

# Effects of nocturnal oxygen therapy in patients with chronic heart failure and central sleep apnea: CHF-HOT study

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**Abstract** It was previously reported that nocturnal home oxygen therapy (HOT) significantly improved not only sleep disordered breathing (SDB), but also quality of life (QOL) and left ventricular ejection fraction (LVEF) in two trials. To strengthen the statistical reliability of the above efficacies of HOT and to assess the effects of 12-week nocturnal HOT on suppression of ventricular arrhythmias, we combined the two trials and undertook a post hoc analysis. Ninety-seven patients with chronic heart failure (CHF) and central sleep apnea were assigned to receive HOT (45 patients) or not (52 patients). HOT resulted in greater reduction in the apnea-hypopnea index (AHI) ( $-11.4 \pm 11.0$  vs.  $-0.2 \pm 7.6$  events/h,  $p < 0.01$ ), which is associated with greater improvement in the Specific Activity Scale ( $0.8 \pm 1.2$  vs.  $0.0 \pm 0.6$ ,  $p < 0.01$ ), New York Heart Association (NYHA) functional class ( $p < 0.01$ ), and LVEF ( $p = 0.06$ ). Median number of premature ventricular contraction (PVC) at baseline was 17 beats per hour in both the HOT and the control groups. Overall improvements of PVCs were not different either in the HOT group or in the control. However, in 12 patients with NYHA  $\geq$ III and AHI  $\geq$ 20 events/h, PVC was significantly improved by HOT with a marked reduction in AHI and a substantial increase in LVEF. In conclusion, among patients with CHF and CSA, HOT improves SDB, QOL, and cardiac function. The effectiveness of HOT

for ventricular arrhythmias was not observed in the overall analysis, but only in a limited number of patients with severe CHF and SDB. To clarify the effects of HOT on ventricular arrhythmias in patients with CHF and SDB, a further study is needed.

**Keywords** Heart failure · Home oxygen therapy · Arrhythmia · Quality of life · Sleep apnea

## Introduction

Central sleep apnea (CSA) is commonly seen in patients with chronic heart failure (CHF) [1–9], promotes progression of disease [10], and increases risk of mortality and morbidity independent of underlying cardiac disease [7, 11, 12]. CSA is also associated with an increased risk of cardiac arrhythmias [13]. Despite development of a number of pharmacological therapies, morbidity and mortality rates of CHF still remain high [14]. There have been substantial efforts to determine all treatable conditions that exacerbate CHF.

The underlying mechanisms of impaired prognosis in patients with CHF and CSA remain a subject of investigation. One possible explanation is an enhanced malignant arrhythmogenic risk because of alteration in sympathetic and parasympathetic nervous system activity occurring with sleep disordered breathing (SDB)-associated hypoxemia, acidosis, apneas, and arousal [15, 16]. Compared to patients with CHF alone, patients with CHF and CSA have shown an increasing frequency of premature ventricular contraction (PVC) as a surrogate parameter suggesting an augmented ventricular irritability caused by SDB.

In patients with CHF and CSA, nocturnal oxygen supplementation has been shown to improve O<sub>2</sub> saturation, as well

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For the CHF-HOT Study Group.

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as to reduce the number of central respiratory events. Nocturnal oxygen supplementation also improves left ventricular function. Although oxygen therapy has also been shown to improve autonomic profile [8, 17], the effects on cardiac arrhythmias including PVC have not been characterized.

In the CHF-HOT study, 12-week treatment with nocturnal home oxygen therapy (HOT) significantly improved New York Heart Association (NYHA) functional class, Specific Activity Scale scores as a measure of quality of life (QOL), along with an improvement of Cheyne–Stokes respiration (CSR)/CSA in CHF patients [18]. Long-term study conducted after the CHF-HOT short-term study showed that the benefits of nocturnal HOT observed in the short-term study were sustained over a prolonged period of time [19]. Therefore, we expected that supplemental oxygen therapy is a valuable option for patients with CHF and CSR/CSA. Both trials recruited participants from the same sites using the same eligibility criteria and used the same methods to capture baseline clinical data and outcome measures. In the present analysis, we combined the data of the first 12 weeks of both clinical trials to strengthen the statistical reliability of previous clinical trials and to assess any potential effects of HOT on cardiac arrhythmias.

