ORIGINAL ARTICLE

Sex‑related diferences in serum matrix metalloproteinase‑9 screening non‑calcifed and mixed coronary atherosclerotic plaques in outpatients with chest pain

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Abstract The objective of this study is to evaluate the clinical feasibility of serum matrix metalloproteinase-9 (MMP-9) for screening plaque composition as assessed by coronary computed tomography angiography (CCTA) in outpatients with chest pain,and the efects of sex on this feasibility. Eight hundred and sixty-two consecutive outpatients with chest pain were divided into three groups according to the results of CCTA: non-plaque (NP, $n = 474$), calcified plaques (CPs, $n = 179$), non-calcified and mixed plaques (NCPs and MPs, $n = 209$). We found that serum MMP-9 levels were signifcantly higher in patients with NCPs and MPs compared to those with either NP or CPs, especially in women $(649.7 \pm 279.8 \text{ vs.})$ 485.7 ± 231.6 ng/mL or 515.7 ± 274.5 ng/mL, $P < 0.001$). MMP-9 showed better identifcation of NCPs and MPs than other related factors and was an independent predictor for NCPs and MPs both in women and men. The receiver operating characteristic analysis indicated a substantial superiority in women with area under the curve of 0.75 (95% CI 0.69–0.82, $P < 0.01$), compared with men of 0.59 (95%) CI 0.53–0.65, $z = 3.71$, $P < 0.01$). The diagnostic tests

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revealed a moderate risk of the presence of NCPs and MPs with MMP-9 \geq 531.6 ng/mL in female patients.

Keywords Matrix metalloproteinase-9 · Coronary atherosclerosis · Non-calcifed and mixed plaques · Sex diference · Screening marker

Introduction

Atherosclerosis remains the primary cause of coronary artery disease (CAD), one of the most common causes of death worldwide. In addition, atherosclerotic plaque rupture causes 75% of episodes of acute coronary syndrome (ACS) according to postmortem studies [[1\]](#page-6-0). Lipid-enriched noncalcifed and mixed plaques are associated with increased coronary event rates as well as plaque volume progression; therefore, they are considered to be high-risk and more prone to rupture [\[2](#page-6-1), [3\]](#page-6-2). Furthermore, Hou et al. [[4\]](#page-6-3) demonstrated that the probability of 3-year major adverse cardiac events (MACE) increased signifcantly with a probability of 22.7% for non-calcifed plaques (NCPs) and 37.7% for mixed plaques (MPs), compared to 5.5% for calcifed plaques (CPs). However, current imaging examinations used to identify high-risk atherosclerotic plaques, including optical coherence tomography (OCT) [\[5](#page-6-4)], intravascular ultrasound (IVUS) and computed tomography angiography (CTA) [[6\]](#page-6-5), are not suitable for large-scale screening due to the invasive nature or the high cost of these procedures. In contrast, early detection of serological markers is a preferred screening method as it is easy to perform and low cost.

Matrix metalloproteinases (MMPs), a family of zincdependent proteases, are involved in the breakdown of extracellular matrix in physiological processes, such as

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embryonic development and angiogenesis, as well as in pathological processes, such as cancer and cardiovascular diseases [[7–](#page-6-6)[9\]](#page-6-7). Matrix metalloproteinase-9 (MMP-9) mainly degrades type IV collagen and fbronectin and contributes to both the formation as well as destabilization of atherosclerotic plaques [[10,](#page-6-8) [11](#page-6-9)]. Since Wagsater et al. [[12\]](#page-6-10) reported that MMP-9 is involved in atherogenesis in mice, many studies have revealed its association with plaque vulnerability [[13,](#page-6-11) [14](#page-6-12)]. However, the value of MMP-9 in identifying high-risk coronary plaques in daily clinical practice remains to be explored.

In the present study, we explored the possibility of using serum MMP-9 as a biomarker to identify patients with coronary atherosclerotic NCPs and MPs in a consecutive cohort and the efects of sex on this feasibility.

