



# Response of summer precipitation over the Tibetan Plateau to large tropical volcanic eruptions in the last millennium

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## Abstract

Changes in summer precipitation over the Tibetan Plateau (TP) significantly influence the surface runoff, river discharge and water availability for the downstream Asian countries, which is sensitive to external forcing. But its response to volcanic eruptions remains unknown. Here we investigate the summer precipitation changes after tropical volcanic eruptions over the TP region by using multiple lines of evidence including reconstructions over the last hundreds of years, observations during recent decades and model simulations covering the last millennium. Both the instrumental data and reconstructions reveal a significant reduction in summer precipitation over the southern TP region during the first summer following tropical volcanic eruptions, which are further confirmed by the coupled model simulations driven by volcanic forcing. The model results indicate that both the dynamic processes related to atmospheric circulation changes and the thermodynamic processes related to specific humidity changes contribute to the decreased precipitation in the southwestern TP, while the thermodynamic process dominates the reduction of precipitation in the southeastern TP. The thermodynamic process results from decreased atmospheric precipitable water caused by decreased surface temperature after tropical volcanic eruptions. The dynamic processes are caused by increased gross moist stability, spatial distribution of surface cooling and a southward shift of westerlies related to weakening and shrinking of Hadley circulation following tropical eruptions. Our results imply that major tropical eruptions have significant impact on the summer precipitation over the southern TP regions, which will further decrease the source of supply for the TP glaciers and runoff output.

**Keywords** Volcanic eruptions · Summer precipitation · Tibetan Plateau · Dynamics

## 1 Introduction

The Tibetan Plateau (TP) and its surrounding areas, with an average elevation of more than 4 km, are regarded as the “the Third Pole” (Qiu 2008), which have the largest volumes of ice outside the polar regions (Yao et al. 2007, 2012, 2019). TP is the birthplace of more than 10 major rivers, including the Yellow River, the Yangtze River and the Ganges River, providing water supply to nearly one-sixth of the world’s population (Immerzeel et al. 2010). It is thereby called as, the “Water tower of Asia” (Xu et al.

2008). Summer precipitation accounts for more than 70% of the annual total precipitation over most parts of the TP (Ueda et al. 2003; Feng and Zhou 2012; Tong et al. 2014; Ma et al. 2016), which is an important source of supply for the “Asian water tower” glaciers and runoff output (Yao et al. 2012; Zhang et al. 2013). The change in summer precipitation over the TP will not only affect the distribution of water resources but also changes the thermal forcing of TP, further influence the climate over the Northern hemisphere (Duan et al. 2013, 2017). Understanding the response of TP precipitation to both natural and anthropogenic external forcing agents is crucial to climate change adaptation and mitigation activities related to water management and food security (Schewe et al. 2014; Wang et al. 2021; Yao et al. 2022).

As one of the most important natural external forcing, volcanic eruptions inject sulfur gases into the lower stratosphere and convert to sulfate aerosols, resulting in decreased shortwave radiation and global surface temperature, and

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have significant impacts on the global hydroclimate (Robock 2000; Timmreck 2012; Zuo et al. 2019). Previous studies reveal decreased global mean precipitation after volcanic eruptions, especially over tropical and monsoon regions (Iles et al. 2013; Barnes et al. 2016; Paik and Min 2017; Zuo et al. 2019), associated with weakened water cycle, decreased surface runoff and freshwater discharge (Grinsted et al. 2007; Trenberth and Dai 2007; Iles and Hegerl 2015; Liu et al. 2018). On a regional scale, the East Asian summer monsoon and South Asian summer monsoon weakened after tropical eruptions in observation and model simulations, associated with decreased precipitation and increased droughts (Anchukaitis et al. 2010; Joshi 2010; Peng et al. 2010; Man and Zhou 2014; Dogar and Sato 2019), which is attributed to the reduction of water vapor, decreased land-sea thermal contrast and the shift of subtropical westerly jet in the upper troposphere (Cui et al. 2014; Man and Zhou 2014). In comparison, less effort has been devoted to the study on the impact of volcanic eruptions on the climate over TP region. Regional mean precipitation over the TP generally decreased after volcanic eruptions based on reconstructions on a decadal time scale (Yang et al. 2014; Liu et al. 2021), and tree-ring records reveal that pre-monsoon droughts on a regional scale are associated with large tropical volcanic eruptions (Liang et al. 2019). Nonetheless, neither the spatial distribution nor the mechanisms of summer precipitation responses were addressed in previous studies.

In this study, we examine the response of summer precipitation over the Tibetan Plateau to large tropical volcanic eruptions during the past millennium by using multiple reconstructions, observations and the Community Earth System Model Last Millennium Ensemble (CESM-LME) simulation, which provides volcanic-only forcing experiments with five ensemble members. We aim to answer the following three questions: (1) What is the summer precipitation response over the TP region following tropical volcanic eruptions based on instrumental data and reconstructions? (2) Can model simulations reproduce the precipitation responses in reconstructions/observations? (3) What are the physical mechanisms of the precipitation anomalies?

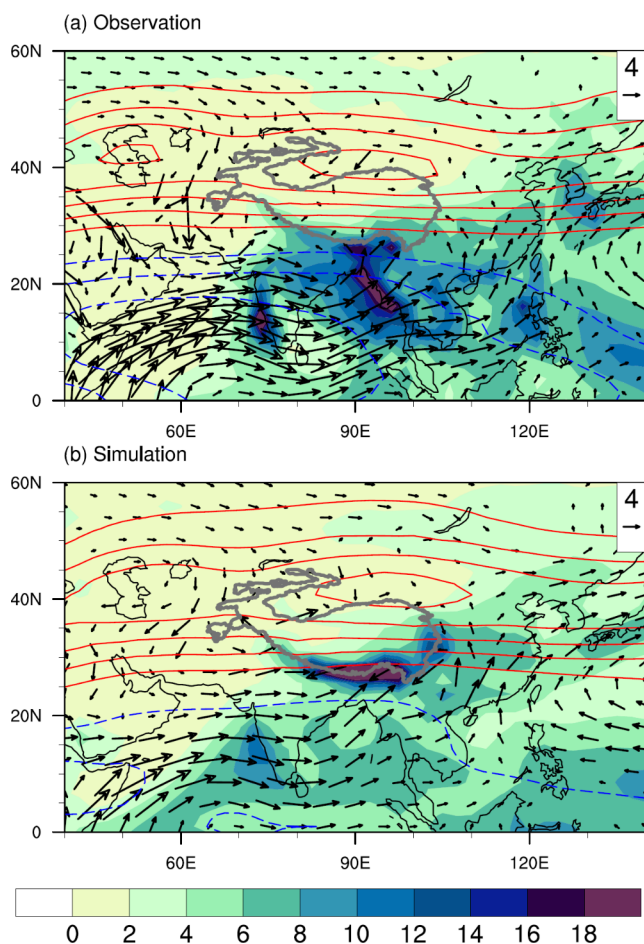
The remainder of this paper is organized as follows. Datasets, methods and model simulation are described in Sect. 2. Section 3 presents the summer precipitation responses and physical mechanisms. The conclusions are summarized in Sect. 4.

## 2 Datasets, methods, and model simulation

### 2.1 Observations and reconstructions

We use the following datasets, including three gridded reconstructions, two observations and ERA5 reanalysis:

- (1) Reconstructed annual May to September precipitation during the period of 1470 to 1999 over the whole Asian continent with a spatial distribution, which is mainly based on 500-year historical documentary records, tree-ring data, ice-core records and long-term instrumental datasets, the horizontal resolution is  $0.5^{\circ} \times 0.5^{\circ}$  (Feng et al. 2013);
- (2) Reconstructed May to September precipitation over China derived from 479 tree-ring records, including 371 tree-ring width chronologies, a tree-ring isotope chronology, and 107 drought/flood indices. The dataset covers the period of 1470 to 2000, with a horizontal resolution of  $0.5^{\circ} \times 0.5^{\circ}$  (Shi et al. 2017);
- (3) A gridded reconstruction of Asian summer (June–August) precipitation during the period of 1470 to 2013, which is integrated reconstruction of 453 tree-ring-width chronologies and 71 historical documentary records of flood and droughts over the Asian region with a horizontal resolution of  $2^{\circ} \times 2^{\circ}$  (Shi et al. 2018), this dataset provides substantially improved data quality compared with single-proxy-type reconstructions;
- (4) The monthly mean precipitation data from the Global Precipitation Climatology Project (GPCP) version 2.2, covering the time period of 1979 to 2010, the horizontal resolution is  $2.5^{\circ} \times 2.5^{\circ}$ . GPCP dataset are produced by merging precipitation estimates computed from microwave, infrared, sounder data observed by the international constellation of precipitation-related satellites, and precipitation gauge analyses, taking advantage of the strengths of each data type (Huffman et al. 2009), which has been widely used in analyzing precipitation changes over TP region (Yao et al. 2012; Hu et al. 2021);
- (5) Monthly precipitation data from the Asian Precipitation-Highly Resolved Observational Data Integration Towards Evaluation (APHRODITE), covering the time period of 1951 to 2007, the horizontal resolution is  $0.25^{\circ} \times 0.25^{\circ}$  (Yatagai et al. 2012). APHRODITE is based on rain gauge observations from thousands of Asian stations and those reporting to the World Meteorological Organization (WMO) Global Telecommunications System, which has been widely used and validated to show a better skill in depicting precipitation characteristics in TP region and evaluating Asian water



**Fig. 1** Comparison of precipitation and circulation climatology (1979–2005) between (a) observation (precipitation from GPCP and circulation from ERA5) and (b) CESM-LME simulation. The metrics include JJA mean precipitation ( $\text{mm day}^{-1}$ , shading), 850 hPa wind ( $\text{m s}^{-1}$ , vector) and 200 hPa zonal wind ( $\text{m s}^{-1}$ , contour, red for positive value and blue for negative). The solid gray line is the 2000 m elevation contour

resources (Tong et al. 2014; Zhang et al. 2014; Tan et al. 2020).

