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# Reduced cholinergic olfactory centrifugal inputs in patients with neurodegenerative disorders and MPTP-treated monkeys

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Abstract Olfactory impairment is a common feature of neurodegenerative diseases such as Parkinson's disease (PD), Alzheimer's disease (AD) and dementia with Lewy bodies (DLB). Olfactory bulb (OB) pathology in these diseases shows an increased number of olfactory dopaminergic cells, protein aggregates and dysfunction of neurotransmitter systems. Since cholinergic denervation might be a common underlying pathophysiological feature, the objective of this study was to determine cholinergic innervation of the OB in 27 patients with histological diagnosis of PD (n = 5), AD (n = 14), DLB (n = 8) and 8 healthy control subjects. Cholinergic centrifugal inputs to the OB were clearly reduced in all patients, the most significant decrease being in the DLB group. We also studied cholinergic innervation of the OB in 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine (MPTP)-treated monkeys (n = 7) and 7 intact animals. In MPTP-monkeys, we found that cholinergic innervation of the OB was reduced compared to control animals (n = 7). Interestingly, in MPTPmonkeys, we also detected a loss of cholinergic neurons

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Complejo Hopitalario de Navarra, Banco de Tejidos Neurológicos de Navarra, Pamplona, Spain and decreased dopaminergic innervation in the horizontal limb of the diagonal band, which is the origin of the centrifugal cholinergic input to the OB. All these data suggest that cholinergic damage in the OB might contribute, at least in part, to the olfactory dysfunction usually exhibited by these patients. Moreover, decreased cholinergic input to the OB found in MPTP-monkeys suggests that dopamine depletion in itself might reduce the cholinergic tone of basal forebrain cholinergic neurons.

**Keywords** Olfactory bulb · Parkinson's disease · Alzheimer's disease · Dementia with Lewy bodies · Cholinergic system · MPTP · Monkey

# Abbreviations

AD	Alzheimer's disease							
AON	Anterior olfactory nucleus							
CHAT	Choline acetyl transferase							
CHAT-ir	Choline acetyl transferase immunoreactivity/							
	immunoreactive							
DLB	Dementia with Lewy bodies							
EPL	External plexiform layer							
GCL	Granule cell layer							
GL	Glomerular layer							
HLDB	Horizontal limb of the diagonal band of Broca							
IPL	Internal plexiform layer							
MPTP	1-Methyl-4-phenyl-1,2,3,6-tetrahydropyridine							
NBM	Nucleus basalis of Meynert							
OB	Olfactory bulb							
PBS	Phosphate buffer saline							
PD	Parkinson's disease							
PFA	Paraformaldehyde							
SEM	Standard error of the mean							
SN	Substantia nigra							
TH	Tyrosine hydroxylase							

TH-ir	Tyrosine hydroxylase immunoreactivity/
	immunoreactive
Tris HCl	2-Amino-2-hydroxymethyl-propane-1,3-diol
	hydrochloride
VTA	Ventral tegmental area

# Introduction

Olfactory dysfunction is a common feature in patients with neurodegenerative diseases and has been well characterized in Parkinson's disease (PD) [20, 21, 30], Alzheimer's disease (AD) [6, 52] and dementia with Lewy bodies (DLB) [51, 62, 84, 85]. However, the mechanisms involved are not fully understood. The presence of Tau,  $\beta$ -amyloid [6, 43] and  $\alpha$ -synuclein [37, 60, 81] protein aggregates in the olfactory bulb (OB), olfactory tract and cortex, increased number of olfactory dopaminergic neurons [34, 35, 60] and atrophy [64, 80] or dysfunction of higher olfactory related structures such as hippocampus or amygdala [12] have all been suggested as possible origins of smell loss. The OB receives heavy inputs from cholinergic, noradrenergic, and serotonergic subcortical systems that seem to exert robust effects on odor processing and odor memory by acting either on inhibitory local interneurons or on output neurons [19, 24, 65]. Deficits in noradrenergic, serotonergic and cholinergic systems [22] and decrease in the number of neurons in the locus coeruleus [27, 78], raphe nuclei [36, 59] and nucleus basalis of Meynert (NBM) are frequently observed in PD, AD, and DLB diseases [5, 40, 44, 46, 70], and they might actively contribute to the olfactory dysfunction seen in these disorders.

The main objective of this study was to investigate the cholinergic innervation of the OB in patients with AD, PD, DLB and age-matched controls using immunohistochemistry against choline acetyl transferase (CHAT). OBs from control and 1-methyl-4-phenyl-1,2,3,6 tetrahydropyridine (MPTP)-treated monkeys were also studied following the same protocol. Correlations between CHAT immunoreactivity (CHAT-ir), protein aggregates and number of dopaminergic neurons in the OB were also performed in a group of cases already included in our previous publication [60]. In order to determine the impact of dopaminergic innervation on the olfactory cholinergic system, we also analyzed in control and MPTP-treated monkeys, the dopaminergic innervation and the number of cholinergic neurons in the horizontal limb of the diagonal band of Broca (HLDB) in the basal forebrain, the nucleus that gives rise to cholinergic centrifugal projections to the OB [53-55].

