



Total generalized variation and wavelet frame-based adaptive image restoration algorithm

Xinwu Liu¹

Published online: 6 August 2018
© Springer-Verlag GmbH Germany, part of Springer Nature 2018

Abstract

To achieve superior image reconstruction, this paper investigates a hybrid regularizers model for image denoising and deblurring. This approach closely incorporates the advantages of the total generalized variation and wavelet frame-based methods. Computationally, a highly efficient alternating minimization algorithm containing no inner iterations is introduced in detail, which synchronously restores the degraded image and automatically estimates the regularization parameter based on Morozov's discrepancy principle. Illustrationally, we demonstrate that our proposed strategy significantly outperforms several current state-of-the-art numerical methods and closely matches the performance of human vision in solving the image deconvolution problem, with respect to restoration accuracy, staircase artifacts suppression and features preservation.

Keywords Image restoration · Total generalized variation · Wavelet frame · Alternating minimization method · Discrepancy principle

1 Introduction

Image restoration aims at recovering an underlying image u from its observed degradation f . Mathematically, the basic image restoration model is usually formulated as $f = Ku + n$, with K being a bounded linear blurring (or convolution) operator and n a white additive Gaussian noise with variance σ^2 . A classical way to solve this ill-posed inverse problem is to add a regularization, resulting in the following energy functional

$$\min_u J(u) + \frac{\lambda}{2} \|Ku - f\|_2^2. \quad (1)$$

Here, $J(u)$ denotes the regularization term and λ is a positive regularization parameter.

As is well known, several popular regularization techniques have been developed in image processing. Among them, partial differential equation and wavelet frame regularized methods are two popular approaches, which have been studied extensively and made great successes. One suc-

cessful example of variational methods is the total variation (TV) [1] regularized model as

$$\min_u \text{TV}(u) + \frac{\lambda}{2} \|Ku - f\|_2^2. \quad (2)$$

Numerically, solving for the above model, there exist several highly efficient numerical methods. Thereinto, simultaneously recovering the degraded image and estimating the regularization parameter λ adaptively is a challenging subject. Up to now, various approaches have been sprung up for the parameter selection automatically, such as Morozov's discrepancy principle [2–6], the generalized cross-validation method [7,8], the L-curve approach [9] and the variational Bayesian method [10,11]. One thing to be noted is that, when the noise variance is available, Morozov's discrepancy principle is preferred to achieve the optimal parameter λ adaptively.

This model performs well in preserving important detail features for image denoising. Unfortunately, the numerous staircase effect inevitably emerges due to the TV regularized framework. To overcome this drawback, researchers recently introduced the total generalized variation (TGV) as penalty functional in image processing. More specifically, the second-order TGV with weight α (TGV_α^2) regularized models [12–19] have been achieved extensive research and attention. Thereinto, applied for image restoration, the result-

✉ Xinwu Liu
lxinwu@163.com

¹ School of Mathematics and Computational Science, Hunan University of Science and Technology, Xiangtan 411201, Hunan, China

ing model is given by

$$\min_u \text{TGV}_\alpha^2(u) + \frac{\lambda}{2} \|Ku - f\|_2^2. \tag{3}$$

Calculating for the minimization problem (3), Bredies et al. [14] proposed a spatially dependent regularization parameter selection algorithm based on statistical methods. Later, He et al. [18] introduced an adaptive parameter estimation approach using the discrepancy principle.

Another well-adopted regularizer technique is the wavelet frame-based methods [20–24] with the ℓ_1 -norm of frame coefficients. This shrinkage method makes the relevant theoretical analyses and calculations easier, and performs well in image processing too. However, the only fly in the ointment is that the Gibbs-like oscillations emerge frequently around the image discontinuities.

Therefore, to better reconstruct the degraded image and simultaneously preserve image features, a new edge-preserving regularization scheme is reported in this work. Namely, by integrating the merits of TGV_α^2 and wavelet frame transform, and avoiding their main shortcomings, we concentrate on a novel hybrid regularizers model for image restoration. The optimization problem is established in the following form

$$\min_u \text{TGV}_\alpha^2(u) + \beta \|Wu\|_1 + \frac{\lambda}{2} \|Ku - f\|_2^2, \tag{4}$$

where W is the wavelet frame transform. It is noteworthy that, applying Morozov’s discrepancy principle, we will present a fast numerical algorithm that can be used to achieve the regularization parameter λ in (4) automatically. Therefore, the concerned image reconstruction problem is formulated as

$$\min_u \text{TGV}_\alpha^2(u) + \beta \|Wu\|_1 \text{ s.t. } \|Ku - f\|_2^2 \leq m^2, \tag{5}$$

where $m^2 = \tau n_1 n_2 \sigma^2$, with τ being a noise-dependent predetermined parameter and $n_1 \times n_2$ the image pixels. Generally, as discussed in [8], one can simply set $\tau = 1$.

Subsequently, let us define the characteristic function $I_D(u)$ as

$$I_D(u) = \begin{cases} 0, & u \in D \triangleq \{u : \|Ku - f\|_2^2 \leq m^2\}, \\ +\infty, & \text{otherwise.} \end{cases} \tag{6}$$

Then, the constrained optimization problem (5) can be transferred into an unconstrained one as follows:

$$\min_u \text{TGV}_\alpha^2(u) + \beta \|Wu\|_1 + I_D(u). \tag{7}$$

Our significant contributions of this article can be summarized as follows. First off, on the basis of the TGV and wavelet

frame-based methods, we put forward a new hybrid regularizers model for image restoration. The inclusion of multiple regularizers helps to obtain more accurate and stable numerical solutions. The second important advantage is to develop an extremely efficient alternating minimization algorithm for solving the resulting model without any inner iteration, which simultaneously recovers the degenerated image and adaptively selects the optimal parameter λ using the discrepancy principle.

The outline of this article is generalized as follows. Section 2 gives a summary of the necessary definitions and the basic properties on the proposed model. In Sect. 3, we describe in more detail the alternating minimization method that adaptively updates the regularization parameter in each iteration step. And the convergence proof is also analyzed in Sect. 4 in brief. Numerical results aiming at demonstrating the effectivity of the new algorithm are provided in Sect. 5. Finally, concluding remarks are drawn in Sect. 6.

2 Preliminaries

Our objective in this section is to give a brief introduction and summarize the properties on the model (7). Referring to [12,13,17], we begin with the concept of second-order TGV.

Definition 1 Let $\Omega \subset \mathbb{R}^d$ be a bound domain, and $\alpha = (\alpha_0, \alpha_1) > 0$. Then, the second-order total generalized variation for $u \in L^1(\Omega)$ is defined as the value of the functional

$$\text{TGV}_\alpha^2(u) = \sup \left\{ \int_\Omega u \operatorname{div}^2 \vartheta \, dx \mid \vartheta \in C_c^2(\Omega, S^{d \times d}), \|\vartheta\|_\infty \leq \alpha_0, \|\operatorname{div} \vartheta\|_\infty \leq \alpha_1 \right\}, \tag{8}$$

where $S^{d \times d}$ represents the set of all symmetric $d \times d$ matrices and $C_c^2(\Omega, S^{d \times d})$ is the space of compactly supported symmetric $d \times d$ matrix fields. Moreover, the space of bound generalized variation (BGV) of order 2 endowed with

$$\text{BGV}_\alpha^2(\Omega) = \left\{ u \in L^1(\Omega) \mid \text{TGV}_\alpha^2(u) < \infty \right\}, \tag{9}$$

$$\|u\|_{\text{BGV}_\alpha^2} = \|u\|_1 + \text{TGV}_\alpha^2(u),$$

is a Banach space. Furthermore, thanks to [25], if $\Omega \subset \mathbb{R}^d$ is a bounded Lipschitz domain, then $\text{BGV}_\alpha^2(\Omega) = \text{BV}(\Omega)$ for all $(\alpha_0, \alpha_1) > 0$ in the sense of topologically equivalent Banach spaces.

