ORIGINAL PAPER

# Hypoxic cardiorespiratory reflexes in the facultative air-breathing fish jeju (Hoplerythrinus unitaeniatus): role of branchial  $O_2$ chemoreceptors

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Abstract In one series of experiments, heart frequency  $(f_H)$ , blood pressure  $(P_a)$ , gill ventilation frequency  $(f_R)$ , ventilation amplitude  $(V_{\text{AMP}})$  and total gill ventilation  $(V<sub>TOT</sub>)$  were measured in intact jeju (Hoplerythrinus unitaeniatus) and jeju with progressive denervation of the branchial branches of cranial nerves IX (glossopharyngeal) and  $X$  (vagus) without access to air. When these fish were submitted to graded hypoxia (water  $PO_2 \sim 140$ , normoxia to 17 mmHg, severe hypoxia), they increased  $f_R$ ,  $V_{\text{AMP}}$ ,  $V_{\text{TOT}}$  and  $P_{\text{a}}$  and decreased  $f_{\text{H}}$ . In a second series of experiments, air-breathing frequency  $(f_{RA})$ , measured in fish with access to the surface, increased with graded hypoxia. In both series, bilateral denervation of all gill arches eliminated the responses to graded hypoxia. Based on the effects of internal (caudal vein,  $150 \mu g$  NaCN in 0.2 mL saline) and external (buccal) injections of NaCN

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(500 µg NaCN in 1.0 mL water) on  $f_R$ ,  $V_{\text{AMP}}$ ,  $V_{\text{TOT}}$ ,  $P_a$  and  $f_H$  we conclude that the  $O_2$  receptors involved in eliciting changes in gill ventilation and associated cardiovascular responses are present on all gill arches and monitor the  $O_2$ levels of both inspired water and blood perfusing the gills. We also conclude that air breathing arises solely from stimulation of branchial chemoreceptors and support the hypothesis that internal hypoxaemia is the primary drive to air breathing.

Keywords Cardiorespiratory control · Hypoxia · O<sub>2</sub> chemoreceptors · Gills · Air breathing · Jeju · Hoplerythrinus unitaeniatus

#### Introduction

During periods of drought in tropical regions fish can be confined for weeks or even months to hypoxic and hypercarbic waters in marginal lakes. Aerial respiration is a common adaptation in fish species inhabiting these waters (Dehadrai and Tripathi [1976](#page-13-0); Kramer et al. [1978;](#page-13-0) Glass et al. [1986](#page-13-0); Graham [1997](#page-13-0)). The survival of these fish depends on, amongst other things, their ability to sense  $O_2$ change and to quickly make metabolic, cardiovascular and ventilatory adjustments that match oxygen supply and oxygen demand (Fritsche and Nilsson [1993](#page-13-0)). This, in turn depends on the central interaction of a variety of sensory inputs, including inputs from chemoreceptors that monitor internal (blood) and external (water) gas tensions and acid– base balance (Milsom [1997;](#page-13-0) Perry and Gilmour [2002\)](#page-13-0).

The primary sites of peripheral  $O_2$  sensing in fish appear to be the gills (including the pseudobranch in those species that posses one) and oro-branchial cavity (Laurent and Rouzeau [1972;](#page-13-0) Randall and Jones [1973;](#page-13-0) Butler et al. [1977](#page-12-0);

Daxboeck and Holeton [1978](#page-13-0); Smith and Davies [1984](#page-14-0); Smatresk et al. [1986;](#page-14-0) Burleson and Smatresk [1990b](#page-12-0); McKenzie et al. [1991](#page-13-0); Burleson and Milsom [1993](#page-12-0); Sundin et al. [1999](#page-14-0), [2000](#page-14-0); Milsom et al. [2002\)](#page-13-0). Chemoreceptors in the oro-branchial cavity are innervated by branches of the fifth (trigeminal) and/or seventh (facial) cranial nerves, those on the pseudobranch by the seventh cranial nerve, and those on the gill arches by branches of the ninth (glossopharyngeal) and/or tenth (vagus) cranial nerves, (see Reid et al. [2005](#page-13-0) for review). Some of these chemoreceptors respond only, or preferentially, to changes in external (water)  $O_2$ , others respond only, or preferentially, to changes in internal (blood)  $O_2$ , and some respond to both (Milsom and Brill [1986](#page-13-0); Burleson and Milsom [1993](#page-12-0)). Histological and neurophysiological evidence suggest that  $O_2$  chemoreception in the gills, at least, may arise from neuro-epithelial cells containing vesicular serotonin (Jonz and Nurse [2003](#page-13-0); Jonz et al. [2004;](#page-13-0) Coolidge et al. [2008](#page-13-0)).

The location (gills or oro-branchial cavity), distribution (which gill arches), and stimulus modality (external or internal sensing) of the receptors involved in reflex changes in each of the different components of the cardiorespiratory response (breathing frequency, breath amplitude, heart rate, systemic vascular resistance) varies between species of water breathing fish (see Milsom et al. 1999; Perry and Gilmour [2002;](#page-13-0) Reid et al. [2005](#page-13-0); Coolidge et al. [2008](#page-13-0) for reviews) and there is as yet insufficient data to determine to what extent this variability is attributable to differences in lifestyle (active versus sluggish fish) and habitat (hypoxia tolerant versus intolerant fish) (Milsom et al. 1999; Perry and Gilmour [2002](#page-13-0); Reid et al. [2005](#page-13-0); Coolidge et al. [2008](#page-13-0)). There is even lesser data concerning the source of the drives for gill versus air breathing in facultative airbreathing fish and the cardiovascular adjustments that accompany them.

