

# Pathophysiology, prevention, treatment, and outcomes of intestinal failure-associated liver disease

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## Abstract

**Background** Intestinal failure-associated liver disease (IFALD) remains a serious problem in the treatment of infants with nutritional problems and short bowel syndrome.

**Methods** A review of the recent literature from 2010 to 2016, concentrating on articles related to the pathophysiology of IFALD and to outcomes of novel nutritional and pharmacological therapies for neonatal cholestasis in the post-surgical neonate.

**Results** The pathophysiology of IFALD relates to an increase sensitivity of the neonatal liver to cholestasis in the non-fed state; prolonged cholestasis almost inevitably results in liver damage which will progress from fibrosis to cirrhosis. Clinically discerned risk factors include premature birth, inflammation, sepsis, disruption of the enterohepatic circulation by creation of a proximal stoma, and the duration and type of parenteral nutritional support. Within the hepatocyte, the regulatory enzyme farnesoid receptor X (FXR) appears to play a pivotal role in the development of cholestasis. Recent studies have shown that its activity is suppressed by sepsis, and by plant phytosterols found in soy-based lipid preparations. This paradigm is reflected in the emerging consensus for the care of post-surgical neonates, which is based around a multi-disciplinary team

approach. Using an algorithm-driven approach, an appropriate balance between caloric support and prevention of IFALD can be achieved.

**Conclusions** Further prospective studies are required to further refine the optimal sequence of use of these therapies and the long-term effects on neurological development and hepatic function. However, with optimal care, the number of IF patients progressing to end-stage liver disease because of IFALD should be very low.

**Keywords** Omegevan · SMOF · Fibrosis · Cirrhosis

## Introduction

The problem of nutrient malabsorption (intestinal failure or IF) following intestinal resection and the subsequent reliance on parenteral nutrition (PN) is common in neonates [1]. Worldwide, there is an increasing incidence of such babies, due to the increase in survival of premature infants, and the associated increased incidence of necrotizing enterocolitis (NEC). NEC is the most common cause of IF. The provision of nutritional support (PN) to support growth and allowing time for the remaining intestine to heal and adapt is the fundamental therapies for this patient group [2, 3]. This care is supportive; no therapies exist which can improve the function of the residual intestine or hasten the course of adaptation (the up-regulation of intestinal function). However, during this phase, the infant liver is uniquely susceptible to cholestasis. The reasons for this are not clear; although in general, outcomes are improving [1, 3, 4], cholestasis in infants supported with PN (parenteral nutrition associated cholestasis) and the subset of these patients who progress to liver disease after PN for intestinal failure (IFALD) is an unsolved problem. A recent

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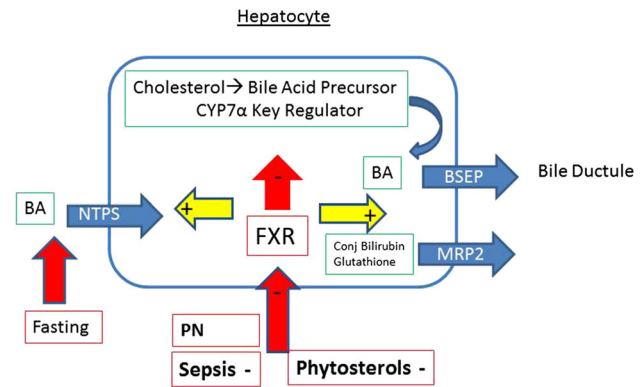
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meta-analysis of 3280 susceptible patients showed an incidence of 28.2 and 49.8% of PNAC and IFALD, respectively, with no change in incidence over the last decades [5]. This study highlighted the lack of direct evidence to support the use of many clinical therapies in the post-surgical neonate. While a number of therapies have been shown to be efficacious in the premature neonate requiring PN (where the major pathology is only prematurity), they have not been adequately evaluated in the post-surgical neonate. Thus, many of the recommendations for the prevention and treatment of IFALD are done on the basis of findings in the non-surgical premature population.