- (6) Wind field of the most advanced reanalysis data ERA5 from the European Centre for Medium Range Weather Forecasts, the horizontal resolution is  $0.25^\circ \times 0.25^\circ$  (Hersbach et al. 2020).

## 2.2 Model simulations

The volcanic-only forcing experiments in CESM-LME simulations are used to reveal the model results and physical mechanisms. CESM-LME employs the version 1.1 of CESM with the Community Atmosphere Model version 5 (CAM5) (Hurrell et al. 2013; Otto-Bliesner et al. 2016). The horizontal resolution of atmosphere and land components is  $\sim 2^\circ$ , the ocean and ice components utilize a resolution of  $\sim 1^\circ$ . The simulations cover the time period of 850 to 2005,

during which the main external forcings are orbital forcing, solar insolation, volcanic eruptions, land use, greenhouse gases and ozone-aerosol forcing (after 1850). CESM-LME has five-member volcanic-only forcing experiments, which use the volcanic activity reconstruction of Gao et al. (2008). The estimated volcanic aerosol loadings are prescribed as a fixed single size distribution in the model. Details can be found in Otto-Bliesner et al. (2016).

Following the previous studies, we calculate the multi-member mean of five-member volcanic-only forcing experiments to remove the impact of internal variability as much as possible (Zuo et al. 2018, 2019). Before examining the simulated response to volcanic eruptions, we firstly evaluate the model performances in simulating the climatology of summer precipitation and circulation over the TP region. We used the monthly mean precipitation data from the GPCP and monthly mean circulation data from ERA5 as previous studies did (Sperber et al. 2013; Fiedler et al. 2020; Ding et al. 2021). Compared with observations, we found that CESM-LME simulations can reasonably reproduce the climatological summer precipitation and circulation over the TP (Fig. 1). Previous studies also reveal that CESM-LME can well simulate the climate response to volcanic eruptions (Stevenson et al. 2016; Zuo et al. 2019, 2021).

## 2.3 Selection of volcanic eruptions

We select tropical volcanic eruptions from observations, reconstructions and CESM-LME simulations. For the APHRODITE (since 1951) and GPCP (since 1979) datasets, we select all low-latitude eruptions with intensity greater than  $10 \text{ Tg}[\text{H}_2\text{SO}_4]$  to including more events (Table 1), and this threshold is enough to get a robust climate response to volcanic eruption (Man et al. 2014). For reconstructions and model simulations covering longer time periods, we select the tropical eruptions according to the reconstructed volcanic aerosol loadings (Gao et al. 2008; Stevenson et al. 2016). The ratio between Northern and Southern Hemisphere stratospheric aerosol loading can be used to classify the location of volcanic eruptions. Following the threshold in Stevenson et al. (2016) and Zuo et al. (2019), ratios between 0.7 and 1.3 are tropical eruptions. And we used a higher threshold of  $20 \text{ Tg}$  sulphate aerosol to get a robust hydroclimate response to volcanic eruption beyond the influence of internal variability (Zhuo et al. 2014; Azoulay et al. 2021; D'Agostino and Timmreck 2022) (Table 1).

## 2.4 Superposed epoch analysis

We apply the superposed epoch analysis (SEA) method (Haurwitz and Brier 1981) to examine the summer precipitation changes over TP region in the selected volcanic

**Table 1** List of eruption years for large tropical volcanic eruptions in the observations, reconstructions and CESM-LME simulation

Datasets	Eruption years
Observation (APHRODITE)	1963 (Mount Agung), 1982 (Mount El Chichón), 1991 (Mount Pinatubo)
Observation (GPCP)	1982, 1991
Reconstruction (Feng et al. 2013)	1815 (Mount Tambora), 1883 (Mount Krakatau), 1991
Reconstruction (Shi et al. 2017)	1815, 1883, 1991
Reconstruction (Shi et al. 2018)	1815, 1883, 1991
CESM-LME	1001, 1258, 1284, 1815, 1883, 1991

eruption years to investigate the temporal response to the tropical volcanic eruptions. We select a 14-year window for the composite. Year 0 is regarded as the time volcanic aerosol loadings reach its peak. Anomalies are calculated relative to 5-year mean before the volcanic eruptions (Fischer et al. 2007; Iles et al. 2013; Zuo et al. 2019). We construct a Monte Carlo Model (Adams et al. 2003) to test the statistical significance of the precipitation response. The SEA results reveal a most significant response during year 1, therefore this study focuses on the precipitation response in the first boreal summer (June-July-August).

## 2.5 Moisture budget analysis

Moisture budget analysis is used to decompose the precipitation into changes in evaporation and moisture advection. Here we apply the moisture budget analysis to reveal the physical processes related to summer precipitation changes over the TP region after tropical volcanic eruptions (Chou et al. 2009; Zuo et al. 2019).

On the interannual time scale, the precipitation anomalies can be decomposed as the following formula:

$$P' = - \langle \omega \partial_p q \rangle' - \langle \mathbf{V} \cdot \nabla q \rangle' + E' + residual \quad (1)$$

$P$  and  $E$  are precipitation and evaporation, respectively.  $\omega$  and  $\mathbf{V}$  represent vertical velocity and horizontal winds in pressure level coordinates, respectively.  $q$  is specific humidity. The primes, denote the response to volcanic eruptions, and brackets  $\langle \rangle$  is the mass-weighted vertical integrals from the bottom to top of the troposphere. In this equation, precipitation changes are decomposed into changes in evaporation, vertical moisture advection  $\langle \omega \partial_p q \rangle'$  and horizontal moisture advection  $\langle \mathbf{V}_h \cdot \nabla q \rangle'$ . The moisture advection terms can be further divided into thermodynamic terms associated with specific humidity changes and dynamic terms related to circulation changes. The formula is rewritten as:

$$P' = E' - \langle \overline{\omega \partial_p q} \rangle' - \langle \overline{\partial_p \bar{q}} \rangle' - \langle \overline{\mathbf{V}_h \cdot \nabla q} \rangle' - \langle \overline{\mathbf{V}'_h \cdot \nabla \bar{q}} \rangle' + NL \quad (2)$$

Where the NL represents a sum of nonlinear terms.

## 2.6 Moist static energy budget analysis

The moisture budget analysis can attribute precipitation anomalies to changes in vertical motion, however, we need to apply the moist static energy budget to further reveal the mechanism of changes in vertical motion. According to Neelin and Held (1987), vertical motion in the deep convection regions is determined by the column-integrated moist static energy (MSE) budget. The MSE equation is derived from combining the vertically integrated thermodynamic equation and moisture equation (Neelin, 2007), written as:

$$\partial_t \langle C_p T + L_v q \rangle' + \langle \mathbf{V} \cdot \nabla_h (C_p T + L_v q) \rangle' + \langle \omega \partial_p h \rangle' = F'_{net} \quad (3)$$

Among which the MSE ( $h$ ) is the sum of moist enthalpy ( $C_p T + L_v q$ ) and geopotential height ( $\phi$ ).  $C_p$  is specific heat at constant pressure and  $L_v$  denotes latent heat of vaporization;  $T$  and  $q$  are air temperature and specific humidity, respectively.  $\mathbf{V}$  and  $\omega$  are horizontal winds and vertical velocity in pressure level coordinates, respectively.  $F'_{net}$  is the net MSE flux in the atmospheric column, which can be expressed as  $F'_{net} = F'_t - F'_s$ . The subscript  $t$  and  $s$  represent top and surface of the atmosphere, respectively. The net MSE flux at the TOA  $F'_t = S'_t - S'_t - R'_t$ , and the net MSE flux at the surface  $F'_s = S'_s - S'_s + R'_s - R'_s - LH - SH$ , with  $S$  and  $R$  represent shortwave and longwave radiation,  $LH$  and  $SH$  are latent heat flux and sensible heat flux, respectively.

Similar to the moisture budget analysis, the MSE equation can be rewritten as:

$$\langle \overline{\partial_p \bar{h}} \rangle' \approx F'_{net} - \langle \overline{\mathbf{V} \cdot \nabla_h (C_p T + L_v q)} \rangle' - \langle \overline{\mathbf{V}' \cdot \nabla_h (C_p T + L_v q)} \rangle' - \langle \overline{\partial_p h'} \rangle' + NL \quad (4)$$

Vertical advectons of climatological MSE ( $\langle \overline{\partial_p \bar{h}} \rangle$ ) is balanced by the net heat flux  $F'_{net}$ , horizontal moist enthalpy advection and vertical MSE advection by climatological vertical motion  $\langle \overline{\partial_p h'} \rangle$ . NL is the sum of nonlinear terms. The reduced (increased) MSE associated with physical processes on the right-hand side of the Eq. (4) would result in descending (ascending) motions, to keep the balance of MSE budget (Back and Bretherton 2009; Wu et al. 2017; Zuo et al. 2019).

### 3 Results

#### 3.1 Summer precipitation responses over TP in observations and reconstructions

To reveal the response of summer precipitation to tropical volcanic eruptions over TP regions, we firstly use the observational precipitation datasets from APHRODITE and GPCP. We firstly select all low-latitude eruptions with intensity greater than  $10 \text{ Tg}[\text{H}_2\text{SO}_4]$  (Table 1). Since APHRODITE and GPCP overlap on two volcanic eruptions (the Mount El Chichón in 1982 and the Mount Pinatubo in 1991), we examine the precipitation response to these two eruptions. Similar results are obtained after taking the Mount Agung in 1963 into consideration based on APHRODITE (Fig. S1). The superposed epoch analysis results reveal a significant decreased summer precipitation over the southern TP region in the first year following major tropical eruptions (Fig. 2), decreased by over  $1 \text{ mm day}^{-1}$  on a regional scale, which is about 16.7% of climate mean value. Due to the small sample of observed volcanic eruptions, we further examine the warm season precipitation anomalies after tropical volcanic eruptions based on gridded long-term reconstructions over the past 530 years. We found an overall deficient rainfall over the southern TP region after large tropical volcanic eruptions in three sets of reconstruction (Fig. 3). The above results suggest that the summer precipitation over southern TP region tends to decrease after tropical volcanic eruptions based on historical records and observations.

