



# Classification of Maximum Hittings by Large Families

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## Abstract

For integers  $r$  and  $n$ , where  $n$  is sufficiently large, and for every set  $X \subseteq [n]$  we determine the maximal left-compressed intersecting families  $\mathcal{A} \subseteq \binom{[n]}{r}$  which achieve maximum hitting with  $X$  (i.e. have the most members which intersect  $X$ ). This answers a question of Barber, who extended previous results by Borg to characterise those sets  $X$  for which maximum hitting is achieved by the star.

**Keywords** Set systems · Intersecting families · Compressions

## 1 Introduction

The celebrated Erdős–Ko–Rado Theorem [4] states that for all integers  $r \leq n/2$  and every family  $\mathcal{A} \subseteq \binom{[n]}{r}$ , if  $\mathcal{A}$  is intersecting (meaning that no two members of  $\mathcal{A}$  are disjoint), then  $|\mathcal{A}| \leq \binom{n-1}{r-1}$ . To see that this bound is tight, fix any  $a \in [n]$  and consider the family  $\mathcal{S}_a := \{A \in \binom{[n]}{r} : a \in A\}$ . We refer to  $\mathcal{S}_a$  as the *star at  $a$* , and we denote the star at 1 simply by  $\mathcal{S}$  (note that  $\mathcal{S}_a$  and  $\mathcal{S}$  both depend on the values of  $n$  and  $r$ , but this will always be clear from the context). For  $r > n/2$  the family  $\binom{[n]}{r}$  itself is intersecting, so the Erdős–Ko–Rado Theorem determines the maximum size of an intersecting family on  $\binom{[n]}{r}$  for all integers  $r$  and  $n$ .

One natural extension of this result is to find the maximum size of an intersecting family  $\mathcal{A} \subseteq \binom{[n]}{r}$  which is *non-trivial*, that is, which is not a subfamily of a star. Hilton and Milner [7] demonstrated that in fact such families must be significantly smaller

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than stars. More precisely, they proved that for all  $1 < r < n/2$ , every non-trivial intersecting family  $\mathcal{A} \subseteq \binom{[n]}{r}$  has  $|\mathcal{A}| \leq \binom{n-1}{r-1} - \binom{n-r-1}{r-1} + 1$ . This bound is also tight, as demonstrated by the *Hilton–Milner family*  $\mathcal{HM} := \{A \in \mathcal{S} : A \cap [2, r + 1] \neq \emptyset\} \cup \{[2, r + 1]\}$ , and Hilton and Milner additionally proved that (up to isomorphism)  $\mathcal{HM}$  is the unique non-trivial intersecting family of this size for  $r = 2$  and  $r \geq 4$ , and the families  $\mathcal{HM}$  and  $\mathcal{A}_{2,3} := \{A \in \binom{[n]}{r} : |A \cap \{1, 2, 3\}| \geq 2\}$  are the only two non-trivial intersecting families of this size for  $r = 3$ . The logical next step is to ask for the maximum size of an intersecting family  $\mathcal{A} \subseteq \binom{[n]}{r}$  which is neither a subfamily of the star nor of the Hilton–Milner family. For  $r \geq 4$  this was solved implicitly by Hilton and Milner [7], and very recently Han and Kohayakawa [6] gave a simpler proof which also includes the case  $r = 3$ .

The method of *compression* (also known as *shifting*), is a key technique in proving each of the results stated above. Given  $i, j \in [n]$  and a family  $\mathcal{A} \subseteq \binom{[n]}{r}$ , the *ij-shift*  $S_{ij}(\mathcal{A})$  of  $\mathcal{A}$  is the family obtained by the following change: for each set  $A \in \mathcal{A}$  for which  $i \in A$  and  $j \notin A$ , replace  $A$  by  $B := (A \setminus \{i\}) \cup \{j\}$  in  $\mathcal{A}$  if  $B \notin \mathcal{A}$ . We say that a family is *left-compressed* if  $S_{ij}(\mathcal{A}) = \mathcal{A}$  for every  $i > j$ . The following equivalent form of this definition is convenient. For sets  $A, B \in \binom{[n]}{r}$ , write  $A = \{a_1, \dots, a_r\}$  and  $B = \{b_1, \dots, b_r\}$  with  $a_1 \leq \dots \leq a_r$  and  $b_1 \leq \dots \leq b_r$ . We say that  $A \leq B$  if  $a_i \leq b_i$  for every  $i \in [r]$ . A family  $\mathcal{A} \subseteq \binom{[n]}{r}$  is then left-compressed if for every  $A, B \in \binom{[n]}{r}$  with  $A \in \mathcal{A}$  and  $B \leq A$  we have  $B \in \mathcal{A}$ . For a wide-ranging overview of compressions of set systems, see the survey by Frankl [5]. The relevance to intersecting families arises through the well-known fact that if  $\mathcal{A} \subseteq \binom{[n]}{r}$  is intersecting then for every  $i, j \in [n]$  the family  $S_{ij}(\mathcal{A})$  is also intersecting, so when seeking the maximum size of an intersecting family we can restrict our attention solely to left-compressed families. In particular, it is easily observed that the families  $\mathcal{S}, \mathcal{HM}$  and  $\mathcal{A}_{2,3}$  are each left-compressed.

Another natural extension of the Erdős–Ko–Rado Theorem is to ask for the maximum size of an intersecting family  $\mathcal{A} \subseteq \binom{[n]}{r}$  if we only count those sets  $A \in \mathcal{A}$  which intersect a fixed subset  $X \subseteq [n]$ . Without further restriction this problem is a trivial consequence of the Erdős–Ko–Rado Theorem (we can fix any  $a \in X$  and take  $\mathcal{A} = \mathcal{S}_a$ ), but Borg [3] observed that the ‘correct’ interpretation of the problem is to consider only left-compressed families  $\mathcal{A}$ . Using his terminology, we say that a set  $A$  *hits* a set  $X$  if  $A \cap X \neq \emptyset$ , and the *hitting* of a family  $\mathcal{A}$  with a set  $X$  is

$$\text{hit}_X(\mathcal{A}) := |\{A \in \mathcal{A} : A \cap X \neq \emptyset\}|,$$

that is, the number of members of  $\mathcal{A}$  which hit  $X$ . So we seek to identify, for each  $n, r$  and  $X \subseteq [n]$ , the left-compressed intersecting families  $\mathcal{A} \in \binom{[n]}{r}$  which maximise  $\text{hit}_X(\mathcal{A})$ . Clearly we need only consider maximal left-compressed intersecting families (MLCIFs),<sup>1</sup> and we say that an MLCIF is *optimal* for  $X$  if it achieves this maximum.

<sup>1</sup> It is important to note that the order of conditions here is irrelevant, in that a maximal left-compressed intersecting family is precisely a maximal intersecting family which is left-compressed. Indeed, if an MLCIF  $\mathcal{A}$  is not maximal with respect to the intersecting property, then there is a larger intersecting family  $\mathcal{A}'$  with  $\mathcal{A} \subseteq \mathcal{A}'$ , and by repeated shifts of  $\mathcal{A}'$  we obtain a left-compressed intersecting family  $\mathcal{A}''$  with  $|\mathcal{A}''| = |\mathcal{A}'|$  and  $\mathcal{A} \subseteq \mathcal{A}''$ , contradicting the maximality of  $\mathcal{A}$ .

