

# **Structural, plasmonic and electronic properties of zirconium carbonitride thin flms prepared by dual ion beam deposition**

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#### **Abstract**

Metal carbonitride is a new type of tunable plasmonic materials and can be tuned by nitrogen and carbon content. In this work, zirconium carbonitride (ZrC*x*N*y*) thin flms are prepared by dual ion beam deposition. The efects of C content and assisting ions on the structure and plasmonic properties of the flms are studied. The results show that all the flms are in B1-structure. C content increasing can reduce the shielding plasma frequency  $h \omega_c$  and the carrier concentration of the film. Appropriate assisting ion beam energy  $E_a$  and current density  $J_a$  can promote the crystallinity of the film. As  $E_a$  and  $J_a$  increases,  $\hbar \omega_c$ increases initially and then decreases. The efects of the assisting ions can be attributed to the C content and the C-related defects, which is confrmed by the calculation of electronic states. The calculated density of state of the electrons shows that increasing C-substitute defects can decrease the threshold energy of interband transition, and the interstitial C defects lead to the similar efect. The study shows that metal carbonitride is a more tunable plasmonic material in visible and infrared region, and can also be modulated by the assisting ions.

**Keywords** Zirconium carbonitride · Thin flms · Assisting ions · Plasmonic · Electronic structure

# **1 Introduction**

In recent years, surface plasmon has been used in medical treatment  $[1, 2]$  $[1, 2]$  $[1, 2]$  $[1, 2]$  $[1, 2]$ , photovoltaic devices  $[3]$  $[3]$ , energy storage  $[4]$  $[4]$ , optical fber [\[5](#page-9-4)], sub-wavelength communication devices and surface coating [\[6](#page-9-5), [7\]](#page-9-6), and become one of the hot research areas of nano photonics [[8](#page-9-7)[–10](#page-9-8)]. Among plasmonic materials, gold and silver were favored because of their good stability, high negative permittivity, and low loss [[11](#page-9-9), [12](#page-9-10)]. However, their poor adjustability, high cost, and low melting point limit the practical application of gold and silver [\[13–](#page-9-11)[17](#page-9-12)].

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Therefore, it is necessary to fnd alternative plasmonic materials applied in some felds.

B1-structured transition metal nitrides have been regarded as an attractive plasmonic materials, owing to their excellent thermal stability and tunable optical properties from ultraviolet to infrared light  $[18]$ . Among them, TiN is the most widely studied [[14,](#page-9-14) [16,](#page-9-15) [19](#page-9-16), [20\]](#page-9-17), and has been widely used in optical hyperbolic materials [\[21\]](#page-9-18), perfect absorbers [[22\]](#page-9-19), sensor chips [[23\]](#page-9-20), and other felds. Other binary nitrides, mainly ZrN [\[24–](#page-9-21)[27\]](#page-9-22), have received increasing attention to meet various demands. Additionally, ternary nitride compounds have attracted more and more attention. Advantages that binary nitride could not reach have been obtained [[28–](#page-9-23)[31](#page-9-24)] in ternary nitride. By doping element and doping content, we can modulated the plasmonic properties of the materials in a wider region. Ternary nitride is usually metal-doped by alloying diferent metal in nitridization. Most of the nonmetal-doped ternary nitride are metal oxynitride  $\left[32-35\right]$  $\left[32-35\right]$  $\left[32-35\right]$ . In fact, carbon-doping is an effective way to get various properties and applications for nitride. Metal carbonitride is an efective coating for surface modifcation to promote the hardness and resistance to corrosion [\[36,](#page-10-2) [37](#page-10-3)]. Researchers prepared a series of metal carbide and ternary XCN  $(X = Ti, Zr)$  to study their properties [[38](#page-10-4)[–41](#page-10-5)].

About the optical and plasmonic properties of binary metal carbide, the materials are usually in MAX structure [[42](#page-10-6)[–44](#page-10-7)]. However, the plasmonic properties of cubic ternary XCN have not been studied extensively.

