ORIGINAL ARTICLE

Clinical significance of endomyocardial biopsy in conjunction with cardiac magnetic resonance imaging to predict left ventricular reverse remodeling in idiopathic dilated cardiomyopathy

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Abstract Endomyocardial biopsy (EMB) and cardiac magnetic resonance (CMR) are useful modalities to study the characteristics of myocardial tissue. However, the prognostic impact of both diagnostic tools to predict subsequent left ventricular reverse remodeling (LVRR) has not been well elucidated. A total of 187 consecutive patients with idiopathic dilated cardiomyopathy (IDCM) who were treated by optimal pharmacotherapy (OPT) and underwent EMB of the LV wall were investigated. The myocardial specimens were semiquantitatively evaluated measuring cardiomyocyte degeneration (CD), interstitial fibrosis (IF), and hypertrophy. In addition, late gadolinium enhancement (LGE)-CMR was performed in 78 (48 %) patients. Seventy-eight (48 %) patients developed LVRR, defined as a \geq 10 % increase in LV ejection fraction with a \geq 10 % decrease in indexed LV end-diastolic dimension at 12 months after OPT. Multivariate regression analysis revealed that CD ($P = 0.003$), but not IF ($P = 0.320$), was an independent predictor of LVRR. In the patients with not only EMB but also CMR, the CD score and LGE area were independent predictors of LVRR (odds ratios/*P* values 0.268/0.010, 0.855/<0.001, respectively). The patients with mild CD and negative LGE had a better achievement rate of LVRR than those with severe CD and positive LGE (74 vs. 19 %). A combination of CD score on EMB and LGE-CMR is useful to predict subsequent LVRR in IDCM patients.

Keywords Idiopathic dilated cardiomyopathy · Left ventricular reverse remodeling · Endomycardial biopsy · Cardiac magnetic resonance

Introduction

Idiopathic dilated cardiomyopathy (IDCM) is a common myocardial disease typically diagnosed by impaired left ventricular ejection fraction (LVEF) and LV dilation of unknown etiology. Heart failure (HF) management such as β-blockers (BB) [[1\]](#page-7-0) and CRT [\[2](#page-7-1)] has been shown to induce LV reverse remodeling (LVRR), characterized by a decrease in LV volume and improvement in pump function. The long-term management and stratification of these patients would benefit from the identification of reliable clinical predictors of LVRR to optimize the treatment strategy and patient prognosis.

A few studies attempted to identify clinical characteristics of IDCM predictive of LVRR developing in response to optimal pharmacotherapy (OPT). Merlo et al. [[3](#page-7-2)] found that higher baseline systolic blood pressure and the absence of left bundle branch block were predictors of LVRR in a large population of IDCM patients. Choi et al. [[4](#page-7-3)] found that higher systolic blood pressure, QRS duration <120 ms, the use of BB, and low midterm NT-proBNP levels were independent predictors of LVRR. Although LVRR may be derived from viable myocardium at baseline and following recovering cardiomyocytes and enhanced stem cell differentiation, the predictive value of tissue characteristics of the myocardium for LVRR has been scarcely investigated in clinical practice. Therefore, the aim of this study was to determine the relationship between LVRR after OPT in IDCM patients and myocardial tissue characteristics using both endomyocardial biopsy (EMB) and cardiac magnetic resonance (CMR).

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Methods

Study population

This retrospective study involved 187 consecutive patients with IDCM who received OPT during their hospitalization at the Kitasato University Hospital between 1996 and 2012. The diagnosis of IDCM was based on various modalities including coronary angiography and EMB. Among these subjects, 78 consecutive patients without contraindication such as renal dysfunction also underwent late gadolinium enhancement (LGE)-CMR in 2008–2012. Echomorphometric abnormalities were defined as follows: LVEF <45 %, indexed LV end-diastolic dimension (LVDDI) >32 mm/m², and LV wall thickness <12 mm. Patients with significant coronary artery disease (>50 % luminal stenosis on coronary angiography or prior myocardial infarction), cardiac amyloidosis, sarcoidosis, acute myocarditis, metabolic disorders, endocrine dysfunction, neuromuscular diseases, peripartum cardiomyopathy, organic heart valve disease, the use of cardiotoxic drugs, and alcohol abuse were excluded. During this study period, 25 patients diagnosed histologically with myocarditis were also excluded. After HF decompensation was relieved by standard methods if necessary, BB was up-titrated toward the target dose in Japan [\[5](#page-7-4)] (carvedilol 20 mg/day, bisoprolol 5 mg/day). Daily doses of BB were demonstrated as equivalents of carvedilol (carvedilol equivalent doses: metoprolol, 2; bisoprolol, 0.25). In this study, informed consent was obtained in accordance with the protocol, which was approved by our institution's Committee on Human Investigation.