Most facultative air breathers shift the emphasis from gill ventilation to air breathing as temperature increases or aquatic  $O_2$  levels fall (Johansen et al. [1970](#page-13-0); Singh [1976](#page-13-0); Randall et al. [1981](#page-13-0); Smatresk and Cameron [1982;](#page-14-0) Smatresk [1986;](#page-14-0) Shelton et al. [1986;](#page-13-0) McKenzie et al. [1991\)](#page-13-0). Once air breathing is initiated, gill ventilation usually decreases (Johansen et al. [1970](#page-13-0); Smatresk and Cameron [1982](#page-14-0); Graham [1997](#page-13-0)). Bradycardia, which is a hallmark of hypoxia in most fish, gives way to a ventilation tachycardia during air breathing, often accompanied by increases in cardiac output and perfusion of the air-breathing organ (Johansen [1966](#page-13-0) Axelsson et al. [1989](#page-12-0); Skals et al. [2006](#page-13-0); McKenzie et al. [2007](#page-13-0)). In gar these responses are eliminated by complete branchial denervation (gills and pseudobranch (Smatresk [1988](#page-14-0)) while in the bowfin, complete branchial denervation eliminates air breathing, but does not completely eliminate the gill ventilation response (McKenzie et al. [1991](#page-13-0)).

NaCN injections, which mimic local hypoxia, have been used to elucidate the relative roles of externally versus internally sensing chemoreceptors in these responses. In the African lungfish (Protopterus) (Johansen and Lenfant [1968](#page-13-0)) and the bowfin (Amia) (McKenzie et al. [1991\)](#page-13-0), both internal and external injections of nicotine or NaCN stimulated gill breathing, while in the gar (Lepisosteous), only internal injections of NaCN stimulated gill breathing (Smatresk [1986\)](#page-14-0). In the African lungfish, and the gar, both internal and external nicotine or NaCN injections stimulated lung breathing (Johansen and Lenfant [1968;](#page-13-0) Smatresk [1986](#page-14-0)) while in the bowfin neither injection had any effect on air breathing (McKenzie et al. [1991](#page-13-0)). In no instance did stimulation of either group of receptors in this manner produce a decrease in gill ventilation.

Trying to reconcile these results with those arising from prolonged exposure to hypoxia is not easy. The NaCN and nicotine injections produce brief transient responses from stimulation of a single receptor site, while the exposure to hypoxia produces simultaneous stimulation at both sites and the air breathing that ensues most likely reduces the strength of the stimulus at the internal receptors. Thus, hypoxia produces air breathing in Amia but NaCN injections do not and aquatic hypoxia decreases gill breathing in gar (regardless of internal levels of  $PO<sub>2</sub>$ ) while internal NaCN stimulates and external NaCN has no effect on gill breathing. This has led several authors to conclude that differences in the effects of various stimuli in different studies resulted primarily from differences in central integration of peripheral chemosensory information and the reliance of the species on air breathing. In the case of Amia it was concluded that stimulation of both sets of receptors was probably necessary to elicit air breathing (McKenzie et al. [1991\)](#page-13-0). In the case of the gar it led to the conclusion that the internal receptors set the level of hypoxic drive (i.e., stimulate both gill and air breathing) while the external receptors shift the emphasis from water to air breathing; that is it inhibits gill breathing (but only if there is internal hypoxia) and stimulates air breathing further (Smatresk et al. [1986\)](#page-14-0).

Based on this background, the aim of the present study was to elucidate the location, distribution and orientation of the  $O_2$ -chemoreceptors involved in the respiratory [both gill (water) and ABO (air) breathing] and heart rate responses to hypoxia in jeju and to compare these to the discrepant responses found in bowfin and gar. We particularly wanted to test the hypothesis that the effect of stimulation of externally oriented receptors in the gills could vary as a function of the level of stimulation of the internally oriented receptors with respect to the balance

between gill breathing and air breathing (Smatresk et al. [1986\)](#page-14-0).

For this study, we chose the facultative air-breathing jeju, Hoplerythrinus unitaeniatus (Stevens and Holeton [1978;](#page-14-0) Kramer et al. [1978](#page-13-0)). Jeju is an active, predaceous fresh water fish distributed throughout South America. This species is normally found in streams and shallow waters in tropical and sub-tropical areas where it frequently encounters periods of environmental hypoxia. When waters become severely hypoxic this species begins to air breath using its swim bladder as an air-breathing organ (ABO) (Carter and Beadle [1931](#page-12-0); Kramer [1988;](#page-13-0) Stevens and Holeton [1978\)](#page-14-0). This species maintains its aquatic  $O_2$  uptake  $(\dot{V}O_2)$  constant down to a critical  $PO_2$  (PcO<sub>2</sub>) of 40 mmHg, below which  $\dot{V}O_2$  declines linearly with further reductions of  $PiO_2$  (Oliveira et al. [2004\)](#page-13-0). Just below  $PcO_2$ , ventilatory tidal volume  $(V_T)$ , gill ventilation  $(\dot{V}_G)$  and arterial blood pressure  $(P_a)$  increase significantly while heart rate falls. While respiratory frequency changes little, the water convection requirement  $(\dot{V}_G/\dot{V}O_2)$  increases steeply. Aerial respiration is initiated once water  $PO_2$  falls below 44 mmHg and breathing episodes and time at the surface increase linearly with more severe hypoxia. At the lowest water  $PO_2$  (20 mmHg) examined, the time spent at the surface accounted for 50% of total time (Oliveira et al. [2004\)](#page-13-0). Following each air breath, there is a tachycardia, and an increase in air-breathing organ perfusion, most likely due to preferential perfusion of gill arches 3 and 4 that supply the coeliac artery which perfuses the air-breathing organ (Farrell [1977;](#page-13-0) McKenzie et al. [2007](#page-13-0)).

#### Materials and methods

#### Experimental animals

Adult jeju, *H. unitaeniatus*, weighing  $184 \pm 1$  g (mean  $\pm$ SEM) were collected in the Paraná River Basin, near Bataguaçu, Mato Grosso do Sul, Brazil. In the laboratory, fish were maintained in 1,000-L holding tanks supplied with a continuous flow of dechlorinated and aerated water [normoxic conditions,  $PwO<sub>2</sub> \ge 130$  mmHg (17.29 kPa)] at a constant temperature  $(25^{\circ}C)$ . Fish were fed with live food (smaller fish of various species) ad libitum. Food, however, was withheld for 2–3 days before trials.