Methods

Study population

Eight hundred and sixty-two consecutive outpatients who underwent coronary computed tomography angiography (CCTA) due to stable typical or atypical chest pain were recruited from July 2012 to February 2014 in Fuwai Hospital. Exclusion criteria were any recent surgeries impacting accurate measurement, such as percutaneous coronary intervention (PCI), coronary artery bypass grafting (CABG), or heart transplantation. After completing a brief questionnaire, the study subjects provided blood samples for tests, including conventional biochemical analysis and measurement of serum MMP-9 levels. The protocol of this study was carried out according to the principles of the Declaration of Helsinki and approved by the ethics review board of Fuwai Hospital. Written informed consent was obtained from each individual.

Data acquisition

Scans were performed using a 64-row spiral computed tomographic scanner (Light Speed VCT; GE Healthcare, Milwaukee, WI, USA). The main scanning parameters were as follows: 64 detectors; individual detector width, 0.625 mm; gantry rotation time, 350 ms; tube voltage, 120 kV; electrocardiographically modulated tube current; pitch, 0.16–0.22; table feed per rotation, 400 mm; and feld of view, 200–250 mm. The images were transferred to a stand-alone workstation (Deep Blue, ADW 4.3; GE Healthcare, Little Chalfont, UK), and evaluated by radiology staf blinded to serum MMP-9 levels using dedicated analysis software.

Image analysis

The scans were retrospectively analyzed on the workstation and atherosclerotic plaques were classifed as calcifed, non-calcifed or mixed as described previously [\[15](#page-6-13)]. Briefy, lesions with attenuation values (measured by HU) >130 HU were classifed as calcifed plaques. Structures clearly assignable to the vessel wall (in at least two views) with densities less than the lumen contrast were classifed as non-calcifed plaques, composed exclusively of those ≤130 HU. Mixed plaques comprised both calcifed and non-calcifed components. If more than one type of plaque was present, the characteristic of the most stenotic plaques was recorded for statistical analysis [\[16](#page-7-0)]. Notably, we combined the NCPs $(n = 112)$ and MPs $(n = 97)$ groups (NCPs) and MPs) for statistical analysis because there were too few patients in each group.

Assessment of CAD risk factors

Conventional coronary risk factors, such as hypertension, diabetes mellitus, and family history, were assessed. Hypertension was defned as systolic blood pressure ≥140 mmHg and/or diastolic blood pressure ≥ 90 mmHg, or antihypertensive medication use. Diabetes mellitus was defned as receiving insulin or oral hypoglycemic therapy, fasting glucose of \geq 126 mg/dL, or non-fasting glucose of \geq 200 mg/ dL. Family history of CAD was defned as myocardial infarction (MI), coronary revascularization, or sudden cardiac death for father <55 years of age or mother <65 years of age.

Laboratory procedures

Blood samples were stored at −80 °C until analysis. Serum levels of MMP-9 were determined using a commercial enzyme-linked immunosorbent assay kit (R&D Systems, Minneapolis, MN, USA) according to the manufacturer's instructions. The intra-assay coefficient of variation for MMP-9 was $\langle 3.0\%$, and the inter-assay coefficient of variation was <8.0%. Serum total cholesterol (TC), low-density lipoprotein cholesterol (LDL-C), high-density lipoprotein cholesterol (HDL-C), triglycerides (TG), high-sensitivity c-reactive protein (Hs-CRP), creatinine (CREA), apolipoprotein A1 (ApoA1) and apolipoprotein B (ApoB) were measured using an automatic chemistry analyzer (UniCel DxC 800 Synchron, Beckman Coulter, Brea, CA, USA) in the Laboratory Medicine Centre of Fuwai Hospital.

Statistical analysis

All statistical analyses were performed using SPSS version 17.0 (SPSS, Inc., Chicago, IL, USA). For descriptive analysis, continuous variables are expressed as mean \pm standard deviation and categorical variables as percentages. Comparisons among the groups were performed using the Chi-square test or one-way ANOVA. Bivariate correlation analysis was performed to evaluate unadjusted associations between MMP-9 and other variables. Multiple logistic regression was used to assess the association of serum MMP-9 levels with atherosclerotic plaque type, adjusting for the other covariates. Receiver-operating characteristic (ROC) curves were used to evaluate the screening ability of MMP-9 for NCPs and MPs. Youden's index (YI) was calculated as (sensitivity $+$ specificity -1) to determine the optimal cutoff point. Screening accuracy for various cutoff points was evaluated by sensitivity (Sen), specificity (Spe), and positive and negative likelihood ratio $(LR+$ and $LR-$).

