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## Sporadic cerebral amyloid angiopathy: pathology, clinical implications, and possible pathomechanisms

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**Abstract** Cerebral amyloid angiopathy (CAA) was observed for the first time nearly 100 years ago and systematically described in 1938. It is a common finding in elderly individuals, defined by  $\beta$ -amyloid peptide ( $A\beta$ ) depositions in cerebral blood vessels, and associated with Alzheimer's disease (AD). A variety of genetic mutations cause hereditary forms of CAA; in this review, however, only the sporadic variant of CAA is considered. In CAA,  $A\beta$  depositions primarily occur in the abluminal portion of the tunica media, and with increasing severity all layers of the blood vessel wall are infiltrated and an additional spread of  $A\beta$  into the surrounding neuropil may be seen (i.e., dyschoric changes). CAA is most pronounced in the occipital lobe and its distribution is usually patchy. The relationship between CAA and AD is poorly understood; however, low positive correlations between the severity of both CAA and AD pathology have been observed. CAA is a frequent cause of (warfarin-associated) intracerebral hemorrhage, and the diagnosis of probable CAA-related hemorrhage can be made during life with high accuracy. Both *APOE- $\epsilon$ 4* and *APOE- $\epsilon$ 2* are risk factors for CAA, while only *APOE- $\epsilon$ 2* increases the risk for hemorrhage in CAA. Although the role of CAA as an independent risk factor for cognitive decline is unclear, severe CAA is likely to lower the threshold for clinically overt dementia in neurodegenerative diseases. As for the origin of  $A\beta$  in CAA, it may be both produced by smooth muscle cells (vessel wall) and derived from neurons in the course of perivascular drainage.

**Keywords** Cerebral amyloid angiopathy · Alzheimer's disease · *APOE* genotype · Cerebral hemorrhage · Dementia

### Historical annotation and introduction

Cerebral amyloid angiopathy (CAA) was probably observed for the first time by Oppenheim in 1909, when he described metachromasia in the core of plaques, which could also be found in nearby capillaries [97]. In 1909 plaques were generally thought to be necrosis. Their amyloid nature was discovered by Divry in 1927, who also observed CAA [22] (for historical review of amyloid see [62], for review of amyloidosis of the nervous system see [33]). The first systematic study of CAA, however, was made by Scholz in 1938 [116]. In this study, Scholz described a vascular disorder of intracortical arterial vessels with both morphological and staining peculiarities resembling the “drusigen Entartung” of the nervous tissue (i.e., amyloid plaques). This resemblance led to the term “drusige Entartung” of cerebral arteries and capillaries. Other authors called this vascular disorder “dyschoric angiopathy” which referred to CAA with additional congophilic depositions in the surrounding neuropil [81, 82, 83, 121]. The term dyschoric was based on the assumption that amyloid depositions around blood vessels were a consequence of blood-derived amyloid crossing the blood-brain barrier (horos: border, dyschoric refers to dysfunction in the blood-brain barrier; see also section ‘Morphology of CAA’) [81]. As “drusige Entartung” included both congophilic depositions confined to the vessel wall and “dyschoric angiopathy” [114], the latter term referring to a particular pathogenetic mechanism, Pantelakis and other authors disagreed that “dyschoric angiopathy” and “drusige Entartung” are diseases based on the same pathological entity and consequently distinguished between “dyschoric angiopathy” and congophilic angiopathy, the latter referring to congophilic deposition strictly confined to the vessel wall [7, 98].

Today, the term CAA is used to describe the pathological changes occurring in cerebral blood vessels, both leptomeningeal and intracortical, resulting from depositions of amyloid proteins [105, 106]. Mutations in

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different genes which ultimately result in amyloid depositions in cerebral blood vessels are described in hereditary or familial forms of CAA [19, 45, 100, 105, 106, 107]. In the present review, however, the term CAA refers to the sporadic, non-hereditary variant of CAA. In general, CAA is defined as depositions of a congophilic material (i.e., positive staining with Congo red dye) in leptomeningeal and intracortical (parenchymal) arteries, arterioles, capillaries, and rarely veins, representing depositions of  $\beta$ -amyloid peptide ( $A\beta$ ) in the vessel walls, sometimes with additional spread into the surrounding neuropil or depositions in the glia limitans in cases of capillary involvement [3, 4, 19, 50, 51, 102, 105, 106, 138, 139, 141, 151, 157].  $A\beta$  is well known for being the major constituent part of cerebral plaques associated with normal aging and a variety of neurodegenerative disorders including Alzheimer's disease (AD);  $A\beta$  was, however, initially isolated from cerebral blood vessels with CAA [34]. Age-related prevalences of CAA range from 2.3% at age 65–74 years [37] to 100% at over 80 years of age [16], while they range from 70% [3] to 97.6% [51] in AD (Table 1), and therefore it is generally assumed that CAA is strongly associated with AD. In the present article, the literature on the morphology and both neuropathological and clinical diagnoses of CAA are reviewed, together with data on the relationships between CAA and AD, *APOE* genotype, cerebral vascular lesions, and clinical dementia. Finally, some proposed pathomechanisms of CAA are discussed.

### Morphology of CAA

In hematoxylin and eosin (HE)-stained sections severe CAA can be recognized by acellular thickening of blood vessel walls. This morphology is, however, nonspecific for CAA, since it occurs in a variety of other disorders, including hypertensive angiopathy [56].

The term amyloid describes highly insoluble fibrils composed of protein polymers consisting of proteins rich in a  $\beta$ -pleated sheet secondary structure. Over 20 amyloidogenic proteins are known. Among other staining methods for amyloid, thioflavin S or T (fluorescent under ultraviolet light) and Congo red (apple green under polarized light) are commonly used [65, 105, 106, 134, 139, 150]. Both staining methods are specific for amyloid, as they rely on the high  $\beta$ -sheet content of amyloid [108]. To identify  $A\beta$  as the amyloidogenic protein several antibodies are commercially available (e.g., mouse monoclonal human  $A\beta$  protein antibody, clone 4G8, reactive to amino acid residues 17–24 of human  $A\beta$ , and other polyclonal antibodies for further distinction between  $A\beta$  1–40 and  $A\beta$  1–42, Signet Laboratories, MA). In CAA,  $A\beta$  is deposited extracellularly mainly as amyloid- $\beta$  fibrils in close contact with smooth muscle cells [28, 146, 147, 149]. Nonfibrillar, monomeric and oligomeric  $A\beta$  was also demonstrated inside smooth muscle cells [28, 146]. Of note, antibodies directed against  $A\beta$  stain both amyloid composed of  $A\beta$  and