# Animal preparation

For surgical procedures fish were initially anesthetized by immersion in a solution of benzocaine  $(0.1 \text{ g L}^{-1})$ . They were then transferred to a surgical table where they were held in air with their gills irrigated with a second solution

of benzocaine (0.05 g  $L^{-1}$ ) that was constantly aerated to maintain adequate levels of  $O_2$ .

In series one, two polyethylene cannulae (PE 100 and PE 60) were introduced into the buccal cavity through a hole in the dorsal palate. One was used to record buccal pressure to determine ventilatory frequency  $(f_R)$  and amplitude  $(V_{\text{AMP}})$ . The other was used for intrabuccal administration of water and NaCN. In series two and three, only one buccal catheter was used for both functions. The caudal vein and artery were also cannulated (PE 50) (Axelsson and Fritsche [1994\)](#page-12-0) for intravenous injections of saline and NaCN, and to record arterial pressure  $(P_a)$  and heart rate  $(f_H)$  in series one and two. In series three only the caudal vein was cannulated. The intrabuccal and intravenous injections of NaCN were used to selectively stimulate external and internal  $O_2$ chemoreceptors, respectively.

Denervation of cranial nerves IX (glossopharyngeal) and X (vagus)

Fish were anesthetized and placed on a surgical table where they were artificially ventilated as described above. The denervation followed the same protocol described by Sundin et al. ([2000\)](#page-14-0). Under a stereoscopic microscope (Opto SM 2001, Opto Electronics, São Carlos, SP, Brazil), the operculum was reflected forward, and a small incision (2 cm) was made in the epithelium above the first and second gill arches where they meet the roof of the opercular cavity. The incision allowed access to cranial nerve IX and the branchial branches of cranial nerve X. The branchial nerves of all gill arches were carefully dissected free of connective tissue and cut with fine iris scissors to make up four experimental groups: the Intact group (I), consisted of non-operated animals  $(n = 7)$  and sham-operated animals  $(n = 3)$  that had their nerves exposed but not sectioned. The latter were included in the intact group since they produced responses that were no different from those of non-operated animals. The IX group ( $n = 8$ ) consisted of animals having the branchial branch of the IXth cranial nerve sectioned. The G1 group  $(n = 10)$  consisted of animals having the branchial branch of the IXth and the first branch of the Xth cranial nerve sectioned. In this group the first gill arch was isolated from the central nervous system. Finally, the G4 group  $(n = 10)$ consisted of animals having the branchial branch of the IXth and the branchial branches of the Xth nerves, sectioned. The visceral and cardiac branches of the vagus were kept intact. The healing process in jeju was rapid, and the incisions were covered with ''scar tissue'' within about 24 h. This species does not have a pseudobranch. All denervations were confirmed ''postmortem''.

### Experimental protocol

### Series one

After recovering from the surgery, animals  $(N = 38)$  were placed in individual plastic tubes with mesh at both ends and transferred to dark plastic chambers covered with lids where they remained undisturbed for a minimum of 24 h before beginning the experimental protocol. The water level in the larger chambers was set such that the plastic tubes were totally submerged and thus fish had no access to air. The cannulae were then connected to pressure transducers to record buccal ( $P_{\text{buccal}}$ ) and arterial ( $P_{\text{a}}$ ) pressures and to evaluate  $f_R$ ,  $V_{\text{AMP}}$ ,  $P_a$  and  $f_H$ , respectively. The pressure transducers were connected to pre-amplifiers and the outputs monitored and registered with a data acquisition system (Dataq DI-194) recording at 120 Hz per channel. The water  $PO_2$  ( $P_WO_2$ ) was constantly monitored with an  $O_2$  electrode (FAC 001) connected to an  $O_2$  analyzer (FAC 204, FAC Instruments, São Carlos, SP. Brazil), calibrated with a borax solution (0.01 N) saturated with sodium sulphite, and aerated water  $(P_WO_2 = 0$  and 140 mmHg, respectively).

After waiting a minimum of 30 min to ensure that the levels of all recorded variables had returned to stable resting levels, injections were made in the following sequence: (1) intravenous injection of (a) 0.5 mL saline followed by (b)  $0.2$  mL of NaCN solution (150 µg NaCN  $mL^{-1}$  saline) and (2) intrabuccal injection of (c) 1.0 mL water followed by (d) 0.5 mL of NaCN solution (500 µg NaCN mL $^{-1}$  water). The cardiorespiratory variables  $(f_R, V_{\text{AMP}}, f_H$  and  $P_a$ ) were recorded continuously and sufficient time was allowed between injections for all cardiorespiratory variables to return to their starting levels.

Subsequent to the injections, the animals were exposed to progressive hypoxia by equilibrating the water with  $N_2$ rather than air. By controlling the  $N_2$  flow, the  $P_{W}O_2$  was slowly decreased from 140 to 10 mmHg holding  $O_2$  tensions steady for 10 min at each of 133, 94, 64, 44, 36, 27 and 17 mmHg.

All four groups of fish (I, IX, G1 and G4) underwent all procedures.

### Series two and three

In these series of experiments, an experimental setup similar to that described by Rantin and colleagues (Rantin and Kalinin 1996; Rantin et al. 1998) was used. The system consisted of two chambers: an upper compartment, where the fish was kept during the experiment, and a lower part,

serving to gas the water with  $N_2$ . The water was continuously recirculated from the lower to the upper compartment. The shape of the upper chamber allowed fish to remain on the bottom or move up to the surface to air breathe while restricting lateral movements. All cannulae were connected and instruments calibrated as described above.