Results

Characteristics of study participants

Eight hundred and sixty-two consecutive subjects (516 men, 346 women; mean age 53.7 ± 9.3 years) with stable typical or atypical chest pain underwent CCTA. Baseline characteristics of the study population are shown in Table [1](#page-2-0). In patients with NCPs and MPs, men accounted for a greater proportion than in those with CPs (70.3 vs. 60.9%, $P = 0.001$). Hs-CRP was significantly higher in patients with NCPs and MPs, as compared to those with CPs (1.84:0.98–3.76 vs. 1.62:0.83–3.20, *P* = 0.009). More patients in the NCPs and MPs group had at least one coronary artery stenosis $>50\%$ than those in the CPs group (46.4 vs. 16.9%, *P* < 0.001).

Data are presented as mean \pm SD or percentages (number) or median (interquartile range)

P value was calculated by ANOVA or χ^2 test

Bold value indicates *P* values < 0.05

NP non-plaque, *CPs* calcifed plaques, *NCPs* non-calcifed plaques, *MPs* mixed plaques, *BMI* body mass index, *HTN* hypertension, *DM* diabetes mellitus, *CAD* coronary artery disease, *TC* overall cholesterol, *HDL-C* high-density lipoprotein cholesterol, *LDL-C* low-density lipoprotein cholesterol, *TG* triglycerides, *Hs-CRP* high-sensitivity c-reactive protein, *CREA* creatinine, *ApoA1* apolipoprotein A1, *ApoB* apolipoprotein B

a Afected coronary branches including left main coronary artery, left anterior descending, left circumfex coronary artery and right coronary artery

Table 1 Characteristics of study participants according to plaque morphology

Table 2 Correlation of serum MMP-9 levels with risk factors for atherosclerosis

Variables	r	\boldsymbol{P}	
Age (years)	-0.091	0.008	
Sex	0.183	< 0.001	
BMI (kg/m ²)	0.035	0.339	
HTN	-0.010	0.773	
DM	-0.021	0.536	
Family history of CAD	0.022	0.510	
Laboratory profile			
TC (mmol/L)	-0.048	0.156	
TG (mmol/L)	0.036	0.292	
$HDL-C$ (mmol/L)	-0.077	0.023	
$LDL-C$ (mmol/ L)	0.075	0.028	
$Hs-CRP$ (mg/dL)	0.151	< 0.001	
CREA (µmol/L)	0.131	< 0.001	
CT data			
Stenosis	-0.118	0.778	
Affected branch	-0.113	0.026	

Values are presented as correlation coefficient (r)

Bold value indicates *P* values < 0.05

Table 3 Odds ratio and 95% confdence interval of NCPs and MPs associated with MMP-9

Variables	OR	95% CI	P	
Overall				
$MMP-9a$	1.03	$1.02 - 1.05$	${<}0.001$	
Sex^b	3.84	$1.40 - 10.51$	0.009	
Women				
$MMP-9a$	1.05	$1.04 - 1.02$	${<}0.001$	
Men				
$MMP-9a$	1.02	$1.01 - 1.04$	0.01	

Bold value indicates P values < 0.05

a Adjusting for age, sex, BMI, hypertension, diabetes mellitus, family history of CAD, stenosis, branch, TC, TG, HDL-C, LDL-C, Hs-CRP, CREA

^bAdjusting for age, BMI, hypertension, diabetes mellitus, family history of CAD, stenosis, branch, MMP-9, TC, TG, HDL-C, LDL-C, Hs-CRP, CREA

