) as described previously [[45\]](#page-15-8). For TDP-43 staining, the orbitofrontal cortex region was added. The antibodies used in this study are described in Supplementary Table 1, online resource. Antigen retrieval using 88% formic acid was used [[38\]](#page-15-10). The neuropathological changes were rated using a semi-quantitative scale (0, no changes; 0.5, rare; 1, mild; 2, moderate; 3, severe) as described previously [\[45\]](#page-15-8). The cases having neuropathological changes of 1, 2, and 3 were considered as "positive" in this study. LATE-NC in amygdala and hippocampal regions were used for screening of LATE. TDP-43 neuronal cytoplasmic inclusions (NCIs) and TPD-43 fne neurites in the amygdala, periamygdaloid cortex, dentate gyrus, cornu ammonis (CA), subiculum, entorhinal cortex, midbrain, pons, medulla, cingulate gyrus, orbitofrontal cortex, thalamus, lentiform nucleus, superior/middle temporal gyrus, middle frontal gyrus, angular gyrus, occipital gyrus, and cerebellum were further examined (Fig. [1](#page-4-0)a). The images were captured using Observer 7 (Zeiss), TCS SP8 WLL Confocal with STED 3X (Leica), or PANNORAMIC 250 (3DHISTECH) and analyzed using HALO (Indica Labs). To assess the association between LATE and patient profles such as age at death, sex, disease duration, brain weight, hippocampal sclerosis, LBD subtype, and ADNC, multiple logistic regression analysis was used for $LATE(+)$ or $LATE(-)$ with covariates of age at death and sex.

LATE staging

Conditional probability analysis was applied for LATE-LBD stage, LATE-AD stage, and LATE-LBD+AD stage as previously reported [[16](#page-14-6), [21](#page-14-21)]. Briefy, if the number of cases in which the region X was positive and the region Y was negative $(X +, Y -)$ and the number of cases in which the region X was negative and the region Y was positive (X−, Y+) were equally likely, those two regions were considered to be in the same stage. In contrast, if the number of cases with $(X +, Y -)$ and the number of cases with $(X -, Y +)$ were not equally likely, those two regions were considered to be in diferent stages. We used McNemar's test to assess the evidence against the null hypothesis that $(X +, Y -)$ and $(X-, Y+)$ were equally likely and therefore X and Y were part of the same stage. Discordance was compared pairwise across all regions. Midbrain, pons, and medulla regions

Table 1 Demographics and characteristics of participants

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Fig. 1 Comparison of LATE-LBD, LATE-AD, LATE-LBD+AD, ◂and Aging. (**a**) The four cohorts examined for LATE (LBD, AD, LBD+AD, and Aging) and the screening method. (**b**) The ratio of LATE in each cohort. (**c**) Age dependency of LATE-LBD (*P*<0.001), LATE-AD (*P*<0.001), LATE-LBD+AD (*P*=0.002), and Pure LATE (*P*=0.004). (**d**) Association between LATE and LBD subtype (LATE-LBD, *P*=0.012; LATE-LBD+AD, *P*=0.314). (**e**) Association between LATE and ADNC (LATE-LBD, *P*=0.002; LATE-AD, *P*=0.002; LATE-LBD+AD, *P*=0.662). Multiple logistic regression analysis was used with covariates of age at death and sex. **P*<0.05, ***P*<0.01, ****P*<0.001. Abbreviations: *AD* Alzheimer's disease; *ADNC* Alzheimer's disease neuropathologic change; *Amy* amygdala; *Ang* angular gyrus; *CA* cornu ammonis; *CB* cerebellum; *Cing* cingulate gyrus; *DG* dentate gyrus; *EC* entorhinal cortex; *LATE* limbic-predominant age-related encephalopathy; *LBD* Lewy body disease; *LN* lentiform nucleus; *MB* midbrain; Med, medulla; *MF* middle frontal gyrus; *OC* occipital cortex; *OFC* orbitofrontal cortex; *PAC* periamygdaloid cortex; *SMT* superior/middle temporal gyrus; *Sub* subiculum; *Th* thalamus

were combined as "brainstem" region to account for missing regions in some cases.

Cognitive analysis

Clinical diagnosis was prospectively determined for most of the cohorts using established criteria from consensus meetings in various clinical cores from the Penn Alzheimer Disease Research Center, Penn Frontotemporal Degeneration Center, and National Institute on Aging Penn U19 Center [[45,](#page-15-8) [50\]](#page-15-9). The MMSE score [\[9\]](#page-14-22) assessed at the time point closest to death was selected from the INDD database [[45,](#page-15-8) [50](#page-15-9)] and used to assess antemortem global cognitive functioning [\[9](#page-14-22)]. MMSE data for cross-sectional cognitive analysis were available for 130 patients in the LBD cohort, 136 patients in the AD cohort, and 175 patients in the $LBD + AD$ cohort (Supplementary Table 2, online resource). MMSE data for longitudinal cognitive analysis were available for 130 patients in the LBD cohort, 168 patients in the AD cohort, and 209 patients in the LBD+AD cohort (Supplementary Table 3, online resource). Factors that might also infuence MMSE were included in the model: LBD subtype, ADNC, age at MMSE, years of education, and interval from MMSE to death. Analyses collapsed LATE across stages 3 and 4 to increase the sample size.