# Materials and methods

Human tissue information

# Human cases

The study was based on 35 human brains collected from the Brain Bank of Navarra (Spain). The Brain Donation Program was approved by the Government of Navarra (Foral decree 23/2001). Subjects received standardized neuropathological examination and were distributed into different groups according to the histological post-mortem diagnosis. Specific consensus diagnostic criteria were used for AD [1, 2, 14, 15], PD [3, 16] and DLB [3, 49]. Fourteen cases exhibited neuropathological findings compatible with the diagnosis of AD at different stages (7 cases VIC, 2 cases VIB, 3 cases VC, 1 case VB, 1 case IVB); five subjects met the diagnostic criteria for PD (1 with a Braak stage 3, 2 stage 4 and 2 stage 5); 8 subjects fulfilled diagnosis for DLB [2 cases diffuse neocortical/VC; 1 case brainstem predominant/VC; 5 cases limbic transitional (including 2 cases VC, one case VIC, one case VB, and one case IIIB)]. Brains from eight elderly subjects with no history or histological findings of any neurological disease were used as a control group (Table 1). Patients and controls were matched for age. No gender differences were observed. The clinical diagnosis of all cases included in the study was done according to the established criteria (for AD, [4, 50]; for PD, [33]; for DLB, [49]).

# Neuropathological study

The neuropathological study was carried out according to the procedure previously described [23]. Post-mortem delay between death and tissue sampling in all cases was between 2.5 and 5.5 h, which is optimal for histological studies. After fixation in 10 % formalin (21-25 days), representative brain areas from olfactory bulb, cortical and subcortical areas, brainstem, cerebellum, and spinal cord were taken and embedded in paraffin in order to make a neuropathological diagnosis. Specific anatomical brain regions were studied in a standardized neuropathological manner based on staging procedures commonly applied for histological diagnosis of PD [3, 16], DLB [3, 49] and AD [1, 2, 14, 15]. Three micrometer thick sagittal sections were processed for immunohistochemical analysis. The deparaffinized and rehydrated sections were pretreated in a heat-induced epitope retrieval device for 20-35 min at 98 °C. Immunohistochemistry was performed with a DAKO Autostainer (DAKO, Glostrup, Denmark). Sections were treated with hydrogen peroxide to block endogenous

Table 1 Summary of studied cases

Case	Sex	Age	Neurofibrillary stage (I–VI)	Amyloid stage (A–C)	Lewy body stage (1–6)	Diagnostic	
Bcn 127	М	91	VI B –		_	AD	
Bcn 159	М	85	V C –		AD		
Bcn 162	F	86	IV B –		AD		
Bcn 173	F	83	V	В	_	AD	
Bcn 190	М	80	VI	С	_	AD	
Bcn 193	М	83	VI	С	_	AD	
Bcn 195	М	78	VI	С	_	AD	
Bcn 197	М	83	VI	С	_	AD	
Bcn 203	F	91	VI	VI C –		AD	
Bcn 206	М	70	V	С	_	AD	
Bcn 215	F	85	VI	С	_	AD	
Bcn 237	F	56	VI	С	_	AD	
Bcn 248	М	85	V	С	_	AD	
Bcn 249	F	89	VI	С	_	AD	
A09-69	М	72	_	_			
A09-70	М	84	_	_	_	Control	
A09-71	М	86	_	_	_	Control	
BCN 189	М	54	_	_	_	Control	
BCN 191	М	87	_	_	_		
BCN 207	М	84	_	_	_	Control	
BCN 234	М	79	-	_	_	Control	
BCN 252	М	83	-	_	_	Control	
Bcn 146	М	85	V	С	Diffuse neocortical	DLB	
Bcn 151	F	86	V	С	Diffuse neocortical	DLB	
Bcn 154	М	92	V	С	Brainstem predominant	DLB	
Bcn 161	F	97	V	В	Limbic transitional	DLB	
Bcn 171	М	84	III	В	Limbic transitional	DLB	
Bcn 200	F	82	V	C Limbic transitional		DLB	
Bcn 273	F	93	V	C Limbic transitional		DLB	
Bcn 276	F	90	VI	C Limbic transitional		DLB	
Bcn 99	М	84	_	– – IV		PD	
Bcn 163	F	78	-	– IV		PD	
Bcn 168	F	79	_	_	III	PD	
Bcn 199	М	85	_	_	IV	PD	
Bcn 219	М	90	_	_	V	PD	

AD Alzheimer's disease, PD Parkinson's disease, DLB dementia with Lewy bodies

peroxidase activity, followed by normal serum to block nonspecific antibody binding. Sections were treated with anti-phosphorylated Tau (mouse monoclonal antibody, Novocastra, NCL-Tau-2, clone Tau 2; 1:100), anti- $\alpha$ -synuclein (liquid mouse monoclonal antibody, NCL-L-ASIN, clone KN51; 1:50), anti- $\beta$  amyloid (mouse monoclonal antibody, Novocastra, NCL-B- amyloid, clone 6F-3D; 1:200) and anti-TARDBP/TDP-43 (mouse monoclonal antibody, Abnova Corporation, clone 2E2-D3; 1:1,500), anti-PrP (mouse monoclonal antibody, Dako Cytomation, clone 3F4; 1:100), anti-ubiquitin (lyophilized monoclonal antibody, Novocastra, NCL-UBICm, clone FPM1; 1:700), and anti-crystallin (mouse monoclonal antibody, Novo-castra, clone ABCrys-512; 1:100). A biotinylated secondary antibody (goat anti-mouse) was used in all cases. Antibodies were visualized using the avidin–biotin-peroxidase complex and 3,3'-diaminobenzidine tetrahydrocloride (DAB) as the chromate. After immunostaining, the sections were hematoxylin–eosin counterstained. Definitive postmortem diagnosis was performed in all cases included in

the study. The other hemisphere (left) was freshly sectioned, and stored at -80 °C for later biochemical and molecular studies. The corresponding OBs were fixed by immersion in phosphate-buffered 4 % PFA for 5 days and cryoprotected in 30 % sucrose in PBS. After the tissue sank in sucrose, 12 parallel series of 40 µm coronal sections were collected. One of these series was immunohistochemically processed to reveal CHAT.