Most of the ZrCN samples reported so far are prepared by chemical vapor deposition [[41\]](#page-10-5), cathode arc deposition [[38\]](#page-10-4) and magnetron sputtering [[39](#page-10-8)]. In this work, ZrC<sub>x</sub>N<sub>y</sub> films are prepared by dual ion beam deposition (DIBD), in which the growing flms are bombarded by an assisting ion beam. The energy and current of the assisting ions can be used to afect the structure and characteristics of the deposited films. So DIBD gives more ways to modulate and optimize the properties of flms.

In this work, the efect of carbon content and assisting ions on the crystal structural and plasmonic properties of the prepared flms is investigated experimentally. The electronic states were studied by frst-principle calculation to explain the experimental results. The results show that  $ZrC_rN_v$  is a potential tunable and applicable plasmonic materials in visible to infrared region, and DIBD is an efective method to prepare and modulated the plasmonic XCN flms.

## **2 Experimental details**

 $ZrC_xN_y$  thin films were prepared by a DIBD system, the schematic diagram of which is shown in Fig. [1.](#page-1-0) There are two Kaufman ion sources in this system, one of which serves as a sputtering source  $(\phi \& cm)$  and the other as an assisting



<span id="page-1-0"></span>

source ( $\phi$  6 cm). The sputtering source is placed horizontally and used to generate sputtering Ar+ beam. The assisting ion source is placed at 45° to the horizontal direction, and used to generate the assisting ion beam. The substrate is fxed to the top of the vacuum chamber and a target is placed below the substrate.

During the deposition, Zr atoms are sputtered from a 99.99% pure Zr target by an beam of argon ions. All flms are deposited on  $1 \times 1$  *cm*<sup>2</sup> JGS1 SiO<sub>2</sub> substrates. The background vacuum pressure is 8×10<sup>-4</sup> Pa and the working pressure is kept at  $4.8 \times 10^{-2}$  Pa. The sputtering source is injected with a flow of Ar gas of 4 sccm to generate sputtering ions. The energy and current density of the sputtering beam were set to 800 eV and 1.2 mA/cm<sup>2</sup>. A mixed gas flow of Ar and  $N_2$  were supplied into the assisting ion source, in which the flow rate of Ar is 2.5 sccm, and the flow rate of  $N_2$  is a variable to get different nitrogen content in films. A flow of  $CH<sub>4</sub>$ gas was supplied to the chamber to get the carbon doping. The substrate rotates at a constant speed during deposition to ensure the uniformity of the flm. The thickness of all the films is 100  $(\pm 10)$  nm, which is detected with a quartz crystal oscillator. All flms were deposited at room temperature.

We prepared three groups of samples, the parameters of which are shown in Table [1](#page-1-1). To study the effect of carbon doping content, we changed the partial pressure ratio of  $CH<sub>4</sub>$ *r*. *r* was set to 0/0.17/0.33/0.67/1.0, and the total fow rate of  $CH_4$  and  $N_2$  was keep constant of 3 sccm. The effect of assisting ion energy  $E_a$  and current density  $J_a$  were studied with  $r$  set at 0.17 in the other two groups.  $E_a$  was different and  $J_a$  was kept stable at 0.3 mA/cm<sup>2</sup> in one group. While in the other group,  $J_a$  was different and  $E_a$  was maintained at 300 eV. As a contrast, a thin flm was prepared without assisting ion bombardment ( $E_a = 0$ ,  $J_a = 0$ ) under the same condition.

The elemental composition of the flm was determined by an energy X-ray dispersive spectroscopy (EDS) on a scanning electron microscope (SEM, Zeiss, SUPRA55) system under a vacuum of 1.0×10−<sup>8</sup> Pa. The crystal structure of the flms were investigated by X-ray difraction (XRD) with Cu–K $\alpha_1$  radiation ( $\lambda = 0.15406$  nm) as the diffraction source. The plasmonic properties of thin flms are mainly refected by the complex permittivity, which was measured by spec-**Fig. 1** Schematic diagram of DIBD system troscopic ellipsometry (smart SE 850 DUV) with an incident



<span id="page-1-1"></span>**Table**<sub>1</sub>

angle of 70◦. All measurements were performed at room temperature.

