

Relationship between starting age of cranial-remolding-orthosis therapy and effectiveness of treatment in children with deformational plagiocephaly

Mi-hyang Han¹ · Jin Young Kang² · Hye Young Han¹ · Yun-hwa Cho³ · Dae-Hyun Jang¹

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Abstract

Purpose The aim of this study was to investigate the optimal age for starting cranial-remolding-orthosis therapy in children with deformational plagiocephaly.

Methods Medical records of 310 patients with deformational plagiocephaly were retrospectively reviewed and the initial and final cranial vault asymmetry index (CVAI), age when starting therapy, duration of therapy, mean change of CVAI, improvement rate, and treatment success were analyzed. We compared outcomes according to the groups divided by ages starting therapy.

Results There were no significant differences in improvement rate and duration of cranial-remolding-orthosis therapy among patients starting therapy at the age of 3, 4, and 5 months. However, when starting therapy after the age of 6 months, the rates of CVAI improvement were significantly lower and the duration of therapy was significantly increased.

Conclusion Considering the spontaneous resolution effect according to the head growth nature, the age 5 month is the optimal period to start cranial-remolding-orthosis therapy for deformational plagiocephaly.

Keywords Nonsynostotic plagiocephaly · Craniofacial abnormalities · Craniosynostoses · Treatment outcome

✉ Dae-Hyun Jang
dhjangmd@naver.com

¹ Department of Rehabilitation, Incheon St. Mary's Hospital, College of Medicine, The Catholic University of Korea, Dongsu-ro 56, Bupyeong-gu, Incheon 21431, Republic of Korea

² Seoul Asan Rehabilitation Clinic, Incheon, Republic of Korea

³ Korea Disabled people's Development Institute, Seoul, Republic of Korea

Introduction

Deformational plagiocephaly refers to the misshaping of the infant's head caused by extrinsic molding rather than intrinsic synostotic events [1]. It usually occurs through preferential head positioning during the first few months of life and is related to risk factors such as assisted delivery, firstborn child, prematurity, neck problems, male sex, larger cerebrospinal fluid spaces, and spending >20 h in the supine position each day [2]. Many studies suggest that infants with deformational plagiocephaly may develop facial asymmetry, especially ipsilateral occipital flattening, protrusion of ipsilateral frontal bone, and anterior displacement of the ipsilateral ear [3, 4]. Furthermore, these malformations can affect the child psychologically and cause distress to parents [5]. Therefore, identifying and appropriately managing infants with cranial asymmetry is important to minimize the facial asymmetry and psychological impact of patients and their caregivers [6–8].

Treatments for deformational plagiocephaly include observation, head-repositioning therapy, physical therapy, external orthotics, and surgery. Among these, cranial-remolding-orthosis (helmet) therapy has become widespread because it is believed to be safe with no reported harmful effects on cranial growth [1]. The indications to start the cranial-remolding-orthosis therapy are considered the patients who failed sufficient improvement of cranial asymmetry after conservative care such as positioning therapy or physical therapy [9]. However, considering the nature recovery period and timing of conservative therapeutic effect, optimal age for starting cranial-remolding-orthosis therapy remains controversial. There have been some reports of the inverse correlation between the rate of correction of cranial asymmetry and the starting age of cranial-remolding-orthosis therapy [10–12]. However, other authors have found no such correlation [13]. Therefore, for patients with deformational plagiocephaly that

requires cranial-remolding-orthosis therapy, we sought to elucidate the optimal age to begin treatment, to avoid excessive and unnecessarily early treatment. The aim of this study was to evaluate the effectiveness of cranial-remolding-orthosis therapy according to the age of starting treatment to determine the optimal age at which therapy should begin.