Genetic analysis

DNA was available in 988 cases from among 1,061 autopsyconfrmed cases. APOE isotype (E2, E3, or E4) was examined in 988 cases. Based on previous literature linking genetic variants to LATE-NC, we focused on *TMEM106B* rs1990622 (*n*=987) [[2](#page-13-0), [48\]](#page-15-11) and *GRN* rs5848 (*n*=928) [[8,](#page-14-23) [25](#page-14-24), [36](#page-15-7)]. We also analyzed *APOE* rs769449 (*n*=771) and *TMEM175* rs6599388 ($n = 770$), which have been reported as genetic risk variants for Lewy body dementia [\[5](#page-14-25)]. Patient demographics are described in Supplementary Table 4, online resource. Genotype data were obtained from multiple datasets from either GWAS, a multiplex SNP panel, PANDoRA [[25\]](#page-14-24), which includes rs1990622 and rs5848, or Taqman assays (ThermoFisher, CA or Applied Biosystems, Foster City, CA) [\[48](#page-15-11)]. In 58 cases rs1990622 genotype was not obtained, and genotype at the highly linked proxy rs1468803 (*D*' = 0.9835 R^2 = 0.9633 in EUR population) was determined by Illumina Infnium Global Screening Array-24 v2.0 BeadChip (Illumina, San Diego, CA). Codominant, major, and minor allele dominant models are presented.

Statistical analysis

All statistical analyses were performed using R-4.0.5 or GraphPad Prism 7. For demographic analysis, multiple logistic regression analysis was used with covariates of age at death and sex. Statistical signifcance was set at *P*<0.05. For pathological analyses, Wilcoxon tests compared diferences between two independent groups and one-way ANOVA with post hoc Tukey test was used to compare three or more variables. Statistical signifcance was set at *P* < 0.05. For conditional probability analysis for LATE-LBD staging, LATE-AD staging, and LATE- $LBD + AD$ staging, McNemar's test was used to assess the evidence against the null hypothesis. We used $P < 0.01$ as a conservative value to determine whether there was sufficient evidence to reject the null hypothesis that two regions were part of the same stage. For cognitive analysis, Wilcoxon rank sum test was used to compare demographic characteristics in LBD patients between $LAT(+)$ and LATE(−) and Kruskal–Wallis rank sum test was used to compare the interval from fnal MMSE to death between LATE($+$) and LATE($-$) in LBD, AD, and LBD + AD cohorts. Analysis of covariance (ANCOVA) using Type II sum of squares was used to compare fnal MMSE performance across LATE (\pm) or LATE stage with covariates of LBD subtype, ADNC, age at MMSE, years of education, and interval from the age at last MMSE measure to death. Statistical signifcance was set at *P*< 0.05. For the longitudinal study of cognitive decline, a liner mixed efects model was applied for the MMSE scores. Cohort, LATE $(+/-)$, interval from MMSE to death, age at onset, and education were included as fxed efects, and the interaction of Cohort, $LAT(+/-)$, and interval from MMSE to death was assessed in the model. For genetic analyses, multiple logistic regression analysis was used to determine the association between genotypes and LATE-LBD $(+/-)$ with covariates of age at death and sex. Ordinal logistic regression generated odds ratios (OR) to determine the association between genotypes and LATE-LBD stage (0, 1, 2, 3, 4) with covariates of age at death and sex. We did not

Fig. 2 Morphological and distributional diference of LATE-NC ◂between LATE-LBD and LATE-AD. (a, **b**) Representative images of LATE-LBD (**a**) and LATE-AD (**b**). (**c**) The ratio of TDP-43 neuronal cytoplasmic inclusions (NCIs) in dentate gyrus (DG), CA3, CA2, CA1, and subiculum. LATE-LBD shows more NCIs than LATE-AD in the CA3 while LATE-AD shows more NCIs than LATE-LBD in the CA1 and subiculum (DG, *P*=0.487; CA3, *P*=0.033; CA2, *P*=0.376; CA1, *P*<0.001; Subiculum, *P*=0.002). (**d**) The number of TDP-43 NCIs/mm² in CA1 of LATE-LBD and LATE-AD. The number of NCIs/mm2 in the CA1 of LATE-AD is 2.5 times higher than LATE-LBD $(P < 0.001)$. (**e**) The % area of TDP-43 fine neurites (FNs) in the CA1 of LATE-LBD and LATE-AD. The % of TDP-43 FNs in the CA1 of LATE-LBD is 5.9 times higher than LATE-LBD $(P=0.005)$. (**f**) Representative images of immunofluorescence using TDP-43 p409/410 and syn303 antibodies, with nucleus staining with DAPI. Bars show 500 µm and 50 µm (enlarged images). **(g)** The % area of TDP-43 p409/410 positive FNs in each region of hippocampus. The TDP-43 p409/410 positive FNs are most observed in the CA1 region (CA1 vs DG, *P*<0.001; CA1 vs. CA3, *P*<0.001; CA1 vs. CA2, *P*=0.043; CA1 vs. Subiculum, *P*<0.001). (**h**) The % colocalized area of TDP-43 p409/410 and syn303 in each region of hippocampus. The TDP-43 p409/410 positive FNs are most observed in the CA2 region (CA2 vs. DG, *P*<0.001; CA2 vs. CA3, *P*<0.001; CA2 vs CA1, *P*<0.001; CA2 vs. Subiculum, *P*<0.001). Mann– Whitney *U* tests compared diferences between two independent groups and one-way ANOVA with post hoc Tukey test was used to compare three or more variables. Statistical signifcance was set at *P*<0.05 level

