## ORIGINAL PAPER

# Adherence to warfarin treatment among patients with atrial fibrillation

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## Abstract

*Background* Treatment with warfarin greatly reduces the risk of stroke related to atrial fibrillation, but will not be effective unless patients adhere to treatment. Lack of fixed dosing makes it difficult to objectively estimate adherence to treatment from prescription data.

*Objective* To evaluate two methods that assess adherence to warfarin from prescription data.

*Design* Retrospective study of Swedish health care registers. *Patients and methods* Age- and sex-specific dose requirements were determined from approx. 1 million blood tests and dosing instructions. By applying these dosages to 163,785 warfarin-treated patients with atrial fibrillation, we calculated the quantity of warfarin that was needed to keep these patients on effective treatment during a mean follow-up of 3.9 years and compared that with the dispensed quantities. The ratio of available drug/time at risk constitutes a measure of adherence on group level. In addition, time intervals between refills were used to assess discontinuation.

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*Results* Both methods showed that 45 % of the patients did not have enough warfarin to last 80 % of the time at risk. Between 16 and 21 % of the patients discontinued within the first year, followed by 8–9 % annually during the following years. Patients with high bleeding risk and patients with low embolic risk showed lower endurance. *Conclusions* Adherence to treatment with warfarin can be estimated on group level from prescription data and may be useful for comparison of adherence with warfarin-treated cohort with atrial fibrillation, we found that adherence is low and that measures aiming for improvements are needed.

**Keywords** Warfarin · Atrial fibrillation · Adherence · Compliance

# Introduction

Oral anticoagulants (OAC) are recommended for preventing thromboembolic events in patients with atrial fibrillation (AF) in combination with one additional risk factor [1]. Until recently, the only available drugs were vitamin K antagonists such as warfarin, which necessitate continuous laboratory monitoring and dose adjustments to achieve the desired effect. The effect is measured as prothrombin time (PT) expressed in a standardized way as INR (international normalized ratio). The maximum benefit is normally achieved at a therapeutic interval of INR 2.0-3.0 [2]. To evaluate the effectiveness of warfarin control over time, Rosendaal et al. [3] developed a method to estimate the time spent within the therapeutic range, which can be applied to individuals or on group level. Several studies have shown that the time in therapeutic range (TTR) is directly correlated with outcome [4-6]. Despite this, modern well-controlled randomized trials have failed to reach higher TTR values than 55–66 % [7–9] due to interactions with food, with other drugs, non-adherence to treatment and unknown causes [10].

In studies based on self-reporting, adherence of >75 % has been reported among patients on secondary prevention 1 year after a stroke/TIA [11, 12]. This is similar to adherence reported in clinical trials [13]. A study of actually dispensed warfarin in a large population-based cohort showed that adherence was 68 % 1 year after therapy initiation, 57 % after 2 years, and 39 % after 5 years [14]. Other retrospective observational studies have reported warfarin therapy persistence between 57 and 74 % after 1 year of therapy [15].

These diverging figures, illustrate that known participation in a study affects both patients and profession. In selfreported studies there is a risk for over-estimation of adherence, due to patients' desire to perform, and due to recall bias. This is illuminated in a small cohort of AF patients in whom there was no association between TTR and patients' self-perceived persistence to therapy but a significant correlation between TTR and the frequency by which patients came back to pharmacies for warfarin refill [16].

## Aim

To evaluate methods to assess adherence to warfarin in general populations that can be used for comparisons with new oral anticoagulants in clinical settings using prescription data.

## Materials and methods

We used the Swedish Patient register to identify all 307,870 individuals with a diagnosis of AF at any Swedish hospital or hospital affiliated open clinic between July 1, 2005 and December 31, 2010.

From the National Prescribed Drugs register, we got information about warfarin purchases made by these patients. This register stores details about every prescription that is handled in all pharmacies throughout Sweden. Since this is done automatically in a national online database, the register is almost complete. The Patient register and the Drug register were cross-linked using unique personal identification numbers given to all residents of Sweden. By doing this, we found that 163,785 of all the AF patients in the Patient Register had received warfarin at least once between July 1, 2005 and December 31, 2011. These warfarin-treated AF patients constitute our study group. Minimum follow-up was 1 year. Mean follow-up was 3.9 years. If the doses used by the patients were known, adherence to treatment could be estimated according to the drug at disposal principle. This is expressed by the time the available drug is expected to last divided by the time at risk.