#### 3.2 Summer precipitation responses over TP in CESM-LME simulation

We further analyze the summer precipitation responses over TP to volcanic eruptions during the last millennium based on the volcanic-only forcing experiment in CESM-LME simulation. The decreased precipitation is further demonstrated by model simulations (Fig. 4a). A decrease of precipitation with an intensity of  $1.2 \text{ mm day}^{-1}$  is seen over southwestern TP in the SEA result, which is consistent with observations (Fig. 2). Quantitatively, the average precipitation over southern TP region decreased by  $0.7 \text{ mm day}^{-1}$  (about 9% of summer mean climatology) in the first summer following tropical volcanic eruptions (Fig. 4b). We further extend our analysis to surface runoff based on CESM-LME (Fig. S2). A significant decrease in surface runoff appears during the first summer after tropical volcanic eruptions, which shows similar spatial distribution with precipitation response. Regional mean surface runoff over southern TP decreased by  $\sim 6.4\%$  relative to the climatological mean,

indicating an important impact of tropical volcanic eruptions on the potential water resources.

#### 3.3 Physical mechanism underlying the precipitation response

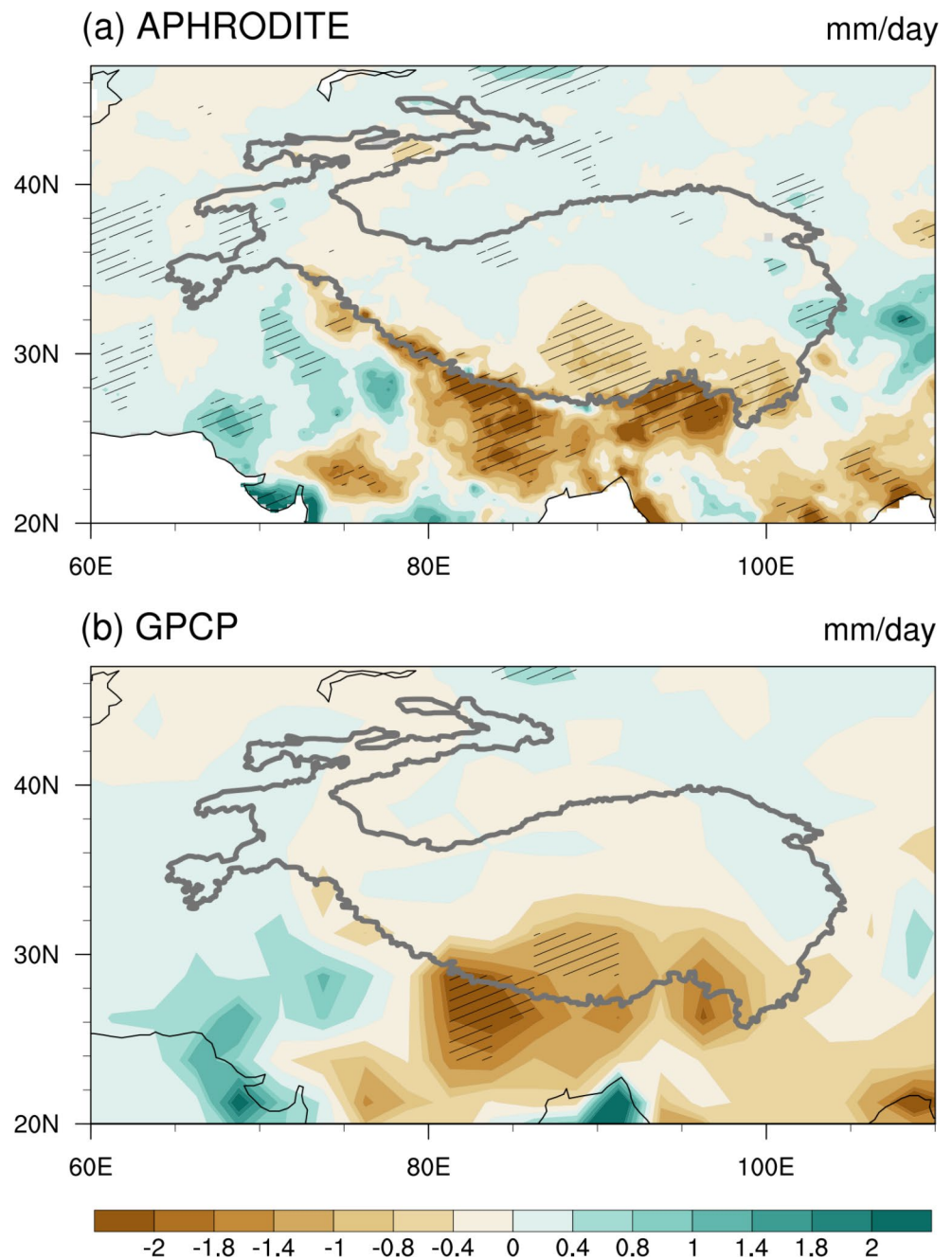
Both observations and model simulations reveal a significant decreased precipitation over the southern TP region. We examine the underlying physical mechanisms by using the moisture budget analysis (Chou et al. 2009) and MSE budget analysis (Neelin and Held 1987).

We firstly present the results of moisture budget for the regional mean precipitation response over the southern TP in CESM-LME (Fig. 5a). The decreased precipitation over the southern TP region is mainly contributed by the anomalous negative vertical moisture advection  $-\langle \omega \partial_p q \rangle'$  (Fig. 5a). We further divide this term into the anomalous vertical moisture advection by climatological vertical motion  $-\langle \bar{\omega} \partial_p q' \rangle$  (thermodynamic term) and the anomalous advection of the climatological moisture by vertical motion anomalies  $-\langle \omega' \partial_p \bar{q} \rangle$  (dynamic term) (Fig. 5b-c). We found that both thermodynamic and dynamic terms contribute to the precipitation reduction, and their relative contributions are different in the southwestern and southeastern TP region. Therefore, we divided the southern TP into southwest and southeast regions. The regional mean results show that both the dynamic and thermodynamic processes contribute to the decreased precipitation in the SouthWest region of TP, while the changes in thermodynamic process dominates the reduction of precipitation in the SouthEast region of TP (Fig. 5d).

What are the physical processes that dominate the changes in thermodynamic term  $-\langle \bar{\omega} \partial_p q' \rangle$ ? We show the climatology of summer mean atmospheric precipitable water with vertically integrated moisture flux (Fig. 6a). During boreal summer, the water vapor of TP mainly comes from the Bay of Bengal, Arabian Sea, the South China Sea, and the mid-latitudes (Fig. 6a). In the first summer after the tropical eruptions, there is a significant decrease of atmospheric precipitable water over the southern TP region as a result of cooler global temperature induced by tropical volcanic eruptions, which corresponds to the decreased water vapor transport from the western and southern boundaries of the TP (Fig. 6b). The spatial distribution of the anomalous water vapor transport is consistent with the lower atmospheric circulation, features a weakened South Asian and East Asian summer monsoon. The resulted northwesterly anomalies in the south of TP reduce the water vapor transport into the TP (Fig. 6b).

What are the processes that determine the changes in dynamic term  $-\langle \omega' \partial_p \bar{q} \rangle$ ? The spatial distribution of the summer vertical pressure velocity anomalies during

**Fig. 2** Precipitation ( $\text{mm day}^{-1}$ ) anomalies in the first boreal summer (JJA) over the Tibetan Plateau (TP) following large tropical volcanic eruptions based on the (a) APHRODITE dataset and (b) GPCP dataset. Slashes indicate values  $> 90\%$  confidence level based on the Monte Carlo test ( $n = 10,000$ ). The solid gray line is the 2000 m elevation contour