Materials and methods

We obtained the records of patients who underwent cranial-remolding-orthosis therapy for cranial deformities between 2010 and 2016. Parents were instructed to keep their child in the cranial-remolding orthosis for as long as possible, with use recommended for 23.5 h/day and at least 20 h/day. In the present study, patients with regular follow-up for whom compliance could be checked by physicians were included. The termination of treatment was decided by the treating physician based on a satisfactory change of the cranial asymmetry, patient tolerance, and parental satisfaction. Before starting cranial-remolding-orthosis therapy, every child underwent three-dimensional (3D) head surface scanning (STARscanner™ Laser Data Acquisition System; Orthomerica Products, Orlando, FL, USA). Each patient received a customized cranial-remolding orthotic consisting of a polyethylene foam liner and a copolymer outer shell. Follow-up laser scans were performed every 3–4 weeks to adjust for head growth and changes in skull shape. The inclusion criteria for this study were infants who completed the recommended cranial-remolding-orthosis treatment with regular monthly follow-up. A total of 349 patients underwent cranial-remolding-orthosis therapy for cranial deformities between 2010 and 2016. Of these, 31 patients did not complete cranial-remolding-orthosis therapy for recommended time of day or failed to follow up on a regular basis. Three patients with genetic disorders such as Down's syndrome were excluded. In addition, other causes of developmental delay such as cerebral palsy (3 patients) and postoperative conditions (2 patients) were excluded.

For this study, we retrospectively analyzed the age at which cranial-remolding-orthosis therapy was started, duration of treatment, initial and final cranial vault asymmetry indices (CVAIs, %), change value and improvement rate of CVAI, and treatment success. Anthropometric measurements were obtained at the beginning and end of therapy using the STARscanner™ device. The cranial diagonal measurement was obtained according to the method of Plank et al. [14]. First, a cross-sectional plane through the sellion and both trignons was designated as the level 0 plane. The overall height of the child's head above 0 plane divided into 10 equally spaced cross-sectional planes, with each plane being parallel to the reference plane (Fig. 1a). The cranial diagonal was finally obtained at the level 3 plane and defined as the cranial diameter 30° from the line connecting the sellion and origin (the midpoint between the right and left trignon) (Fig. 1b).

CVAI was used to represent the individual asymmetry of the cranial vault and was calculated as follows: $CVAI (\%) = ([\text{difference between cranial diagonals/shorter cranial diagonal}] \times 100)$. The change of CVAI was the difference between initial and final CVAI and the improvement rate of CVAI was calculated as follows: $\text{difference between initial and final CVAI/initial CVAI}$. Treatment success was evaluated by cut-off points: a final CVAI of $\leq 3.5\%$ [15]. Loveday and De defined a CVAI 0% as a perfect symmetrical infant's skull and considered up to 3.5% as a higher value represents significant asymmetry [15].

Data analysis was performed using PASW Statistics, Version 18.0 (SPSS, Inc., Chicago, IL, USA). The initial and final CVAIs were compared using paired *t* tests. The duration of cranial-remolding-orthosis therapy and improvement rate of CVAI were analyzed for each group using one-way analysis of variance with post hoc Bonferroni procedure. Treatment success rates were compared for each group using chi-squared tests. Statistical significance was set at a *p* value of < 0.05 .

Results

We obtained the records of 310 patients who met the inclusion criteria (187 boys and 123 girls) and the mean age at the start of cranial-remolding-orthosis therapy was 184.3 ± 65.7 days. The initial severity of plagiocephaly was categorized by CVAI as mild group (range, 3.5–7%, $n = 47$), moderate group (range, 7–12%, $n = 203$) and severe group (range, $> 12\%$, $n = 60$), and this did not significantly differ between the study groups [15]. A total of 310 patients were classified according to initiation age (month; M) of cranial-remolding-orthosis therapy: 3M (< 120 days, $n = 42$), 4M (120–149 days, $n = 64$), 5M (150–179 days, $n = 66$), 6M (180–209 days, $n = 56$), 7M (210–239 days, $n = 32$), 8M (240–269 days, $n = 21$), and 9M (≥ 270 days, $n = 29$). Table 1 summarizes these baseline characteristics of patients.