Fix any  $1 \leq r \leq n$ . If  $r > n/2$  then the family  $\binom{[n]}{r}$  is the only MLCIF, so vacuously is the unique optimal MLCIF for every  $X \subseteq [n]$ . We therefore assume henceforth that  $n \geq 2r$ . Likewise, in the case  $r = 1$  the family  $\{\{1\}\}$  is vacuously the unique optimal MLCIF for every  $X \subseteq [n]$ . For  $r = 2$  there exist two MLCIFs, namely  $\mathcal{S} = \{\{1, x\} : x \in [2, n]\}$  and  $\mathcal{A}_{2,3} = \{\{1, 2\}, \{2, 3\}, \{1, 3\}\}$ , and a straightforward case analysis shows that  $\mathcal{A}_{2,3}$  is the unique optimal MLCIF for  $X \in \{\{2\}, \{3\}, \{2, 3\}\}$ , that both  $\mathcal{A}_{2,3}$  and  $\mathcal{S}$  are optimal MLCIFs for  $X = \{2, 3, x\}$  with  $x \in [4, n]$  and  $X = \{y, z\}$  with  $y \in \{2, 3\}$  and  $z \in [4, n]$ , and that for every other non-empty  $X$  the family  $\mathcal{S}$  is the unique optimal MLCIF. (Actually, if  $n = 4$  there are a few more cases in which both families are optimal MLCIFs, but we omit the details since our primary interest is the case where  $n$  is large.) Unfortunately, for  $r \geq 3$  the number of MLCIFs grows rapidly, so case analyses quickly prove intractable. Observe, however, that if  $1 \in X$  then the Erdős–Ko–Rado Theorem implies that  $\mathcal{S}$  is the unique optimal MLCIF for  $X$ , and if  $X$  is empty then trivially every MLCIF is optimal for  $X$ . We therefore restrict our attention henceforth to non-empty sets  $X \subseteq [2, n]$ . Borg considered for which such sets the star is optimal, and gave both general sufficient conditions under which this occurs (Theorem 1), as well as a precise characterisation for the case  $|X| = r$  (Theorem 2).

**Theorem 1** (Borg [3]) *Suppose that  $r \geq 2$  and  $n \geq 2r$ . Then  $\mathcal{S}$  is optimal for every  $X \subseteq [2, n]$  satisfying at least one of the following:*

- (i)  $|X| > r$ ;
- (ii)  $X \geq X'$ , where  $\mathcal{S}$  is known to be optimal for  $X'$ ;
- (iii)  $X = \{2k, 2k + 2, \dots, 2r\}$  for any  $k \leq r$ .

**Theorem 2** (Borg [3]) *Suppose that  $r \geq 2$  and  $n \geq 2r$ , and fix  $X \subseteq [2, n]$  with  $|X| = r$ . If  $n = 2r$ , then  $\mathcal{S}$  is optimal for  $X$  if and only if  $X \geq \{2, 4, \dots, 2r\}$ , whilst if  $n > 2r$  then  $\mathcal{S}$  is optimal for  $X$  if and only if one of the following statements holds:*

- (i)  $r = 2$  and  $X \neq \{2, 3\}$ ;
- (ii)  $r = 3$  and  $|X \cap \{2, 3\}| \leq 1$ ;
- (iii)  $r \geq 4$  and  $X \neq [2, r + 1]$ .

More recently Barber [2] generalised these results by precisely characterising the cases for which the star is optimal for sufficiently large  $n$ . Observe for this that if  $X \subseteq [2, r + 1]$  is non-empty then  $\text{hit}_X(\mathcal{H}\mathcal{M}) = \text{hit}_X(\mathcal{S}) + 1$ , so  $\mathcal{S}$  is certainly not optimal for such  $X$ .

**Theorem 3** (Barber [2]) *Suppose that  $r \geq 3$  and that  $n$  is sufficiently large, and fix non-empty  $X \subseteq [2, n]$ . Then  $\mathcal{S}$  is optimal for  $X$  if and only if  $X \not\subseteq [2, r + 1]$  and one of the following statements holds:*

- (i)  $|X| = 1$ ;
- (ii)  $|X| = 2$  and  $X \cap \{2, 3\} = \emptyset$ ;
- (iii)  $|X| = 3$  and  $|X \cap \{2, 3\}| \leq 1$ ;
- (iv)  $|X| \geq 4$ .

Addressing the cases where  $\mathcal{S}$  is not optimal for  $X$ , Barber posed the following question.

**Question 4** *Is there a short list of families, one of which is optimal for every  $X$ ?*

That is, can we find a small collection of MLCIFs  $\mathcal{F}$ , such that for every  $X \subseteq [n]$ , there exists  $\mathcal{A} \in \mathcal{F}$  such that  $\mathcal{A}$  is optimal for  $X$ ? The main result of this paper answers this question in the affirmative for sufficiently large  $n$ ; our list consists of the star  $\mathcal{S}$  along with a class of families  $\mathcal{AHM}_t$  for  $3 \leq t \leq r + 1$  which includes the families  $\mathcal{A}_{2,3}$  and  $\mathcal{HM}$  introduced previously. Specifically, (in the context of fixed integers  $n \geq r$ .) for each  $t \in [n]$  we define

$$\mathcal{AHM}_t := \{A \in \mathcal{S} : A \cap [2, t] \neq \emptyset\} \cup \{A \in \binom{[n]}{r} : [2, t] \subseteq A\}$$

and call  $\mathcal{AHM}_t$  the *t-adjusted Hilton–Milner family*. It is easy to check that  $\mathcal{AHM}_t$  is a left-compressed intersecting family for every  $t \geq 3$ . Furthermore, for  $3 \leq t \leq r + 1$  the family  $\mathcal{AHM}_t$  is in fact an MLCIF (see Proposition 10). Observe in particular that  $\mathcal{HM} = \mathcal{AHM}_{r+1}$  and that  $\mathcal{A}_{2,3} = \mathcal{AHM}_3$ . We can now formally state our main result.

**Theorem 5** *Suppose that  $r \geq 3$  and that  $n$  is sufficiently large, and fix a non-empty subset  $X \subseteq [2, n]$ .*

- If  $X = \{2\}$  then  $\mathcal{AHM}_3$  is optimal for  $X$ .*
- If  $|X| = 2$  and  $X \cap \{2, 3\} \neq \emptyset$  then  $\mathcal{AHM}_3$  is optimal for  $X$ . Furthermore, if also  $4 \in X$ , then  $\mathcal{AHM}_4$  is simultaneously optimal for  $X$ .*
- If  $|X| = 3$  and  $\{2, 3\} \subseteq X$  then  $\mathcal{AHM}_3$  is optimal for  $X$ . Furthermore, if also  $X = \{2, 3, 4\}$ , then  $\mathcal{AHM}_4$  is simultaneously optimal for  $X$ .*
- If  $X \subseteq [2, r + 1]$  and  $X$  is not as in (a)–(c), then  $\mathcal{AHM}_m$  is optimal for  $X$ , where  $m := \max X$ .*

*No other MLCIFs are optimal for  $X$  as in (a)–(d), and for every other  $X \subseteq [2, n]$  the star  $\mathcal{S}$  is the unique optimal MLCIF for  $X$ .*

In particular, the only non-empty sets  $X \subseteq [n]$  for which there is not a unique optimal MLCIF are  $\{2, 4\}$ ,  $\{3, 4\}$  and  $\{2, 3, 4\}$ . Our proof of Theorem 5 follows the approach of Barber, which in turn developed the work of Ahlswede and Khachatrian [1] on generating families. In particular we use Barber's key observation that every MLCIF can be generated by a collection of subsets of  $[2r]$  to narrow down the possible MLCIFs for a set  $X$  to a collection small enough to compare against each other. We introduce generating families and this key result in the next section, before giving the proof of Theorem 5 in Sect. 3 and concluding with some further remarks and questions in Sect. 4.