The pathophysiologic underpinnings of the improvement in outcomes achieved in centers which use a multi-disciplinary team to care for this patient population are the focus of this report [4]. Specifically, we will review the recent literature regarding the pathophysiology of PNAC and IFALD and the implications for the choice of lipid therapy in infants following intestinal resection. The findings will be presented by reviewing the pathophysiology of PNAC/IFALD, and then considering the prevention, treatment, rescue therapy, and the long-term outcomes of these therapies.

**Pathophysiology** In the fasting state, the normal stimulus for bile flow and bilirubin excretion is interrupted. Physiologically, in infants, this pathway is further stressed by the ongoing breakdown of fetal hemoglobin. Furthermore, if the infant undergoes surgical stress and is fasted, both sides of the equation are worsened; the breakdown increases the production of bilirubin, while the stressed state reduces the conjugation and especially the excretion of bilirubin and bile acids [6]. This linkage between sepsis and cholestasis has been firmly established in animal models and is evident clinically in infants supported on PN [7, 8]. Studies examining the fundamental pathophysiology of PNAC have been primarily conducted in animals, but despite this limitation, a series of very relevant findings have recently been published. Key to these pathways is the Farnesoid receptor X receptor (FXR) (Fig. 1). It is likely that many of the negative effects of PN and other problems in the infant following intestinal surgery are related to this important regulator of liver activity.


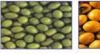


The Farnesoid X receptor (FXR) is the major regulator of the excretion of conjugated metabolic byproducts (of which bilirubin is a major but not exclusive component), as well as bile acid homeostasis [8–11]. FXR regulates the expression of the major transporter proteins for bile acids and conjugated toxins at the bile duct canaliculus: the bile salt export pump (BSEP), the major bile acid efflux mechanism under physiologic conditions, and multidrug resistance-associated protein 2 (MRP2), which is the prime pathway for conjugated bilirubin and other metabolic



**Fig. 1** NTCP Na<sup>+</sup>/taurocholate cotransporter: main transporter for Na<sup>+</sup>-dependent bile acid uptake from portal blood into hepatocyte. BSEP Bile salt export pump: ATP-dependent transport of monovalent bile acids into bile; major determinant of bile salt dependent bile flow substrate: Monovalent and divalent bile acids. MRP 2 multidrug resistance-associated protein: ATP-dependent transport of organic anions into bile; major determinant of bile salt independent bile substrate: divalent but not monovalent bile acids, GSH, bilirubin mono/diglucuronide, LTC<sub>4</sub>, several other organic anions, as divalent amphipathic conjugates with glutathione. FXR Farnesoid X receptor activated by hydrophobic bile acids such as chenodeoxycholic acid (CDCA) > deoxycholic acid (DCA) = lithocholic acid (LCA) > cholic acid (CA). CYP7A1 cholesterol-7- $\alpha$ -hydroxylase rate-limiting step of bile acid production. substrate: cholesterol

breakdown products. However, FXR activity itself is regulated by bile acids, especially increased by hydrophobic bile acids, such as chenodeoxycholic acid (CDCA) > deoxycholic acid (DCA) = lithocholic acid (LCA) > cholic acid (CA). These are in large part 'recycled' from the enteric stream, and are partially dependent on active scavenging from the terminal ileum. It has been a consistent observation that cholestasis is worse in infants with a jejunostomy, which may be due to the elimination in the recycling of these bile salts and a secondary decrease in FXR activity [8–10]. Furthermore, FXR also regulates the expression of transporters which allow the uptake of these bile salts recirculating from the intestine into the hepatocyte (NATCP and OATCP). Finally, the FXR is the major regulator of ongoing synthesis of novel bile acids; FXR is a negative regulator of cholesterol 7 $\alpha$ -hydroxylase, the rate-limiting step of the classic bile acid synthesis pathway. Thus, during normal physiological states, ongoing enteral nutrition and the feeding-related stimulus for bile secretion results in bile mixing with enteric contents. This then is a major component of the luminal phase of nutrient digestion. Conjugated bile salts are resorbed in the terminal ileum, and taken up by the hepatocyte via the NATCP and OATCP transporters. These conjugated bile salts then further activate the FXR receptor, further upregulating the expression of transporters bringing portal venous bile salts into the hepatocyte. In addition, increased in expression and activity are BSEP and MDRP2, which canaliculi.