Clinical measurements and echocardiographic evaluation

Baseline clinical parameters were recorded from general laboratory analyses, electrocardiography (ECG), and echocardiography under clinically stable conditions before hospital discharge. 162 patients underwent echocardiograms. It was repeated at least twice at discharge and 12 months later. M-mode images were obtained in the left parasternal long-axis view to measure the dimension of each chamber. LVEF was calculated with a modified Simpson's method using biplane images in the apical long-axis view. LVRR was defined as an increase in LVEF of \geq 10 % and a decrease in LVDDI of \geq 10 % [[6\]](#page-7-5) at 12 months after the administration of OPT.

Histopathological evaluation by EMB

At least three EMB samples were obtained at baseline from the posterior wall of the LV chamber in 162 patients. The specimens were fixed in formalin and sectioned, and tissue

sections were subjected to hematoxylin–eosin and Masson's trichrome staining. When showed significant infiltration of small round cells such as leukocytes (>5 small round cells per 400×-power microscope), tissue sections were labeled with antibodies against CD3, CD68, and tenascin C [[7\]](#page-7-6) to exclude myocarditis. Ten representative points, containing no endocardium or blood vessels, were randomly selected from each layer and evaluated using a 200×-power microscope. The following parameters were assessed: (1) interstitial fibrosis (IF), (2) hypertrophy (cross-sectional diameter at nuclear level), (3) vacuolization, and (4) scarcity of myofibrils (Fig. [1](#page-2-0)). The measurements were graded using a typical semiquantitative scoring system: $0 =$ no changes or minimal, $1 =$ mild, $2 =$ moderate, and 3 = severe changes. Cardiomyocyte degeneration (CD) was defined as follows: total score of "vacuolization" plus "scarcity of myofibrils"/2. This histological evaluation, modified from a previous study [[8\]](#page-7-7), was performed independently by threw well-trained cardiac pathologists blinded to the clinical data. Inter-rater reliability of the histopathological analysis was as follows: (1) Interstitial fibrosis (weighted κ statistics = 0.641), (2) hypertrophy (weighted κ statistics = 0.746), (3) vacuolization (weighted *κ* statistics = 0.588), (4) scarcity of myofibrils (weighted κ statistics = 0.515). When the decisions differed between them, the final decision was made by the third blinded investigator.

Histopathology by LGE‑CMR

Among the patients who underwent UCG and EMB, 78 patients without contraindication such as renal dysfunction also underwent LGE-CMR. The interval between EMB and CMR was <3 weeks. All CMR examinations were performed using a 1.5-T clinical scanner (Signa HDxt 1.5T; GE Healthcare, Milwaukee, WI, USA) with a maximum gradient strength of 33 mT/m and slew rate of 120 mT/m/s. An eight-channel phased-array coil and a vector ECG were used for signal reception and cardiac gating, respectively. The ECG-gated two-dimensional LGE images were acquired 10–15 min after the intravenous injection of 0.2 mmol/kg gadolinium using segmented inversion recovery fast gradient-echo sequences with the following parameters: echo time, 4.2 ms; repetition time, 8.0 ms; views per segment, 24; flip angle, 20°; inversion time, 150–220 ms; bandwidth, ±25 kHz; number of excitations, 1; in-plane resolution, 1.5×1.7 mm²; field of view, 340×340 mm; slice thickness, 8 mm; interslice gap, 8 mm; and four slices acquired in the LV short-axis over two R–R intervals. The presence of LGE was determined by two experienced independent observers blinded to patients' outcome. The extent of LGE was expressed as "LGE area," defined as an area showing a signal intensity