## 1.1 Notation

For integers  $r \leq n$ , we write  $[n] := \{1, \dots, n\}$  and  $[r, n] := \{r, r + 1, \dots, n\}$ ; for  $r > n$  we consider  $[r, n]$  to be empty. Given a set  $X$  we use  $\binom{X}{r}$  to denote the family of all subsets of  $X$  of size  $r$  and  $\mathcal{P}(X)$  to denote the set of all subsets of  $X$ .

## 2 Generating Families

Fix integers  $1 \leq r \leq n$ , and let  $\mathcal{G}$  be a collection of subsets of  $[n]$ . Then the family  $\langle \mathcal{G} \rangle_{n,r}$  generated by  $\mathcal{G}$  with respect to  $n$  and  $r$  is given by  $\langle \mathcal{G} \rangle_{n,r} := \{A \in \binom{[n]}{r} : A \supseteq G \text{ for some } G \in \mathcal{G}\}$ ; we omit the subscripts and write simply  $\langle \mathcal{G} \rangle$  when  $n$  and  $r$  are clear from the context. We call  $\mathcal{G}$  a *generating family* of  $\langle \mathcal{G} \rangle$ . Observe that members of  $\mathcal{G}$  of size greater than  $r$  do not contribute to  $\langle \mathcal{G} \rangle$ . Every family  $\mathcal{A} \in \binom{[n]}{r}$  is a generating family of itself, but many families  $\mathcal{A}$  admit more concise generating families. For example, we have  $\mathcal{S} = \langle \{\{1\}\} \rangle$ ,  $\mathcal{A}_{2,3} = \langle \{\{1, 2\}, \{1, 3\}, \{2, 3\}\} \rangle$ ,  $\mathcal{HM} = \langle \{\{1, i\} : 2 \leq i \leq r+1\} \cup \{[2, r+1]\} \rangle$  and  $\mathcal{AHM}_t = \langle \{\{1, i\} : 2 \leq i \leq t\} \cup \{[2, t]\} \rangle$ .

The following key observation of Ahlswede and Khachatrian motivates this definition for working with intersecting families.

**Theorem 6** (Ahlswede–Khachatrian [1]) *Suppose that  $n \geq 2r$  and that  $\mathcal{G} \subseteq \mathcal{P}([n])$  has  $|G| \leq r$  for every  $G \in \mathcal{G}$ . Then  $\mathcal{G}$  is intersecting if and only if  $\langle \mathcal{G} \rangle$  is intersecting.*

Since there may be many different generating families for an MLCIF on  $\binom{[n]}{r}$ , it is helpful to define a single canonical generating family of each such family. For an MLCIF  $\mathcal{A} \subseteq \binom{[n]}{r}$  we do this as follows. First, we say that a set  $G \subseteq [n]$  is a *potential generator* of  $\mathcal{A}$  if for every  $A \in \binom{[n]}{r}$  with  $G \subseteq A$  we have  $A \in \mathcal{A}$ . We then define the *canonical generating family*  $\mathcal{G}$  of  $\mathcal{A}$  to be the set of all minimal potential generators of  $\mathcal{A}$  (where minimality is with respect to inclusion), and we call the elements of  $\mathcal{G}$  the *generators* of  $\mathcal{A}$ . Observe that since every element of  $\mathcal{A}$  is a potential generator of  $\mathcal{A}$ , the canonical generating family  $\mathcal{G}$  of  $\mathcal{A}$  is indeed a generating family of  $\mathcal{A}$ . Also note that by definition  $\mathcal{G}$  must be an *antichain*, meaning that no element of  $\mathcal{G}$  is a proper subset of another element of  $\mathcal{G}$ . Our next proposition establishes the key property that  $\mathcal{G}$  is supported on the first  $2r$  elements of  $[n]$ , and is in fact essentially unique in having this property (the existence of a generating family with this property can also be obtained from results of Barber [2]; see Lemma 8 and the discussion preceding it).

**Lemma 7** *Fix integers  $n \geq r$ , let  $\mathcal{A}$  be an MLCIF on  $\binom{[n]}{r}$ , and let  $\mathcal{G}$  be the canonical generating family of  $\mathcal{A}$ . Then  $G \subseteq [2r]$  for every  $G \in \mathcal{G}$ . Furthermore, if  $n \geq 3r$  then  $\mathcal{G}$  is the only generating family of  $\mathcal{A}$  which is an antichain each of whose members is a subset of  $[2r]$ .*

**Proof** To prove the first statement, suppose for a contradiction that there exists  $G \in \mathcal{G}$  with  $G \not\subseteq [2r]$ . Then the set  $X := G \cap [2r]$  is a proper subset of  $G$ . Let  $A$  be the set consisting of the elements of  $G$  and the  $r - |G|$  largest elements of  $[n] \setminus G$ , so  $|A| = r$  and we have  $A \in \mathcal{A}$  since  $G \subseteq A$  and  $G$  is a generator of  $\mathcal{A}$ . Furthermore, since  $G$  is a minimal potential generator of  $\mathcal{A}$ , the set  $X$  is not a potential generator of  $\mathcal{A}$ , meaning that there exists a set  $B \in \binom{[n]}{r} \setminus \mathcal{A}$  with  $X \subseteq B$ . Now, since  $\mathcal{A}$  is a maximal intersecting family, there must exist a set  $C \in \mathcal{A}$  with  $C \cap B = \emptyset$  (as otherwise we could add  $B$  to  $\mathcal{A}$ ). It follows that  $C \cap X = \emptyset$ . Choose any set  $Z \subseteq [2r] \setminus (X \cup C)$  with  $|Z| = r - |X|$  (this is possible since  $|C| = r$  so  $[2r] \setminus (X \cup C)$  has size at least  $r - |X|$ ). Then  $D := X \cup Z$  is a set of size  $r$ . Moreover our choices of  $A$  and  $Z$  ensure that  $D \subseteq A$ , so the fact that  $A \in \mathcal{A}$  and  $\mathcal{A}$  is left-compressed implies  $D \in \mathcal{A}$ . However,  $D \cap C = \emptyset$ , contradicting the fact that  $\mathcal{A}$  is intersecting.