## Materials and methods

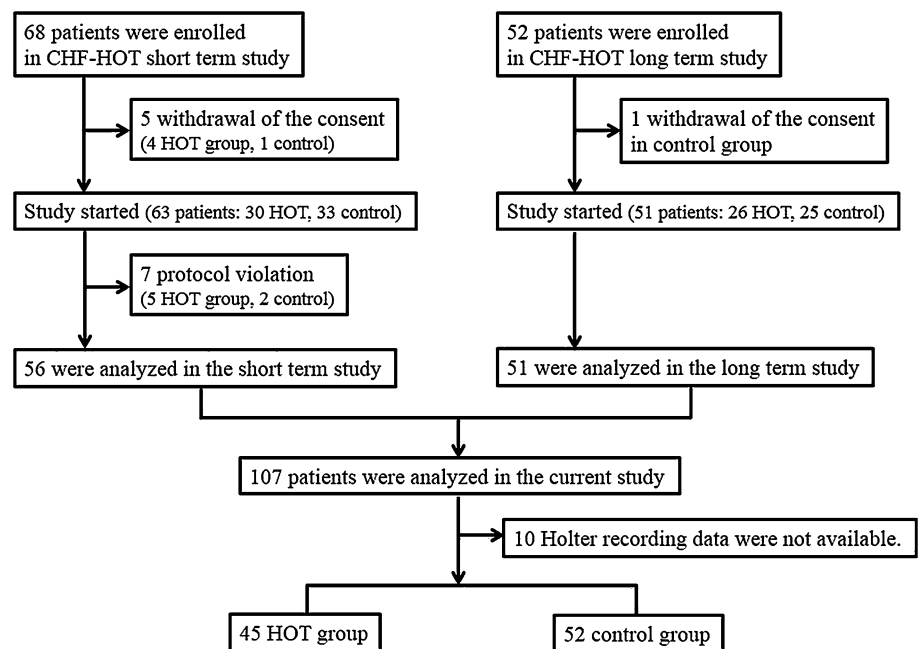
### Study design and intervention

The CHF-HOT studies were randomized, open-label, multi-center trials to determine efficacy of nocturnal HOT

in patients with CHF and CSA [18, 19]. The short-term study was conducted on 56 patients with CHF and CSA [18]. Subsequently, a long-term trial was carried out on 51 patients to confirm the sustained efficacy [19]. Both studies included patients aged over 20 years from 20 centers with the following criteria: (1) symptomatic but stable NYHA class II or III despite optimal medication; (2) left ventricular ejection fraction (LVEF) equal or less than 45 %; (3) 4 % oxygen desaturation index (ODI) of equal or greater than 5 dips/h; (4) at least 5 episodes of apnea and hypopnea per hour of sleep, of which more than 50 % were central nature at the screening test. Exclusion criteria were predominant obstructive sleep apneas, unstable angina, myocardial infarction within the previous 3 months, and significant renal, neurological, or respiratory disease. Each patient was randomized by the methods of minimization by pre-specified factors and assigned to receive oxygen (at a rate of 3 L/min through a nasal cannula—HOT group) or not (control group). Oxygen was delivered via a 92 % concentrator (TO09003 N, Teijin Pharma Ltd, Japan).

To assess the effects of oxygen therapy on suppression of ventricular arrhythmias, we undertook a post hoc analysis of the CHF-HOT short-term and long-term data sets. We combined the two small trials because some patients can be excluded in this analysis due to artifacts or noise in Holter recordings which have an important effect on the accuracy of electrocardiogram diagnostic statements [20]. In addition, we performed subgroup analyses according to severity of CHF and CSA based on the Japanese Circulation Society (JCS) Guidelines for Diagnosis and Treatment

**Fig. 1** Study flow chart. CHF-HOT chronic heart failure-home oxygen therapy, HOT home oxygen therapy



of Sleep Disordered Breathing in Cardiovascular Disease [21], which defined severe patients as those with NYHA classification  $\geq$ III and AHI  $\geq$ 20 events/h.

### Holter monitoring

All patients underwent Holter recording with 2-channel real-time recorders during nighttime at baseline and 12 weeks. Holter recordings were evaluated independently by two experienced cardiologists who were blinded to all clinical information. The severity of arrhythmias was classified according to Lown grade for PVCs: 0 = no PVCs; 1 =  $\leq$ 30 PVC s/h; 2 =  $>$ 30 PVC s/h; 3 = polymorphic (multiform) PVCs; 4 = repetitive PVCs (two or more consecutive PVCs); 5 = precocious PVCs. The effect of oxygen therapy on arrhythmias was evaluated using percentage changes in PVCs ( $[\{\text{PVCs at 12 weeks}\} - \{\text{PVCs at baseline}\}]/\{\text{PVCs at baseline}\} \times 100$  [%]) according to the following categories: significant improvements ( $\geq$ 75 % decrease); moderate improvements (50 %  $\leq$  PVCs decrease  $<$ 75 %); mild improvements (25 %  $\leq$  PVCs decrease  $<$ 50 %); no change ( $-25$  %  $\leq$  PVCs decrease  $<$ 25 %); worsening ( $>$ 25 % PVCs increased).

### Statistical analysis

Changes in NYHA functional class and PVCs improvement were tested by Mann–Whitney *U* test. Paired *t* test or Wilcoxon matched-pair signed-rank test was used to make comparisons within the group data. The comparison of the differences from baseline to 12 weeks between the HOT and control groups were tested by unpaired *t* test. Fisher's exact test was used to evaluate the significance of changes in Lown grade between the HOT and control groups. The comparison of the  $p < 0.05$  values was considered statistically significant. All statistical analysis was conducted using STATA, version 12.1 (STATA, TX, USA).