fnd evidence against the proportional odds assumption. The statistical tests are two-sided. Statistical signifcance was set at $P < 0.05$.

Results

Comparison of LATE between LBD, AD, LBD+AD, and aging

Table [1](#page-2-0) describes the demographics and characteristics of the patients in the cohorts. Cohorts were classifed as described in Fig. [1a](#page-4-0), resulting in the identifcation of 66 LATE-LBD, 95 LATE-AD, 152 LATE-LBD + AD, and 7 Pure LATE (Table [1\)](#page-2-0). The frequency of LATE was the highest in $LBD + AD$ (42.8%) followed by AD (33.7%), LBD (21.1%) , and aging (6.3%) (Fig. [1b](#page-4-0)). Age at death was signifcantly associated with LATE in all cohorts (LBD, OR=1.08, *P*<0.001; AD, OR=1.06, *P*<0.001; LBD+AD, OR=1.04, *P*=0.002; Aging, OR=1.15, *P*=0.004) (Table [1](#page-2-0) and Fig. [1](#page-4-0)c). LBD subtype was signifcantly associated with LATE in LBD (Diffuse or Neocortical > Transitional or Limbic > Brainstem Predominant, $OR = 1.45$, $P = 0.012$) but not in $LBD + AD$ ($P = 0.314$) (Table [1](#page-2-0) and Fig. [1d](#page-4-0)). Likewise, ADNC was signifcantly associated with LATE in LBD (Intermediate > Low > Not, OR = 2.03, $P = 0.002$) and AD (High > Intermediate, $OR = 3.00$, $P = 0.002$) but not in $LBD + AD$ ($P = 0.662$) (Table [1](#page-2-0) and Fig. [1e](#page-4-0)).

Distributional and morphological diferences between LATE‑LBD and LATE‑AD

To see the pathological characteristics of LATE-LBD, we compared LATE-NC in LATE-LBD with that in LATE-AD. The amygdala and hippocampus were frequently affected in both LATE-LBD and LATE-AD (LATE-LBD, ~ 98% of cases with amygdala LATE-NC and~44% with hippocampus LATE-NC; LATE-AD, ~ 96% of cases with amygdala LATE-NC, ~ 72% with hippocampus LATE-NC). In the hippocampus, however, there were distributional and morphological diferences between LATE-LBD and LATE-AD. LATE-LBD had more TDP-43 NCIs than LATE-AD in the CA3 region (CA3, $P = 0.033$), while LATE-AD had more TDP-43 NCIs than LATE-LBD in the CA1 region and subiculum (CA1, *P*<0.001; Subiculum, *P*=0.002) (Fig. [2](#page-6-0)a, b, c). In the CA1 region, even though TDP-43 NCIs were more common in LATE-AD than LATE-LBD ($P < 0.001$) (Fig. [2](#page-6-0)d), TDP-43 fine neurites were more abundant in LATE-LBD than LATE-AD $(P=0.005)$ (Fig. [2e](#page-6-0)).

To examine LATE-NC in more detail in the hippocampus of LATE-LBD, we performed double immunofuorescence using phosphorylated TDP-43 (pSer409/410) and phosphorylated α-synuclein (syn303) antibodies (Fig. [2f](#page-6-0)). Some TDP-43 NCIs were colocalized with phosphorylated α-synuclein, which were mainly observed in CA3 and CA2. Likewise, TDP-43 fne neurites were colocalized with phosphorylated α -synuclein. Although the amount of TDP-43 fne neurites was the most in CA1 (Fig. [2f](#page-6-0), g), the TDP-43 fne neurites that were colocalized with phosphorylated α -synuclein were most frequently observed in CA2 (Fig. [2](#page-6-0)f, h). To examine the composition of these TDP-43 fne neurites, we performed immunohistochemistry using a panel of antibodies which bind to diferent epitopes of TDP-43 (Supplementary Fig. 2a, online resource). Notably, TDP-43 fne neurites were stained by C-terminal TDP-43 antibodies that recognize TDP-43 with known C-terminal truncations but not N-terminal TDP-43 antibodies, suggesting these fne neurites are composed of C-terminal fragments of truncated TDP-43 (Supplementary Fig. 2b, online resource). The possibility that a particular epitope is buried because of the conformation of TDP-43 aggregates was excluded by pre-treating the tissue with 88% formic acid for antigen retrieval [[31](#page-14-0)].