In the following, we focus on the dimension $d = 2$ and denote the spaces: $U = C_c^2(\Omega, \mathbb{R})$, $V = C_c^2(\Omega, \mathbb{R}^2)$, and $W = C_c^2(\Omega, S^{2 \times 2})$. Based on Refs. [12,17], the discretized $\text{TGV}_\alpha^2(u)$ of $u \in U$ is then rewritten as

$$\text{TGV}_\alpha^2(u) = \min_p \alpha_1 \|\nabla u - p\|_1 + \alpha_0 \|\varepsilon(p)\|_1, \tag{10}$$

where $p = (p_1, p_2)^T \in V$, and $\varepsilon(p) = \frac{1}{2}(\nabla p + \nabla p^T)$ stands for the symmetrized derivative. Here, the operators ∇u and $\varepsilon(p)$ are characterized by

$$\nabla u = \begin{bmatrix} u_x \\ u_y \end{bmatrix}, \quad \varepsilon(p) = \begin{bmatrix} p_{1x} & \frac{1}{2}(p_{1y} + p_{2x}) \\ \frac{1}{2}(p_{1y} + p_{2x}) & p_{2y} \end{bmatrix}. \tag{11}$$

Next, we briefly review the concepts of tight frame and tight wavelet frame for $L^2(\mathbb{R}^2)$. More details regarding this issue can be found in [21].

Definition 2 A countable set $\mathcal{X} \subset L^2(\mathbb{R}^2)$ is called a tight frame if

$$f = \sum_{g \in \mathcal{X}} \langle f, g \rangle g, \quad \forall f \in L^2(\mathbb{R}^2), \tag{12}$$

where $\langle \cdot, \cdot \rangle$ is the inner product of $L^2(\mathbb{R}^2)$.

Furthermore, for given $\Psi = \{\psi_1, \dots, \psi_r\} \subset L^2(\mathbb{R}^2)$, the wavelet system $\mathcal{X}(\Psi)$ is defined by the collection of the dilations and the shifts of Ψ as

$$\mathcal{X}(\Psi) = \{\psi_{l,s,t} : 1 \leq l \leq r; s, t \in \mathbb{Z}\},$$

where $\psi_{l,s,t}$ is characterized by

$$\psi_{l,s,t} = \begin{cases} 2^s \psi_l(2^s \cdot -t), & s \geq 0, \\ 2^{2s} \psi_l(2^s \cdot -2^s t), & s < 0. \end{cases} \tag{13}$$

If $\mathcal{X}(\Psi)$ forms a tight frame of $L^2(\mathbb{R}^2)$, then the system $\mathcal{X}(\Psi)$ is called a tight wavelet frame and each function $\psi_i \in \Psi (i = 1, \dots, r)$ is called a (tight) framelet.

At last, let us return to the existence of the solution to (7).

Theorem 1 The optimization problem (7) admits a solution.

Proof Let $\{u^k\}$ be a bounded minimizing sequence. By the compactness property in the space $\text{BV}(\Omega)$, there exists a subsequence of $\{u^k\}$ denoted by the same symbol and $u^* \in \text{BV}(\Omega)$, such that $\{u^k\}$ converges to u^* in $L^1(\Omega)$. Subsequently, according to the standard arguments in [12,21,26,27], the functions $\text{TGV}_\alpha^2(u)$, $\|Wu\|_1$ and $I_D(u)$ are all lower semi-continuous, proper and convex, and so is their weighted sum. Therefore, this leads to that

$$\begin{aligned} & \inf \left\{ \text{TGV}_\alpha^2(u) + \beta \|Wu\|_1 + I_D(u) \right\} \\ & \geq \liminf_{k \rightarrow +\infty} \left\{ \text{TGV}_\alpha^2(u^k) + \beta \|Wu^k\|_1 + I_D(u^k) \right\} \\ & \geq \text{TGV}_\alpha^2(u^*) + \beta \|Wu^*\|_1 + I_D(u^*), \end{aligned}$$

which implies that u^* is a minimizer of the problem (7). \square

3 Numerical algorithm

With the formulation of TGV_α^2 in (10), this results in the minimization problem as follows:

$$\min_{u,p} \alpha_1 \|\nabla u - p\|_1 + \alpha_0 \|\varepsilon(p)\|_1 + \beta \|Wu\|_1 + I_D(u). \tag{14}$$

By the variable splitting technique [20,28–31], we introduce four auxiliary variables d, v, w, z and consider the following constrained optimization problem:

$$\begin{aligned} & \min_{u,p,d,v,w,z} \alpha_1 \|d\|_1 + \alpha_0 \|v\|_1 + \beta \|w\|_1 + I_{D'}(z), \\ & \text{s.t. } d = \nabla u - p, \quad v = \varepsilon(p), \quad w = Wu, \\ & \quad z = Ku, \quad z \in D' \triangleq \left\{ z : \|z - f\|_2^2 \leq m^2 \right\}. \end{aligned} \tag{15}$$

To deal with the above constrained problem, we convert it into an unconstrained one by adding the quadratic penalty functions. This yields

$$\begin{aligned} & \min_{u,p,d,v,w,z} \alpha_1 \|d\|_1 + \alpha_0 \|v\|_1 + \beta \|w\|_1 + I_{D'}(z) \\ & + \frac{\gamma_1}{2} \|d - (\nabla u - p)\|_2^2 + \frac{\gamma_2}{2} \|v - \varepsilon(p)\|_2^2 \\ & + \frac{\gamma_3}{2} \|w - Wu\|_2^2 + \frac{\gamma}{2} \|z - Ku\|_2^2, \end{aligned} \tag{16}$$

with $\gamma_1, \gamma_2, \gamma_3, \gamma > 0$ being four penalty parameters. This formulation of the problem is very advantageous because the optimization problem (16) can be solved by employing an efficient alternating minimization method. This results in the following iterative framework:

$$\begin{aligned} & \left(u^{k+1}, p^{k+1}, d^{k+1}, v^{k+1}, w^{k+1}, z^{k+1} \right) \\ & = \arg \min_{u,p,d,v,w,z} \alpha_1 \|d\|_1 + \alpha_0 \|v\|_1 + \beta \|w\|_1 + I_{D'}(z) \\ & + \frac{\gamma_1}{2} \|d - (\nabla u - p) - \tilde{d}^k\|_2^2 \\ & + \frac{\gamma_2}{2} \|v - \varepsilon(p) - \tilde{v}^k\|_2^2 \\ & + \frac{\gamma_3}{2} \|w - Wu - \tilde{w}^k\|_2^2 + \frac{\gamma}{2} \|z - Ku - \tilde{z}^k\|_2^2, \end{aligned} \tag{17}$$

with the updates for $\tilde{d}^{k+1}, \tilde{v}^{k+1}, \tilde{w}^{k+1}$ and \tilde{z}^{k+1} :

$$\tilde{d}^{k+1} = \tilde{d}^k + \gamma_1 \left((\nabla u^{k+1} - p^{k+1}) - d^{k+1} \right), \tag{18}$$

$$\tilde{v}^{k+1} = \tilde{v}^k + \gamma_2 \left(\varepsilon(p^{k+1}) - v^{k+1} \right), \tag{19}$$

$$\tilde{w}^{k+1} = \tilde{w}^k + \gamma_3 \left(Wu^{k+1} - w^{k+1} \right), \tag{20}$$

$$\tilde{z}^{k+1} = \tilde{z}^k + \gamma \left(Ku^{k+1} - z^{k+1} \right). \tag{21}$$

