ORIGINAL PAPER



The Maximal 1-Planarity and Crossing Numbers of Graphs

Zhangdong Ouyang¹ · Yuanqiu Huang² · Fengming Dong³

Received: 25 May 2020/Revised: 25 March 2021/Accepted: 12 April 2021/ Published online: 20 April 2021 © The Author(s), under exclusive licence to Springer Japan KK, part of Springer Nature 2021

Abstract

A 1-planar graph is a graph which has a drawing on the plane such that each edge has at most one crossing. Czap and Hudák showed that every 1-planar graph with *n* vertices has crossing number at most n - 2. In this paper, we prove that every maximal 1-planar graph *G* with *n* vertices has crossing number at most $n - 2 - (2\lambda_1 + 2\lambda_2 + \lambda_3)/6$, where λ_1 and λ_2 are respectively the numbers of 2-degree and 4-degree vertices in *G*, and λ_3 is the number of odd vertices *w* in *G* such that either $d_G(w) \leq 9$ or G - w is 2-connected. Furthermore, we show that every 3-connected maximal 1-planar graph with *n* vertices and *m* edges has crossing number m - 3n + 6.

Keywords Planar graph · 1-planar graph · Crossing number · Drawing

Mathematics Subject Classification 05C10 · 05C62

Zhangdong Ouyang oymath@163.com

> Yuanqiu Huang hyqq@hunnu.edu.cn

Fengming Dong fengming.dong@nie.edu.sg

² Department of Mathematics, Hunan Normal University, Changsha 410081, People's Republic of China

¹ School of Mathematics and Computational Sciences, Hunan First Normal University, Changsha 410205, People's Republic of China

³ National Institute of Education, Nanyang Technological University, Singapore, Singapore

1 Introduction

All graphs considered here are simple, finite and undirected unless otherwise stated, and all terminology not defined here are referred to [5]. A *drawing* of a graph G = (V, E) is a mapping D that assigns to each vertex in V a distinct point in the plane and to each edge uv in E a continuous arc connecting D(u) and D(v). We often make no distinction between a graph-theoretical object (such as a vertex, or an edge) and its drawing. All drawings considered here are *good* unless otherwise specified, meaning that no edge crosses itself, no two edges cross more than once, and no two edges incident with the same vertex cross each other.

For any drawing D, let cr(D) denote the number of crossings in D. The crossing number of a graph G, denoted by cr(G), is the minimum value of cr(D)'s among all drawings D of G.

A drawing D of a graph is called 1-*planar* if each edge in D is crossed at most once. If a graph has a 1-planar drawing, then it is called 1-*planar*.

The notion of 1-planarity was introduced in 1965 by Ringel [13], and since then many properties of 1-planar graphs have been studied (e.g. see the survey paper [11]). It is known that any 1-planar graph on n vertices has at most 4n-8 edges [10, 12, 14], and this bound is tight. A 1-planar graph with n vertices and 4n-8 edges is called *optimal*. A 1-planar graph G is *maximal* if adding any edge to G yields a graph which is not 1-planar or not simple. A 1-planar drawing D is *maximal* if no further edge can be added to D without violating 1-planarity or simplicity. Clearly, a graph G is maximal 1-planar if and only if every 1-planar drawing of G is maximal. In this article, we always assume that a 1-planar graph has its order n at least 3.

Czap and Hudák [7] showed that for any 1-planar graph G on n vertices, $cr(D) \le n-2$ holds for each 1-planar drawing D of G, and thus $cr(G) \le n-2$. In this article, we will improve this result.

Theorem 1 Let G be a maximal 1-planar graph with n vertices. Then,

 $cr(G) \le n - 2 - (2\lambda_1 + 2\lambda_2 + \lambda_3)/6,$

where, for $i = 1, 2, \lambda_i$ denotes the number of 2*i*-degree vertices of *G*, and λ_3 is the number of odd vertices *w* in *G* such that either $d_G(w) \le 9$ or G-w is 2-connected.