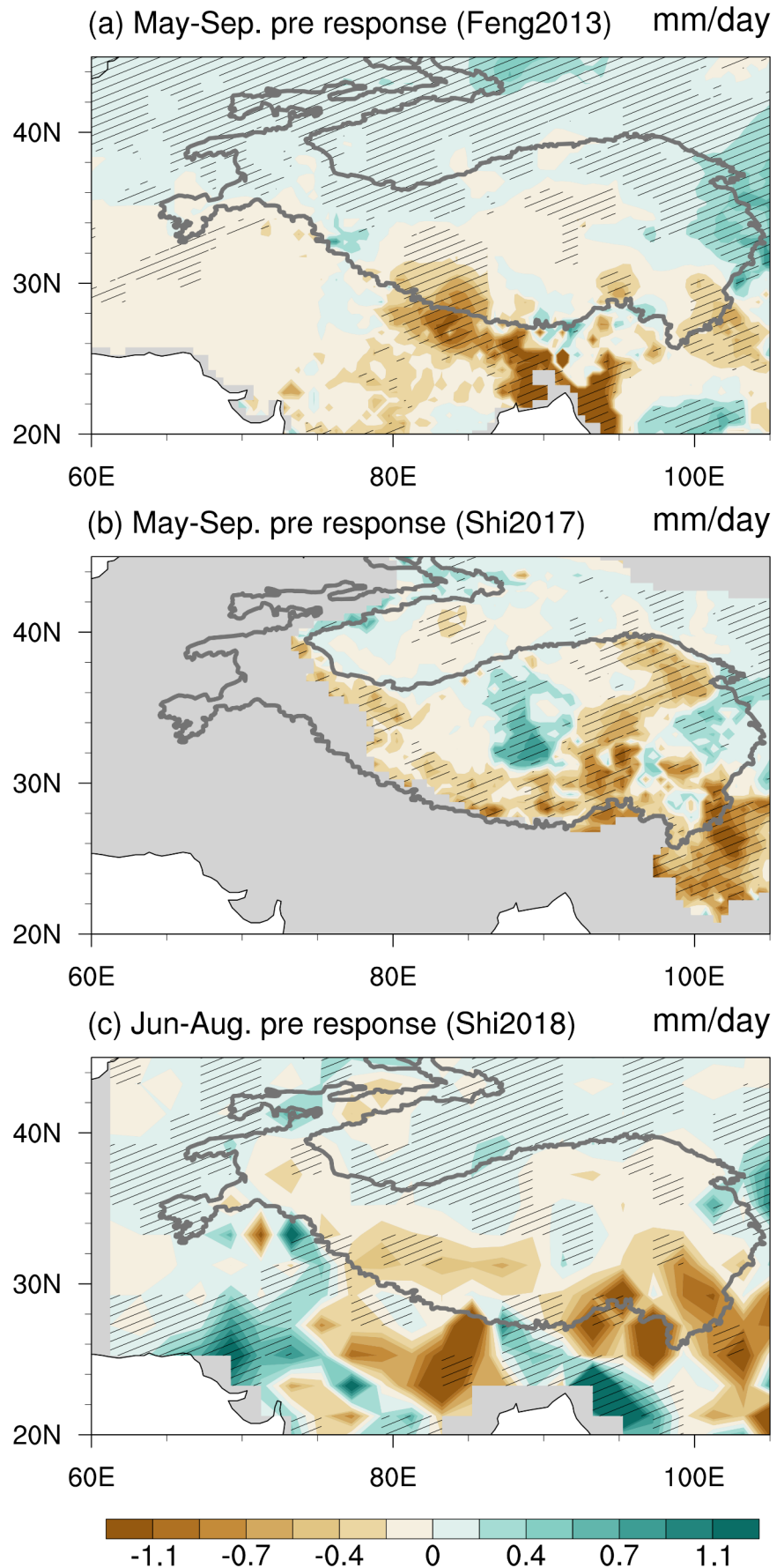


the first year after tropical volcanic eruptions is shown in Fig. 7a, which is consistent with the dynamic term in Fig. 5c, indicating that the decreased summer precipitation in the SouthWest region of TP is caused by the descending anomalies. We further examine the sources of descending anomalies over the SouthWest region of TP by using the MSE budget analysis. In the southern TP region, the vertical motions are constrained by the MSE budget balance. The descending anomalies tend to decrease MSE exported out of the atmospheric column, leading to positive vertical advectations of the climatological MSE ( $-\langle \partial_p \bar{h} \rangle$ ). Our budget analysis indicates that this term is mainly caused by

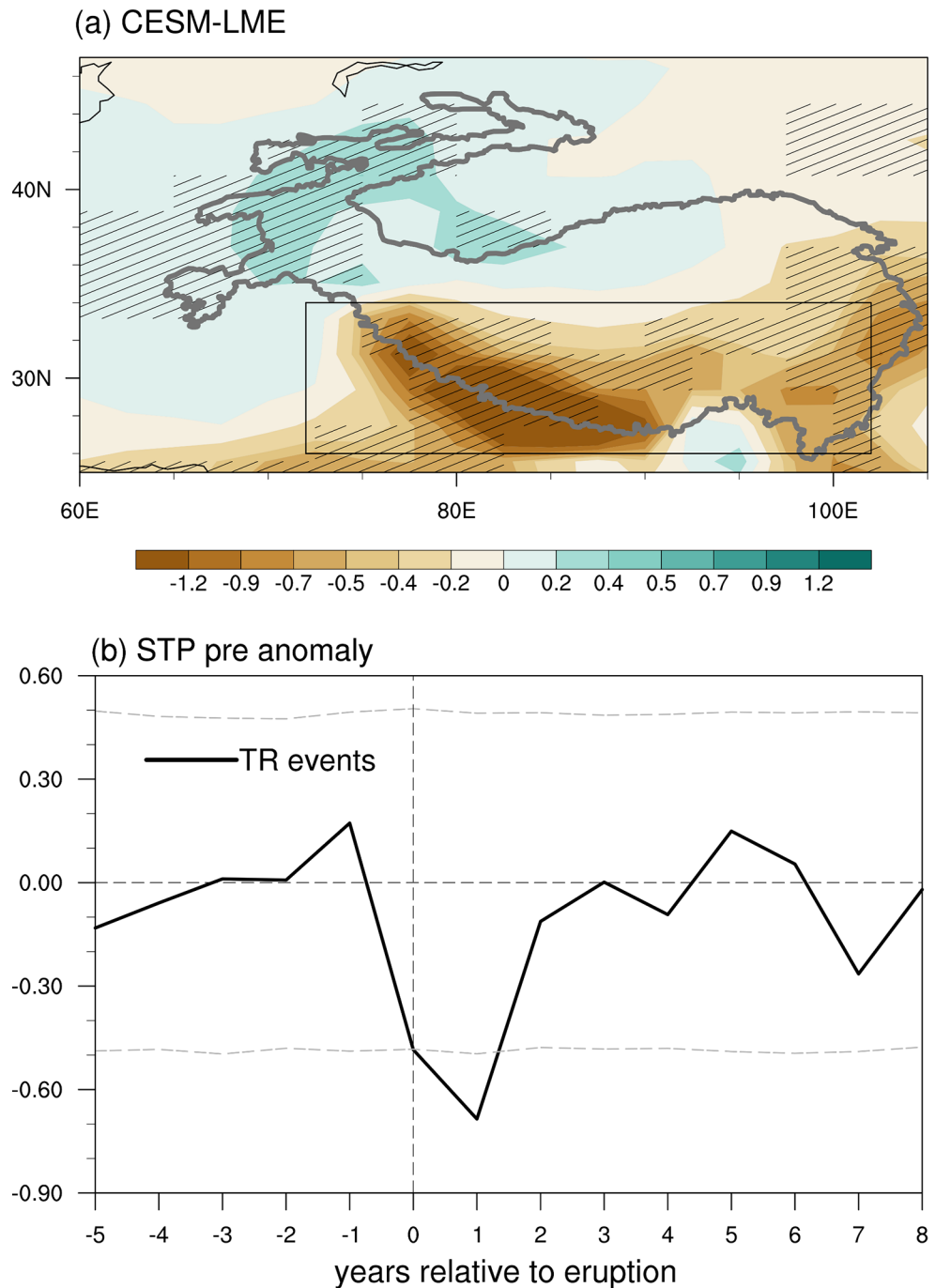
the negative vertical MSE advection by the climatological vertical motion ( $-\langle \partial_p \bar{h} \rangle$ ), negative horizontal advection of anomalous dry enthalpy by climatological wind  $-\langle \bar{\mathbf{V}} \cdot \nabla_h (C_p T)' \rangle$  and negative horizontal advection of climatological moist enthalpy by anomalous wind  $-\langle \mathbf{V}' \cdot \nabla_h (\bar{L}_v q) \rangle$  (Fig. 7b), while other terms results in ascending anomalies.

The term  $-\langle \partial_p \bar{h} \rangle$  is associated with the vertical structure of anomalous MSE ( $h'$ ), which is manifested in the gross moist stability change. Figure 7c shows the vertical profiles of regional mean  $h'$  over the SouthWest region of TP and its sub components dry static energy  $s'_i = C_p T' + \phi'$

**Fig. 3** Reconstructed precipitation anomalies in the first boreal summer over the TP following large tropical volcanic eruptions based on the reconstructed (a) warm season precipitation ( $\text{mm day}^{-1}$ ) by Feng et al. (2013), the reconstructed (b) May-September precipitation ( $\text{mm day}^{-1}$ ) over China by Shi et al. (2017) and the reconstructed (c) June-August precipitation ( $\text{mm day}^{-1}$ ) over Asia by Shi et al. (2018). Slashes indicate values  $> 90\%$  confidence level based on the Monte Carlo test ( $n = 10,000$ )



**Fig. 4** (a) Precipitation anomalies ( $\text{mm day}^{-1}$ ) in the first boreal summer over the TP following large tropical volcanic eruptions based on the CESM-LME simulation. Slashes indicate values  $> 95\%$  confidence level based on the Monte Carlo test ( $n = 10,000$ ). (b) Superposed epoch analysis (SEA) of summer precipitation changes over the southern TP region ( $26\text{--}34^\circ\text{N}$ ,  $72\text{--}102^\circ\text{E}$ ) after large tropical volcanic eruptions (TR events) (units:  $\text{mm day}^{-1}$ ). The anomalies are calculated relative to a 5-year pre-eruption mean. Gray dashed lines represent confidence intervals of 95% derived from 10,000 Monte Carlo simulations