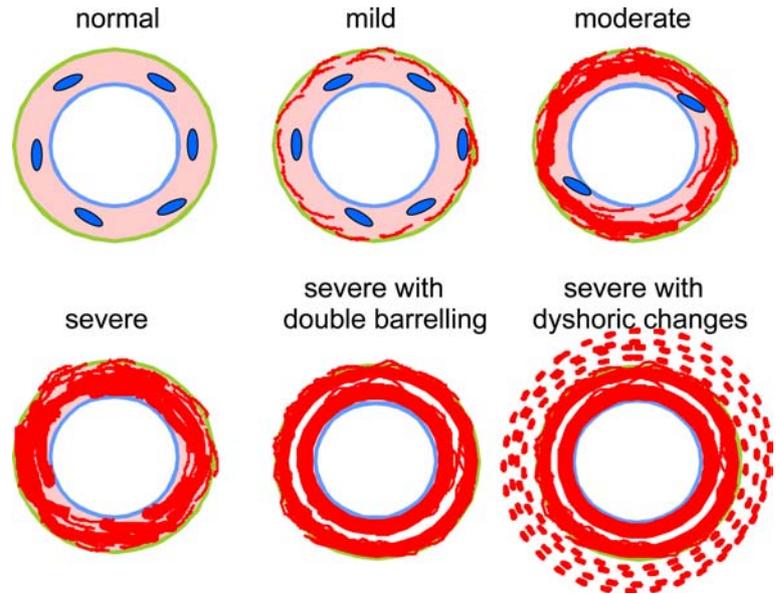
**Table 1** Prevalence of CAA (CAA cerebral amyloid angiopathy, AD Alzheimer's disease)

First author (year)	Age years (mean)	Prevalence of CAA, %	
		Total	With AD
Scholz (1938)	> 70	10	
Vinters (1983)	60–97	35.7	
Yamada (1987)	59–101 (83)	56.9	88.2
Bergeron (1987)		63.3	86.7
Masuda (1988)	60–69	9	
	70–79	18	
	80–89	38	
Vonsattel (1991)	27–107 (76)	50	87
Beer (1991)			78
Chui (1992)	> 60	46	
	> 80	100	
Itoh (1995)	59–101 (83)	19.5	
Ellis (1996)	78	82.9	
Greenberg (1997)	65–74	2.3	
	75–84	8	
	> 85	12.1	
Jellinger (2000)	65–74	22.8	
	75–84	38	
	> 85	48.1	
	> 65		97.6
Xu (2002)	60–95 (77.5)	31.7	100
	60–69	22.1	
	70–79	26.7	
	80–89	46.5	
	> 90	66.7	
Zekry (2003)	> 75	96.6	100
Attems (2005)	54–102 (83.5)	68.1	83.7

nonfibrillar  $A\beta$ . Weak and focal immunohistochemical staining of smooth muscle cells could thus be indicative of nonfibrillar  $A\beta$  (to prove amyloid nature Congo red or thioflavin stains are helpful).

Depending on the severity of CAA,  $A\beta$  depositions have been shown primarily in the abluminal portion of the tunica media, often surrounding smooth muscle cells, and in the adventitia. With increasing severity,  $A\beta$  infiltrates all layers of the vessel wall, which shows loss of smooth muscle cells. Finally, the vascular architecture is severely disrupted and “double barrelling”, microaneurysm formation, fibrinoid necrosis, and evidence of perivascular leakage may be seen (Figs. 1, 2) [105, 106, 134, 139]. Intracortical (parenchymal) vessels can show additional spread of  $A\beta$  into the surrounding neuropil. Historically this has been referred to as dyschoric changes, as it was assumed that amyloid crosses the blood-brain barrier and literally spreads into the surrounding neuropil [81]. Today, however, dyschoric is a purely descriptive term with no implications regarding the underlying pathomechanism (Fig. 2g, i, j). Dyschoric changes have a similarity to vascular plaques. While vascular plaques are dense, well-demarcated amyloid plaques with a central vessel, dyschoric CAA comprises more diffuse periarterial  $A\beta$  depositions, lacking a well-defined border, around  $A\beta$ -laden blood vessels. In capillaries, CAA presents as linear thin layers of  $A\beta$  deposits in the perivascular basement membrane (glia

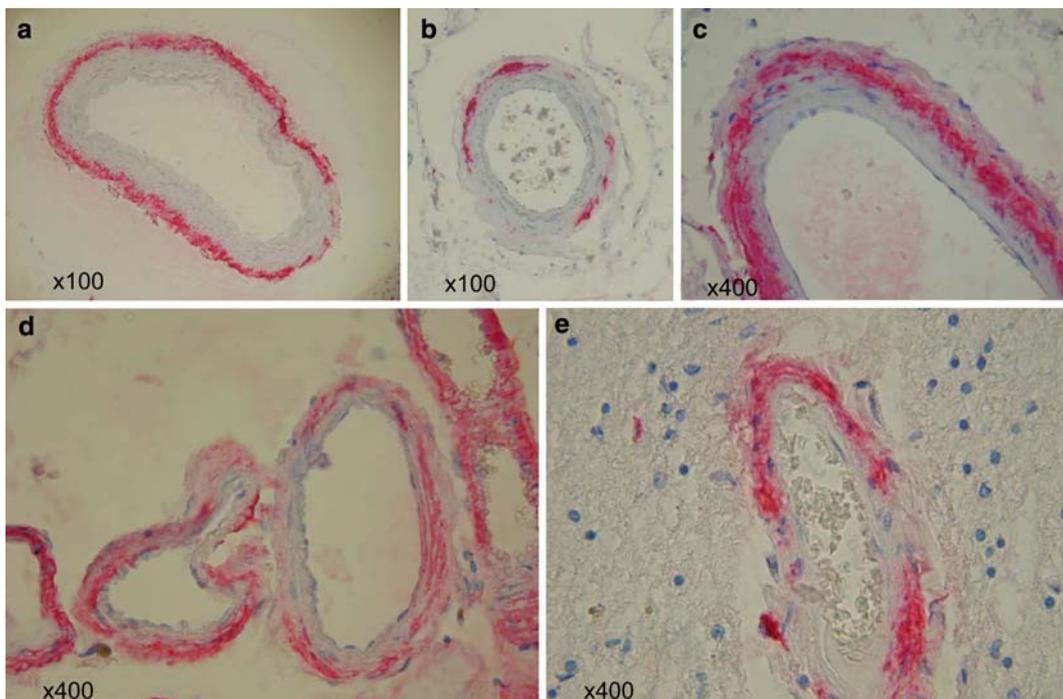
**Fig. 1** Progression of CAA: mild, A $\beta$  depositions in abluminal portions of the blood vessel wall; moderate, abundant A $\beta$  depositions in all layers of the blood vessel wall with loss of smooth muscle cells; severe, blood vessel wall replaced by A $\beta$  depositions, additional double barrelling and/or dyschoric changes may be present (for dyschoric changes see section Morphology of CAA) (CAA cerebral amyloid angiopathy, A $\beta$   $\beta$ -amyloid peptide)



limitans) and as globular deposits of A $\beta$  on the capillary wall, often in continuity with dyschoric A $\beta$  deposits in the adjacent neuropil (Fig. 3) [3, 4, 90, 102, 123, 136, 141, 143, 144]. Because of this latter circumstance, the term dyschoric CAA is sometimes used as a synonym for capillary CAA [15, 90, 123]. Dyschoric CAA, however, also occurs in arteries and arterioles, whereas capillary CAA may be present without dyschoric changes (Fig. 3a, c). Even in very high degrees of CAA-related changes,

endothelial cells are well preserved and usually not affected with A $\beta$  depositions (Fig. 2h, i, j).