#### CHAT immunohistochemistry

Free floating sections were treated for 15 min with 1 % H<sub>2</sub>O<sub>2</sub> in PBS to inactivate the endogenous peroxidase and then incubated for 45 min in 5 % normal donkey serum diluted in PBS-0.25 % triton. Subsequently, the sections were incubated overnight with a primary goat polyclonal antibody raised against CHAT (diluted 1:300 in blocking solution; AB144P, Millipore), and then rinsed three times for 5 min in PBS and incubated for 45 min at room temperature with biotinylated donkey anti-goat Ig diluted 1:500 in PBS. The sections were then processed using the avidin-biotin-peroxidase complex (Vectastain kit, Vector laboratories) and reacted with 0.05 % 3,3'-diaminobenzidine tetrahydrochloride and 0.015 % H<sub>2</sub>O<sub>2</sub> in 50 mM Tris HCl, pH 7.2 (DAB). The sections were mounted on gelatinized slides, Nissl stained and coverslipped with DPX mounting medium. Staining specificity was confirmed by incubating the primary antibody with Acetyl Cholinesterease (AG220, Millipore) for 3 h at 4 °C diluted 3 µg/ml. We found nonspecific endothelial cell staining in the human OB. No labeling was detected in the monkey tissue after this treatment.

# Quantification of CHAT immunoreactivity (CHAT-ir) in the OB

Samples were viewed and digitalized with an Olympus BX-51 microscope equipped with an Olympus DP-70 digital camera at 40× using CAST grid software package (Olympus, Denmark). For CHAT-ir quantification, bulbar layers were analyzed individually as glomerular layer (GL), external plexiform layer (EPL), and anterior olfactory nucleus (AON) while granule cell layer (GCL) and the internal plexiform layer (IPL) were quantified together. In human cases, eight 40× images of each layer were randomly digitalized and analyzed using ImageJ software as follows. Images were converted to 8 bit-RGB stacked (blue channel) to process only the dark brown CHAT labeling and to remove the blue Nissl staining, and a background subtraction procedure was performed. Consequently, the perimeter of each layer was outlined manually for each image excluding any unwanted immunostained structures (i.e., capillary endothelia in human cases). Then, the same threshold limits were defined for each image to select CHAT-ir structures and in human cases we quantified the number of CHAT-ir bouton-like structures per  $\mu m^2$  (CHAT-density). The same investigator (I.C.M.) performed all quantifications in a blinded manner.

# Correlation studies

Since we previously found an increased number of protein aggregates and dopaminergic cells in the OB of patients with neurodegenerative diseases, we searched for any correlation between CHAT-ir innervation, the number of protein aggregates [Tau neuropil threads (NT), Tau pretangles (PT), Tau neurofibrillary tangles (NFT), Tau neuritic plaques (NP), amyloid senile plaques (SP), amyloid matures senile plaques, synuclein Lewy neurites (LN) and bodies (LB), total estimated number and density (number of neurons per mm<sup>3</sup>) of dopaminergic periglomerular neurons in the OB. For this purpose we used data from 21 patients (7 controls, 9 AD and 5 PD) previously studied [60]. Three additional cases with histological diagnosis of DLB were also included for correlation studies. In these three cases, the analysis of OB for specific protein deposits, TH immunohistochemistry and cell counting was performed as described previously [60]. Briefly, for immunohistochemistry against a tyrosine hydroxylase (TH) free floating sections were treated with  $H_2O_2$  for 45 min, then 45 min in 5 % normal goat serum. After being 24 h incubated with primary antibody (rabbit polyclonal anti-TH, 1:2,000 in PBS; AB152, Millipore, CA), sections were rinsed in several times in PBS and incubated in a secondary goat anti-rabbit Ig, 1:500 in PBS for 1 h. Reaction was visualized using ABC kit (Vectastain kit, Vector Laboratories) and DAB technique. Stereological quantification of total dopaminergic periglomerular neurons was performed by using an optical fractionator unbiased sample design as described previously [60].

# Animal tissue information

# Animals

Fourteen male cynomolgus monkeys (*Macaca fascicular-is*), weighing 2.5–3.4 kg and aged 3.8–4 years, were included in the study. Animals were housed in an animal room under standard conditions of humidity (50 %), air exchange (16 l/min) and dark/light cycles (8 a.m.–8 p.m.). They were fed fresh fruits and commercial pellets and had free access to water. The attending veterinarian monitored their health according to the recommendations of the Weatherall Report. The animals were euthanized following deep anesthesia, and all efforts were made to minimize suffering. The experimental protocol was in accordance

with the European Communities Council Directive of November 24th 1986 (86/609/EEC) and it was approved by the Ethics Committee for Animal Experimentation of the University of Navarra. Seven animals were rendered parkinsonian by systemic administration of MPTP (one weekly intravenous injection of 0.25-0.8 mg/kg; cumulative doses 13-25 mg/kg) and seven animals served as controls. Motor deficits induced by MPTP were assessed according to a non-human primate disability rating scale, which independently scores parkinsonian features such as tremor (intensity and duration), balance, feeding and freezing from 0 (normal) to 3 (maximum disability); bradykinesia and posture from 0 (normal) to 4 (maximum disability), and the reduction in spontaneous activity from 0 (normal) to 5 (maximum disability), thus giving a total maximum score of 28 [48]. These animals have participated in another study and the remaining brain tissue from all of them is being used in other studies in our laboratory. None of the animals received L-DOPA treatment at any time during induction of parkinsonism.