The mean duration of cranial-remolding-orthosis therapy was 165.3 ± 65.9 days (range, 99.4–231.2). The mean CVAI before cranial-remolding-orthosis therapy was 9.7% (range, 6.9–12.5%) and the mean CVAI at the end of therapy was 4.0% (range, 2.1–5.9%). A reduction in head asymmetry was observed in all groups and the mean reduction value of CVAI was $5.7 \pm 2.0\%$ (range, 3.7–7.7%). The mean improvement rate $59.6 \pm 13.6\%$ (range, 46.0–73.2%) and the mean success rate, using the cutoff value of CVAI of $\leq 3.5\%$, was 43.0% (range, 14–55%) [15].

The mean CVAI change was the greatest in group 3M from initial to final CVAI of 10.4 to 3.5%. In contrast, the mean CVAI change was the shortest in group 9M from initial to final CVAI of 9.8 to 5.7%. The mean CVAI change was significantly lower in group 6, 7, 8, and 9M than group 3M ($p = 0.001$, $p < 0.05$, $p < 0.05$, and $p < 0.001$) but, there were no significant differences in mean

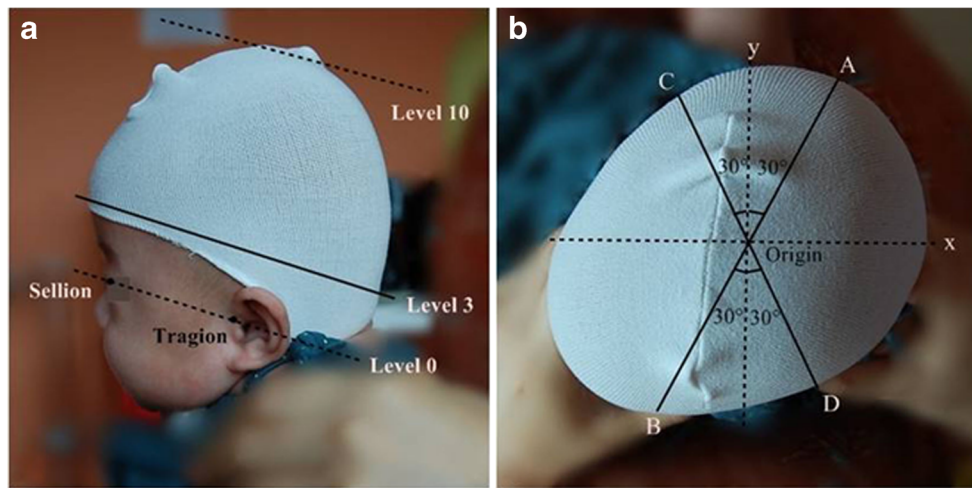


Fig. 1 How the cranial diagonal was obtained using cross-sectional planes. **a** The sellion is the deepest point of the nasofrontal angle between the forehead slope and proximal nasal bridge. The tragion is in the notch just above the tragus of the ear. **b** The origin is the midpoint between the right and left tragion. The *Y*-axis is defined as the line through the sellion

and origin, and the *X*-axis is defined as the line perpendicular to the *Y*-axis that crosses the origin. The difference in the cranial diagonal diameters is the difference between the longest diagonal and the shortest diagonal (i.e., AB-CD). The cranial vault asymmetry index (%) was calculated as [(AB-CD)/CD] × 100. XXXX® provided this photo for the present report

CVAI change among groups 3, 4, and 5M (6.9, 6.3, and 5.9%) (Table 2, Fig. 2).

The mean CVAI improvement rate was the greatest in group 3M (67.9%) and was the lowest in group 9M (43.4%). Group 3M showed significantly higher CVAI improvement rate than groups 6, 7, 8, and 9M ($p < 0.05$, $p < 0.001$, $p < 0.001$, and $p < 0.001$). However, there were no significant differences in mean CVAI improvement rate among groups 3, 4, and 5M (67.9, 62.3, and 63.0%) (Table 2, Fig. 3) The treatment success rate of each group, defined as $CVAI \leq 3.5\%$ was the greatest in group 3M (55%) [15]. The success rates of 4, 5, 6, and 7M groups showed similar success rates (44, 48, 48, and 44%) but after 8 months, success rate was decreased below 20%. However, there were no significant intergroup differences in treatment success rates among groups.

