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HyFiPAD: a hybrid approach for fngerprint presentation attack detection using local and adaptive image features

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Abstract

With the pervasiveness of secured biometric authentication applications, the fngerprint-based identifcation system has fascinated much attention recently. However, the major detriment is their recognition sensors are vulnerable to presentation or spoofng attacks from fake fngerprint artifacts. To resolve these issues, a viable anti-deception countermeasure known as presentation attack detection (PAD) mechanism is developed. As handcrafted feature-based classifcation techniques exhibit encouraging results in computer vision, they are widely employed in fngerprint spoof detection. Notably, the singlefeature-based techniques do not perform uniformly over diferent spoofng and sensing technologies. In this research work, we expound a new hybrid fngerprint presentation attack detection approach (HyFiPAD) that discriminates live and fake fngerprints using majority voting ensemble build on three local and adaptive textural image features. We propose a new descriptor (i.e., a variant of LBP) which is termed as Local Adaptive Binary Pattern (LABP). Thus, the notion of proposed LABP is used to extract more detailed micro-textural features from the fngerprint images. Our LABP features are combined with an existing Complete Local Binary Pattern (CLBP) descriptor to learn two respective SVM classifers and additionally a sequential model is trained with the manually extracted Binary Statistical Image Features (BSIF). The experiments are performed on benchmark anti-spoofng datasets namely; LivDet 2009, LivDet 2011, LivDet 2013, and LivDet 2015, where an average classifcation error rate (ACER) of 4.11, 3.19, 2.88, and 2.97% is, respectively, achieved. The overall experimental analysis of the HyFiPAD demonstrates superiority against majority of the state-of-the-art methods. In addition, the proposed technique yields a promising performance on cross-database and cross-sensor liveness detection tests, claiming good generalization capability.

Keywords Fingerprint biometrics · Spoof attacks · Presentation attack detection · Image features · Sequential model

1 Introduction

With an expeditious growth in the demand for secured authentication, the conventional methods are being replaced with contemporary biometrical approaches as they cannot be stolen, misplaced, transferred or forged [\[1](#page-24-0), [2\]](#page-24-1). Dominantly, the fngerprint biometric is widely deployed for this task due its higher reliability and low sensing cost with user's convenience. Thus, the fngerprint-based recognition systems are utilized in several applications including law enforcement, border control, cell-phone authentication, physical and

 \boxtimes Deepika Sharma sharmadeepika749@gmail.com logical access, citizen identifcation, healthcare and subsidies, commercial applications and public security [[3\]](#page-24-2). However, these systems endure a variety of attacks threatening the security that has hindered their use in various computing applications. In a biometric system, out of eight vulnerable attack points, the presentation or spoof attacks is regarded as most commonly attempted as it does not require any internal information [\[4\]](#page-24-3). Generally, the presentation attack in fngerprint biometrics is an attempt by an imposter to capture the biometrical subsystem by presenting a fake fngerprint artifacts usually created from glue, wax, and play-doh. Few samples of fngerprint artifacts created through cooperation and non-cooperation of users and thereby acquired with a variety of sensing devices are shown in Fig. [1.](#page-1-0)

To alleviate presentation attacks, a fingerprint PAD (FiPAD) a.k.a liveness detection module is integrated with the biometric recognition system which serves as a security

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Fig. 1 Fake fngerprint images acquired from: **a** Biometrika sensor using non-cooperative method, **b** ItalData sensor using Non-cooperative method, **c** CrossMatch sensor by using with cooperative method,

d Swipe sensor by using with cooperative method (adopted from Liv-Det 2013 DB [\[5\]](#page-24-10))

check. Broadly, the PAD mechanism may be treated as a binary classifcation problem that is accomplished through computing the diference of micro-textural or image quality characteristics of live or fake fngerprint traits. Contemporarily, the development of FiPAD mechanisms has become an active feld of research that has witnessed numerous contributions to offer effective liveness detection solutions. While the use of single or multiple image features-based PAD techniques has resulted in accurate detection systems, performance and generalization capability to unknown attacks (anomaly detection) $[6–10]$ $[6–10]$ $[6–10]$ has been limited. Additionally, one of the hefty tasks of traditional FiPAD techniques is to decide upon the appropriate number and type of image features for classifying the given image as real or fake. The feld of deep learning-based PAD is emerging as a potential alternate compared to traditional methods in current time. There are multiple reasons behind it, like automatic deep feature extraction and their improved accuracy. However, the limitations associated with these techniques include an added overhead and larger training dataset requirement. Furthermore, deep learning-based PAD mechanisms necessitate particular hardware infrastructure. Whereas, the traditional classifer-based approaches may also perform well with smaller training datasets with higher discrimination capability of the feature set. The texture-based approaches that utilize single image features such as $[8, 10-17]$ $[8, 10-17]$ $[8, 10-17]$ $[8, 10-17]$ demonstrate limitations to execute uniformly over diferent fngerprint materials and sensing devices. To counter these problems few multiple feature-based approaches have been reported in past such as $WLD + LPQ$ [[14\]](#page-24-8), PHOG + SURF [\[18](#page-24-9)], and $PHOG + SURF + Gabor [18]$ $PHOG + SURF + Gabor [18]$ $PHOG + SURF + Gabor [18]$ which results in comparatively higher ACER (%) of 7.87, 7.32 and 6.90 respectively. Thus, to further improve the performance, our HyFiPAD model relies on extracting three micro-textural textural features from a single fngerprint.

A plentiful of handcrafted feature descriptors is available for the task of image classifcation problems like LBP, LPQ, LCP, SIFT, SURF, HoG, PHOG, and WLD. The original LBP feature set encodes the information based only on basic relationship among the central pixel with its neighbors. One of the variant of LBP (CeLBP) utilizes global threshold for computing binary code that may result in lower discrimination capability as it extract comparatively lesser signifcant information from local region. To address this issue, we propose a new variant of local features termed as LABP which calculates the binary codes by making use of dynamically adapting a new threshold. The newly proposed LABP features are combined with existing Complete Local Binary Pattern (CLBP) and BSIF to enhance the overall performance of the HyFiPAD model. Moreover, CLBP features are yet to be explored for FiPAD task that may be a constructive descriptor to minimize the efect of rotational variance in the images. One of the problems in fngerprint images involves unusual characteristics due to

several inconsistencies encountered during capturing process. To conquer these problems, the BSIF descriptor is popularly useful for encoding the texture information into a feature set by utilizing flters that are learned from the natural images unlike other descriptors such as LPQ, LCP, SIFT, HoG and many others. The learning using BSIF offers a fexible way to adjust the length of descriptor and also helps to adapt in anti-spoofng task involving unusual image properties like blurring, imperfect alignment, and rotation. In the light of aforementioned challenges, and to further improve the performance, the obvious choice for our novel hybrid PAD model is to exploit the key characteristics of the proposed LABP together with CLBP and BSIF descriptors. Indeed, our experiments that are performed on LivDet 2009 to LivDet 2015 datasets manifest that our selected individual feature descriptors do not perform well to separate fngerprint images into live and fake classes. Hence, all the chosen feature set complements each other to yield excellent classifcation accuracy.

To the best of our knowledge, the literature affirmed a few hybrid techniques for FiPAD mechanism that are based on ensemble learning (i.e., Random Forest, AdaBoost) using multiple features [[18,](#page-24-8) [14](#page-24-9)]. With this motivation, our work focus to design a novel hybrid approach that makes use of enhanced image textural features to build an ensemble with traditional SVM classifer and a basic sequential model. A viable solution is to build a majority voting-based ensemble using three classifers trained on selected feature set.