For a maximal 1-planar graph G, if G is 3-connected, then cr(G) can be expressed in terms of its vertex number and edge number.

Theorem 2 Let G be a maximal 1-planar graph with n vertices and m edges. If G is 3-connected, then cr(G) = m - 3n + 6.

A graph is *IC-planar* if it has a 1-planar drawing so that each vertex is incident to at most one crossed edge [1], and *NIC-planar* if it has a 1-planar drawing so that two pairs of crossed edges share at most one vertex [16]. A IC-planar graph (resp. NIC-planar) *G* is called *maximal* if adding any edge to *G* yields a graph which is not IC-planar (resp. NIC-planar). We also show that the conclusion of Theorem 2 holds for

For any drawing D, let D^{\times} denote the plane graph obtained by turning each crossing of D into a new vertex. A vertex in D^{\times} is called *false* if it corresponds to some crossing of D, and is *true* otherwise. For a 1-planar drawing D on n vertices, in Sect. 2, we will show that cr(D) is equal to $n - 2 - \frac{1}{2} \sum_{f} (\epsilon(f) - 2)$, where the sum runs over all faces f of D^{\times} and $\epsilon(f)$ is the number of true vertices on the boundary of face f.

In Sect. 3, we consider the family \mathcal{M} of maximal 1-planar drawings D with the property that redrawing exactly one edge in D does not decrease the number of crossings. We show that for any $D \in \mathcal{M}$ and a vertex w in D, if $d_D(w) \in \{2, 4\}$, then w is incident with at least two Γ -faces (i.e., faces f with $\epsilon(f) \geq 3$) of D^{\times} ; and if $d_D(w)$ is odd and either $d_D(w) \leq 9$ or D - w is 2-connected, then w is incident with at least one Γ -face of D^{\times} . The results in Sects. 2 and 3 will be applied in Sect. 4 to prove Theorems 1 and 2.

2 On The Plane Graph D^{\times}

Let *D* be a drawing. Clearly, D^{\times} is a plane graph. Recall that a vertex in D^{\times} is a false vertex if it is a crossing of two edges in *D* and a true vertex otherwise. A face or an edge of D^{\times} is called *false* if it is incident with some false vertex, and is *true* otherwise.

A multi 1-planar drawing D is a drawing which may have parallel edges and each edge in D may have at most one crossing. A face is called a *triangle* if its size is 3. A *triangulation* is a plane graph whose all faces are triangle.

By the definition of multi 1-planar drawings, the following properties hold.

Lemma 1 For any multi 1-planar drawing D of order n and size m, the following hold:

- (i) cr(D) is the number of false vertices in D^{\times} ;
- (ii) each false vertex in D^{\times} is of degree 4 and is adjacent to true vertices only;
- (iii) D^{\times} is of order n + cr(D) and size m + 2cr(D); and
- (iv) any two true vertices are adjacent in D^{\times} if and only if they are adjacent in D and the edge joining them is a true edge of D^{\times} .

Recall that for any face f of D^{\times} , $\epsilon(f)$ is the number of true vertices on the boundary of f. By Lemma 1, $\epsilon(f) \ge 2$.

Proposition 1 Let D be a 1-planar drawing with at least 3 vertices. Then, D can be extended to a multi 1-planar drawing T by adding some non-crossed edges such that T^{\times} is a triangulation and for each face f of D^{\times} , T^{\times} has $\epsilon(f) - 2$ true faces within f, and when $\epsilon(f) \geq 3$, each true vertex of D^{\times} on the boundary of f is incident with at least one of these true faces of T^{\times} .

Proof The multi 1-planar drawing \mathcal{T} is obtained from D by turning each face of D^{\times} bounded by more than three edges into many triangles.

Let *f* be any face of D^{\times} with exactly *s* vertices on its boundary. As *D* is simple and good, $s \ge 3$. Assume that $t = \epsilon(f)$ and v_1, v_2, \ldots, v_t are the true vertices of D^{\times} which are on the boundary of *f* in the clockwise direction. By Lemma 1, any two false vertices of D^{\times} are not adjacent, implying that $t \ge s/2$.