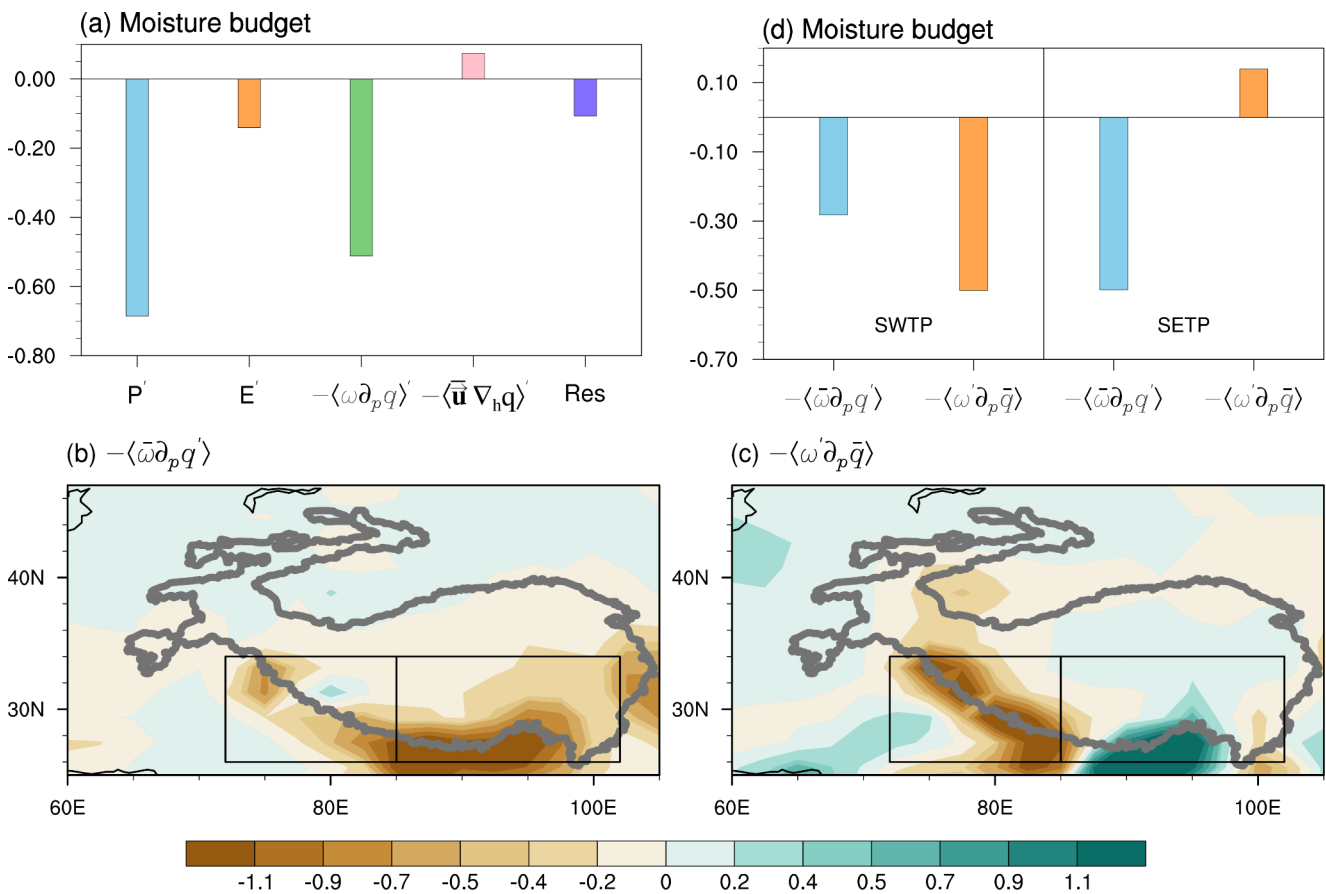


and latent energy  $L_v q'$ , we found an increased gross moist stability after volcanic eruptions induced by decreased MSE in the lower to middle troposphere and increased MSE in the upper troposphere to lower stratosphere. The vertical structure of  $h'$  in the lower to middle troposphere is dominated by the changes in latent energy  $L_v q'$ , with larger negative anomaly in the lower to middle troposphere than that in the upper troposphere; and the  $h'$  higher than 200 hPa is dominated by the  $s_p$ , with a positive anomaly caused by stratospheric warming, resulting in an increased gross moist

stability  $-\langle \overline{\partial_p h'} \rangle$  and descending anomalies, which suppress the local convection over the SouthWest region of TP.

The terms  $-\langle \overline{\mathbf{V}' \cdot \nabla_h (C_p T)'} \rangle$  and  $-\langle \overline{\mathbf{V}' \cdot \nabla_h (L_v q)'} \rangle$  can be further divided into zonal and meridional components (Fig. 8). We found that the negative zonal components  $-\langle \overline{u' \cdot \partial_x (C_p T)'} \rangle$  and  $-\langle \overline{u' \cdot \partial_x (L_v q)'} \rangle$  over the SouthWest region of TP play a dominant role, with the largest response at 400 hPa (Figures not shown). As for the negative anomaly of



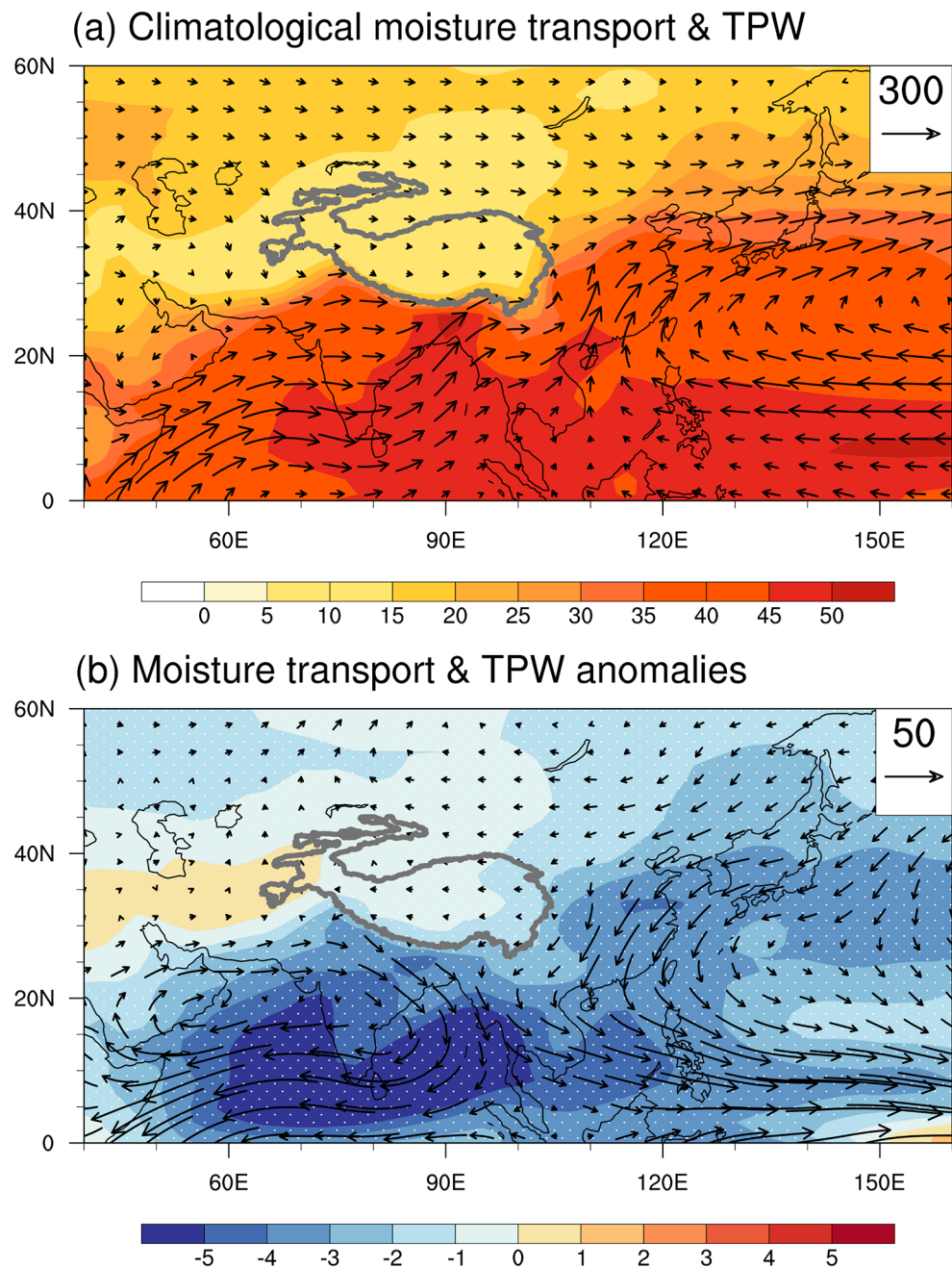


**Fig. 5** (a) Moisture budget for regional mean precipitation anomaly ( $\text{mm day}^{-1}$ ) over the southern TP region following tropical volcanic eruptions based on CESM-LME simulations. Spatial distribution of (b) anomalous vertical moisture advection by climatological vertical motion (thermodynamic term) and (c) anomalous advection of the climatological vertical moisture by vertical motion anomalies (dynamic term). (d) Decomposition of anomalous vertical moisture advection over the southwestern TP (SWTP,  $26\text{--}34^\circ\text{N}$ ,  $72\text{--}85^\circ\text{E}$ ) and southeastern TP regions (SETP,  $26\text{--}34^\circ\text{N}$ ,  $85\text{--}102^\circ\text{E}$ ) ( $\text{mm day}^{-1}$ )

$-\langle \bar{u} \bullet \partial_x (C_p T)' \rangle$ , we examine the summer air temperature anomalies during the first year following tropical volcanic eruptions with the climatological summer wind filed at 400 hPa (Fig. 9a). There is a stronger cooling over the west side of TP after the tropical eruptions, and the climatological westerlies will transport the air with lower dry static energy to the TP region, the anomalous dry enthalpy by the zonal wind reduces the moist enthalpy into the air column, resulting in the descending anomalies (Fig. 9a). To find out the cause of negative anomaly of  $-\langle u' \bullet \partial_x (\bar{L}_v \bar{q}) \rangle$ , we show the climatological summer mean specific humidity with wind anomalies at 400 hPa (Fig. 9b). There is a center of large climatological specific humidity in the southern TP region. Following the tropical volcanic eruptions, the westerly anomalies on the south of TP associated with the anomalous cyclonic circulation, bring the air with low moist enthalpy into the southern TP region, forming the descending anomalies (Fig. 9b). To determine the mechanisms for the changes in westerlies, we show the response of westerlies at 400 hPa to tropical eruptions (Fig. 10b). The center of

climatological westerlies locates in around  $40^\circ\text{N}$  (Fig. 10a), and there is a significant southward shift of westerlies after tropical eruptions (Fig. 10b). We further examine the zonal mean and regional Hadley circulation response over the southern TP region ( $70\text{--}120^\circ\text{E}$ ) based on method used in D'Agostino and Timmreck (2022). The results show that the zonal mean Hadley circulation weakens and shrinks during the first summer after eruptions (Fig. 10c), and the local Hadley circulation over the South Asian monsoon region show larger anomaly (Fig. 10d). The weakened local Hadley circulation is induced by reduced atmospheric net energy input and the increased gross moist stability at the updraft branch, as less MSE exported from the ITCZ (Fig. S3), which is consistent with study of D'Agostino and Timmreck (2022). Therefore, the southward shift of westerlies can be seen as a shrinking and weakening of Hadley circulation.