## Results

### Patients characteristics

Of 107 patients, we excluded 10 patients because the Holter recording data were not available. We included 97 patients, of whom 45 patients were assigned to the HOT group and the remaining 52 patients to the control group (Fig. 1). There were no significant differences between the two groups with regard to any of the baseline characteristics shown in Table 1. PVCs were present in almost all patients, and varied from Lown grade 1–4. Complex PVCs (Lown grade 3–4) were observed in 91 patients (41 HOT group and 50 control group). No episodes of

**Table 1** Clinical characteristics of CHF-HOT study

	HOT	Control	<i>P</i> value
<i>n</i>	45	52	
Age (years)	65.3 $\pm$ 10.8	66.5 $\pm$ 11.6	0.88
Male	36 (80.0 %)	44 (84.6 %)	0.46
Female	9 (20.0 %)	8 (15.4 %)	
Weight (kg)	61.9 $\pm$ 10.8	63.8 $\pm$ 11.2	0.32
Underlying heart disease			
Dilated cardiomyopathy	18 (40.0 %)	24 (46.2 %)	0.73
Ischemic heart disease	20 (44.4 %)	21 (40.4 %)	
Hypertensive heart disease	2 (4.4 %)	3 (5.8 %)	
Others	5 (11.1 %)	4 (7.7 %)	
Duration of heart failure (months)	32 (9–91)	57 (20–149)	0.25
Concomitant medication			
ACE inhibitor	40 (88.9 %)	35 (67.3 %)	0.85
Beta blocker	28 (62.2 %)	36 (69.2 %)	
Digitalis	23 (51.1 %)	19 (36.5 %)	
Diuretics	41 (91.1 %)	49 (94.2 %)	
Anti-arrhythmic agents	9 (20.0 %)	16 (30.8 %)	
Specific activity scale (Mets)	3.9 $\pm$ 1.2	4.1 $\pm$ 1.1	0.67
NYHA			
Class II	24 (53.3 %)	34 (65.4 %)	0.23
Class III	21 (46.7 %)	18 (34.6 %)	
Systolic blood pressure (mmHg)	119.2 $\pm$ 19.6	117.1 $\pm$ 19.0	0.51
Diastolic blood pressure (mmHg)	71.0 $\pm$ 10.5	69.5 $\pm$ 10.9	0.56
Heart rate (bpm)	67.5 $\pm$ 9.8	65.8 $\pm$ 9.8	0.96
LVEF (%)	33.6 $\pm$ 9.6	32.1 $\pm$ 8.3	0.60
CTR (%)	56.7 $\pm$ 6.9	56.2 $\pm$ 5.9	0.27
ODI (dips/h)	19.8 $\pm$ 9.8	18.7 $\pm$ 10.8	0.52
AHI (events/h)	20.0 $\pm$ 11.5	19.5 $\pm$ 11.3	0.74
BNP (pg/ml)	231 (92–564)	200 (93–410)	0.37
ANP (pg/ml)	100 (38–170)	71 (56–155)	0.63
NE (pg/ml)	543 (369–699)	560 (408–775)	0.69
PaCO <sub>2</sub> (mmHg)	38.6 $\pm$ 4.6	38.3 $\pm$ 4.5	0.50
PVCs (beats/h)	17 (3–59)	19 (4–91)	0.95
Percent PVCs (%)	0.5 (0.1–1.5)	0.5 (0.1–2.4)	0.95
Lown classification			
1	4 (8.9 %)	2 (3.8 %)	0.46
2	0 (0.0 %)	0 (0.0 %)	
3	12 (26.7 %)	17 (32.7 %)	
4a	14 (31.1 %)	21 (40.4 %)	
4b	15 (33.3 %)	12 (23.1 %)	

The values are mean  $\pm$  SD or median (IQR)

ACE angiotensin converting enzyme, NYHA New York Heart Association, LVEF left ventricular ejection fraction, CTR cardiothoracic ratio, ODI oxygen desaturation index, AHI apnea–hypopnea index, BNP brain natriuretic peptide, ANP atrial natriuretic peptide, NE norepinephrine, PaCO<sub>2</sub> arterial partial pressure of carbon dioxide, PVCs premature ventricular contractions

non-sustained ventricular tachycardia, sustained ventricular tachycardia, or ventricular fibrillation were documented at baseline.

