SHORT COMMUNICATION

Effective dispersion of fullerene with methacrylate copolymer in organic solvent and poly(methyl methacrylate)

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Abstract Dispersion of fullerene, C_{60} , by addition of polymethacrylate dispersant in methyl methacrylate (MMA) and incorporation of C_{60} into poly(methyl methacrylate) (PMMA) were investigated. Copolymers synthesized by radical copolymerization of MMA and 2 naphthyl methacrylate (NMA), poly(MMA-co-NMA), effectively dispersed C_{60} in MMA to form clusters of 20 nm. In these cases, addition of minimal 110 naphthyl groups per unit C_{60} molecule afforded to give clusters with minimum of 20 nm sizes. Furthermore, block copolymers, poly(MMA-b-NMA) with MMA/NMA mole ratio from 12:1 to 20:1, also efficiently dispersed C_{60} to give formation of clusters of 20 nm size by addition of minimal 40 naphthyl groups per unit C_{60} molecule, which was corresponding to approximate nine layers of naphthyl group in block copolymer adsorbed on the surface of the cluster. Hybrid films of C_{60} /PMMA, prepared by casting of C_{60} -dispersed solution containing PMMA, exhibited absorbance at 400 nm linearly increased with C_{60} content.

Keywords Fullerene . Polymer dispersant . Polymethacrylates $\cdot C_{60}$ /PMMA hybrid film

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Introduction

Fullerenes have been attractive and highlighted materials due to a spherical π-conjugated molecule exhibiting characteristic properties, i.e., electron accepting or releasing abilities, high heat conductivity, thermal stability, high refractive index, radical trapping, UVadsorption, and so on. The number of studies has steadily grown covering fundamental to applied fields of science and technology of fullerene chemistry [\[1](#page-5-0)–[5\]](#page-5-0). Thus, C_{60} and C_{60} -based nanomaterials have been contributing to a variety of promising applications to protease inhibitors [[6,](#page-5-0) [7\]](#page-5-0), antibacterial [\[8](#page-5-0)] and anticancer [[9](#page-5-0)] drugs in medicine, high surface area particles and supports in catalysis [[10\]](#page-5-0), electron carriers in electronic devices [\[1](#page-5-0)], and semiconductors in solar cells [[11](#page-5-0)–[13](#page-5-0)]. Meanwhile, since fullerene molecules generally opt to aggregate each other due to strong hydrophobic and/or π –π interaction between molecules, in most cases of the application, less solubility or dispersion of fullerenes in aqueous or organic solvents usually gives arise to limited utilization [\[2](#page-5-0)]. In this respect, the chemical modification via covalent bond has been sometimes conducted to improve solubility or increase affinity with solvents and/or matrixes. The authors have reported that grafting of poly(methyl methacrylate) (PMMA) onto colloidal silica has improved compatibility with low polar solvents and polymer matrixes in fabrication of periodic particle-arrayed polymer systems by immobilization of colloidal crystals formed by polymer-grafted silica in organic solvent [[14](#page-5-0)–[16](#page-5-0)]. However, the chemical modifications are mostly complex or inconvenient, but also making π -conjugation on fullerenes short and sometimes spoil characteristic properties. On the other hand, dispersion of fullerenes employing surfactant or polymer dispersant, is simple and convenient for practical application. Regarding the dispersion utilizing micelles [[17,](#page-5-0) [18](#page-5-0)], releasing of

surfactant from final materials containing fullerenes sometimes makes trouble, especially in the case of electric devices, while polymer dispersants are scarcely leaking out during device processing or assembling due to high molecular weight.

In this study, dispersion of fullerene, C_{60} , in methyl methacrylate (MMA) with convenient polymer dispersants, random and block copolymers synthesized by Schemes 1 and [2,](#page-2-0) and preparation of C_{60} -dispersed PMMA hybrid films were investigated. The reason why polymerizable monomer of MMA was used as a dispersing medium was due to supplying for successive bulk radical polymerization for hybridization.