More precisely, to implement the algorithm (17), we can perform this minimization efficiently by iteratively minimizing with respect to u, p, d, v, w and z , respectively, i.e.,

$$\begin{cases} u^{k+1} = \arg \min_u \frac{\gamma_1}{2} \|(\nabla u - p^k) - d^k + \tilde{d}^k\|_2^2 \\ \quad + \frac{\gamma_3}{2} \|Wu - w^k + \tilde{w}^k\|_2^2 + \frac{\gamma_2}{2} \|Ku - z^k + \tilde{z}^k\|_2^2, \\ p^{k+1} = \arg \min_p \frac{\gamma_2}{2} \|(p - \nabla u^{k+1}) + d^k - \tilde{d}^k\|_2^2 \\ \quad + \frac{\gamma_2}{2} \|\varepsilon(p) - v^k + \tilde{v}^k\|_2^2, \\ d^{k+1} = \arg \min_d \alpha_1 \|d\|_1 + \frac{\gamma_1}{2} \|d - \nabla u^{k+1} + p^{k+1} - \tilde{d}^k\|_2^2, \\ v^{k+1} = \arg \min_v \alpha_0 \|v\|_1 + \frac{\gamma_2}{2} \|v - \varepsilon(p^{k+1}) - \tilde{v}^k\|_2^2, \\ w^{k+1} = \arg \min_w \beta \|w\|_1 + \frac{\gamma_3}{2} \|w - Wu^{k+1} - \tilde{w}^k\|_2^2, \\ z^{k+1} = \arg \min_z I_D(z) + \frac{\gamma_2}{2} \|z - Ku^{k+1} - \tilde{z}^k\|_2^2. \end{cases} \quad (22)$$

In the first step, for solving the subproblem with respect to u , the Karush–Kuhn–Tucker (KKT) necessary conditions assert that

$$\begin{aligned} (\gamma K^T K + \gamma_1 \nabla^T \nabla + \gamma_3 W^T W)u^{k+1} &= \gamma K^T (z^k - \tilde{z}^k) \\ &+ \gamma_1 \nabla^T (d^k + p^k - \tilde{d}^k) + \gamma_3 W^T (w^k - \tilde{w}^k), \end{aligned}$$

which means that

$$u^{k+1} = \left(\gamma K^T K - \gamma_1 \Delta + \gamma_3 I \right)^{-1} \left[\gamma K^T (z^k - \tilde{z}^k) + \gamma_1 \nabla^T (d^k + p^k - \tilde{d}^k) + \gamma_3 W^T (w^k - \tilde{w}^k) \right], \quad (23)$$

where \mathcal{A}^T denotes the adjoint of \mathcal{A} , $\nabla^T \nabla = -\Delta$ and $W^T W = I$. Notice that, under the periodic boundary condition, $K^T K$ and $\nabla^T \nabla$ are all block circulant, so that they can be diagonalized by fast Fourier transform (FFT). Hence, u^{k+1} is calculated by FFT and inverse FFT efficiently. Alternatively, (23) can be solved by discrete cosine transform (DCT) under the Neumann boundary condition with mirror extension and assuming that K is symmetric (see [32]). In our simulation results, we apply the periodic boundary condition and FFTs.

Next, for the p -subproblem, by differentiating the second equation of (22) of both hand sides with respect to p , we have

$$\begin{cases} \gamma_1 \left[(p_1^{k+1} - \nabla_1 u^{k+1}) + d_1^k - \tilde{d}_1^k \right] + \gamma_2 \left[\nabla_1^T (\nabla_1 p_1^{k+1} - v_1^k + \tilde{v}_1^k) + \frac{1}{2} \nabla_2^T (\nabla_2 p_1^{k+1} + \nabla_1 p_2^{k+1} - 2v_3^k + 2\tilde{v}_3^k) \right] = 0, \\ \gamma_1 \left[(p_2^{k+1} - \nabla_2 u^{k+1}) + d_2^k - \tilde{d}_2^k \right] + \gamma_2 \left[\nabla_2^T (\nabla_2 p_2^{k+1} - v_2^k + \tilde{v}_2^k) + \frac{1}{2} \nabla_1^T (\nabla_1 p_2^{k+1} + \nabla_2 p_1^{k+1} - 2v_3^k + 2\tilde{v}_3^k) \right] = 0, \end{cases} \quad (24)$$

which we can rewrite as in the following formulation:

$$\begin{cases} (\gamma_2 \nabla_1^T \nabla_1 + \frac{\gamma_2}{2} \nabla_2^T \nabla_2 + \gamma_1 I) p_1^{k+1} + \frac{\gamma_2}{2} \nabla_2^T \nabla_1 p_2^{k+1} \\ = \gamma_1 \nabla_1 u^{k+1} + \gamma_1 (\tilde{d}_1^k - d_1^k) + \gamma_2 \nabla_1^T (v_1^k - \tilde{v}_1^k) \\ \quad + \gamma_2 \nabla_2^T (v_3^k - \tilde{v}_3^k), \\ \frac{\gamma_2}{2} \nabla_1^T \nabla_2 p_1^{k+1} + (\frac{\gamma_2}{2} \nabla_1^T \nabla_1 + \gamma_2 \nabla_2^T \nabla_2 + \gamma_1 I) p_2^{k+1} \\ = \gamma_1 \nabla_2 u^{k+1} + \gamma_1 (\tilde{d}_2^k - d_2^k) + \gamma_2 \nabla_2^T (v_2^k - \tilde{v}_2^k) \\ \quad + \gamma_2 \nabla_1^T (v_3^k - \tilde{v}_3^k). \end{cases} \quad (25)$$

As can be seen from (25), in essence, it is a system of linear equations in two unknowns p_1^{k+1} and p_2^{k+1} . This observation leads to that the coefficient matrix associated with (p_1^{k+1}, p_2^{k+1}) can be diagonalized blockwise under the Fourier transform.