In series two, after waiting a minimum of 30 min to ensure that the levels of all recorded variables had returned to stable resting levels, NaCN injections were made into the buccal cavity and/or the caudal vein of intact fish  $(N = 6)$  in an attempt to elicit air breathing. Intrabuccal injections of 1.0 mL water were followed by 0.25–1.0 mL of 500  $\mu$ g to 2 mg NaCN mL<sup>-1</sup>. Then, intravenous injections of 0.5 mL saline were followed by 0.2 mL of 150  $\mu$ g to 2 mg NaCN mL<sup>-1</sup>. Respiratory variables  $(f_R, V_{\text{AMP}})$ were recorded continuously and sufficient time was allowed between injections for all respiratory variables to return to their starting levels. While intravenous injections of progressively larger amounts of NaCN always ultimately produced air breathing, intrabuccal injections rarely did. To test the hypothesis that the effect of stimulation of externally oriented receptors in the gills on air breathing varies as a function of the level of stimulation of the internally oriented receptors, intravenous injections of NaCN sufficient to produce air breathing were followed by intrabuccal injections of NaCN as soon as the effects of the intravenous injection on air breathing had subsided, but while the effect on gill ventilation was still present (i.e., while respiratory drive was still elevated above resting levels).

In series three, individuals of all four groups of fish (I, IX, G1 and G4;  $N = 10$ , 8, 10 and 10, respectively) were subjected to progressive hypoxia, as described above, and their air-breathing frequency and time spent in aerial respiration were quantified at each of the step changes in O2 tension (133, 94, 64, 44, 36, 27 and 17 mmHg).

Sodium cyanide is not a naturally occurring substance in the bodies of fish. It is routinely used in studies such as this, however, to mimic local hypoxia and produce a strong, transient stimulus to  $O_2$ -sensitive chemoreceptors. To be able to accurately determine the extent to which gill denervation reduced or eliminated responses to hypoxia, concentrations of NaCN were chosen that would produce large, significant effects. Two things of note stem from this: one is that in some instances the effects of the NaCN were far more profound than the effects of the graded hypoxia (see for instance the effects on arterial blood pressure). The other is that in the case of gill breathing amplitude, attenuated effects of the NaCN injections remained even after gill denervation had removed all of the effects of the progressive hypoxia.

#### Data analysis

The relative role of  $O_2$  chemoreceptors at different branchial sites was evaluated by comparing the cardiorespiratory responses to the NaCN injections and hypoxia between intact and denervated animals.

The cardiovascular and respiratory variables were measured 30 s before each injection and at 10, 20, 30, 40, 50, 60, 120, 180 and 240 s after each injection. For the progressive hypoxia trial we analyzed the cardiorespiratory variables over 1 min in normoxia ( $P_{\rm w}O_2 = 140$  mmHg) and for the final minute at each of the 10-min steps in  $O_2$ tension.

The measurements of  $f_R$  and  $f_H$  are expressed as breaths  $min^{-1}$  and beats  $min^{-1}$ , respectively. The total ventilation ( $V_{\text{TOT}}$ ) was determined as  $V_{\text{TOT}} = V_{\text{AMP}} \times f_R$ .  $V<sub>AMP</sub>$ ,  $P<sub>a</sub>$  and  $V<sub>TOT</sub>$  are expressed as percentage change from basal resting levels.

Data are presented as mean  $\pm$  standard error. In series 1, to compare changes in each variable, over time for the NaCN injections, or at each respective  $O_2$  tension in the hypoxia trials, a one-way repeated-measures analysis of variance (ANOVA) was performed followed by a Tukey– Kramer test where appropriate. To evaluate the effect of selective denervations on the responses to the different treatments, a two-way repeated-measures ANOVA was used followed by a Dunnett's multiple-comparison test. The commercial package SigmaStat v3.0 (SPSS Inc.) was used to carry out statistical analyses, and the fiducial limit of significance in all cases was 5%.

# Results

# Series 1

# Effects of denervation on cardiorespiratory variables in normoxia

Complete gill denervation did not have a significant effect on any of the cardiorespiratory variables in normoxia. There was a tendency for  $f_H$  to increase with progressive denervation (Table 1) but this trend did not reach significance.

Effects of hypoxia and NaCN on gill respiratory frequency  $(f_R)$ , ventilation amplitude ( $V_{AMP}$ ) and total ventilation  $(V_{TOT})$ 

In fish with no access to air, progressive hypoxia led to an increase in  $f_R$  (Fig. [1\)](#page-5-0),  $V_{\text{AMP}}$  (Fig. [2\)](#page-5-0) and  $V_{\text{TOT}}$  (Fig. [3](#page-6-0)) in the intact group that became significant once the  $PwO<sub>2</sub>$  fell below the critical  $O_2$  tension for this species (Pc $O_2 = 40$  mmHg, Oliveira et al. [2004](#page-13-0)). While bilateral denervation of the first gill arch (G1 group) alone abolished the increase in  $f_R$ (Fig. [1](#page-5-0)), complete denervation of all gill arches (G4 group) was required to eliminate the increase in  $V_{\text{AMP}}$  (Fig. [2\)](#page-5-0).

Intact fish (control) responded to both internal and external injections of NaCN with increases in  $f_R$  (Fig. [1](#page-5-0)),  $V_{\text{AMP}}$  (Fig. [2](#page-5-0)) and, consequently,  $V_{\text{TOT}}$  (Fig. [3\)](#page-6-0). The increase in  $f_R$  was relatively brief;  $f_R$  returned to initial values within the first minute after the injection. The increase in  $V_{\text{AMP}}$ , and hence in  $V_{\text{TOT}}$ , was more prolonged;  $V<sub>AMP</sub>$  did not return to initial values until 3 min (external injection) or more (internal injection) post-injection (Fig. [2\)](#page-5-0).