Experimental

Materials

Fullerene (C_{60}) , Nanom purple ST, was purchased from Frontier Carbon Co. Ltd., Japan. MMA, methacryloyl chloride, styrene (St), 2-naphthol, 9-anthracenemethanol, 2,2′-azobis(isobutylonitrile) (AIBN), and organic solvents were obtained from Wako Pure Chemicals. Co., Japan. Poly(methyl methacrylate) (PMMA) of M_n =51,000 and $M_{\rm w}/M_{\rm n}$ =2.10 was synthesized by a conventional radical polymerization in tetrahydrofuran (THF) by AIBN as an initiator.

Measurements

The number–average molecular weight (M_n) and polydispersity index (M_w/M_n) of the synthesized polymers were determined by a gel permeation chromatography on the columns, PL-gel MIXED-C and MIXED-D, Polymer Lab. Co. Ltd., Japan, at 35 °C using THF as an eluent at the flow rate of 0.8 mL/min, calibrated with a polystyrene standard.

Scheme 1 Synthesis of methacrylate copolymers by radical polymerization

¹H NMR spectra were recorded on a Bruker AVANCE 400 (400 MHz), Germany. Sizes of C_{60} clusters were determined by a dynamic light scattering (DLS) on an Otsuka Electronics DLS-7000 spectrophotometer equipped with a He–Ne laser (10 mW, 633 nm), measurable range of which was 3 nm to 7 μm. Transmission spectra of hybrid films were recorded on a multichannel spectrometer, Ohtsuka Electronics MCPD-3700, Japan.

Synthesis of monomer

2-Naphthyl methacrylate (NMA) Into a 50-mL flask, a mixture of 0.43 g (3 mmol) 2-naphthol and 1.95 mL (15 mmol) N,N,N-triethylamine in 10 mL dry chloroform was placed, and the solution was cooled on an ice bath. Methacryloyl chloride (0.9 mL (9 mmol)) was slowly poured into the solution, and resultant solution was stirred at room temperature for 3 h. Extraction with chloroform from 4 % sodium dicarbonate aqueous solution, and evaporation gave 0.53 g of 2-naphthyl methacrylate; ${}^{1}H$ NMR (CDCl₃): 2.1 (3H, s), 6.1 (1H, d), 7.4 (1H, s), 7.5–7.6 8(2H, m), 7.8 (1H, d), 7.9– 8.0 (3H, m) ppm.

9-Anthracenylmethyl metharylate (AMMA) This monomer was synthesized by the same manner as described above in 72 % yield; ¹H NMR (CDCl₃): 1.9 (3H, s), 6.1 (1H, d), 7.4 (1H, s), 7.5 (4H, m), 8.4 (2H, d), 8.4 (2H, d), 8.5 (1H, s) ppm.

Synthesis of poly(MMA-co-St)

A typical run was as follows: A mixture of 2.0 mL (17.8 mmol) MMA, 0.50 mL (4.5 mmol) St and 20 mg AIBN, and 3 mL dry THF was put into a 25-mL flask after purging with N_2 , and the solution was stirred at 75 °C for 7 h. The product was precipitated with diethyl ether, and

Scheme 2 Synthesis of poly(MMA-b-NMA)

filtration and successive drying under reduced pressure gave 1.94 g poly(MMA-co-St) with M_n =21,600 and M_w/M_n =1.76. Composition of copolymer, mole ratio $m/n=5:1$, was determined by ¹H NMR spectroscopy. Poly(MMA-co-St)s, poly (MMA-co-NMA)s, and poly(MMA-co-AMMA)s were synthesized the same manner as described above. In Table 1, characterizations of poly(MMA-co-NMA)s are shown.

Synthesis of 2-cyano-2-methylpropyl 1 naphthalenecarbodithioate (1)

Into a 100-mL flask, 0.7 g (29 mmol) magnesium, 2 mg iodine, and 5 mL dry THF were put, and the flask was thoroughly purged with nitrogen. Solution of 2.8 mL (24 mmol) 1-bromonaphthalene in 5 mL dry THF was added dropwise to the mixture under nitrogen atmosphere at room temperature, followed by refluxing for 1 h. The reaction mixture was cooled down, and 2.4 mL (0.4 mmol) carbon disulfide in 5 mL dry THF was added, followed by refluxing for 1 h. After addition of 10 mL 1N HCl to resulting solution, extraction with 40 mL chloroform three

