

Optical properties of (GaAs/InAs)–GaAs*y***Sb1***−y* **digital alloy superlattices in the short‑wavelength infrared region calculated by an** *sp***³** *d***5** *s* *** tight‑binding method**

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Abstract

Ga_xIn_{1−x}As–GaAs_ySb_{1−y} type-II superlattices (T2SLs) have recently received broad attention as an InP-based optical absorption layer in short-wavelength infrared detectors. However, the cut-of wavelength of a GaInAs–GaAsSb T2SL detector is ordinarily around 2.4 µm at room temperature. This paper proposes extending the cut-off wavelength using a GaAs/ InAs digital alloy instead of a Ga*x*In1-*x*As random alloy layer in a Ga*x*In1−*x*As–GaAs*y*Sb1−*y* T2SL. The calculation result employing an empirical *sp*³*d*⁵*s*^{*} tight-binding method has revealed that a (GaAs/InAs)–GaAs_ySb_{1−y} digital alloy T2SL on an InP substrate possesses a band gap corresponding to a wavelength of $2.9 \mu m$ which is over 0.4 μm longer than that in a conventional GaInAs–GaAsSb T2SL at a temperature of 200 K. Figured optical absorption coefficient spectra of certain digital alloy T2SLs, e.g., a $[(GaAs)₄(InAs)₄]-(GaAs_{0.53}Sb_{0.47})₁₇$ structure, present a considerable polarization-direction dependence—originating from the asymmetrical shape of the wavefunction in the quantum well. Yet, by altering the atomic layer confguration in the growth direction of the digital alloy T2SL to have such a quantum well structure with a refection symmetry as in $[(GaAs)_4(InAs)_4]_2(GaAs)_3-(GaAs)_{0.45}Sb_{0.55})_{17}$, the polarization dependency can be dramatically decreased due to the symmetry improvement of the wavefunction.

Keywords Type-II superlattice · Digital alloy · Tight-binding · Absorption coefficient

1 Introduction

There are many attractive optical applications for industrial and medical uses in the short-wavelength infrared (SWIR) region (usually in the $1-3 \mu m$ wavelength range) $[1-4]$ $[1-4]$. As an example, the absorption wavelength regions for blood sugar (glucose) and proteins exist in the $2-2.5$ µm wavelength range. Considering applications in the feld of healthcare, it is crucial to extend the cut-off wavelength while maintaining high sensitivity in the detector to that wavelength region. This report proposes adopting a digital alloy superlattice that enables the realization of a high-sensitivity photodetector even in the 2.5 µm wavelength region, where

 \boxtimes Takashi Kato kato-takashi@sei.co.jp difficulty exists to obtain sufficient sensitivity with a conventional type-II superlattice (T2SL) as described later. In the SWIR range, Hg_xCd_{1−*x*}Te detectors possess a high quantum efficiency; yet, they have disadvantages such as comprising hazardous Hg and Cd heavy metals and being inferior in compositional uniformity for application in focal plane arrays [\[5](#page-7-2), [6\]](#page-7-3). An alternative detector with an optical absorption layer of bulk Ga_xIn_{1−*x*}As grown on an InP substrate has a cut-off wavelength of about 2.6 µm at room temperature, adopting a compressive strain (around 2 %) in the GaInAs layer [[7\]](#page-7-4). Adding a much greater strain on the GaInAs layer to lengthen the gap wavelength (λ_{ϱ}) —a wavelength equivalent to the band gap energy—may induce more defects in the epilayers and cause an increase in the dark current of the detector. Another study in a SWIR detector has reported that a Ga*x*In1−*x*As–GaAs*y*Sb1−*y* T2SL, whose lattice constant was matched to an InP substrate, attained a cut-off wavelength of around 2.4 μ m at room temperature $[8-11]$ $[8-11]$ $[8-11]$. Regarding GaInAs–GaAsSb T2SL, as illustrated in Fig. [1](#page-1-0), the λ_g can extend above $2.5 \mu m$; however, the absorption coefficient rapidly decreases near the band gap. More specifcally, as the

