ORIGINAL ARTICLE

An ANN‑adaptive dynamical harmony search algorithm to approximate the fyrock resulting from blasting

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Abstract

Blasting is the cheapest and most common method of rock excavation. The basic purpose of blasting is to breakage and displacement of rock mass and, on the other hand, it has some undesirable and inevitable efects such as fyrock. In this study, a novel hybrid artifcial neural network (ANN) based on the adaptive musical inspired optimization method is proposed for accurate prediction of blast-induced fyrock. The dynamical adjusting process was adaptively introduced to enhance the ability of harmony search algorithm to obtain the optimum relationship between input variables, i.e., spacing, burden, stemming, powder factor and density of rock and output variable, i.e., fyrock. Two adjusting processes were used to update the new position of particles. The statistical information of the harmony memory was implemented in the proposed hybrid ANN coupled with adaptive dynamical harmony search (ANN-ADHS). The capacity for agreement, tendency, and accuracy of the proposed ANN-ADHS was compared with that of the ANN and two hybrid ANN models coupled by harmony search (ANN-HS) and particle swarm optimization (ANN-PSO) models using comparative statistics such as root mean square error (RMSE). The results confirmed viability and effectiveness of the ANN-ADHS model (with RMSE=17.871 m and correlation coefficient $(R²)$ = 0.929) and showed its capacity for better predictive performance compared to ANN-HS (with RMSE = 22.362 m and R^2 = 0.871), ANN-PSO (with RMSE = 24.286 m and R^2 = 0.832), and ANN (with RMSE = 24.319 m and R^2 = 0.831).

Keywords Blasting · Flyrock · ANN · Adaptive dynamic harmony search · Optimization algorithms

1 Introduction

In general, blasting operations conducted during surface mining projects may lead to the fragmentation of overburden and exposure of ore benches [\[1](#page-10-0)]. During blasting operations, with every explosion, a huge quantity of energy is released in the form of heat, gas, pressure, and stress waves. Such massive energy is not completely converted into mechanical

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energy for breaking the rock mass. Only 20–30% of this energy is applied to rock fragmentation and the remaining part merely goes for generating negative efects such as fyrock, ground vibration, air blast, noise, etc. (Figure [1\)](#page-1-0) [[2–](#page-10-1)[11\]](#page-10-2). According to Trivedi et al. [\[3](#page-10-3)] and Little and Blair [[12\]](#page-10-4), fyrock is produced when the energy released by the explosion takes the least resistance path to travel. This incident can bring about serious threat to the safety of people living nearby and buildings located in the vicinity of the site [[3](#page-10-3), [13](#page-10-5)].

The most important factors that lead to fyrock event include over-charging of the blast holes, inadequate burden, insufficient stemming, imprecision in design of blast-hole patterns, anomaly in the rock structure geology, back-break, improper drilling, and carelessness [[3](#page-10-3), [14](#page-10-6), [15\]](#page-10-7). Based on fndings of Bajpayee et al. [\[16,](#page-10-8) [17](#page-10-9)], if the geo-mechanical strength of the adjacent rock mass is imbalanced, it results in the movement of explosive energy towards the paths, where it faces the least resistance. This condition causes fyrock to be propelled beyond the estimated blast area. According to Richards and Moore [[18\]](#page-10-10), basic mechanisms of fyrock

Fig. 1 Blasting phenomena [[11](#page-10-2)]

incidence in bench blasting are cratering, rifing, and face burst. As maintained by Rehak et al. [\[15](#page-10-7)] and Bajpayee et al. [\[17\]](#page-10-9), flyrock is one of the most frequent incidences causing fatal accidents in open cast mines in India. Verakis and Lobb [[19](#page-10-11)] stated that fyrock and lack of security in blast region are responsible for roughly 68% of the injuries that occur in the opencast mines. On the other hand, according to Kecojevic and Radomsky [[20](#page-10-12)], during the past three decades, improper blasting shelter, not evacuating the blast region from people, and insufficient guarding of the access roads have accounted for 45.64% of the fatal and non-fatal events in surface coal mining. Literature contains a number of empirical models proposed by diferent researchers [[21,](#page-10-13) [22](#page-11-0)] for the aim of solving the intimidating problem of fyrock. The most signifcant drawback of these models is that they have failed to involve all factors that can have impact on the fyrock launch velocity or throw. This weakness has made them incapable of entirely solving the fyrock problem.