There are conflicting data are reported as to whether CAA leads to either thickening or thinning of the tunica media [69, 72, 81, 93, 98, 134, 157], and some authors have shown a reduction [59, 69, 71], others a dilation [25, 46, 72] of the size of the affected blood vessel's lumen. However, in a morphometric study of 28 CAA cases, Zekry et al. [157] recently found a thickening of the



**Fig. 2** Different degrees of CAA: mild (a, b) to moderate (c, d) leptomeningeal CAA; moderate cortical CAA (e); severe leptomeningeal (f, h) and cortical CAA (g, i, and j), with characteristic

double barrelling (arrow) and dyschoric changes (arrowhead); note that even in high grades of CAA endothelial cells are usually preserved; 4G8 immunostaining

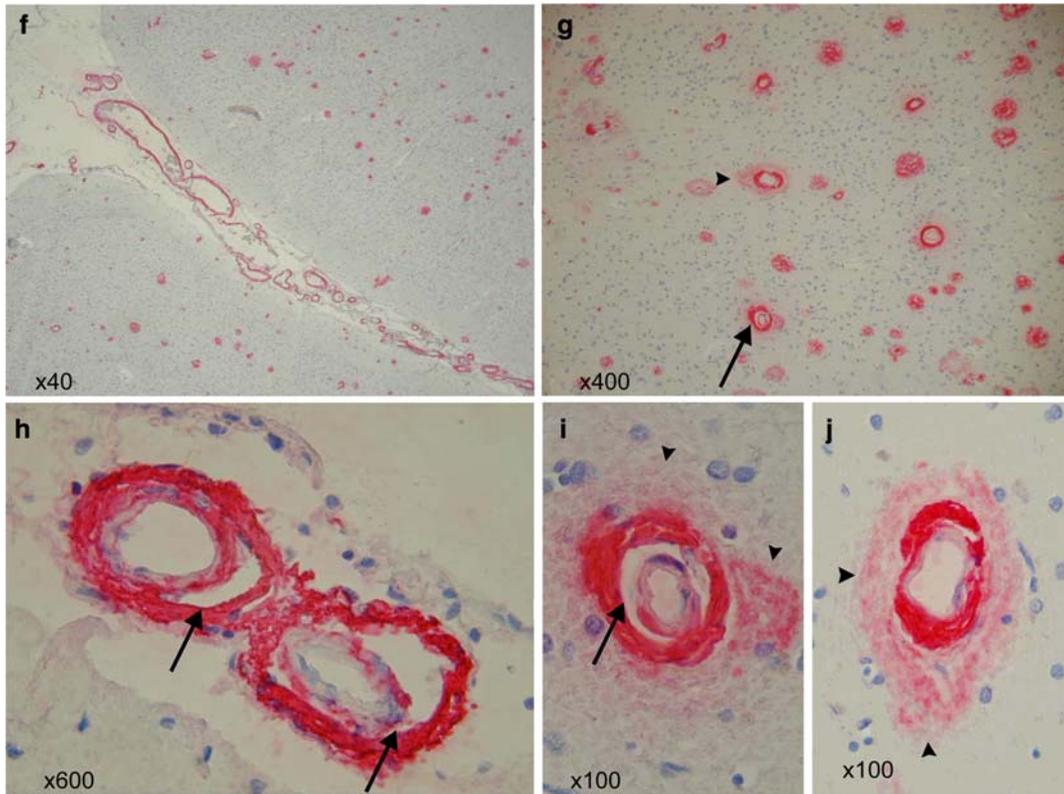


Fig. 2 (Contd.)

blood vessel walls and the reduction of the lumen diameter in small arteries (40–120  $\mu\text{m}$ ) with only moderate A $\beta$  deposits, but conversely a thinning of the walls and dilation of the lumen in vessels with severe A $\beta$  deposits. The authors suggest that initial deposition of A $\beta$  in early stages of CAA causes wall thickening, resulting in narrowing of the lumen. In later, more severe stages of CAA, however, ongoing A $\beta$  deposition causes muscle cell degeneration and fragmentation, which induces thinning and weakening of the vessel walls leading to dilation of the lumen [59, 137, 157].

Perivascular hemorrhages are frequent around blood vessels affected with CAA (for further details see section CAA-related vascular lesions).

Several authors reported CAA-associated inflammation/vasculitis [24, 117, 130, 139, 154]. Neuropathologically, these cases were characterized by the presence of severe CAA and chronic inflammation within the leptomeninges and in and around the walls of A $\beta$ -laden blood vessels. The perivascular and intramural inflammatory infiltrate consisted of lymphocytes, macrophages, and multinucleated giant cells

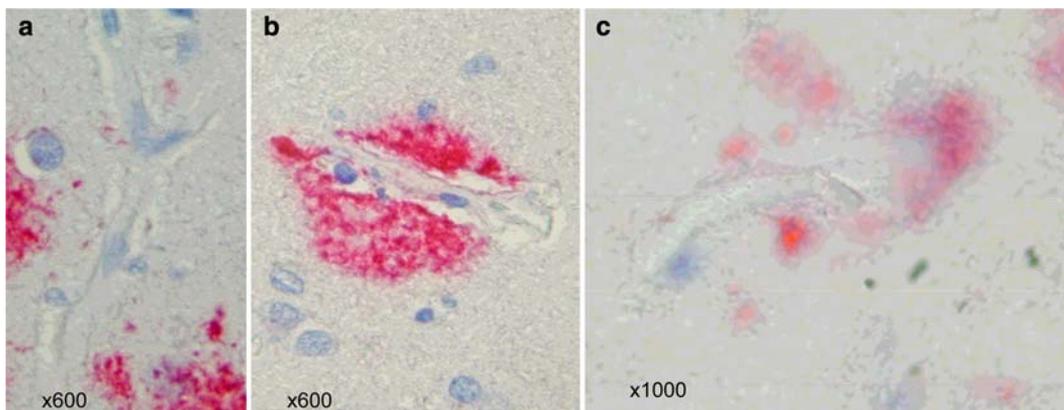
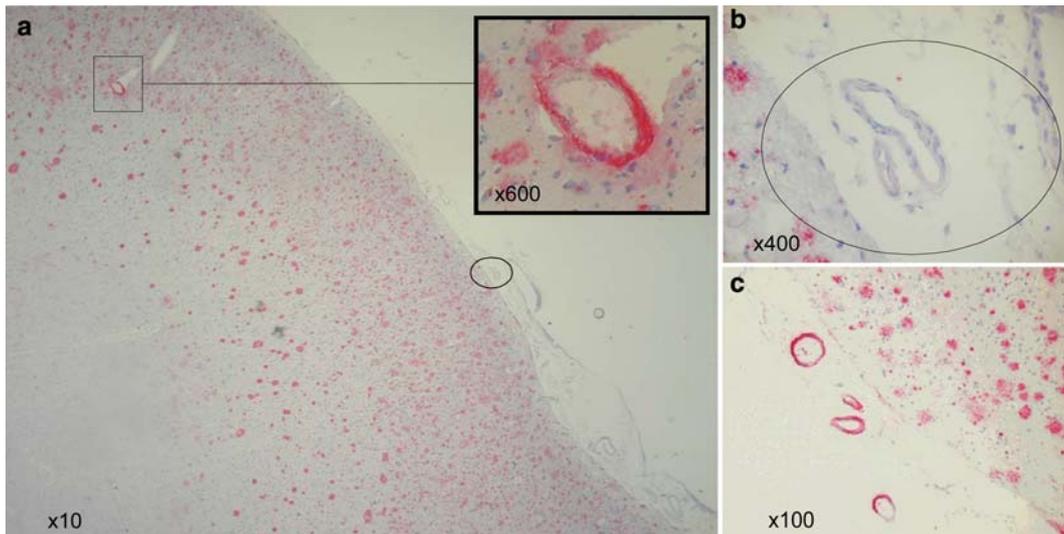


