#### **ORIGINAL ARTICLE**



# Plaque characteristics and slow flow during percutaneous coronary **intervention of irregular protrusion by optical coherence tomography**

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## **Abstract**

Irregular protrusion on optical coherence tomography (OCT) is associated with clinical events and target lesion revascularization. We investigated clinical and procedure characteristics, plaque characteristics, slow fow after stent implantation, and clinical outcomes with irregular protrusion using OCT. Eighty-four lesions in 76 patients undergoing OCT before percutaneous coronary intervention were evaluated. Irregular protrusion was defned as protrusion of material with an irregular surface into the lumen between stent struts with a maximum height of  $\geq 100$  µm. Lesions with irregular protrusion were found in 56% (47/84). Compared with lesions without irregular protrusion, those with irregular protrusion had signifcantly higher lowdensity lipoprotein cholesterol (LDL-C) levels (108±31 mg/dl vs. 95±25 mg/dl, *P*=0.044); a tendency toward decreased use of statins  $[44\% (19/43)$  vs. 67% (22/33),  $P=0.065$ ]; significantly larger reference vessel diameter  $(3.12 \pm 0.53$  mm vs. 2.74±0.63 mm, *P*=0.004); more frequent slow fow after stent implantation [38% (18/47) vs. 11% (4/37), *P*=0.006]; higher incidence of thin-cap fbroatheromas [TCFAs; 49% (23/47) vs. 5% (2/37), *P*<0.001]; plaque rupture [40% (19/47) vs. 16%  $(6/37)$ ,  $P = 0.018$ ]; and a tendency higher incidence of 1-year adverse clinical outcomes (death, acute myocardial infarction, acute coronary syndrome, or target lesion revascularization) [12% (5/43) vs. 0% (0/33), *P*=0.075]. In conclusion, irregular protrusion on OCT was associated with high plaque vulnerability, higher LDL-C, less frequent use of statin, larger vessel diameter, slow flow after stent implantation, and 1-year adverse clinical outcomes.

**Keywords** Irregular protrusion · Optical coherence tomography · Slow flow

# **Introduction**

After implantation of drug-eluting stents (DES) for coronary arteries, late stent restenosis and late stent thrombosis remain signifcant problems. In pathological studies, penetration of the lipid core into the stent strut is associated with neointimal growth and stent thrombosis  $[1, 2]$  $[1, 2]$  $[1, 2]$  $[1, 2]$ . In addition, a large amount of protrusion or irregular protrusion detected by optical coherence tomography (OCT) after stent implantation is associated with clinical events and target lesion revascularization [\[3](#page-8-2), [4](#page-8-3)]. OCT has high resolution [\[5](#page-8-4)], and is the only modality able to detect irregular protrusion. However, there are few reports in the literature regarding the

relationship between irregular protrusion and plaque characteristics. In addition, the no-refow phenomenon is another problem observed during percutaneous coronary intervention (PCI) and is associated with cardiac events [[6\]](#page-8-5). Thincap fbroatheroma (TCFA) and lipid-rich plaque on OCT are associated with slow flow during PCI [\[7](#page-8-6), [8\]](#page-8-7). However, the relationship between irregular protrusion and slow flow during PCI is unknown. Clarifying the factors that cause irregular protrusion is important for avoiding cardiac events and slow flow during PCI, and might, therefore, enable clinicians to improve procedural strategy and medical therapy. The aims of this study are (1) to evaluate the clinical, procedure characteristics, and plaque characteristics of irregular protrusion (2) to identify slow flow during PCI of irregular protrusion, and (3) to investigate the clinical outcomes dur- $\boxtimes$  Hideo Amano<br>
ing follow-up period of irregular protrusion.

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#### **Methods**

#### **Patient population**

Between February 2012 and June 2018, we performed PCI for 1443 lesions in 1081 patients for de novo coronary stenosis at Toho University Faculty of Medicine. The present study consecutively enrolled 110 lesions in 100 patients treated by PCI for de novo coronary stenosis that underwent OCT examinations before and after PCI. The exclusion criteria were as follows: (1) left main trunk disease (2) chronic total occlusion (3) cardiogenic shock (4) tortuous or calcified vessels with expected difficulty in advancing the OCT catheter (5) large vessel expected limitation in OCT imaging (6) the presence of large amounts of thrombus (7) congestive heart failure with left ventricular ejection fraction  $< 40\%$ , and (8) renal insufficiency with baseline serum creatinine  $> 1.5$  mg/dl. This study was performed in accordance with the Code of Federal Regulations and the Declaration of Helsinki. This protocol was approved by the Toho University Omori Medical Center Ethics Committee (institutional review board approval number 25-97). Written informed consent was obtained from each patient before the study.