The animals were killed 5 months after discontinuing MPTP treatment. After deep sedation with a mixture of ketamine (10 mg/kg) and midazolam (1 mg/kg), animals were transcardially perfused with 0.01 M phosphate buffer saline (PBS) and 4 % paraformaldehyde (PFA, Sigma) in 0.01 M PBS. Brains were immediately removed, blocked and post-fixated for 2 days in 4 % PFA. They were then cryoprotected in a 30 % sucrose solution in 0.01 M PBS until processing. Brains were sliced into 40-µm-thick coronal sections along the rostral axis with a freezing microtome (Leica, Germany) and collected in 0.125 M PBS containing 2 % dimethylsulphoxide (Sigma), 20 % glycerin (Panreac) and 0.05 % sodium azide and stored at -20 °C until their subsequent analysis.

# Immunohistochemistry/immunofluorescence

Monkey coronal sections of OB and basal forebrain were processed for immunohistological detection of CHAT as described previously for human tissue. In all animals, brain tissue sections containing the substantia nigra (SN) were processed in the same way for tyrosine hydroxylase (TH) immunohistochemistry (primary rabbit polyclonal anti-TH, 1:1,000 in PBS; AB152, Millipore, CA; donkey anti-rabbit Ig, 1:500 in PBS) to confirm the degeneration of nigral dopaminergic cells. In three controls and three MPTPtreated animals, double-labeling immunofluorescence was performed to detect possible abnormalities either in the number of cholinergic neurons or in the dopaminergic innervation of HLDB. Analysis was performed on five coronal sections of each animal. Tissue sections were rinsed and permeabilized following the protocol used for peroxidase immunohistochemistry (see above). Subsequently, they were incubated overnight at 4 °C in a solution containing a mixture of primary rabbit polyclonal anti-TH (dilution 1:1,000; AB152, Millipore, CA) and goat anti-CHAT (dilution 1:300; AB144P, Millipore, CA). After rinsing with PBS, sections were incubated for 2 h in 0.01 M PBS containing normal donkey serum (1:20) and a combination of secondary antibodies coupled to fluorescent markers, donkey anti-rabbit Alexa Fluor 488 and donkey anti-goat Alexa Fluor 568 (dilution 1:500; Molecular Probes). Finally, sections were counterstained with a nucleic acid stain (TO-PRO-3 iodide, Molecular Probes, Netherlands) and coverslipped with mounting medium (Immu-mount, Thermo-Shandon). Double labeling was detected in confocal images by using a laser scanning microscope 510, equipped with three lasers (LSM 510/Meta; Zeiss, Germany).

# Quantification methods

Quantification of CHAT-ir in the monkey OBs was performed in the same way as in human tissue, but for each layer the percentage of the area labeled by CHAT immunolabeling was analyzed.

TH immunoreactivity (TH-ir) in the HLDB of monkeys was performed as described above for CHAT-ir in the OB, with some modifications. Twenty samples per animal were digitalized with a  $20 \times$  objective and the percentage of the total HLDB area showing TH-ir innervation was quantified.

The total number of CHAT-ir neurons was estimated in the HLDB of intact and MPTP-monkeys by using an optical fractionator unbiased sample design [29]. Stereological analysis was performed with an Olympus BX51 microscope with a monitored x-y-z stage linked to the CAST Grid software package (Olympus, Denmark). The HLDB was outlined using a  $4 \times$  objective on each of the double CHAT/TH labeled sections. In each animal, a series of five coronal sections of the commissural basal forebrain, regularly spaced at intervals of 480 µm and covering the entire HLDB, was used for cell counting. From a random start position a counting frame was superimposed on the image and cholinergic neurons were sampled using a  $20 \times$ objective. CHAT-ir neurons were included when the soma came into focus and did not touch the exclusion lines of the counting frame. The sampling frames were spaced 555.9  $\mu$ m along the x and y axis from each other, based on the shape of the region. We used a sampling frame size of 92,690  $\mu$ m<sup>2</sup>. The dissector z-step was 30  $\mu$ m and a guard zone of 2 µm at both the upper and lower surface of the section was used. A minimum of 100 cells were sampled according to the rules of the optical dissector method and the coefficient of error was < 0.1.

# Behavioral manifestations and loss of dopaminergic cells in SN of MPTP-monkeys

All seven monkeys developed a mild stable parkinsonian syndrome after being exposed to MPTP and their disability scores ranged from 10 to 12 [48]. The extent of MPTP-induced dopaminergic cell depletion was confirmed by immunohistochemical detection of TH in the substantia nigra pars compacta (SNpc) and ventral tegmental area (VTA). Although we did not perform a detailed quantification of the number of TH-ir cells in the SNpc, the decreased intensity of TH-labeling in the SNpc and VTA was very similar in all MPTP-monkeys as reflected in Fig. 1.