### Novel Lipid Emulsions

	n-6/n-3	α-tocopherol (μmol/L)	Phytosterols mg/100 mls	Composition Soy/MCT/Olive/Fish
 Intralipid 20%	7:1	87	1570	100/0/0/0
 Clinoleic 20%	9:1	75	1100	20/80/0/0
 Omegaven 20%	1:8	505	0	0/0/0/100
 SMOF 20%	2.5:1	500	628	30/30/25/15

**Fig. 2** Composition of major lipid emulsions available for neonates. Fat source (Soybean, Coconut (MCT), Fish, and Olive) is shown pictorially. Omega-3 and Omega-6 ratio relates to fatty acid composition of lipids, with metabolic and neurologic implications. α-tocopherol content indicates antioxidant potential, Phytosterols are plant derived steroid compounds with potential toxicity to the neonatal liver

Finally, activation of the FXR down-regulates the de novo synthesis of new bile salts [10].

Multiple lines of evidence have shown that infection and sepsis down-regulate FXR receptor activity [11]. This appears to be via direct actions of inflammatory cytokines to inhibit the activity of the FXR receptor [12, 13]. It also appears to involve signaling via the Toll-like receptor 4 pathway, which directly affects the hepatocyte, reducing FXR activity. PN has been shown to reduce gut barrier function and increase the likelihood of bacterial translocation, which is the major ligand of the TLR-4 receptor.

Most recently, plant derived phytosterols, found in the lipid component of PN, have been shown to be potent inhibitors of FXR activity, both in vitro and in vivo [13–15]. This was shown most clearly in a recent study where added plant sterols were shown to directly inhibit FXR activity, so that fish-oil-derived lipids when given with exogenous plant phytosterols (stigmasterol) were as damaging to the liver as conventional soy-based lipid preparations [16] (Fig. 2).

### Clinical impact

The clinical correlate of the pathophysiologic pathways described above is the susceptibility of the post-surgical neonate to develop cholestasis, which then may progress to liver disease (IFALD) with conventional PN support. The therapies or treatment paradigms which optimize outcomes have developed empirically, as different clinical teams have used different nutritional support strategies (both parenteral and enteral nutrition) and ancillary therapies. Based on the outcomes of these strategies, an assessment of the relative

recommendations for clinical care paradigms can be made; typically, there is greater evidence for the efficacy of a therapy in the prevention of PNAC. By extrapolation, these treatments can reasonably be applied to the post-surgical neonate, and IFALD but further direct study is warranted. Herein, we present the best available evidence of measures taken to prevent and reverse PNAC and IFALD.

### Overview of nutritional considerations

The primary considerations in the care of the post-surgical neonate are the support of respiration and nutrition. As noted previously, the mainstay of nutritional support in the premature or post-surgical infant has been parenteral nutrition. It has now been well demonstrated that a multi-disciplinary team-based care pathway for infants with IF is the most significant factor in improving outcome [1, 3, 4].

*Feeding protocols* Part of such a care pathway is to continually advance the amount of enteral nutrition delivered, to stimulate adaptation [3, 17]. Increasing enteral nutrient delivery will have an effect on liver function; as noted in the discussion above, enteral recycling of bile salts is a powerful stimulus for the normalization of cholestasis. It appears that there is a requirement for >50% of nutrients to be delivered enterally to begin to reverse long standing or severe cholestasis. There is no clear evidence that any particular diet or feeding regimen is superior to another; however, all evidences suggest that breast milk (EBM) is the optimal enteral nutrition [2–4]. There are case reports which suggest that the use of milk fortifier and formula feeds is detrimental to the overall outcome in post-surgical infants, and likely increases the incidence of recurrent NEC [18]. There is also anecdotal evidence that if EBM is not available, then elemental formula is the optimal substitute [19]. It appears that the post-surgical neonate is more susceptible to the development of enteral sensitization to cow’s milk proteins, even if they are partially hydrolyzed [20]. In infants who can feed orally, there is a suggestion that promoting oral feeding optimizes the adaptive process [3, 21]. Physiologically, the admixture of saliva helps both the digestive process, and adds EGF to the nutrient stream. As well, oral feeding reinforces the suck and swallow reflex and helps to prevent oral aversion, which can be a significant problem in this population.