# **3 Results and discussion**

# **3.1 Efects of carbon doping**

Table [2](#page-2-0) shows the atomic composition of the ZrC*x*N*y* flm deposited with different  $CH_4$  flow ratio  $r$ . It can be seen that both the  $ZrN_r$  and the  $ZrC_r$  film are metal-rich, especially  $ZrC_x$  film. The Zr content of the  $ZrC_xN_y$  film is lower than that of the binary flms. As we expected, C content increases with *r* increasing. For the film of *r*=0.66, C content is lower than N content, even the CH<sub>4</sub> flow is higher than  $N_2$  flow during the deposition, which is because that N atom is easier to combine with Zr atom.

<span id="page-2-0"></span>

1.0 34.7 0 65.3

Figure [2](#page-2-1) shows the AFM images of the flms deposited with diferent *r*. It can be seen that as *r* increases, the flm becomes smoother. The roughness  $(R_a)$  of the films is 0.63, 0.62, 0.55, 0.43, and 0.37 nm for the flms of *r*=0, 0.17, 1.33, 0.67, and 1, respectively. Roughness is related to grain size. The grains in the flms prepared with higher *r* are smaller, which is consistent with the following XRD results. The AFM results reflect that  $N_2$  is easier to combine and crystalize with  $Zr$  atoms than  $CH<sub>4</sub>$ .

Figure [3a](#page-3-0) shows the XRD patterns of the flms deposited with diferent *r*. The analysis of the XRD was based on JCPDS cards (35-0784 and 35-0753). The XRD results show that all the flms are in B1-structure. The flms have characteristic difraction peaks of (111) and (200), and (200) peak is much weaker than (111) peak. So the flms can be regarded as mainly (111)-oriented. The (111) characteristic difraction peaks are located between the fcc-ZrC (111) peak and the fcc-ZrN (111) peak. With *r* increasing, the (111) peak gradually move towards ZrC (111), and the (200) peak shows similar behavior. No difraction peak of other chemical phase appears, which indicates that in carbon-doped zirconium nitride, C atoms enter or fll the voids in the ZrN lattice, and even the N atoms in the original ZrN lattice will be replaced by C atoms. That is to say, the defects caused by carbon doping mainly exist in the form of various point defects. ZrN and ZrC are both



<span id="page-2-1"></span>**Fig. 2** 1  $\mu$ m  $\times$  1  $\mu$ m AFM images of ZrC<sub>x</sub>N<sub>y</sub> films prepared at different CH<sub>4</sub> partial pressure ratio *r* 

<span id="page-3-0"></span>



B1-structured, and the radius of N atom and C atom is very close. Therefore, according to Hume-Rothery rule  $[45]$ ,  $ZrC_rN_v$  film can easily form a solid solution of cubic  $ZrN_r$  and  $ZrC_r$ , in which the distribution of N atoms and C atoms has a certain uniformity. The fnal preferred orientation of thin flms is the result of growth competition among grains with diferent alignment, and (111) alignment is obviously dominant in grain growth.

Figure [3b](#page-3-0) shows the lattice constants *a* of ZrC<sub>*x*</sub>N<sub>*y*</sub> films calculated by the Bragg equation. It can be seen that the lattice constant of  $ZrC_xN_y$  increases with *r* in the range of 4.66–4.77 Å, which is due to that a Zr–C bond is longer than a Zr–N bond.

The crystallinity of the flm can also be refected by grain size. Based on the full width at half maximum  $\beta$  of the (111) peak, the grain size *D* was calculated with Scherrer equation:

$$
D = 0.9\lambda/\beta\cos\theta.\tag{1}
$$

The *D* values in Fig. [3](#page-3-0)c shows that the grain size of the film is 28.7 nm without C doping, but decreases sharply to about 16 nm with  $r$  increasing. The grain size of  $ZrC<sub>r</sub>$  is only about 12.6 nm. In fact, the crystallization of  $ZrC<sub>x</sub>$  is more difficult than that of  $ZrN_r$  in the physical vapor phase deposition owing to the weaker oxidizability of C atoms. C atoms may replace the N atoms, and fll the interstitial space, and so prevent the crystallization and growth of grain.

Permittivities are the basis for the plasma materials. The permittivity of the flms were determined by ellipsometry with an incident angle of  $70°$ . The complex permittivity  $\varepsilon$  $=\epsilon_1 + i\epsilon_2$  is determined by the measured ellipsometric angles Ψ and Δ, and then ftted to Drude–Lorentz model with two Lorentz factors.