We also studied the LATE-NC associated with neurofibrillary tangles, which is called as TDP-43 type $β$ [\[14\]](#page-14-11), in LATE-LBD, LATE-AD, and LATE-LBD + AD (Supplementary Fig. 2, online source). The amount of LATE-NC colocalized with neurofbrillary tangles stained with PHF1 antibody was significantly associated with the amount pathology of neurofbrillary tangles and neuropil threads $(r=0.49, P=0.009)$. This association was not different between LATE-LBD, LATE-AD, and LATE-LBD + AD $(F(2, 24)=0.52, P=0.602).$

LATE stage in LBD, AD, and LBD+AD

We assessed the frequency of LATE-NC across brain regions (Fig. [3](#page-7-0)). We did not fnd LATE-NC in the occipital cortex or cerebellum. We ordered each region according to the frequency of LATE-NC (Fig. [4a](#page-8-0), and Supplementary Fig. 3a and c, online resource) and we then performed conditional probability analyses to group brain regions into stages of disease (Fig. [4](#page-8-0)b, Supplementary Fig. 3b and d, online resource). These analyses revealed the likely sequential spread of LATE-LBD (Fig. [4](#page-8-0)c). LATE-LBD appears to spread from the amygdala and the periamygdaloid cortex (stage 1) to dentate gyrus, CA, subiculum, and the entorhinal cortex (stage 2), then to the brainstem and cingulate gyrus (stage

Fig. 3 Representative images of LATE-NC in each region of LATE-LBD. Representative images of LATE-NC in the amygdala (**a**), periamygdaloid cortex (**b**), dentate gyrus (**c**), CA (**d**), subiculum (**e**), entorhinal cortex (**f**), midbrain (**g**), pons (**h**), medulla (**i**), cingulate gyrus (**j**), orbitofrontal cortex (k), thalamus (**l**), lentiform

nucleus (**m**), superior/middle temporal gyrus (**n**), middle frontal gyrus (**o**), and angular gyrus (**p**). The density of LATE-NC is higher in the amygdala, periamygdaloid cortex, and hippocampus than other regions. Bar shows 20 µm

Fig. 4 LATE-LBD stage. (**a**) The % of LATE-NC in each area of LATE-LBD. (**b**) Conditional probability of LATE-NC between brain regions. The numbers in red shows *P*<0.01 and the numbers in blue shows *P*<0.05 using McNemar's test. (**c**) Sagittal brain images showing the progression pattern of LATE-NC in LATE-LBD (left) and

LATE-AD (right). Insets show hippocampal images showing dentate gyrus, CA, subiculum, and entorhinal cortex. The numbers in LATE-LBD show LATE-LBD stage (0–4) and the numbers in LATE-AD show LATE-AD stage (0–5)

3) and fnally to the orbitofrontal cortex, thalamus, lentiform nucleus, temporal lobes, frontal lobes, and angular gyrus (stage 4). Table [2](#page-9-0) describes the frequency of regional TDP-43 pathologies in each stage of LATE-LBD. The frequency pattern of TDP-43 in AD was consistent with the LATE-AD stage as previously described by Josephs et al. [[16](#page-14-6)] with one minor exception: while Josephs et al. staged LATE-AD as six stages, the frequency of LATE-NC in the brainstem,

24 Acta Neuropathologica (2022) 143:15–31

LATE-LBD Stage $(N=66)$	Stage1	$(N=32)$	Stage2	$(N=19)$	Stage3	$(N=6)$	Stage4	$(N=9)$
Amygdala (%)	31	(96.9)	19	(90.5)	6	(100.0)	9	(100.0)
Periamygdaloid cortex (%)	29	(90.6)	19	(90.5)	6	(100.0)	9	(100.0)
Dentate gyrus $(\%)$	$\mathbf{0}$	(0.0)	19	(95.2)	5	(83.3)	9	(100.0)
$CA(\%)$	$\mathbf{0}$	(0.0)	16	(76.2)	5	(83.3)	9	(100.0)
Subiculum $(\%)$	$\boldsymbol{0}$	(0.0)	15	(76.2)	5	(83.3)	7	(77.8)
Entorhinal cortex (%)	Ω	(0.0)	11	(61.9)	4	(66.7)	8	(88.9)
Brainstem $(\%)$	$\mathbf{0}$	(0.0)	θ	(0.0)	6	(100.0)	9	(100.0)
Cingulate gyrus (%)	$\overline{0}$	(0.0)	$\overline{0}$	(0.0)	2	(33.3)	7	(77.8)
Orbitofrontal cortex $(\%)$	$\mathbf{0}$	(0.0)	$\overline{0}$	(0.0)	$\mathbf{0}$	(0.0)	7	(77.8)
Lentiform nucleus $(\%)$	$\mathbf{0}$	(0.0)	$\overline{0}$	(0.0)	$\mathbf{0}$	(0.0)	6	(66.7)
Thalamus $(\%)$	$\mathbf{0}$	(0.0)	$\overline{0}$	(0.0)	$\mathbf{0}$	(0.0)	5	(55.6)
Superior/medial temporal gyrus $(\%)$	$\boldsymbol{0}$	(0.0)	$\overline{0}$	(0.0)	$\mathbf{0}$	(0.0)	5	(55.6)
Middle frontal gyrus $(\%)$	$\mathbf{0}$	(0.0)	$\mathbf{0}$	(0.0)	$\mathbf{0}$	(0.0)	4	(44.4)
Angular gyrus (%)	$\mathbf{0}$	(0.0)	$\mathbf{0}$	(0.0)	$\mathbf{0}$	(0.0)		(11.1)