**Table 2** Effects of nocturnal oxygen on sleep, QOL, cardiac function, and arrhythmias in the overall analysis

	Groups	Baseline <sup>a</sup>	12 weeks <sup>a</sup>	Differences <sup>b</sup>	<i>P</i> value
AHI (events/h)	HOT	20.0 ± 11.5	8.6 ± 10.5	−11.4 ± 11.0	<0.01
	Control	19.5 ± 11.3	18.7 ± 12.2	−0.2 ± 7.6	
CAI (events/h)	HOT	8.1 ± 8.6	3.6 ± 6.6	−4.5 ± 7.3	<0.01
	Control	7.7 ± 8.1	7.9 ± 9.2	0.2 ± 6.6	
OAI (events/h)	HOT	2.4 ± 3.9	3.7 ± 6.9	1.3 ± 5.3	0.19
	Control	1.6 ± 2.8	1.7 ± 2.9	0.1 ± 2.6	
HI (events/h)	HOT	9.6 ± 5.6	1.6 ± 2.3	−8.0 ± 5.9	<0.01
	Control	9.6 ± 5.4	9.1 ± 6.7	−0.5 ± 4.9	
ODI (dips/h)	HOT	19.8 ± 9.8	5.4 ± 7.3	−14.4 ± 9.7	<0.01
	Control	18.1 ± 10.5	18.0 ± 11.5	−0.2 ± 7.2	
PaCO <sub>2</sub> (mmHg)	HOT	38.6 ± 4.6	39.6 ± 3.7	1.1 ± 3.6	0.83
	Control	38.3 ± 4.6	39.2 ± 5.1	0.9 ± 5.3	
SAS (Mets)	HOT	3.9 ± 1.2	4.7 ± 1.5	0.8 ± 1.2	<0.01
	Control	4.1 ± 1.1	4.1 ± 1.2	0.0 ± 0.6	
HR (bpm)	HOT	67.5 ± 9.8	66.0 ± 8.4	−1.5 ± 6.4	0.50
	Control	65.0 ± 9.4	64.3 ± 10.4	−0.7 ± 6.0	
LVEF (%)	HOT	33.9 ± 9.6	38.8 ± 13.8	5.2 ± 8.7	0.06
	Control	32.2 ± 8.4	34.0 ± 10.4	1.9 ± 7.5	
ANP (pg/ml)	HOT	100 (38–170)	85 (47–190)	−8.7 ± 63.7	0.50
	Control	71 (56–155)	68 (44–120)	−0.9 ± 46.8	
NE (pg/ml)	HOT	543 (369–699)	556 (339–763)	−8.1 ± 256	0.68
	Control	560 (408–775)	581 (409–726)	14.2 ± 271	
PVCs (b/h)	HOT	17 (3–59)	5 (2–61)	−27 ± 150	0.61
	Control	19 (4–91)	15 (4–88)	−13 ± 114	
% PVCs (%)	HOT	0.5 (0.1–1.5)	0.1 (0–1.4)	−0.6 ± 3.6	0.76
	Control	0.5 (0.1–2.4)	0.4 (0.1–2.1)	−0.3 ± 3.1	

QOL quality of life, AHI apnea–hypopnea index, CAI central apnea index, OAI obstructive apnea index, HI hypopnea index, ODI oxygen desaturation index, PaCO<sub>2</sub> arterial partial pressure of carbon dioxide, SAS specific activity scale, HR heart rate, CTR cardiothoracic ratio, LVEF left ventricular ejection fraction, BNP brain natriuretic peptide, ANP atrial natriuretic peptide, NE norepinephrine, PVCs premature ventricular contractions, b/h beats per hour

‡ *p* < 0.05

¶ *p* < 0.01 compared with baseline with-in group by paired *t* test or Wilcoxon matched–pairs signed-ranks test

<sup>a</sup> Mean ± standard deviation or median (IQR)

<sup>b</sup> Data at 12 weeks minus at baseline

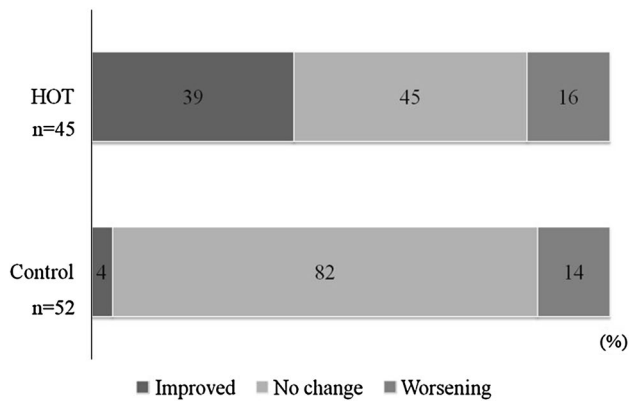
### Effects of oxygen therapy on SDB, QOL and cardiac function

At 12 weeks, the patients assigned to the HOT group had a greater reduction in the AHI (apnea–hypopnea index), CAI (central apnea index) and HI (hypopnea index) than those in the control group (the change in AHI being −11.4 ± 11.0 vs. −0.2 ± 7.6 events/h, *p* < 0.01) (Table 2). LVEF improved significantly during the 12-week study period in the HOT group, while it remained unchanged in the control group. More patients improved in NYHA functional class in the HOT group than those in the control group (Fig. 2). Despite the improvement of NYHA and

LVEF in the HOT group, there were no obvious changes in the plasma norepinephrine, atrial natriuretic peptide, and brain natriuretic peptide levels.