# Statistical analysis

Statistical analysis was carried out using SPSS 15.0 software. In human cases multiple comparisons were performed by using a non-parametric Kruskal-Wallis test followed by Mann–Whitney U test (2–2) in order to estimate the overall significance of CHAT-density, immunopositive and button-like structures among control, AD, PD and DLB patients. In monkey OBs, the average percentages of CHAT immunostained area of each OB layer were compared between control and MPTP group using nonparametric Mann–Whitney U test. Changes in the average number of CHAT-ir neurons in the HLDB and percentage of CHAT immunoreactive areas in the OB between control and MPTP-monkeys were compared using non-parametric Mann-Whitney U test. P values <0.05 were considered to be statistically significant. CHAT and TH immunoreactive density and percentage values were presented as mean  $\pm$  SEM. Non-parametric Spearman's correlations were used to study relationships between CHAT-ir density, number of protein aggregates, number and density of dopaminergic periglomerular neurons in the human OB.

# Results

Labeling and distribution of OB structures expressing CHAT exhibited several differences between humans and monkeys. In human cases, very few fibers were observed and many CHAT-ir bouton-like structures were detected. In monkeys, CHAT immunohistochemistry revealed fibers and terminallike puncta. These differences might partly be due to the different fixation processes followed in humans and monkeys. Moreover, the distribution of cholinergic centrifugal terminals in human and monkey OBs did not follow the same pattern. In control human OBs, the GL displayed a very weak CHAT-ir, and only scarce labeling was observed in the inner part of the GL, close to the EPL. The EPL exhibited a moderate and homogenous CHAT-ir density. Rich centrifugal CHAT innervation was observed in the IPL and the GCL, with decreased labeling in the inner areas of the GCL. Finally, the AON showed very dense cholinergic innervation. The capillary endothelial cells of human OBs had high immunoreactivity for CHAT antibody.

As previously described by Porteros et al. [67], in control monkeys, cholinergic innervation was located mainly in the GL, with its inner area being most innervated, followed by the periglomerular zone. The EPL displayed homogeneously decreased labeling. The IPL was the second most innervated layer after the GL, while the GCL exhibited the weakest CHAT-ir. White matter showed very sparsely stained elements, while the olfactory nerve layer showed no immunoreactivity. No labeled cell bodies were observed in the OBs of control monkeys.

# CHAT-ir quantification in human OBs

As compared to controls, the OB of PD, AD, and DLB brains showed a statistically significant reduction of CHAT-ir in all layers studied except in the GL. Particularly, DLB patients displayed more reduced cholinergic



Fig. 1 Coronal sections of the substantia nigra (SN) and ventral tegmental area (VTA) of control (**a**) and MPTP-monkey (**b**) immunostained with tyrosine hydroxylase. A marked depletion of TH-ir cells is observed. *Scale bar* 1 mm

innervation in the AON when compared to the other groups of patients (Fig. 2).

CHAT-ir density in the GL showed a tendency to decrease in patients with neurodegenerative diseases, but did not reach significant differences (P = 0.074): control cases (6,439.3 ± 1,294.5); PD cases (4,983 ± 419.9); AD cases (3,879.6 ± 394.3) and DLB (3,337.5 ± 658.3) (Fig. 2a–e).

In patients with neurodegenerative diseases, the EPL exhibited a significant reduction of CHAT-ir compared to

control cases (1.7-fold in PD; 1.7-fold in AD; and 2.8-fold in DLB). Thus, the mean CHAT-ir density in controls was 18,304.9  $\pm$  1,797.6, while in PD, AD, and DLB it was 10,724.6  $\pm$  1,493.1 (P = 0.019 vs controls; 10,744.2  $\pm$ 882.8 (P = 0.004 vs controls) and 6,515.8  $\pm$  622.4 ( $P \leq 0.001$  vs controls; P = 0.006 vs AD; P = 0.019 vs PD), respectively (Fig. 2f-j).

The highest cholinergic denervation was observed in the IPL/GCL, where CHAT-density was found to be reduced by 2.24-fold in PD (9,338  $\pm$  1,381;  $P \leq$  0.001); 2.45-fold



Fig. 2 Photomicrographs of the olfactory bulb showing the decreased CHAT-ir bouton-like structures in the glomerular layer (*GL*) ( $\mathbf{a}$ - $\mathbf{d}$ ), external plexiform layer (*EPL*) ( $\mathbf{f}$ - $\mathbf{i}$ ), granule cell and internal plexiform layers (*GCL/IPL*) ( $\mathbf{k}$ - $\mathbf{n}$ ) and anterior olfactory nucleus (*AON*) ( $\mathbf{p}$ - $\mathbf{s}$ ) from patients with neurodegenerative diseases and

normal aged-matched subjects. *Bar charts* showing the density of CHAT-ir bouton-like structures in the different olfactory bulb layers (**c**, **j**, **o**, **t**). *PD* Parkinson's disease, *AD* Alzheimer's disease, *DLB* dementia with Lewy bodies. \* $P \le 0.05$ ; \*\* $P \le 0.01$ ; \*\*\* $P \le 0.001$ . Data are expressed as mean values  $\pm$  SEM. *Scale bar* 50 µm

in AD (8,543.5  $\pm$  802.9;  $P \le 0.001$ ) and 3.95-fold in DLB (5,300.1  $\pm$  708;  $P \le 0.001$  vs controls; P = 0.008 vs AD) when compared to controls (20,942.6  $\pm$  1,643.7) (Fig. 2k–o).