Table 2 Frequency of regional LATE-NC in LATE-LBD

subcortical, and frontal and parietal regions were not signifcantly separated in LATE-AD in our cohort and we, thus, staged LATE-AD as fve stages (Supplementary Fig. 3a and b, and Supplementary Table 5, online resource).

We found two diferences in LATE-LBD and LATE-AD. First, in LATE-LBD, the frequency of LATE-NC in the dentate gyrus was similar to that of CA, subiculum, and entorhinal cortex and those were placed in the same stage (stage 2). In LATE-AD, in contrast, LATE-NC in the dentate gyrus was not observed without the co-occurrence of LATE-NC in the CA and entorhinal cortex and hence dentate gyrus was placed in stage 3. Second, LATE-NC in the brainstem was more prevalent than in the temporal lobe in LATE-LBD, which was the opposite of that observed in LATE-AD (Fig. [4](#page-8-0)c). We also assessed LATE stages in the $LBD + AD$ cohort. The frequency pattern of LATE-NC in $LBD + AD$ had features common to LATE-LBD and LATE-AD. Similar to LATE-AD, dentate gyrus pathology appears to be a later event than hippocampal CA and entorhinal pathology. However, similar to LATE-LBD, the frequency of LATE-NC in the brainstem appears to be an earlier event in LBD+AD, and the brainstem, allocortical, subcortical, and neocortical areas were not clearly separable into distinct stages.(Supplementary Fig. 3c and d, online resource).

Association of LATE‑LBD with cognitive impairment

To determine whether there was an association between LATE and cognitive impairment in each cohort, we examined MMSE scores assessed at the time point closest to death. The median of the interval from MMSE to death, which affects the MMSE scores, was not significantly different between $LATE(+)$ and $LATE(-)$ in the LBD, AD, and LBD + AD cohorts (median is $2-3$ years; LBD, $P = 0.825$; AD, *P*=0.083; LBD+AD, *P*=0.051, all cohorts, *P*=0.084) (Supplementary Table 2, online resource). In all cohorts, $LATE(+)$ was significantly associated with lower MMSE (F(1, 494) = 14.35, *P* < 0.001) (Supplementary Fig. 4a, online resources). In addition, the longitudinal cognitive decline was faster in LATE(+) cases than $LATE(-)$ cases $(\beta = 34.03, P < 0.001)$ (Supplementary Fig. 4b, online resources). In the LBD cohort, lower MMSE score was significantly associated with LATE-LBD (F(1, 106) = 9.59 , $P=0.003$) (Fig. [5a](#page-10-0), Table [3\)](#page-11-0). On the other hand, in the AD and $LBD + AD$ cohorts, lower MMSE was significantly associated with ADNC (AD, F(1, 124)=9.45, $P = 0.002$; LBD + AD, F(1, 164) = 5.15, $P = 0.024$) but not with LATE (AD, F(1, 124)=0.73, *P*=0.393; LBD + AD, F(1, 164)=1.08, *P*=0.300) (Fig. [5](#page-10-0)a and Supplementary Table 6 online resource). Because MMSE scores in the AD and $LBD + AD$ cohorts may have reached a plateau, we separately analyzed the MMSE scores in the cases with intermediate (Braak III/IV) and high (Braak V/VI) Braak stages. With intermediate Braak stage, the $LATE(+)$ cases in the LBD+AD cohort showed signifcantly lower MMSE scores than LATE(−) cases (F(1, 9) = 5.19, *P* = 0.049), but there was no difference between LATE(+) and LATE(−) cases in the AD cohort $(F(1, 12)=0.05, P=0.822)$. At high Braak stage, all cases – LATE(+) or LATE(-) – had low MMSE scores in both the AD and LBD+AD cohorts (Supplementary Fig. 4c, online resource). We also assessed the efect of LATE on longitudinal cognitive decline in the LBD, AD, and $LBD+AD$ cohorts. (Fig. [5b](#page-10-0)). $LATE(+)$ was signifcantly associated with cognitive decline in all cohorts $(\beta = 103.40, P < 0.001)$. The effect of LATE(+) on cognitive decline was greater in the LBD than AD or LBD+AD (AD vs. LBD, *β*=97.37, *P*<0.001; LBD + AD vs. LBD, *β*=79.77, *P*=0.002).