Therefore, this work broadly aims to develop a generalized hybrid technique that is not impacted by the type of fabrication material used for spoof creation. To attain this, we train and test our model on diferent dataset (cross-database) and with diferent sensing technologies (cross-sensor). In summary, the major contributions of this work are listed as follows:

- i. The proposed LABP descriptor used in the HyFiPAD technique produces robust feature set with higher discrimination potential.
- ii. The complementary combinations of the proposed LABP together with CLBP and BSIF offer superior performance.
- iii. An ensemble based on majority voting created with SVM and sequential model yields additional improvements in performance.
- iv. The HyFiPAD approach exhibits promising generalization capabilities across unknown attack scenarios evaluated on two publically available anti-spoofng datasets.
- v. The experimental results demonstrate the efectiveness of proposed approach in terms of performance as compared to existing state-of-the-art methods.

The remainder of the paper is organized as follows: Sect. [2](#page-2-0) presents a review on recent advancements in FiPAD techniques. Section [3](#page-5-0) illustrates the framework and algorithms of the proposed HyFiPAD approach. In Sect. [4](#page-10-0), the experimental benchmark datasets along with performance protocol and a detailed experimental analysis are systematically discussed. In last, the conclusions as well as the future scope of this work are briefy presented in Sect. [5](#page-16-0).

2 Related work

Due to the importance of the counter mechanisms and recent advancements in fngerprint-based recognition systems, it becomes requisite to detect the liveness of the presented trait as intruders can easily counterfeit the authentication system by using various presentation instruments (PAIs). Consequently, the imperative research challenge is to discriminate the live fngerprint from forged one, which has been inspirited by the ISO-Standard IEC 30107-3 E. During the past decades, the fngerprint anti-spoofng techniques have witnessed diferent trends based on a variety of key concepts as shown in Fig. [2.](#page-3-0) Usually, FiPAD approaches are separated into two main categories based on the type of liveness indicator, i.e., (a) hardware-based analysis (b) software-based analysis. The former involves an additional sensing device along with the fngerprint recognition system to measure the vitality characteristics such as temperature, pulse-oximetry [\[19\]](#page-24-11), blood pressure [[20](#page-24-12)], odor [[21\]](#page-24-13), skin-impedance [\[22](#page-24-14)], and etc. for classifying the live and fake fngerprint traits. In contrary to former, the software module processes the single (static approach) or multiple (dynamic approach) fngerprint images for exploring their image characteristics to detect liveness properties [[23,](#page-24-15) [24](#page-24-16)]. The handcrafted feature-based methods (static or dynamic) work by extracting the textural features [[11](#page-24-17), [12,](#page-24-18) [18,](#page-24-9) [25](#page-24-19)[–30\]](#page-25-0) or pore-based [[31](#page-25-1), [32\]](#page-25-2) information from the fngerprint images, whereas dynamic approach utilizes the skin deformation $[33, 34]$ $[33, 34]$ $[33, 34]$ $[33, 34]$ or sweat secretion $[35-37]$ $[35-37]$ $[35-37]$ at fngertip as an indicator for FiPAD. Due to its remarkable results in PAD mechanisms, software-based approaches have received greater attention these days. Particularly, texture-based approaches can discover features or patterns from images that could be targeted to examine the diference between two classes of fngerprint traits. Our proposed approach is based on extracted handcrafted micro-textural features from the fngerprint image; therefore, this work purely comes under software-based FiPAD approach. Thus, the brief literature review in this section is limited to pioneer contributions related to software-based mechanisms.

2.1 Handcrafted feature‑based approaches

Most of the conventional handcrafted (manually extracted) feature-based PAD approaches are investigated in the early years of 2000s, where a variety of image descriptors were employed for the task of fngerprint PAD. The handcrafted feature-based descriptors extract texture, color, fngerprint pores, perspiration, and edge information from a given fngerprint images to discriminate between live and fake traits. Early in 2005, the frst proposal of texture-based FiPAD is established by Moon et al. [\[11](#page-24-17)] and their concept is centered around fngerprint surface analysis by using wavelet feature. The Wavelet analysis facilitates to explore the input signal from images with various scales. Therefore, it is used to minimize the outcome of valley or ridge pattern while surface coarseness estimation is the main basis of fngerprint liveness detection in this method. Nikam et al. [[12\]](#page-24-18) introduced wavelet energy features along with LBP histograms. The LBP is used to capture textural information whereas wavelet energy features describe the ridge orientation and frequency information. Zhang et al. [[13\]](#page-24-20) also suggested wavelet analysis along with LBP for detecting the liveness of the fngerprint. They developed a scheme where the wavelet analysis is used for obtaining the residual noise and de-noised image. The images are then divided into blocks with equal size and LBP features are extracted from the histograms for FiPAD. Although, LBP is a powerful image descriptor but there is a limitation of small support area for LBP. Therefore, Jia et al. [\[38](#page-25-7)] address the limitation of original LBP by employing the multi scale LBP (MSLBP) for fngerprint liveness detection. The MSLBP can be implemented in two ways; the frst is to accomplish it by increasing the operator radius and other is to apply flters and then LBP operator for the fxed radius. Ghiani et al. [\[30](#page-25-0)] proposed a PAD method that is based on Local Phase Quantization (LPQ). The LPQ is in-variant to rotation and is insensitive to the effects of blurring; hence, it is used in fngerprint liveness detection as it represents all the spectrum characteristics of an image in a compressed feature representation. In another work, Ghiani et al. [[39\]](#page-25-8) designed a PAD technique based on BSIF algorithm. BSIF is a local image descriptor which is created by using binary operation on the responses obtained from the linear flters. The flters as oppose to previous binary descriptors are learnt from the natural images by making use of independent component analysis (ICA). The features so obtained are used for fngerprint liveness detection. The authors extend their work in [[7](#page-24-21)] by thoroughly exploring the BSIF features for extracting textural information. Likewise, Li and Chan [[40](#page-25-9)] presented a technique by revising the original BSIF descriptor where 2-Dimensional Gaussian distribution function is applied on the BSIF image. Gragnaniello et al. [[14\]](#page-24-8) proposed a method using weber local descriptor (WLD) which is an efficient image descriptor that is inspired by the Weber's law. It contains two main modules, namely diferential excitation and orientation. Then, joint histograms generated from both the components are used to build the discriminating FVs. Though the original WLD is a robust local descriptor but two imperfections were observed in it. First, the orientation calculation only considers the pixels in horizontal and vertical directions, which does not adequately refect the gradient orientations. Next, the diferential excitation component of original WLD accumulates the sum of the diferences between the center pixel and its neighbors. The positive and negative diferences will counteract each other, which degrades the efectiveness of descriptor. Aforementioned issues are addressed with the development of a novel Weber local binary descriptor (WLBD) by Xia et al. [[10\]](#page-24-5). To design an efficient descriptor, the original LBP is combined with Weber's law for constructing the local binary diferential excitation (LBDE). Here, a function used to obtain the uniform LBD that describes the change in amplitude with respect to neighborhood pixels. The local binary gradient orientation (LBGO) is defned to characterize the orientation related information. Hence, WLBD is an amalgamation of LBDE and LBGO to generate discriminating features from images. Dubey et al. [\[18](#page-24-9)] introduced a hybrid technique that use low level gradient features from SURF, PHOG as well as texture features are extracted from Gabor wavelet, which are then coalesced by using dynamic score level integration. The framework is divided into three main blocks such as image pre-processing, feature extraction, and a classifcation stage. In image pre- processing stage, the quality of images is enhanced by frst applying the crop operation and then increasing the perception information with histogram equalization technique. In the next step, the images with diferent scales and rotations are captured from multiple subjects and with various scanners. The SURF is invariant to illumination, scale and rotation, on the other hand, PHOG is used to extract shape information for achieving extra discriminating features. Xia et al. [[6](#page-24-4)] extracted features from image gradients and generate a co-occurrence array. They applied quantization operation on each image and calculate their horizontal and vertical gradients. Similarly, second and third order array is computed by truncating the gradients. The extracted features are then used for image classifcation. Kim et al. [\[15](#page-24-22)] came out with a new PAD model that is based on Local Coherence Pattern (LCP), where the diference of the dispersion in the image gradient feld between the live and fake fngerprints is focused. The coherence is computed from the fngerprint image. Then LCP histogram is used to create a FV for training the PAD model training and classifcation. In analogues to prior research, Jiang and Liu [\[8](#page-24-6)] make use of Uniform LBP (ULBP) in spatial pyramid and these features are used to train an SVM classifer to discriminate live and fake fngerprint traits. In a recent work, Agarwal et al. [\[41](#page-25-10)] employed the notion of ensemble learning by adopting stacking and bagging method. The dataset is portioned into various subsets and LBP features are then extracted from each subset to train an SVM classifer. Recently, Gonzalez-Soler et al. [\[42\]](#page-25-11) proposed an integrated approach in which local dense-SIFT descriptor is combined with three feature encoding techniques, namely Fisher Vector (FV), Vector of Locally Aggregated Descriptors (VLAD) and Bag of Words (BoW). The encoded features are used to train an SVM classifer. After training, the experiments are performed on LivDet 2011 to LivDet 2019, where the FV showed the best performance in realistic and more complex scenarios.