Today, advanced artifcial intelligence (AI)-based tools are extensively applied to many situations, including the problem of decision making under uncertain conditions and with uncertain information $[23-39]$ $[23-39]$. AI has a stochastic characteristic that makes it efectively applicable to numerous felds of study, e.g., Information Technology, data analysis, decision making, and predictive models [[40\]](#page-11-3).

It is highly complex to predict fyrock, since it requires to inspect numerous variables. Consequently, literature lacks a single method that can be comprehensive enough to perform such complicated task. Every model proposed in this regard involves some variables and overlooks some others [[41](#page-11-4)]. Thus, for an effective and accurate prediction of flyrock, innovative methods on the basis of AI are required. Rezaei et al. [\[41](#page-11-4)] introduced a fuzzy interface system (FIS) to estimate the fyrock. In another study, artifcial neural network (ANN) and FIS were developed by Ghasemi et al. [[42\]](#page-11-5) to predict fyrock. Their fndings confrmed the efectiveness of both techniques in the fyrock estimation. To the same end, Monjezi et al. [\[43\]](#page-11-6) integrated ANN and genetic algorithm (GA). In another project, support vector machine (SVM) and statistical models were developed by Khandelwal and Monjezi [\[44](#page-11-7)] for the purpose of introducing a novel model applicable to fyrock estimation. According to their results, SVM outperformed the statistical models in regard to predicting fyrock. Marto et al. [[45\]](#page-11-8) combined the advantages of both ANN and imperialist competitive algorithm (ICA) to have an accurate prediction of fyrock. They made use of ICA to optimize ANN. In their experiments, the hybrid ICA-ANN model worked better than ANN regarding the fyrock estimation. In another research in this feld, Jahed Armaghani et al. [[46](#page-11-9)] utilized the adaptive neuro-fuzzy inference system (ANFIS) to estimate fyrock, and their fndings confrmed the effectiveness of ANFIS in terms of predicting flyrock. Nikafshan Rad et al. [[47\]](#page-11-10) integrated the recurrent fuzzy neural network (RFNN) with GA for the purpose of estimating fyrock. They also made use of ANN and a hybridized model of ANN and GA. Their fndings showed that RFNN-GA could outperform ANN and ANN-GA regarding the precision level.

Lu et al. [[48\]](#page-11-11) presented an outlier robust extreme learning machine (ORELM) to predict fyrock, and compared its results with ANN and regression models. Their results confrmed the acceptability of the proposed ORELM model in this feld. A biogeography-based optimization (BBO) algorithm was combined with ELM to predict fyrock in the study conducted by Murlidhar et al. [\[49](#page-11-12)]. In their study, the particle swarm optimization (PSO) algorithm was also used to train ELM. They concluded that the accuracy of the BBO-ELM model was better that PSO-ELM and ELM models. Han et al. [\[50](#page-11-13)] offered the random forest (RF) model to select the most efective parameters on the fyrock. Then, they used a Bayesian network (BN) to develop a probabilistic predictive model to predict fyrock. Their results showed the efectiveness of RF and BN models in the fyrock prediction feld. In another study conducted by Zhou et al. [[51](#page-11-14)], the Monte Carlo (MC) simulation and nonlinear models were implemented to estimate the fyrcok distance. Their analyses indicated the superiority of the proposed models in predicting the fyrock. The multiple discriminant analysis (MDA) model was developed by Hudaverdi and Akyidiz [\[52\]](#page-11-15) to predict fyrock. According to their results, the MDA model can be introduced as a new and reliable model to predict blast-induced fyrock. Recently, Jahed Armaghani et al. [\[53\]](#page-11-16) predicted the fyrock using a combination of gray wolf optimization (GWO) and support vector regression (SVR). In addition, two models, including multivariate adaptive regression splines (MARS) and principle component regression (PCR), were used for comparison aims. They showed that GWO was an excellent algorithm to improve the SVR performance, and its accuracy was found better than the MARS and PCR models.

In the present paper, a novel ANN coupled with adaptive dynamical harmony search (ANN-ADHS) is proposed to predict fyrock. The capability of ANN-ADHS is compared with the ANN and two hybrid ANN models coupled by the harmony search (ANN-HS) and PSO (ANN-PSO) models. To the best of our knowledge, any research has not as yet tested the efficiency of ANN-ADHS model in predicting the fyrock in diferent time scales.