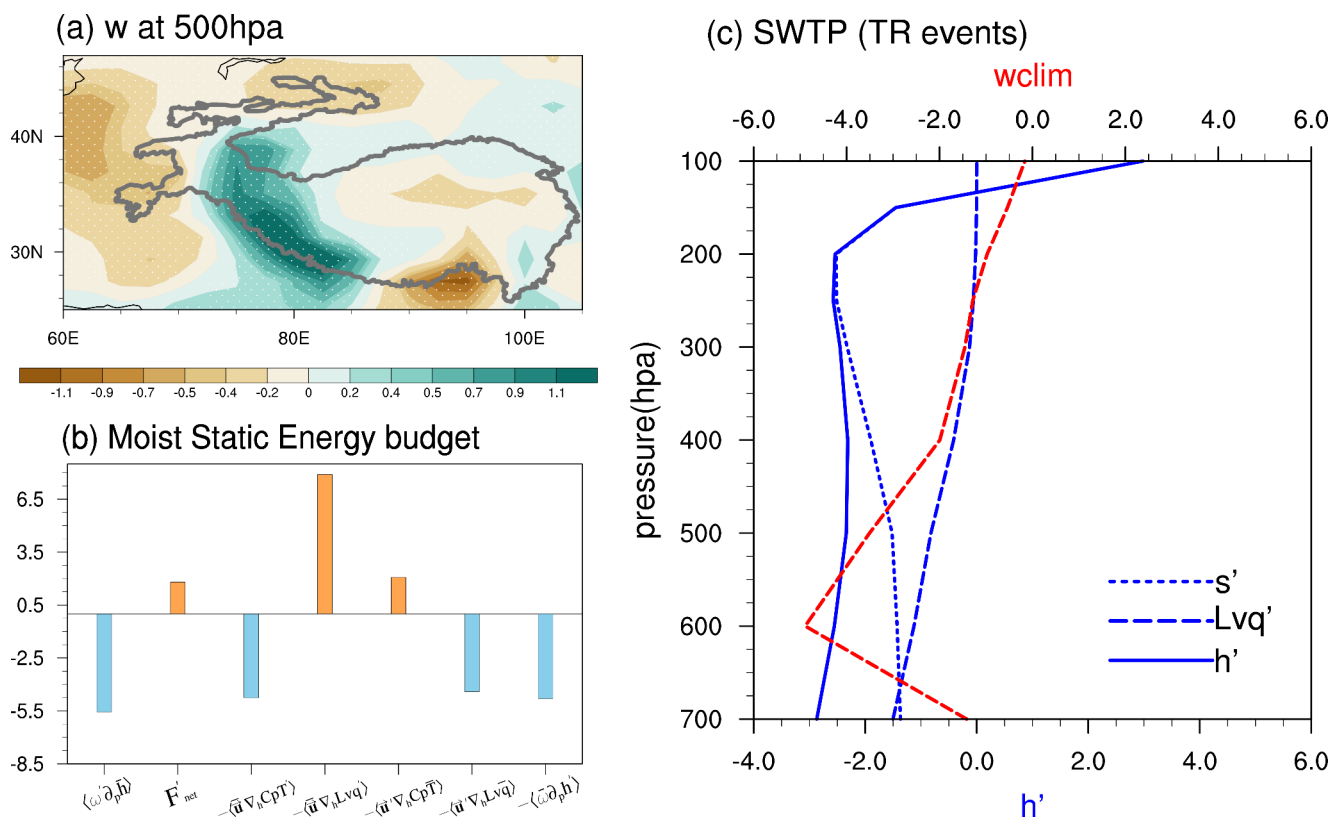
**Fig. 6** (a) The climatological JJA mean total atmospheric precipitable water (TPW) (shading,  $\text{kg m}^{-2}$ ) with vertically integrated moisture flux (vector,  $\text{kg m s}^{-1}$ ) derived from CESM-LME simulations. (b) As in (a), but for the anomalies in the first JJA after tropical volcanic eruptions. Dots indicate values  $> 95\%$  confidence level based on the Monte Carlo test ( $n = 10,000$ )



## 4 Discussion

Since usually El-Niño occurs after strong volcanic eruptions (Ward et al. 2021), in both observations and reconstructions, the volcanic signal is super-imposed on interannual variability in specific events. Previous studies revealed an El Niño-like SST response to tropical volcanic eruptions based on multi-model mean results (Stevenson et al. 2016; Predybaylo et al. 2017; Zuo et al. 2018; Ward et al. 2021), with different responses among the models (Ding et al. 2014; Maher et al. 2015; Chai et al. 2020). Given the superposition of tropical volcanic eruption and El Niño,

which is also known to have large impact on TP precipitation (Hu et al. 2021), we further examined their relative influence on precipitation in the SouthWest region of TP. To reveal the relative impact of ENSO response to precipitation anomalies over the SouthWest region of TP, we firstly examine the relationship between Niño3.4 index anomalies and the precipitation anomalies over the SouthWest region of TP based on the selected 30 volcanic samples (6 volcanic eruptions in each member) in CESM-LME. Although the ensemble mean response of Niño3.4 index is positive, there is still large spread between each sample, which means La Niña-like SST anomalies are associated with some



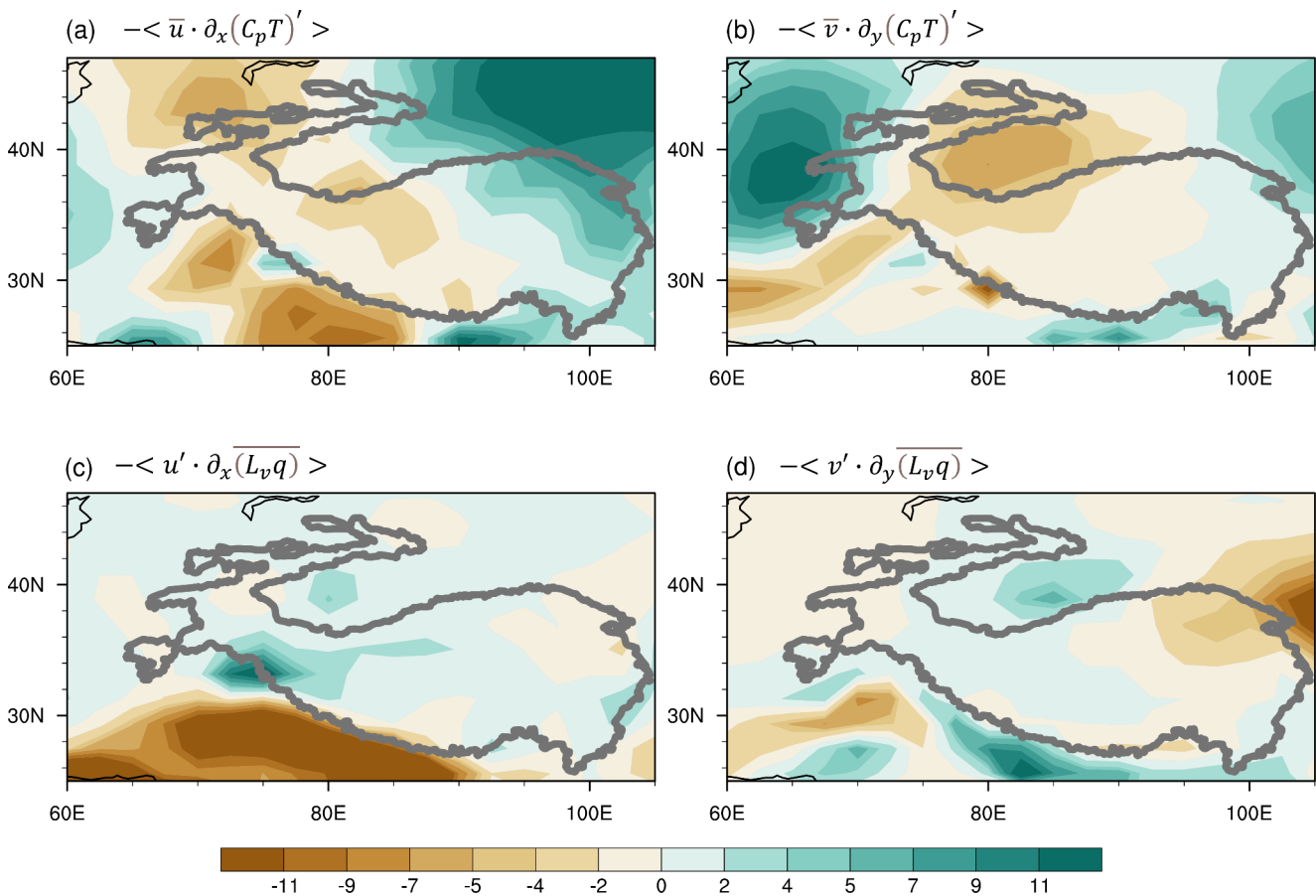
**Fig. 7** (a) Spatial distribution of the JJA mean vertical pressure velocity anomalies (shading, multiplied by 80;  $Pa s^{-1}$ ) during the first year after tropical volcanic eruptions based on CESM-LME simulations. Dots indicate values >95% confidence level based on the Monte Carlo test ( $n=10,000$ ). (b) Moist static energy budget for the southwestern TP (SWTP) regions (26–34°N, 72–85°E) with anomalous descending flows (units:  $W m^{-2}$ ). (c) Vertical profiles of climatological vertical velocity in pressure level coordinates (wclim, red line;  $10^{-2} Pa s^{-1}$ ) and anomalous MSE (blue solid line), dry static energy ( $s = C_p T' + \phi'$ , blue dotted line), and latent energy ( $L_v q'$ ; blue dashed line,  $kJ kg^{-1}$ ) averaged over the southwestern TP (SWTP) region following tropical volcanic eruptions

volcanic eruptions. We found a significant negative correlation of  $-0.53$  (Fig. S4), indicating that the precipitation in the SouthWest region of TP decreased more when there is an El Niño-like SST response in the first summer after tropical volcanic eruptions.