2.2 Deep learning‑based approaches

With the emanation of deep convolution neural networks (DCNNs) and their outspread applications in pattern recognition, deep learning-based PAD techniques have attained a momentous growth in recent years [\[43](#page-25-12)]. With this succession in deep learning, several attempts have been made by

research community to apply deep feature-based extraction for fngerprint liveness detection.

Initially, in 2014 Nogueira and De Alencar [\[44](#page-25-13)] applied DCNN model for the frst time in fngerprint PAD mechanisms. They achieved the tasks of feature extraction and classifcation in two diferent stages, which further makes the system not to be optimized simultaneously. Then, Wang et al. [[45\]](#page-25-14) removed this limitation by introducing a DCNNbased model named Finger-Net in which Softmax layer is applied as classifer which optimizes the feature extraction and classifer training task simultaneously by using backpropagation pass. Nogueira et al. [[46\]](#page-25-15) elongated their model by incorporating the transfer learning concept, where they employed a pre-trained DCNN model named AlexNet [\[47](#page-25-16)]. The network is trained by VGG model as it increased the classifcation accuracy further by 2%. The authors compared four distinctive models in which (two are CNNs pretrained on natural and fne-tuned with fngerprint images, a CNN model with random weights, and a conventional LBP approach) pre-trained CNNs can yield the state-of-theart results. Park et al. [\[48\]](#page-25-17) and Toosi et al. [[49](#page-25-18)] designed two diferent techniques where fngerprint images are frst segmented to perform data augmentation and then voting strategy is applied to determine the liveness of fngerprint images. Lazimul and Binoy [\[50](#page-25-19)] trained a ConvNet architecture, and employed this model for fake and live class prediction. The discriminating features are extracted from pre-trained network, i.e., conception of transfer learning is adopted. The authors also applied image enhancement techniques in order to augment the accuracy of the overall system. Jung and Heo [[51](#page-25-20)] proposed CNN architecture that makes use of 'squared regression error (SRE)' instead of fully connected layer. The SRE layer sets a certain threshold value which restraint the suitable level of false positive or negative rates. Souza et al. [\[52](#page-25-21)] proposed a DBM model to extract deep features from the fngerprint image, and these extracted features are fed to SVM classifer for discriminating live and fake fngerprint images. Zhang et al. [\[53](#page-25-22)] developed a new lightweight framework known as SlimResCNN. The network is called SlimResCNN as the adaptation of neural network structure on smaller datasets broadened the convolutional flters to twice and compressed the depths to an extent in contrast to original network structure. Their network consists of stacked residual blocks where the local patches are segmented from foreground region of fngerprint image using 'center of gravity' technique. After extraction the patches are fed to the model to overcome the problem of information loss due to up or down sampling of image size. The proposed model outperforms the state-of-the-art techniques and is also a winner of LivDet2017 competition. Yuan et al. [[54\]](#page-25-23) designed an improved DCNN model which is based on 'Learning Rate Adaptive Adjustment' process. The main goal of the proposed technique is to model a

structure that is not limited to the fngerprint image scale; therefore a new layer known as Image Scale Equalization is added in the middle of the fully concatenation layer and the last convolution layer. Uliyan et al. [\[55\]](#page-25-24) proposed a novel DCNN model for fngerprint liveness detection on the basis of Deep Restricted Boltzmann Machine (DRBM) and Deep Boltzmann Machine (DBM). This multilayer architecture deals with complex textural patterns in an efficient manner. In the proposed method, after training a DBM, such structure has employed to extract deep level features from the grayscale fngerprint images. The KNN classifer is trained with the feature vectors, extracted by the DBM, to examine spoof forgeries. Another lightweight and efficient CNN architecture, named FLDNet, is given by Zhang et al. [[56\]](#page-25-25) which overcomes the limitations of Global Average Pooling (GAP) in fngerprint liveness detection. The FLDNet consists of altered dense block structure where residual path is incorporated and this network efectively upgrades the detection accuracy. In another work, Zhang et al. [\[57](#page-25-26)] fused the task of fngerprint matching and spoof detection. The authors calculated the similarity between two fngerprints on the basis of Octantal Nearest-Neighborhood Structure (ONNS), where the minutia point closest to the central minutia is taken from each sector of an octant. Then, the liveness detection score from the fngerprint image is attained by employing modifed Slim-ResCNN model. Finally, a score-level fusion is implemented on fngerprint matching and liveness detection results by generating score feature vector. Then, logistic regression is applied on the obtained score feature vector for discriminating live and fake fngerprint images.

Please refer 'Appendix,' where table illustrates the existing software-based FiPAD techniques with their key concept and performance. The summary points out that majority of the single descriptor-based FiPAD methods exhibits limited performance, while multiple feature-based methods ofer improved results. Though, deep feature-based methods have been explored in state-of-the-art techniques, but there are several challenges that obstruct their effectiveness. Hence, this encourages us to develop a texture-based FiPAD models that yields enhanced performance with appropriate selection of robust discriminating features, learning approaches, and the integration of handcrafted features trained classifers to create an ensemble.

3 The proposed approach

The discriminative micro-textural features are advantageous for capturing similarity among adjacent pixel values to safeguard against spoof attacks. To achieve superior detection results, it is essential to train an appropriate PAD model that is based on signifcant features extracted from a sufficient number of relevant images. To address the specific concerns related to existing state-of-the-art methods such as low discriminative power of single descriptor and use of single classifer, we follow to present a hybrid PAD technique that substantially improves the overall performance. To obtain detailed textural features form images, use of dynamic threshold for local regions is a better solution. The rotational variance in fngerprint images may be overcome by exploiting CLBP features. Apart from this, to address the issue of unusual characteristics introduced while capturing the images, there is a need to utilize a robust descriptor such as BSIF. In the following subsections, we describe the underlying idea of HyFiPAD framework, feature sets, algorithms, and learning algorithms together with the ensemble approach for fusing the outcome of multiple classifers.

3.1 The HyFiPAD framework

The potential of local patterns combined with the modifed adaptive features may result in constructing more robust FVs that may signifcantly enhance the accuracy. To this end, we present a hybrid framework for FiPAD to accomplish the task of the anti-spoofng sub-module as illustrated in Fig. [3.](#page-6-0) The key concept of the proposed framework is to function in two phases that comprise of training and testing procedures. The training phase broadly covers a series of activities that are applied to fngerprint dataset such as pre-processing, FV extraction, building a ensemble on base estimators, and tuning parameters of the model. The goal of the testing phase is to validate the HyFiPAD model on a randomly selected set of images covering diferent range of sensors and datasets for evaluating its accuracy. The detailed explanation of these stages is discussed in the following sections.