### Effect on PVCs

In the overall analysis, average number of PVC at baseline was 17 beats per hour (b/h) in both HOT and 19 b/h in the control groups. Low grade did not change over the short intervention period in both groups. Overall improvements of PVCs during 12 weeks were not different in the HOT and the control groups (54.6 vs. 49.9 %, *p* = 0.92). Since anti-arrhythmic agents can be proarrhythmic in heart failure



**Fig. 2** Changes in NYHA class in the HOT and control groups in the overall analyses. NYHA class in the HOT group significantly improved as compared with the control group ( $p = 0.02$ ). NYHA New York Heart Association, HOT home oxygen therapy

patients we have assessed the sub-analysis in patients without anti-arrhythmic agents ( $n = 36$ , each). No significant differences were found in these patients (data not shown).

#### Subgroup analyses

In subgroup analysis, 12 patients were regarded as severe (7 HOT group and 5 control group; Table 3). An improvement in Lown grade was observed only in the HOT group, although the change did not reach a significant level ( $p = 0.21$ ; Fig. 3). In these patients, the number of hourly episodes of ventricular arrhythmia was reduced

from 41 b/h to 4 b/h by 12 weeks of HOT ( $p = 0.018$ ; Table 4). LVEF also improved significantly from  $36.1 \pm 11.8$  to  $46.3 \pm 16.2$  % in severe patients treated with HOT ( $p = 0.014$ ; Table 4). In these severe patients, mean changes in AHI was  $-21.1$  events/h ( $29.0 \pm 8.8$  to  $7.9 \pm 10.9$  events/h) in the HOT group and  $+1.3$  events/h ( $28.8 \pm 4.1$  to  $30.1 \pm 5.5$  events/h) in the control group ( $p = 0.006$ ; Table 4). Improvement of ODI at 12 weeks was also significantly greater in the HOT group as compared with the control group ( $-17.7$  vs.  $0.8$  events/h;  $p = 0.014$ ). The change from the baseline in the HOT group ( $10.1 \pm 7.8$  %) was significant as compared with the control group ( $2.0 \pm 7.5$  %) ( $p = 0.016$ ).

In less severe patients, Specific Activity Scale progressively improved over 12 weeks in the HOT group ( $4.0 \pm 1.2$  to  $4.8 \pm 1.5$ ,  $p < 0.01$ ) while it remained unchanged in the control group ( $4.1 \pm 1.1$  to  $4.1 \pm 1.3$ ). The difference between the groups at 12 weeks was highly significant ( $0.7 \pm 1.2$  in the HOT group and  $0.0 \pm 0.5$  in the control group,  $p < 0.001$ ). LVEF also improved significantly in “less severe” patients treated with HOT, but the difference between the two groups did not reach statistical significance.

#### Discussion

In the present study, the combined analysis of the two multi-center randomized controlled studies confirmed the previous observation that HOT significantly improves SDB,

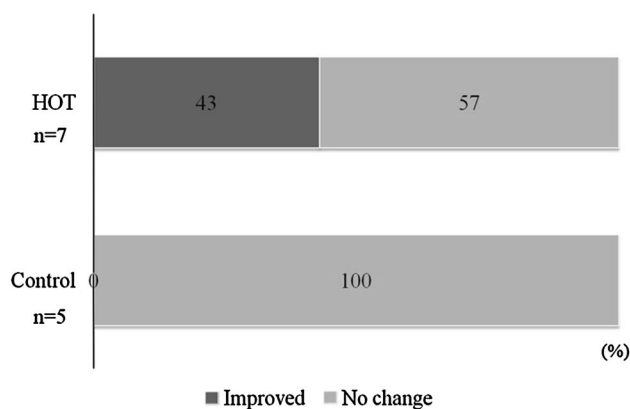
**Table 3** Clinical characteristics in severe and not severe patients