Non-ST-elevation acute coronary syndromes included non-ST-elevation myocardial infarction (NSTEMI) and unstable angina pectoris (UAP). NSTEMI was defned as ischemic symptoms with elevated troponin level and myocardial ischemia diagnosed by ST-T segment shift [[9\]](#page-8-8). UAP was defned as new-onset angina, progressive angina, or angina at rest within 2 weeks. In patients with stable angina pectoris, the culprit vessel was considered to be the ischemia-related vessel identifed by exercise or pharmacologic stress test. Dyslipidemia was defned as low-density lipoprotein cholesterol  $\geq 140$  mg/dl, highdensity lipoprotein cholesterol < 40 mg/dl, triglycerides  $\geq$  150 mg/dl, or medication use. Follow-up period of clinical outcomes was 12 months. The end of follow-up in the present study was December 2018. Major adverse clinical outcomes were defned as death, acute myocardial infarction, acute coronary syndrome, or target lesion revascularization.

#### **PCI procedure**

All patients received aspirin (100 mg/day), and clopidogrel (75 mg/day) or prasugrel (3.75 mg/day, approved daily dose in Japan) before PCI. Intravenous heparin (100 U/kg) and intracoronary nitrates were administered at the beginning of the procedure. After initial angiography, OCT was performed. All patients underwent coronary

stent implantation with pre-dilatation. Thrombolysis in myocardial infarction (TIMI) flow grade was assessed as described previously  $[10]$  $[10]$ . The angiographic slow flow was defined as a decrease of at least 1 grade in TIMI flow immediately after stent implantation compared with before stent implantation or fnal TIMI fow grade 0, 1 or 2, with no evidence of thrombus, spasm, or dissection. Platelet glycoprotein IIb/IIIa receptor inhibitors were not used because they are not available in Japan. The stents with a strut thickness < 100 μm were classifed as thin, whereas stents with a strut thickness  $\geq 100 \ \mu m$  were classified as thick [[11](#page-8-10)].

#### **OCT procedure and analysis**

The frequency-domain OCT system (C7-XR, OCT Intravascular Imaging System; St Jude Medical, St Paul, MN, USA) was used. Before PCI, a 2.7F OCT imaging catheter (Dragonfy; LightLab Imaging, Inc, Westford, MA, USA) was advanced distal to the lesion, and automated pullback was performed with blood clearance by injection of contrast medium or dextran. The OCT data were stored digitally and analyzed using the ILUMIEN OCT imaging system (St Jude Medical). Off-line analyzes were performed by two observers blinded to the patients' clinical data. Any discrepancies between the observers were resolved by consensus. For quantitative evaluation, cross-sectional OCT images were analyzed for the following parameters: the minimal lumen cross-sectional area, reference area (within 5 mm of the proximal and distal edges), and the minimal and maximal stent area.

Qualitative analysis was performed as described previous studies [[12](#page-9-0), [13\]](#page-9-1). Lipid plaque was defned as a low-signal region with difuse border. Lipid-rich plaque was defned as a plaque included in a lipid arc with one or more quadrants. TCFA was defned as lipid-rich plaque with fbrous cap thickness  $< 65 \mu m$ . Plaque rupture was defined as the presence of a fbrous cap discontinuity and a cavity formation in the plaque. Thrombus was defned as a mass attached to the luminal surface or foating within the lumen. Macrophage accumulation was defned as high-intensity and signal-rich linear regions with sharp attenuation (Fig. [1](#page-2-0)). Intraplaque neovessel was defned as a small black hole or a tubular structure within a plaque. Internal running vasa vasorum was defned as intraplaque neovessels running from the adventitia to plaque without a connection to the vessel lumen recognized on three consecutive cross-sectional OCT images (Fig. [1\)](#page-2-0) [[14\]](#page-9-2). For qualitative analysis of post-PCI, all cross-sectional images within the entire stent length and 5-mm persistent segments were analyzed. Irregular protrusion was defned as protrusion of material with an irregular surface into the lumen between stent struts with a maximum height of  $\geq 100 \,\text{\mu m}$  (Figs. [1,](#page-2-0) [2](#page-2-1)) [\[3](#page-8-2), [15](#page-9-3)].