If s = 3, no edge is added within face f. Now assume that $s \ge 4$. Then $t \ge 2$. Face f of D^{\times} is turned into many triangles by adding some new non-crossed edges as stated below (see Fig. 1):

- (i) for i = 1, 2, ..., t, if $v_i v_{i+1}$ is not an edge of D^{\times} , add a new edge within f joining v_i and v_{i+1} , where v_{t+1} is v_1 ; and
- (ii) for i = 3, ..., t 1, add a new edge within f joining v_1 and v_i .

Observe that, for i = 2, 3, ..., t - 1, $v_1 v_i v_{i+1} v_1$ is the boundary of some face of \mathcal{T}^{\times} . Thus, \mathcal{T}^{\times} has t - 2 true faces (i.e., triangles) within f, and when $t \ge 3$, each vertex in the set $\{v_1, v_2, ..., v_t\}$ is incident with at least one true face of \mathcal{T}^{\times} .

The result holds.

The following result was recently obtained by Biedl [4].

Proposition 2 [4] Let D be a multi 1-planar drawing with n vertices. If D^{\times} is a triangulation, then $cr(D) = n - 2 - \tau/2$, where τ is the number of true faces of D^{\times} .

By applying Propositions 1 and 2, we obtain the following result which will be applied in the proof of Theorem 1.

Corollary 1 Let D be a 1-planar drawing with n vertices. Then

$$cr(D) = n - 2 - \frac{1}{2} \sum_{f} (\epsilon(f) - 2),$$

where the sum runs over all faces f of D^{\times} .

Proof By Proposition 1, D can be extended to a multi 1-planar drawing \mathcal{T} with $cr(\mathcal{T}) = cr(D)$ such that \mathcal{T}^{\times} is a triangulation with the following number of true faces:



Fig. 1 Triangulating a face

$$\sum_{f} (\epsilon(f) - 2),$$

where the sum runs over all faces f of D^{\times} . By Proposition 2, the result holds. \Box

By Corollary 1, for any 1-planar drawing *D* of order *n*, if D^{\times} has at least one true face, then $cr(D) \le n - 3$. This conclusion holds for any straight-line 1-planar drawing *D* with *n* vertices. A *straight-line 1-planar drawing* is a 1-planar drawing in which the edges are straight-line segments.

Corollary 2 *Every straight-line* 1*-planar drawing with* n *vertices has at most* n - 3 *crossings.*

Proof Let *D* be a maximal straight-line 1-planar drawing such that no non-crossed straight-line edges can be added to *D* (otherwise, we add them). Let *f* denote the exterior face of D^{\times} . As no more non-crossed straight-line edges can be added to *D*, the boundary of *f* is a convex polygon. Since the segments of this convex polygon correspond to true edges of D^{\times} , *f* is a true face of D^{\times} , i.e., $\epsilon(f) \ge 3$. By Corollary 1, $cr(D) \le n-3$.

Recall that every planar graph with *n* vertices has at most 3n-6 edges. The following corollary follows immediately from Corollary 2.

Corollary 3 [8] Every straight-line 1-planar drawing with n vertices has at most 4n - 9 edges.

3 Maximal 1-Planar Drawings

3.1 Basic Properties of Maximal 1-Planar Drawings

In the following are two properties on maximal 1-planar drawings from [3, 6].

Proposition 3 [3, 6] Let D be a maximal 1-planar drawing. For any face f of D^{\times} , any two vertices of D on the boundary of f are adjacent in D.

Proposition 4 [3, 6] Let D be a maximal 1-planar drawing. If u_1u_2 and v_1v_2 are a pair of crossing edges in D, then, the subgraph of D induced by $\{u_1, u_2, v_1, v_2\}$ is the complete graph K_4 .

By Proposition 4, any pair of edges e_1 and e_2 in a maximal 1-planar drawing *D* which cross each other is covered by a complete graph K_4 , which is called a *kite* of *D* corresponding to e_1 and e_2 .