Before going further, let us introduce some necessary notations used in what follows. In the sequel, for notational convenience, we denote the expressions by

$$\begin{aligned} a_1 &= \gamma_2 \nabla_1^T \nabla_1 + \frac{\gamma_2}{2} \nabla_2^T \nabla_2 + \gamma_1 I, \quad a_2 = \frac{\gamma_2}{2} \nabla_1^T \nabla_2, \\ a_3 &= \frac{\gamma_2}{2} \nabla_1^T \nabla_1 + \gamma_2 \nabla_2^T \nabla_2 + \gamma_1 I, \\ b_1 &= \gamma_1 \nabla_1 u^{k+1} + \gamma_1 (\tilde{d}_1^k - d_1^k) + \gamma_2 \nabla_1^T (v_1^k - \tilde{v}_1^k) \\ &\quad + \gamma_2 \nabla_2^T (v_3^k - \tilde{v}_3^k), \\ b_2 &= \gamma_1 \nabla_2 u^{k+1} + \gamma_1 (\tilde{d}_2^k - d_2^k) + \gamma_2 \nabla_2^T (v_2^k - \tilde{v}_2^k) \\ &\quad + \gamma_2 \nabla_1^T (v_3^k - \tilde{v}_3^k). \end{aligned}$$

This convention, together with (25), yields that

$$\begin{cases} a_1 p_1^{k+1} + a_2^T p_2^{k+1} = b_1, \\ a_2 p_1^{k+1} + a_3 p_2^{k+1} = b_2. \end{cases} \quad (26)$$

It is noteworthy that linear operators a_1, a_2 and a_3 are all block-circulant matrices under the periodic boundary condition, and which further can be diagonalized by FFT. As a consequence, this together with the Cramer’s rule, two variables p_1^{k+1} and p_2^{k+1} in the system (26) can be efficiently computed in the Fourier domain.

As for the d, v and w subproblems shown in (22), the generalized shrinkage formula, similarly as in [33], can be adopted preferentially. Namely,

$$d^{k+1} = \text{shrink} \left((\nabla u^{k+1} - p^{k+1}) + \tilde{d}^k, \frac{\alpha_1}{\gamma_1} \right), \quad (27)$$

$$v^{k+1} = \text{shrink} \left(\varepsilon(p^{k+1}) + \tilde{v}^k, \frac{\alpha_0}{\gamma_2} \right), \quad (28)$$

$$w^{k+1} = \text{shrink} \left(Wu^{k+1} + \tilde{w}^k, \frac{\beta}{\gamma_3} \right), \quad (29)$$

with $\text{shrink}(t, \delta) = \text{sign}(t) \cdot \max(|t| - \delta, 0)$.

At last, we are now in the position to solve the z -subproblem. It can be written as

$$z^{k+1} = \arg \min_z \frac{\lambda^{k+1}}{2} \|z - f\|_2^2 + \frac{\gamma}{2} \|z - (Ku^{k+1} + \tilde{z}^k)\|_2^2, \tag{30}$$

which indicates that

$$z^{k+1} = (\lambda^{k+1} f + \gamma(Ku^{k+1} + \tilde{z}^k))/(\lambda^{k+1} + \gamma), \tag{31}$$

where the regularization parameter λ^{k+1} is updated by the discrepancy principle in the $(k + 1)$ th iteration. Obviously, the solutions of λ^{k+1} and z^{k+1} are related together. More precisely, if $\|(Ku^{k+1} + \tilde{z}^k) - f\|_2^2 \leq m^2$ (\star) holds, we set $\lambda^{k+1} = 0$ and $z^{k+1} = Ku^{k+1} + \tilde{z}^k$. On the contrary, by the discrepancy principle, we should solve the following equation:

$$\|z^{k+1} - f\|_2^2 = m^2. \tag{32}$$

To this end, the relationship (31), together with (32), leads to that

$$\lambda^{k+1} = (\gamma \|f - (Ku^{k+1} + \tilde{z}^k)\|_2/m) - \gamma. \tag{33}$$

In conclusion, putting all of these elements together, this results in the following algorithmic framework: alternating minimization method, devoted for solving (7).

Algorithm. TGV and wavelet frame-based alternating minimization method

- Input:** f, K, m^2 .
 1: **Initialize:** $u^0, p^0, d^0, v^0, w^0, z^0, \tilde{d}^0, \tilde{v}^0, \tilde{w}^0, \tilde{z}^0$; **Choose** $\alpha_0, \alpha_1, \beta, \gamma, \gamma_1, \gamma_2, \gamma_3$.
 2: **while** $\|u^{k+1} - u^k\|_2/\|u^k\|_2 > tol$, **do**
 3: Compute u^{k+1} by (23);
 4: Compute p^{k+1} by (26);
 5: Compute d^{k+1} by (27);
 6: Compute v^{k+1} by (28);
 7: Compute w^{k+1} by (29);
 8: **if** (\star) holds, **then**
 9: $\lambda^{k+1} = 0$ and $z^{k+1} = Ku^{k+1} + \tilde{z}^k$;
 10: **else**
 11: Compute λ^{k+1} and z^{k+1} by (33) and (31);
 12: **end if**
 13: Update $\tilde{d}^{k+1}, \tilde{v}^{k+1}, \tilde{w}^{k+1}, \tilde{z}^{k+1}$ by (18)-(21);
 14: **end while**
 15: **Output:** $u = u^{k+1}$ and $\lambda = \lambda^{k+1}$.
-

4 Convergence analysis

In this section, as far as the convergence property of the above iterative algorithm is concerned, we will present the main theorem in what follows. Here, we only give the basic proof frameworks and do not repeat the lengthy proving process. Similar to the classical alternating direction method of multiplier (ADMM) developed in [34–36], we have the following theorem.

Theorem 2 For given $\gamma_1, \gamma_2, \gamma_3, \gamma > 0$, the sequence $\{u^k, p^k, d^k, v^k, w^k, z^k, \lambda^k\}$ generated by the proposed algorithm from any initial point converges to a solution of (16).

Proof It follows from (17) that the (u, p) -subproblem and d, v, w, z subproblems are decoupled each other. Thus, six variables can be grouped into two blocks (u, p) and (d, v, w, z) . Therefore, our method can be regarded as an application of ADMM. Concerning the convergence proof of the proposed approach, let us first construct the Lagrangian functional as follows:

$$\begin{aligned} \mathcal{L}(u, p, d, v, w, z; \tilde{d}, \tilde{v}, \tilde{w}, \tilde{z}) &= \alpha_1 \|d\|_1 + \alpha_0 \|v\|_1 \\ &+ \beta \|w\|_1 + I_{D'}(z) + \frac{\gamma_1}{2} \|d - (\nabla u - p) - \tilde{d}\|_2^2 \\ &+ \frac{\gamma_2}{2} \|v - \varepsilon(p) - \tilde{v}\|_2^2 + \frac{\gamma_3}{2} \|w - Wu - \tilde{w}\|_2^2 \\ &+ \frac{\gamma}{2} \|z - Ku - \tilde{z}\|_2^2, \end{aligned} \tag{34}$$

and denote three variables by $X = (u, p)$, $Y = (d, v, w, z)$ and $Z = (\tilde{d}, \tilde{v}, \tilde{w}, \tilde{z})$. Recurring to the standard arguments on ADMM stated above, for given $\gamma_1, \gamma_2, \gamma_3, \gamma > 0$, then the sequence generated by the resulting iterative framework (22) converges to the saddle point of (34), and the proof is completed. \square

5 Experimental results

In this section, we illustrate the effectiveness of the proposed hybrid model with different wavelet frames on five 256×256 test images: *Lena*, *Cameraman*, *Boat*, *Peppers* and *Butterfly*. We also compare the recovered results with four closely related TGV, wavelet frame, TV+wavelet (TVW for short) and deep learning-based methods, by measuring the reconstruction quality, staircase effect suppression and edge-preserving ability. For fair comparisons, under the discrepancy principle, these regularized models are all solved by adopting the regularization parameter update algorithms.