<span id="page-2-0"></span>**Fig. 1** Representative optical coherence tomography images of internal running vasa vasorum, macrophage accumulation and irregular protrusion. Cross-sectional optical coherence tomography images in the right coronary artery (**a**–**d**). **a**–**c** Pre-stent consecutive images. Multiple internal running vasa vasorum (white arrows) are located

at 2 o'clock position. The intraplaque neovessels are running from the adventitia to plaque. **d** Pre-stent consecutive image. Macrophage accumulation (white arrow) is located at 2 o'clock position. **e** Poststent image. Irregular protrusion is seen at 7 o'clock (white arrowhead). The lesion caused slow flow after stent implantation

<span id="page-2-1"></span>**Fig. 2** Representative optical coherence tomography images of ruptured plaque, macrophage accumulation and irregular protrusion. Cross-sectional optical coherence tomography images in the right coronary artery (**a**, **b**). **a** Pre-stent image. The culprit lesion has a ruptured plaque (white arrow). **b** Pre-stent image. Macrophage accumulation (white arrow) is located at 11 o'clock position. **c** Post-stent image. Irregular protrusion is seen between 3 and 6 o'clock (white arrowheads). The lesion caused slow flow after stent implantation



#### **Statistical analysis**

Statistical analysis was performed using SPSS version 24.0 (SPSS, Inc., Chicago, IL, USA). Continuous data were expressed as mean  $\pm$  SD. Categorical data were presented as number (percentage). The normality of the data was verifed by the Kolmogorov–Smirnov test. Continuous data were compared using unpaired Student's *t* test for normally distributed values, or Mann–Whitney *U* test for non-normally distributed values. Categorical variables were compared with the Chi-square test or Fisher's exact test. The change of TIMI fow from pre-stenting to post-stenting was evaluated by Wilcoxon's matched-pair signed-rank test. The Kaplan–Meier method was used for building event curves, and the event-free curves of the groups were compared by the log-rank test. Independent predictors of irregular protrusion were identifed by multivariable logistic regression and expressed as odds ratios and their 95% confdence intervals (CI). We selected the variables with a  $P$  value  $\lt 0.05$  in univariate models and included them in multivariable models. *P* values of < 0.05 were considered statistically significant.