Figure [4](#page-4-0) shows the complex permittivity of the films prepared at different  $CH<sub>4</sub>$  $CH<sub>4</sub>$  $CH<sub>4</sub>$  flow rate *r*. Figure 4a describes the real part  $\varepsilon_1$  of the permittivity varying with the wavelength. For  $ZrC_rN_v$  films, the curves are positive at short wavelengths and negative at long wavelengths. This means that as the wavelength increases, the flm turns from dielectric to metallic. In the range of negative  $\varepsilon_1$  0, with the same  $\lambda$ , the larger *r* is, the smaller the absolute value of  $\varepsilon_1$  is, and the less metallic the flm is. The real part of permittivity of ZrC film  $(r = 1.0)$  exhibits a double near zero dielectric property in 200–900 nm, in which,  $\varepsilon_1$  transforms from dielectric to metallic and then to dielectric. The double near zero characteristics of the ZrC thin flm possibly originates from the interband and intraband transition of electronic state, as reported in NbN [\[46\]](#page-10-10), nonstoichiometric defects can also lead to diferent ENZ behavior.

Usually the frequency where permittivity crosses zero  $(\epsilon_1=0)$  is used to define the screened plasma frequency, under which the total valence electron is partly shielded[[47,](#page-10-11) [48](#page-10-12)]. Figure [4](#page-4-0)b shows the corresponding energy value  $\hbar \omega_c$ of the  $ZrC_xN_y$  when  $\varepsilon_1=0$ . Obviously, as *r* increases,  $\hbar\omega_c$ 

<span id="page-4-0"></span>



decreases. The behavior of  $\omega_c$  directly reflects the change of carrier concentration *n*, because  $\omega_c$  is directly proportional to plasma frequency  $\omega_{\text{ps}}$ , and  $\omega_{\text{ps}}$  is directly proportional to the square root of carrier concentration *n*, as the following relation[\[47](#page-10-11), [48\]](#page-10-12)

$$
\omega_{ps} = \sqrt{ne^2/\epsilon_0 m^*}.\tag{2}
$$

Based on the results and the formula, it can be concluded that the carrier concentration *n* decreases with *r*, which is consistent with the previous discussion that  $\hbar\omega_c$  decreases with the increase of *r* at a fixed wavelength.

The free electrons in the conduction band of metallic Zr atom can be absorbed by the valence band of non-metallic atoms. The valence band of carbon atoms can absorb more free electrons than nitrogen atoms. So the increasing of doping C atoms reduces the carrier concentration and the  $\omega_c$  value. Additionally, the defects and grain boundary can also capture free electrons and reduce the carrier concentration, which make the flms less metallic.

Figure [4](#page-4-0)c describes the imaginary part  $\epsilon_2$  of the permittivity. Since  $\varepsilon_2$  is considered to be related to optical loss, it can be seen from the fgure that in the near infrared region, a higher *r* will reduce optical loss. To further investigate the properties of the flm, the energy loss of the flm is calculated by the following formula [[49](#page-10-13)]:

$$
-Im\left(\frac{1}{\epsilon}\right) = \frac{\epsilon_2}{\epsilon_1^2 + \epsilon_2^2} \tag{3}
$$

Figure [4](#page-4-0)d shows the energy loss spectra of flms deposited with different  $r$ . It can be seen that the energy loss of the flm decreases with C content increasing, which indicates that appropriate C doping of ZrN can efectively reduce the energy loss. With the increase of *r*, the peak energy loss moves slightly toward the long wavelength direction. The energy loss peak of pure ZrC is located in the ultraviolet region near 230 nm. The behavior of energy loss may be related to the interband transition.

#### **3.2 Efects of assisting ions**

Figure [5](#page-5-0) shows the EDS results of the flms as a function of assisting ion energy  $E_a$  and current density  $J_a$ . It can be seen that  $E_a$  and  $J_a$  have obvious effect on the content, especially the carbon atom content of the films. For  $E_a$  of 0–300 eV, Zr content of the films is about 50%. However, for the  $E_a$ above 300 eV, the Zr content decreases with  $E_a$  increasing. This result can be attributed to the increase of implantation of N and C atoms at higher  $E_a$ . Possibly, the optimal  $E_a$  for the combination and crystallization of ZrN is near 300 eV. So as  $E_a$  increases, N content increases initially and then decreases, and consistently, C content decreases initially and then increases. The effect of  $J_a$  on the content of the films in similar to, but much less than that of  $E_a$ .