The mean duration of cranial-remolding-orthosis therapy was the shortest in group 3M (124.0 days) and the longest in group 8M (222.0 days). There were no significant differences in the mean duration of therapy among groups 3, 4, and 5M, but group 3M showed a significantly shorter duration of treatment than groups 6, 7, 8, and 9M ($p < 0.001$, $p < 0.001$, $p < 0.001$, and $p = 0.004$). (Table 2 and Fig. 4).

Discussion

In this study, we evaluated the effects of cranial-remolding-orthosis therapy by using final CVAI. Compared to other measurement parameters such as cranial index, orbitotragial depth

Table 1 Baseline patient characteristics

	Total patients	Sex (male/female)	Mean starting age (days)	Severity ^a (mild/moderate/severe)
Group 3M	42	29:13	107.4 ± 11.9 (95.5~119.3)	9:21:12
Group 4M	64	43:21	137.2 ± 8.8 (146~128.4)	6:40:18
Group 5M	66	38:28	162.0 ± 7.8 (154.2~169.8)	9:46:11
Group 6M	56	32:24	194.9 ± 8.4 (186.5~203.3)	11:39:6
Group 7M	32	21:11	224.1 ± 8.1 (216~232.2)	5:23:4
Group 8M	21	12:9	255.4 ± 7.1 (248.3~262.5)	2:15:4
Group 9M	29	12:17	334.0 ± 51.9 (282.1~385.9)	5:19:5
Total	310	187:123	184.3 ± 65.7 (118.6~250.0)	47:203:60

Group 3M, <120 days; group 4M, 120~149 days; group 5M, 150~179 days; group 6M, 180~209 days; group 7M, 210~239 days; group 8M, 240~269 days; group 9M, ≥270 days

M months

Index; mean ± standard deviation

^a The initial severity of plagiocephaly was categorized by CVAI as mild group (range, 3.5–7%), moderate group (range, 7–12%), and severe group (range, >12%)

Table 2 Analysis of treatment effectiveness

	Duration of therapy (days)	Initial mean CVAI (%)	Final mean CVAI (%)	Mean change of CVAI (%)	Mean rate of improvement (%)	Rate of success % (CVAI \leq 3.5%)
Group 3M	124.4 \pm 46.9* (77.5~171.3)	10.4 \pm 4.1 (6.3~14.5)	3.5 \pm 2.1 (1.4~5.6)	6.9 \pm 2.5 [†] (1.4~9.4)	67.9 \pm 12.4 [†] (55.5~80.3)	55
Group 4M	148.0 \pm 51.6** (96.4~199.6)	10.1 \pm 2.6 (7.5~12.7)	3.8 \pm 1.6 (2.2~5.4)	6.3 \pm 2.0** (4.3~8.3)	62.3 \pm 12.1** (50.2~74.4)	44
Group 5M	156.0 \pm 59.6** (96.4~215.6)	9.4 \pm 2.4 (7.0~11.8)	3.5 \pm 1.5 (2.0~5.0)	5.9 \pm 1.7*** (4.2~7.6)	63.0 \pm 12.2** (50.8~75.2)	48
Group 6M	183.4 \pm 81.9 (101.5~265.3)	8.8 \pm 2.4 (6.4~11.2)	3.5 \pm 1.4 (2.1~4.9)	5.3 \pm 1.8 (3.5~7.1)	60.0 \pm 11.4*** (48.6~71.4)	48
Group 7M	190.8 \pm 60.3 (130.5~251.1)	9.9 \pm 2.7 (7.2~12.6)	4.5 \pm 2.1 (2.4~6.6)	5.4 \pm 1.6 (3.8~7.0)	55.7 \pm 11.5*** (44.2~67.2)	44
Group 8M	222.0 \pm 68.1 (153.9~290.1)	10.0 \pm 2.1 (7.9~12.1)	4.8 \pm 1.0 (3.8~5.8)	5.2 \pm 1.4 (3.8~6.6)	51.3 \pm 7.0 (44.3~58.3)	14
Group 9M	179.5 \pm 51.0 (128.5~230.5)	9.8 \pm 2.5 (7.3~12.3)	5.7 \pm 2.6 (3.1~8.3)	4.1 \pm 1.5 (2.6~5.6)	43.4 \pm 15.2 (28.2~58.6)	21
Total	165.3 \pm 65.9 (99.4~231.2)	9.7 \pm 2.8 (6.9~12.5)	4.0 \pm 1.9 (2.1~5.9)	5.7 \pm 2.0 (3.7~7.7)	59.6 \pm 13.6 (46.0~73.2)	43