## **Results**

We excluded cases as follows: (1) vein graft lesions  $(n=1)$ (2) large vessel  $(n=5)$ , and (3) poor OCT images  $(n=20)$ . Finally, we analyzed 84 lesions in 76 patients for this study. Lesions with irregular protrusion were found in 56% (47/84). The clinical and procedure characteristics are shown in Table [1.](#page-4-0) There were no signifcant diferences between the two groups with regard to age, gender, coronary risk factors, clinical presentation, renal function, hemoglobin A1c, regimen of antiplatelet drug, or distribution of the culprit coronary artery. Compared with patients without irregular protrusion, those with irregular protrusion showed signifcantly higher low-density lipoprotein cholesterol (LDL-C) levels  $(108 \pm 31 \text{ mg/dl vs. } 95 \pm 25 \text{ mg/dl}, P = 0.044)$  and a tendency toward lower use of statins [44% (19/43) vs. 67% (22/33), *P*=0.065]. Angiographic and procedure characteristics are shown in Table [2](#page-5-0). Compared with lesions without irregular protrusion, those with irregular protrusion showed significantly larger reference vessel diameter  $(3.12 \pm 0.53 \text{ mm})$ vs.  $2.74 \pm 0.63$  mm,  $P = 0.004$ ), significantly larger stent diameter  $(3.23 \pm 0.43 \text{ mm} \text{ vs. } 3.00 \pm 0.49 \text{ mm}, P = 0.025)$ , a tendency toward longer total stent length  $(29.3 \pm 14.2 \text{ mm})$ vs.  $23.7 \pm 11.4$  mm,  $P = 0.056$ ), significantly larger maximum balloon diameter  $(3.56 \pm 0.55 \text{ mm} \text{ vs. } 3.22 \pm 0.63 \text{ mm}$ ,  $P=0.010$ ), significantly more frequent slow flow after stent implantation [38% (18/47) vs. 11% (4/37), *P*=0.006]. In lesions with irregular protrusion, TIMI flow was significantly shifted from  $3.0 \pm 0.2$  before stenting to  $2.5 \pm 0.7$  after stenting  $(P < 0.001$ ; Fig. [3](#page-6-0)).  $\Delta$ TIMI flow from pre-stenting to post-stenting was signifcantly higher in lesions with irregular protrusion than in lesions without irregular protrusion  $(0.4 \pm 0.6 \text{ vs. } 0.1 \pm 0.3, P = 0.009)$ . OCT findings are shown in Table [3.](#page-6-1) Compared with lesions without irregular protrusion, those with irregular protrusion showed a signifcantly higher incidence of lipid-rich plaque [70% (33/47) vs. 35% (13/37), *P*=0.002], TCFAs [49% (23/47) vs. 5% (2/37), *P*<0.001], plaque rupture [40% (19/47) vs. 16% (6/37), *P*=0.018], macrophage accumulation [51% (24/47) vs. 24% (9/37), *P*=0.015], internal running vasa vasorum [51% (24/47) vs. 11% (4/37), *P* < 0.001], and thrombus [32% (15/47) vs. 3% (1/37), *P*<0.001]. One-year clinical outcomes were shown in Table [4](#page-6-2) and Fig. [4](#page-7-0). Compared with patients without irregular protrusion, those with irregular protrusion showed a tendency higher incidence of major adverse clinical outcomes [12% (5/43) vs. 0% (0/33), *P*=0.075]. Angiographic, procedural and optical coherence tomography predictors of irregular protrusion by univariate and multivariable logistic regression shown in Table [5.](#page-7-1) The multivariable analysis showed that TCFA was an independent predictor of irregular protrusion (odds ratio 9.00, 95% CI 1.32–61.36,  $P = 0.025$ ).

The representative OCT images in a case with irregular protrusion are shown in Figs. [1](#page-2-0), [2](#page-2-1).

## **Discussion**

## **Relationship between irregular protrusion and clinical characteristics**

Patients who had lesions with irregular protrusion had higher LDL-C levels and lower use of statins. Previous OCT study reported that plaque protrusion is associated with higher LDL-C levels [\[16\]](#page-9-4). However, there are few reports regarding the relation between irregular protrusion and use of statins. LDL-C levels are associated with plaque burden, major cardiac events, and plaque vulnerability [[17–](#page-9-5)[19](#page-9-6)]. Large plaque burden and vulnerable plaque are associated with a high incidence of plaque protrusion [[16](#page-9-4), [20\]](#page-9-7). In our study, patients who had lesions with irregular protrusion had larger reference vessel diameters and more TCFAs; therefore, it is thought that LDL-C was associated with irregular protrusion. Pathological studies reported that stent strut penetration into a lipid core is associated with increased neointimal growth and infammation of the lesions [\[1](#page-8-0)]. Statins improve LDL-C levels and furthermore stabilize lesion infammation. Intravascular ultrasound (IVUS), OCT, and angioscopy studies reported that the decrease in serum LDL-C levels with statin therapy is associated with de novo coronary plaque volume reduction and stabilization of vulnerable plaques [[21–](#page-9-8)[23](#page-9-9)]. Therefore, it is thought that irregular protrusion was associated with the use of statin in our study. Medical <span id="page-4-0"></span>**Table 1** Baseline clinical characteristics



therapy with statins before stent implantation may reduce irregular protrusion.