Let \mathcal{M} denote the set of maximal 1-planar drawings D such that $cr(D) \leq cr(D')$ holds for any 1-planar drawing D' which is obtained from D by redrawing exactly one edge of D. More properties on kites in $D \in \mathcal{M}$ are given below.

Lemma 2 Let $D \in \mathcal{M}$ and W be a kite of D. If W corresponds to edges e_1 and e_2 of D, then e_1 and e_2 are the only edges in W which are crossed edges of D.

 \square

Proof Assume that e = uv is a crossed edge in W and $e \neq e_1, e_2$. Then, one can redraw a curve e' to represent e which does not cross with any other edge of D, as shown in Fig. 2. The resulting drawing is 1-planar and has lower crossing number than D, contradicting assumption that $D \in \mathcal{M}$. Hence the result holds.

For any graph G and any vertex w in G, let $E_G(w)$ denote the set of edge in G which are incident with w.

Lemma 3 Let $D \in \mathcal{M}$ and, let W and W' be different kites of D. Then

- (i) W and W' do not contain any common crossed edge of D; and
- (ii) for any vertex w in W and any vertex w' in W', $|E_W(w) \cap E_{W'}(w')| \le 1$ holds.

Proof (i) Assume that W corresponds to edges wv and u_1u_2 , and W' corresponds to edges w'v' and $u'_1u'_2$. By Lemma 2, wv and u_1u_2 are the only crossed edges of D in W and w'v' and $u'_1u'_2$ are the only crossed edges of D in W'.

Assume that c is the false vertex of D^{\times} at which wv and u_1u_2 cross each other, and c' is the false vertex of D^{\times} at which w'v' and $u'_1u'_2$ cross each other.

Claim 1 $\{wv, u_1u_2\} \cap \{w'v', u'_1u'_2\} = \emptyset.$

Suppose that wv and w'v' are the same edge of D. Then c and c' are the same vertex, implying that u_1u_2 and $u'_1u'_2$ are the same edge of D. Thus W and W' must be the same kite, a contradiction.

So Claim 1 holds and (i) also holds.

(ii) We will prove it by showing the following claims.

Claim 2 $wv \notin E_{W'}(w')$ and $w'v' \notin E_W(w)$.

As wv is a crossed edge of D and both $w'u'_1$ and $w'u'_2$ are non-crossed edges of D, $\{wv\} \cap E_{W'}(w') \subseteq \{wv\} \cap \{w'v'\}$. But, by Claim 1, $\{wv\} \cap \{w'v'\} = \emptyset$. The same thing happens for w'v'. Thus, Claim 2 holds.

Claim 3 $\{wu_1, wu_2\} \neq \{w'u'_1, w'u'_2\}.$

Suppose that $\{wu_1, wu_2\} = \{w'u'_1, w'u'_2\}$. Then u_1u_2 and $u'_1u'_2$ are the same edge in D, contradicting Claim 1.

Thus, Claim 3 holds. By Claims 2 and 3, (ii) holds.



Fig. 2 Redrawing an edge joining u and v without a crossing

3.2 *Γ*-Faces

Recall that for a 1-planar drawing D, a face f of D^{\times} is a Γ -face if $\epsilon(f) \ge 3$. Obviously, each true face of D^{\times} is a Γ -face. Some basic properties on Γ -faces follow from the definition.

Lemma 4 Let D be a 1-planar drawing. For any face f of D^{\times} , if one of the following conditions hold, then f is a Γ -face of D^{\times} :

- (i) the boundary of f contains more than 4 vertices of D^{\times} ;
- (ii) the boundary of f contains 4 vertices of D^{\times} and two consecutive vertices on this boundary are true vertices of D^{\times} ; or
- (iii) the boundary of f contains at least two true edges.

Proof (i) and (ii) follow from the fact that any two false vertices of D^{\times} are not adjacent, while (iii) follows from the definition.