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Fig. 1 Absorption coefficient spectra in $(Ga_{0.46}In_{0.54}As)_{n}$ $(GaAs_{0.51}Sb_{0.49})_{17}$ T2SL on an (001) InP substrate at a temperature of 200 K. *n* denotes the GaInAs monolayer number. The above calculation using an empirical $sp^3d^5s^*$ tight-binding method described in Sect. [2](#page-2-1) is for incident light in the *z*-direction with [100] polarization

width of the electron well (GaInAs) layer increases, the λ_{φ} of the T2SL becomes longer owing to lowering of the confned electron energy in the electron well layer. As a trade-off, the absorption coefficient near the band gap promptly decreases due to a reduction in the overlap of the wavefunctions of the conduction and valence bands.

This paper proposes extending the cut-off wavelength using a GaAs/InAs digital alloy (namely, a quantum well structure consisting of thin GaAs and InAs layers) instead of a Ga_xIn_{1-x}As random alloy layer in a Ga_xIn_{1−x}As–GaAs_vSb_{1−y} T2SL. Regarding the application of digital alloys to photodetectors, several studies, especially in avalanche photodiodes, have demonstrated that the ionization ratio can be improved [[12](#page-7-7), [13\]](#page-7-8). Another study on digital alloys as

a photodiode has suggested adopting a GaAs/InAs digital alloy instead of a bulk $Ga_xIn_{1-x}As$ absorption layer which might enable the cut-off wavelength to lengthen from 1.7 μ m to greater than 1.9 μ m (although the quantum efficiency reduces from approximately 95 % to 60 % at a wavelength of 1.5 µm) [[14](#page-7-9)]. However, few studies have attempted to apply digital alloys to Ga*x*In1−*x*As–GaAs*y*Sb1−*y* T2SLs. Figure [2](#page-1-1) illustrates a schematic diagram of the energy band alignment of a digital alloy (GaAs/InAs)-GaAs_vSb_{1−v} superlattice and a conventional Ga*x*In1−*x*As–GaAs*y*Sb1−*y* T2SL. In the digital alloy superlattice, as shown in Sect. [3,](#page-2-0) the conduction and the valence band wavefunction of the superlattice are mainly confined in the GaAs/InAs and the GaAs_vSb_{1−*y*} layers, respectively, which implies the superlattice belongs to the T2SL structure. This paper shows, for the frst time, using an empirical $sp^3d^5s^*$ tight-binding (TB) method, an InP-based (GaAs/InAs)-GaAs_ySb_{1-y} digital alloy T2SL that has a longer λ_g of 2.9 µm at a temperature (*T*) of 200 K while preserving a high absorption coefficient near the band gap.

Turning to another aspect of the optical properties of T2SLs, a previous study on the polarizationdirection dependency of the dipole matrix elements in InAs–GaSb T2SL systems suggested the following [[15\]](#page-7-10): If an InAs–GaSb T2SL system has a C_{2v} point group symmetry, the dipole matrix elements show anisotropy for [110] and [−110]-polarized incident light. On the other hand, in an InAs–GaSb T2SL system with a D_{2d} point group symmetry, dipole matrix elements present no dependence on polarization directions. Concerning digital alloy T2SLs, however, little attention has been paid to optical anisotropy. This study discusses the dependency of the absorption coefficient on the polarization direction of incident light in (GaAs/InAs)- GaAs*y*Sb1−*y* digital alloy T2SLs and reveals that a digital alloy T2SL with a symmetrical quantum well structure

Fig. 2 Schematic fgures showing the band alignment of a (GaAs/ InAs)–GaAs_ySb_{1−y} superlattice (left and middle) and a Ga_xIn_{1−*x*}As– GaAs_vSb_{1−*v*} T2SL (right side). In the middle figure, as an example, the GaAs/InAs layer of the (GaAs/InAs)–GaAs_ySb_{1-*y*} superlattice is regarded as one material of a superlattice of $(GaAs)_n(InAs)_n$. Each

gray rectangle depicts the band gap energy region of the layer, the lower and the upper side position of which show the energy levels of the valence band maximum (E_v) and the conduction band minimum (E_c) , respectively

decreases the polarization dependency drastically owing to the ameliorated symmetry of the wavefunction.