There were also increases in  $f_R$  and  $V_{\text{AMP}}$  in the IX and G1 groups following both external and internal injections of NaCN. In both groups, the increase in  $V_{\text{AMP}}$  was briefly delayed and the magnitude of the increase was less (Fig. [2](#page-5-0)). Complete gill denervation (G4 group) abolished the increases in  $f_R$  (Fig. [1](#page-5-0)) but not the increases in  $V_{\text{AMP}}$  (Fig. [2](#page-5-0)). The net result was an increase in  $V_{\text{TOT}}$  after both internal and external injections of NaCN in all experimental groups (intact, IX, G1 and G4) although the increases were diminished compared to the intact group (Fig. [3\)](#page-6-0).

# Effects of hypoxia and NaCN on heart rate  $(f_H)$  and arterial blood pressure  $(P_a)$

Once the  $O_2$  levels fell below the critical  $O_2$  tension  $(\sim 40 \text{ mmHg})$  during progressive hypoxia, intact jeju exhibited a significant bradycardia (from 43  $\pm$  4.5 to 21  $\pm$ 1.8 bpm) (Fig. [4](#page-6-0)) accompanied by a significant hypertension (increase in  $P_a$  of 7[5](#page-7-0)%) (Fig. 5). The bradycardia was eliminated following complete bilateral denervation of the first gill arch (G1 group) while the hypertension was eliminated by denervation of only the glossopharyngeal nerve (Fig. [5](#page-7-0)).

Table 1 Normoxic values of the cardiorespiratory variables of intact and denervated (IX, G1 and G4) jeju, *Hoplerythrinus unitaeniatus* 

Variables	Intact $(n = 10)$	IX $(n=8)$	G1 $(n = 10)$	G4 $(n = 10)$
$f_{\rm H}$ (beats min <sup>-1</sup> )	$43 \pm 4.5$	$44 \pm 3.5$	$48 \pm 4.5$	$53 \pm 3.5$
$f_R$ (breaths min <sup>-1</sup> )	$60.7 \pm 3.5$	$63.5 \pm 2.0$	$59 \pm 2.0$	$58.7 \pm 4.0$

Values are presented as mean  $\pm$  SEM

<span id="page-5-0"></span>

Fig. 1 Changes in respiratory frequency  $(f_R)$  of jeju, *Hoplerythrinus* unitaeniatus, following a internal (150 µg) and **b** external (500 µg) injections of NaCN as well as c following exposure to graded hypoxia. filled circle intact group ( $n = 10$ ), filled triangle IX group (cranial nerve IX to the first gill arch sectioned,  $n = 8$ ), filled square

G1 group (cranial nerves IX and branches of cranial nerve X to the first gill arch sectioned,  $n = 10$ ), *filled diamond* G4 group (all gill arches denervated,  $n = 10$ ). Open symbols represent significant differences from initial values (normoxia) in that group. Points are mean ± SEM



Fig. 2 Changes in ventilation amplitude  $(V_{\text{AMP}})$  of jeju, *Hoplery*thrinus unitaeniatus, following a internal  $(150 \mu g)$  and **b** external (500  $\mu$ g) injections of NaCN as well as c following exposure to graded hypoxia. Filled circles intact group ( $n = 10$ ), filled triangle IX group (cranial nerve IX to the first gill arch sectioned,  $n = 8$ ), filled

square G1 group (cranial nerves IX and branches of cranial nerve X to the first gill arch sectioned,  $n = 10$ ), filled diamond G4 group (all gill arches denervated,  $n = 10$ ). Open symbols represent significant differences from initial values (normoxia) in that group. Points are mean  $\pm$  SEM

Both internal and external injections of NaCN induced a pronounced bradycardia in the intact group (Fig. [4\)](#page-6-0). Gill denervation reduced the magnitude of the effect until the

bradycardia was completely abolished after complete gill denervation (G4 group) (Fig. [4](#page-6-0)). Despite the bradycardia, both external and internal injections of NaCN produced

<span id="page-6-0"></span>

Fig. 3 Changes in total ventilation ( $V_{\text{TOT}}$ ) of jeju, *Hoplerythrinus* unitaeniatus, following a internal (150 µg) and **b** external (500 µg) injections of NaCN as well as c following exposure to graded hypoxia. Filled circle intact group ( $n = 10$ ), filled triangle IX group (cranial nerve IX to the first gill arch sectioned,  $n = 8$ ), filled square

G1 group (cranial nerves IX and branches of cranial nerve X to the first gill arch sectioned,  $n = 10$ ), filled diamond G4 group (all gill arches denervated,  $n = 10$ ). Open symbols represent significant differences from initial values (normoxia) in that group. Points are mean  $\pm$  SEM



Fig. 4 Changes in heart rate  $(f_H)$  of jeju, *Hoplerythrinus unitaeniatus*, following **a** internal (150  $\mu$ g) and **b** external (500  $\mu$ g) injections of NaCN as well as c following exposure to graded hypoxia. Filled circle intact group ( $n = 10$ ), filled triangle IX group (cranial nerve IX to the first gill arch sectioned,  $n = 8$ ), filled square G1 group (cranial

nerves IX and branches of cranial nerve X to the first gill arch sectioned,  $n = 10$ ), filled diamond G4 group (all gill arches denervated,  $n = 10$ ). Open symbols represent significant differences from initial values (normoxia) in that group. Points are mean  $\pm$  SEM

significant increases in  $P_a$  (Fig. [5\)](#page-7-0). There was a progressive decrease in the magnitude of the response with progressive gill denervation. The response to external NaCN injections was abolished in the G1 group (complete bilateral denervation of the first gill arch) while the response to internal NaCN injections was only abolished in the G4 group (complete bilateral denervation of all gill arches).