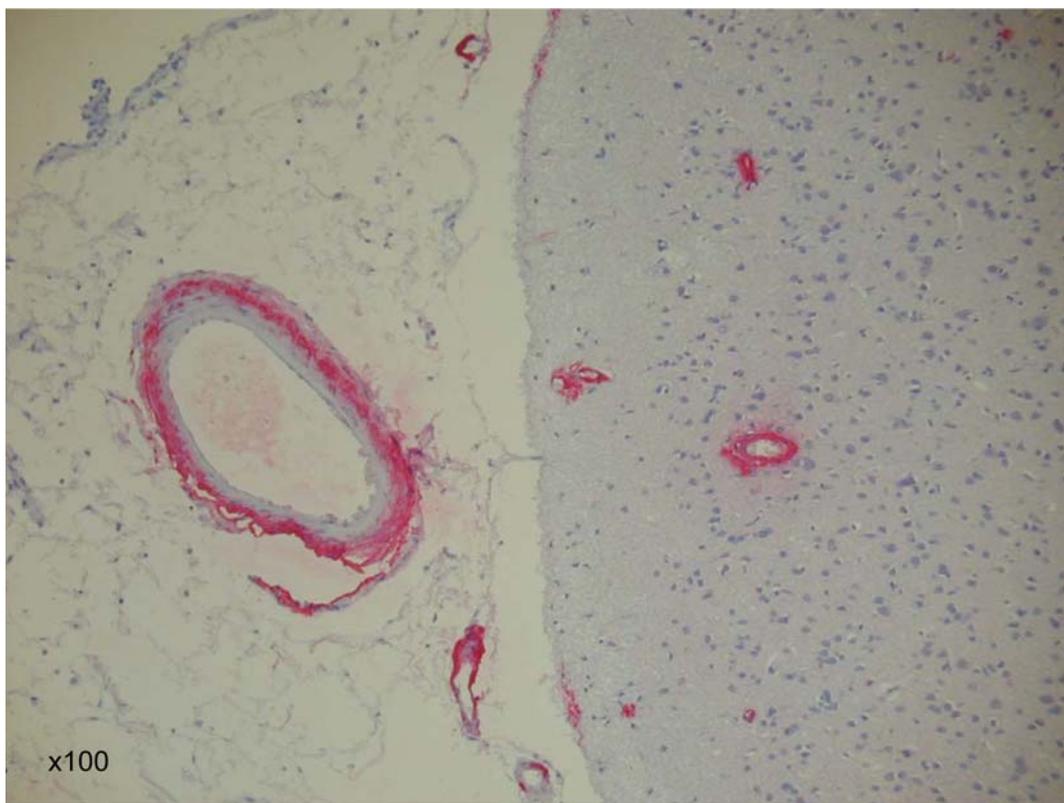
Fig. 3 Capillary CAA without (a, c) and with prominent dyschoric changes (b); 4G8 immunostaining



**Fig. 4** Patchy distribution of CAA: A $\beta$ -laden intracortical blood vessel (**a**, *insert*), no A $\beta$  in leptomeningeal vessels in the same microscopy field (*ellipse* in **a**, **b**), but A $\beta$ -laden leptomeningeal blood vessels in a different microscopy field of the same histological slide (**c**); 4G8 immunostaining

[117]. The neuropathological findings in these cases were similar to the ones observed in the first two autopsied patients, who had developed meningoencephalitis after immunization against the human A $\beta$  [27, 89]. Briefly, these cases showed severe CAA with inflammatory cells around A $\beta$ -laden blood vessels, but

were largely devoid of A $\beta$  plaques. Some A $\beta$  was still present in the cytoplasm of microglia. The latter observation and the presence of severe CAA, possibly caused by perivascular drainage of A $\beta$ , were interpreted as suggesting immune-mediated clearance of A $\beta$  [89].



**Fig. 5** Unusual picture of CAA: moderately affected leptomeningeal blood vessels and severely affected intracortical ones with dyschoric changes (CAA is usually more severe in leptomeningeal vessels); 4G8 immunostaining

## Topographical distribution of CAA

In general, the distribution of CAA is characteristically patchy and segmental [134]. In one given histological slide there may be foci showing vessels with varying degrees of A $\beta$  depositions adjacent to foci showing vessels without any A $\beta$  deposition (Fig. 4). The patchy distribution of CAA may thus lead to an under-diagnosis of CAA in postmortem examination, as even in severe cases a given histological slide might not contain A $\beta$ -laden blood vessels.

It has been shown by many authors that CAA is most frequent in the occipital lobe, followed by either frontal, temporal or parietal lobes, respectively [5, 99, 101, 125, 126, 129, 135, 153]. Some authors, however, reported the frontal lobe to be the site most frequently involved in CAA [76, 150]. The occipital lobe is not only the site most frequently affected with CAA but also most severely so [5, 125]. CAA is rarely seen in the basal ganglia, thalamus, and cerebellum, while both white matter and brainstem are usually spared [23, 52, 75, 102, 105].

With respect to the distribution of CAA in the different types of cerebral blood vessels, leptomeningeal arteries seem to be more frequently affected than intracortical ones (i.e., arteries in the gray matter), whereas blood vessels of the white matter rarely show CAA [4, 106]. It is generally assumed that involvement of leptomeningeal arteries represents an early stage in the process of the disease, which is followed by involvement of cortical arteries. In some cases, however, intracortical arteries are affected more severely than leptomeningeal ones (Fig. 5). Conflicting data have been reported on the involvement of intracortical capillaries and leptomeningeal veins. Veins, however, tend to be affected less frequently than arterial vessels [105, 106].