	Not severe (NYHA $\geq 2$ and AHI $\geq 5$ events/h, but not severe)		Severe (NYHA $\geq 3$ and AHI $\geq 20$ events/h)	
	HOT	Control	HOT	Control
<i>n</i>	38	47	7	5
Age (years)	$65.3 \pm 11.2$	$65.7 \pm 11.8$	$65.6 \pm 8.4$	$73.8 \pm 5.8$
Male/female	30/8	39/8	6/1	5/0
Specific activity scale (Mets)	$4.0 \pm 1.2$	$4.1 \pm 1.1$	$3.6 \pm 1.0$	$3.7 \pm 1.1$
NYHA class II/III	24/14	34/13	0/7	0/5
LVEF (%)	$33.2 \pm 9.3$	$32.2 \pm 8.5$	$36.1 \pm 11.8$	$30.7 \pm 7.1$
CTR (%)	$56.4 \pm 6.7$	$56.0 \pm 6.1$	$58.7 \pm 8.4$	$57.4 \pm 4.3$
AHI (events/h)	$18.3 \pm 11.2$	$18.5 \pm 11.3$	$29.0 \pm 8.8$	$28.8 \pm 4.6$
BNP (pg/ml)	228 (82–657)	194 (76–398)	235 (104–417)	236 (148–732)
PVCs (b/h)	16 (1–53)	17 (3–102)	41 (13–218)	77 (4–81)
% PVCs (%)	0.4 (0–1.5)	0.5 (0.1–2.8)	0.9 (0.6–4.4)	1.8 (0.1–1.9)
Lown grade				
1	4 (10.5 %)	2 (4.3 %)	0 (0 %)	0 (0 %)
2	0 (0 %)	0 (0 %)	0 (0 %)	0 (0 %)
3	11 (28.9 %)	16 (34.0 %)	1 (14.3 %)	1 (20 %)
4a	9 (23.7 %)	19 (40.4 %)	5 (29.4 %)	2 (40 %)
4b	14 (36.8 %)	10 (21.3 %)	1 (14.3 %)	2 (40 %)

Values are mean  $\pm$  standard deviation or median (IQR) when appropriate

HOT home oxygen therapy, NYHA New York Heart Association, LVEF left ventricular ejection fraction, CTR cardiothoracic ratio, AHI apnea–hypopnea index, BNP brain natriuretic peptide, PVCs premature ventricular contractions, b/h beats per hour





**Fig. 3** Changes in Lown grade in patients with severe CHF and SDB. The patients who improved Lown grade appeared only in the HOT group, although the change did not reach a significant level ( $p = 0.21$ ). *HOT* home oxygen therapy, *SDB* sleep disordered breathing

QOL and LVEF. Although overall improvements of PVCs during 12 weeks were not different in the HOT and the control groups, the data presented here show for the first time an efficacy of HOT on reduction of PVCs in patients with severer SDB and severer heart failure.

Ventricular arrhythmias including PVC are non-uniformly distributed over time in patients with congestive heart failure. It has also been suggested that the frequency and severity of ventricular arrhythmia could increase in SDB patients [15, 22, 23]. In moderate-to-severe SDB and CHF patients after implantation of a cardiac resynchronization device with cardioverter–defibrillator, CSA is independently associated with a shortened event-free survival time period until first monitored malignant ventricular arrhythmias [25]. SDB immediately modulates the autonomic nervous system during sleep which may be linked to nocturnal ventricular arrhythmias. In the Sleep Heart Health Study, individuals with SDB had three times the odds of non-sustained ventricular tachycardia, and almost twice the odds of complex ventricular ectopy compared with those without SDB even after adjustment for potential confounders [23]. It has been reported that the relative risk of nocturnal arrhythmia increased nearly 18-fold shortly after the occurrence of apneas and hypopneas during sleep [26]. Mechanisms by which CSA exerts modulatory effects on the autonomic nervous system at night include central respiratory–cardiac coupling in the brainstem, chemoreflexes stimulation, baroreflexes, and reflexes relating to lung inflation [26].

Recent studies provided evidence for CSA being a direct cause of ventricular arrhythmias by the demonstration of a reduction in the frequency of PVC in association

with treatment of CSA with positive airway pressure [28–31]. On the other hand, overnight oxygen therapy has been suggested to be effective for ventricular arrhythmias in selected patients with CHF and SDB [32]. This may be related to improvement in cardiac sympathetic nerve activity by HOT [33, 34]. In the present analysis, we also found that the number of hourly episodes of ventricular arrhythmia was significantly improved by 12 weeks of HOT in patients with marked elevation in AHI and severe ventricular dysfunction. In contrast, patients with less severe SDB and heart failure did not respond to HOT in terms of reduction in PVCs.

The current Japanese Circulation Society guideline for Diagnosis and Treatment of Sleep Disordered Breathing in Cardiovascular Disease (JCS 2010) [21] recommends nocturnal oxygen treatment for severe patients defined as NYHA  $\geq$ III and AHI  $\geq$ 20 events/h to improve SDB, QOL, and cardiac function. In our study, the nocturnal oxygen therapy appears to be effective in preventing ventricular arrhythmias in patients with severe heart failure and severe SDB.

This study has several limitations. First, this is the post hoc analysis of a subset of CHF-HOT patients. Second, we evaluated the effect of HOT on ventricular arrhythmias from Holter monitoring during nighttime when parasympathetic activity was dominant. Detailed information regarding daytime arrhythmias prevalence is not readily available. However, the AHI correlated directly with the relative risk of sudden cardiac death during the sleeping hours, and people with SDB have a peak in sudden cardiac death from midnight to 6 a.m. [28]. We evaluated the important ventricular arrhythmias which could lead to sudden cardiac death. Third, 12 weeks duration of therapy has been insufficient to fully demonstrate the potential effects of HOT on cardiovascular outcomes. Adequate investigation of low-frequency events would have required longer durations. Fourth, in this study we could not consider intra-patient day-to-day variability in the frequency and type of arrhythmias. A previous study showed that, since short-term reproducibility of data between Holter recordings was poor, large reduction (63–95 %) in arrhythmia frequency would be required to ensure that the change was an effect of treatment [35, 36]. We would need a relatively large sample to prove a treatment effect.