Fig. 5 Association between LATE and cognitive impairment in LBD, AD, and $LBD+AD$. **a** The final MMSE scores of $LATE(+)$ and LATE(−) in LBD, AD, and LBD+AD cohorts. LATE(+) shows significantly lower MMSE than LATE($-$) in LBD (F(1106)=9.59, *P*=0.003) but not in AD (F(1124)=0.73, *P*=0.393) or in LBD+AD $(F(1,164)=1.08, P=0.300)$. (**b**) Longitudinal study of cognitive decline by $LATE(+)$ or $LATE(-)$ in LBD, AD, and $LBD+AD$ cohorts. LATE $(+)$ was significantly associated with cognitive decline in all cohorts (β =103.40, *P*<0.001). The effect of LATE(+) on the cognitive decline was greater in the LATE-LBD than LATE-AD (*β*=97.37, *P*<0.001) or LATE=LBD+AD (β=79.77, *P*=0.002). (**c**) LBD cohort MMSE scores of LATE(+) and LATE(−) in each

In the LBD cohort, advanced LBD subtype cases were associated with lower MMSE scores (difuse or neocortical <transitional or limbic
vbrainstem predominant) and MMSE scores were lower in LATE $(+)$ than LATE $(-)$ in each LBD subtype (LATE, F(1, 106)=8.58, *P*=0.004; LBD subtype, F(2, 106)=3.41, *P*=0.037) (Fig. [5](#page-10-0)c, Table [3\)](#page-11-0). In the LBD + AD cohort, however, neither LATE nor LBD subtype was associated with lower MMSE (LATE, F(1,

LBD subtype. MMSE scores were lower according to LBD subtype (diffuse or neocortical \lt transitional or limbic \lt brainstem predominant) and $LATE(+)$ shows lower MMSE than $LATE(-)$ in each LBD subtype (LATE (F (1106)=9.59, *P*=0.003; LBD subtype (F (1,106)=3.38, *P*=0.038). (**d**) MMSE score associated with LATE-LBD stage (F (3104)=3.92, *P*=0.011). Analysis of covariance (ANCOVA) using Type II sum of squares was used to compare MMSE performance across LATE (\pm) or LATE stage $(0, 1, 2, 3/4)$ with covariates of LBD subtype, ADNC, age at MMSE, years of education, and interval from the age at MMSE to death. Statistical signifcance was set at *P*<0.05 level

 197) = 0.43, $P = 0.515$; LBD subtype, F(3, 107) = 0.56, $P = 0.642$) (Supplementary Fig. 4d and Supplementary Table 6 online source).

In the LBD cohort, lower MMSE was signifcantly associated with LATE-LBD stage and LBD subtype (LATE stage, F(3, 104)=3,92, *P*=0.011; LBD subtype, F(104, 2)=3,27, $P=0.042$) (Fig. [5d](#page-10-0), Table [3](#page-11-0)). In the AD cohort, however, lower MMSE was significantly associated with ADNC (F(1,

Table 3 Association between MMSE and LATE, LBD subtype, and ADNC assessed by ANCOVA in the LBD cohort

LBD cohort	Sum Sq	Df	F value P value	
LATE-LBD $(+/-)$				
LATE	7,367.104	1	9.59	$0.003**$
LBD subtype	5,192.299	\overline{c}	3.38	$0.038*$
ADNC	2,043.497	\mathfrak{D}	1.33	0.269
Age at MMSE	3,506.815	1	4.56	$0.035*$
Education	3,230.163	1	4.20	$0.043*$
Interval from MMSE to death	35,273.177	1	45.91	$< 0.001***$
Residuals	81,437.509	106		
LATE-LBD stage $(0-4)$				
LATE-LBD stage	9,015.307	3	3.92	$0.011*$
LBD subtype	5,010.966	\overline{c}	3.27	$0.042*$
ADNC	1,367.445	$\mathfrak{D}_{\mathfrak{p}}$	0.89	0.413
Age at MMSE	3,996.432	1	5.21	$0.025*$
Education	2,830.038	1	3.69	0.058
Interval from MMSE to death	35,511.464	1	46.29	$< 0.001***$
Residuals	79,789.307	104		

ADNC Alzheimer's disease neuropathologic change; *LATE* limbicpredominant age-related encephalopathy; *LBD* Lewy body disease

P values calculated with ANCOVA. LATE, LBD subtype, ADNC, Age at MMSE, education, and interval from MMSE to death are included in the same model as covariates. $*P < 0.05$, $**P < 0.01$, ****P*<0.001

 153) = 11.47, *P* = 0.001) but not with LATE-AD stage (F(5, 153)=0.60, *P* = 0.072). (Supplementary Fig. 4b and Supplementary Table 6, online source) (Table [4\)](#page-12-0).