2 Data for modeling process

To achieve the objectives defned for this study, a feld study was conducted at three quarry sites of granite rock located near the city of Johor, Malaysia, including the Ulu Tiram, Pengerang, and Masai quarry sites (see Fig. [2](#page-2-0)). In all of the above-mentioned sites, rock strength ranges between 30 and 110 MPa. The aggregate applied to construction purposes is produced in these sites using the drilling and blasting methods. The blast holes used are of the size of 75, 115, and 150 mm in diameter.

The explosive material mainly applied to blasting operations is ammonium nitrate/fuel oil (ANFO) as well as dynamite that is generally utilized for blast initiation. To stem the blast holes, fne gravels are used in these sites. In all the sites, fyrock inevitably takes place; thus, an accurate estimation of fyrock for controlling the negative environmental impacts is of high importance. To this end, 82 blasting operations were inspected for the aim of measuring the fve most efective variables on fyrock, i.e., spacing, burden, stemming, powder factor, and density. For each blast, the bench surface was colored, and to check the projection of fyrock, three video cameras were installed. Next, the videos were checked to determine the locations of the maximum rock projections; the fyrock distances were then measured using a measuring tape. In the modeling processes of the proposed models, the gathered datasets were divided into training (80% of the whole datasets) and testing (20% of

Fig. 2 View of the felds investigated in this research

the whole datasets) datasets. The statistical properties of the data for modeling process is listed in Table [1.](#page-3-0) Furthermore, Fig. [3](#page-3-1) shows the sensitivity degree of input parameters on the output (fyrock). This fgure shows that the spacing (with 36%) and powder factor (with 27%) are the most sensitivity parameters on the fyrock in the studied cases.

3 Hybrid ANN model crumpled by ADHS

The input variables presented in Table [1](#page-3-0) are utilized to provide a nonlinear relation for fyrock distance. The ANN model is used to connect input variables into fyrock distance as output variable with a nonlinear mathematical form. ANN can provide a nonlinear relation using three layers, i.e., input, hidden, and output layers, which are plotted in Fig. [4.](#page-4-0) In ANN, the nonlinear function is defned based on the fol-lowing relations [[54](#page-11-17)]:

$$
Y = b + \sum_{j=1}^{M} w_j \phi_j
$$

$$
\phi_j = \frac{1}{1 + \exp[-(b_j + \sum_{i=1}^{n} w_{ji} x_i)]},
$$
 (1)

where b and b_j represent bias for output and the j -th hidden node, respectively, w_j and w_{ji} , respectively, denote weights for output and hidden nodes which are used to connect the *j*-th hidden node to output node and to connect the *i*-th input node to the *j*-th hidden node, respectively, and φ_j represents nonlinear map as sigmoid function that is utilized for transferring the *j*-th hidden node. As can be seen in Fig. [2](#page-2-0), the *M*-neuron in hidden layer can provide a nonlinear relation using the sigmoid function in this study.

Fig. 3 Sensitivity degree of input variables on the output (fyrock)

The capability of the ANN model strongly depends on the weights (w_j and w_{ji}) and biases (*b* and b_j). Consequently, providing the best connection between input and output layers causes the highest capacity for an accurate and robust prediction, which is obtained by optimum conditions of weights and biases. Therefore, the learning approach plays a vital role in establishing a robust and accurate model. The backpropagation (BP) approach of MLNN is a popular training method applicable to determining the weights and biases. The metaheuristic optimization methods can be used to train ANN $[55-59]$ $[55-59]$ for searching optimum coefficient vector of weights and biases $\theta = [b, w]$. The ANN can be trained using optimization algorithms by minimizing the error between the observed and predicted data as below [\[55\]](#page-11-18):

Table 1 Statistical properties of the data for modeling process in the testing and training phases

$$
\min MSE = \frac{1}{N} \sum_{i=1}^{M} [O_i - Y_i]^2,\tag{2}
$$

where *N* and *O* are the number of training data and observed fyrock, respectively. In this work, a novel hybrid AI-based ANN coupled with an optimization algorithm using adaptive music-inspired approach is proposed for approximating the flyrock.