Based on the preindustrial control (Picontrol) experiment, Figs. S4b-c show the summer precipitation anomalies associated with El Niño and La Niña without external forcing. The El Niño (La Niña) corresponds to decreased (increased) precipitation over the SouthWest region of TP, which will modulate the precipitation responses to tropical volcanic eruptions. We further divide the precipitation anomalies into ENSO-induced changes and direct response based on a statistical method (Pausata and Camargo 2019; Zuo et al. 2021). We select the ENSO events in the Picontrol experiment, which has the same intensity and evolution characteristics with that in the volcanic-forcing simulations based on Niño3.4 index, and construct the composite of ENSO-induced changes. After subtracting the ENSO-induced changes from the total precipitation response, the direct response is obtained. The results show that with El

Niño-like SST anomaly in summer of year 1, both the SST response and direct response contribute to the decreased precipitation over the SouthWest region of TP, leading to a stronger precipitation response, among which the ENSO-induced changes account for 55% (Fig. S4d). When a La Niña-like SST response occurs in the summer of year 1, the direct response results in decreased precipitation over the SouthWest region of TP, which is offset by the SST response, resulting in an overall weak response (Fig. S4d).

We also acknowledge the model biases in simulating the precipitation over TP and the surrounding regions in our study. Although the maximum center is reasonably captured over the southern slope of the TP, the Western Ghats and the Burmese coast, the model underestimates the precipitation intensity over the Western Ghats and the Burmese coast, while overestimates the precipitation over the southern slope of the TP and the Indo-Gangetic Plain. Misrepresentation of a number of physical processes has been proposed to account for the precipitation biases over South Asia, including deficiencies in the convective parameterization in the atmospheric model (Bollasina and Ming, 2013), biases in



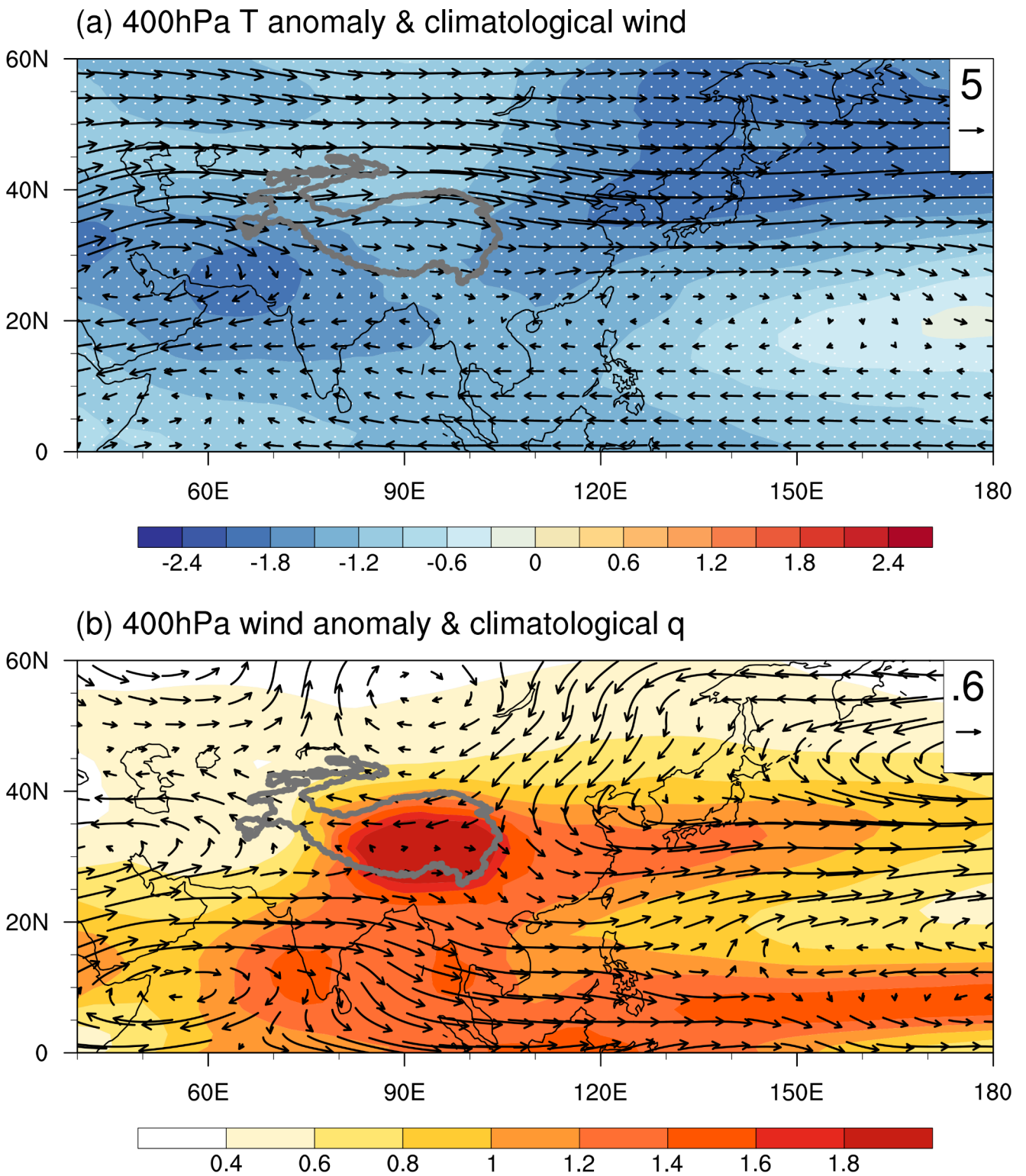
**Fig. 8** Spatial distribution of the decomposition of the horizontal advection of anomalous dry enthalpy by climatological wind ( $-\langle \bar{\mathbf{u}} \cdot \nabla_h (C_p T)' \rangle$ ) and horizontal advection of climatological moist enthalpy by anomalous wind ( $-\langle \mathbf{u}' \cdot \nabla_h (L_v q) \rangle$ ) based on CESM-LME simulations. (a)  $-\langle \bar{\mathbf{u}} \cdot \partial_x (C_p T)' \rangle$ , (b)  $-\langle \bar{\mathbf{v}} \cdot \partial_y (C_p T)' \rangle$ , (c)  $-\langle \mathbf{u}' \cdot \partial_x (L_v q) \rangle$  and (d)  $-\langle \mathbf{v}' \cdot \partial_y (L_v q) \rangle$  ( $\text{W m}^{-2}$ )

air-sea interaction and wind stress in the Indian Ocean (Bollasina and Nigam 2009; Annamalai et al. 2017), cold sea surface temperature bias in the northern Arabian Sea (Izumo et al. 2008; Levine et al. 2012), coarse spatial resolution (Xie et al. 2006; Acosta and Huber 2017; Anand et al. 2018) and smoothed representation of topography (Boos and Hurley 2013). Accurately simulating the precipitation over the TP region is also a great challenge for current climate models because of the complex topography. Most models show a positive bias in simulating the TP precipitation (Su et al. 2013; Zhu et al. 2020). The excessive precipitation over the southern slope of TP is likely caused by too strong orographic diabatic heating (O'Brien et al. 2013) and the poor representation of topography (Anand et al. 2018). Using finer topography improves the simulated precipitation distribution over the TP (Li et al. 2015), but high-resolution regional models still overestimate the precipitation over the TP, which is partly related to the deep convection parameterization scheme in models (Gu et al. 2020; Li et al. 2021). Further analysis based on models with higher resolution or

regional climate models with better performances in TP precipitation is needed in the future.