3.1.1 Image pre‑processing

The motive of image pre-processing is to enrich the quality of fngerprint images. The acquired fngerprint images are usually of low quality as they are captured under diferent environmental conditions (i.e., dry or wet fngers, poor illumination efect, and moisture in the air) through a variety of sensing devices. To prepare and enhance these images for handcrafted features-based model, the dataset is subjected to a series of image processing operations.

To eliminate the unnecessary background information, frstly the region of interest (ROI) is segmented from the fngerprint images. Later on, we transform the colored images to gray scale for reducing the computational complexity. Indeed, in our approach, the color feature is not necessary to distinguish between the class of fake and live modalities as it contains more information that append unnecessary complexity and takes more space in memory. To attain uniformity, the next phase resizes the fngerprint images to a dimension of 312×372 . Further, to improve quality, we

Fig. 3 Framework of the proposed HyFiPAD technique

apply the Histogram Equalization (HE) technique, which is used to stretch the intensity of input images to create normal distribution so that the active range of the image is fully normalized.

3.1.2 Feature extraction

We present a brief description of image features that are used for designing the HyFiPAD. The PAD architectures that are built on the LBP feature descriptor have achieved substantial performance, particularly for fngerprint anti-spoofng models. In the beginning, Ojala et al. [[58](#page-25-27)] introduced the LBP descriptor to extract texture features as a binary string from a local region preferably in the *N*×*N* neighborhood by comparing the intensity value of the central pixel with its neighbors. The LBP code of a pixel is computed by using Eqs. ([1\)](#page-6-1) and ([2\)](#page-6-2). The feature vector (FV) is constructed by consolidating all the patterns for a given image.

$$
LBP_{N,R} = \sum_{h=0}^{h-1} f(p_c - p_h) 2^h
$$
 (1)

$$
f(d) = \begin{cases} 1, & \text{if } d \ge 0 \\ 0, & \text{otherwise if } d < 0 \end{cases} \tag{2}
$$

where *h* indicates the number of the neighborhood of a central pixel *c* and *N* represent the total number of pixels in the given region, i.e., 8, or 24. The function f computes the difference between the intensity levels of both pixels.

It is clear that the original LBP fails to discriminate the regions within the smaller or larger variations in the

intensity levels which usually results in the erroneous computation of LBP codes. Moreover, the small change in the intensity values due to the presence of noise may lead to inaccurate computation of these codes. In another variant LBP as CLBP, the threshold for computing the binary code is calculated from the intensity levels of the whole image which result in capturing less significant information from a local image region. Therefore, to lessen these effects, we put forward a new image descriptor based on adaptive threshold and it is then combined with CLBP and as well as the BSIF feature descriptors. The fundamental approach which is adopted to extract micro-textural image features along with their pseudo-codes is described in following subsections.

3.1.2.1 Proposed local adaptive binary pattern (LABP) To overcome the issue of intensity variations in existing versions of LBP, we design a modifed descriptor (LABP) that utilize an adaptive or dynamic threshold for each central pixel during the process of computation of the binary codes. The adaptive threshold ensures to gather refned information of the surrounding pixels to crop the micro-level details during feature extraction. The LABP code for a given image may be computed by using Eqs. (3) (3) and (4) (4) .

$$
LABP_{N,R} = \sum_{h=0}^{n-1} f(p_c - p_h) 2^h
$$
 (3)

$$
f(d) = \begin{cases} 1, & \text{if } d \ge DT \\ 0, & \text{otherwise if } d < 0 \end{cases} \tag{4}
$$

where DT is the dynamic threshold that is computed by averaging the intensity level of all the neighboring pixels of central pixel p_c. Therefore, LABP code for a given image is more discriminative and free from local variations in a specifc region of the image. The dynamic threshold is calculated for each separate region by using the expression as given in Eq. (5) (5) .

$$
DT = \frac{\sum_{h=0}^{n-1} Ph}{n}
$$
 (5)

The adaptive threshold for computing the LABP code extracts more specific characteristics from a particular region of an image. It generates a feature descriptor that holds added discrimination power as compared to the original LBP or CLBP. Hence, our proposed LABP features make use of adaptive threshold throughout the whole process in comparison with CLBP, where a single threshold value is used. Figure [4](#page-7-1) displays the process of computing the LABP code for a given image. The algorithmic steps for extracting the LABP features from a fingerprint image $I(x, y)$ are shown in Fig. [5](#page-7-2).

For an input image $I(x, y)$, a distinct adaptive threshold is computed for a local given region that is used to compute the LABP code for all the pixels. The adaptive or dynamic threshold of a central pixel is the average of intensity levels of all its neighboring pixels. Finally, the normalized histogram 'h' of this LABP image symbolizes the feature descriptor of a given image $I(x, y)$. In this manner, the microtextural LABP features are extracted from the fngerprint image. The features obtained for a sample fngerprint image using LABP descriptor are illustrated in Fig. [6](#page-8-0).

3.1.2.2 Complete Local Binary Pattern (CLBP) To cover specifc textural properties of an image, Guo et al. (2010) [\[59](#page-25-28)] proposed an extended version of the original LBP. The descriptor compute three diferent LBP codes from a given region of the image; frst by considering the sign bits of the

Fig. 4 An example of LABP code computation for a given image

diference of central pixel and neighboring pixels; second, the magnitude of the diference is compared with a predecided threshold, and third by comparing the central pixel with the average of intensity level of all the pixels of an image. The sign LBP codes are computed by using Eqs. ([6\)](#page-8-1) and [\(7](#page-8-2)), and these are similar to the original version of LBP.

$$
SLBP_{N,R}(x_c, y_c) = \sum_{h=0}^{h-1} f(p_c - p_h) 2^h
$$
 (6)

$$
f(d) = \begin{cases} 1, & \text{if } d \text{ is + ve} \\ 0, & \text{otherwise} \end{cases}
$$
 (7)

The magnitude LBP is computed by using Eqs. [\(8](#page-8-3)) and [\(9](#page-8-4)),

MLBP_{N,R}
$$
(x_c, y_c) = \sum_{h=0}^{h-1} f(p_c - p_h) 2^h
$$
 (8)

$$
f(d) = \begin{cases} 1, & \text{if } d \ge T \\ 0, & \text{otherwise} \end{cases}
$$
 (9)

where *T* denotes the threshold which is computed by taking the average of the diference between central and all

Fig. 7

I(*x*, *y*)

the pixels of the image, i.e., $T = \text{mean}|p_c - p_i|$ where $i = 1$, 2, 3,..., N^2 with an image of size $N \times N$. Finally, the central LBP code is computed by using Eqs. (10) (10) and (11) .

$$
CELBP_{N,R}(x_c, y_c) = \sum_{h=0}^{h-1} f(p_c - p_h) 2^h
$$
 (10)

$$
f(p_c), f(d) = \begin{cases} 1, & \text{if } d \ge T \\ 0, & \text{otherwise} \end{cases}
$$
 (11)

where *T* is the average intensity of the whole image with size as *N*×*N*.

The aforementioned steps to compute CLBP image features are summarized in an algorithm shown in Fig. [7.](#page-8-7) Given an image $I(x, y)$, of size $M \times N$, we first compute SLBP, MLBP, and CeLBP codes for each pixel (*i*, *j*). The CLBP of the image is obtained by consolidating these variants of LBP. Finally, the descriptor is constructed by using a normalized histogram of the CLBP image.

The visual and quantitative analysis of the CLBP features for a sample fngerprint image is depicted in Fig. [8.](#page-9-0) The corresponding discriminative information of the resultant CLBP features is clearly visible in Fig. [8](#page-9-0)d.