A drawing of a graph implies a *rotation system*. The rotation at a vertex is the clockwise order of its incident edges. In a drawing, two edges incident with some vertex w are called to be *consecutive* if they appear in sequence in the cyclic ordering at w. In the following, we study vertices w in $D \in \mathcal{M}$ which are incident with Γ -faces of D^{\times} .

Lemma 5 Let $D \in \mathcal{M}$ and w be a vertex in D. Then $d_D(w) \ge 2$ and

- (i) if $d_D(w) = 2$, then w is incident with two Γ -faces of D^{\times} ; and
- (ii) if $3 \le d_D(w) \le 4$, then w is incident with at least $(d_D(w) 2) \Gamma$ -faces of D^{\times} .

Proof It is known that every maximal 1-planar drawing is 2-connected [9, 15]. This implies that $d_D(w) \ge 2$.

(i) Assume that $d_D(w) = 2$ and w is incident with edges e_1 and e_2 . If both e_1 and e_2 are true edges of D^{\times} , by Lemma 4 (iii), w is incident with two Γ -faces of D^{\times} .

Suppose that some edge e_i is not a true edge in D^{\times} , where $1 \le i \le 2$. By Proposition 4, $d_D(w) \ge 3$, a contradiction. Thus, (i) holds.

(ii) Assume that $3 \le d_D(w) \le 4$.

Claim 1: w is incident with at most one crossed edge of D.

Suppose that e_1 and e_2 be two crossed edges of D which are incident with w. Then w is a common vertex in two kites W and W' of D. By Lemma 3,

$$d_D(w) \ge d_W(w) + d_{W'}(w) - 1 \ge 5$$

contradicting the condition that $d_D(w) \leq 4$. Thus Claim 1 holds.

As $3 \le d_D(w) \le 4$, Claim 1 implies that w is incident with at least $d_D(w) - 2$ pairs of consecutive true edges of D. By Lemma 4 (iii), w is incident with at least $d_D(w) - 2$ faces which are Γ -faces of D^{\times} .

Hence (ii) holds.

Note that an even-degree vertex w in D with $d_D(w) > 4$ may be not incident with any Γ -face of D^{\times} . For an odd vertex w in $D \in \mathcal{M}$, we can show that w is incident with some Γ -face of D^{\times} under certain condition.

Lemma 6 Let $D \in \mathcal{M}$ and w be an odd vertex in D. If either $d_D(w) \leq 9$ or D - w is 2-connected, then w is incident with at least one Γ -face of D^{\times} .

Proof Let w be an odd vertex in D such that $d_D(w) \le 9$ or D - w is 2-connected. We may assume that the conclusion holds whenever such an odd vertex is of degree less than $d_D(w)$.

Suppose that the conclusion fails for *w*. By Lemma 5, $d_D(w) \ge 5$. By Lemma 4 (iii), any two consecutive edges at *w* cannot be both true edges of D^{\times} . As $d_D(w)$ is odd, *w* is incident with two consecutive edges wc_1 and wc_2 of D^{\times} which are both false edges of D^{\times} , where c_1 and c_2 are false vertices of D^{\times} . Let α denote the face of D^{\times} whose boundary contains vertices w, c_1 and c_2 , as shown in Fig. 3a. As α is not a Γ -face, by Lemma 4 (i), its boundary contains at most 4 vertices. As the boundary of α has two false vertices c_1 and c_2 , it contains two true vertices of D^{\times} , i.e., *w* and *u* as shown in Fig. 3a.

Since $D \in \mathcal{M}$, by Proposition 4 and Lemma 2, w and u are adjacent in D, and the edge joining w and u, denoted by e, is a true edge of D^{\times} , as shown in Fig. 3a.

For i = 1, 2, let v_i be the vertex of D which is adjacent to w and c_i be on the edge v_iw . Observe that any path in D connecting v_1 and v_2 contains either w or u, implying that D - w is not 2-connected. Thus, by the given condition, $d_D(w) \le 9$ holds.