An important remark is that all images are processed by our scheme with the parameters $\gamma_1 = 0.5, \gamma_2 = 1, \gamma_3 = 1$ and $\gamma = 10^{(\text{BSNR}/10-1)}$ for reconstructing the reasonable results, with $\text{BSNR} = 10 \log_{10}(\|f\|_2^2/\|n\|_2^2)$. The other



Fig. 1 Recovered results by using three different models on *Lena* image. **a** Original image, **b** noisy image, **c** wavelet frame, **d** TGV model, **e** our hybrid scheme

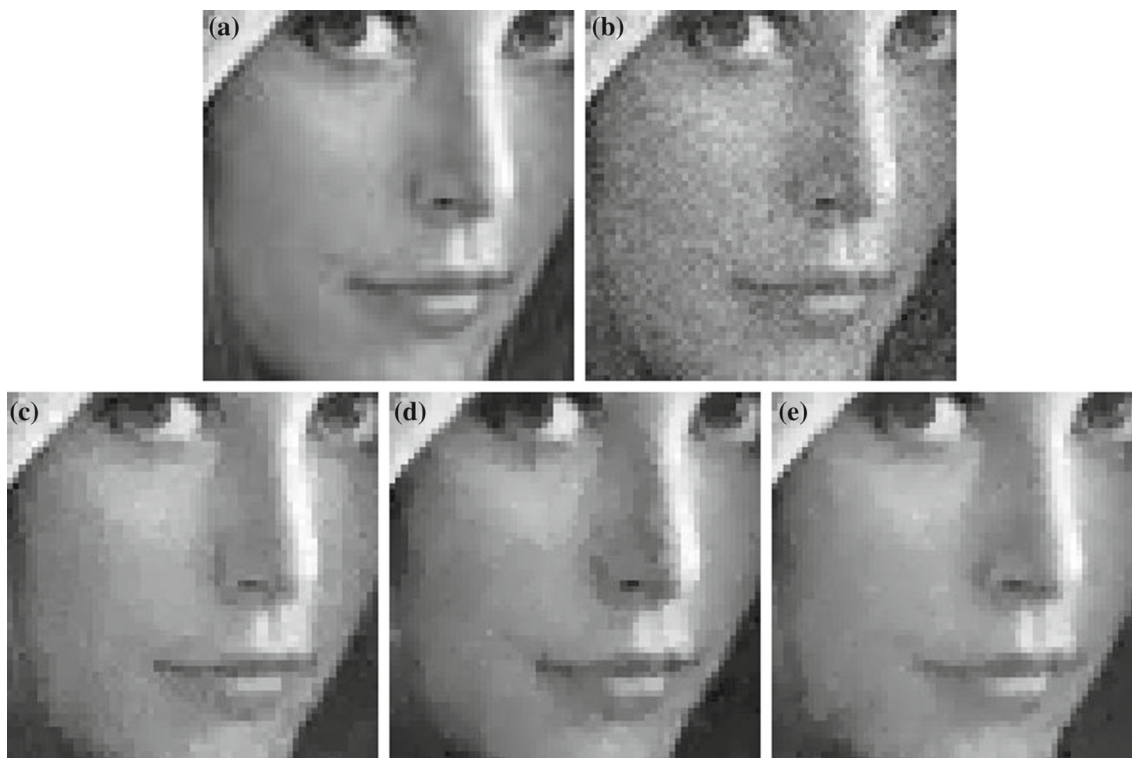


Fig. 2 Locally enlarged images recovered by using three different models on *Lena* image. **a** Original image, **b** noisy image, **c** wavelet frame, **d** TGV model, **e** our hybrid scheme

Table 1 Comparison of the recovered results using three different models on *Lena* image

Model	N_{iter}	Time (s)	PSNR	FOM	FSIM
Wavelet	135	7.2556	32.2548	0.9547	0.9435
TGV	102	3.4387	32.8025	0.9573	0.9462
Ours	62	4.7228	32.9915	0.9608	0.9490

parameters are firmly fixed to $\alpha_0 = 3$, $\alpha_1 = 1.5$ and $\beta = 0.5$. Additionally, as is suggested in [5,6], the parameter τ can be selected as $\tau = -\tau_0 \times \text{BSNR} + 1.09$, with $\tau_0 = 0.03$ for image denoising and $\tau_0 = 0.006$ for image deblurring, respectively. All experiments are implemented using MATLAB R2011b on a PC with Intel(R) Core(TM) i5 CPU and 4 GB of RAM under Windows 7.

The stopping criterion for all the tested algorithms is set to $\|u^{k+1} - u^k\|_2 / \|u^k\|_2 < 10^{-4}$ or the number of iterations is larger than 1000. The quality of the recovered image is quantitatively measured by peak signal-to-noise ratio (PSNR), which is defined as

$$\text{PSNR} = 10 \log_{10} \left(\frac{255^2 \cdot n_1 n_2}{\|u - \tilde{u}\|_2^2} \right), \tag{35}$$

Table 2 Comparison of the recovered results using three different models on *Cameraman* image

Model	N_{iter}	Time (s)	PSNR	FOM	FSIM
Wavelet	176	11.0205	26.4859	0.9242	0.8535
TVW	106	8.5136	26.6902	0.9215	0.8633
Ours	95	8.0146	26.8980	0.9271	0.8709

where u and \tilde{u} denote the original image and the restored data, respectively. Also, the optimal λ is chosen in achieving the best restoration with respect to the PSNR value. Furthermore, the Pratt’s figure of merit (FOM) criterion [37] is employed to evaluate the edge-preserving ability of different models. Meanwhile, we also compare their recovered results by adapting the feature similarity (FSIM) index [38] for image quality assessment. Generally speaking, the larger PSNR, FOM and FSIM values normally indicate that the restoration is of higher quality.

Example 1 First, we validate the ability of the proposed hybrid regularizers strategy for image denoising and compare it with two successful methods: the wavelet frame-based model and the TGV model. Here, the wavelet frame is a 4-scale redundant Haar frame. The original image *Lena* is shown in Fig. 1a. Figure 1b (PSNR = 28.1281 dB) stands for its noisy version corrupted by white random Gaussian