## **Relationship between irregular protrusion and procedure characteristics**

Lesions with irregular protrusion also had larger reference vessel diameter, larger stent diameter, longer total stent length, and larger maximum balloon diameter. It has been reported that a larger reference vessel diameter, larger stent diameter, and longer stenting are associated with plaque protrusion on IVUS and OCT [\[15,](#page-9-3) [16](#page-9-4), [20](#page-9-7)]. A larger reference vessel diameter and long lesion contain a higher plaque burden. Lesions with a higher plaque burden need to dilate by larger balloon and stent and hence cause deeper vessel wall injury and thrombus. It was reported that more aggressive stent dilatation is prone to plaque protrusion [[24](#page-9-10)]. In addition, another study reported that long stenting induces uneven distribution of infation pressure, which leads to plaque protrusion [\[20](#page-9-7)]. It was reported that plaque protrusion is associated with stent strut thickness [\[24](#page-9-10)]. However, there were no signifcant diferences in strut thickness in our study. Almost all stents used in our study had a thin strut.

<span id="page-5-0"></span>**Table 2** Angiographic and



# **Relationship between irregular protrusion and plaque characteristics**

In our study, patients with lesions with irregular protrusion showed a higher incidence of lipid-rich plaque, TCFAs, macrophage accumulation, internal running vasa vasorum, thrombus, and plaque rupture. In addition, TCFA was an independent predictor of irregular protrusion. It is thought that the frst mechanism of irregular protrusion is thrombus, which is originally present in the lumen and penetrates through the stent strut. The second mechanism of irregular protrusion is penetration of the lipid or necrotic core, and the third mechanism is breakage of the fbrous cap and penetration of the necrotic core leading to thrombus [[1,](#page-8-0) [2](#page-8-1), [25](#page-9-11)]. An OCT study reported that irregular protrusion was associated with lipid-rich plaque, TCFA, and thrombus [\[15](#page-9-3)]. Our study is



<span id="page-6-0"></span>Fig. 3 (I) Change of TIMI flow from pre-stenting to post-stenting. (1) Irregular protrusion (+). (2) Irregular protrusion (−). (II) Comparison of ΔTIMI fow from pre-stenting to post-stenting according to the

presence of irregular protrusion. ΔTIMI fow is signifcantly higher in lesions with irregular protrusion than in lesions without irregular protrusion  $(0.4 \pm 0.6 \text{ vs. } 0.1 \pm 0.3, P = 0.009)$ 

Variable	Irregular protrusion		P value
	Yes $(n=47)$	No $(n=37)$	
Pre-stenting			
Minimal lumen cross-sectional area $\text{(mm}^2)$	$1.8 \pm 0.8$	$1.5 \pm 0.8$	0.074
Plaque characteristics			
Lipid-rich plaque	33 (70%)	13 (35%)	0.002
Thin-cap fibroatheroma	23 (49%)	2(5%)	< 0.001
Plaque rupture	19 (40%)	6(16%)	0.018
Macrophage accumulation	24 (51%)	9(24%)	0.015
Internal running vasa vasorum	24 (51%)	$4(11\%)$	< 0.001
<b>Thrombus</b>	15 (32%)	1(3%)	< 0.001
Post-stenting			
Proximal reference area $\text{(mm}^2)$	$8.72 \pm 3.50$	$7.52 \pm 3.65$	0.130
Distal reference area $\text{(mm}^2)$	$7.11 \pm 3.44$	$6.30 \pm 2.81$	0.250
Minimal stent cross-sectional area $\text{(mm}^2)$	$6.43 \pm 2.33$	$5.78 \pm 2.49$	0.221
Maximal stent cross-sectional area $\text{(mm)}^2$ )	$9.60 \pm 2.79$	$8.22 \pm 3.55$	0.050

<span id="page-6-2"></span>**Table 4** One-year clinical outcomes

<span id="page-6-1"></span>**Table 3** Optical coherence tomography fndings



consistent with previous studies. Pathological studies reported that necrotic core protrusion or lipid core penetration is associated with early stent thrombosis or in-stent restenosis [\[1](#page-8-0), [2](#page-8-1)]. Medial damage or penetration of the stent into a lipid core induces arterial infammation and hence increases neointimal growth. A strategy to reduce irregular plaque protrusion might be needed to avoid stent thrombosis and restenosis.