For the second statement, since  $\mathcal{G}$  is an antichain, it suffices to prove that for  $n \geq 3r$  there do not exist two distinct generating families  $\mathcal{G}_1$  and  $\mathcal{G}_2$  of  $\mathcal{A}$  which are both antichains such that every  $G \in \mathcal{G}_1 \cup \mathcal{G}_2$  has  $G \subseteq [2r]$ . Suppose for a contradiction that such families exist, and let  $i$  be minimal such that  $\mathcal{G}_1 \cap \binom{[2r-1]}{i} \neq \mathcal{G}_2 \cap \binom{[2r-1]}{i}$ . Assume without loss of generality that there exists  $A \in \mathcal{G}_1 \cap \binom{[2r-1]}{i}$  with  $A \notin \mathcal{G}_2$ . Since  $A \in \mathcal{G}_1$  we have  $T := A \cup \{n - r + i + 1, \dots, n\} \in \mathcal{A}$ , so there must exist  $B \in \mathcal{G}_2$  with  $B \subseteq T$ . However, since  $A \notin \mathcal{G}_2$  we have  $B \neq A$ , whilst by minimality of  $i$  and the fact that  $\mathcal{G}_1$  is an antichain we cannot have  $B \subsetneq A$ . It follows that  $B \not\subseteq A$ , that is,  $B \cap \{n - r + i + 1, \dots, n\} \neq \emptyset$ . However, for  $n \geq 3r$  we then have  $B \not\subseteq [2r]$ , contradicting our assumption on  $\mathcal{G}_2$ .  $\square$

We define the *rank* of an MLCIF  $\mathcal{A} \subseteq \binom{[n]}{r}$  to be the smallest size of a generator of  $\mathcal{A}$  (this is well-defined since the generators are the members of the canonical generating family). Clearly  $\mathcal{S}$  is the unique MLCIF of rank one. The following proposition plays a key role in the proof of our main theorem by showing that when identifying optimal MLCIFs for a non-empty set  $X$  we need only consider MLCIFs of rank one or two; MLCIFs of larger rank simply cannot generate enough sets to be optimal.

**Proposition 8** *Fix  $r$  and let  $n$  be sufficiently large. For every non-empty  $X \subseteq [2, n]$ , every MLCIF which is optimal for  $X$  has rank one or two.*

**Proof** Fix a non-empty set  $X \subseteq [2, n]$  and let  $\mathcal{A}$  be an MLCIF of rank at least three. Then by Lemma 7 there are at most  $2^{2r}$  generators in  $\mathcal{G}$ , each of which generates at most  $\binom{n}{r-3}$  elements of  $\mathcal{A}$ , so  $\text{hit}_X(\mathcal{A}) \leq 2^{2r} \binom{n}{r-3}$ . On the other hand, the star  $\mathcal{S}$  is an MLCIF with  $\text{hit}_X(\mathcal{S}) \geq \binom{n-2}{r-2}$ , so for  $n$  sufficiently large  $\mathcal{A}$  is not optimal for  $X$ .  $\square$

Our next lemma shows that the canonical generating family of an MLCIF  $\mathcal{A}$  partially inherits the property of being left-compressed, in the sense that the family of generators of smallest size must be left-compressed. Combined with Theorem 6 this shows that in fact these generators form a left-compressed intersecting family, though not necessarily an MLCIF, as shown e.g. by  $\mathcal{AHM}_4$ .

**Lemma 9** *Fix  $n \geq 2r$ , let  $\mathcal{A}$  be an MLCIF on  $\binom{[n]}{r}$ , let  $\mathcal{G}$  be the canonical generating family of  $\mathcal{A}$ , and let  $k$  be the rank of  $\mathcal{A}$ . Then the subfamily  $\mathcal{G} \cap \binom{[n]}{k}$  is left-compressed.*

**Proof** Suppose for a contradiction that there exist  $A \in \mathcal{G} \cap \binom{[n]}{k}$  and  $B \in \binom{[n]}{k} \setminus \mathcal{G}$  with  $B \leq A$ . Let  $C$  be the set of the  $r - k$  largest elements of  $[n] \setminus A$ , and let  $D$  be the set of the  $r - k$  largest elements of  $[n] \setminus B$ . Then  $S := A \cup C$  and  $T := B \cup D$  are both elements of  $\binom{[n]}{r}$ , and the fact that  $B \leq A$  implies that  $T \leq S$ . Since  $A \subseteq S$  and  $A \in \mathcal{G}$  we have  $S \in \mathcal{A}$ , and since  $\mathcal{A}$  is left-compressed it follows that  $T \in \mathcal{A}$ . However, the fact that  $\mathcal{A}$  is left-compressed then implies that  $B \cup F \in \mathcal{A}$  for every set  $F \in \binom{[n] \setminus B}{r-k}$ , and so  $B$  is a potential generator of  $\mathcal{G}$ . This gives a contradiction, since  $B \notin \mathcal{G}$  and  $\mathcal{A}$  has no generators of size less than  $k = |B|$ .  $\square$

We now justify our assertion made in the introduction that the family  $\mathcal{AHM}_t$  is indeed an MLCIF.

**Proposition 10** *For  $n \geq 2r$  and  $3 \leq t \leq r + 1$ , the family  $\mathcal{AHM}_t$  is an MLCIF.*

**Proof** For  $t \geq 3$ , the fact that  $\mathcal{AHM}_t$  is left-compressed follows immediately from the definition, and Theorem 6 implies that  $\mathcal{AHM}_t$  is intersecting. It remains to show that  $\mathcal{AHM}_t$  is maximal with these properties. For  $t = r + 1$  this follows from the Hilton–Milner Theorem [7] which states that  $\mathcal{HM} = \mathcal{AHM}_{r+1}$  is the largest intersecting family which is not a subfamily of a star. So suppose for a contradiction that  $t \leq r$  and  $\mathcal{AHM}_t$  is not an MLCIF. Then  $\mathcal{AHM}_t$  is a proper subset of an MLCIF  $\mathcal{AHM}_t^*$ , so we may choose a set  $A \in \mathcal{AHM}_t^* \setminus \mathcal{AHM}_t$ . It follows from the definition of  $\mathcal{AHM}_t$  that if  $1 \in A$  then  $\{1, t + 1, t + 2, \dots, t + r - 1\} \leq A$ , and if  $1 \notin A$  then  $\{2, 3, \dots, t - 1, t + 1, t + 2, \dots, r + 2\} \leq A$ . Since  $\mathcal{AHM}_t^*$  is left-compressed this implies that either  $\{1, t + 1, t + 2, \dots, t + r - 1\} \in \mathcal{AHM}_t^*$  or  $\{2, 3, \dots, t - 1, t + 1, t + 2, \dots, r + 2\} \in \mathcal{AHM}_t^*$ . Observe that  $\{2, 3, \dots, t, t + r, t + r + 1, \dots, 2r\} \in \mathcal{AHM}_t$  and  $\{1, t, r + 3, r + 4, \dots, 2r\} \in \mathcal{AHM}_t$  but  $\{1, t + 1, t + 2, \dots, t + r - 1\} \cap \{2, 3, \dots, t, t + r, t + r + 1, \dots, 2r\} = \emptyset$ , and  $\{2, 3, \dots, t - 1, t + 1, t + 2, \dots, r + 2\} \cap \{1, t, r + 3, r + 4, \dots, 2r\} = \emptyset$ . So in either case the family  $\mathcal{AHM}_t^*$  is not intersecting, a contradiction.  $\square$

Observe that if the set  $\{2, 3\}$  is a generator of an MLCIF  $\mathcal{A}$ , then  $\mathcal{AHM}_3 \subseteq \mathcal{A}$ , and it then follows from the maximality of  $\mathcal{AHM}_3$  that  $\mathcal{A} = \mathcal{AHM}_3$ . This establishes the following corollary.