### Use of choleric agents

Studies on the use of cholecystokinin and tauroursodeoxycholic acid showed no significant effect of effect on the incidence of PNAC [22, 23]. However, many units continue to use them based in part on their relatively benign nature and favorable risk profile.

### Use of prophylactic enteric antibiotics

From the discussion above, if sepsis increases cholestasis, then it is reasonable to consider antibiotics therapy as a means to reduce cholestasis. Multiple studies have suggested that careful prevention of sepsis and the use of enteral antibiotics for bacterial overgrowth reduce cholestasis [3, 24]. Two different RCTs focused on the possible prevention of PNAC with erythromycin in VLBW neonates. One used high-dose 12.5 mg/kg/dose every 6 h for 14 days with significantly lower incidence of PNAC, time to enteral feed, total TPN time, as well as reduced episodes of sepsis [25]. The other study used an intermediate erythromycin dose of 5 mg/kg/dose every 6 h for 14 days and showed same findings as well as time required to achieve a body weight  $\geq 2500$  g ( $P < 0.05$ ) were significantly shorter in treated infants [26]. Furthermore, the incidence of necrotizing enterocolitis (NEC)  $\geq$  stage IIa after 14 days of treatment was significantly lower in the erythromycin group. It is not clear that why these findings have not been more widely incorporated into clinical practice, but they certainly are worthy of consideration.

### Parenteral nutrition: prevention or prophylaxis of cholestasis

The general considerations for the use of different PN strategies are highly dependent on the clinical circumstances. In the otherwise well infant, who is likely to require 2–3 weeks of PN, it is reasonable to use soy-based lipid emulsion (SB-LE). The world wide experience with this lipid formulation is vast, the likelihood of cholestasis is low, and the cost is the lowest amongst available lipid formulations. [27–29] Furthermore, the soy-based lipid preparation is higher in  $\omega$ -6 fatty acids which are required for neurological development [30], (see Fig. 2). However, close monitoring for cholestasis is required. If there is a trend to an increase in bilirubin levels above the normal range ( $>18$ – $25$   $\mu\text{mol/L}$ ), then an aggressive approach to reduce cholestasis is appropriate. These strategies are reviewed in the next section.

### Combination lipids SMOF-LE

For infants at high risk of PNAC or IFALD, such as those who are post NEC and post intestinal resection, there is no strong evidence that the prophylactic use of alternative lipid formulations or lipid reduction strategies will prevent cholestasis [28, 31]. In addition, there are ongoing concerns about the long-term neurological consequences of a reduced lipid strategy [30, 32]. To avoid the hepatotoxicity seen with sole use of soy-based LE, yet allow for essential

fat to be delivered, combination lipid emulsions have been formulated. The commercially available combination of 30% soybean oil, 30% MCT, 25% olive oil, and 15% fish oil (SMOF-LE) seems to combine the benefits of individual fatty acids while minimizing side effects (Fig. 2). It is notable that the ration of omega 3: to omega 6 fat in this formulation is identical to that in human breast milk. Rayyan et al. compared the use of SMOF-LE versus soybean-LE in preterm infants [33]; in this study, the amount was increased gradually to a goal of 3 g/kg/day for 14 days. SMOF-LE group demonstrated lower bilirubin level and lower  $N$ -6/ $N$ -3 fatty acid ratio and a lower linolenic acid compared to the comparison soybean-based LE treated group.

Accordingly, for infants at risk for PNAC, in view of the accumulating evidence that the balanced lipid formulation (SMOF-LE) is safe, and meets the developing infant's nutritional requirements for fat, many experienced centers are switching to using such formulations as the primary lipid source. (Wales P, personal communication) [33, 34].

### PN: treatment of established cholestasis

In an infant support with PN, if cholestasis occurs, the response is dependent on the degree of cholestasis, and the underlying causes of intestinal failure. In general, three overlapping strategies have evolved: reducing lipid intake, the use of alternate lipid therapy, such as SMOF-LE, or the use of fish-oil-based lipid emulsions. (FO-LE). Fish-oil-based protocols have typically also involved a reduction in lipid intake to 1 gm/kg/day.