As compared to controls, the AON of patients with PD, AD, and DLB displayed a significant reduction of olfactory cholinergic innervation, 1.76-fold (P = 0.005); 1.69-fold (P = 0.002); and 3.52-fold (P = 0.001), respectively. Mean density values were: 24,795.8 ± 2,696.2 in control cases, 14,041.1 ± 668.9 in PD cases; 14,649 ± 1,091 in AD cases and 7,032.9 ± 887.5 in DLB cases. Moreover, OBs from DLB patients exhibited significant CHAT-ir reduction in the AON as compared to AD ( $P \le 0.001$ ) and PD ( $P \le 0.001$ ) patients (Fig. 2p–t).

# Correlation between CHAT-ir, protein deposits and number of dopaminergic neurons in the human OB

The number of amyloid senile plaques was negatively correlated with the density of CHAT-ir in all bulbar layers and with the averaged OB CHAT-ir. In addition, CHAT-ir density in the GCL correlated negatively with the amount of olfactory Tau neuropil threads, pretangles, neurofibrillary tangles, amyloid senile plaques and mature senile plaques. The density of dopaminergic periglomerular neurons also correlated negatively with CHAT-ir in the whole OB and in the GCL (Table 2). In other words, in the human OB the increased number of protein aggregates and **Fig. 3** Photomicrographs of the olfactory bulb (*OB*) of control (**a**, **c**, **e**) and MPTP-monkey (**b**, **d**, **f**) showing the cholinergic centrifugal innervation in the olfactory bulb. In the glomerular layer (*GL*) (**a**, **b**) and the external plexiform layer (*EPL*) (**c**, **d**) MPTP-treated monkeys showed decreased immunoreactivity against CHAT. In the granule cell layer (*GCL*) (**e**, **f**) CHAT-ir was similar in both groups. Note CHAT-ir mitral cells in **f**. *Scale bar* 50 µm. **g** *Bar charts*. The percentage of CHAT-ir area in the olfactory bulb of MPTP-treated monkeys is significantly reduced in the glomerular layer (*GL*) and the external plexiform layer (*EPL*). No differences were detected in the granule cell layer (*GCL*)

dopaminergic neurons seems to be associated with decreased cholinergic innervation.

# CHAT-ir quantification in monkey OBs

In MPTP-treated monkeys the distribution pattern of cholinergic inputs was similar to that in controls, but showed reduced fiber and button density. In two of the seven MPTP-monkeys included, some labeled cells were found mainly in the mitral cell layer and the EPL. The OBs of MPTP-treated monkeys showed a significantly decreased CHAT-ir as compared to control animals (1.7-fold in the GL; 1.55-fold in the EPL). In the GL, the average percentage of CHAT-ir area was  $5.4 \pm$ 0.3 in control animals and  $3.1 \pm 0.4$  in MPTP-monkeys (P = 0.006). CHAT-ir in the EPL of control animals was  $0.7 \pm 0.1$ , while in MPTP-monkeys it was reduced to  $0.4 \pm$ 

	Tau aggregates				Amyloid aggregates		Synuclein aggregates		Dopaminergic neurons	
	NT	РТ	NTF	NP	SP	mSP	LB	LN	TH number	TH density
GL CH	IAT-ir									
rho	-0.364	-0.408	-0.343	-0.107	-0.427	-0.373	0.047	0.057	-0.063	-0.226
Р	0.081	0.048*	0.101	0.618	0.038*	0.073	0.829	0.791	0.768	0.288
EPL C	HAT-ir									
rho	-0.340	-0.304	-0.383	-0.056	-0.501	-0.391	-0.354	-0.344	-0.275	-0.352
Р	0.104	0.149	0.065	0.796	0.013*	0.059	0.090	0.100	0194	0.091
GCL C	CHAT-ir									
rho	-0.425	-0.408	-0.505	-0.169	-0.512	-0.464	-0.218	-0.208	-0.271	-0.467
Р	0.038*	0.048*	0.012*	0.430	0.011*	0.022*	0.306	0.330	0.200	0.021*
AON O	CHAT-ir									
rho	-0.312	-0.215	-0.479	-0.030	-0.473	-0.191	-0.278	-0.288	-0.305	-0.361
Р	0.138	0.313	0.018*	0.889	0.020*	0.371	0.189	0.172	0.147	0.083
OB CH	IAT-ir									
rho	-0.382	-0.319	-0.462	-0.092	-0.523	-0.373	-0.301	-0.298	-0.277	-0.413
Р	0.065	0.129	0.023*	0.669	0.009**	0.073	0.153	0.158	0.191	0.045*

Table 2 Correlation between CHAT-ir density, protein aggregates in the OB and density of dopaminergic periglomerular neurons

Bold indicates statistically significant P values

*NT* Tau neuropil threads, *PT* pretangles, *NTF* neurofibrillary tangles, SP  $\beta$ -amyloid senile plaques, mSP mature senile plaque, *LN*  $\alpha$ -synuclein Lewy neurites, *LB* Lewy bodies, *TH density* number of tyrosine hydroxylase (TH) immunopositive neurons per mm<sup>3</sup>, *CHAT* choline acetyl transferase, *GL* glomerular layer, *EPL* external plexiform layer, *GCL* granule cell layer, *AON* anterior olfactory nucleus, *OB* total olfactory bulb (average of GL, EPL, GCL and AON CHAT-ir density)



0.1 (P = 0.028). No significant differences were observed in the GCL between control animals (1.2 ± 0.4) and MPTP-monkeys (0.9 ± 0.1) (P = 0.487) (Fig. 3).