Figure [6](#page-5-1)a shows the XRD patterns of flms deposited under different  $E_a$ , in which we can see that all the films are fcc-structured. All the flms show a preferred (111) orientation and some flms show a weak (200) orientation. Obviously, the experimental environment is benefcial to the growth of (111) orientation of grain. The positions of characteristic peaks show that a solid solution of ZrN and ZrC formed. We can see that as  $E_a$  increases, the diffraction peak becomes stronger, but is weakened when  $E_a$  increases to 500 eV and 700 eV, which indicates that too high  $E_a$  is not con-ducive to crystal crystallization. Figure [6b](#page-5-1) shows the XRD patterns of the films deposited under different  $J_a$ . We can see the flms still exist as a solid solution of (111)-oriented fcc-ZrN and fcc-ZrC. With  $J_a$  increasing, the diffraction peaks gradually increases, but do not change much when  $J<sub>a</sub>$  reach 0.5 mA/cm<sup>2</sup>. Obviously,  $E_a$  affects the crystallinity much more significantly than  $J_a$  does.

The alignment selection effect of the ions is mainly related to the preferential resputtering. The resputtering yield of assisting ions on the (111) plane is the lowest.



<span id="page-5-1"></span>**Fig. 6** XRD  $\theta$ -2 $\theta$  patterns of the ZrC<sub>x</sub>N<sub>y</sub> films prepared with different  $E_a$  (**a**) and  $J_a$  (**b**)

Since (111) orientation has a higher surface energy [[25](#page-9-25)]. The crystallinity enhancement can be attributed mainly to assisting ions promoting the mobility of adatoms. The energy and momentum of the assisting ions were transported to adatoms through collision, and the surface mobility of the adatoms is enhanced consequently. But the assisting ions with energy above 500 eV can cause more defects and depress the crystallinity. To some extent, with the increasing  $E_a$  and  $J_a$ , annealing effect happened on the crystal. The effect of  $J<sub>a</sub>$  on crystal is more similar to annealing and can promote the crystallinity. However, the effect of increasing  $E_a$  is mainly a kinetic process. High  $E_a$  with low  $J_a$  can cause significant damage to crystal through resputtering.

<span id="page-5-0"></span>

 $E_a$  (**a**) and  $J_a$  (**b**)

Figure [7a](#page-6-0) shows the real permittivity  $\varepsilon_1$  of  $ZrC_rN_v$  film prepared under different assisting ions. Panel (a) and (b) shows the results of  $\varepsilon_1$  as a function of  $E_a$  and  $J_a$  respectively. The results show the film turns from dielectric to metallic as wavelength increases. The screened plasma energy  $h \omega_c$  values of the films are shown in Fig. [8.](#page-6-1) It can be seen that as  $E_a$  or  $J_a$  increases,  $\hbar \omega_c$  increases first and then decreases. Obviously, the effect of  $E_a$  on  $\hbar \omega_c$  is much more significant than that of  $J<sub>a</sub>$ .

Figure [9](#page-7-0) depicts the imaginary part  $\varepsilon_2$  of  $ZrC_rN_v$  thin films prepared with different  $E_a$  and  $J_a$ . Obviously,  $\varepsilon$ , first decreases and then increases with the increase of wavelength. The inset depicts the details near the lowest point of  $\varepsilon$ <sub>2</sub>. It can be seen that with the increase of  $E_a$  or  $J_a$ , the minimum of  $\varepsilon_2$  exhibits a slight red shift.