In conclusion, HOT improves SDB, QOL and cardiac function in patients with CHF and CSA. The effectiveness of HOT for ventricular arrhythmias was not observed in the overall analysis, but only in the subgroup analysis of patients with severe CHF and SDB. To clarify the effects of HOT on ventricular arrhythmias in patients with CHF and SDB, a long-term and large-scale study is needed.

**Table 4** Effects of nocturnal oxygen on sleep, QOL, cardiac function, and arrhythmias

	Groups	Not severe (NYHA $\geq 2$ and AHI $\geq 5$ events/h, but not severe) ( $n = 85$ ; 38 in HOT, 47 in control)				Severe (NYHA $\geq 3$ and AHI $\geq 20$ events/h) ( $n = 12$ ; 7 in HOT, 5 in control)			
		Baseline <sup>a</sup>	12 weeks <sup>a</sup>	Differences <sup>b</sup>	<i>P</i> value	Baseline <sup>a</sup>	12 weeks <sup>a</sup>	Differences <sup>b</sup>	<i>P</i> value
AHI (events/h)	HOT	18.3 $\pm$ 11.2	8.7 $\pm$ 10.7 <sup>¶</sup>	-9.6 $\pm$ 9.4	<0.001	29.0 $\pm$ 8.8	7.9 $\pm$ 10.7 <sup>¶</sup>	-21.1 $\pm$ 14.7	0.008
	Control	17.9 $\pm$ 11.0	17.3 $\pm$ 12.0	-0.6 $\pm$ 7.6		28.8 $\pm$ 4.6	31.7 $\pm$ 4.3	2.9 $\pm$ 7.0	
CAI (events/h)	HOT	7.2 $\pm$ 8.1	3.7 $\pm$ 6.9 <sup>¶</sup>	-3.5 $\pm$ 6.0	0.021	13.3 $\pm$ 10.0	3.4 $\pm$ 5.5	-9.8 $\pm$ 11.5	0.052
	Control	6.9 $\pm$ 7.9	6.5 $\pm$ 8.5	-0.3 $\pm$ 6.0		15.6 $\pm$ 6.8	20.1 $\pm$ 5.0	4.5 $\pm$ 10.5	
OAI (events/h)	HOT	2.5 $\pm$ 4.0	3.6 $\pm$ 7.2	1.1 $\pm$ 5.6	0.372	2.0 $\pm$ 4.0	4.3 $\pm$ 5.7 <sup>‡</sup>	2.4 $\pm$ 2.3	0.059
	Control	1.4 $\pm$ 2.7	1.6 $\pm$ 2.9	0.2 $\pm$ 2.7		3.5 $\pm$ 3.7	3.1 $\pm$ 3.0	-0.4 $\pm$ 2.0	
HI (events/h)	HOT	8.9 $\pm$ 4.7	1.7 $\pm$ 2.3 <sup>¶</sup>	-7.2 $\pm$ 5.2	<0.001	13.8 $\pm$ 8.5	1.6 $\pm$ 2.3 <sup>¶</sup>	-12.2 $\pm$ 8.3	0.032
	Control	9.6 $\pm$ 5.6	9.2 $\pm$ 7.0	-0.5 $\pm$ 4.8		9.8 $\pm$ 3.8	8.5 $\pm$ 4.4	-1.3 $\pm$ 6.0	
ODI (dips/h)	HOT	19.1 $\pm$ 9.7	5.3 $\pm$ 7.1 <sup>¶</sup>	-13.7 $\pm$ 8.6	<0.001	23.7 $\pm$ 10.3	6.0 $\pm$ 8.9 <sup>‡</sup>	-17.7 $\pm$ 14.8	0.015
	Control	17.4 $\pm$ 10.7	16.9 $\pm$ 11.7	-0.4 $\pm$ 7.5		24.7 $\pm$ 5.3	27.0 $\pm$ 3.8	2.3 $\pm$ 3.0	
PaCO <sub>2</sub> (mmHg)	HOT	38.5 $\pm$ 4.5	39.5 $\pm$ 3.9	1.0 $\pm$ 3.4	0.711	39.0 $\pm$ 5.8	40.6 $\pm$ 2.5	1.6 $\pm$ 4.9	0.504
	Control	38.4 $\pm$ 4.7	39.0 $\pm$ 5.1	0.6 $\pm$ 5.4		37.9 $\pm$ 3.2	41.6 $\pm$ 5.3	3.7 $\pm$ 4.6	
SAS (Mets)	HOT	4.0 $\pm$ 1.2	4.7 $\pm$ 1.5 <sup>¶</sup>	0.7 $\pm$ 1.2	<0.001	3.6 $\pm$ 1.0	4.6 $\pm$ 1.5	0.9 $\pm$ 1.1	0.109
	Control	4.1 $\pm$ 1.1	4.1 $\pm$ 1.3	0.0 $\pm$ 0.5		3.7 $\pm$ 1.1	3.5 $\pm$ 0.6	-0.2 $\pm$ 1.0	