In ADHS, a modifed adjusting approach is presented based on the information of harmony memory at every iteration. The new position of harmony elements is dynamically updated using two random adjusting procedures. Through the frst procedure, the harmony elements are adjusted using the maximum and minimum values for each random variable in harmony memory by a dynamic harmony memory considering rate (HMCR), which is computed as follows:

$$
HMCR(k) = 0.95 + 0.1 \times \sqrt{\frac{k}{NI} - (\frac{k}{NI})^2},
$$
\n(3)

where *k* is the current iteration and *NI* is the total number of iterations. Whereas, the best memory of the variable is tuned using an adjusting step size, which is computed based on the information of harmony elements through the second random procedure. Through the second adjusting procedure, the dynamical pitch adjusting rate (PAR) is used to adopt the best harmony memory as follows:

$$
PAR(k) = 0.3 + 0.6 \times [1 - \sqrt{1 - k/NI}].
$$
\n(4)

Using two dynamical relations presented in Eqs. ([3\)](#page-4-1) and (4) (4) , the new updating position for unknown coefficient vector is randomly given by the following schemes:

$$
\theta_{new} = \begin{cases} \theta_{old} \pm \gamma(k) \times bw_i(k) & r_1 \leq HMCR(k) \\ \theta_L + r_3 \times (\theta_U - \theta_L) & r_1 > 1 - HMCR(k) \end{cases}
$$
(5)

$$
\theta_{new} = \begin{cases} \theta_{old} \pm \gamma(k) \times [\theta_i^{max} - \theta_i^{min}] & r_2 \leq PAR(k) \\ \theta_L + r_3 \times (\theta_U - \theta_L) & r_2 > 1 - PAR(k) \end{cases}
$$
\n(6)

where θ_{old} and θ_{new} denote the old and new elements of harmony memory, respectively. $r_1, r_2, r_3 \in [0, 1]$ are random numbers between 0 and 1. θ_i^{\max} and θ_i^{\min} are maximum and minimum coefficients, respectively, for the *i*-th input variable in the harmony elements. θ_U and θ_U , respectively, denote upper and lower bounds of unknown coefficients, where $\theta_U = 1$ and $\theta_U = -1$. In Eq. [\(5](#page-4-3)), *bw*(*k*) and γ (*k*) are the dynamical bandwidth and controlling factor, respectively, proposed by the following relations:

$$
bw_i(k) = \frac{\theta_i^{\max} - \theta_i^{\min} + 0.0001}{10} \exp\left[-\frac{10k}{NI}\right] \tag{7}
$$

$$
\gamma(k) = \sqrt{1 - \frac{k}{NI}},\tag{8}
$$

where exp represents the exponential operator. In Eq. [\(7](#page-4-4)), $bw_i(k)$ is computed based on information of the harmony memory such as maximum and minimum harmony elements. The dynamical bandwidth is decreased based on the

increment of iterations, while it is controlled by the factor $\gamma(k)$ at the final iteration. During the second adjusting process using Eq. ([6\)](#page-4-5), the movement of each harmony from the previous position is controlled by the coefficient $\sqrt{1 - k/N}$. Therefore, a smaller value is given for $\gamma(k) \times [\theta_i^{\max} - \theta_i^{\min}]$ at the fnal iteration; thus, a fxed position is obtained using this adjusting process. Unlike HS, the new position for harmony elements of the unknown coefficients is adjusted using two random steps with dynamical parameters. The algorithm of HS formulated by adjusting processes using Eqs. ([5\)](#page-4-3) and [\(6](#page-4-5)) is presented as follows:

are compared with those of the traditional ANN model with $M = 7$, ANN coupled with harmony search (HS) optimization (ANN-HS) with parameters of $HMS = 10$, $M = 7$, *NI*=2000, *HMCR*=0.95, *PAR*=0.35, and *bw*=0.005, and particle swarm optimization (PSO) with particle size of 10, $M=7$, $NI=2000$, $c_1=c_2=2$, $\eta_{\text{max}}=0.9$, $\eta_{\text{min}}=0.3$, $V0=0.3r$ and $\theta_0 = 1 - 2r$ [\[59](#page-12-0)].