For most prescribed drugs, but not for warfarin, information about the doses used is found in the Drug register. This lack makes it difficult to assess individual adherence to warfarin from prescription data, but adherence in large groups of patients may still be assessed if there is information about doses used by large groups of well-managed patients. Such data were used for our new Auricula method for estimating adherence to warfarin treatment. To validate this method, we also assessed adherence to warfarin by means of a variation of the refill frequency method. The study was approved by the Ethical Review Board in Stockholm, Sweden.

# The Auricula method

In the first step, we used information from the Swedish anticoagulation and atrial fibrillation register Auricula [17] to determine what mean doses of warfarin in relation to age and sex that were needed to achieve the desired therapeutic anticoagulant effect. The Auricula cohort consisted of 25,238 warfarin-treated patients with AF registered during the period 2006–2011.

Of these, 15,232 (60.3 %) were male and 10,006 (39.7 %) were female. The mean age was  $73.0 \pm$ 10.2 years. For this group, we had access to 1,024,020 INR values with associated dosing instructions. We excluded INR values and dosing instructions made during the first 30 days of treatment before maintenance doses had been found. Thus, our calculations are made from 926,233 INR test results with associated dosing instructions. Patients were stratified according to gender and age using 1-year classes. INR values and doses were analyzed according to the age at the time of the test. Time in therapeutic range (TTR) was calculated according to the Rosendaal method [3]. In the second step, we attributed age- and sex-specific doses derived from the Auricula cohort to patients in the national Patient register, e.g., all 307,870 patients with a hospital diagnosis of non-valvular AF in Sweden, and calculated how much warfarin would be needed to cover all days at risk for this group.

To test the robustness of the results achieved with mean doses from Auricula, we generated normally distributed simulated doses with the same standard deviations as in Auricula. Generated negative doses were disregarded. This simulation was repeated 10 times, similar to a bootstrap procedure, to see how much skewing of dose requirements in the derivation cohort distorted the results. In the final third step, we calculated how many days the supplies of the whole population, and of subgroups, would have lasted with perfect adherence and related that to the number of days at risk. Non-adherence was defined as patients having access to less warfarin than needed to cover 80 % of the time at risk, in accordance with the practice in previous studies [18–20].

## The refill interval method

In general, drugs in Sweden cannot be prescribed in larger quantities than what is expected to last 3 months. This is, however, not a strict rule, and available sizes of packages influence prescriptions. For instance, a patient with a maintenance dose of 1, 5 tablets a day needs approximately 140 tablets during a three-month period, but will most likely receive a prescription for 200 tablets, because warfarin comes in packages of 100 tablets. In consequence, this patient does not have to come for a refill as often as every third month. The duration of the interval between purchases, and the quantities dispensed, may therefore be used to estimate the individual dose.

With the Refill Interval method, we considered patients to be on treatment for the full time between two purchases of warfarin, as long as they did come back for more at least once in a 6-month period. If there was no new purchase within 6 months, the patient was considered to have terminated treatment at a date corresponding to the previous purchase plus the mean interval between purchases before discontinuation. Patients who only made one purchase were considered to have stopped after having consumed the dispensed quantity at the age- and sex-specific mean daily dose of the Auricula cohort.

We then calculated each patient's cumulative time on treatment and related that to each patient's time at risk in the same way as with the Auricula method.

## Results

The Auricula method

#### Warfarin dose requirement

The median daily dose of warfarin was 4.13 mg in the Auricula cohort. The mean dose was  $4.20 \pm 1.89$  mg (Fig 1). There was a linear inverse relationship between age and dose in both men and women (Fig 2). Patients in the youngest age group had twice as high doses as patients in the oldest age group (6.07  $\pm$  2.74 mg vs. 3.01  $\pm$  1.45 mg, p < 0.0001) and higher in men than among women. The mean dose, expressed as milligrams per day, could be described by the equation 9.344333–0.067688 × age

(years) for men, and by 8.994259–0.068527  $\times$  age (years) for women.

## Treatment intensity and time in therapeutic range

The mean INR value of approximately 1 million INR values in Auricula was  $2.49 \pm 0.66$  (median 2.40). The overall TTR for the entire cohort was 74.6 % with 10.5 % of the time above INR 3.0 and 14.8 % below INR 2.0, for values in relation to age and sex, see Table 1. Younger patients spent more time out of therapeutic range than older patients. There were no significant differences between the sexes with respect to TTR.

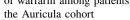
#### Application to the national AF cohort

During the study period approximately 837 kg of warfarin were distributed among 163,785 AF patients. The demographic composition of the Auricula cohort and the national AF cohort was almost the same; mean age  $73.3 \pm 9.9$  years vs. $73.2 \pm 10.2$  years, female sex 39.7 vs. 40.6 %.