### Limiting daily parenteral lipid intake to $<1$ gm/kg/day

The evidence from retrospective, cohort studies and small scale randomized controlled trials clearly shows that limiting SB-LE intake to  $<1$  g/kg/day as opposed to conventional 2.5–3 g/kg/day reduces bilirubin level in infants with established cholestasis [32]. This occurs along with a persistence in transaminase elevation suggesting that there is ongoing liver damage. Another point of interest is whether lipid restriction impacts growth. In a recent case series, nine infants who are receiving TPN for  $>12$  months with soy-based lipid emulsion content  $<1$  g/day displayed improved weight and height  $z$  scores at the end of the study [32]. Essential fatty acid deficiency was observed in two of the patients due to temporary stop of lipid emulsion to improve cholestasis. This improved after restarting the lipids in both patients who were mostly TPN-dependent with  $>70\%$  of calories intake. Further controlled trials are



required to verify these findings and to study the effect of lipid restriction on neural development.

### Use of alternative lipids

In patients with mild to moderate cholestasis, it is reasonable to consider the use of alternative lipid strategies. A recent trial comparing SB-LE to SMOF-LE showed improved normalization of bilirubin with SMOF treatment and a conventional lipid dosing protocol (2.5–3 gm/kg/day) [33]. The alternative strategy is the use of a fish-oil-based lipid emulsion (FO-LE), which as noted above, is typically done with a reduction in lipid dosing. There are now a number of smaller studies which support the use of FO-LE in infants with PNAC or IFALD [35, 36].

In a review of the literature and meta-analysis, Park et al. reviewed the effect of FO-LE on PNAC and found that using FO-LE was more likely to reverse PNAC compared to SB-LE (OR 6.14; 95% CI 2.27, 16.6;  $P < 0.01$  [37]. It is notable that FO-LE did not prevent the development of PNAC (OR 0.56; 95% CI 0.28, 1.10;  $P = 0.09$ ). There are no studies which compare the efficacy of SMOF vs FO-LE in cholestasis. In light of the satisfactory results reported with SMOF-LE in mild to moderate cholestasis, it would seem reasonable to use this therapy (with typical lipid dosing e.g. 2.5–3 gm/kg/day), so long as the bilirubin is  $<50 \mu\text{mol/L}$ . However, with severe cholestasis ( $>50 \mu\text{mol/L}$ ), because of the greater experience with the use of FO-LE, it is appropriate to recommend FO-LE (with lipid minimization) [38, 39].

### Long-term effects

The critical factor in judging the efficacy of any therapy for infants is the long-term outcome. There have been a number of reports of the neurological outcomes of infants who require major surgical interventions and long-term PN support [40, 41]. The findings are of concern; there is a significant long-term neurological morbidity in this population, particular those treated for NEC. It is not clear from the present studies what, if any, of the neurological impairment seen in this population may be due to nutritional issues. However, it is clear that any studies of the long-term effects of novel lipid strategies must include neurological outcomes as an outcome measure.

From the perspective of the long-term liver function, it is important to understand that the long-term cholestasis ( $>50 \mu\text{mol/L}$  bilirubin, for  $>2$  months) is almost certainly associated with some degree of liver fibrosis [42]. The use of FO-LE and a lipid reduction strategy appears to greatly improve the cholestasis and preserve liver function [43], but the effects on fibrosis or more significant cirrhosis are

not known. In addition, it is not clear what the long-term effects on liver function, and future liver growth is, and these must also be also part of the long-term follow-up of these patients.

### Conclusion

All the current studies show that no one type of lipid emulsion is perfect to use in preterm infants. There is clear evidence that using soy-based lipid emulsion can lead to cholestasis and potentially irreversible cirrhosis that goes beyond the duration of use of parenteral nutrition.

The best available evidence suggests greater advantage gained using SMOF-LE. The current evidence suggests that fish-oil-based lipid emulsion as sole treatment or in combination (SMOF) is effective in reversing cholestasis and preserving liver function. However, these novel lipid therapies cannot reverse histologic changes; it is likely that the long-term hepatic injury persists after the cessation of TPN and normalization of liver function. The long-term effects of novel lipid strategies that preterm neonates on neurological development are an important area for longer term studies.

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### Compliance with ethical standards

**Conflict of interest** The authors declare no competing interests.

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