## Grading of CAA

For practical purposes two grading systems are commonly used in routine neuropathology. Olichney et al. [94] proposed the scale: 0, no A $\beta$ -positive blood vessels; 1, scattered A $\beta$  positivity in either leptomeningeal or intracortical blood vessels; 2, strong, circumferential A $\beta$  positivity in either some leptomeningeal or intracortical blood vessels; 3, widespread, strong, circumferential A $\beta$  positivity in leptomeningeal and intracortical blood vessels; 4, same as 3 with additional dyschoric changes. This system has a rather quantitative approach, whereas Vonsattel et al. [139] grade CAA with respect to the severity of pathological changes in a given blood vessel: mild, amyloid is restricted to the tunica media without significant destruction of smooth muscle cells; moderate, the tunica media is replaced by amyloid and is thicker than normal; severe, extensive amyloid deposition with focal wall fragmentation or even double barrelling of the vessel wall, microaneurysm formation, fibrinoid necrosis, and leakage of blood through the blood vessel wall.

Despite the practical value of these two grading systems, they have some limitations. The system described by Olichney et al. [94] links leptomeningeal and intracortical involvement, and does not allow scoring cases with strong positivity in intracortical vessels but without (strong) positivity in leptomeningeal vessels. The system by Vonsattel et al. [139], on the other hand, does not distinguish between leptomeningeal and intracortical affection. Many different approaches have been used to evaluate the severity of CAA more precisely, including computer-assisted morphometric methods; these methods, however, are too laborious to be used in every day routine analysis [3, 4, 123, 124, 157]. We use a system that not only distinguishes between leptomeningeal and intracortical affection but also allows noting CAA in different topographical sites. Leptomeningeal and intracortical vessels are scored separately: 0, no A $\beta$  positive vessels; 1, mild (i.e., scattered positivity in few vessels); 2, moderate (i.e., scattered positivity in many vessels or strong positivity in few vessels); 3, severe (i.e., strong positivity in many vessels); 4, severe with dyschoric changes (only in intracortical vessels). For each region, we record both the leptomeningeal and the intracortical score separately. To assess the overall severity of CAA the mean values of all scores are calculated (see [5]).

To date, however, a standardized neuropathological criteria for rating CAA is not available and, as stated by Greenberg et al. [41], “this lack makes it difficult to compare results across different populations and studies... and clearly outlines an obstacle to be addressed by investigators in this field”.

## CAA and AD pathology

The prevalence of CAA in AD is over 70% (Table 1) and many authors consider CAA as a feature of AD. Despite this high prevalence, the severity of CAA is highly variable in AD, and therefore does not seem to strongly depend on the severity of AD pathology (e.g., CERAD, Braak stages, NIA-Reagan Institute (RI) criteria) [3, 21, 125, 150].

Several studies addressed the association of CAA with both A $\beta$  and tau pathology in the parenchyma that is in close vicinity to A $\beta$ -laden blood vessels. No significant correlations were seen with respect to the direct

**Table 2** CAA in different degrees of NIA-RI criteria. Data from [5] (RI Reagan Institute, CAAT total score, mean value of four regions)

NIA-RI criteria	CAA, Olichney grades (%)					CAAT
	Negative	1	2	3	4	
Negative	76.5		11.8	5.9	5.9	0.3
Low prob.	35.1	29.7	5.4	18.9	10.8	0.8
Medium prob.	18.8	18.8	12.5	31.3	18.8	1.2
High prob.	16.3	9.3	16.3	32.6	25.6	1.3

association between the density of parenchymal A $\beta$  plaques and the severity of CAA in the same region (i.e., same histological slide) [125, 152]. Of note, on combining data from all investigated regions Tian et al. [125] found a low but significant negative correlation between the ratings of both CAA and senile plaques. Several case reports on various genetically linked familial forms of dementia/CAA observed severe neurofibrillary tau pathology around A $\beta$ -laden blood vessels [31, 104, 132, 133], whereas data on the association between CAA and tau pathology in sporadic late onset AD are rare. Fernando and Ince [26] observed a significant association between intracortical CAA and neurofibrillary tangles, but did not see such an association between the latter and leptomeningeal CAA. In a morphometric study on 51 cases of neuropathologically confirmed AD, Williams et al. [145] recently showed that tau immunolabeling was significantly stronger around A $\beta$ -laden arterial blood vessels compared to non-A $\beta$ -laden ones, where, in turn, it exceeded immunolabeling in the cortex away from blood vessels. The authors proposed that the perivascular accumulation of hyperphosphorylated tau, which is more pronounced around A $\beta$ -laden blood vessels, may be rather a consequence of elevated levels of soluble A $\beta$  around blood vessels (due to perivascular drainage of A $\beta$ ), than of a particular aspect of vessel function (e.g., mediators released by the vessel wall).

We correlated the severity of CAA with the severity of neuropathological AD criteria (i.e., CERAD, Braak stages, and NIA-RI criteria) and showed that the severity of CAA significantly increases with increasing AD pathology. Only low correlations, however, were seen (Table 2) [3, 4, 5]. We further distinguished between CAA severities in different brain regions and showed that mainly the severity of CAA in the occipital region significantly increased with increasing AD pathology [5]. Contrasting the low correlation between general CAA and AD pathology, the severity of capillary CAA highly correlated with AD pathology [3].

In addition, we found remarkable differences in the composition of A $\beta$  between capillary CAA and general CAA. Many studies showed that vascular A $\beta$  in general CAA is predominantly composed of A $\beta$  peptides terminating at amino acid position 40 (A $\beta$ 40). Conversely both senile and neuritic plaques are mainly composed of A $\beta$  peptides terminating at amino acid position 42 (A $\beta$ 42) [1, 4, 11, 35, 47, 48, 49, 70, 73, 74, 111]. Our results indicated that general CAA is characterized by A $\beta$ 40 and A $\beta$ 42 depositions in leptomeningeal and intracortical arterial vessels, with A $\beta$ 40 being more frequent and more severe. By contrast, capillary CAA is characterized by globular A $\beta$ 42 deposits in intracortical capillaries and pericapillary spaces, often in conjunction with parenchymal A $\beta$ 42 depositions (dyschoric changes). Thal et al. [115] described two different types of CAA. CAA type 1 showed A $\beta$  deposits in every type of leptomeningeal and intracortical blood vessels including intracortical capillaries, whereas in CAA type 2 intracortical capillaries were not involved. *APOE- $\epsilon$ 4* was

a risk factor for CAA type 1 and *APOE- $\epsilon$ 2* for CAA type 2 (*APOE*: apolipoprotein E, see also section CAA and APOE genotype). Since *APOE- $\epsilon$ 4* is a known risk factor for A $\beta$  plaque deposition, the same pathomechanism may support intracortical capillary A $\beta$  deposition as a component of neuropil associated A $\beta$  deposition in CAA type 1. In CAA type 1 *APOE- $\epsilon$ 4* and in CAA type 2 *APOE- $\epsilon$ 2* possibly promote smooth muscle cell-associated A $\beta$  depositions in leptomeningeal and intracortical blood vessels, respectively. In addition, both types of CAA were seen in mild and severe CAA, and their prevalence did not depend on either the patients' age or the severity of AD-related A $\beta$  load, suggesting that CAA type 1 and CAA type 2 rather represent different disease entities, than the extent of CAA to a capillary level in cases of CAA type 1 [123]. In a study investigating the association between the low density lipoprotein-receptor related protein (LRP) and CAA, Christoforidis et al. [15] recently showed that LRP was associated with CAA in leptomeningeal and intracortical blood vessels but not with capillary CAA. The authors postulate that particular LRP polymorphisms could impair LRP function (in general and/or in relation to A $\beta$  metabolism, i.e., removal of soluble A $\beta$  [57]) and/or modify LRP expression in noncapillary cerebral vessels, leading to a consecutive promotion of vascular A $\beta$  deposition [15]. The underlying pathomechanisms for each general CAA and CAA involving capillaries are not clear yet. They are, however, likely to differ and it is possible that general CAA and capillary CAA represent different pathologic entities [4, 15, 123].