Genetic risk variants for LATE‑LBD

To examine the genetic risk factors associated with LATE, we assessed *TMEM106B* rs1990622 and *GRN* rs5848, which are known risk factors for FTLD-TDP, and *APOE rs769449* and *TMEM175* rs6599388, which are associated with Lewy body dementia [\[5](#page-14-25)] (Supplementary Table 7, online resource). We first examined whether these genetic risk variants were associated with the presence or absence of LATE-NC. As reported previously $[2, 35]$ $[2, 35]$ $[2, 35]$ $[2, 35]$, in the setting of LBD, LATE(+) was more likely to have the TT *TMEM106B* rs199062 genotype compared to the LATE-LBD(−) group after controlling for age at death and sex (CC/TT, $OR = 1.21$, $P = 0.009$). In the minor allele dominant model, which compares major allele homozygotes to all other cases, the TT genotype of *TMEM106B* was also more likely to associate with LATE $(+)$ (CC + TC/TT, OR = 1.19, *P* = 0.001). Other genotypes did not associate with LATE-NC in LBD (*P*>0.1). In the aging cohort, GRN was signifcantly associated with LATE in codominant (CC/CT, $OR = 1.13$, $P = 0.028$; CC/TT,

 $OR = 1.17$, $P = 0.024$) and minor allele dominant (CC+CT/) TT, $OR = 1.14$, $P = 0.008$) models. None of the variants were associated with LATE-NC in the setting of AD.

We next examined the association between the genetic variants and the stage of LATE-LBD or LATE-AD (Table [4\)](#page-12-0). In the LBD cohort, *TMEM106B* risk variants were associated with advanced LATE-LBD stage cases compared to less advanced LATE-LBD stage cases (in the codominant model, CC/TT, OR 3.36, $P = 0.016$; in the minor allele dominant model, CC+TC/TT, OR 2.84, *P*=0.001). Likewise, the risk allele TT of *GRN* for FTLD-TDP was also associated with LATE-LBD stage in the major allele dominant model (CC+CT/TT OR=2.56, *P*=0.035). Unlike *TMEM106b* and *GRN*, the *APOE* rs769449 and *TMEM175* rs6599388 variants were not signifcantly associated with LATE-LBD stage $(P>0.1)$. In the AD, none of four genetic variants associated with LATE-AD stage.

Discussion

In our study, we identifed the characteristics of LATE-LBD, $LAT-AD$, and $LATE-LBD+AD$, and sufficient differences between LATE-LBD and LATE-AD were observed to warrant a distinct staging system for the distribution of LATE-NC in LBD.

The frequency of LATE was highest in the $LBD + AD$ cases, suggesting co-pathologies of LBD and AD increase the development of LATE-NC. In these LBD+ AD cases, the presence of LATE-NC was not associated with the severity of LBD or AD, suggesting that having co-pathologies of LBD and AD might increase the opportunity to develop additional pathology of LATE even though the severity of each co-pathology is small.

The primary diferences between LATE-LBD and LATE-AD included the distinct neuropathological features of LATE-NC in the hippocampus and the diferent patterns of spread. Within the hippocampus, there were diferences in the location of LATE-NC, as the CA3 region had more abundant TDP-43 NCIs in LATE-LBD while more NCIs were observed in the CA1 region in LATE-AD. Additionally, TDP-43 fne neurites were occasionally a conspicuous feature in LATE-LBD involving CA-to-subiculum regions. The sparse TDP-43 NCIs and abundant TDP-43 fne neurites in the CA1 region in LATE-LBD is consistent with a previous study [[2\]](#page-13-0). Notably, some TDP-43 fne neurites were colocalized with Lewy neurites, suggesting that there may be a mechanistic link between TDP-43 and α -synuclein pathologies. Considering that α -synuclein aggregates have been reported to seed the C-terminal domain of TDP-43 monomers but not vice versa [[7\]](#page-14-13), the implication is that TDP-43 fine neurites may be induced by α -synuclein inclusions.

P values calculated with an ordinal logistic regression analysis with covariates of age at death and sex. **P*<0.05, ***P*<0.01

In addition, while the progression pattern of LATE-AD was similar to that described in previous studies [[16](#page-14-6)], LATE-LBD showed a diferent pattern of spread within the hippocampal formation and an earlier involvement of the brainstem which becomes afected before neocortical regions. We speculate that these diferences are due to the influence of tau versus α -synuclein pathology in AD versus LBD, respectively. Thus, in LATE-AD, the signifcant neurofbrillary tangle burden in the temporal lobe may correlate with the spread of TDP-43 into this region. In contrast, the signifcant Lewy pathology in the brainstem may correlate with the LATE-NC seen in LATE-LBD. Similarly, α -synuclein aggregates tend to form in the CA3 region of the hippocampus [[4](#page-13-3)], which correlates with the tendency for increased TDP-43 inclusion burden in the CA3 region in LATE-LBD. Other studies have also indicated the interaction between TDP-43 and α -synuclein [\[11,](#page-14-3) [32](#page-14-4), [44\]](#page-15-12) or TDP-43 and tau [[11](#page-14-3), [14,](#page-14-11) [26](#page-14-14), [43,](#page-15-5) [46](#page-15-6)]. The abundant neurofbrillary tangles in the CA/subiculum and the entorhinal cortex in LATE-AD may also propel LATE-NC in those areas, thereby separating involvement of CA/subiculum and entorhinal cortex (stage 2) from involvement of the dentate gyrus (stage 3), which is not the case for LATE-LBD where LATE-NC emerges equally frequently in those areas (stage 2). Indeed, Josephs et al. reported that TDP-43 immunoreactivity was often adjacent to or

associated with neurofbrillary tangles, which they have designated TDP type-β [[14\]](#page-14-11).