2 Calculation methods

An empirical nearest-neighbor $sp^3d^5s^*$ TB approximation under periodic boundary conditions was used to investigate the electronic structures and the optical absorption properties in T2SLs. Employed TB parameters for the binary and alloy semiconductors were derived from the calculated band structures of the binary compounds by the hybrid quasiparticle self-consistent GW method [\[16\]](#page-7-11). Postulating that the growth of the T2SL on a (001) InP substrate along the *z*-direction is pseudomorphic in our calculation model, the classical elasticity theory may determine the position of atoms in the T2SL [[17\]](#page-7-12); thus, the TB parameters have been corrected for the resulting lattice strain by using the generalized Harrison's d^{-2} law [[18\]](#page-7-13). The Ga_xIn_{1-x}As TB parameters related to the cation would characterize the (virtual) cation atoms in the alloy on the supposition that the Ga and In atoms are randomly distributed on the cation sites in the Ga_xIn_{1-x}As layer; anion atoms in the GaAs_vSb_{1−*y*} layer are likewise distributed. In the (GaAs/InAs)–GaAs_ySb_{1-y} digital alloy T2SL, the composition value *y* in the GaAs_{*y*}Sb_{1−*y*} layer was determined so that the average strain in the *z*-direction was zero within the one period of the T2SL. To illuminate the diferences in the electron quantum well layer—the Ga*x*In1−*x*As or the GaAs/InAs digital alloy layer, the thickness of the GaAs_ySb_{1−y} electron barrier layer was fixed at 17 monolayers (about 5.0 nm) in all the calculated T2SL structures. The optical absorption spectra of T2SLs were calculated based on Fermi's golden rule by the same method in a previous work $[19]$ $[19]$ $[19]$, with the conditions that a linearly polarized light enters along the *z*-direction and at *T*=200 K.

3 Results and discussion

3.1 [(GaAs)*n***(InAs)***m***]***k***–(GaAs***y***Sb1‑***y***)***^l* **T2SLs**

First, the study compares a conventional $(Ga_{0.47}In_{0.53}As)_{17}$ – $(GaAs_{0.51}Sb_{0.49})_{17}$ T2SL (hereafter abbreviated as GaInAs–GaAsSb) to two simple digital alloy T2SLs—[(GaAs)₂(InAs)₂]₄–(GaAs_{0.52}Sb_{0.48})₁₇ and $[(GaAs)₄(InAs)₄]₂-(GaAs_{0.53}Sb_{0.47})₁₇$ (hereafter referred to as DA1 and DA2, respectively). Regarding the averaged compositions of Ga and In in the GaAs/InAs digital alloy layers in DA1 and DA2, each digital alloy is equivalent to a random alloy $Ga_{0.50}In_{0.50}As$. The thicknesses of the GaAs/ InAs digital alloy layers in one period of DA1 and DA2 are 4.7 nm, which is a little thinner than a $(Ga_{0.47}In_{0.53}As)_{17}$ layer thickness of 5.0 nm.

Fig. 3 Energy dispersion *E*(**k**) of DA1 near the Γ-point along the [−110] and the [110] direction; C_1 and C_2 denote the first and the second conduction sub-band, respectively; V_1-V_4 indicates the first to the fourth valence sub-band in order. Each sub-band with two spin states degenerates at the Γ-point

Fig. 4 Absorption coefficient spectra of DA1, DA2, and GaInAs– GaAsSb for [100]-polarized incident light. The arrows indicate the wavelength corresponding to each transition energy at the Γ-point between the sub-bands of DA1 depicted in Fig. [3](#page-2-2)

At the outset, to see the band structure of the (GaAs/ InAs)–GaAsSb digital alloy T2SL and use it for identifying the onsets of the optical transition energies between the sub-bands in the conduction and the valence band, Fig. [3](#page-2-2) presents the energy dispersion *E*(**k**) of DA1 calculated in the neighborhood of the Γ -point as an example. Figure [4](#page-2-3) presents the calculated absorption coefficient spectra of GaInAs–GaAsSb, DA1, and DA2 for the [1 0 0]-polarized

incident light; the λ_g s of these T2SLs are 2.45, 2.69, and $2.70 \ \mu m$, respectively. The spectra suggest that the digital alloys can be utilized for lengthening the cut-off wavelength in SWIR detectors.