Correlation between serum MMP‑9 levels and cardiovascular risk factors

With Spearman correlation analysis, serum MMP-9 levels correlated positively with sex ($r = 0.183$, $P < 0.001$), LDL-C $(r = 0.075, P = 0.028)$, and inflammation-related factors such as Hs-CRP $(r = 0.151, P < 0.001)$ and CREA $(r = 0.131, P < 0.023)$, yet negatively with age (*r* = −0.091, *P* = 0.008), HDL-C (*r* = −0.077, *P* = 0.023), and affected coronary branches $(r = -0.113, P = 0.026)$ (Table [2](#page-3-0)). No correlations were found between MMP-9 and BMI, TC, TG, hypertension, diabetes mellitus, family history of CAD, or even severity of coronary stenosis. Multiple logistic regression analysis was performed to determine whether the correlations between MMP-9 and NCPs and MPs observed on univariate analysis were signifcant after controlling for potential confounding factors. Multiple logistic regression in Table [3](#page-3-1) indicated that MMP-9 was an independent predictor of NCPs and MPs after adjustment for age, sex, BMI, hypertension, diabetes mellitus, family history of CAD, stenosis, branch, TC, TG, HDL-C, LDL-C, Hs-CRP, CREA.

Changes in serum levels of MMP‑9 according to sex

We found that serum MMP-9 levels in the NP, CPs and NCPs and MPs groups increased gradually in the overall population $(533.7 \pm 243.8 \text{ vs. } 588.4 \pm 270.1 \text{ vs. } 588.$ 646.4 \pm 267.2 ng/mL, $P < 0.001$). Furthermore, after stratifcation by sex, the increase of MMP-9 in the NCPs and MPs group was more prominent in women (649.7 ± 279.8) vs. 485.7 ± 231.6 ng/mL, $P < 0.001$), compared with in men $(644.9 \pm 262.7 \text{ vs. } 573.1 \pm 247.0 \text{ ng/mL}$, $P < 0.01$) or in the overall population (646.4 \pm 267.2 vs. 533.7 \pm 243.8 ng/mL, $P < 0.001$) (Fig. [1](#page-4-0)).

Identifcation performance of serum MMP‑9 levels for NCPs and MPs

ROC curves and area under the curve (AUC) were generated to evaluate diagnostic values. As shown in Fig. [2](#page-5-0)a, among multiple variables related to atherosclerosis, the AUC value of circulating MMP-9 for identifying NCPs and MPs was highest in the overall population, with 0.66 (95% CI 0.61–0.70, *P* < 0.001) vs. 0.51 (95% CI 0.46–0.55, $P = 0.712$ for TC, 0.53 (95% CI 0.48–0.57, $P = 0.251$) for TG, 0.51 (95% CI 0.46–0.55, $P = 0.508$) for HDL-C. 0.51 (95% CI 0.46–0.55, *P* = 0.765) for LDL-C, 0.57 (95% CI 0.52–0.62, $P = 0.003$) for Hs-CRP, and 0.58 (95% CI 0.53–0.62, $P = 0.001$) for CREA. Importantly, there was a substantial superiority of the diagnostic capability of MMP-9 for NCPs and MPs in women with $AUC = 0.75$ (95% CI 0.69–0.82, *P* < 0.001), compared with the overall population of 0.66 (95% CI 0.61–0.70, *z* = 2.45, *P* < 0.05) and men of 0.59 (95% CI 0.53–0.65, *z* = 3.71, *P* < 0.01) (Fig. [2](#page-5-0)b, c). No signifcant diference was found between men and the overall population ($z = 1.81$, $P > 0.05$).

Diagnostic accuracy of serum MMP‑9 levels for NCPs and MPs

The optimal cutoff points were determined by the maxi-mum Youden index as shown in Table [4](#page-6-14). In overall patients,

Fig. 1 Comparison of MMP-9 levels among NP, CPs and NCPs and MPs groups in the overall population, women and men. The serum level of MMP-9 in the NCPs and MPs patients was signifcantly higher than that in the NP group in the overall population $(646.4 \pm 267.2 \text{ vs. } 533.7 \pm 243.8 \text{ ng/mL}, P < 0.001)$ and in men $(644.9 \pm 262.7 \text{ vs. } 573.1 \pm 247.0 \text{ ng/mL}, P < 0.01)$. In women,

serum level of MMP-9 in the NCPs and MPs patients increased significantly compared with NP and CPs patients (649.7 \pm 279.8 vs. 485.7 ± 231.6 vs. 515.7 ± 274.5 ng/mL, *P* < 0.001). **P* < 0.01, compared with non-plaque (NP) group. $P^*P < 0.01$, compared with calcifed plaques (CPs) group