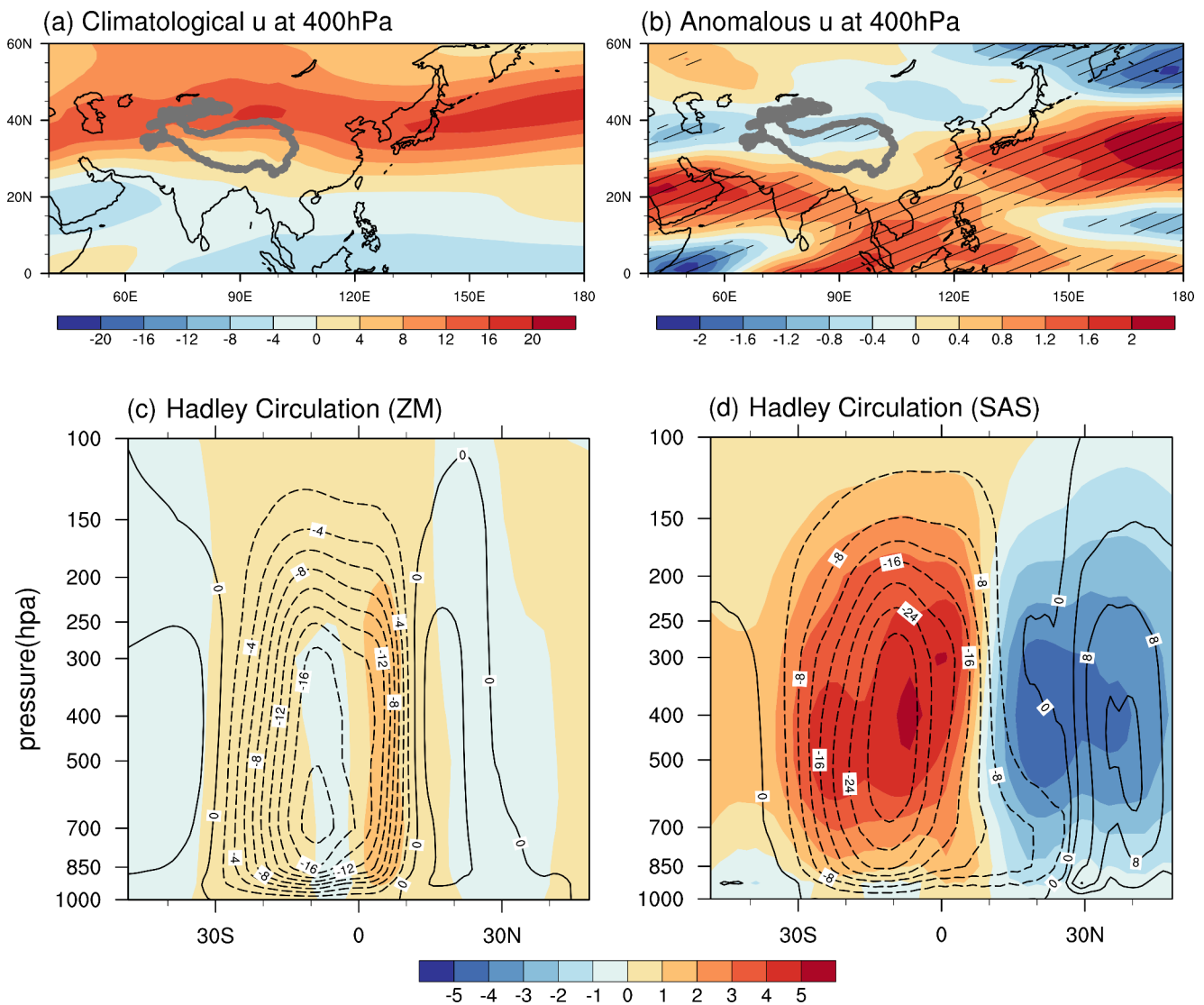
## 5 Summary

Changes in summer precipitation over the Tibetan Plateau (TP) significantly influence the water resources for the “Asian water tower”. The sensitivity of TP precipitation to anthropogenic external forcing agents are well addressed, while less effort was devoted to natural external forcing such as volcanic aerosols. In the past millennium, more than 20 volcanoes that have world-wide climate influence have occurred. But how the TP precipitation response to volcanic eruptions remains unknown. In this study, we investigate the summer precipitation changes after tropical volcanic eruptions over the TP region by using multiple lines of evidences including reconstructions, observations and coupled model simulations. Three sets of reconstructions with spatial distribution, observations with high resolution are used



**Fig. 9** (a) The JJA mean air temperature anomalies at 400 hPa (shading, K) during the first year following tropical volcanic eruptions with the climatological JJA mean wind at 400 hPa (vector,  $m s^{-1}$ ) derived from CESM-LME simulations. Dots indicate values  $> 95\%$  confidence level based on the Monte Carlo test ( $n = 10,000$ ). (b) The climatological JJA mean specific humidity at 400 hPa (shading,  $g kg^{-1}$ ) with 400 hPa wind anomalies (vector,  $m s^{-1}$ )

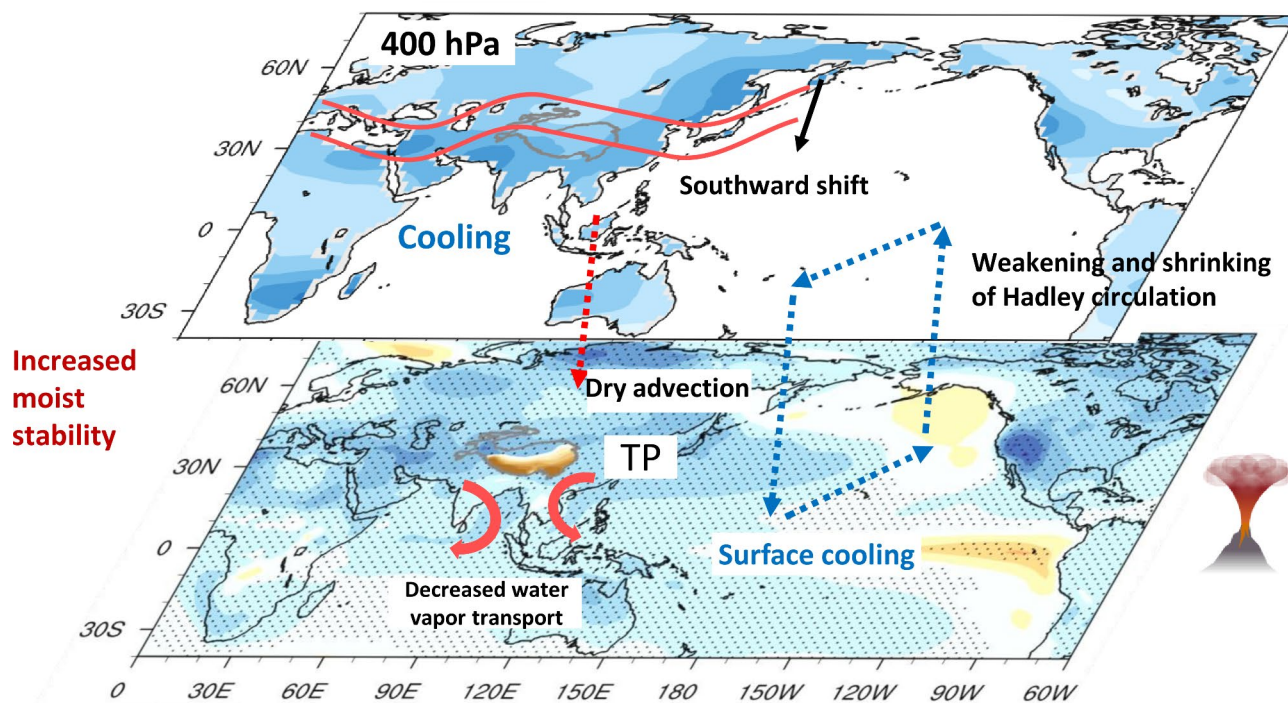
to reveal the precipitation responses. The volcanic forcing simulations over the last millennium are used to reveal the



**Fig. 10** (a) The climatological JJA mean zonal wind at 400 hPa (shading,  $\text{m s}^{-1}$ ). (b) As in (a), but for the anomalies in the first JJA after tropical volcanic eruptions based on CESM-LME. Slashes and dots indicate values  $> 95\%$  confidence level based on the Monte Carlo test ( $n = 10,000$ ). Local Hadley circulation response (stream function response) during the first summer after tropical volcanic eruptions based on CESM-LME. (c) Local Hadley circulation computed in zonal mean and (d) in the South Asia monsoon domain ( $70\text{--}120^\circ\text{E}$ ). Shadings represent the anomalous circulation and contours refer to the pre-eruption 5 years climatology. Solid (dashed) lines represent positive (negative) values of the stream function for clockwise (counterclockwise) circulation (units:  $10^{10} \text{ kg s}^{-1}$ )

underlying physical mechanisms. The major findings are summarized as following along with a schematic diagram of summer precipitation response over TP to tropical volcanic eruptions shown in Fig. 11.

- 1) Based on the instrumental data during the past decades and multiple precipitation reconstructions covering the past few centuries, we find a significant reduction in summer precipitation over the southern TP region during the first summer after tropical volcanic eruptions, decreased by over  $1 \text{ mm day}^{-1}$  on a regional scale, which is about 16.7% of climate mean value.
- 2) The summer precipitation responses to tropical volcanic eruptions are further confirmed based on the results from CESM-LME simulation. The SEA results show deficient rainfall over southern TP region, which is consistent with observations. A decrease of precipitation with an intensity of  $1.2 \text{ mm day}^{-1}$  is seen over the southwestern TP, accounts for  $\sim 14.6\%$  of summer mean condition (Fig. 11).
- 3) We used the moisture and moist static energy budget analysis to reveal the underlying physical mechanisms based on model simulations. The results show that both the dynamic processes associated with atmospheric circulation changes and the thermodynamic processes



**Fig. 11** Schematic diagram of the mechanism for summer precipitation responses over the Tibetan Plateau following large tropical volcanic eruptions. Negative precipitation anomalies are denoted by brown color. Shading represents temperature anomalies. Red vectors are low level wind anomalies. Red contours at 400 hPa represent the location of climatological westerly jet and response to volcanic eruptions, respectively. Blue dashed arrows denote changes in local Hadley circulation

associated with specific humidity changes contribute to the decreased precipitation in the SouthWest region of TP, while the changes in thermodynamic process dominates the reduction of precipitation in the South-East region of TP. The thermodynamic process results from decreased atmospheric precipitable water caused by decreased surface temperature after tropical volcanic eruptions, which reduce the water vapor transport to the southern TP region. The dynamic processes are caused by increased gross moist stability, stronger cooling over the west side of TP and a significant southward shift of westerlies after tropical eruptions (Fig. 11). The southward shift of westerlies is related to weakening and shrinking of Hadley circulation.

Our results imply that major tropical eruptions have significant impact on the summer precipitation over the southern TP regions, which will further decrease the source of supply for the “Asian water tower” glaciers and runoff output. The impacts of tropical eruptions on TP climate are needed to be considered in the design of geoengineering experiments. Potential volcanic eruptions are suggested to be included in the design of near-term decadal climate prediction experiments.

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**Data Availability** The CESM-LME simulations were acquired from the website of Earth system grid ([https://www.earthsystemgrid.org/dataset/ucar.cgd.cesm4.CESM\\_CAM5\\_LME.html](https://www.earthsystemgrid.org/dataset/ucar.cgd.cesm4.CESM_CAM5_LME.html)). The reconstructions were acquired from the website of NCDC (<https://www.ncei.noaa.gov/access/paleo-search/>). Other published sources of observational datasets for precipitation are cited in the main text.

## Declarations

**Conflict of interest** The authors have no competing interests to declare that are relevant to the content of this article.

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