Concerning the absorption coefficient dependence on the polarization directions of the incident light in the digital alloy T2SLs, Fig. [5a](#page-3-0) and b reveal the traits in DA1 and DA2, respectively. The polarization dependency in the DA2 spectra is more notable than that in the DA1 ones, which, with conjecture from anisotropy studies on the infuence of the interface layers in InAs–GaSb T2SL systems [[15](#page-7-10)], presumably relates to the asymmetry of the band-offset shape along the *z*-direction. Figure [6](#page-3-1)a and b illustrate, for DA1 and DA2, respectively, the valence band-offset (VBO) and the conduction band-offset (CBO) distribution, together with the squared modulus of the wavefunction at the valence band maximum (VBM) and the conduction band minimum (CBM). Due to the prominent asymmetry of the CBO in DA2 shown in Fig. [6](#page-3-1)b, the wavefunction at the CBM shifts the position accordingly, and its magnitude increases towards the right-side interface (IF_2) of the digital alloy layer. Thus, the atomic configuration near the IF₂ in Fig. [6](#page-3-1)b affects the opticaltransition-matrix elements to a greater extent than in the neighborhood of the left interface (IF_1) . It suggests that, in the absorption coefficient, the asymmetrical shape of the wavefunction gives rise to dependence on the polarization direction of the incident light, which this report discusses in the next section.

Fig. 5 Dependences of the absorption coefficients on polarization directions in **a** DA1 and **b** DA2

Fig. 6 Distributions of the VBO and the CBO in **a** DA1 and **b** DA2; and the squared modulus of the wavefunction at the VBM and the CBM. Closed circles indicate the positions of atoms; lines between the atomic sites are to guide the eye. " As_ySb_{1-y} " denotes the anion

atom of GaAs_ySb_{1-y} in the TB scheme. IF₁ and IF₂ designate the positions of each interface between the GaAs/InAs digital alloy and the GaAs_ySb_{1-y} electron barrier layers

3.2 Symmetrical digital alloy T2SLs

The following is an exploration of symmetrical T2SLs to investigate the mechanism of the variation of the absorption coefficient according to the polarization direction of incident light and the infuence of the symmetry of the wavefunction on the absorption coefficient in digital alloy T2SLs. Here, this paper defnes the term "symmetrical T2SL" as a T2SL having a refection symmetry in the *z*-direction atomic plane row. As an example of a symmetrical (GaAs/ InAs)-GaAs_ySb_{1-y} digital alloy T2SL, Fig. [7](#page-4-0) schematically represents an atomic plane row along the *z*-direction of [(GaAs)2(InAs)2]4(GaAs)-(GaAs*y*Sb1−*y*)*^l* T2SL.

In still other examples, the following structures of (GaAs/ InAs)–GaAs*y*Sb1−*y* digital alloy T2SL are symmetrical:

$$
\{(GaAs)_{n'}(InAs)_{m}[(GaAs)_{n}(InAs)_{m}]_{k}(GaAs)_{n'-1}\} - (GaAs_{y}Sb_{1-y})_{l'}(1)
$$

where $n, n', m, l \in \mathbb{N}; k \in \mathbb{N}_0$.

Subsequently, modifying the prior asymmetrical DA1 and DA2 structures, we examined the following two symmetrical digital alloy T2SLs: ${[(GaAs)_2(InAs)_2]_4(GaAs) }$ – $(GaAs_{0.49}Sb_{0.51})_{17}$ and ${[(GaAs)_2]_4(GaAs)_2]}$ $\left(\frac{\ln\mathrm{As}}{4} \right)$ ₄ $\left(\frac{\mathrm{GaAs}}{3} \right)$ ₃ $\left(\frac{\mathrm{GaAs}}{0.45} \right)$ _{0.55} $\left(\frac{\ln\mathrm{Ba}}{17} \right)$ (hereafter referred to as SDA1 and SDA2, respectively). Figure [8](#page-4-1)a and b show the VBO and the CBO distributions and the squared modulus of the wavefunction at the VBM and the CBM in SDA1 and SDA2, respectively. As shown in these Figures, the VBO and CBO of the SDA1 and SDA2 have a mirror-symmetrical profle, which brings about the symmetrical shapes relating to the magnitude of each wavefunction.