Algorithm ADHS for adjusting the new elements of harmony memory

Find maximum (θ_i^{\max}) and minimum (θ_i^{\min}) elements

Determine the dynamical PAR and HMCR

Compute the dynamical bandwidth and γ

IF $r_1 \leq HMCR(k)$ THEN

 $\theta_{new} = \theta_{old} \pm \gamma \times bw_i(k)$;

IF $r_2 \leq PAR(k)$ THEN

$$
\theta_{new} = \theta_{old} + \gamma \times [\theta^{max} - \theta^{min}];
$$

ENDIF ELSE

$$
\theta_{new} = \theta_L + r_3 \times (\theta_U - \theta_L);
$$

ENDIF

The framework for training the ANN models using the ADHS optimization process is presented in Fig. [5.](#page-6-0) As can be seen in this fgure, this method can be applied simply with three random strategies to update the new harmony elements: selection from the design domain, adjustment using HMCR, and adjustment using PAR factors. In the current study, this framework is used in a MATLAB code with number of harmony memory size (HMS) of 10, hidden nodes of *M* = 7, *NI* = 2000, and θ_0 = 1 − 2*r*, i.e., *r* ∈ [0, 1]. The predicted results obtained by the proposed ANN-ADHS

4 Results and discussion

The accuracy and agreements of the proposed hybrid AIbased adaptive music-inspired intelligent models are compared with those of ANN, ANN-HS, and ANN-PSO using fve comparative statistics, i.e., the mean absolute error (*MAE*), root mean square error (*RMSE*), modifed agreement index (*d*), and modified Nash and Sutcliffe efficiency (*NSE*) and maximum relative errors (ax (RE). This error matrix is

Fig. 6 MSE of diferent optimization methods for training the ANN models

Iterations

implemented to illustrate the agreement and accuracy level of the proposed models. The comparative statistics were evaluated using the following relations [[60](#page-12-1)[–72](#page-12-2)]:

$$
RMSE = \sqrt{\frac{1}{N} \sum_{i=1}^{N} [S_i - Y_i]^2}
$$
 (9)

$$
NSE = 1 - \frac{\sum_{i=1}^{N} |S_i - Y_i|}{\sum_{i=1}^{N} |S_i - \bar{S}|}, \ -\infty < NSE \le 1 \tag{12}
$$

$$
Max(RE) = \max\left\{\frac{|S_i - Y_i|}{S_i} \times 100, \ i = 1, 2, ..., N\right\}
$$
 (13)

where *N* denotes the number of data, S_i and Y_i are the *i*th data points for the observed and predicted sets, respectively, and \bar{S} stands for the average of observed data points. The *RMSE* and *MAE* values for each model are tended to zero; thus, it can be said that the predicted model provides accurate predictions with minimum errors. In Eq. ([11\)](#page-6-1), *d* **Table 2** Comparative statistics for diferent ANN models in the training and testing phases

Fig. 7 MAE/NSE ratio of diferent ANN models in the training and testing phases

varies from 0 to 1 with no-correlation with the perfect ftness. The *NSE* in Eq. [\(12](#page-6-2)) presents the goodness-of-ftness of the model, where *NSE*=1 indicates perfect agreement predictions. If Max (RE) is close to zero, then the predicted results using an AI-based model show the highest tendency.

Three optimization algorithms based on the training approach of ANN coupled with HS, PSO, and the proposed ADHS are compared in Fig. [6.](#page-6-3) In this fgure, MSE is plotted corresponding to the iteration of diferent optimization methods. It can be given form Fig. [6,](#page-6-3) the MSE of PSO and HS are provided similar to a value of 0.023. However, the ADHS shows the lowest MSE (0.006). This clearly shows the superiority of ADHS compared to PSO and HS algorithms. In addition, the highly convergence rate is obtained

Fig. 8 Scatterplot of diferent ANN models in the training and testing phases

using the adjusting process proposed by the dynamical adaptive formulation in ANN-based ADHS optimization procedure. Two dynamical adjusting processes in ANN-ADHS extracted from the information of harmony elements can provide acceptable weights and biases compared to ANN-PSO and ANN-HS.