**Corollary 11** For  $n \geq 2r$ , if  $\{2, 3\}$  is a generator of an MLCIF  $\mathcal{A}$ , then  $\mathcal{A} = \mathcal{AHM}_3$ .

Using this, we can establish a more detailed understanding of MLCIFs of rank 2. For this we define  $\mathcal{I}_j$  for  $j \geq 2$  to be the set of all MLCIFs  $\mathcal{A} \subseteq \binom{[n]}{r}$  of rank two whose generators of size two are precisely the sets  $\{1, 2\}, \dots, \{1, j\}$ . Observe that  $\mathcal{AHM}_m \in \mathcal{I}_m$  for every  $m \geq 4$ , but that  $\mathcal{AHM}_3 \notin \mathcal{I}_3$ .

**Proposition 12** Let  $n \geq 2r$  and suppose that  $\mathcal{A} \subseteq \binom{[n]}{r}$  is an MLCIF of rank 2. Then either  $\mathcal{A} = \mathcal{AHM}_3$  or  $\mathcal{A} \in \bigcup_{j=2}^{r+1} \mathcal{I}_j$ .

**Proof** Let  $\mathcal{F}$  be the set of all generators of  $\mathcal{A}$  of size two. If  $\{2, 3\} \in \mathcal{F}$  then  $\mathcal{A} = \mathcal{AHM}_3$  by Corollary 11, so we may assume  $\{2, 3\} \notin \mathcal{F}$ . Since  $\mathcal{F}$  is left-compressed by Lemma 9 it follows that  $\mathcal{F} = \{\{1, i\} : 2 \leq i \leq j\}$  for some integer  $j \geq 2$ , that is, that  $\mathcal{A} \in \mathcal{I}_j$ . If  $j > r + 1$  then the fact that  $\mathcal{A}$  is intersecting implies that  $1 \in A$  for every  $A \in \mathcal{A}$ , so  $\mathcal{A}$  is a subfamily of the star  $\mathcal{S}$ , contradicting the fact that  $\mathcal{A}$  is an MLCIF of rank 2. So we must have  $j \leq r + 1$  as required.  $\square$

### 3 Proof of Theorem 5

Proposition 8 tells us that every MLCIF which is optimal for any non-empty  $X \subseteq [2, n]$  must have rank one or two. Before proceeding to the proof of Theorem 5 we now further narrow down these possibilities to just two MLCIFs for each such set  $X \neq \{2\}$ . These possibilities are given in Corollary 14, which follows directly from our next proposition stating that almost all members of  $\bigcup_{j=2}^{r+1} \mathcal{I}_j$  cannot be optimal. Similar statements can be made for the case  $X = \{2\}$ , but due to the fact that  $\mathcal{AHM}_2$  is not an MLCIF it is convenient instead to defer this case to the proof of Theorem 5.

**Proposition 13** Fix  $r \geq 3$ , let  $n$  be sufficiently large, let  $X \subseteq [2, n]$  be non-empty and write  $m := \max X$ .

- (i) If  $X \not\subseteq [2, r + 1]$  then  $\text{hit}_X(\mathcal{S}) > \text{hit}_X(\mathcal{A})$  for every  $\mathcal{A} \in \bigcup_{j=2}^{r+1} \mathcal{I}_j$ . That is,  $\mathcal{S}$  hits  $X$  more than any family in  $\bigcup_{j=2}^{r+1} \mathcal{I}_j$ .
- (ii) If  $X \subseteq [2, r + 1]$  and  $X \neq \{2\}$ , then  $\text{hit}_X(\mathcal{A}\mathcal{H}\mathcal{M}_m) > \text{hit}_X(\mathcal{A})$  for every  $\mathcal{A} \in \bigcup_{j=2}^{r+1} \mathcal{I}_j \setminus \{\mathcal{A}\mathcal{H}\mathcal{M}_m\}$ . That is,  $\mathcal{A}\mathcal{H}\mathcal{M}_m$  hits  $X$  more than any other family in  $\bigcup_{j=2}^{r+1} \mathcal{I}_j$ .

**Proof** For (i), fix an MLCIF  $\mathcal{A} \in \bigcup_{j=2}^{r+1} \mathcal{I}_j$  and let  $\mathcal{G}$  be the canonical generating family of  $\mathcal{A}$ . By Lemma 7 we have  $|\mathcal{G}| \leq 2^{2r}$ . Define

$$\mathcal{S}' := \{S \in \mathcal{S} : \{1, m\} \subseteq S \text{ and } [2, r + 1] \cap S = \emptyset\},$$

and

$$\mathcal{A}^* := \{A \in \mathcal{A} : \{1, j\} \subseteq A \text{ for some } j \in [2, r + 1]\}.$$

Then (for sufficiently large  $n$ ) we have  $|\mathcal{S}'| = \binom{n-r-2}{r-2} > 2^{2r} \binom{n}{r-3} \geq |\mathcal{A} \setminus \mathcal{A}^*|$ ; the final inequality holds since every set in  $\mathcal{A} \setminus \mathcal{A}^*$  is generated by one of the at most  $2^{2r}$  generators of size at least 3, each of which generates at most  $\binom{n}{r-3}$  sets. Observe also that  $\mathcal{A}^* \subseteq \mathcal{S}$ , that  $\mathcal{S}' \cap \mathcal{A}^* = \emptyset$ , and that every element of  $\mathcal{S}'$  is an element of  $\mathcal{S}$  which hits  $X$ . It follows that

$$\text{hit}_X(\mathcal{S}) \geq \text{hit}_X(\mathcal{A}^*) + |\mathcal{S}'| > \text{hit}_X(\mathcal{A}^*) + |\mathcal{A} \setminus \mathcal{A}^*| \geq \text{hit}_X(\mathcal{A}),$$

as required.