For i = 1, 2, let C_i denote the cycle formed by edges wu (= e), wc_i and uc_i . As D^{\times} is a plane graph, each C_i partitions D^{\times} into three subsets $V_{i,0}$, $V_{i,1}$ and $V_{i,2}$, where

- (i) $V_{i,0} = \{w, u, c_i\}$, i.e., the set of vertices on C_i ;
- (ii) $V_{i,1}$ is the set of vertices of D which are in the interior regions of C_i ; and
- (iii) $V_{i,2}$ is the set of vertices of D which are in the exterior regions of C_i .

Observe that either $c_1 \in V_{2,1}$ or $c_2 \in V_{1,1}$. Without loss of generality, assume that $c_1 \in V_{2,1}$.

Let D_1 be the 1-planar drawing obtained from D by removing all vertices of D in $V_{1,2}$, and D_2 be the 1-planar drawing obtained from D by removing all vertices of D



Fig. 3 1-planar drawing D

1340

in $V_{2,1}$, as shown in Fig. 3b and c. It can be verified that both D_1 and D_2 belongs to М.

Note that $d_{D_1}(w) + d_{D_2}(w) = d_D(w) + 1$ and $d_{D_i}(w) < d_D(w)$ for both i = 1, 2. By the assumption on the minimality of $d_D(w)$, if $d_{D_i}(w)$ is odd for some *i*, then *w* is incident with some Γ -face of D_i^{\times} . Such a Γ -face of D_i^{\times} is also a Γ -face of D^{\times} , a contradiction. Thus, both $d_{D_1}(w)$ and $d_{D_2}(w)$ are even.

of generality, assume $d_{D_1}(w) \leq d_{D_2}(w).$ loss that Without Thus $d_{D_1}(w) \leq (d_D(w) + 1)/2 \leq 5$. As $d_{D_1}(w)$ is even, we have $d_{D_1}(w) \in \{2, 4\}$. By Lemma 5, w is incident with some Γ -face f of D_1^{\times} . Clearly, f is also a Γ -face of D^{\times} .

Thus the result holds.

4 The Main Results

4.1 Proving Theorem 1

We can now apply Lemmas 5 and 6 to prove Theorem 1.

Proof of Theorem 1 Let D be a 1-planar drawing of G and $D \in \mathcal{M}$. By Lemma 5, each 2-degree or 4-degree vertex in D is incident with at least two Γ -faces of D^{\times} . Let V^{*} denote the set of odd vertices v in D such that either $d_G(v) \leq 9$ or G - v is 2connected. By Lemma 6, each vertex v in V^{*} is incident with at least one Γ -face of D^{\times} .

We will complete the proof by applying Corollary 1.

Let \mathcal{F}_D denote the set of faces in D^{\times} , and for any vertex v in D, let $\mathcal{F}_D(v)$ denote the set of faces in D^{\times} which are incident with v. Recall that for each f of D^{\times} , $\epsilon(f)$ is the number of true vertices on the boundary of f. Then

$$\sum_{f \in \mathcal{F}_{D}} (\epsilon(f) - 2) = \sum_{v \in V(D)} \sum_{f \in \mathcal{F}_{D}(v)} \left(\frac{\epsilon(f) - 2}{\epsilon(f)} \right)$$

$$\geq \sum_{v \in V(D)} \sum_{f \in \mathcal{F}_{D}(v)} \left(\frac{\epsilon(f) - 2}{\epsilon(f)} \right) + \sum_{v \in V^{*}} \sum_{f \in \mathcal{F}_{D}(v)} \left(\frac{\epsilon(f) - 2}{\epsilon(f)} \right) \quad (1)$$

$$d_{G}(v) \in \{2, 4\}$$

$$\geq 2(\lambda_{1} + \lambda_{2})/3 + \lambda_{3}/3,$$

where the last expression follows from the fact that $\frac{\epsilon(f)-2}{\epsilon(f)} \ge 1/3$ holds for each Γ face f.

Thus, by (1) and Corollary 1, $cr(G) \le cr(D) \le n - 2 - (2\lambda_1 + 2\lambda_2 + \lambda_3)/6$.