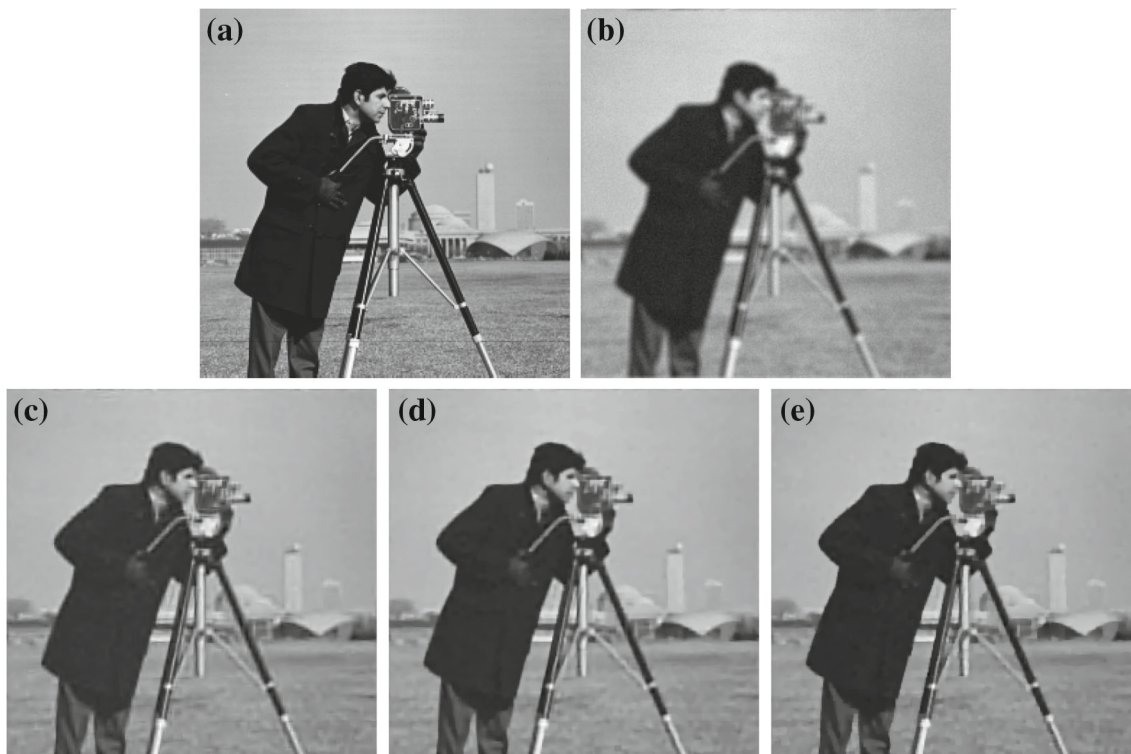


Fig. 3 Recovered results by using three different models on *Cameraman* image. **a** Original image, **b** degraded image, **c** wavelet frame, **d** TV+wavelet frame, **e** our hybrid scheme

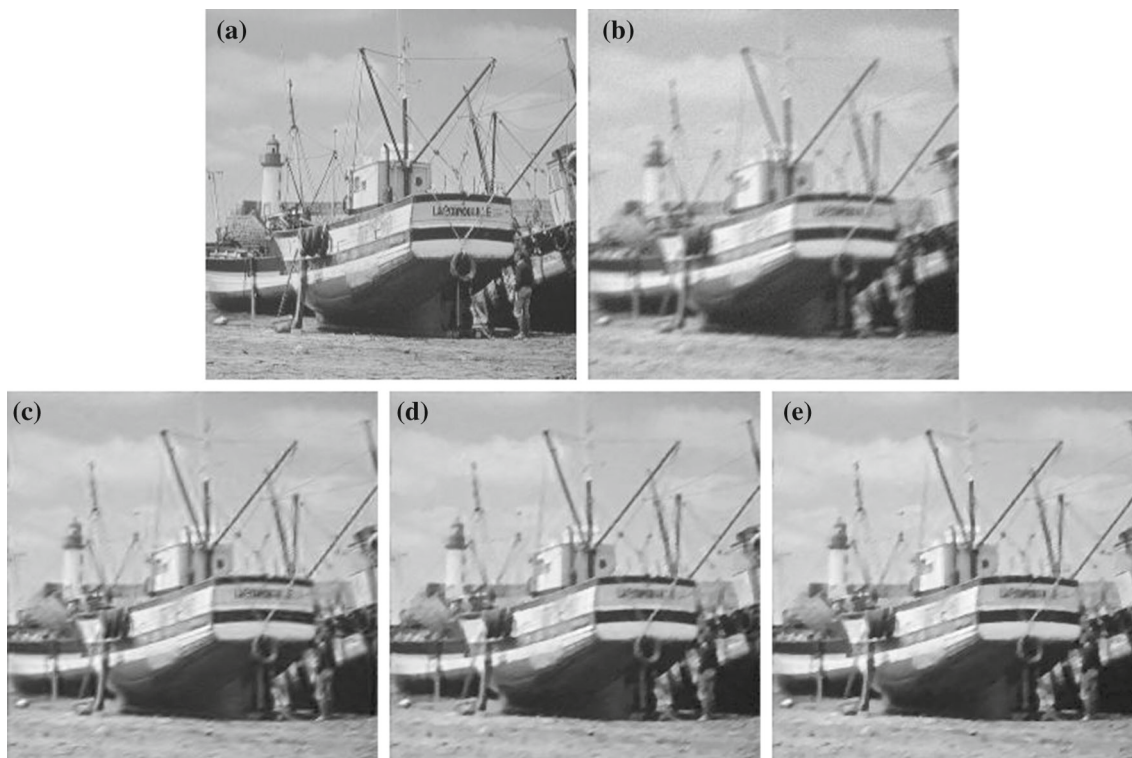


Fig. 4 Recovered results by using three different models on *Boat* image. **a** Original image, **b** degraded image, **c** wavelet frame, **d** TV+wavelet frame, **e** our hybrid scheme

noise with standard variance 10. Figure 1c, d denotes the denoised versions by the wavelet frame model and the TGV model, respectively. And we display in Fig. 1e the performance of our novel scheme. The local enlarged images and recovered results by three different models are separately listed in Fig. 2 and Table 1. As might be expected, it follows from Fig. 1 and Table 1 that our proposed hybrid model provides the best restoration, visually and quantitatively, in terms of suppressing noise and preserving details over some existing sophisticated numerical methods.

Example 2 We take another standard test image *Cameraman* (Fig. 3a) as an example for image deconvolution. Its degraded version shown in Fig. 3b (PSNR = 23.0625 dB) is blurred by Gaussian convolution with a 5×5 window and a standard deviation of 3, and noisy by white Gaussian noise with variance $\sigma^2 = 15$. In this case, the wavelet frame is selected as the 4-scale Daubechies-4 wavelet. The deconvolution results by the wavelet frame model, the TV+wavelet model and our method are displayed in Fig. 3c–e and Table 2, respectively.

Example 3 In Fig. 4, we compare the denoised and deblurred results for image *Boat*, by using the wavelet frame model, the TV+wavelet model and our proposed strategy. We use 2-scale Coiflet filter for our wavelet frame to deal with the contaminated image (Fig. 4b, PSNR = 24.1873 dB), blurred by motion blur with parameters “len = 6” and “theta = 30,”

Table 3 Comparison of the recovered results using three different models on *Boat* image

Model	N_{iter}	Time (s)	PSNR	FOM	FSIM
Wavelet	141	13.3706	26.7031	0.8515	0.8740
TVW	84	8.4937	26.7960	0.8598	0.8776
Ours	71	8.2835	26.9562	0.8675	0.8833

and noisy by white Gaussian noise with variance $\sigma^2 = 15$. Subsequently, provided Fig. 4 and Table 3 indicate the deconvolution results by using three different models in more detail.

Example 4 Subsequently, to further evaluate the performance of the addressed hybrid regularizers approach to image deblurring, we use 4-scale symmetric framelet [39] for our model and deal with the contaminated *Peppers* image. Figure 5b (PSNR = 22.3220 dB) denotes the degenerated version blurred by a 6×6 averaging filter and noisy by white Gaussian noise with variance $\sigma^2 = 20$. Furthermore, the restored results by the TGV model, the TV+wavelet model and our proposed algorithm are presented in Table 4 and Fig. 5 at great length.