For (ii) we introduce the following notation: for any MLCIF  $\mathcal{A}$ , write

$$\mathcal{A}^\circ := \{A \in \mathcal{A} : \{1, j\} \subseteq A \text{ for some } j \in [2, m]\} \text{ and } \mathcal{A}^+ = \mathcal{A} \setminus \mathcal{A}^\circ.$$

Assume  $X \subseteq [2, r + 1]$ , and observe that since  $m = \max X$ , for each  $x \in X$  the set  $\{1, x\}$  is a generator of  $\mathcal{A}\mathcal{H}\mathcal{M}_m$ . It follows that  $\text{hit}_X(\mathcal{A}\mathcal{H}\mathcal{M}_m) \geq |X| \binom{n-r-1}{r-2}$ . Consider any MLCIF  $\mathcal{A} \in \bigcup_{j=2}^{r+1} \mathcal{I}_j$  with  $\mathcal{A} \neq \mathcal{A}\mathcal{H}\mathcal{M}_m$ , and let  $\mathcal{G}$  be the canonical generating family of  $\mathcal{A}$ , so  $|\mathcal{G}| \leq 2^{2r}$  by Lemma 7. Suppose first that  $\mathcal{A} \in \bigcup_{j=2}^{m-1} \mathcal{I}_j$ . Then  $\mathcal{G}$  contains at most  $|X| - 1$  generators of the form  $\{1, x\}$  with  $x \in X$ , each of which generates at most  $\binom{n}{r-2}$  members of  $\mathcal{A}$ , whilst each of the at most  $2^{2r}$  remaining generators  $G \in \mathcal{G}$  generates at most  $r \binom{n}{r-3}$  members of  $\mathcal{A}$  which hit  $X$ . (In fact, generators  $G$  satisfying  $|G| = 2$  but not hitting  $X$  generate at most  $r \binom{n}{r-3}$  members of  $\mathcal{A}$  which hit  $X$ , namely those sets which contain both  $G$  and one of the at most  $r$  elements of  $X$ . All other generators have size at least 3, and thus generate at most  $\binom{n}{r-3}$  members of  $\mathcal{A}$ .) So we have  $\text{hit}_X(\mathcal{A}) \leq (|X| - 1) \binom{n}{r-2} + 2^{2r} r \binom{n}{r-3}$ , and so (for  $n$  sufficiently large)  $\text{hit}_X(\mathcal{A}\mathcal{H}\mathcal{M}_m) > \text{hit}_X(\mathcal{A})$ , as required.

We may therefore assume that  $\mathcal{A} \in \bigcup_{j=m}^{r+1} \mathcal{I}_j$ , and in particular that  $\{1, j\}$  is a generator of  $\mathcal{A}$  for every  $j \in [2, m]$ . Observe that we then have  $\mathcal{A}^\circ = \mathcal{A}\mathcal{H}\mathcal{M}_m^\circ$ , so



$\text{hit}_X(\mathcal{A}^\circ) = \text{hit}_X(\mathcal{A}\mathcal{H}\mathcal{M}_m^\circ)$ . We now compare  $\text{hit}_X(\mathcal{A}^+)$  and  $\text{hit}_X(\mathcal{A}\mathcal{H}\mathcal{M}_m^+)$ ; observe for this that  $\mathcal{A}\mathcal{H}\mathcal{M}_m^+$  contains precisely those sets  $S \in \binom{[n]}{r}$  with  $1 \notin S$  and  $[2, m] \subseteq S$ , so we have  $\text{hit}_X(\mathcal{A}\mathcal{H}\mathcal{M}_m^+) = \binom{n-m}{r-m+1}$ . On the other hand, since  $\mathcal{A}$  is intersecting, Theorem 6 tells us that  $\mathcal{G}$  is intersecting also; since  $\mathcal{G}$  includes  $\{1, j\}$  for every  $j \in [2, m]$ , it follows that every generator  $G \in \mathcal{G}$  must satisfy either  $1 \in G$  or  $[2, m] \subseteq G$ . However, every set  $A \in \mathcal{A}$  with  $1 \in A$  which hits  $X$  is an element of  $\mathcal{A}^\circ$ , so the sets generated by generators  $G$  with  $1 \in G$  do not contribute to  $\text{hit}_X(\mathcal{A}^+)$ . Also, since  $\mathcal{A}\mathcal{H}\mathcal{M}_m$  is an MLCIF whose generators are  $[2, m]$  and  $\{1, j\}$  for  $j \in [2, m]$ , and  $\mathcal{A} \neq \mathcal{A}\mathcal{H}\mathcal{M}_m$ , we must have  $[2, m] \notin \mathcal{G}$ . So every generator  $G \in \mathcal{G}$  with  $[2, m] \subseteq G$  has size at least  $m$ , and so the number of sets generated by generators of this form is at most  $2^{2r} \binom{n}{r-m}$ . We conclude that (for sufficiently large  $n$ ) we have  $\text{hit}_X(\mathcal{A}^+) \leq 2^{2r} \binom{n}{r-m} < \text{hit}_X(\mathcal{A}\mathcal{H}\mathcal{M}_m^+)$ , and so

$$\begin{aligned} \text{hit}_X(\mathcal{A}) &= \text{hit}_X(\mathcal{A}^\circ) + \text{hit}_X(\mathcal{A}^+) < \text{hit}_X(\mathcal{A}\mathcal{H}\mathcal{M}_m^\circ) + \text{hit}_X(\mathcal{A}\mathcal{H}\mathcal{M}_m^+) \\ &= \text{hit}_X(\mathcal{A}\mathcal{H}\mathcal{M}_m), \end{aligned}$$

as required. □

Recall that if  $X \subseteq [2, r + 1]$  is non-empty then the star  $\mathcal{S}$  is not optimal for  $X$  since  $\text{hit}_X(\mathcal{A}\mathcal{H}\mathcal{M}_{r+1}) = \text{hit}_X(\mathcal{S}) + 1$ . This fact, together with Propositions 8, 12 and 13, immediately implies the following important corollary, narrowing down the list of potential optimal families for a set  $X$  to just two possibilities.

**Corollary 14** *For every  $r \geq 3$  the following statements hold for sufficiently large  $n$ .*

- (1) *For every non-empty  $X \subseteq [2, r + 1]$  with  $X \neq \{2\}$ , if  $\mathcal{A}$  is an MLCIF which is optimal for  $X$  then  $\mathcal{A} \in \{\mathcal{A}\mathcal{H}\mathcal{M}_3, \mathcal{A}\mathcal{H}\mathcal{M}_m\}$ , where  $m = \max X$ .*
- (2) *For every  $X \not\subseteq [2, r + 1]$ , if  $\mathcal{A}$  is an MLCIF which is optimal for  $X$  then  $\mathcal{A} \in \{\mathcal{S}, \mathcal{A}\mathcal{H}\mathcal{M}_3\}$ .*

Finally, to prove Theorem 5 we simply need to compare, for each set  $X$ , the hitting of these two potential optimal families with  $X$ . We do this on a case-by-case basis.

**Proof of Theorem 5** Throughout this proof we will use our assumption that  $n$  is sufficiently large relative to  $r$  without further comment. We begin with case (a), where  $X = \{2\}$ . By Proposition 8 and Proposition 12 the only possible optimal MLCIFs for  $X$  are  $\mathcal{S}$ ,  $\mathcal{A}\mathcal{H}\mathcal{M}_3$  and the members of  $\mathcal{I}_j$  for  $2 \leq j \leq r + 1$ . Observe that  $\text{hit}_X(\mathcal{A}\mathcal{H}\mathcal{M}_3) = \binom{n-2}{r-2} + \binom{n-3}{r-2}$ , whilst  $\text{hit}_X(\mathcal{S}) = \binom{n-2}{r-2}$ . Furthermore, for each  $\mathcal{A} \in \bigcup_{j=2}^{r+1} \mathcal{I}_j$  we have  $\text{hit}_X(\mathcal{A}) \leq \binom{n-2}{r-2} + 2^{2r} \binom{n}{r-3}$ , since  $\mathcal{A}$  has at most  $2^{2r}$  generators by Lemma 7. It follows that  $\mathcal{A}\mathcal{H}\mathcal{M}_3$  is the unique optimal MLCIF for  $X$ .