Group 3M, <120 days; group 4M, 120~149 days; group 5M, 150~179 days; group 6M, 180~209 days; group 7M, 210~239 days; group 8M, 240~269 days; group 9M, \geq 270 days. Index; mean \pm standard deviation

CVAI cranial vault asymmetry index, M months

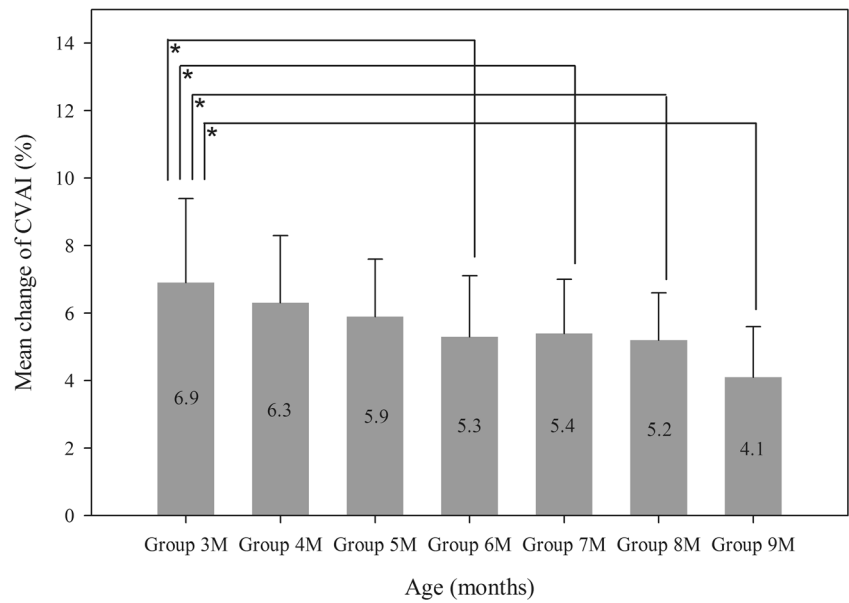
* p value <0.01, significant difference compared with the 6, 7, 8, and 9M groups; ** p value <0.01, significant difference compared with the 8M group; [†] p value <0.05, significant difference compared with the 6, 7, 8, and 9M groups; ^{††} p value <0.05, significant difference compared with the 6 and 9M groups; ^{†††} p value <0.05, significant difference compared with the 9M group; ^{††††} p value <0.01, significant difference compared with the 6, 7, 8, and 9M groups; ^{†††††} p value <0.05, significant difference compared with the 8 and 9M groups; ^{††††††} p value <0.05, significant difference compared with the 9M group

asymmetry, and cranial base asymmetry, CVAI is easy to measure and can be evaluated independently of the head size; furthermore, it provides accurate, reproducible comparison among subjects [15, 16]. In the present study, we found that the reduction value of CVAI and the rates of CVAI improvements were not significantly different among children treated between the ages of 3 and 5 months. However, when starting therapy after age 6 months, the change of CVAI and rates of CVAI improvement were significantly lower. Similarly, the duration of cranial-remolding-orthosis therapy was not significantly different between the ages of 3 and 5 months but significantly increased after age 6 months. Overall, our results indicate that the effectiveness of cranial-remolding-orthosis therapy differed according to the age at which treatment was started, with a notable benefit when starting age was between 3 and 5 months.