Table 1 Characterizations of poly(MMA-co-NMA) and poly(MMAb-NMA)

| Polymer | Mole ratio m/n | $M_{\rm n}$ /10 ³ | $M_{\rm w}/M_{\rm n}$ |
|------------------|------------------|------------------------------|-----------------------|
| Poly(MMA-co-NMM) | 2:1 | 12.3 | 1.90 |
| | 6:1 | 10.4 | 2.20 |
| | 7:1 | 26.0 | 1.60 |
| | 9:1 | 11.5 | 1.78 |
| | 15:1 | 14.5 | 1.89 |
| | 30:1 | 25.0 | 2.45 |
| Poly(MMA-b-NMA) | 12:1 | 10.0 | 1.29 |
| | 16:1 | 12.0 | 1.34 |
| | 20:1 | 14.0 | 1.35 |

times and evaporation gave viscous reddish crude product. The product was dissolved in 18 mL ethyl acetate and 1.7 mL dimethylsulfoxide, and the solution was stirred at room temperature for 12 h. Evaporation and purification by column chromatography using silica gel with eluent of cyclohexane/ toluene/dichloromethane (5:4:1 vol) gave 1 ; ¹H NMR (CDCl₃): 1.9 (6H, s), 7.5 (4H, m), 7.9 (2H, m), 8.1 (1 H, s) ppm.

Synthesis of 1-naphthylcarbodithioate-terminated poly (NMA) (2)

A typical run was as follows: A mixture of 80 mg (0.27 mmol) 1, 1.0 g (4.5 mmol) NMA, and 2.0 mL benzene was put into a test tube, followed by degassing by freezing and thawing method under vacuum and by purging with nitrogen. After sealing, the tube was irradiated by a high pressure Hg lump (500 W) at room temperature for 8 h. Resulting polymer was precipitated with methanol and then dried under reduced pressure gave 0.21 g 1-naphthylcarbodithioate-terminated poly(NMA), 2, with $M_n=3,400$ and $M_w/M_n=1.10$.

Table 2 Effects of copolymer component on fullerene dispersion in MMA

| Copolymer | $M_{\rm n}$ /10 ³ | Mole ratio m/n^a | Cluster size/nm |
|-------------------|------------------------------|-----------------------|--------------------|
| PMMA | 51.5 | | 330 ± 62 |
| Poly(MMA-co-St) | 27.8 | 5/1 | 160 ± 31 |
| | 22.2 | 9/1 | 200 ± 42 |
| Poly(MMA-co-NMA) | 96.0 | 7/1 | 42 ± 12 |
| | 5.3 | 9/1 | $57 + 14$ |
| Poly(MMA-co-AMMA) | 3.0 | 11/1 | 52 ± 16 |
| | | | |

Copolymer (14.8 mg) and fullerene (0.28 mg) was added to 3.0 mL MMA

^a Mole ratio of component is referred to m and n in Scheme [1](#page-1-0)

Fig. 1 Dependence of C₆₀ cluster size on poly(MMA-co-NMA) addition (a) and naphthyl group (b)

Synthesis of poly(MMA-b-NMA)

A mixture of 30 mg (0.009 mmol) 2, 0.80 mL (7.5 mmol) MMA, and 1.2 mL benzene was put into a test tube and the mixture was degassed by freezing and thawing under vacuum, followed by purging with nitrogen. The polymerization was carried out by irradiation of a high pressure Hg lump. Precipitation with diethyl ether gave 0.53 g poly(MMA-b-NMA) with M_n =[1](#page-2-0)4,000 and M_w/M_n =1.35. In Table 1, characterizations of poly(MMA-b-NMA)s are also shown.

Dispersion of C_{60}

MMA solution (1.50 mL) of polymer dispersant (7.0– 120 mg)/mL was added to a solution of 1.50 mL MMA containing 0.28 mg C_{60} . After the MMA solution was sonicated for 5 h at room temperature, sizes of C_{60} clusters in the solution were determined by DLS.