Remark The maximal 1-planar graph depicted in Fig. 4 is 7-regular and of order 24. By Lemma 8 in Sect. 4.2, we know that it has crossing number 18. This implies that the upper bound in Theorem 1 is tight.

From [4], we know that any 1-planar graph with minimum degree 7 has at least 24 vertices of degree 7. It is known that 1-planar graphs have minimum degree at most 7 and every 1-planar graph can be extended to a maximal 1-planar graph by





adding some edges without reducing the crossing number. Thus, the following conclusion follows immediately from Theorem 1.

Corollary 4 Any 1-planar graph with n vertices and minimum degree 7 has crossing number at most n-6.

It is well-known that any graph with n vertices and m edges has crossing number at least m - 3n + 6. Hence, any optimal 1-planar graph has crossing number at least n-2. Thus, the following result holds by Theorem 1.

Corollary 5 Any optimal 1-planar graph has minimum degree 6.

4.2 Proving Theorem 2

We need to establish two results for proving Theorem 2.

Lemma 7 Let $D \in \mathcal{M}$ with at least 5 vertices. If D is 3-connected, then D^{\times} is a triangulation.

Proof Suppose that D^{\times} is not a triangulation. Then D^{\times} has a face f bounded by a cycle C with at least 4 vertices. As any two false vertices in D^{\times} are not adjacent, D^{\times} has two true vertices u and v in C which are not adjacent in C. As $D \in \mathcal{M}$, by Proposition 3, u and v must be adjacent in D.

Let e denote the edge in D joining u and v. We claim that e is non-crossed in D. Otherwise, we can redraw a curve within face f to represent edge e and the new 1-planar drawing has less crossings than D, a contradiction.

Note that *C* can be divided into two paths, denoted by P_1 and P_2 , with end *u* and *v*. As *u* and *v* are not adjacent in *C*, each P_i contains an internal vertex z_i , as shown in Fig. 5.

As D^{\times} is a plane graph, it can be verified that any path in D^{\times} connecting z_1 and z_2 must pass through u or v, implying that z_1 and z_2 are in different components D_1 and D_2 of $D^{\times} - \{u, v\}$. As each false vertex in D^{\times} is adjacent to 4 true vertices, both D_1

Fig. 5 A face in D^{\times} bounded by at least four edges

and D_2 contain true vertices. Thus, $D - \{u, v\}$ is disconnected, contradicting the given condition.

Hence the result holds.

Lemma 8 Let G be a graph with n vertices and m edges. Then, there exists a drawing D of G with each face in D^{\times} being a triangle if and only if cr(G) = m - 3n + 6.

Proof (Necessity) Suppose that D is a drawing of G with c crossings and each face of D^{\times} is a triangle. Then, D^{\times} has n + c vertices and m + 2c edges. Let ϕ be the number of faces of D^{\times} . Then, $3\phi = 2(m + 2c)$. By Eular's formula, we have

$$n + c - (m + 2c) + 2(m + 2c)/3 = 2$$
,

which implies that $cr(G) \le c = m - 3n + 6$.

As each maximal plane graph on *n* vertices has exactly 3n - 6 edges, $cr(G) \ge m - 3n + 6$ holds. Thus the necessity holds.

(Sufficiency) Assume that cr(G) = m - 3n + 6. Then, there is a drawing *D* of *G* with exactly m - 3n + 6 crossings. So D^{\times} has exactly m - 2n + 6 vertices and 3(m - 2n + 4) edges, i.e, $|E(D^{\times})| = 3|V(D^{\times})| - 6$. This implies that each face of D^{\times} is a triangle.

By Lemmas 7 and 8, we can now prove Theorem 2 easily.

Proof of Theorem 2: Let D be a 1-planar drawing of G and $D \in \mathcal{M}$. As G is 3-connected, D is also 3-connected. By Lemma 7, D^{\times} is a triangulation. Then, by Lemma 8, cr(G) = m - 3n + 6.