MMP-9 was able to identify NCPs and MPs at cutoff value of 576.7 ng/mL with sensitivity of 78.2% and specifcity of 45.1%, LR+ of 1.42 (1.24–1.63) and LR− of 0.48 (0.35–0.64). Interestingly, quite a few increases in women were demonstrated with 85.0% sensitivity and 56.5% specificity, 1.95 (1.69–2.28) LR+ and 0.27 (0.18–0.37) LR− at cutoff value of 531.6 ng/mL. However, there were the poorer sensitivity of 34.9% and higher specifcity of 79.5% in men with diagnostic cutoff value of 630.7 ng/mL.

Discussion

The present study was designed to investigate the suitability of serum MMP-9 for identifying the characteristics of coronary atherosclerotic plaques in a hospital population undergoing CCTA owing to chest pain. Analysis of a large and single-centre consecutive cohort demonstrated that (1) MMP-9 is associated with plaque composition, with increased levels in patients with NCPs and MPs compared with the other groups; (2) there was a moderate value for MMP-9 in the diagnosis of NCPs and MPs in women, but not in men. Serum MMP-9 may be a promising approach for screening NCPs and MPs in health institutions in females, but not in males.

Lesions leading to ACS often have a large necrotic core containing abundant lipid material, and these are classifed as NCPs and MPs by CCTA. Hofmann et al. [\[16](#page-7-0)] reported that non-calcifed plaques were consistently present in culprit lesions and the incidence of ACS was higher than in those with calcifed plaques. Pundziute et al. [\[17](#page-7-1)] showed that the number of mixed plaques defned by MSCT (Multisice Computed Tomography) was a signifcant predictor of acute cardiovascular events. Hou et al. [[4\]](#page-6-3) performed a follow-up study of 5007 outpatients suspected of having CAD and found that the presence of plaques with noncalcifed and mixed components was more relevant to the occurrence of 3-year MACE than calcifed components, therefore, these were considered as high-risk plaques. Recent publications reported that MMP-9 was localized in macrophage-rich lesions and that expression was increased in atherosclerotic plaques compared with normal arteries or stable plaques [[18\]](#page-7-2). Similarly, some studies have indicated that peripheral blood levels of MMP-9 are elevated in patients with acute coronary syndromes [\[19](#page-7-3), [20\]](#page-7-4), or carotid artery atherosclerosis vulnerable plaques [\[21](#page-7-5), [22](#page-7-6)]. However, another minority view also appeared to suggest that serum MMP-9 levels had no association with plaque burden or morphology, as reported by Lehrke et al. [\[23](#page-7-7)]. Obviously, our results are consistent with the former view that high serum MMP-9 level is a signifcant predictor for unstable NCPs and MPs. Furthermore, the better diagnostic performance of MMP-9, in women than men, may provide clues to explain this controversy.

Kobayashi et al. $[24]$ $[24]$ showed that MMP-9 level was regarded as a biomarker of plaque vulnerability and had a high diagnostic value of AUC of about 0.88 for early ST elevation ACS, refecting plaque rupture or vulnerability. In our large-scale screening programs, the diagnostic performance of serum MMP-9 alone was poor in clinical practice. The discrepancy was due to the characteristics of the study population, with ACS symptoms occurring in Kobayashi's cohort and with unstable plaques before ACS occurring in ours. It is noteworthy that serum MMP-9 concentrations exhibit sexual dimorphism, with females having higher levels than males. In our study, MMP-9 levels