Preparation of C_{60} -dispersed PMMA films

MMA solution (1.50 mL) containing 36 mg of poly(MMA b -NMA) (m/n ratio=20:1) was mixed with 1.50 mL MMA solution of 0.187 mg/mL C_{60} . After sonication of the mixture for 3 h, 0–0.80 mL of the mixture was put into 1.50 mL

Fig. 2 Schematic representation of interaction between C_{60} cluster and poly(MMA-co-NMA) (a) or poly(MMA-b-NMA) (b)

MMA solution of 80.0 mg/mL PMMA. The solution (0.50 mL) was casted on a cover glass and dried under atmosphere to obtain PMMA film.

Results and discussion

Effects of ester group in polymethacrylate on dispersion

Since fullerenes are slightly dissolved in aromatic solvents, such as benzene and toluene, we have examined dispersibility of C_{60} using polymethacylates consisted of aryl group in MMA. In Table [2](#page-2-0), cluster sizes of C_{60} dispersed with PMMA, poly(MMA-co-St), poly(MMA-co-NMA), and poly(MMAco-AMMA) are shown. Dispersant polymers, PMMA and poly(MMA-co-St), gave large clusters of 330 nm and 160∼220 nm, respectively. Copolymers consisted of naphthyl and anthracenyl group, poly(MMA-co-NMA) and poly(MMA co -AMMA), effectively dispersed C_{60} to form clusters of smaller size in the range from 40 to 50 nm than those with PMMA and poly(MMA-co-St). From these results, it was clearly showed that naphthyl or anthracenyl group played significant roles in dispersion through $\pi-\pi$ interaction between C_{60} and the dispersant. Thus, we investigated effects of naphthyl content in poly(MMA- co -NMA) on C_{60} dispersion in MMA. In Fig. 1, the relation of C_{60} cluster size in MMA using poly(MMA-co-NMA) with different naphthyl content as a function of polymer addition is shown. In dispersion of 0.28 mg fullerene in 3 mL MMA, addition of high naphthylcontained copolymers of mole ratio MMA/NMM=2:1∼9:1 over 40 mg effectively dispersed C_{60} to give the cluster of around 20 nm. However, much amount of copolymer of MMA/NMA mole ratio=15:1 and 30:1 was required to give the minimal size of the clusters. Regarding cluster formation,

Fig. 3 Dependence of C₆₀ cluster size on poly(MMA-b-NMA) addition (a) and naphthyl group (b)

since copolymer aggregates of 10–13 nm in size in MMA solution of poly(MMA-co-NMA) (mole ratio MMA/ NMA=9:1) in the concentration range from 0.5 to 5.0 wt% without C_{60} were observed, it was confirmed that the clusters consisted of agglomerates of C_{60} and polymer dispersants. In Fig. [1b](#page-3-0), relation between added naphthyl groups in poly (MMA-co-NMA) and the cluster size is shown. The addition of naphthyl groups over 45 μmol in dispersion of $3.75 \times$ 10^{-1} µmol C₆₀ in 3.0 mL afforded 20 nm clusters, corresponding to 110 naphthyl groups per unit C_{60} molecule.

Dispersion with block copolymer dispersant

In practical application, an important factor is to achieve to disperse C_{60} with polymer dispersant as small addition as

Fig. 4 Transmission spectra of C_{60} /PMMA hybrid films (60 µm), prepared with poly(MMA-b-NMA) $(m/n \text{ ratio}=20:1)$

possible. The key factor of the dispersion is not only $\pi-\pi$ interaction between naphthyl group and C_{60} , but also interaction between PMMA moiety of poly(MMA-co-NMA) and MMA solvent (Fig. [2\)](#page-3-0). Therefore, in order to achieve efficient dispersion, we examined block copolymer, poly(MMA-b-NMA), having abilities of simultaneous interactions both between naphthyl groups and C_{60} and between PMMA moiety and MMA, as illustrated in Fig. [2](#page-3-0). In Fig. 3, cluster sizes in the dispersion of C_{60} in MMA using poly(MMA-b-NMA), in the range of MMM/NMA mole ratio from 12:1 to 20:1, as a function of polymer addition are shown. In these cases, minimum amounts of polymer addition to give 20 nm cluster were in the range from 15 to 31 mg, which were obviously less