HR (bpm)	HOT	66.5 $\pm$ 8.9	65.6 $\pm$ 8.8	-0.9 $\pm$ 5.5	0.710	73.0 $\pm$ 12.8	68.1 $\pm$ 5.2	-4.9 $\pm$ 9.9	0.674
	Control	65.2 $\pm$ 9.6	64.8 $\pm$ 10.6	-0.4 $\pm$ 6.2		63.2 $\pm$ 8.3	60.4 $\pm$ 8.6	-2.8 $\pm$ 4.1	
LVEF (%)	HOT	33.2 $\pm$ 9.3	37.2 $\pm$ 12.9	4.2 $\pm$ 8.6	0.276	36.1 $\pm$ 11.8	46.3 $\pm$ 16.2	10.1 $\pm$ 7.8	0.036
	Control	32.2 $\pm$ 8.5	34.4 $\pm$ 10.6	2.2 $\pm$ 7.4		30.7 $\pm$ 7.1	29.5 $\pm$ 7.7	-1.2 $\pm$ 8.1	
CTR (%)	HOT	56.4 $\pm$ 6.7	57.8 $\pm$ 6.6 <sup>¶</sup>	1.4 $\pm$ 2.8	<0.001	60.4 $\pm$ 7.9	57.8 $\pm$ 9.9	-2.6 $\pm$ 4.0	0.217
	Control	55.7 $\pm$ 6.1	55.0 $\pm$ 5.8	-0.6 $\pm$ 2.3		57.4 $\pm$ 4.3	57.3 $\pm$ 4.7	0.0 $\pm$ 1.6	
BNP (pg/ml)	HOT	228 (82–657)	296 (3–2960)	41.7 $\pm$ 148	0.007	235 (104–417)	105 (50–482)	-67.5 $\pm$ 132.7	0.904
	Control	194 (76–398)	150 (2–1130) <sup>‡</sup>	-33.9 $\pm$ 94.0		236 (148–732)	287 (102–567)	-58.9 $\pm$ 89.6	
ANP (pg/ml)	HOT	105 (33–170)	99 (50–200)	-0.6 $\pm$ 58.9	0.953	67 (38–200)	58 (35–89)	-51.1 $\pm$ 75.9	0.280
	Control	72 (55–140)	66 (44–115)	0.1 $\pm$ 48.3		70 (60–180)	83 (46–120)	-9.4 $\pm$ 33.1	
NE (pg/ml)	HOT	545 (351–714)	555 (370–772)	-6.5 $\pm$ 246	0.822	483 (459–647)	556 (278–754)	-16.3 $\pm$ 324	0.549
	Control	563 (424–786)	577 (396–734)	6.9 $\pm$ 283		498 (377–560)	710 (409–725)	78.8 $\pm$ 116	
PVCs (b/h)	HOT	16 (1–53)	5 (2–78)	-7.4 $\pm$ 103	0.694	41 (13–218)	4 (1–14) <sup>‡</sup>	-68 $\pm$ 201	0.963
	Control	17 (3–102)	13 (3–85)	-18.6 $\pm$ 157		77 (4–81)	25 (16–134)	-72 $\pm$ 92	
% PVCs (%)	HOT	0.4 (0–1.5)	0.2 (0.1–2)	-0.2 $\pm$ 2.8	0.788	0.9 (0.6–4.4)	0.1 (0–0.3) <sup>‡</sup>	-1.7 $\pm$ 5.1	0.892
	Control	0.5 (0.1–2.8)	0.3 (0.1–1.8)	-0.4 $\pm$ 3.9		1.8 (0.1–1.9)	0.6 (0.5–4.2)	-1.4 $\pm$ 1.4	

QOL quality of life, NYHA New York Heart Association, AHI apnea–hypopnea index, CAI central apnea index, OAI obstructive apnea index, HI hypopnea index, ODI oxygen desaturation index, PaCO<sub>2</sub> arterial partial pressure of carbon dioxide, SAS specific activity scale, HR heart rate, CTR cardiothoracic ratio, BNP brain natriuretic peptide, ANP atrial natriuretic peptide, NE norepinephrine

<sup>‡</sup>  $p < 0.05$

<sup>¶</sup>  $p < 0.01$  compared with baseline with-in group by paired *t* test or Wilcoxon matched-pair signed-rank test

<sup>a</sup> Mean  $\pm$  standard deviation or median (IQR)

<sup>b</sup> Data at 12 weeks minus at baseline

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