Cranial-remolding-orthosis therapy for children with deformational plagiocephaly was first introduced by Clarren et al. in 1979 and has undergone substantial advances. Currently, cranial-remolding orthotics for deformational plagiocephaly are custom-made by 3D head surface laser or 3D computed tomography scanning [17]. There are some reports of complications related to cranial-remolding-orthosis therapy, such as skin rashes augmented sweating, unpleasant odor, pain, and expensive cost [18–22]. Despite these disadvantages, cranial-remolding-orthosis therapy has been used widely because of superior effect for correcting cranial asymmetry. Yim et al. compared cranial-remolding-orthosis therapy with repositioning therapy in moderate to severe deformational plagiocephaly and found that patients treated with cranial-remolding-orthosis therapy had greater improvement of cranial and ear asymmetry than those treated with repositioning therapy [23]. Likewise, a retrospective cohort study of 298 infants treated for deformational plagiocephaly reported that cranial-remolding-orthosis therapy was significantly more effective than repositioning therapy in decreasing the diagonal difference [10]. Finally, a systematic review reported that cranial-remolding-orthosis therapy was 1.3 times more effective to repositioning therapy at achieving good outcomes [24].

Despite the known effectiveness of cranial-remolding-orthosis therapy, controversy still exists regarding the optimal treatment regimen and starting age. A prospective study of 36 infants where cranial-remolding-orthosis therapy was started at different ages found that there was no significant relationship between age and percentage change in cranial asymmetry [13]. However, many other studies have showed correlation between the optimal age to start and the effectiveness of cranial-remolding-orthosis therapy, Graham et al. reported that starting cranial-remolding-orthosis therapy before the age of 8 months was significantly more effective [10]. Furthermore, Freudlsperger et al. reported that cranial-remolding-orthosis therapy should be initiated within 25 weeks of birth, the youngest of the proposed periods [15]. Yasuo Aihara et al. documented that treatment was effective when started at age 4 months in the severe

Fig. 2 Mean change of cranial vault asymmetry index according to age at the start of cranial-remolding-orthosis therapy. CVAI cranial vault asymmetry index, M months * $p < 0.05$



deformation group and at age 6 months in the mild deformation group [5]. In addition, Kluba et al. showed that infants younger than 6 months had significant better outcomes and proposed that the optimal age for starting cranial-remolding-orthosis therapy was 5–6 months [11]. Generally, most studies proposed that the optimal age for starting therapy was before 6 months, no longer than 12 months and suggested that the earlier treatment could bring the better outcome of cranial-remolding-orthosis therapy.

The previous studies analyzed the therapeutic effect of cranial-remolding-orthosis therapy using the absolute change of CVAI values [5, 9, 15, 16]; however, the change in CVAI has limit that cannot reflect the initial severity of plagiocephaly. We further calculated the CVAI improvement rate based on the

patient’s initial CVAI value and analyzed the accurate therapeutic effects of cranial-remolding-orthosis therapy [25]. Further analysis of the mean CVAI improvement rate among groups showed that the group 3M showed significantly better results than the 6, 7, 8, and 9M groups. In addition, there were no significant differences among groups 3, 4, and 5M. Compared to previous studies, we further subdivided patients according to specific age in months and evaluated their parameters. Most studies suggesting that the best time to start cranial-remolding-orthosis therapy is 6 months, divided their patients into 3 or 4 groups based on 6–7 months [9, 15, 16]. However, our study subdivided patient’s age by month and more specific age with better effect of cranial-remolding-orthosis treatment.