Fig. 5 Changes of absorbance of C_{60} hybrid films at 400 nm with C_{60} content

amounts than those in dispersion of C_{60} employing poly (MMA-co-NMA) (Fig. [3a\)](#page-4-0). In Fig. [3b,](#page-4-0) plots of naphthyl groups added vs. cluster size are also shown. Addition of 15 μmol naphthyl groups in block copolymer to suspension of 3.75× 10^{-1} µmol C₆₀ in 3.0 mL MMA gave minimal cluster size of 20 nm, volume of which was corresponding to including 2.01 \times $10³$ C₆₀ molecules on assumption of closed packing. This result showed that minimal 40 naphthyl groups per C_{60} molecule were required to disperse in 3.0 mL MMA. Otherwise, if naphthyl groups would cover surface of 20 nm spherical cluster in diameter, 8.0×10^4 naphthyl groups/cluster, that was 64 naphthyl groups/nm² could be required to disperse. The total area of 64 naphthyl groups is corresponding to 9.0 nm^2 , on assuming a cross-sectional area of naphthyl group was 0.14 nm² evaluated from long sides of naphthyl group, 0.43 nm. Therefore, approximately nine layers of naphthyl groups might contribute to the dispersion of C_{60} to form 20 nm clusters in MMA. Unfortunately, in the present technique, it was impossible to make cluster size less than 20 nm small, which was possibly minimal size of agglomerates, obtained using dispersant, due to strong cohesion force among C_{60} molecules. In this respect, Balavin et al. [19] reported that calculations predicted the formation of stable clusters in highly diluted toluene solution with the smallest cluster containing 13 C_{60} molecules and the largest with 55 molecules.

In the dispersion of C_{60} in MMA employing poly(MMAb-NMA), therefore, it is suggested that poly(MMA) and poly(NMA) moieties of the block copolymer make effective interaction with π -conjugation system of C₆₀ and MMA solvent, respectively, to give rise to effective dispersibility of block copolymer, as presented in Fig. [2](#page-3-0).

C_{60} /PMMA hybrid film

In order to evaluate dispersion abilities of poly(MMA-b-NMA), fabrication of C_{60} /PMMA hybrid films by UVirradiated radical polymerization of C_{60} -dispersed MMA by using 2,2′-azobis(isobutyronitrile) as an initiator was carried out. However, it was difficult to prepare homogeneously C_{60} -dispersed films of 1 mm in thickness because of heterogeneous polymerization in a reaction cell. Hence, fabrication of the hybrid films was examined by a casting method using C_{60} -dispersed and PMMA-dissolved MMA solution to obtain the films. Transmission spectra of the films of 60 μm in thickness along with photograph and absorbance at 400 nm are shown in Figs. [4](#page-4-0) and [5,](#page-4-0) respectively. Hybrid films of C_{60} /PMMA exhibited high transparency to give high transmittance over 90 % at in the range of 450–650 nm. However, transmittance in the visible region increased with increasing C_{60} content. Since C_{60} and PMMA scarcely have absorption in the region, the decrease of transmittance probably comes from partial aggregation of C_{60} to give clusters over 20 nm. From the fact that

absorbance of the hybrid film at 400 nm linearly increased with C_{60} content (Fig. [5](#page-4-0)), it was suggested that C_{60} homogeneously relatively dispersed in PMMA matrix.

Conclusions

Dispersion of C_{60} employing polymethacrylate dispersants in MMA was investigated. Copolymers of MMA and NMA showed effective dispersion of C_{60} . In the dispersion of C_{60} by poly(MMA- co -NMA)s with mole ratio of MMA/NMA= 6:1 to 30:1, addition of minimal 110 naphthyl groups per unit C_{60} molecule showed dispersion to give cluster of 20 nm in diameter. Furthermore, block copolymers, poly(MMA-b-NMA)s with MMA/NMA mole ratio from 12:1 to 20:1, also exhibited effective dispersion of C_{60} to give clusters of 20 nm size by addition of minimum 40 naphthyl groups per C_{60} molecule, corresponding to approximately nine layers of naphthyl groups adsorbed on the cluster. Hybrid films prepared by C_{60} -dispersed MMA solution using poly(MMA-b-NMA) showed high transparency, and absorbance of the films at 400 nm linearly increased with C_{60} content.

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