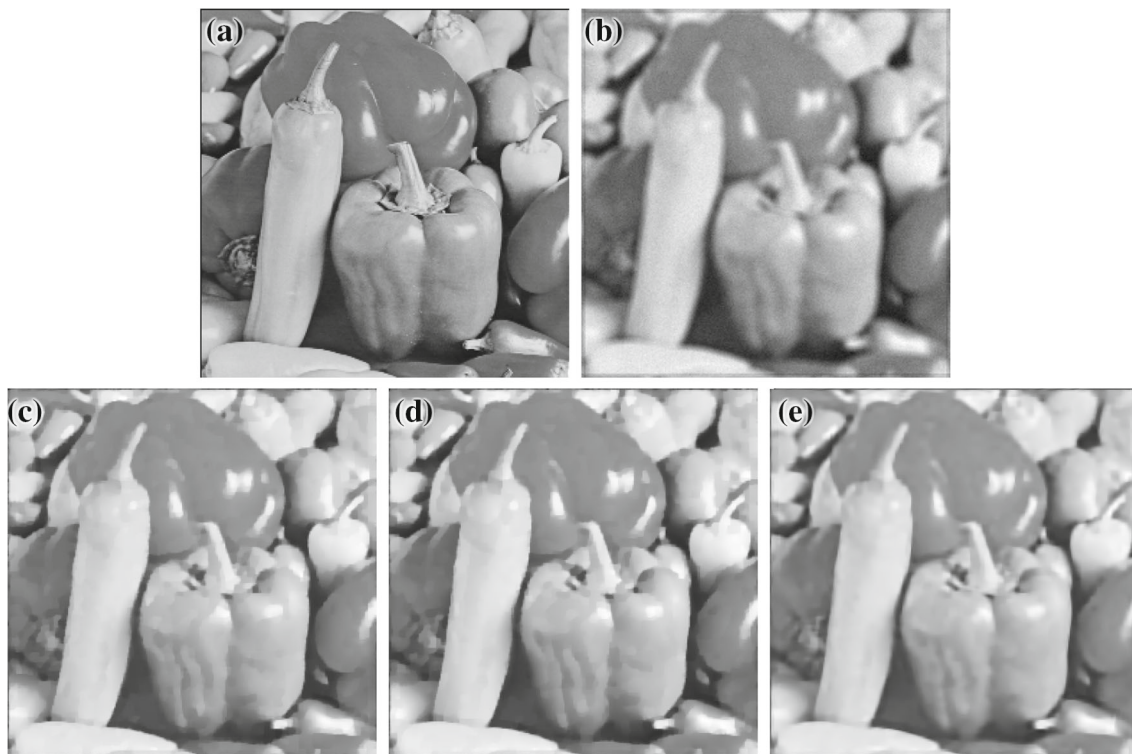


Fig. 5 Recovered results by using three different models on *Peppers* image. **a** Original image, **b** degraded image, **c** TGV model, **d** TV+wavelet frame, **e** our hybrid scheme

Table 4 Comparison of the recovered results using three different models on *Peppers* image

Model	N_{iter}	Time (s)	PSNR	FOM	FSIM
TGV	107	3.8577	27.4869	0.8748	0.8968
TVW	98	9.3326	27.4637	0.8753	0.8956
Ours	85	8.5753	27.8108	0.8796	0.9086

Example 5 At last, with the aim of further illustrating the performance, we compare our reconstructions with the TGV and another popular and powerful deep learning-based methods [40,41]. It is worth pointing out that the Daubechies wavelet D_6 is chosen for wavelet frame in the implementation of our algorithm. As suggested in [40], the MLP approach is carried out with the Gaussian window width of

2 and a stride size of 3. Five degraded images are all corrupted by additive Gaussian noise with standard variance 15. Recovered results and measurable comparisons obtained using three different strategies are intuitively depicted and listed in Table 5 and Fig. 6, respectively.

Observing the restorations in Figs. 3, 4, 5 and 6 gives that the oscillation and staircasing artifacts are frequently produced by the canonical wavelet frame and TV-based methods. However, the images recovered by our novel model are more visually natural and veritable. Other comparisons outlined in Tables 2, 3, 4 and 5, especially in achieving higher PSNR, FOM and FSIM values than those of the wavelet frame, TGV, TV+wavelet and deep learning-based efficient methods, concertedly illustrate the outstanding performance of the

Table 5 Comparison of the recovered results using three different models on five test images

Images	PSNR			FOM			FSIM		
	MLP	TGV	Ours	MLP	TGV	Ours	MLP	TGV	Ours
Lean	30.8147	30.7395	30.8548	0.9058	0.9266	0.9285	0.9109	0.9252	0.9275
Cameraman	29.5733	30.2686	30.3747	0.9669	0.9743	0.9779	0.8775	0.9132	0.9143
Boat	28.1143	29.2238	29.3062	0.9180	0.9486	0.9506	0.8816	0.9131	0.9167
Peppers	30.7085	30.9296	31.1083	0.9312	0.9509	0.9531	0.9233	0.9358	0.9373
Butterfly	29.9890	29.8311	29.9965	0.9778	0.9821	0.9832	0.9370	0.9333	0.9381



Fig. 6 Recovered results by using three different models on five test images: *Lena*, *Cameraman*, *Boat*, *Peppers* and *Butterfly*. **a1–a5** Degraded image, **b1–b5** MLP, **c1–c5** TGV model, **d1–d5** our hybrid scheme

proposed approach to image deblurring, with respect to both restoration accuracy and edge-preserving ability.

6 Conclusions

In this article, by incorporating the advantages of two recently developed wavelet frame-based and TGV methods, we introduce a novel hybrid regularizers model for image denoising and deblurring. Associated with the alternating minimization method, the proposed framework is calculated by an efficient adaptive parameter estimation algorithm, where the parameter λ is changing automatically during the iterations. Convergence of the algorithm is also briefly described. Finally, in comparison with some state-of-the-art techniques, experimental results distinctly illustrate the unexampled superiority of our developed strategy in solving the image restoration problem, in terms of reconstruction quality, staircasing effect reduction and details preservation.

Acknowledgements The author would like to thank the editors and anonymous reviewers for their constructive comments and valuable suggestions.

Funding This work was supported by National Natural Science Foundation of China (61402166) and Hunan Provincial Natural Science Foundation of China (14JJ3105).

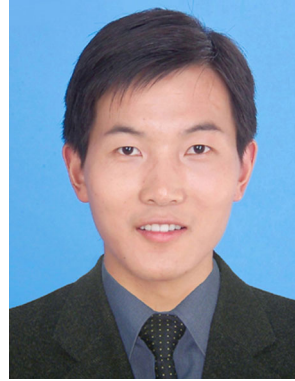
Compliance with ethical standards

Conflict of interest The author declares that he has no conflict of interest.