<span id="page-7-0"></span>

**Fig. 5** Changes in blood pressure  $(P_a)$  of jeju, *Hoplerythrinus* unitaeniatus, following a internal (150 µg) and **b** external (500 µg) injections of NaCN as well as c following exposure to graded hypoxia. Filled circle intact group ( $n = 10$ ), filled triangle IX group (cranial nerve IX to the first gill arch sectioned,  $n = 8$ ), filled square

G1 group (cranial nerves IX and branches of cranial nerve X to the first gill arch sectioned,  $n = 10$ ), filled diamond G4 group (all gill arches denervated,  $n = 10$ ). Open symbols represent significant differences from initial values (normoxia) in that group. Points are mean ± SEM

# Series 2

# Aerial respiration of intact fish following NaCN injections

Intravenous injections of 0.5 mL saline did not have a significant effect on gill ventilation but injections of 0.2 mL of increasing concentrations of NaCN led to increases in gill ventilation frequency and amplitude (Fig. [6](#page-8-0)). Similarly, intrabuccal injections of 1.0 mL water had no significant effect on gill ventilation but injections of  $0.25-1.0$  mL of  $2,000$   $\mu$ g NaCN/mL generally led to increases in gill ventilation frequency and amplitude (Fig. [7](#page-9-0)). Interestingly, in one fish external injections of NaCN led to a decrease in both respiratory variables and for this we have no explanation.

Intravenous injections of 0.2 mL of increasing concentrations of NaCN always led to air breathing (Fig. [6](#page-8-0)). Intrabuccal injections of 0.25–1.0 mL of 2,000 µg NaCN/mL generally did not (Fig. [7\)](#page-9-0). In two instances only, the highest concentrations of NaCN instantly led to a single air breath (Fig. [9](#page-10-0), left panel). In all animals tested, however, when intravenous injections of NaCN that produced air breathing were followed by intrabuccal injections of NaCN, after the effects of the intravenous injection on air breathing had subsided, air breathing was greatly stimulated (Figs. [8](#page-9-0), [9\)](#page-10-0). At the time of these latter external NaCN injections, gill frequency had returned to normal but gill ventilation amplitude, and hence total gill ventilation, had not (Fig. [9](#page-10-0)d–f). Now bouts of roughly six air breaths lasting over 40 s would be generated by levels of external NaCN that failed to have any effect previously (Fig. [9\)](#page-10-0).

### Series 3

# Aerial respiration of denervated fish exposed to graded hypoxia

On exposure to progressive hypoxia, jeju started to breathe air at a PiO<sub>2</sub> of about 44 mmHg, again, just below the  $PcO<sub>2</sub>$ of this species (40 mmHg). Progressive denervation of the gills reduced both  $f_{RA}$  and  $T_{RA}$  and total bilateral gill denervation completely abolished air breathing (Fig. [10\)](#page-11-0).

#### **Discussion**

The results of the present study indicate that denervation of the first gill arch alone will eliminate the fall in heart rate and increase in Gill breathing frequency associated with graded hypoxia. Denervation of all gill arches, however, was required to eliminate the hypoxia-induced increases in gill ventilation amplitude, air breathing, and blood pressure. Comparison of the results of injections of NaCN into the buccal cavity and caudal vein suggest that all responses resulted from the involvement of both externally and internally oriented chemoreceptors, sensing water and blood, respectively. The results further suggest that while sufficient stimulation of the internal receptors can give rise

<span id="page-8-0"></span>

Fig. 6 Representative traces showing respiratory responses of one representative fish to internal (caudal vein) injections of saline (0.2 mL 0.9% NaCl added at arrow, upper panel) and two different doses of NaCN (0.2 mL of 150  $\mu$ g mL<sup>-1</sup>, *middle panel* and 0.2 mL of 500  $\mu$ g mL<sup>-1</sup>, lower panel). Note the progressive increase in gill

to air breathing even in normoxic water, stimulation of external receptors only gives rise to air breathing if the internal receptors are co-activated.

# Effects of branchial denervation on cardiorespiratory variables in normoxia

While there was a trend for  $f<sub>H</sub>$  in jeju to increase with progressive gill denervation in normoxia, this was not statistically significant. This suggests that input from branchial  $O_2$ chemoreceptors does not contribute to resting cardiac vagal tone in normoxia. Similar results have been documented for bowfin (McKenzie et al. [1991](#page-13-0); Hedrick and Jones [1999](#page-13-0)), traira and tambaqui (Sundin et al. [1999,](#page-14-0) [2000](#page-14-0)). In pacu, on the other hand,  $f<sub>H</sub>$  increased significantly following complete gill denervation (Leite et al. [2007](#page-13-0)). Gill ventilation frequency  $(f_R)$  was also unaffected by gill denervation. Again, similar results were obtained in bowfin, traira and tambaqui

ventilation frequency and amplitude with increasing doses of NaCN as well as the air breathing at the highest concentration. Traces to the right are expanded versions of the segments of trace indicated indicated by the bars above and below the traces on the left

(McKenzie et al. [1991](#page-13-0); Hedrick and Jones [1999](#page-13-0); Sundin et al. [1999](#page-14-0), [2000](#page-14-0)) although in longnose gar and pacu resting  $f_R$  was decreased following complete afferent branchial denervation (Smatresk [1988](#page-14-0); Leite et al. [2007](#page-13-0)). The similarities between studies are more striking than the differences and indicate that removal of all afferent information arising from the gills along with efferent branchial vascular control and postural motor control of the gill arches does not alter the resting (normoxic) physiological control of the heart or the buccal and opercular pumps.