The performances of diferent hybrid intelligent models, i.e., ANN-PSO, ANN-HS, and ANN-SDHS in terms

400

of accuracy (i.e., the lowest MAE and RMSE), tendency (i.e., the lowest Max (RE)), and agreement (i.e., the highest d and NSE) are compared for the testing and training database in Table [2.](#page-7-0) It is worth mentioning that 56 and 26 datasets were used in training and testing phases, respectively. Table [2](#page-7-0) shows that the lowest MAE, RMSE, and Max (RE) values were obtained by the ANN-ADHS model. In addition, the highest d and NSE values were also obtained from

Obsorved data

the ANN-ADHS model. These values clearly indicated the superiority of the ANN-ADHS model in predicting fyrock compared to the others.

To more reliably compare the prediction performance of the models, the MAE to NSE ratios (MAE/NSE) were compared for diferent ANN models trained by the traditional algorithm, HS, PSO, and ADHS. The lowest MAE/NSE shows the model with the best level of accuracy and agreement. The MAE/NSE ratios for diferent BP optimization methods are plotted in Fig. [7](#page-7-1) for both training and testing predicted data. Generally, the MAE/NSE for ANN, ANN-HS, and ANN-PSO showed similar values for both testing and training phases. According to Fig. [7,](#page-7-1) the ANN-ADHS provides the best predictions among the other models, while the ANN-HS shows the worst results. To evaluate the tendency of the proposed BP using ADHS in ANN model for fyrock prediction, the predicted results with respect to the observed fyrock is plotted and presented as scatter points for training and testing phases in Table [2](#page-7-0). The correlation coefficient (R^2) between the predicted and observed data was implemented to compare the obtained results. If R^2 tends to 1, the predicted model provides the perfect prediction with top tendency. As can be seen in Fig. [8](#page-7-2), the maximum R^2 values for both training and testing phases were obtained by the ANN-ADHS model. In other words, the ANN-ADHS model outperformed the others in terms of fyrock prediction. The

ANN-HS, ANN-PSO, and ANN models were ranked next, respectively.

Furthermore, a view of the predicted fyrock values for all 82 datasets (both training and testing phases) using all the predictive models is illustrated in Fig. [9](#page-8-0). According to this figure, the ANN-ADHS model, with the R^2 of 0.991 and 0.929 in training and testing phases, respectively, was found as the most accurate model in predicting the fyrock in the cases studied in this paper. The ratios of the uncertainties of the predicted ANN models to the predicted data of fyrock distance (O/Y) are presented in Fig. [10.](#page-9-0) The average (Mean) and standard deviation (STD) are presented in Fig. [10](#page-9-0) for the investigated models. In general, the model has a perfect prediction when STD and Mean are tended to 0 and 1, respectively. The model with the lowest STD can be introduced as a predictive model with the minimum uncertainty that can offer the most reliable prediction. As can be seen in Fig. [10,](#page-9-0) the proposed ANN-ADHS provides the lowest STD (0.049). This means that this model has the lowest uncertainty of predictions among the other models, i.e., ANN, ANN-HS, and ANN-PSO. The HS-based training algorithm of ANN shows a STD value (0.075) better than that of ANN (0.091) and ANN-PSO (0.09).

5 Conclusion

Control of the fyrock induced by blasting is always a challenge for engineers working in surface mines. The aim of this study is to propose a novel and accurate model, namely the ANN-ADHS model, for fyrock prediction. To the best of our knowledge, no research has tested the efficiency of the ANN-ADHS model in terms of predicting fyrock in different time scales as yet. To achieve the objectives defned for this research, three quarry sites located near the city of Johor, Malaysia, were investigated and the required datasets were measured. The predictive models were frst trained using 56 datasets and then tested and verifed using 26 datasets. After the modeling process, the performance of the models was evaluated using fve comparative statistics, i.e., MAE, RMSE, d , NSE, and R^2 . The conclusions of this study are as follow:

- The ANN-ADHS model was found superior to the other models investigated in this study (i.e., ANN-HS, ANN-PSO, and ANN) regarding the prediction of flyrock. R^2 of testing in ANN-ADHS model was about 0.93, while that value of ANN-HS, ANN-PSO, and ANN models were about 0.871, 0.832, and 0.831, respectively. Accordingly, the ANN-ADHS model was found capable of providing greatest accuracy in the feld of fyrock prediction.
- The ADHS was found a powerful algorithm for improving the ANN performance, and also it showed its high capacity for being generalized.
- The ANN-ADHS model proposed in this study can be applied to predicting other blasting impacts such as ground vibration and air overpressure.

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