3.1.2.3 Binarized statistical image features (BSIF) Our approach make use of a third textural feature as introduced by Kannala et al. [\[60](#page-25-29)]. In this case, a binary string is computed for all the pixels of a given image. The code value of a pixel may be considered as a local descriptor of the image intensity patterns in the pixel's surroundings. Given an image segment $P(u, v)$ of size $q \times t$ pixels, and assume a linear filter X_i of the same size, the response of the filter r_i is computed by using Eq. ([12\)](#page-9-1).

$$
r_i = \sum_{u,v} X_i(u,v)P(u,v) = x_i^T p
$$
\n(12)

where the vectors *x* and *p* denotes the pixels of X_i and *P*. The binarized feature b_i is obtained by using Eq. ([13\)](#page-9-2).

$$
b_i = \begin{cases} 1, & r_i > 0 \\ 0, & \text{otherwise} \end{cases}
$$
 (13)

For n linear flters, diferent convolutions results in a set of features that may be stacked in *W* of size $n \times q \times t$. The set of flters are derived by using a natural set of images where statistical independence of r_i is maximized. The feature vectors generated in this manner are used to construct a set of histograms to represent the feature descriptors of the images. Let there are n number of natural images denoted by $I_i(x, y)$, where $i = 1, 2, 3, \ldots, 8$. Suppose that, X_i be the filter of size 11×11 pre-learned from *i*th image. The *i*th filter is convolved with the input image $P(u, v)$ to compute the response $r_i(u, v)$. Further $b_i(u, v)$ is the binary response of the *i*th filter at pixel (u, v) . In this way, the binary response of all the flters is computed at the pixels of the whole fngerprint image. These responses are used to generate a code for each pixel to construct a feature image. In the last, the normalized histogram of the BSIF image provides the resultant feature descriptor (Fig. [9\)](#page-10-1).

An example of features extracted from the algorithm as applied on a sample fngerprint image with a set of flters derived from natural images is exhibited in Fig. [10](#page-10-2).

3.1.3 Classifcation stage

Once the image features are extracted by using the selected descriptors, the next task involves the training of a classifer or learner to discriminate an image as fake or live. A classifer is typically an algorithm that maps a given set of input features to predefned class labels. Several classifers are available such as SVM, Decision Tree (DT), Naive Bayes, Random Forest, and etc. that works on the principle of supervised learning using labeled datasets. However, the modern techniques involve automatic feature extraction with multiple hidden layers followed by a fully connected classifcation layer, and these networks are known as DCNNs. Our approach employs two SVM classifers and a generic sequential model as base estimator to accomplish the task of classifcation, that are all integrated through an ensemble. We briefy discuss the underlying principle of these classifers in the following subsections.

3.1.3.1 Support vector machine (SVM) The HyFiPAD makes use of linear SVM to train two classifers based on CLBP and LABP feature descriptors. Vapnik et al. [[61\]](#page-25-30) introduced the SVM as a part of his Doctoral thesis from the Moscow University. The SVM constructs a hyper-plane as a decision boundary in such a manner that the margin of separation between two class items is maximized. Typically, SVM algorithms construct learning model based on three functions, namely: polynomial, radial basis function (RBF), and a two-layer perceptron. The SVMs have good generalization performance and also called as the max-margin classifer. Usually, a linear classifer solves simple linearly separable problems of binary classifcation. For non-linearly separable problems the kernel trick is used for projecting the data into higher dimensional space to make it linearly separable. In our HyFiPAD, for training two diferent classifers on CLBP and LABP descriptors, we use linear SVM with $c = 100$ and function = 'RBF.'

Fig. 9 An algorithm to extract BSIF features from an image *I*(*x*, *y*)

Fig. 10 An instance of image feature with BSIF descriptor **a** original image, **b** histogram of

BSIF histogram

Algorithm 3: To extract BSIF Features from fingerprint image.

Input: Fingerprint Image $P(u, v)$ **Output: BSIF feature descriptor** 1: Begin $2:$ Let $[q,t]=size(P(u, v))$ $3:$ for $i=1$ to n $4:$ filter $X_i \leftarrow F(I_i(x,y))$ 5 end 6: Repeat (step 6-9) for each filter X_i $7:$ for $j=1$ to q $8:$ for $k=1$ to t $9:$ Compute $ri(j, k) = \sum_{u,v} Xi(j, k)P(u, v) = x_i^{T}p$ $bi(j,k) = \begin{cases} 1, &ri(j,k) > 0 \\ 0, &otherwise \end{cases}$ $10:$ BSIF(q,t) = $\sum_{i=0}^{8} bi(j, k)2^{i}$ $11:$ $12:$ end $13:$ end $14:$ h = histogram (BSIF_i(q, t)) $15:$ $h = normalise(h)$ $16:$ Return BSIF Descriptor 17: end

3.1.3.2 Sequential model For the BSIF features in our method, we make use of the sequential model in Keras via sequential API. These are the simplest neural networks that allow building the model layer by layer. In these cases, each layer has a weight that corresponds to the next layer in the sequence. We use 'add ()' function to add new layers in the model. The basic sequential model used in our approach is summarized in Table [1](#page-10-3). The dense layer is a regular layer of neurons in the neural network where each neuron gets inputs from all the neurons in the previous layer, thus densely connected. The purpose of the dense layer is to change the dimensionality of the data. We use the Rectifed Linear Activation Unit (ReLu) in a dense layer for its activation. In this model, we use the Gaussian Noise layer for regulariza-

Table 1 A description of basic sequential model for learning BSIF in HyFiPAD

Sr. no.	Layer (Type)	Out shape	Arguments
1	Dense ₁ (dense)	(None, 258)	$\text{activation} = \text{'relu'}$
2	Gaussian noise 1	(None, 258)	stddev = 3.5
3	Dropout_1 (dropout)	(None, 258)	$Rate = 0.3$
4	Dense 2	(None.258)	$activation='Relu'$
5	Dropout 2 (dropout)	(None, 258)	$Rate = 0.3$
6	Dense 3 (dense)	(None, 258)	$\text{activation} = 'Relu'$
	$Dropout_3$ (dropout)	(None, 258)	$Rate = 0.35$
8	Dense 4 (dense)	(None, 1)	$activation = 'sigmoid'$

tion purpose that is active during the training phase. The additive Gaussian layer in this case is used at stddev $=3.5$ and the layer has the same output as input. The dropout layer is used to prevent the over ftting and it randomly sets the input to '0' with a frequency rate of training time. The input which is not set to 0 is incremented by $1/(1 - \text{rate})$ so that overall inputs are unchanged. In our approach, we use the rate $=0.35$ or 0.3 in the dropout layer.

from multiple classifcations or regression learners. We employ a simple majority voting method in HyFiPAD to achieve fused outcomes of the three classifers, i.e., SVM1, SVM2, and Sequential model. The voting scheme that predict class labels is called hard voting whereas soft voting predicts class membership probabilities. We use hard voting in our approach to predict the outcome as fake or live. The key lines of python code that are used in our approach are shown below.