Effects of branchial denervation on cardiorespiratory responses to hypoxia

# Cardiovascular responses

Heart rate In intact jeju, hypoxic bradycardia was not induced until the  $PwO<sub>2</sub>$  reached tensions near the  $PcO<sub>2</sub>$  of

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Fig. 7 Representative traces showing respiratory responses of one fish to external (buccal cavity) injections of water (0.5 mL added at arrow, upper panel) and two different doses of NaCN (1.0 mL of 500  $\mu$ g mL<sup>-1</sup>, *middle panel* and 0.5 ml of 2,000  $\mu$ g mL<sup>-1</sup>, *lower* panel). Traces to the right are expanded versions of the segments of

trace indicated by the bars above and below the traces on the left (The *flat lines in the middle of the traces* indicate the time during which the water or NaCN was being injected. To make these injections the catheter had to be closed to the pressure transducer. The arrows mark the exact time in the process when the injection was made.)



this species (40 mmHg; Oliveira et al. [2004](#page-13-0)), as has been shown for other species as well (Sundin et al. [2000\)](#page-14-0). Below this critical tension, in the present study, a significant bradycardia was recorded in both the intact and glossopharyngeal nerve denervated groups, but was absent following complete denervation of the first gill arch. Elimination of the bradycardia induced by both external and internal NaCN injections, on the other hand, did not

NaCN injections (white bars), external NaCN injections alone (grey bars), and external NaCN injections immediately following internal injections (black bars) on a the time following injection until the first air breath, b the number of air breaths taken and c the length of time sent air breathing in jeju. Also shown are the levels of d gill ventilation frequency, e gill amplitude and f total gill ventilation immediately before external NaCN injections that did not cause air breathing (black bars) before internal injections that did cause air breathing (light grey bars) and immediately before external injections that did cause air breathing (dark grey bars). Values are mean  $\pm$  SEM. Values not denoted by the same letter are significantly different

<span id="page-10-0"></span>

occur until complete bilateral denervation of all gill arches suggesting that the  $O<sub>2</sub>$  chemoreceptors mediating the bradycardia were distributed on all gill arches with the majority situated on the first gill arch.

A pronounced bradycardia is the common response of teleost fish to environmental hypoxia. In studies of other facultative air-breathing fish the reflex bradycardia was mediated exclusively by externally oriented receptors in the bowfin (Amia calva) (McKenzie et al. [1991](#page-13-0)) while in the gar (Lepisosteus osseus) it could be initiated by both externally and internally oriented receptors (Smatresk et al. [1986\)](#page-14-0).This was also the case in the jeju in the present study. This is the first study to examine the distribution of these receptors in the different gill arches of a facultative air breather and we found that they were distributed across all gill arches. This is similar to the situation seen in tambaqui and pacu (Sundin et al. [2000](#page-14-0); Leite et al. [2007\)](#page-13-0) although significant variation has been found in various species of exclusively aquatic breathing teleosts (Daxboeck and Holeton [1978;](#page-13-0) Smith and Jones [1978](#page-14-0); Smith and Davie [1984;](#page-14-0) Fritsche and Nilsson [1989;](#page-13-0) Burleson and Smatresk [1990a](#page-12-0); McKenzie et al. [1995;](#page-13-0) Sundin et al. [1999](#page-14-0)). A parsimonious explanation for differences in location (first versus other gill arches) and orientation (external versus internal) of the  $O_2$  chemoreceptors involved in producing the hypoxic bradycardia remains elusive.

Blood pressure In the present study, the reflex bradycardia was accompanied by a hypertension. As a consequence, blood pressure was elevated during the graded hypoxia and following both external and internal injections of NaCN and this hypertension was no longer statistically significant following complete gill denervation. In other studies the hypoxic bradycardia has been accompanied by a fall (dogfish, Satchell [1961](#page-13-0); Butler and Taylor [1971;](#page-12-0) traira, Sundin et al. [1999\)](#page-14-0), no change (tambaqui, Sundin et al. [2000](#page-14-0)) or an increase in blood pressure (Atlantic cod, Fritsche and Nilsson [1989;](#page-13-0) trout, Sundin and Nilsson [1997](#page-14-0)). Based on the current results it is not possible to determine whether the changes in blood pressure were an indirect result of barostatic reflexes or a direct effect of  $O_2$ chemoreceptor stimulation.

Respiratory responses Ventilatory responses to hypoxia in fish generally consist of increases in both respiratory frequency and amplitude although the relative contribution of increases in each of these variables differs amongst species (Holeton [1977;](#page-13-0) Shelton et al. [1986\)](#page-13-0). The increases

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Fig. 10 Changes in air-breathing frequency  $(f_{RA})$  and time spent in aerial respiration (duration,  $T_{RA}$ ) of jeju, *Hoplerythrinus unitaeniatus*, following exposure to graded hypoxia. Filled circle intact group  $(n = 10)$ , filled triangle IX group (cranial nerve IX to the first gill arch sectioned,  $n = 8$ ), filled square G1 group (cranial nerves IX and branches of cranial nerve X to the first gill arch sectioned,  $n = 10$ ), filled diamond G4 group (all gill arches denervated,  $n = 10$ ). Open symbols represent significant differences from initial values (normoxia) in that group. Points are mean  $\pm$  SEM

in  $V_{\text{TOT}}$  in jeju due to larger increases in  $V_{\text{AMP}}$  than  $f_R$  (by roughly 2:1). This strategy appears to be common in hypoxia-tolerant species and Rantin et al. [\(1992](#page-13-0)) have argued that this is an energetically more favorable way to overcome the mechanical work of breathing.

*Breathing frequency* The magnitude of the increase in  $f_R$ with graded hypoxia decreased progressively as the degree of gill denervation increased and, along with the responses to internal and external NaCN injections, was eliminated by complete bilateral gill denervation. These data suggest there are  $O_2$  chemoreceptors present on all gill arches that monitor the  $O_2$  levels of both inspired water and blood involved in producing hypoxia-induced increases in  $f_R$ . These results are the same as those obtained for traira, Hoplias malabaricus (Sundin et al. [1999](#page-14-0)), and tambaqui, Colossoma macropmum (Sundin et al. [2000\)](#page-14-0), channel catfish, Ictalurus punctatus (Burleson and Smatresk [1990a,](#page-12-0) [b\)](#page-12-0) gar, Lepisosteus osseus (Smatresk [1989](#page-14-0)) and bowfin, A. calva (McKenzie et al. [1991\)](#page-13-0) but differ from those obtained for sea raven, Hemitripterus americanus (Saunders and Sutterlin [1971](#page-13-0)), rainbow trout, Salmo gairdneri (Bamford [1974](#page-12-0)) and pacu, Piaractus mesopota-micus (Leite et al. [2007](#page-13-0)) where complete branchial denervation did not eliminate the increase in  $f_R$ .