Fig. 1 Histopathological scores on EMB specimens. **a** Interstitial fibrosis. Proliferation of collagen fibers could be easily detected on Masson's Trichrome stained sections and the severity and extent can be graded. Grade 0 signified no apparent collagen fiber proliferation except for small islets of fibrous tissue around the capillaries and were intercellular single layer of collagenous tissue as in normal myocardium. Grade 1 was surrounded by fibers of two or more layers. The bundles of myocardial fibers were surrounded in grade 2. Most prominent fibrosis, covering more than half the area of specimen was graded as 3. *Bar* indicates 100 μm. **b** Hypertrophy. To evaluate the degree of hypertrophy, the shortest diameters of cardiomyocytes were measured only in nucleated transverse sections. Grade 0 was less than 18 μ m. Grade 1 was from 19 to 23 μ m. Grade 2 was from 24 to 28 μm. Grade 3 was more than 29 μm. *Bar* indicates 50 μm. **c** Vacuolization. Vacuolization should be applied to perinu-

of less than or equal to five standard deviations above the mean of the remote reference myocardium. The LGE area was quantified by semiautomatic planimetry on the shortaxis contrast images using Ziostation 2 (Ziosoft, Tokyo, Japan).

Clinical observations and statistical analysis

Clinical outcomes were periodically assessed at our outpatient clinics and/or associated institutes, and the clinical data were collected until the end of 2013. The patients were divided into two groups: those with LVRR <12 months (LVRR groups), and those without LVRR <12 months or until death (no-LVRR groups). The continuous variables were compared using the Student's *t* test for normal distributions or the nonparametric equivalent Mann–Whitney *U* test for abnormal distributions. The binary variables were clear or other areas where myofibrils disappeared with or without deposits of granular substance. Vacuolization often showed a perinuclear halo or a punched out area in the cytoplasm (grade 1). In a more disorganized myocardium, vacuoles or deposits often occupied more than one-third (grade 2) or a half (grade 3) of the area of muscle cells along with loss of myofibrils. *Bar* indicates 100 μm. **d** Scarcity. In degenerated myocardial cells, myofibrils were occasionally decreased in number or absent (grade 1). To identify a scarcity of myofibrils, an artifact-free area in longitudinal sections should be examined since the myofibrils appeared to be falsely scarce due to overstretched nature in excessively contracted areas. Marked scarcity of myofibrils was often accompanied by vacuoles or deposition of granular or peculiar substance (grade 2). When even worse, myofibrils showed a wavy form (grade 3). *Bar* indicates 100 μm

analyzed by Chi square test. Event-free survival curves were drawn according to the Kaplan–Meier method, and the curves of the two groups were compared using the logrank test. Cardiovascular events including sudden death, readmission for HF exacerbation, and major ventricular arrhythmias (MVAs) were the secondary endpoints. Sudden death was defined as immediate death occurring within 1 h of the onset of symptoms or death in stable New York Heart Association (NYHA) functional class I–III. MVAs were defined as ventricular fibrillation/flutter, sustained ventricular tachycardia (hemodynamically unstable or lasting >30 s), or arrhythmia requiring implanted cardioverter/ defibrillator intervention. Logistic regression analysis was used to assess LVRR at 12 months. Multivariate logistic regression analysis using a forward stepwise approach was performed on parameters found significant by univariate analysis. All values were reported as mean \pm standard

deviation or median (25th–75th percentile) or frequency and percentages. A *P* value <0.05 was considered to indicate statistical significance. The entire analysis was performed using a JMP 10 software for Windows (SAS Institute Inc, North Carolina, USA).

Results

Predictors of LVRR and outcome in patients who underwent EMB

The mean age of subjects enrolled in this study were 55 ± 14 years old. 64 % of patients were hospitalized because of decompensated heart failure. At discharge, most of patients (93 %) exhibited NYHA functional class I or II and a LVEF of 31 \pm 8 % with mostly-optimized pharmacotherapy (Table [1](#page-4-0)). At 12 months after hospital discharge, LVRR was detected in 78 patients (48 %). Kaplan–Meier curves significantly indicated better cardiac event-free survival rates in the LVRR group than that in the no-LVRR group (Fig. [2](#page-5-0)). There were significant differences between the two groups in terms of History of decompensated heart failure, NYHA functional class, QRS duration, and BB dosage. Regarding myocardial tissue characterization by EMB, all three histological parameters were significantly different between the two groups, i.e., IF, hypertrophy, and the CD score of vacuolization and myofibril scarcity (Table [1\)](#page-4-0).