We next turn to case (b), where  $|X| = 2$  and  $X \cap \{2, 3\} \neq \emptyset$ . If  $X = \{2, 3\}$  then Corollary 14 implies that  $\mathcal{A}\mathcal{H}\mathcal{M}_3$  is the unique optimal MLCIF for  $X$ . Assume

therefore that either  $X = \{2, m\}$  or  $X = \{3, m\}$  for some  $m \geq 4$ . In each case we have

$$\begin{aligned} \text{hit}_X(\mathcal{S}) &= \binom{n-2}{r-2} + \binom{n-3}{r-2}, \\ \text{hit}_X(\mathcal{A}\mathcal{H}\mathcal{M}_3) &= \binom{n-2}{r-2} + \binom{n-3}{r-2} + \binom{n-4}{r-3}, \text{ and, if } m \in [4, r+1], \text{ then} \\ \text{hit}_X(\mathcal{A}\mathcal{H}\mathcal{M}_m) &= \binom{n-2}{r-2} + \binom{n-3}{r-2} + \binom{n-m}{r-m+1}. \end{aligned}$$

Note that  $\binom{n-4}{r-3} \geq \binom{n-m}{r-m+1}$  for all  $m \geq 4$  with equality if and only if  $m = 4$ . By Corollary 14 it follows that  $\mathcal{A}\mathcal{H}\mathcal{M}_3$  is the unique optimal MLCIF for  $X$  for  $m > 4$ , whilst  $\mathcal{A}\mathcal{H}\mathcal{M}_3$  and  $\mathcal{A}\mathcal{H}\mathcal{M}_4$  are the only two optimal MLCIFs for  $X$  if  $m = 4$ .

Now we consider case (c), where  $X = \{2, 3, m\}$  for some  $m \geq 4$ . We then have

$$\begin{aligned} \text{hit}_X(\mathcal{S}) &= \binom{n-2}{r-2} + \binom{n-3}{r-2} + \binom{n-4}{r-2}, \\ \text{hit}_X(\mathcal{A}\mathcal{H}\mathcal{M}_3) &= \binom{n-2}{r-2} + \binom{n-3}{r-2} + \binom{n-3}{r-2}, \text{ and, if } m \in [4, r+1], \text{ then} \\ \text{hit}_X(\mathcal{A}\mathcal{H}\mathcal{M}_m) &= \binom{n-2}{r-2} + \binom{n-3}{r-2} + \binom{n-4}{r-2} + \binom{n-m}{r-m+1}. \end{aligned}$$

Observe that  $\binom{n-3}{r-2} \geq \binom{n-4}{r-2} + \binom{n-m}{r-m+1}$  for all  $m \geq 4$  with equality holding if and only if  $m = 4$ . By Corollary 14 it follows that  $\mathcal{A}\mathcal{H}\mathcal{M}_3$  is the unique optimal MLCIF for  $X$  for  $m > 4$  whilst  $\mathcal{A}\mathcal{H}\mathcal{M}_3$  and  $\mathcal{A}\mathcal{H}\mathcal{M}_4$  are the only two optimal MLCIFs for  $X$  if  $m = 4$ .

Lastly, in case (d) we have that  $X \subseteq [2, r+1]$  and that  $X$  does not meet the conditions of cases (a)–(c). Define  $m := \max X$ . Then by Corollary 14 the only two possibilities for optimal MLCIFs for  $X$  are  $\mathcal{A}\mathcal{H}\mathcal{M}_3$  and  $\mathcal{A}\mathcal{H}\mathcal{M}_m$ . Observe that  $\mathcal{A}\mathcal{H}\mathcal{M}_m$  has  $|X|$  generators of size 2 which intersect  $X$ , so  $\text{hit}_X(\mathcal{A}\mathcal{H}\mathcal{M}_m) \geq |X| \binom{n-r-1}{r-2}$ . If  $\{2, 3\} \subseteq X$ , then  $|X| \geq 4$  (otherwise we have case (b) or (c)), so we have

$$\text{hit}_X(\mathcal{A}\mathcal{H}\mathcal{M}_3) \leq 3 \binom{n-2}{r-2} < |X| \binom{n-r-1}{r-2} \leq \text{hit}_X(\mathcal{A}\mathcal{H}\mathcal{M}_m).$$

Similarly, if  $|\{2, 3\} \cap X| = 1$  then  $X = \{3\}$  or  $|X| \geq 3$  (otherwise we have case (a) or (b)). If  $X = \{3\}$  then  $\mathcal{A}\mathcal{H}\mathcal{M}_3 = \mathcal{A}\mathcal{H}\mathcal{M}_m$ , whilst if  $|X| \geq 3$  then we have

$$\text{hit}_X(\mathcal{A}\mathcal{H}\mathcal{M}_3) \leq 2 \binom{n-2}{r-2} + |X| \binom{n}{r-3} < |X| \binom{n-r-1}{r-2} \leq \text{hit}_X(\mathcal{A}\mathcal{H}\mathcal{M}_m).$$

When  $X \cap \{2, 3\} = \emptyset$ , the family  $\mathcal{A}\mathcal{H}\mathcal{M}_3$  has no rank 2 generators hitting  $X$ , whilst  $\mathcal{A}\mathcal{H}\mathcal{M}_m$  has  $|X|$  such generators, so certainly  $\text{hit}_X(\mathcal{A}\mathcal{H}\mathcal{M}_3) < \text{hit}_X(\mathcal{A}\mathcal{H}\mathcal{M}_m)$ . In each case it follows that  $\mathcal{A}\mathcal{H}\mathcal{M}_m$  is the unique optimal MLCIF for  $X$ .

Finally, it remains to prove that the star is the unique optimal MLCIF for every set  $X \subseteq [2, n]$  which is not covered by cases (a)–(d). Any such  $X$  has  $X \not\subseteq [2, r+1]$ , so

by Corollary 14 it suffices for this to show that  $\text{hit}_X(\mathcal{A}\mathcal{H}\mathcal{M}_3) < \text{hit}_X(\mathcal{S})$ . Moreover, any such  $X$  satisfies either

- (i)  $|X| = 1$ ,
- (ii)  $|X| = 2$  and  $X \cap \{2, 3\} = \emptyset$ ,
- (iii)  $|X| = 3$  and  $|X \cap \{2, 3\}| \leq 1$ , or
- (iv)  $|X| \geq 4$ .