Age- and sex-specific mean doses from Auricula were attributed to the patients in the national cohort to estimate how long the dispensed quantity was likely to last. Assuming that all patients consumed warfarin at the mean age- and sex-specific dose until they ran out of supply, we estimated that 16 % of the patients discontinued treatment within the first year, followed by 8-9 % annual dropout rate during the following years (Fig. 3). Forty-five per cent of the patients showed non-adherence, defined as having had access to less warfarin than needed to cover 80 % of the time. The lowest adherence was found lower among the youngest and the oldest (Table 2) without differences between men at women at equal ages (not shown). Patients with prior bleedings, renal or liver disease, alcohol abuse or dementia had lower adherence (Table 3). Patients at low risk of embolic stroke (CHA2DS2-VASc score 0-1) were more often non-adherent than patients with higher risk scores (46.1 vs. 56.6 %, p < 0.0001). Conversely, patients at high bleeding risk (modified HAS-BLED score >4) more often non-adherent than patient with lower bleeding risk (50.7 vs. 54.7 %, p < 0.0001)

To further explore the Auricula method, we assigned patients with random doses normally distributed around the mean values in Auricula, using the same standard deviations. Repeated simulations yielded very consistent estimates, only differing by 0.2 % when performed on the entire warfarin exposed cohort of 163,785 patients. When performed in smaller subsets, the influence of chance on the results increased. In random samples of 10,000 patients results varied by 1.2 %, in samples of 1,000 patients by 3.1 % and in samples of 100 patients by 8.5 %.

Fig. 1 Individual mean doses of warfarin among patients in



Mean daily warfarin dose (mg)

6

4

2

0

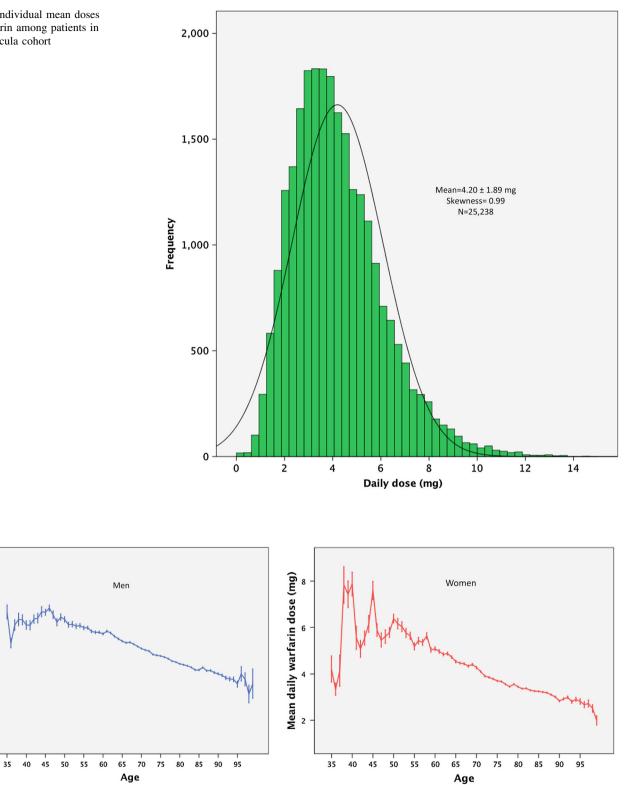


Fig. 2 Daily doses of warfarin in milligrams in relation to age and sex. Error bars represent 95 % CI. Based on 926,233 dosing instructions

## The refill interval method

When dispensed quantities of warfarin were divided by the number of days between refill visits, the median dose was estimated to be 4.60 mg per day. Assuming that all patients consumed warfarin at a regular rate until they ran out of supply, this method estimated that 21 % of the patients discontinued treatment within the first year, followed by 8-9 % annual dropout rate during the following years (Fig. 3). Approximately 45 % of the patients showed non-

 Table 1
 Mean time in and out of therapeutic range (TTR) in relation

 to age and sex in the Auricula cohort (first 30 days excluded)

Age (years)	Men			Women		
	Time below range <2.0 (%)	Time in range 2.0–3.0 (%)	Time above range >3.0 (%)	Time below range <2.0 (%)	Time in range 2.0–3.0 (%)	Time above range >3.0 (%)
<50	22.1	66.4	11.5	23.0	66.3	10.7
50-59	18.9	69.0	12.1	17.7	71.1	11.2
60–69	14.5	74.1	11.4	14.6	73.9	11.5
70–79	13.4	76.6	10.0	14.5	75.4	10.1
80-89	14.2	75.8	10.0	15.4	74.6	10.0
90+	17.7	72.5	9.8	17.9	72.2	9.9
All	14.6	74.7	10.6	15.1	74.5	10.4

compliance. Patients with high bleeding risk and patients with low embolic showed lower endurance (Table 3).