Breathing amplitude The magnitude of the increase in VAMP with graded hypoxia was not greatly affected by gill denervation until it was eliminated by complete bilateral gill denervation. Both external and internal injections of NaCN also stimulated increases in  $V_{\text{AMP}}$  but neither response was eliminated by complete bilateral gill denervation. While only conjecture at this time, it is possible that the doses of NaCN chosen in the present study represented a more powerful stimulus than the final level of hypoxia. If this was the case, it suggests that the receptors responsible for the residual response to NaCN have a high threshold and would only be recruited in severe hypoxia. These data suggest that extrabranchial  $O<sub>2</sub>$  chemoreceptors also participated in the increases in  $V_{\text{AMP}}$ . Similar results were observed in Hemitripterus americanus (Saunders and Sutterlin [1971](#page-13-0)), traira, H. malabaricus (Sundin et al. [1999](#page-14-0)), tambaqui, Colossoma macropomum (Sundin et al. [2000](#page-14-0); Milsom et al. [2002](#page-13-0); Florindo et al. [2006\)](#page-13-0) and pacu, Pia-ractus mesopotamicus (Leite et al. [2007\)](#page-13-0). In the tambaqui, the extrabranchial  $O_2$  chemoreceptors were innervated by cranial nerves V and VII (Milsom et al. [2002;](#page-13-0) Florindo et al. [2006\)](#page-13-0).

The effects of gill denervation on the increases in total ventilation in response to hypoxia and NaCN injections mirror the changes in  $V_{\text{AMP}}$  closely reflecting the larger contribution of changes in  $V_{\text{AMP}}$  to  $V_{\text{TOT}}$ .

Air-breathing frequency  $(f_{RA})$  and time spent in aerial *respiration* ( $T_{RA}$ ) As previously shown (Riggs et al. [1978](#page-13-0); Oliveira et al. [2004](#page-13-0)), intact jeju initiated aerial respiration when PiO<sub>2</sub> fell below their PcO<sub>2</sub> ( $\sim$  44 mmHg). With further reductions in water  $PO<sub>2</sub>$  beyond this point, airbreathing frequency and the time spent in aerial respiration increased progressively. While this response was reduced in the IX and G1 groups, it was only eliminated after complete bilateral gill denervation (group G4). Similar results have been obtained in the African lungfish, Protopterus aethiopicus (Lahiri et al. [1970](#page-13-0)), gar, Lepisosteus oculatus (Smatresk [1988](#page-14-0)), and the bowfin, A. calva (McKenzie et al. [1991](#page-13-0)). An increase in aerial respiration in

<span id="page-12-0"></span>response to graded hypoxia is a uniform response in all facultative air-breathing fish (Graham [1997](#page-13-0)) and the accumulated evidence suggests that this is uniformly mediated by  $O<sub>2</sub>$  chemoreceptors located exclusively in the gills and distributed on all gill arches.

Location and orientation of receptors involved in producing air breathing Internal injections of NaCN stimulated both gill and air breathing in jeju as they did in gar and African lungfish (Smatresk [1986](#page-14-0); Lahiri et al. [1970](#page-13-0)). Similar injections had no effect on air breathing in the bowfin (McKenzie et al. [1991](#page-13-0)). External injections of NaCN into the buccal cavity stimulated only air breathing in the gar yet only gill breathing in the bowfin (Smatresk [1986;](#page-14-0) McKenzie et al. [1991](#page-13-0)). It always stimulated gill breathing in the jeju and also air breathing if internal drive was high. Thus, if the animal was normoxic, even very large concentrations of NaCN rarely stimulated air breathing but if internal drive was high following an internal injection of NaCN, then external injections of NaCN produced air-breathing episodes very similar to those produced by internal injections alone. This is similar to the situation in gar where, when internal  $PO<sub>2</sub>$  levels were low, stimulation of external chemoreceptors increased air breathing further (Smatresk et al. [1986;](#page-14-0) Smatresk [1988](#page-14-0)). Smatresk hypothesized that it is the internally oriented receptors that primarily stimulate air breathing but that input from externally oriented receptors modulates the threshold for this response (Smatresk et al. [1986\)](#page-14-0). Our data are consistent with this and suggest that in jeju, while sufficient stimulation of the internal receptors can give rise to air breathing even in normoxic water, stimulation of external receptors can only give rise to air breathing if the internal receptors are co-activated. This raises the possibility that in the two instances where external injections of NaCN alone did lead to a single air breath, the fish were not completely normoxic and at rest.

# **Conclusions**

The complex distribution of  $O_2$  chemoreceptor populations involved in respiratory and cardiac responses to hypoxia and/ or hypoxemia in fish remain difficult to explain. It has been suggested that in hypoxia-tolerant water breathers, these responses arise primarily due to hypoxemia whereas in less tolerant species they are an immediate response to aquatic hypoxia. This has not turned out to be the case. Facultative air breathers are certainly more tolerant of aquatic hypoxia as long as they have access to air. The present study suggests that for jeju at least, the cardiorespiratory responses to moderate hypoxia arise from both internally and externally oriented chemoreceptors situated exclusively in the gills. Fish that utilize bimodal respiration (water/air) must not only have adapted new structures for aerial respiration, they must also have reorganized the integration of sensory inputs to coordinate the activities at two distinct sites to promote appropriate adjustments in cardiorespiratory activities (Fritsche and Nilsson [1993](#page-13-0)). The present data suggest that as a part of this reorganization, environmental hypoxia continues to lead to increases in gill ventilation only for as long as arterial blood gases are maintained. Once hypoxaemia begins to occur, however, it leads to a reduction in gill ventilation and an increase in air breathing.

### Ethics in animal experimentation

In the present study, the experiments were conducted according to the rules of the Brazilian College on Ethics in Animal Experimentation (COBEA), meeting all the regulations and ethical guidelines in Brazil.

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