3.1.4 Ensemble using majority voting

In general, a voting ensemble or a '*majority voting ensemble*' is a machine learning model that integrates the predictions

> *models = list() Models = [('model1',SVC()),('model2',SVC()), ('model3', Sequential())] ensemble = VotingClassifier (estimators ='Models' , voting='hard')*

3.2 The HyFiPAD learning and validation

The learning of our PAD approach by using a training algorithm is depicted in Fig. [11](#page-11-0). Initially, a training set of fngerprint images of size '*t*' is chosen from the benchmark anti-spoofing dataset (D_t) . For the better performance of the model, the training dataset should be of sufficient size and also cover samples from all the labeled classes and as well

as sensors. Thereafter, the training images are pre-processed by applying few basic image processing operations to obtain a standardized set of images. Let $T_i(x, y)$ is the *i*th image of D_t obtained after applying pre-processing operations on corresponding input image $I_i(x, y)$. In the next step, we apply three image descriptors namely CLBP, LABP, and BSIF on $T_i(x, y)$ to extract respective micro-textural features as FD_i^i , FD_2^i , and FD_3^i respectively. In this manner, three feature

vectors, D_1 , D_2 , and D_3 are generated from D_t . The label of *i*th image is concatenated with the corresponding value of the FV to build a dataset (D_j) . As a result, three feature sets are built, i.e., D_j ($j = 1, 2, 3$) from D_t ($i = 1, 2, 3, \ldots, t$).

Thereafter, D_1 and D_2 feature sets are used to learn SVM to build two respective classifiers. Further, the D_3 that hold handcrafted BSIF features is used to train a basic sequential model comprising of eight layers. Afterward, a hard majority voting ensemble is created by using three base estimator classifers. Finally, the trained model is fne-tuned to various parameters with proper experimentation at an appropriate search space.

The steps for testing algorithm of the HyFiPAD method are listed in Fig. [12](#page-12-0). The trained HyFiPAD model is validated by presenting images from the testing dataset. A similar sequence of steps such as image pre-processing and feature extraction from three descriptors is applied on test images to generate the corresponding feature sets. Finally, the classifcation of the test samples is carried out by HyFi-PAD by assign a class label as either live or fake.

4 Experimental results and discussions

In this section, we evaluate our technique for efectiveness of liveness detection mechanism. Firstly, we present a concise introduction of datasets and the evaluation protocols which are being used as a standard criterion for measuring the performance. Afterward, the HyFiPAD is fne-tuned and the resultant model is evaluated on the four publically available datasets namely; LivDet 2009, LivDet 2011, LivDet 2013, and LivDet 2015. For measuring the generalization capability of the technique, it is also tested with cross-data and cross-sensor scenarios. An ablation study is also carried out to evaluate the performance of the model under excisions of various components. Finally, the performance of the HyFiPAD is compared against the related state-of-the-art PAD methods.

4.1 Experimental datasets

For evaluation purposes four benchmark fngerprint antispoofng datasets, i.e., LivDet 2009 [[62\]](#page-25-31), LivDet 2011 [\[63](#page-25-32)], LivDet 2013 [[5\]](#page-24-10) and LivDet 2015 [\[64](#page-26-0)], are used. The detail of these datasets is summarized in Table [2.](#page-13-0) The LivDet 2009 is comprised of 11,000 images acquired from 464 subjects using three diferent optical sensors. On the other hand, the LivDet 2011 dataset contains 8000 images acquired from 712 subjects using four diferent optical sensing devices. The 'with cooperation' method of fake fngerprint creation is used for creating the majority of the spoof images as it results in spoof images of better quality. The LivDet 2013 consists of images captured from four diferent sensors namely Swipe, ItalData, CrossMatch and Biometrika. Besides, LivDet 2013 uses frst time the non-cooperation method for creating spoof images from material such as latex, gelatine, body glue, ecofex, wood glue, modasil and play-doh. Additionally, the LivDet 2015 uses Biometrika, Digital Persona, GreenBit and CrossMatch sensors for image acquisition. The whole database in all the datasets is divided into two components namely training and testing sets. The classifers are trained by using the training dataset whereas the testing dataset is used for validating the resultant classifers.

4.2 Performance protocols

For performance evaluation, we select the overall protocol related to metrics and appropriate dataset selection. We utilize the training images from all the datasets to learn the HyFiPAD and testing samples are selected across various domains to compute the performance. The technique is

Fig. 12 Testing algorithm for HyFiPAD

Table 2 An outline of LivDet 2009 to LivDet 2015 datasets

evaluated in terms of three standard performance metrics, namely average classifcation error rate (ACER), average classifcation accuracy (ACA) and receiver operating characteristic (ROC).

4.2.1 ACER

The average error is resulted due to misclassifcation while testing a PAD method by presenting a set of sample images is expressed as ACER. The ACER performance metric is computed by using Eq. [\(14\)](#page-13-1).

$$
ACER = \frac{FPR + FNR}{2}
$$
 (14)

where FPR stands for 'false positive rate' and it measures the percentage of live fngerprints which are actually misclassifed. Whereas, FNR stands for 'false negative rate' and it measures the percentage of misclassifed fake fngerprints.

4.2.2 ACA

It represents average number of instances correctly classifed by the PAD algorithm when presented with the set of fngerprint samples. The ACA is computed by using Eq. [\(15](#page-13-2)).

$$
ACA = \left(\frac{1}{N_f} \sum_{i=1}^{N_f} N_c\right) \times 100\tag{15}
$$

where N_f represents the total number of live and fake fingerprint images and N_c represents the total number of images which are correctly classifed as live or fake.

4.2.3 ROC

An ROC curve represents the variation of true positive rate with false positive rate to evaluate the output quality of the classifer. Particularly, ROC curves are useful for the binary classifcation problems to study the outcome of the classifers. Further, the area under curve (AUC) denotes the region bounded between the plot of false positive rate versus true positive rate and a line emerging from origin. The bigger AUC represents a better machine learning model for discriminating the specifed classes.

4.3 Performance evaluation

To evaluate and validate the robustness of the proposed HyFiPAD technique to a variety of fngerprint PAs or spoof attacks, rigorous experiments are performed. These experiments aim to test the performance of the proposed algorithm on selected datasets that won various liveness detection competitions conducted by Clarkson University during the year 2009, 2011, 2013, and 2015.

4.3.1 Performance tuning

The hyper-parameter settings may have a signifcant impact on the detection accuracy of the anti-spoofng model. The optimal hyperparameters are tuned for each dataset by using a Meta-process. For HyFiPAD, we choose the hyperparameters such as kernel function and C-value (regularization) in SVM, Stddev for Gaussian layer, rate in dropout layer, activation in dense layer and type of voting in majority voting classifer. The hyper-parameter tuning results of our proposed model are listed in Table [3](#page-14-0).

Table 3 P

The proposed HyFiPAD model is optimally tuned for various hyperparameters that demonstrate higher accuracy with selected parameters. For both the SVM classifers, the kernel parameter chosen as RBF and a *c*-value of 100 provides best performance. On the other hand, the Stddev with a value of 3.5 result in 97.00% classifcation accuracy, dropout rate $=0.30$ or 0.35 offers best detection results in the search space. For dense layer out of ReLU and sigmoid, former provides better performance, latter results in signifcant decline in accuracy by a factor of around 11%. Similarly, the model has been tuned with respect to diferent levels of epochs, where it provides an accuracy of 96.30% at a value of 40. For optimizing the loss in sequential model, our framework has been tested with two optimizers, namely Stochastic Descent Gradient (SDG) and Adam Delta (Adam), where the latter is chosen that tune the model with optimal performance of 96.90% classifcation accuracy.

4.3.2 HyFiPAD performance on LivDet 2009‑LivDet 2015 datasets

We evaluate the HyFiPAD individually on each selected benchmark dataset. The ACA and ACER values are computed for diverse combinations of image descriptors used in our technique and the combined results are illustrated in Table [4](#page-15-0).