# Discussion

Using two new methods for assessment of adherence to warfarin treatment from drug prescription data, we found that 45 % of patients using warfarin against AF-related stroke did not have access to enough warfarin to last 80 % of the time at risk. After 3 years, between one-third and half of them no longer used warfarin. Some may have stopped because of bleedings, as indicated by lower adherence among patients with a history of bleedings. Others may have stopped because they only had temporary treatment in conjunction with a planned cardioversion, as indicated by low adherence among young patients without risk factors. It is, however, highly improbable that this accounts for more than a small portion of all discontinuations.

Our findings confirm earlier observations of low adherence among young patients, and among patients with low thromboembolic risk (CHA<sub>2</sub>DS<sub>2</sub>-VASc score 0–1) [11, 14] as well as among patients with dementia, alcohol abuse and bleeding problems [21]. The finding that patients with high bleeding risk (modified HAS-BLED score  $\geq$ 4) were sooner to stop treatment confirms the findings by Hylek

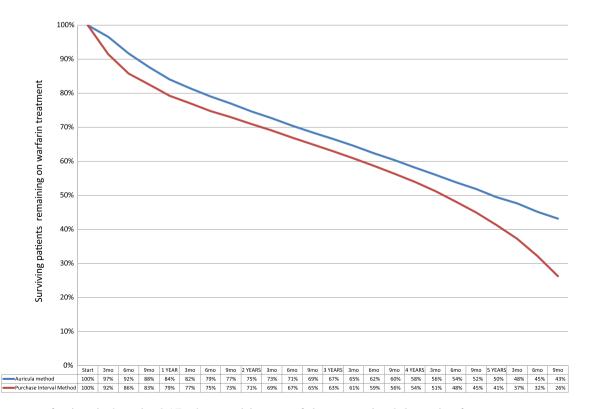


Fig. 3 Percentage of patients in the national AF cohort remaining on warfarin treatment in relation to time from treatment start

**Table 2** Percentages ofpatients estimated to remain onwarfarin treatment in relation toage and time from treatmentstart

Age (years)	1 year $(n = 151, 261)$		3 years $(n = 104, 369)$		5 years $(n = 60,279)$	
	Auricula method (%)	Refill interval method (%)	Auricula method (%)	Refill interval method (%)	Auricula method (%)	Refill interval method (%)
<50	61.3	58.6	40.6	43.4	28.7	31.9
50–59	75.6	71.7	56.7	57.1	42.4	40.8
60–69	83.2	79.4	66.9	65.9	50.1	45.2
70–79	87.0	82.9	70.1	67.0	52.2	43.8
80–89	85.7	78.9	66.9	58.7	49.3	34.3
90+	76.6	61.9	56.1	40.8	42.6	18.2
All	84.1	79.3	66.6	63.0	49.5	41.3

and co-workers [22]. Unlike others [14, 23], we found no difference in adherence between men and women at equal ages.

Our estimate that 16–21 % of the patients discontinue treatment within the first year, followed by 8–9 % annual dropout rate during the following years is lower than what was reported in a Canadian population-based study [14] where 32 % discontinued treatment already within the first year of treatment. The introduction of structured care for patients on anticoagulant treatment in Sweden during recent years may account for some of this difference, since it has been shown to improve adherence to treatment [15]. How adherence of warfarin is related to outcome was not the topic of the present study. However, it is reasonable to believe that poor compliance will result in an unfavorable outcome [24, 25].

Atrial fibrillation is a disease that is associated with aging. The mean age of AF populations is generally reported to be around 75 years. Considering that dose requirements of warfarin decline with increasing age, it is clear that the Defined Daily Dose (DDD) of 7.5 mg specified by the World Health Organization (WHO) has little resemblance with the real doses used by AF patients. In Auricula, it was 4.5 mg for men and 3.7 mg for women. It may therefore be questioned if the DDD concept is relevant for warfarin.

## Advantages with the methods used

Both of the methods we used produced similar results although they are based on different principles. They thereby validate each other. The methods appear to be neutral in relation to which drug they are used to assess, and should therefore be possible to use for comparisons of adherence between different drugs, e.g., between warfarin and new oral anticoagulants. Being able to identify groups of patients where adherence is low may also be important from a health economic perspective, since it has been shown that non-adherence to warfarin in AF patients is associated to higher total health care costs [26]. This information may be used to target interventions to improve adherence. Assessment of adherence with these methods produces rapid results at very low costs, compared to the costs of prospective studies based on self-reporting from the patients, and without the biases that affect interventional studies.