Multivariate logistic regression analysis identified the CD score, QRS duration, and BB dosage as independent predictors of LVRR (Table [2\)](#page-5-1). Cardiac event-free survival rates were significantly better in patients with mild CD (score $0-1$) than in those with severe CD (score $2-3$; Fig. [3a](#page-6-0)), but a similar trend was not recognized with regard to IF (Fig. $3b$ $3b$).

Predictive value of EMB and simultaneous CMR for LVRR and outcome

The LVRR subgroup of patients who underwent both EMB and CMR presented similar characteristics at baseline (Table [1](#page-4-0)). There was no correlation between the LGE area in CMR and any of the EMB histological scores, including IF (Fig. [4\)](#page-6-1). Multivariate logistic regression analysis revealed that the CD score, LGE area, and QRS duration are independent predictors of LVRR (Table [3\)](#page-6-2). When the patients were divided into four groups according to the CD score and presence of LGE, patients with mild CD and negative LGE had markedly higher achievement of LVRR than those with severe CD and positive LGE (74 vs. 19 $\%$; Fig. [5](#page-6-3)).

Discussion

EMB for IDCM in clinical practice

Histopathological evaluation by EMB suggests that CD is an independent predictor of LVRR and outcome in IDCM patients managed by OPT. To the best of our knowledge, this is the first report indicating that EMB could predict LVRR in IDCM patients.

Recently, EMB has emerged as an approach to the differential diagnosis of IDCM to rule out myocarditis and infiltrative cardiomyopathies. As such, the histopathological aspects of the myocardium in IDCM patients are rarely described because EMB is only conducted in wellequipped cardiology institutes as a diagnostic tool for Class IIa conditions, according to the most recent HF guidelines [\[9](#page-7-8)]. In fact, one may question the clinical merit of assessing myocardial histology by EMB. Previous reports established a relationship between quantitative histological findings on EMB [[10\]](#page-8-0) or autopsy [\[11](#page-8-1)] and LV contractile function in IDCM patients. However, in recent years, with the recognition of evidence-based prognosis-oriented therapies such as BB and CRT, the value of histopathological findings such as myocardial fibrosis to correlate clinical and hemodynamic parameters has become controversial or even unfavorable [[12\]](#page-8-2).

Regarding the predictive value of EMB for LVRR, there is an ongoing debate on the relationship between the extent of myocardial fibrosis evaluated by EMB and LVRR in response to therapies such as BB or LVAD. Some reports demonstrated a significant correlation, [[13,](#page-8-3) [14](#page-8-4)] whereas others have contradicted these findings, [\[15](#page-8-5), [16\]](#page-8-6) as shown in the present study. Although histological analysis targeting myocardial inflammation may assist in predicting spontaneous LVRR, [[17,](#page-8-7) [18](#page-8-8)] the discussion is restricted to intrinsic cardiac recovery because this study excluded cases of histologically-proven myocarditis based on the immunohistochemical analysis of EMB.

EMB and LGE‑CMR to detect myocardial fibrosis

Although it has been established that myocardial fibrosis evaluated by both EMB and LGE-CMR can estimate the extent of fibrosis in myocardial tissue [\[19](#page-8-9), [20](#page-8-10)] the present study found no significant correlation between the degree of IF in EMB and LGE area by CMR (Fig. [4\)](#page-6-1). The reasons for this apparent discrepancy remain elusive but may relate to the pathogenesis of myocardial fibrosis. Several mechanisms are believed to contribute to the development of myocardial fibrosis such as inflammation, neurohumoral changes, and microvascular ischemia [[21\]](#page-8-11). Both reactive and reparative patterns of fibrosis are detected in IDCM

Table 1 Baseline characteristics

Table 1 continued

Data is presented as n (%), mean \pm SD, or median [25th–75th percentile]