Fig. 2 Receiver operating characteristic (ROC) curve for non-cal-▶ cifed and mixed plaques in overall population (**a**), women (**b**) and men (**c**). The area under ROC curve in women with 0.75 (95% CI 0.68–0.82) increased signifcantly compared with overall population without stratification by sex with 0.66 (95% CI 0.61–0.70, $z = 2.45$, *P* < 0.05) and man with 0.59 (95% CI 0.53–0.65, *z* = 3.71, *P* < 0.01)

of patients with NPs and stable CPs were lower in women than men, while no diferences between the sexes were observed in patients with NCPs. Moreover, the ability of MMP-9 to identify unstable NCPs and MPs was better in women with $AUC = 0.75$ ($z = 3.71$, $P < 0.01$) compared with men, implying that MMP-9 has a better recognition ability for female patients as a marker of NCPs and MPs. Although MMP-9 was found to be a signifcant predictor for the presence of NCPs and MPs, the diagnostic capabil ity was unsatisfactory. However, the improved diagnostic performance in women with optimal cutofs suggests this might provide a new way of scoring risk to predict NCPs and MPs through sex stratifcation.

Atherosclerotic plaque destabilization is a maladap tive infammatory response of the arterial wall. The exact mechanisms of the association between MMP-9 and noncalcifed and mixed plaques remain to be illuminated, but infammation is one of the most important explanations. MMP-9 promotes matrix degradation, thus facilitating the entry of immune cells into lesion sites and promoting neo - vascularization [\[25](#page-7-9)]. In the meantime, collagen degradation of MMP-9 in the plaque makes the fbrous cap thin ner with subsequent activation of major atherogenic factors such as vascular endothelial growth factor [[26\]](#page-7-10). Together, this series of efects of MMP-9 eventually leads to plaque rupture [\[27](#page-7-11)]. Based on their roles in plaque stability, MMP inhibitors (MMPis) and gene therapy might be considered for clinical treatment [[28,](#page-7-12) [29](#page-7-13)]. With the increased under standing of plaque rupture mechanisms, more and more studies have focused on changes in circulating infamma tory biomarkers, such as C-reactive protein (CRP) [\[30](#page-7-14)], interleukin-6 (IL-6) [[31\]](#page-7-15), and oxidized low-density lipopro tein (Lp-PLA2) [\[32](#page-7-16)] as predictors of plaque instability and future vascular events. In our study, ROC analysis demon strated that serum MMP-9 levels provided better discrimi nation of NCPs and MPs than CRP and other infammatory factors.

Study limitations

Our study has several limitations. This cross-sectional study design cannot predict the rate of future cardiac events and a prospective design is required to confrm our

	AUC (95% CI)	$MMP-9$ (ng/mL)	Sen $(\%)$	Spe $(\%)$	$LR+$ (95% CI)	$LR - (95\% CI)$	YI
Overall	$0.66(0.61-0.70)$	576.7	78.2	45.1	$1.42(1.24 - 1.63)$	$0.48(0.35-0.64)$	0.23
Women	$0.75(0.69 - 0.82)$	531.6	85.0	56.5	$1.95(1.69-2.28)$	$0.27(0.18 - 0.37)$	0.41
Men	$0.59(0.53 - 0.65)$	630.7	34.9	79.5	$1.70(1.23 - 2.42)$	$0.82(0.73-0.92)$	0.15

Table 4 Diagnostic capabilities of serum MMP-9 for NCPs and MPs

95% CI 95% confdence interval, *Sen* sensitivity, *Spe* specifcity, *LR+* positive likelihood ratio, *LR−* negative likelihood ratio, *YI* Youden index

fndings. Also unfortunately we do not have information on smoking habits. However, results from a small number of populations show that MMP-9 is a factor independent of smoking, hypertension and diabetes, consistent with the fndings of Tayebjee [\[33](#page-7-17)].

In summary, serum MMP-9 levels were signifcantly and independently correlated with NCPs and MPs and its diagnostic performance increased in women compared with that in men. Furthermore, our observations highlight the potential utility of MMP-9 in screening for coronary atherosclerotic plaque characteristics in routine clinical practice.

Conclusions

High MMP-9 levels provide a moderate contribution to the diagnosis of non-calcifed and mixed plaques in women, but not in men. Serum MMP-9 level may be a promising approach for screening high-risk atherosclerosis patients in routine clinical practice through sex stratifcation.

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