Fig. 3 Mean improvement rates according to age at the start of cranial-remolding-orthosis therapy. M months. * $p < 0.01$

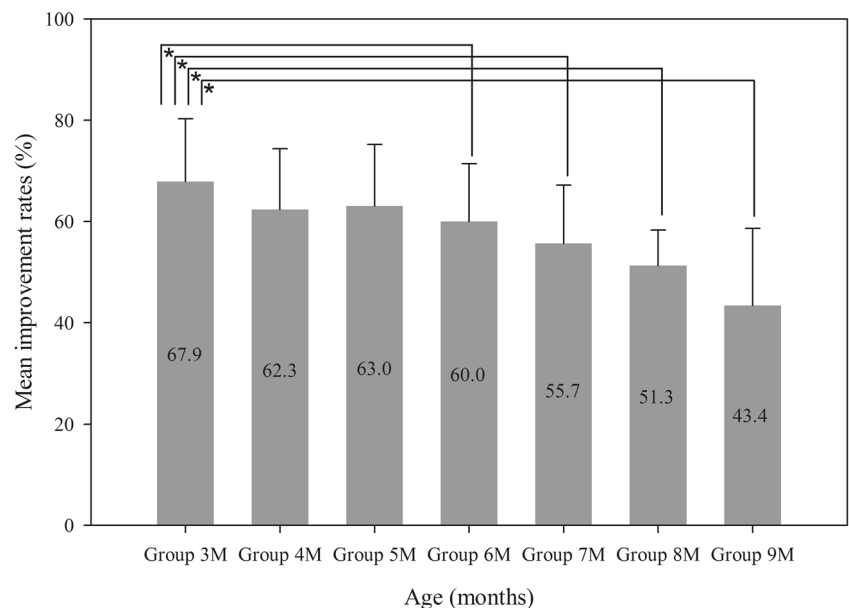
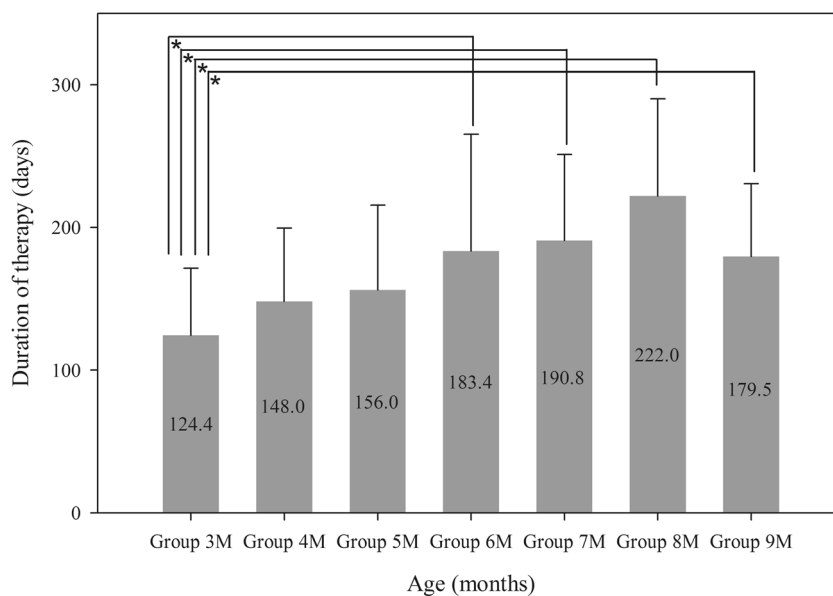


Fig. 4 Mean duration of therapy by age at the start of helmet therapy. *M* months. * $p < 0.01$