The conclusion of Theorem 2 also holds for IC-planar and NIC-planar graphs. Bachmaier et al. [2] has showed that a NIC-planar drawing of any maximal NICplanar graph with at least 5 vertices is a triangulation. By applying a similar argument, one can show that an IC-planar drawing of any maximal IC-planar graph with at least 5 vertices is a triangulation. Thus, by Lemma 8, the following conclusion holds.

Theorem 3 Let G be a maximal IC-planar (or NIC-planar) graph with $n \ge 5$ vertices and m edges. Then, cr(G) = m - 3n + 6.



5 Unsolved Problems

We wonder if the conclusion of Theorem 1 holds if λ_3 is changed to be the number of all odd vertices.

Problem 1 For any maximal 1-planar graph *G* with *n* vertices, does $cr(G) \le n - 2 - (2\lambda_1 + 2\lambda_2 + \lambda_3)/6$, where, for $i = 1, 2, \lambda_i$ denotes the number of 2*i*-degree vertices of *G*, and λ_3 is the number of odd vertices in *G*?

Acknowledgements The authors would like to thank the anonymous referees for many helpful comments and suggestions.

Funding The work was supported by the National Natural Science Foundation of China (no. 11301169), Hunan Provincial Natural Science Foundation of China (no. 2017JJ2055) and Scientific Research Fund of Hunan Provincial Education Department of China (no. 18A432).

References

- 1. Albertson, M.O.: Chromatic number, independence ratio, and crossing number. ARS Math. Contemp. 1(1), 1–6 (2008)
- Bachmaier, C., Brandenburg, F.J., Hanauer, K., Neuwirth, D., Reislhuber, J.: NIC-planar graphs. Discrete Appl. Math. 232, 23–40 (2017)
- Bará, J., Tóth, G.: Improvements on the density of maximal 1-planar graphs. J. Graph Theory 88(1), 101–109 (2018)
- 4. Biedl, T.: A note on 1-planar graphs with minimum degree 7. Discrete Appl. Math. 289, 230–232 (2021)
- 5. Bondy, J.A., Murty, U.S.R.: Graph Theory (Graduate Texts in Mathematics). Springer, New York (2008)
- Brandenburg, F.J., Eppstein, D., Gleißner, A., Goodrich, M.T., Hanauer, K., Reislhuber, J.: On the Density of Maximal 1-Planar Graphs, International Symposium on Graph Drawing, pp. 327–338. Springer, Berlin, Heidelberg (2012)
- Czap, J., Hudák, D.: On drawings and decompositions of 1-planar graphs. Electron. J. Combin. 20(2), (2013)
- Didimo, W.: Density of straight-line 1-planar graph drawings. Inf. Process. Lett. 113(7), 236–240 (2013)
- Eades, P., Hong, S.H., Katoh, N., Liotta, G., Schweitzer, P., Suzuki, Y.: A linear time algorithm for testing maximal 1-planarity of graphs with a rotation system. Theor. Comput. Sci. 513, 65–76 (2013)
- 10. Fabrici, I., Madaras, T.: The structure of 1-planar graphs. Discrete Math. 307(7-8), 854-865 (2007)
- 11. Kobourov, S. G., Liotta, G., Montecchiani, F.: An annotated bibliography on 1-planarity. Comput. Sci. Rev. 25, 49–67 (2017)
- 12. Pach, J., Tóth, G.: Graphs drawn with few crossings per edge. Combinatorica 17(3), 427-439 (1997)
- 13. Ringel, G.: Ein sechsfarbenproblem auf der Kugel. Abh. Math. Sem. Univ. Hamburg **29**, 107–117 (1965)
- 14. Schumacher, H.: Zur struktur 1-planarer graphen. Math. Nachr. 125, 291–300 (1986)
- 15. Suzuki, Y.: 1-Planar Graphs, Beyond Planar Graphs, pp. 47-68. Springer, Singapore (2020)
- Zhang, X., Liu, G.: The structure of plane graphs with independent crossings and its application to coloring problems. Central Eur. J. Math. 11(2), 308–321 (2013)

Publisher's Note Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.