The variation of permittivity *vs.*  $E_a$  and  $J_a$  may be contributed to the element content and defects. It can be concluded that the experimental laws of  $h \omega_c$  in Fig. [8](#page-6-1) is related to those of C content in Fig. [5](#page-5-0). As  $E_a$  or  $J_a$ increase, the  $h \omega_c$  and carbon content initially increase and then decrease, but C content initially decreases and then increases. Furthermore,  $h \omega_c$  reaches its maximum at 300 eV and 0.4 mA/cm2 at which C content arrives at its minimum. The influence of  $E_a$  is more significant than that of  $J_a$ , because the C content fluctuates more drastically with  $E_a$  than with  $J_a$ . As discussed above, C-doping promotes valence band and makes the films less metallic, which can also be shown in the calculation of the electronic states in the following section.



<span id="page-6-1"></span>**Fig.** 8 The screened plasma energy  $\hbar \omega_c$  (corresponding to  $\varepsilon_1 = 0$ ) of the  $ZrC_xN_y$  films as a function of assisting ion energy  $E_a$  (a) and current density  $J_a$  (**b**)

# **4 Electronic states**

The dielectric properties of the flms are related to their electronic structure. To study the mechanism of carbon doping and assisting ions afecting on the dielectric properties of  $ZrC_rN_v$  films, we calculated the electronic density of states (DOS) of ZrC*x*N*y* by frst-principles calculations. The calculations were performed using the Perdew-Burke-Ernzerhof function under generalized gradient approximation (GGA-PBE) based on the CASTEP module in Materials Studio. The cutoff energy of the plane wave was set as 310 eV, and the SCF was set as  $5\times10^{-7}$  eV/ atom.



<span id="page-6-0"></span>**Fig.** 7 Real part  $\varepsilon_1$  of the permittivity of the ZrC*x*N*<sup>y</sup>* films prepared with different  $E_a$ (a) and  $J_a$  (b) as functions of wavelength



<span id="page-7-0"></span>

<span id="page-7-1"></span>**Fig. 10** Crystal cells of ZrC<sub>*x*</sub>N<sub>1−*x*</sub> with different C content *x* **Fig. 11** Electronic density of states of ZrC<sub>*x*</sub>N<sub>y</sub> with different C dop-

<span id="page-7-2"></span>ing. The Fermi level (dashed line) is set as zero

We first studied the electronic DOS of  $ZrC<sub>x</sub>N<sub>y</sub>$  with different C content in which only substitute doping exist. The calculation was based on crystal cells shown in Fig. [10.](#page-7-1) The lattice constants and atomic positions of  $ZrC<sub>x</sub>N<sub>1−x</sub>$  structure were optimized to make the lattice system reach the lowest energy to ensure accurate and efective calculation results.

As *x* in the  $ZrC_xN_{1-r}$  crystal cell gradually increases from 0 to 1, the calculated lattice constants range from 4.62 to 4.70 Å, which confrms the XRD results in Fig. [3](#page-3-0)  $(4.66-4.77 \text{ Å})$ . The calculated DOS are shown in Fig. [11.](#page-7-2) The Fermi level in the fgure is set as zero. It can be seen that in the band structure of ZrN, there are three bundles of bands: N-2*s* bands around – 15 eV, hybridized bands of N-2*p* and Zr-3*p* regarded as valence bands, and the conductive Zr-4*d* bands across fermi surface, which is the main contribution of the metallic properties. It can be seen that the peak of DOS below Fermi level moves toward high energy as C content increases.

Plasmon resonance excitation is mainly due to the interaction between interband and intraband transitions [[50](#page-10-14)]. The bands around the Fermi level in Fig. [11](#page-7-2) are responsible for the metallicity (negative  $\varepsilon_1$ ) in the long wavelength range. In this region, the partial coupling of the 2*p* states contributes little to absorption. At higher energy, interband transition from nonmetal 2*p* to Zr 4*d* will create a dielectric screening, which makes  $\varepsilon_1$  turn from negative



<span id="page-8-0"></span>**Fig. 12** Crystal cells of  $ZrC_xN_y$  with different interstitial C-doping  $ZrNC_{0.25}$  (a) and mixed C-doping  $ZrN_{0.75}C_{0.5}$  (b)



<span id="page-8-1"></span>**Fig. 13** Total density of states of  $ZrC_xN_y$  films with different C doping ways. Dashed lines represent Fermi levels

to positive at  $\omega_c$  [[50\]](#page-10-14). Above  $\omega_c$ , the interband transition will make  $\varepsilon_1$  a small positive value [\[50\]](#page-10-14).