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### Clinical diagnosis of CAA

As CAA is a common cause of intracerebral hemorrhage, a reliable method for diagnosing CAA during life would facilitate both future clinical drug trials and clinical decision making [37, 60]. The latter is highlighted by data showing CAA to be an important cause of warfarin-associated intracerebral hemorrhage [43, 110]. The clinical diagnosis of CAA-related hemorrhage is based on analysis of biopsy tissue and radiographic techniques which identify the patterns of hemorrhages characteristic for CAA [37]. Although a sample of cortical tissue can be obtained safely by biopsy or hematoma evacuation [42, 64, 77, 80], tissue samples are generally not available and their interpretation is complicated by the patchy and segmental distribution of CAA, which could lead to negative results even in cases of severe CAA [38, 60]. Greenberg and Vonsattel [38] showed that the presence of mild to moderate vascular A $\beta$  is a sensitive marker for CAA-related hemorrhage and the additional presence of fibrinoid necrosis is specific for CAA-related hemorrhage. As some degree of A $\beta$  in cerebral blood vessels is a common finding in the elderly, its presence should be interpreted with respect to the patient's age. Therefore, the specificity of vascular

A $\beta$  for identifying CAA as cause of hemorrhage decreases with increasing patient age [38]. Gradient echo MRI is the most useful radiographic technique to demonstrate the pattern of hemorrhage characteristic for CAA [37]. The localization of CAA-related hemorrhage follows the localization of CAA in the cerebral cortex and corticosubcortical or lobar regions [37, 58, 134], and is typically absent in regions characteristic of hypertensive hemorrhages (e.g., putamen, thalamus, pons, and cerebellum [37]).

The Boston criteria for diagnosis of CAA-related hemorrhage are based on the tendency for CAA-related hemorrhage to be multiple and to occur primarily in cortical and corticosubcortical (or lobar) brain regions. According to these criteria, the diagnosis of definite CAA-related hemorrhage might only be possible by full postmortem examination. However, based on tissue from evacuated hematoma or cortical biopsy (not necessarily needed), MRI/CT, and clinical data, the diagnosis of probable CAA-related hemorrhage can be made during life with high accuracy, as indicated by correlation of these criteria with postmortem neuropathological findings [60]. In addition, *APOE* genotyping might be useful in diagnosing CAA-related hemorrhage in some cases; in general, however, it has been shown to be neither sensitive nor specific [37].

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### CAA and *APOE* genotype

The apolipoprotein E (*APOE*) gene encodes a protein, which is involved in the transport of cholesterol and other hydrophobic proteins. It is located on the long arm of human chromosome 19 and has three common alleles designated  $\epsilon 2$ ,  $\epsilon 3$ , and  $\epsilon 4$ . These genetic variations result in different amino acid substitutions at positions 112 and 158, respectively (ApoE2: Cys 112, Cys 158; ApoE3: Arg 112, Cys 158; ApoE4: Arg 112, Arg 158). In the majority of Caucasian populations *APOE*- $\epsilon 3$  is the most common allele; *APOE*- $\epsilon 2$  and *APOE*- $\epsilon 4$  are considered variants [113, 140]. It is now well established that possession of at least one *APOE*- $\epsilon 4$  is a risk factor for both CAA and AD [17, 119, 152]. *APOE*- $\epsilon 4$  has been shown to be associated with increased A $\beta$  deposition in both AD [103] and CAA [2]. On the other hand, *APOE*- $\epsilon 4$  was strongly associated with the severity of CAA in AD but not with parenchymal A $\beta$  load, respectively, suggesting that *APOE*- $\epsilon 4$  favors vascular over parenchymal A $\beta$  deposition [13]. In addition, *APOE*- $\epsilon 4$ -associated AD cases exhibited more severe CAA than AD cases lacking *APOE*- $\epsilon 4$ , and AD cases with severe CAA tend to have less parenchymal A $\beta$  than those with moderate CAA [13, 143]. Capillary CAA was associated with *APOE*- $\epsilon 4$  [123], and Tian et al. [126, 128] noted that in AD only the extent of CAA within the occipital cortex increased with possession of *APOE*- $\epsilon 4$ .

*APOE*- $\epsilon 2$  decreases the risk of AD [18] but increases the risk of early onset CAA [40, 88] and of hemorrhages

in CAA, as *APOE*- $\epsilon 2$  promotes the rupture of A $\beta$ -laden blood vessels [14, 78, 91].

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### CAA-related vascular lesions

CAA has been associated with intracerebral hemorrhages, ischemic infarcts, and white matter loss. With increasing severity of CAA, smooth muscle and elastic elements in blood vessel walls are replaced by A $\beta$  depositions, which may result in microaneurysm formation and ultimately lead to intracerebral (lobar) hemorrhage. Indeed, CAA-related intracerebral hemorrhages are seen in 5–20% of all spontaneous (non-traumatic) cerebral hemorrhages in elderly subjects [23, 51, 139]. Despite the high prevalence of CAA in the occipital cortex, CAA-related hemorrhages have been shown to be more evenly distributed [38, 134]. Patients with both *APOE*- $\epsilon 2$  and CAA seem to be particularly prone to spontaneous hemorrhage [40, 78, 88, 91] and *APOE*- $\epsilon 2$  was associated with severe pathomorphological changes such as “double barrelling”, fibrinoid necrosis, and evidence of paravascular bleeding in CAA [40, 79]. It was consequently suggested that CAA patients exposed to clinical risk factors such as antiplatelet/anticoagulant medication, hypertension, and minor head trauma may be most at risk of lobar hemorrhage if they are also *APOE*- $\epsilon 2$  carriers [78]. Given that CAA can imitate transient ischemic attacks, maybe due to focal seizures secondary to petechial hemorrhages [10, 39, 93], caution should be exercised in prescribing anticoagulant medications to elderly patients with transient neurological deficits in the absence of significant carotid stenosis [79]. Vessels affected with CAA frequently demonstrate a “double barrel” lumen, suggestive of weakened vascular extracellular matrix, resulting in the separation of intima from media during tissue preparation [32, 93]. Matrix metalloproteinases, a family of enzymes with over 20 members identified to date, have been shown to be involved in the regulation of vascular integrity and have been implicated in a variety of vasculopathies, including hemorrhagic transformation after cerebral ischemia [55, 63, 120]. Lee et al. [66, 67] showed recently that A $\beta$  peptide induced transcription, cellular release, and proenzyme activation of matrix-metalloproteinase-9 in cultured cerebral endothelial cells, resulting in increased extracellular matrix degradation. Additionally, in aged APPsw transgenic mice [i.e., mouse model overexpressing the Swedish familial AD mutation of A $\beta$  precursor protein (APP): K670N/M671L], the majority of CAA vessels with evidence of microhemorrhage demonstrated matrix-metalloproteinase-9 immunostaining. These findings suggest that spontaneous hemorrhage in CAA might be partly caused by A $\beta$ -induced vascular matrix-metalloproteinase-9 activation [67].