LVRR left ventricular reverse remodeling, *EMB* endmyocardial biopsy, *CMR* cardiac magnetic resonance, *LGE* late gadolinium enhancement, *NYHA* New York Heart Association, *LBBB* left bundle branch block, *LAD* left atrium dimension, *LVDd* left ventricular end-diastolic dimension, *LVDDI* left ventricular end-diastolic dimension index, *LVEF* left ventricular ejection fraction, *BB* beta-blockers, *ACEI* angiotensin-converting enzyme inhibitors, *ARB* angiotensin-II receptor blockers

Fig. 2 LVRR and prognosis. Kaplan–Meier curves indicated significantly better cardiac-event-free survival rates in the patients with LVRR ($n = 78$) compared to those without ($n = 84$) during the follow-up period of 1431 ± 928 days ($P < 0.001$). *LVRR* left ventricular ejection fraction

patients. The presence of focal fibrosis detected by LGE-CMR was related to reparative inflammation, presumably leading to an adverse outcome [[22\]](#page-8-12). On the other hand, diffuse cardiac fibrosis, mainly of reactive origin, cannot be detected by LGE-CMR [\[23](#page-8-13)]. Therefore, normal LGE-CMR imaging does not always exclude an increased IF that could be detected by EMB. Furthermore, there are some cases of IDCM whose interstitial myocardial fibrosis is detected by LGE-CMR but hardly recognized by EMB because of the difficulty to collect samples deeper than the endomyocardium. The present study identified the LGE area in CMR imaging, but not the degree of IF observed by EMB, as independent predictor of LVRR in response to OPT. Therefore, LGE-CMR provides more detailed histopathological

Table 2 Multivariate logistic analysis to predict the LVRR

| | Odds ratio 95% CI | | P value |
|---|----------------------|-----------------|-----------|
| History of decompensated heart failure | 2.084 | 0.980-4.548 | 0.057 |
| NYHA functional class | 0.640 | 0.289-1.367 | 0.251 |
| ORS duration | 0.987 | $0.975 - 0.999$ | 0.033 |
| Carvedilol equivalent dose | 1.067 | $1.016 - 1.121$ | 0.009 |
| EMB | | | |
| Interstitial fibrosis (IF) | 0.780 | $0.510 - 1.241$ | 0.320 |
| Hypertrophy | 1.316 | $0.866 - 2.026$ | 0.199 |
| Cardiomyocyte degeneration `D) | 0.448 | $0.254 - 0.762$ | 0.003 |

LVRR left ventricular reverse remodeling, *EMB* endomyocardial biopsy, *NYHA* New York Heart Association

information on the entire myocardial interstitium to predict HF prognosis compared with the focal restrictions of EMB.

Cardiomyocytes in EMB as indicators of cardiac function and prognosis

The physiological significance of cardiomyocytes for the pathogenesis of HF has been investigated at length, particularly in basic science. However, the morphological characteristics of cardiomyocytes have not been established using noninvasive diagnostic tools in clinical practice. So far, tissue characterization using CMR has been limited to myocardial fibrosis [[24\]](#page-8-14). Myocardial scintigraphy can only estimate myocardial status by compiling information on dysfunctional cardiomyocytes and myocyte loss [\[25](#page-8-15)]. In contrast, EMB analysis assesses cardiac status and predicts

Fig. 3 Histopathological evaluation of EMB and prognosis. In 162 patients who underwent EMB, cardiac event-free survival rates were significantly higher in patients with mild cardiomyocyte degeneration $(CD; score 0-1.5)$ than in those with severe CD (score 2–3) $(P = 0.020)$ (a), but a similar trend was not recognized with regard to interstitial fibrosis (IF) (**b**)

Fig. 4 Relationship between EMB Scores and LGE-CMR. There were no correlations between LGE area on CMR and IF or CD on EMB, respectively. *LGE* late gadolinium enhancement, *IF* interstitial fibrosis, *CD* cardiomyocyte degeneration

74%

26%

Mild CD

Negative LGE

50%

 $0%$

Table 3 Multivariate logistic analysis to predict the LVRR (in patients who underwent not only EMB but also CMR)

| | Odds ratio 95% CI | | P value |
|-------------------------------------|----------------------|-----------------|---------|
| ORS duration | 0.979 | 0.958-0.998 | 0.032 |
| Carvedilol equivalent dose | 1.041 | $0.959 - 1.133$ | 0.333 |
| EMB | | | |
| Interstitial fibrosis (IF) | 0.850 | $0.416 - 1.736$ | 0.652 |
| Cardiomy ocyte degeneration (CD) | 0.268 | $0.082 - 0.741$ | 0.010 |
| LGE area | 0.855 | $0.762 - 0.930$ | <0.001 |