Observe that in cases (i), (ii) and (iii) we have  $\text{hit}_X(\mathcal{S}) \geq |X| \binom{n-4}{r-2}$ . However, in cases (i) and (ii) we also have  $\text{hit}_X(\mathcal{A}\mathcal{H}\mathcal{M}_3) \leq 6 \binom{n}{r-3}$ , and in case (iii) we have  $\text{hit}_X(\mathcal{A}\mathcal{H}\mathcal{M}_3) \leq 2 \binom{n}{r-2} + 2 \binom{n}{r-3}$ . Similarly in case (iv) we have  $\text{hit}_X(\mathcal{S}) \geq 4 \binom{n-5}{r-2}$  and  $\text{hit}_X(\mathcal{A}\mathcal{H}\mathcal{M}_3) \leq 3 \binom{n}{r-2}$ . So in all cases we have  $\text{hit}_X(\mathcal{A}\mathcal{H}\mathcal{M}_3) < \text{hit}_X(\mathcal{S})$ , as required. □

We finish this section by returning to the question of which left-compressed intersecting families (LCIFs) have maximum hitting with a fixed non-empty set  $X \subseteq [n]$ . For this we extend the definition of optimality to LCIFs in the natural way, saying that an LCIF  $\mathcal{A} \subseteq \binom{[n]}{r}$  is optimal for  $X$  if  $\text{hit}_X(\mathcal{A}) \geq \text{hit}_X(\mathcal{F})$  for every LCIF  $\mathcal{F} \subseteq \binom{[n]}{r}$ . As for MLCIFs, if  $1 \in X$  then  $\mathcal{S}$  is the unique optimal LCIF, so again we consider only  $X \subseteq [2, n]$ . Since every LCIF is a subfamily of an MLCIF, the optimal LCIFs for  $X$  are precisely the left-compressed subfamilies of optimal MLCIFs which can be formed by removing sets which do not hit  $X$ . From this observation we obtain the following corollary (which should be read in conjunction with Theorem 5).

**Corollary 15** *Let  $r \geq 3$  and  $n$  be sufficiently large. Suppose that  $X \subseteq [2, n]$  is non-empty and let  $m := \max X$ .*

- (i) *If  $\mathcal{S}$  is not an optimal MLCIF for  $X$  then the optimal LCIFs for  $X$  are precisely the optimal MLCIFs for  $X$ .*
- (ii) *If  $\mathcal{S}$  is an optimal MLCIF for  $X$  then the optimal LCIFs for  $X$  are precisely the LCIFs  $\mathcal{A}$  with  $\mathcal{A}\mathcal{H}\mathcal{M}_m \subseteq \mathcal{A} \subseteq \mathcal{S}$ .*

**Proof** Suppose first that  $\mathcal{S}$  is not an optimal MLCIF for  $X$ . Then by Theorem 5 every optimal MLCIF for  $X$  has the form  $\mathcal{A}\mathcal{H}\mathcal{M}_t$  for some  $t \in [3, r + 1]$ , and moreover we have  $t \in X$  in every case except when  $X = \{2\}$  or  $X = \{2, x\}$  with  $x \in [4, n]$ , in which case  $t = 3$ . (When  $X = \{2, 4\}$  both  $\mathcal{A}\mathcal{H}\mathcal{M}_3$  and  $\mathcal{A}\mathcal{H}\mathcal{M}_4$  are optimal for  $X$ ; for the former we have  $t = 3$  and for the latter we have  $t \in X$ .) Observe that every set  $A \in \mathcal{A}\mathcal{H}\mathcal{M}_t$  has either  $A \leq B := \{1, t, n - r + 3, \dots, n\}$  or  $A \leq C := \{2, 3, \dots, t, n - r + t, \dots, n\}$ , and furthermore that for  $t = 3$  every set  $A \in \mathcal{A}\mathcal{H}\mathcal{M}_3$  has  $A \leq C$ . Since  $C$  hits  $X$  in all cases, and  $B$  hits  $X$  if  $t \in X$ , it follows that every LCIF  $\mathcal{A}$  which is a proper subfamily of  $\mathcal{A}\mathcal{H}\mathcal{M}_t$  has  $\text{hit}_X(\mathcal{A}) < \text{hit}_X(\mathcal{A}\mathcal{H}\mathcal{M}_t)$ , and so is not optimal, proving (i).

Now suppose that  $\mathcal{S}$  is an optimal MLCIF for  $X$ . Then  $\mathcal{S}$  is the unique optimal MLCIF for  $X$  by Theorem 5, so every optimal LCIF  $\mathcal{A}$  for  $X$  has  $\mathcal{A} \subseteq \mathcal{S}$ . Furthermore we have  $m > r + 1$ , so  $\mathcal{A}\mathcal{H}\mathcal{M}_m$  consists precisely of those sets  $A \in \binom{[n]}{r}$  with  $A \leq D$ , where  $D$  is the set formed by adding the  $r - 2$  largest elements of  $[n] \setminus \{m\}$  to  $\{1, m\}$ .

Since  $D$  hits  $X$  it follows that every optimal LCIF  $\mathcal{A}$  has  $\mathcal{AHM}_m \subseteq \mathcal{A}$ , and (ii) follows since  $\text{hit}_X(\mathcal{AHM}_m) = \text{hit}_X(S)$ .<sup>2</sup>  $\square$

## 4 Further Directions

It would be interesting to know how large  $n$  must be to satisfy Theorem 5 (Barber previously asked the analogous question following his proof of Theorem 3). Following our proofs directly gives a bound on  $n$  which is exponential in  $r$ , but we suspect that more careful arguments would yield a polynomial bound.

Recall that, for sufficiently large  $n$ , Theorem 3 identified all  $X \subseteq [n]$  for which an MLCIF of rank 1 (that is,  $S$ ) is optimal, and Theorem 5 shows that in all other cases every optimal MLCIF for  $X$  has rank 2. In the spirit of the Hilton–Milner Theorem, it would also be interesting to consider the optimal MLCIF among all families other than the star  $S$ , giving the following question.

**Question 16** For each  $n \geq 2r$  and  $X \subseteq [n]$ , which MLCIFs  $T \neq S$  satisfy  $\text{hit}_X(T) \geq \text{hit}_X(\mathcal{A})$  for every MLCIF  $\mathcal{A} \neq S$ ?

To answer Question 16 we must certainly consider MLCIFs of rank greater than 2. Indeed, by Proposition 12 every MLCIF of rank 2 has no size 2 generators hitting any element  $x \in X$  such that  $x > r + 1$ . So, for example, when  $r = 3$  and  $X = \{5\}$ , no generator of size 2 in a canonical generating family can hit  $X$ . Observe that the family  $\mathcal{A}_{3,4,5} := \{\{a, b, c\} : 1 \leq a < b < c \leq 5\}$  has 6 generators of size 3 hitting  $X$ . Every other MLCIF (excluding the star) has at most 5 generators of size 3 hitting  $X$ , and thus for sufficiently large  $n$  the family  $\mathcal{A}_{3,4,5}$  is unique in achieving maximum hitting with  $X$  among all MLCIFs excluding the star. The problem appears to become significantly harder for larger values of  $r$ , for which it seems difficult just to enumerate all the MLCIFs which exist. In fact it seems to be non-trivial to resolve even the apparently-simpler question of identifying, for every  $X \subseteq [n]$ , the MLCIFs  $\mathcal{A}$  which maximise  $\text{hit}_X(\mathcal{A})$  among all MLCIFs of rank two.

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<sup>2</sup> Since here we have  $m > r + 1$ , the family  $\mathcal{AHM}_m$  is not an MLCIF, so this conclusion does not contradict our assertion that  $S$  is the unique optimal MLCIF for  $X$ .

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