The electron transitions can be indicated by the characteristic energy levels  $E_0$ ,  $E_1$  and  $E_2$  marked in Fig. [11](#page-7-2) to a certain extent. At  $E_0$ , the total DOS reaches its minimum, and the hybridized 2*p* bands begins to dominate the DOS. The values of  $E_0$  are approximatively equal to the  $\hbar \omega_c$  values in Fig. [4](#page-4-0). So  $E_0$  can be regarded as the threshold energy of the dielectric contribution to the optical response. Obviously, *E*<sup>0</sup> value decreases as C content increases, which is similar to the experimental laws discussed above. For  $ZrC$ ,  $E_0$  is near fermi surface, which maybe leads to the dual epsilon-near-zero properties shown in Fig. [4](#page-4-0). The characteristic energy  $E_1$ and  $E<sub>2</sub>$  indicates the transition from the local maximum and the global maximum of the DOS of 2*p* bands to the fermi surface. Possibly,  $E_1$  and  $E_2$  are related to the local maximum of positive  $\varepsilon_1$  and  $\varepsilon_2$  in the short wavelength region (see Figs. [4](#page-4-0), [7](#page-6-0), and [9](#page-7-0)).

Besides the substitute doping, we calculated the DOS of the interstitial-C-doped ZrN. The calculation is based on the crystal cell of interstitial  $ZrNC_{0.25}$ , and  $ZrN_{0.75}C_{0.5}$ with mixed (substitute and interstitial) doping, as shown in Fig. [12](#page-8-0). The calculated corresponding DOS of ZrC<sub>x</sub>N<sub>y</sub> with interstitial and mixed doping are shown in Fig. [13.](#page-8-1) The DOS results of the undoped ZrN and substitute doping  $ZrN_{0.75}$  $C_{0.25}$  are also shown as contrast. The results show that effect of interstitial and mixed doping on the DOS is similar to substitute doping. Compared to the DOS of  $ZrN_{0.75}C_{0.25}$ , the valence bands of  $ZrNC_{0.25}$  and  $ZrN_{0.75}C_{0.5}$  shift more towards Fermi surface, and the characteristic energy are lower. There are possibly more interstitial C-related defects in the flms prepared with high  $E_a$  and  $J_a$ .

#### **5 Conclusions**

 $ZrC_rN_v$  films with different carbon and nitrogen content were prepared with DIBD, in which the chemical content were modulated by flow ratio of the  $CH<sub>4</sub>$  and N<sub>2</sub>. The structure and plasma properties of the films, and the effect of assisting ions were studied. The electronic state were studied with frst principle calculation.

The XRD results show that a solid solution of fcc-ZrN and fcc-ZrC was obtained. All the flms are (111)-oriented. With the increase of the C content, the lattice constant increases, and the crystal grain size decreases. As the incident wavelength increases, all the ZrC*x*N*y* flms turn from dielectric to metallic. With the increase of carbon content, the screened plasma frequency  $h \omega_c$  and the carrier density *n* of the flm decreases, and the maximum of the energy loss of the film shows a red shift. The energy  $E_a$  and current density  $J_a$  of assisting ion beam can give significant influence on the composition and plasmonic properties of the films. As  $E_a$ and  $J_a$  increases,  $\hbar \omega_c$  and the *n* of the film initially increase, and then decrease. The behavior of plasmonic properties with  $E_a$  and  $J_a$  varying is related to the atomic composition. After all, as  $E_a$  and  $J_a$  increases, the carbon content of the flm initially decreases, and then increases. The mechanism of C-doping afecting the permittivity is confrmed by the electronic states. The calculation of electronic DOS shows that carbon doping elevates the N-2*p* band and lowers the interband transition energy, and so lowers the screened plasma energy. Additionally, the C-doping defect type is another factor afecting the properties of the flms.

The study shows that metal carbonitride is a kind of more tunable plasmonic material in visible and infrared region, and DIBD is an efective method to prepare and modulate the metal carbonitride flms.

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#### **Declarations**

**Conflict of interest** The authors declared that they do not have any commercial or associative interest that represents a confict of interest in connection with the work submitted.

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