Importantly, we show that the presence of LATE-NC in LBD is associated with cognitive impairment. Although neocortical LBs are highly signifcant correlates of cognitive impairment in LBD $[33, 49]$ $[33, 49]$ $[33, 49]$ $[33, 49]$, LATE(+) cases had lower MMSE scores than LATE(-) cases in LBD after controlling for LBD subtype and ADNC. LATE $(+)$ cases also showed faster cognitive decline. These results suggest that LATE-NC in LBD may be an independent factor contributing to cognitive impairment as it is in other cohorts [[35](#page-15-1)]. Furthermore, higher LATE-LBD stages also associated with lower MMSE scores, suggesting the severity and progression of LATE-LBD may be associated with worsening cognitive impairment, thereby validating the LATE-LBD staging scheme proposed here. Unlike LATE-LBD, LATE-AD stage did not associate with cognitive impairment in our cohort highlighting potential diferences of LATE stage in LBD and AD. In the $LBD + AD$ cohort, the cognitive decline was greater and the fnal MMSE score was much lower than both $LATE(+)$ and $LATE(-)$ groups in the LBD cohort, which is consistent with the previous report that the cases with LATE+LBD+AD co-pathology had a more aggressive clinical course and afected younger subjects [[18\]](#page-14-15). Furthermore, unlike the LBD cohort, only ADNC, but not LATE or LBD subtype, associated with cognitive impairment at the closest point to death in $LBD + AD$ cohort, suggesting ADNC is a stronger factor for cognitive impairment than the neuropathological changes of LATE or LBD.

TMEM106B rs1990622 is a genetic risk factor for FTLD-TDP [\[10](#page-14-27), [48,](#page-15-11) [51](#page-15-14)], as well as for cognitive impairment [[47\]](#page-15-15) and hippocampal sclerosis [\[2](#page-13-0), [36](#page-15-7)] in LBD. *GRN* rs5848 is also a genetic risk factor for FTLD-TDP [\[8](#page-14-23)] and hippocampal sclerosis in AD or aging cases [\[36](#page-15-7)]. In the current study, we determined whether these genetic variants were associated with either the occurrence $(LATE(+/-))$ or spreading (LATE-LBD stage) of LATE-NC. In terms of the presence/ absence of LATE-NC, only *TMEM106B* rs1990622 was associated with LATE in the LBD, whereas *GRN* rs5848 was associated with Pure LATE, which is consistent with a previous report [[2\]](#page-13-0). We also observed that *TMEM106B* rs1990622 and *GRN* rs5848 were associated with LATE-LBD stage. The results suggest that both *TMEM106B* rs1990622 and *GRN* rs5848 are risk factors for the spread of LATE-NC in LBD, which may potentially represent the neuropathologic substrate for cognitive decline in LBD. In contrast with these two FTLD-TDP risk variants, Lewy body dementia risk variants *APOE* rs769449 and *TMEM175* rs6599388 [[5](#page-14-25)] did not associate with LATE-LBD.

This study has some limitations. First, the number of LATE-LBD cases with available cognitive profiles was relatively small for our LATE-LBD stage analysis. Therefore, to improve statistical validity, LATE stages 3 and 4 were combined in the clinical analyses. Future replication studies will be needed to confrm our results. Nonetheless, we note that neuropsychological testing data in autopsyconfrmed LBD is extremely rare and our fndings evidence that LATE is clinically relevant in LBD. Second, only Lewy pathology and ADNC were considered as pathologies that may associate with LATE and cognitive impairment in this study. Other pathologies such as cerebrovascular disease and aging-related tau astrogliopathy could also be considered. Given that vascular factors infuence cognitive impairment and are often observed in LATE, LBD, AD, and hippocampal sclerosis [[20,](#page-14-28) [37,](#page-15-16) [40](#page-15-17)], more comprehensive analysis will likely demonstrate that there are heterogeneous pathologies that associated with LATE.

In summary, this study revealed distinct morphological and distributional characteristics of LATE in LBD. Furthermore, this study found an association between LATE-LBD and its progression pattern with cognitive impairment and genetic risk variants of *TMEM106B* rs1990622 and *GRN* rs5848. Therefore, the clinical phenotype in LBD may be the consequence of multiple heterogeneous underlying pathologies, including LATE-NC. These results suggest that clinical trials may need to consider the considerable degree of pathologic heterogeneity that drives cognitive dysfunction in Parkinson's disease and dementia with Lewy bodies.

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Declarations

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