# **Relationship between irregular protrusion and slow fow after stent implantation**

Slow flow after stent implantation frequently occurred in lesions with irregular protrusion. It is reported that tissue <span id="page-7-0"></span>**Fig. 4** Kaplan–Meier curves of the major adverse clinical outcomes during the follow-up period according to the presence or absence of irregular protrusion. Compared with patients without irregular protrusion, those with irregular protrusion showed a tendency higher incidence of major adverse clinical outcomes [12% (5/43) vs. 0%  $(0/33)$ ,  $P=0.075$ ]



<span id="page-7-1"></span>**Table 5** Predictors of irregular protrusion by univariate and multivariable logistic regression in angiographic, procedural and optical coherence tomography characteristics



protrusion on OCT is associated with the no-refow phenomenon [[16\]](#page-9-4). However, the relationship between irregular protrusion and slow flow during PCI has not been described. Lesions with irregular protrusion showed large reference vessel and stent diameter as well as long stent length; therefore, it is thought that lesions with irregular protrusion have a large volume of plaque. IVUS studies have reported that larger plaque volume and reduction of plaque volume between pre- and post-PCI are associated with slow flow during PCI  $[26, 27]$  $[26, 27]$  $[26, 27]$  $[26, 27]$  $[26, 27]$ . Lesions with irregular protrusion had a large number of TCFAs, lipid-rich plaque, and internal running vasa vasorum on OCT. TCFA, large volume of lipid plaque, and internal running vasa vasorum on OCT are frequently observed in lesions with no refow

phenomenon during PCI [\[7](#page-8-6), [8,](#page-8-7) [28\]](#page-9-14). It has been reported that TCFA has a large necrotic core, and pathological studies have shown the necrotic core to contain a lipid deposition with foam cells, intramural bleeding, cholesterol crystals, and microcalcifcations [\[29\]](#page-9-15), which easily cause microembolization by mechanical destruction of balloon dilatation. In addition, Virmani et al. reported that ruptured vasa vasorum form intraplaque hemorrhages [\[30](#page-9-16)]. Balloon dilatation might cause the iatrogenic creation of vasa vasorum rupture and formation intraplaque hemorrhages. If the plaque characteristics of the protrusion on OCT are evaluated, the material of the embolization might be predicted, allowing us to choose the strategy for the slow fow (e.g., distal protection, antithrombotic agent, or statin before PCI).

# **Relationship between irregular protrusion and clinical outcome**

Patients with irregular protrusion showed a tendency higher incidence of major adverse clinical outcomes. There are several reports about the relation between plaque protrusion and clinical outcomes. In some OCT studies, there are no significant relationships between plaque protrusion and clinical events  $[16, 31]$  $[16, 31]$  $[16, 31]$  $[16, 31]$  $[16, 31]$ . The definitions of plaque protrusion in these reports are diferent from our report. Irregular protrusion shows more severe vessel injury than smooth protrusion. Therefore, irregular protrusion might infuence clinical events in our study. An OCT study reports that irregular protrusion is associated with major adverse cardiac events [[3\]](#page-8-2). This fnding is consistent with our study. Penetration of the lipid core into the stent strut is associated with neointimal growth and stent thrombosis in pathological studies [\[1,](#page-8-0) [2\]](#page-8-1). Therefore, irregular protrusion might increase the risk for stent restenosis. In addition, lesions with irregular protrusion had more vulnerable plaques in our study. In a virtual histology IVUS report, TCFAs is associated with major adverse cardiac events during follow-up period [[32](#page-9-18)]. TCFAs might occur plaque rupture and develop acute coronary syndrome or acute myocardial infarction. Because irregular protrusion had adverse clinical outcomes, a strategy to reduce irregular protrusion might be needed.

## **Limitations**

There are several limitations of our analysis. First, this was a retrospective and single-center study that enrolled a limited number of patients who were able to undergo OCT evaluation. Second, the treatment strategy and the OCT procedure were performed at the discretion of the physician; therefore, a potential selection bias exists. Third, our classifcation of irregular protrusion in this study is novel, and we have not investigated the correlation between irregular protrusion on OCT and histopathologic fndings. It is necessary to study the consistency between OCT fndings and pathology.

# **Conclusions**

Irregular protrusion on OCT was associated with high plaque vulnerability, higher LDL-C, less frequent use of statin, larger vessel diameter, slow flow after stent implantation, and 1-year adverse clinical outcomes.

## **Compliance with ethical standards**

**Conflict of interest** The authors do not have any potential conficts of interest associated with this paper.

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