4.3.2.1 Experimental analysis with LivDet 2009 The combination of diferent feature descriptors is evaluated for samples acquired from three diferent sensors (i.e., Biometrika, CrossMatch, and Identix). The proposed LABP descriptor outperforms the existing CLBP in terms of ACA which is 80.7% compared to 61.0% for CLBP. It is obvious that LABP exhibits more discriminative powers than the CLBP descriptor. Moreover, the combination of CLBP and LABP that are trained on two SVM classifers even provides an improved ACA of 84.4%. The additional improvement is achieved by augmenting a sequential model trained with BSIF features. The training and testing loss as well as accuracies across various epochs is shown in Fig. [13.](#page-16-1)

The BSIF features in our approach exploit the supremacy of simple sequential model. The efectiveness of the HyFi-PAD technique is mainly due to the addition of the dual power of BSIF as well as the sequential model. The BSIF

ROC Sequential model leaning using BSIF features on LivDet 2009

Fig. 14 The ROC curve of BSIF features trained on sequential model

features when augmented with the LABP and CLBP exhibit superior ACA of 96.44%.

The training performance of the sequential model using only BSIF features in terms of ROC is illustrated in Fig. [14.](#page-16-2) The discrimination proportion of the BSIF for HyFiPAD is evidently interpreted from the better true positive rate (TPR) along with AUC values of 0.79 and 0.60 respectively for live and fake classes.

However, the proposed LABP improves ACA, but its complexity is relatively higher than CLBP. The general trend of ACA computed through a variety of combination of feature sets along with HyFiPAD demonstrate that CLBP result in the lowest ACA of 59.1% and the highest ACA is 97.2% for the HyFiPAD technique in case of CrossMatch sensor. The overall accuracy of our approach on the LivDet 2009 dataset offers ACA of 96.44% which confirms its robustness. An ROC curve of the HyFiPAD that is validated on LivDet 2009 is depicted in Fig. [15](#page-17-0)a. It indicates a higher TPR and FPR values in both the classes during a testing of the model. The AUC of 0.92 for both the live and fake classes that further indicates the efectiveness of the overall performance of our approach.

4.3.2.2 Experimental analysis with LivDet 2011 In this case, our proposed LABP descriptor performs better than CLBP with an ACA of 79.1%. Above all, interesting results are observed for CLBP on the Biometrika sensor with a lower ACA of 59.1% while the same descriptor exhibits a lower ACA of 59.1% in the case of the Identix sensor of Liv-Det 2009 dataset. For the proposed HyFiPAD approach, the images acquired by the ItalData sensor have shown the highest ACA of 97.18% whereas the overall accuracy of 96.31% is achieved. It is observed that the overall accuracy of the proposed technique is almost similar for both the datasets. The ROC curve of the HyFiPAD method when validated on LivDet 2011 is shown in Fig. [15b](#page-17-0). A higher value of the TPR in both live and fake classes is reported during the testing of the model. A higher AUC of 0.96 as exhibited in the testing is quite evident of the excellent performance of our HyFiPAD method.

4.3.2.3 Experimental analysis with LivDet 2013 A similar protocol is used for evaluating our approach on LivDet 2013 dataset to explore the detection accuracies of various features descriptors. It may be clearly interpreted from Table [4](#page-15-0) that our LABP descriptor adds a signifcant discriminating capability to the overall model for efectively classifying live and fake fngerprint traits. An additional improvement of 9.01% is achieved in the model with the proposed LABP descriptor as compared to ACA of 87.94% with a combination of CLBP and BSIF. The overall efectiveness of the proposed method is clearly indicated with a lower ACER of 2.88%. The ROC curve of HyFiPAD on LivDet 2013 is depicted in Fig. [15c](#page-17-0) which specifes its outstanding performance.

4.3.2.4 Experimental analysis with LivDet 2015 In yet another analysis, our approach is evaluated on a comparatively larger and more recent dataset comprising of additional generalized samples from both the classes. The results with LivDet 2015 further strengthen our claim that

Table 5 Cross-dataset performance of the HyFiPAD

model efectively discriminates live and fake fngerprint traits brilliantly. The average accuracy of our approach is 96.1% which is approximately identical to results with other datasets. In the same manner, an additional classifcation accuracy of 6.75% is yield with augmentation of our proposed LABP descriptor with HyFiPAD. The performance in terms of ROC is highlighted in Fig. [15d](#page-17-0) with AUC for both the classes.

4.3.3 Cross‑database and cross‑sensors evaluation

Another significant aspect of any PAD method is its effectiveness in terms of generalization capability to unknown attacks. Therefore, we perform an experiment to evaluate the performance of our approach in cross-database and cross-sensor scenario. To generalize the PAD technique across unknown attacks, cross-database testing is performed where the model is trained and validated on diferent datasets consisting of fngerprint artifacts generated from diferent spoofng materials. In this test, we trained our model using images from a dataset and examined with samples from other dataset and the results are depicted in Table [5](#page-17-1). The experiment focuses on testing the approach by using fngerprint artifacts generated from materials like Silicon, Gelatine, and Play-doh in LivDet 2009, Eco-fex, Latex, Gelatine, Silgum, and Wood-glue are used for creating fake fngerprints in LivDet 2011. Similarly, artifacts in the LivDet 2013 and LivDet 2015 are created by using Gelatine, Wood glue, Latex, Ecofex, Modasil; and Ecofex, Body double, Wood glue, Play-doh, and Latex, respectively. Table [5](#page-17-1) shows that in seven diferent scenarios, dissimilar training and testing datasets are used to evaluate the performance of HyFiPAD. In the case of 2015 dataset, the ACA is above 90%. The highest accuracy is exhibited by the HyFiPAD in cross-database scenario when the model is trained with LivDet 2015 dataset and tested on LivDet 2013 samples. This may be because of the fact that LivDet 2015 dataset comprised of wide range of images acquired from different spoofng materials and sensing technologies. Additionally, when LivDet 2009 dataset is chosen either for training or testing purpose highest error rates are achieved. It may be due to deprived quality of images in LivDet 2009 dataset. Further, it can be noticed from Table [5](#page-17-1) that the implication of

Table 6 Cross-sensor testing of HyFiPAD method on different datasets

LivDet 2009 Sensors		$ACER (\%)$	ACA (%)
Training	Testing		
Biometrika	CrossMatch	19.02	80.0
Identix	CrossMatch	11.9	79.0
LivDet 2011 sensors			
Biometrika	Digital persona	12.0	81.67
Digital Persona	Sagem	13.9	81.3
Sagem	Digital persona	13.4	84.1
LivDet 2013 sensors			
ItalData	Swipe	11.5	86.6
CrossMatch	Biometrika	17.0	82.5
Swipe	ItalData	14.9	84.0
LivDet 2015 sensors			
GreenBit	Digital persona	12.4	87.07
Biometrika	GreenBit	16.82	82.70
CrossMatch	Digital persona	13.10	84.62

proposed HyFiPAD algorithm mitigates the unknown attack with moderate accuracy that depicts its good generalization capability.

An additional essential factor that pertains to a typical PAD model is to evaluate its performance on images captured under diferent sensing techniques and conditions. Thus, we experiment to validate our approach in crosssensor scenario for sets of images acquired through diferent sensors in all the datasets. The performance in terms of ACER and ACA for cross-sensor testing is shown in Table [6.](#page-18-0) The results obtained in cross-sensor testing cover lower ACER compared to the cross-database scenario. Fur ther, we carry out exhaustive experimentation for some combinations of training and testing image sets acquired with different sensors. The illustration in Table [6](#page-18-0) infers that our approach exhibit better accuracy in GreenBit-Digital Persona cross-sensor testing scenario for LivDet 2015 fol lowed by ItalData-Swipe pair with accuracy of 86.6% for LivDet 2013. In terms of ACER the highest error rates are reported in case of LivDet 2009 Biometrika–CrossMatch pair. Similarly, the CrossMatch–Biometrika pair in LivDet 2013 results in ACER of 17.0%. It may also be seen that the overall accuracy of the cross-sensor evaluation lies between 79.0 and 87.07% that suggests a reasonable generalization capability of our approach.