# Limitations

Methods based on the drug at disposal principle are prone to exaggerate adherence to treatment. True adherence is always lower, because patients may not take all medicine they purchase.

Patients who come back for refills are likely to have consumed what they already purchased, but if there is only one prescription it is impossible to know how much of it that was used as intended. Nor is it possible to know if the last refill in a series was used or still rests in a drawer. Therefore, estimates are likely to be more accurate for patients with many refills.

It is difficult to distinguish direct discontinuation from poor compliance with drug at disposal-based methods unless patients come back for refills at regular intervals. The Refill Interval method may therefore be less sensitive to this bias effect, than the Auricula method. The Auricula method, on the other hand, may be more exact for assessing per cent of time a risk with treatment. Used together they complement each other and make it possible to perform more secure estimates. The difficulties in differentiating between patients who frequently forget their medication and patients who abruptly stop taking it are certainly a limitation. However, a finding of low adherence still signifies that these patients did not have the protection against AF-related stroke that was originally intended.

Management of patients in Sweden is often managed in specialized centres and TTR values are often very high in comparison with most other countries [6]. Sweden also has a relatively genetically homogenous population whose individual contacts with the health care system can be followed over decades with very few individuals lost to

Table 3 Characteristics of patients in relation treatment endurance

	n	Auricula method		Refill interval method	
		On treatment $\geq 80 \%$ of time (%)	р	On treatment $\geq 80 \%$ of time	р
Sex					
Male	97,249	53.3	< 0.001	55.5	0.445
Female	66,536	56.5		55.3	
Age (years)					
<50	3,886	29.6	< 0.001	35.9	< 0.001
50–59	11,646	44.2		48.8	
60–69	37,455	53.1		57.1	
70–79	61,118	56.7		58.5	
80–89	46,978	57.5		53.9	
90+	2,702	59.9		46.5	
Stroke/TIA/syste	emic embolism				
Yes	33,155	56.0	< 0.001	58.0	< 0.001
No	130,628	54.3		54.8	
Diabetes					
Yes	27,484	58.6	< 0.001	55.4	< 0.001
No	136,299	53.8		55.1	
Hypertension					
Yes	75,734	56.2	< 0.001	56.9	< 0.001
No	88,049	53.2		54.1	
Heart failure					
Yes	48,976	54.4	0.278	54.3	< 0.001
No	114,807	54.7		55.9	
Vascular disease	e				
Yes	34,523	53.4	< 0.001	54.4	< 0.001
No	129,260	54.9		55.7	
Intracranial blee	eding				
Yes	1,466	45.4	< 0.001	44.7	< 0.001
No	162,319	54.7		55.5	
Any bleeding					
Yes	18,072	51.3	< 0.001	50.2	< 0.001
No	145,713	55.0		56.1	
Renal disease					
Yes	5,310	49.8	< 0.001	49.5	< 0.001
No	158,475	54.8		55.6	
Liver disease					
Yes	1,248	45.8	< 0.001	48.2	< 0.001
No	162,537	54.7		55.5	
Alcohol index*					
Yes	2,495	36.4	< 0.001	42.1	< 0.001
No	161,290	54.9		55.6	
Dementia					
Yes	2,095	41.2	< 0.001	37.6	< 0.001
No	161,690	54.8		55.7	
All	163,785	54.6	n/a	55.4	n/a

\* "Alcohol index" is a set of diagnostic codes used by the Swedish Board of Health and Welfare for annual reporting of alcohol related mortality (ICD-10 codes E244,F10,G312,G621, G721, I426, K292, K70, K860, O35,P043,Q860,T51,Y90-91,Z502,Z714)

follow-up. It is possible that the methods we propose will not work as well in a setting very much different from ours.

## Conclusion

Adherence to treatment with warfarin can be estimated on group level from prescription data and may be useful for comparison of adherence with warfarin and new oral anticoagulants. When applied to a large warfarin-treated cohort with atrial fibrillation, we found that adherence is low and that measures aiming for improvements are needed.

**Conflict of interest** None of the authors have support from any company for the submitted work. MS has nothing to declare. LF has given lectures, received funding or participated as consultant to Sanofi-Aventis, Boehringer-Ingelheim, Bayer, BMS, and St Jude Medical.

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