# CHAT-ir neurons in monkey HLDB

The total estimated number of cholinergic neurons in the HLDB of MPTP-monkeys was 1.72-fold reduced (1,968  $\pm$  101.05; P = 0.05) with respect to control animals (3,396  $\pm$  280.9) (Fig. 4a–c).

# TH-ir innervation in the monkey HLDB

Dopaminergic innervation of the HLDB in MPTP-treated monkeys was 1.82-fold reduced as compared to controls (P = 0.05). Thus, the average percentage of TH-ir of HLDB of control animals was  $8.48 \pm 0.94$ , while in MPTP-monkeys it was reduced to  $4.64 \pm 0.56$  (Fig. 4d–f). In control animals, but not in MPTP-monkeys, cholinergic neurons in the HLDB seemed to have dopaminergic synaptic contacts (Fig. 5).

# Discussion

This study is the first to demonstrate that cholinergic centrifugal olfactory inputs are severely reduced in patients with AD, PD and DLB, and this decrease is most important in OBs from DLB patients. Interestingly, cholinergic OB innervation was also decreased in MPTP parkinsonian monkeys. In addition, a significant loss of cholinergic neurons and dopaminergic innervation was observed in HLDB, the origin of centrifugal input to the OB. In previous studies, we described how the number of dopaminergic periglomerular neurons is increased in the OB of patients with PD, AD and frontotemporal dementias [60] and also in MPTP-monkeys [8]. These results were interpreted as a compensatory mechanism created by the lack of centrifugal inputs to the OB in an attempt to preserve olfactory function. Interestingly, the decreased cholinergic innervation of the OB in patients with neurodegenerative diseases here described correlates with the increased number of olfactory dopaminergic neurons, thus supporting our previous hypothesis. Moreover, decreased CHAT innervation in the GCL



Fig. 4 CHAT and TH immunohistochemistry in the horizontal limb of the diagonal band of Broca (HLDB). a Some CHAT-ir neurons (*arrows*) in one control monkey. b In the MPTP-treated monkey CHAT-ir neurons were clearly reduced. c Graph showing the stereological estimation of the total CHAT-it cell number in the HLDB of control and MPTP-treated monkeys. Tyrosine hydroxylase

(*TH*) immunofluorescence in the horizontal limb of the diagonal band of Broca of control (**d**) and MPTP-treated monkeys (**e**). Dopaminergic innervation (**f**) of this nucleus is significantly reduced in the MPTPtreated animals. *Scale bars* in **b** and **d** 500 µm. Data are expressed as mean values  $\pm$  SEM. \**P*  $\leq$  0.05



Fig. 5 Double immunofluorescence images against choline acetyl transferase (*CHAT*) and tyrosine hydroxylase (*TH*) in the horizontal limb of the diagonal band of Broca of one control monkey ( $\mathbf{a}$ - $\mathbf{d}$ ) and one MPTP-treated monkey ( $\mathbf{e}$ - $\mathbf{h}$ ). The number of CHAT-ir neurons and the density of TH

innervation are reduced in the MPTP-treated monkey. **d** In a higher magnification image the control animal shows dopaminergic possible synaptic contacts on CHAT-ir neurons. **h** In the MPTP-monkey no synaptic contacts can be observed. *Scale bars* **a**–**cp**, **e**–**g** 500  $\mu$ m, **d**, **h** 125  $\mu$ m

correlated with the extent of Tau and  $\beta$ -amyloid deposits in the OB. On the other hand, the loss of centrifugal cholinergic innervation observed in MPTP-monkeys suggests that dopamine itself might contribute to maintaining functional integrity of the cholinergic systems in the OB.

# CHAT distribution in the human OB

To our knowledge, this is the first description focusing on the study of cholinergic centrifugal innervation of the OB using CHAT immunohistochemistry. The cholinergic centrifugal olfactory system had previously been explored in control and AD patients by histochemical methods (acetylcholinesterase histochemistry) [40, 44, 45]. Using this technique, the authors detected no cholinergic structures in the GL and described scattered CHAT labeling in the EPL of control cases, which clearly contrasts with our results. Although they failed to detect any cholinergic labeling in the EPL, MCL and IPL in AD patients, they described diffuse labeling in the GCL and white matter and a low CHAT-density in the AON. The lack of specificity of acetylcholinesterase for CHAT activity [47] along with the long post-mortem interval (3-24 h) of the tissue samples might account for the divergent results. In agreement with our results, Simpson et al. [73] have described reduced CHAT activity in the olfactory tubercle of patients with AD, Down's syndrome and Huntington disease using radiochemical methods to assess CHAT activity.