The success of cranial-remolding-orthosis therapy relies on the potential for brain growth to redirect head shape [17, 26]. The brain grows to 200% of its birth size by age 6 months and increases in size by only an additional 50% over the next 24 months. By age 2 years, the brain is approximately 70% of its adult size, and the remaining growth occurs slowly over the next 4 years. Regarding the treatment interval of cranial-remolding-orthosis therapy, Clarren et al. described that older infants required longer treatment periods because of physiologic deceleration in brain growth [17]. Furthermore, Dorhage et al. conducted the follow-up study of 102 children with cranial asymmetry and reported that the treatment effects of cranial-remolding-orthosis therapy are shown after 75 days and the treatment longer than 150 days did not show any meaningful improvement in the change of CVAI [9]. In our study, groups 6, 7, 8, and 9M showed a significantly longer duration of treatment than 3M and these groups were above 150 days, respectively. It is difficult to achieve a satisfactory change in skull asymmetry in children aged 6 months or longer, which require longer time to compensate for increased head growth and malformations. Considering period effects of cranial-remolding-orthosis therapy, we concluded that the cranial-remolding-orthosis therapy should be started at least 5 months age to achieve the meaningful therapeutic effects.

Because of trend toward spontaneous improvement of cranial asymmetry and therapeutic effect of conservative management, several reports have recommended that cranial-remolding-orthosis therapy be delayed until after 6 months, when options such as repositioning and physical therapies have failed [18, 27]. This approach may be appropriate given our findings. There were no significant differences in the CVAI improvement and duration of therapy among children younger than 5 months. However, after 6 months, the CVAI improvement rates of cranial-remolding-orthosis therapy were significantly reduced and the

duration of therapy was significantly longer. Therefore, in deformational plagiocephaly, close observation and conservative therapy could be applied until infants reach age 5 months. If deformational plagiocephaly fails to improve over this time, cranial-remolding-orthosis therapy should be considered to achieve the maximal therapeutic benefit over the shortest treatment time.

Our study has some limitations. First, because of the retrospective design, the severity of plagiocephaly and the numbers of patients were unevenly distributed among the age groups. Second, treatment termination was not determined by strict criteria; therefore, some patients continued treatment despite achievement of treatment success because they were not satisfied with the outcome. In the evaluation of treatment duration, patients of group 9M showed shorter treatment periods than those of groups 6, 7, and 8M. We estimated this result might be due to the adherence to the treatment. In our study, group 9M was the subjects older than 9M and their compliance of helmet therapy was poor because of their ability to take off their helmet. This caused an unexpected early termination of therapy and made the shorter duration of treatment in group 9M. Third, we did not evaluate treatment compliance. However, the patients were instructed to ensure that the orthotic was worn for 23.5 h/day, and physicians checked the compliance regularly. Fourth, there was a chance of regression after the orthosis was discontinued in any of the groups, but we could not extend follow-up because of the retrospective study design. There was one study with approximately 5 years of follow-up treatment of the effectiveness of molding cranial-remolding-orthosis therapy reported that cranial vault asymmetry appeared to regress, but the regression was not statistically meaningful [28]. Head growth and cranial base resistance after the completion of cranial-remolding-orthosis therapy could be the reason for the small amount of regression in cranial vault and base asymmetries [27]. There are many factors that can

affect the follow-up results of cranial-remolding-orthosis therapy, and the reasons for regression after completion of therapy are not clear. Further studies about ongoing effectiveness of cranial-remolding-orthosis therapy are needed.

Conclusions

We found that starting cranial-remolding-orthosis therapy after age 6 months was associated with longer duration of treatment. In addition, starting therapy after age 6 months was associated with decreased rates of CVAI improvement. Thus, our results suggest that cranial-remolding-orthosis therapy provides optimal outcomes when started age 5 months with respect to treatment effectiveness. Considering the spontaneous resolution effect according to the head growth nature, the 5 months appears to be the optimal period for starting cranial-remolding-orthosis therapy for deformational plagiocephaly.

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Compliance with ethical standards

Conflict of interest Mi-hyang Han: No conflict of interest to disclose. Jin Young Kang: No conflict of interest to disclose. Hye Young Han: No conflict of interest to disclose. Yun-hwa Cho: No conflict of interest to disclose. Dae-Hyun Jang: No conflict of interest to disclose.

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