References

- Rudin, L., Osher, S., Fatemi, E.: Nonlinear total variation based noise removal algorithms. *Physica D* **60**(1), 259–268 (1992)
- Aujol, J.F., Gilboa, G.: Constrained and SNR-based solutions for TV-Hilbert space image denoising. *J. Math. Imaging Vis.* **26**(1), 217–237 (2006)
- Weiss, P., Blanc-Féraud, L., Aubert, G.: Efficient schemes for total variation minimization under constraints in image processing. *SIAM J. Sci. Comput.* **31**(3), 2047–2080 (2009)
- Ng, M.K., Weiss, P., Yuan, X.: Solving constrained total-variation image restoration and reconstruction problems via alternating direction methods. *SIAM J. Sci. Comput.* **32**(5), 2710–2736 (2010)
- Wen, Y.W., Chan, R.H.: Parameter selection for total-variation-based image restoration using discrepancy principle. *IEEE Trans. Image Process.* **21**(4), 1770–1781 (2012)
- He, C., Hu, C., Zhang, W., Shi, B.: A fast adaptive parameter estimation for total variation image restoration. *IEEE Trans. Image Process.* **23**(12), 4954–4967 (2014)
- Golub, G.H., Heath, M., Wahba, G.: Generalized cross-validation as a method for choosing a good ridge parameter. *Technometrics* **21**(2), 215–223 (1979)
- Galatsanos, N.P., Katsaggelos, A.K.: Methods for choosing the regularization parameter and estimating the noise variance in image restoration and their relation. *IEEE Trans. Image Process.* **1**(3), 322–336 (1992)
- Hansen, P.C.: Analysis of discrete ill-posed problems by means of the L-curve. *SIAM Rev.* **34**(4), 561–580 (1992)
- Babacan, S.D., Molina, R., Katsaggelos, A.K.: Parameter estimation in TV image restoration using variational distribution approximation. *IEEE Trans. Image Process.* **17**(3), 326–339 (2008)
- Babacan, S.D., Molina, R., Katsaggelos, A.K.: Variational Bayesian blind deconvolution using a total variation prior. *IEEE Trans. Image Process.* **18**(1), 12–26 (2009)
- Bredies, K., Kunisch, K., Pock, T.: Total generalized variation. *SIAM J. Imaging Sci.* **3**(3), 492–526 (2010)
- Knoll, F., Bredies, K., Pock, T., Stollberger, R.: Second order total generalized variation (TGV) for MRI. *Magn. Reson. Med.* **65**(2), 480–491 (2011)
- Bredies, K., Dong, Y., Hintermüller, M.: Spatially dependent regularization parameter selection in total generalized variation models for image restoration. *Int. J. Comput. Math.* **90**(1), 109–123 (2012)
- Bredies, K., Holler, M.: A TGV regularized wavelet based zooming model. *Lect. Notes Comput. Sci.* **7893**, 149–160 (2013)
- Valkonen, T., Bredies, K., Knoll, F.: Total generalized variation in diffusion tensor imaging. *SIAM J. Imaging Sci.* **6**(1), 487–525 (2013)
- Guo, W., Qin, J., Yin, W.: A new detail-preserving regularity scheme. *SIAM J. Imaging Sci.* **7**(2), 1309–1334 (2014)
- He, C., Hu, C., Yang, X., He, H., Zhang, Q.: An adaptive total generalized variation model with augmented Lagrangian method for image denoising. *Math. Probl. Eng.* **2014**, 157893 (2014)
- Liu, X.: Augmented Lagrangian method for total generalized variation based Poissonian image restoration. *Comput. Math. Appl.* **71**(8), 1694–1705 (2016)
- Cai, J.F., Osher, S., Shen, Z.: Split Bregman methods and frame based image restoration. *Multiscale Model. Simul.* **8**(2), 337–369 (2010)
- Cai, J.F., Dong, B., Osher, S., Shen, Z.: Image restoration: total variation, wavelet frames, and beyond. *J. Am. Math. Soc.* **25**(4), 1033–1089 (2012)
- Zhang, Y., Kingsbury, N.: Improved bounds for subband-adaptive iterative shrinkage/thresholding algorithms. *IEEE Trans. Image Process.* **22**(4), 1373–1381 (2013)
- He, L., Wang, Y., Xiang, Z.: Wavelet frame-based image restoration using sparsity, nonlocal, and support prior of frame coefficients. *Vis. Comput.* (2017). <https://doi.org/10.1007/s00371-017-1440-3>
- Wang, C., Yang, J.: Poisson noise removal of images on graphs using tight wavelet frames. *Vis. Comput.* (2017). <https://doi.org/10.1007/s00371-017-1418-1>
- Bredies, K., Valkonen, T.: Inverse problems with second-order total generalized variation constraints. In: *Proceedings of SampTA 2011, 9th International Conference on Sampling Theory and Applications* (2011)
- Boyd, S., Vandenberghe, L.: *Convex Optimization*. Cambridge University Press, New York (2004)
- Chen, D.-Q., Cheng, L.-Z.: Deconvolving Poissonian images by a novel hybrid variational model. *J. Vis. Commun. Image R.* **22**(7), 643–652 (2011)
- Goldstein, T., Osher, S.: The split Bregman algorithm for L1 regularized problems. *SIAM J. Imaging Sci.* **2**(2), 323–343 (2009)
- Setzer, S.: Operator splittings, Bregman methods and frame shrinkage in image processing. *Int. J. Comput. Vis.* **92**(3), 265–280 (2011)
- Liu, X.: Alternating minimization method for image restoration corrupted by impulse noise. *Multimed. Tools Appl.* **76**(10), 12505–12516 (2017)
- Zha, Z., Liu, X., Zhang, X., Chen, Y., Tang, L., Bai, Y., Wang, Q., Shang, Z.: Compressed sensing image reconstruction via adaptive sparse nonlocal regularization. *Vis. Comput.* **34**(1), 117–137 (2018)

32. Ng, M.K., Chan, R.H., Tang, W.-C.: A fast algorithm for deblurring models with Neumann boundary conditions. *SIAM J. Sci. Comput.* **21**(3), 851–866 (1999)
33. Wang, Y., Yin, W., Zhang, Y.: A fast algorithm for image deblurring with total variation regularization. CAAM Technical Report TR07-10 (2007)
34. Bertsekas, D., Tsitsiklis, J.: *Parallel and Distributed Computation: Numerical Methods*. Athena Scientific, Belmont (1997)
35. He, B., Liao, L.Z., Han, D., Yang, H.: A new inexact alternating directions method for monotone variational inequalities. *Math. Program.* **92**(1), 103–118 (2002)
36. Boyd, S., Parikh, N., Chu, E., Peleato, B., Eckstein, J.: Distributed optimization and statistical learning via the alternating direction method of multipliers. *Found. Trends Mach. Learn.* **3**(1), 1–122 (2011)
37. Hajiaboli, M.R.: A self-governing fourth-order nonlinear diffusion filter for image noise removal. *IPSN Trans. Comput. Vision Appl.* **2**, 94–103 (2010)
38. Zhang, L., Zhang, L., Mou, X., Zhang, D.: FSIM: a feature similarity index for image quality assessment. *IEEE Trans. Image Process.* **20**(8), 2378–2386 (2011)
39. Selesnick, I.W., Abdelnour, A.F.: Symmetric wavelet tight frames with two generators. *Appl. Comput. Harmon. Anal.* **17**(2), 211–225 (2004)
40. Burger, H.C., Schuler, C.J., Harmeling, S.: Image denoising: can plain neural networks compete with BM3D? *IEEE Conf. Comput. Vis. Pattern Recogn.* **157**(10), 2392–2399 (2012)
41. Zhang, K., Chen, Y., Chen, Y., Meng, D., Zhang, L.: Beyond a Gaussian denoiser: residual learning of deep CNN for image denoising. *IEEE Trans. Image Process.* **26**(7), 3142–3155 (2016)



Xinwu Liu received the Ph.D. degree in Applied Mathematics from Hunan University, Changsha, China, in 2011. He is now an Associate Professor at the School of Mathematics and Computational Science, Hunan University of Science and Technology, Xiangtan, China. The main topics of his current research concern numerical optimization, image processing and analysis based on partial differential equations.