Although less frequently than in hemorrhages, CAA has been observed in patients with ischemic cerebral

infarctions of variable extent [9, 72, 93, 94, 95, 96]. In tissue biopsies of 108 cases with recent cerebral or cerebellar infarctions, Cadavid et al. [9] found CAA in 13%, while CAA was present in only 3.7% of controls, suggesting CAA to be a risk factor for ischemic cerebral infarction. The mechanisms by which CAA increases the risk of ischemic infarctions are unknown. However, the deposition of A $\beta$  in the blood vessel walls could induce a disturbance in the vascular reactivity to focal ischemia, with reduction in collateral circulation and more severe injury to ischemic tissues at risk for infarction [9, 159]. In addition, CAA causes both impaired vascular autoregulation and hypoperfusion as a result of thickening of the blood vessel wall and narrowing of the lumen, respectively [94, 128]. In addition to cortical ischemic infarcts, this may also lead to white matter damage.

Albeit CAA is only rarely detected in the white matter, neuropathologically confirmed CAA in the gray matter and leptomeninges correlated with white matter loss [127]. CAA causes degeneration of the tunica media in corticomeningeal arteries, leading to an impairment of cerebrovascular autoregulation in response to blood pressure [46]. This impairment may in turn lead to lesions in the white matter supplied by A $\beta$ -laden meningocortical arteries. White matter lesions in CAA sometimes resemble those seen in Binswanger's subcortical encephalopathy and a common mechanism of hypoperfusion in these two disorders was suggested [36, 125]. In anecdotal reports CAA was associated with reversible leukoencephalopathy, this, however, is a very unusual presentation of CAA [12, 92, 112].

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### CAA and dementia

Several studies suggest severe CAA to be an independent risk factor for cognitive decline [26, 39, 41, 86, 150, 157], while others did not find significant differences between the prevalences of CAA in demented and non-demented subjects [3, 99]. In the population-based MRC Cognitive Function and Aging Study, CAA was identified at autopsy in 34 of 93 demented (36.6%) and in 7 of 99 non-demented patients (7.1%), yielding an elevated odds ratio for dementia of 9.3 in multivariable analysis controlling for age, brain weight, neuritic and diffuse plaques, neocortical and hippocampal neurofibrillary tangles, Lewy bodies, and cerebrovascular disease [86]. In the Honolulu-Asia Aging Study (HAAS), however, autopsy performed on 211 individuals did not reveal a significantly higher prevalence of CAA in clinically demented patients (demented: 54.8% versus non-demented: 38.4%) [99]. While the role of CAA as an independent, primary cause of dementia awaits further elucidation, it is, however, widely assumed that CAA has an aggravating effect on the pathology/pathogenesis of other neurodegenerative diseases. Thus, severe CAA may lower the threshold for clinically overt dementia, especially in AD, where subjects with only medium AD

pathology (e.g., Braak III or IV) but considerable CAA were clinically demented [51, 53, 99]. As both widespread CAA and arteriosclerosis are associated with cognitive deficits in AD, the combination of these may contribute to neurodegeneration in AD [124]. The exact mechanisms by which CAA affects cognitive function are yet unclear. However, neuronal loss in AD was associated with severity of CAA, and ultrastructural studies have demonstrated the deposition of A $\beta$  in capillaries, which might impede the functional transport of essential nutrients across the blood-brain barrier [85, 128, 156]. The associations between CAA, white matter changes, and cognitive impairment suggest that advanced CAA causes clinically important vascular dysfunction [41].

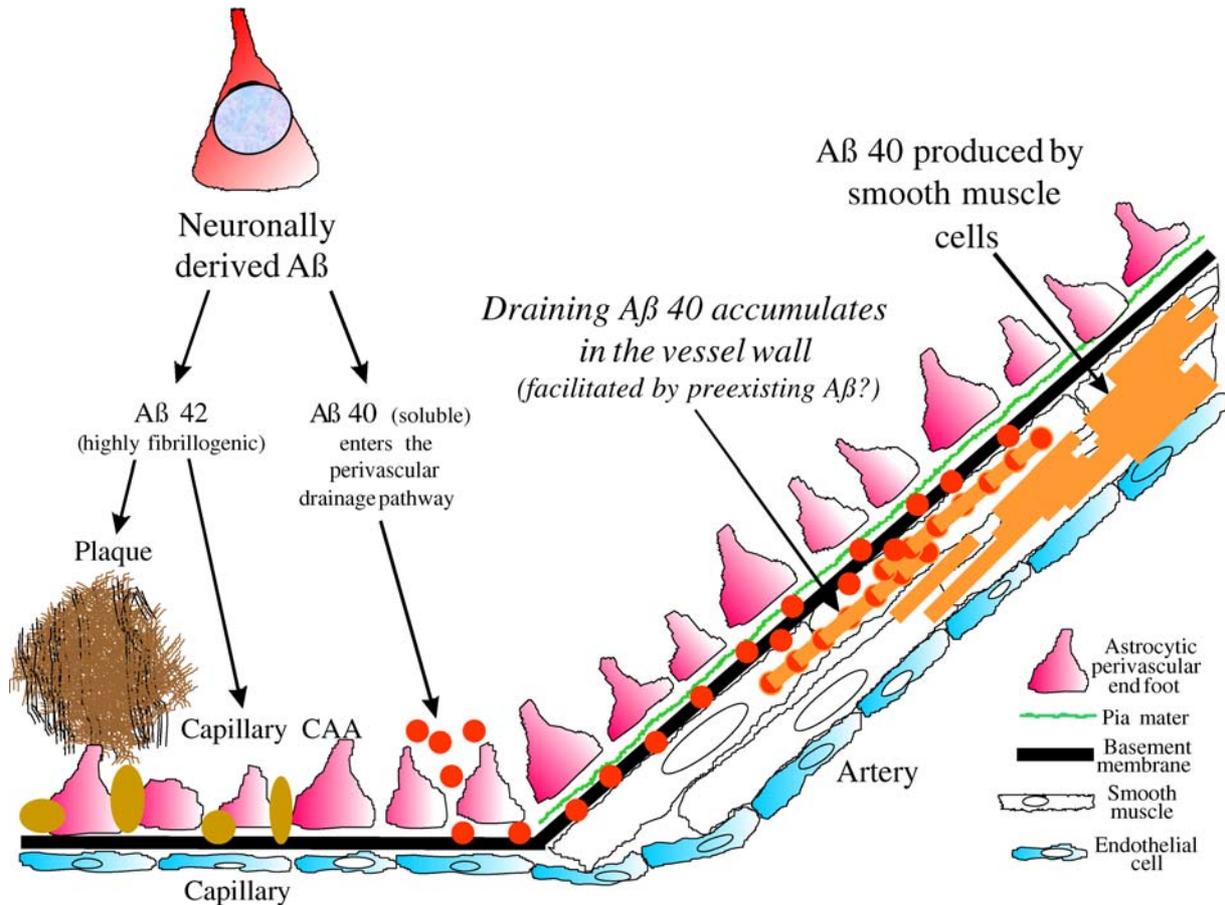
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### Pathomechanisms for CAA