4.3.4 Ablation study

We perform several ablation experiments to evaluate HyFi - PAD under all selected datasets successively by removing the key features and some of the layers from the sequential model. The performances as ACA under diferent scenario

are listed in Table [7](#page-18-1) for diferent sensors of LivDet 2009 to LivDet 2015 datasets. The ablation of our proposed LABP descriptor in HyFiPAD using LivDet 2009 results in an ACA of 81.86% which signifcantly degrades the performance of the model by 14.58%. Thus, it demonstrates the robustness of LABP features that offer additional discrimination power to the overall system. As opposite to this, in case of LivDet 2015 the average ACA with ablation of our LABP results in 91.40%. The successive ablation of existing CLBP and BSIF descriptor in HyFiPAD reduces the accuracy by 25.56 and 13.98%, respectively. An enhancement of 8.71 and 9.54% in the accuracy is indicated with an ablation of LABP features using LivDet2011 and LivDet 2015 dataset that further proves worth of the descriptor. Furthermore, ablation with the CLBP and BSIF feature descriptors from the system results in reduction of accuracy by an amount of 25.54 and 15.64%, respectively. The overall ablation with features in our approach exhibits the substantial potency of LABP in comparison with other descriptors in the model.

We also evaluated the effect on the HyFiPAD by ablating few layers in the sequential model that learns with BSIF features. The Dense layer2 ablation result in an ACA of 96.29% with LivDet 2009 and 96.56% LivDet 2011,whereas

for LivDet 2013 and 2015 their results are 96.40 and 96.70% respectively, that is approximately identical to the overall performance of the model. However, Gaussian layer1 ablation declines the accuracy by an amount of $\sim 1.0-2.0\%$ (approx.). Surprisingly, a slight improvement in accuracy is observed with ablation of Dropout layer1 and a mild drop in accuracy with Dropout layer2 with all the datasets. The overall ablation experiments offer promising performance of the HyFiPAD model with diferent scenarios.

4.3.5 Comparison of HyFiPAD against state‑of‑the‑art methods

To address the problem of fngerprint anti-spoofng, several texture-based techniques are presented in the literature. Since our method is hybrid and uses the new proposed variant of local features together with existing descriptors along with SVM and a basic sequential model. To evaluate the efectiveness of the HyFiPAD, we compare it with similar state-of-the-art methods based on either single or multiple image feature descriptors. Here, we carry out the comparative analysis of HyFiPAD with both handcrafted and DLbased state-of-the-art methods evaluated on the identical

Fig. 16 Performance comparison of HyFiPAD on LivDet 2009 dataset

Table 8 Comparative analysis of HyFiPAD using LivDet 2009

dataset

datasets (e.g., LivDet 2009) in terms of ACER. The results are recorded in Table [8](#page-19-0) and a comparative plot in Fig. [16](#page-19-1) implies the efectiveness of our technique with an ACER of 4.11%. This performance noticeably outperform the other methods in [\[6](#page-24-4), [9](#page-24-23), [17,](#page-24-7) [19,](#page-24-11) [45,](#page-25-14) [47,](#page-25-16) [49,](#page-25-18) [50,](#page-25-19) [67\]](#page-26-2), where superior performance is exhibited by [\[17](#page-24-7)] with an ACER of 2.83%, which is nearly close to our model. However, our model also shows comparable performance against the modern CNNbased approaches in [[47,](#page-25-16) [49](#page-25-18)], but these approaches involve additional levy in terms of training overhead.

Fig. 17 Performance comparison of HyFiPAD on LivDet 2011 dataset

Table 10 A comparative analysis of HyFiPAD on LivDet 2015 dataset

Though, Park et al. [\[49\]](#page-25-18) achieve an ACER of 3.42% that is comparatively smaller than our results, but their PAD model is trained with a smaller dataset of merely 523 fngerprint images.

Likewise, Table [9](#page-20-0) depicts a comparison of our approach opposed to the existing state-of-the-art methods that were evaluated on LivDet 2011 and LivDet 2013 datasets with ACER protocol. Figure [17](#page-20-1) illustrates the comparative results of techniques evaluated on LivDet 2011, it may be noticed that, HyFiPAD yields ACER of 3.19%, which is further an evident of its efficiency.

The HyFiPAD exhibits superior performance compared to majority of the PAD techniques on both the texture and deep learning-based counterparts. On the other hand, it reveal almost equivalent performance against some approaches that utilize TinyFCN $(ACER = 3.12%)$ and dense-SIFT algorithm $(ACER = 1.73%)$ where former is a deep learning-based method and latter exploits scale-invariant texture features.

Fig. 19 Comparative analysis of HyFiPAD on LivDet 2015 dataset

The comparison of HyFiPAD against state-of-the-art techniques evaluated on LivDet 2013 dataset is depicted in Fig. [18.](#page-21-0) The diagram clearly demonstrates that the HyFiPAD outperforms the existing handcrafted feature-based PAD techniques except for WLBD where the ACER is 1.89% that is almost close to 2.8% for our method. In case of DL-based techniques, the DenseNet and FLDNet models surpasses our approach with an ACER of approximately 1.0%.

At last, the HyFiPAD is compared against the techniques that are evaluated on LivDet 2015 dataset and results are depicted in Table [10.](#page-21-1) The HyFiPAD outperforms the stateof-the-art PAD approaches.

From Fig. [19](#page-21-2), it is may be inferred that the results of DCNN [[72](#page-26-8)], DenseNet [\[69\]](#page-26-5), and FLDNet [[56](#page-25-25)] models are superior to our HyFiPAD approach. The core reason behind this better performance is that these models exploit deep level features from the fngerprint images to build CNN architecture. In other cases, our technique overtakes the existing PAD techniques. The handcrafted feature-based techniques show higher ACER value as compared to our resultant ACER of 3.19%.

The overall experimental analysis of the novel HyFi-PAD can put forward several advantages: (i) the HyFiPAD explores the proposed LABP micro-textural image features with existing CLBP and BSIF descriptors. (ii) The power of a basic sequential model trained on BSIF features along with two SVM classifers work as an integrated hybrid model with better accuracy and lower error rate. (iii) Our technique provides a promising performance in the case of both crossdatabase and cross-sensor scenario that supports a good generalization capability of the proposed approach to unknown attacks. (iv) The proposed LABP helps to improve the discriminative power of combination of CLBP and BSIF by an average accuracy of 8.71%. (v) The HyFiPAD outperforms the state-of-the-art PAD methods in terms of its efficiency on the majority of the selected datasets.

5 Conclusions

This research work addresses the fngerprint presentation attack detection mechanism by using a hybrid approach, where the newly proposed LABP features are coalesced with two other existing CLBP and BSIF image feature descriptors to boost the classifcation accuracy. The local threshold used in proposed LABP helps to extract more refned discriminative information from the local region that grants enhanced discrimination capabilities in comparison with earlier version of LBP. Furthermore, a majority voting ensemble is created on the three trained classifers to attain the fnal decision. Our analysis over four datasets refects that the performance of our HyFiPAD technique outperforms the existing similar state-of-the-art FiPAD methods. The extensive experiments confrmed that our method exhibit stable performance in generalization to unknown attacks. Although our modifed LBP descriptor (LABP) helps to improve the classifcation accuracy of the hybrid model, one of the limitations includes its comparatively higher complexity that may be improved in future. Besides, we plan to evaluate our HyFiPAD approach on few more recent datasets such as LivDet 2017 and LivDet 2019. An additional future work directs to improve the accuracy of HyFiPAD model particularly in the cases of cross-sensor and database scenarios, as it will more efectively deal with the problem of unknown attacks.

Appendix

See Appendix Table [11.](#page-23-0)

Table 11 A summary of state-of-the-art fngerprint PAD techniques

Table 11 (continued)

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Declarations

Conflict of interest The authors declare that they have no confict of interest.

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