LVRR left ventricular reverse remodeling, *EMB* endomyocardial biopsy, *CMR* cardiac magnetic resonance, *LGE* late gadolinium enhancement

LVRR by directly evaluating the cardiomyocytes. Notably, it has been demonstrated that the morphological evaluation of cardiomyocytes by EMB avoids the critical issue of sampling error always indicated for the evaluation of IF [\[10](#page-8-0)].

The present study determined the CD score of patients with IDCM under a light microscope based on cytoplasmic vacuolization and the scarcity of myofibrils. Logistic regression analysis identified this EMB characteristic as an independent predictor of LVRR in response to OPT. Scarcity is defined as the absence or decrease in the number

50%

Severe CD

Negative LGE

52%

Mild CD

Positive LGE

81%

Severe CD

Positive LGE

LVRR-

of myofibrils. Marked scarcity is often accompanied by vacuolization [[26\]](#page-8-16), leading to colliquative myocytolysis [\[27](#page-8-17)]. This condition is associated with low cardiac pump function and dilated ventricular chambers reported in some reports [\[28](#page-8-18)]. Conversely, a lack of correlation was reported in others between colliquative myocytolysis and clinical parameters, i.e., chamber dilatation, cardiac indices, wall thickness, and myocardial ischemia [\[29](#page-8-19)]. Although myocardial vacuolization also occurs in other pathological conditions such as storage diseases or parasitic diseases, it may also be an artifact of autolysis or histological processing. Furthermore, the scarcity of myofibrils and prominent cytoplasmic vacuolization are sometimes shown to be derived from autophagic vacuoles by light microscopy [\[30](#page-8-20)], which are associated with adverse outcome in patients with IDCM and severe HF [\[31](#page-8-21)]. The clinical significance of vacuolization has not been fully established, presumably because of the various compositions of vacuoles. This issue would be clarified by studies using electron microscopy.

Clinical implication

The improvement of prognosis is currently the most important clinical goal of HF management teams, as indicated by the growing number of large-scale clinical trials. However, in practice, it is very difficult for the medical staff and patients to recognize survival benefit as the sole aim of pharmacotherapy and/or nonpharmacotherapy. In this context, additional clinical indicators of prognosis must be identified to develop personalized therapies. Among these, LVRR is generally recognized as an important outcome and indicator of a favorable prognosis [\[32](#page-8-22)].

Another major clinical benefit of predicting LVRR is the ability to make informed decisions regarding the optimal treatment strategy. When the probability of LVRR is high, even if baseline LVEF is low, it may be possible to delay invasive procedures, such as CRT, to see if LV functions may improve sufficiently by OPT alone. This is particularly important for elderly patients who cannot afford such costly and invasive treatments or do not meet the minimal health conditions. The present study demonstrated that the tissue characterization of the morphological reorganization of myocardial parenchyma (cardiomyocyte degeneration) and stroma (interstitial fibrosis), on the basis of the CD score on EMB and LGE area on CMR, has a prognostic impact on subsequent LVRR in response to OPT. Comprehensive management, including OPT and cardiac rehabilitation, may be suitable for patients with IDCM with negative LGE-CMR and mild CD on EMB. In summary, CMR imaging and EMB are complementary diagnostic tools to predict LVRR, and they provide distinct information for the evaluation and management of IDCM.

Study limitations

The present study has some limitations. Because it is a single center study, selection bias was a major concern. Given the low number of cardiac events in our cohort, accounting for a relatively small number of patients, the findings of this observational study should be interpreted with caution. There is also an inherent limitation to the EMB analysis. Although uniformly-distributed morphological changes

over the entire myocardium is a prerequisite in patients with IDCM [[33,](#page-8-23) [34](#page-8-24)], some focal processes could affect our analysis through the so-called sampling error for EMB. Finally, the proposed etiology of vacuolization that was based on light microscopy should be verified by detailed examination using an electron microscope.

Compliance with ethical standards

Conflict of interest The authors have nothing to disclose regarding this study.

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