The origin of A $\beta$  in blood vessel walls is poorly understood, and several mechanisms have been proposed. As the APP is a membrane protein of nearly every cell type, it was suggested that A $\beta$  derives from the circulation [105, 106]. Recently, however, two other possible pathomechanisms received wider attention: (1) production of A $\beta$  by smooth muscle cells within the vessel walls and/or pericytes, and (2) derivation of A $\beta$  from the neuropil in the course of perivascular drainage.

Production of A $\beta$  by smooth muscle cells within the vessel walls and/or pericytes

A $\beta$  fibrils that have been shown in vascular tunica media in close contact with smooth muscle cells were mainly composed of A $\beta$ 40 [4, 28, 122, 146, 147, 149], while A $\beta$ 42 was present in only some deposits in AD [4, 35] and in Down's syndrome [68]. Production of A $\beta$  by vascular smooth muscle cells has been confirmed by cell culture studies which showed intracellular and recently also extracellular A $\beta$  depositions [29, 30, 148]. These A $\beta$  depositions were immunoreactive for A $\beta$  sequences 1–16 and 17–24, but not 37–42, suggesting that vascular smooth muscle cells produce A $\beta$ 40 [30]. It was further suggested that proliferating and degenerating smooth muscle cells produce A $\beta$ . Among other factors, including cytokines, injury by hemodynamic stress or ischemia might be responsible for the smooth muscle cell activation, proliferation, formation of A $\beta$ , and eventually degeneration [6, 146]. Similar to smooth muscle cells, degenerating pericytes overproduce A $\beta$  (for review see [8]). It has been suggested that smooth muscle cells mainly secrete non-fibrillary A $\beta$  and that aggregation of monomers in fibrils is an extracellular modification promoted by factors that are present in the ground substance, which in turn is also produced by smooth muscle cells. It is consequently assumed that both A $\beta$  and factors promoting fibrillogenesis are secreted by smooth muscle cells [147].



**Fig. 6** Hypothetical pathomechanism for CAA: Neuronally derived Aβ<sub>42</sub> fibrillizes into plaques and is the major constituent of Aβ in capillary CAA, while Aβ<sub>40</sub> remains soluble and enters the perivascular drainage pathway where it accumulates in blood vessel walls in the presence of Aβ<sub>40</sub>, which is produced by smooth muscle cells

#### Derivation of Aβ from the neuropil in the course of perivascular drainage

This hypothesis proposes that Aβ in the blood vessel walls is of neuronal origin. Brain parenchyma is devoid of lymphatic vessels, and interstitial fluid has been shown to drain via perivascular pathways which, by analogy with other species, are the lymphatic drainage pathways of the human brain [20, 142, 158]. The driving force behind that drainage is believed to be, at least partly, the pulsatile flow of blood in the lumina of arteries [90]. There is emerging evidence that soluble Aβ, which is constantly produced by neural cells, is cleared from the brain via several different routes: perivascular pathways with interstitial fluid drainage, directly across the blood-brain barrier into the bloodstream (apparently mediated by LRP-1), and by glia (microglia, astrocytes) [87, 90, 143]. As indicated by animal studies (wild-type and APP transgenic mice), clearance via the perivascular pathway becomes more significant with age [61, 118]. Weller and colleagues [90, 102, 141, 143, 144] propose that CAA occurs due to deposition of Aβ in the vessel walls in the course of perivascular drainage. The reason for this deposition

might be both increased production of Aβ by neuronal cells and additional degenerative vascular changes, which commonly affect aged individuals (e.g., atherosclerosis, fibrohyalinosis). The latter leads to reduced elasticity of arterial walls, which in turn might reduce perivascular drainage by diminishing pulsatile-driving movements in the perivascular pathway. It has been shown that thrombosis of a superficial cortical artery was associated with accumulation of Aβ in the walls of capillaries supplied by that artery [155]. It was further suggested that impaired clearance of Aβ leads to an increased concentration of soluble Aβ, which in turn results in precipitation of Aβ in the form of plaques, the development of tau pathology, and neuronal and synaptic loss [90].

In view of the literature and in combining the 2 pathomechanisms outlined above, the present author hypothesizes the following (Fig. 6): Aβ<sub>40</sub> is produced by smooth muscle cells within the vessel walls [30, 84, 146]. For unknown reasons this process is most pronounced in the occipital lobe [99, 101, 125, 126, 129, 135, 153]. With the onset of AD, cortical Aβ load increases. Because of the highly fibrillogenic nature of Aβ<sub>42</sub> [54], it does generally not enter the perivascular drainage

pathway, but fibrillizes in plaques and deposits on capillary walls/pericapillary spaces. Mainly A $\beta$ 40 remains soluble and therefore enters the perivascular drainage pathway [44, 54, 90, 131]. In the course of its perivascular drainage A $\beta$ 40 accumulates in blood vessel walls [90, 102, 109, 141, 143, 144]. This accumulation is probably facilitated by both preexisting A $\beta$  derived from smooth muscle cells and other, additional degenerative vascular changes (e.g., atherosclerosis). This process seems to be most pronounced in the occipital lobe as indicated by the significant increase of the severity of CAA in the occipital lobe with increasing AD pathology [5]. This might be because elimination of A $\beta$  in the occipital lobe is, due to high amounts of initially produced A $\beta$ 40 by smooth muscle cells (see above), more impaired than in other regions, which in turn results in an “over additive” interaction in-between preexisting, smooth muscle-derived A $\beta$  and draining, neuronally derived A $\beta$ .

## Conclusions

Sporadic CAA is a common disease in elderly individuals and its incidence and severity increase with age. The most important clinical implication of CAA is its role as a frequent cause for non-traumatic cerebral hemorrhage. From a pathological point of view, however, CAA provides a plethora of topics to be addressed in future research. To better evaluate CAA and to compare data of different study groups it seems necessary to refine the criteria for grading CAA in a way that they are both accurate and practicable. Investigations addressing the origin of A $\beta$  in CAA are likely to further elucidate some of the principal pathomechanisms of AD/dementia and may have implications on future therapeutic strategies. The relationship between CAA and AD is yet to be resolved; it seems, however, that CAA and AD have a mutual aggravating effect with respect to the severity of both pathomorphological changes and clinical dementia.

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