CHAT-ir decreases in the OB of patients with neurodegenerative diseases

One of the most relevant finding of this study is the demonstration that CHAT-ir is markedly reduced in the OB of patients with neurodegenerative disorders. What could be the clinical significance of this finding? Might it account for the olfactory dysfunction of these patients? Loss of cholinergic neurons in the NBM and decreased cholinergic innervation of temporal lobes correlates significantly with cognitive deficits in PD and AD [5, 17, 42, 70]. In vivo and post-mortem studies indicate that the magnitude of forebrain cholinergic deficits increases over the course of the disease [41, 71, 72]. However, significantly decreased AChE activity has also been reported in the novo PD patients indicating that cholinergic denervation occurs at very early stages of the disease and may precede the motor manifestations [11]. As cholinergic afferents to cerebral cortex and OB arise from the same forebrain structures, it could be hypothesized that olfactory cholinergic denervation also occurs early in the course of the disease and might contribute to the hyposmia.

Severe olfactory dysfunction is risk factor and a prodromal symptom of dementia associated with PD [7] indicating that both features might share a similar pathological substrate and suggesting that cholinergic dysfunction might account for hyposmia [12, 58, 68, 75]. Accordingly, the OB of our patients with LBD exhibited the most severe cholinergic denervation, and interestingly, in these patients AChE activity levels in cerebral cortices are equal to those in PD patients with dementia and larger than AD patients indicating a close relationship between cortical and olfactory cholinergic activity [17, 51, 84, 85]. However, although the hypothesis of cholinergic olfactory dysfunction is attractive, it should be taken into account that volumetric magnetic resonance imaging analysis has demonstrated a narrow correlation between olfactory dysfunction and atrophy of focal brain structures, including the amygdala and limbic structures [82, 83].

Although the patients here included were at an advanced stage of the disease and olfactory tests were not performed, our results clearly demonstrate a defective cholinergic innervation of the OB in patients with neurodegenerative diseases. Whether this alteration is already present at the beginning of the disease when patients first complain of hyposmia cannot be inferred from this study.

Another interesting result of this work is the negative correlation found between CHAT-ir, protein deposits and the number of dopaminergic cells in the OB. The negative correlation between protein deposits ( $\beta$ -amyloid and Tau) and CHAT-ir in the OB might merely reflect the advanced stage of the disease of our patients in whom this would convey simultaneously the marked progressive loss of cholinergic neurons in the forebrain structures and the spread of protein deposits within the brain. So, it is also possible that as the disease progresses both the number of protein deposits and the degeneration of cholinergic ascending pathways increases. However, we cannot rule out the possibility that the deposition of anomalous proteins in the OB, especially  $\beta$ -amyloid senile plaques, can provoke first a synaptic disruption in the olfactory cholinergic synapses and subsequently its degeneration. In fact, over the past decade much evidence has appeared that  $\beta$ amyloid [63, 66] and Tau [79] oligomers contribute to degeneration of synaptic structure and function [18]. Moreover, interactions between  $\beta$ -amyloid and cholinergic deficits seem to be clear [38, 61, 69]. We also found a negative correlation between cholinergic innervation and the number of dopaminergic cells. In other words, the greater the decrease of olfactory cholinergic innervation the greater the increase in the number of dopaminergic cells. These results are consistent with our previous hypothesis, indicating that the increase in the number of dopaminergic cells might be a compensatory mechanism created to compensate for the lack of cholinergic inhibitory influence. Additionally, our results would also explain why the number of olfactory dopaminergic cells is increased in all neurodegenerative diseases, since as described in this study, cholinergic innervation of the OB is defective in all of these as a consequence of the degeneration of ascending cholinergic projections.

CHAT/TH deficits in the MPTP-monkey olfactory system

Although the majority of PD patients report hyposmia, some of them do not complain of olfactory dysfunction and not all PD patients with hyposmia become demented, indicating that dopamine itself can alter the functional integrity of the cholinergic system in the OB [7]. To address this point, we included the OB and HDLB of monkeys chronically exposed to MPTP that exhibited moderate parkinsonism. Interestingly, in these animals, we found cholinergic neuronal loss in the HDLB. The effect of MPTP on the survival of cholinergic neurons [25, 31, 32] is not conclusive. For instance, in the mouse, MPTP causes a reduction of cholinergic neurons in the NBM and in the vertical limb of the diagonal band, and also damages their respective projections to the cortex and hippocampus [76, 77]. In aged monkeys exposed to MPTP [39], the number of cholinergic neurons, degree of metabolic activity, and levels of CHAT mRNA and substance P [28] are reduced in the pedunculo pontine nucleus. These data and our results suggest that MPTP can directly affect the viability of cholinergic neurons in the HLDB and consequently reduce the cholinergic input to the OB. However, whether MPTP affects olfactory projecting neurons in the locus coeruleus or raphe nuclei in parkinsonian monkeys deserves further investigation. Likewise, the integrity of serotonergic and noradrenergic centrifugal inputs should be further studied in patients with neurodegenerative disorders.

MPTP-monkeys showed marked dopaminergic denervation in the HLDB. As the VTA projects to the HLDB [26, 74], reduced dopaminergic activity in these structures would affect their excitability and the acetylcholine efflux to their terminal fields [56, 57]. Therefore, the abnormal mesolimbic-basal forebrain dopaminergic transmission created by MPTP might disrupt the functionality of cholinergic neurons in the basal forebrain nuclei and might be involved in cognitive deficits, olfactory loss or both. Given that VTA also send dopaminergic innervation to the hippocampus [9], these data are consistent with the results from Bohnen et al. [10, 13] showing that dopaminergic hippocampal denervation in PD patients is closely related to olfactory deficits. These results would also explain why mild dopamine deficiency, as seen in early PD stages, could induce hyposmia by reducing the cholinergic tone in basal forebrain cholinergic and hippocampal neurons.

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