Figure [9a](#page-5-0) and b present the absorption coefficient spectra, depending on the polarization directions of the incident light in SDA1 and SDA2, respectively. The variation of the absorption coefficient conforming to the difference in the polarization direction decreases outstandingly in both symmetrical T2SLs. The λ_{ϱ} s of SDA1 and SDA2 are 2.84 and $3.19 \mu m$, respectively, being longer than those in each of DA1 and DA2, probably due to the thicker electron well (GaAs/InAs digital alloy) layers.

In the following, this paper will elucidate the cause of the polarization-direction dependency of the absorption coefficient in asymmetrical T2SLs. Two simple examples of short-period digital alloy T2SLs were prepared for the explanation: One was an asymmetrical (GaAs)2(InAs)2–(GaAs*y*Sb1−*y*)4 T2SL, and the other was a symmetrical $(GaAs)_2(InAs)_2(GaAs)$ – $(GaAs_ySb_{1-y})_3$ T2SL. Figure [10](#page-5-1)a and b show the atomic confguration in the

Fig. 7 The *z*-direction row of the atomic plane (here, the symbol for an element represents its atomic plane), being in an *x*–*y* plane, in [(GaAs)2(InAs)2]4(GaAs)–(GaAs*y*Sb1−*y*)*^l* T2SL. Bold letters represent the atomic planes in the *l*-monolayer of GaAs_{*v*}Sb_{1−*v*}; "As_{*v*}Sb_{1−*v*}"

in the figure means the anion of GaAs_vSb_{1−y} in the TB scheme. The position of the As plane indicated by the arrow is the center of the refection symmetry in the atomic plane row

Fig. 8 Distributions of VBO and CBO in **a** SDA1 and **b** SDA2; also, the squared modulus of the wavefunction at the VBM and the CBM are plotted. The others are the same as in Fig. [6](#page-3-1)

Fig. 9 Dependences of the absorption coefficients on polarization direction in **a** SDA1 and **b** SDA2

Fig. 10 Illustrated atomic configurations of **a** $(GaAs)₂(InAs)₂$ $(GaAs_ySb_{1-y})_4$ and **b** $(GaAs)_2(InAs)_2(GaAs)$ – $(GaAs_ySb_{1-y})_3$ T2SLs, each of which is viewed from two diferent directions. The tetragonal

supercells overlap in these Figures. "As_ySb_{1-y}" denotes the anion atom of GaAs*y*Sb1−*y* in the TB scheme

supercells of the asymmetrical and the symmetrical T2SL, respectively. In the TB calculation of the optical absorption coefficient, the Peierls phase under long-wavelength approximation infuences optical-transition-matrix elements as a factor $\mathbf{r}_{c-a} \cdot \mathbf{e}_p$ [[19](#page-7-14)], where \mathbf{r}_{c-a} is a vector connecting the positions of a cation and an anion atom, and \mathbf{e}_p is the unit vector of the electric feld of the incident light. Thus, when the \mathbf{e}_p has a directional component of the

cation–anion bond (Ga–As, In–As, or Ga–As_{*y*}Sb_{1–*y*}), the interaction between the electric feld of the incident light and the atomic bond contributes to the optical-transitionmatrix elements. In the above notation of the Ga–As_{*y*}Sb_{1−*y*} bond, "As_ySb_{1−y}" stands for the anion atom of GaAs_ySb_{1−y} in the TB scheme. Note that, in each T2SL supercell, a number of the cation–anion bonds having directional components in the direction of polarization is the same for [110]

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-polarization and [−110]-polarization (the number of bonds lying on the surface of the supercell is counted as half). Concretely, the number of the efective bonds having a directional component of [110] (or [−110]) is written in parentheses next to each bond species as follows: Ga–As (4), In–As (4), and Ga– As_ySb_{1-y} (8) are in the asymmetrical T2SL in Fig. [10a](#page-5-1). Identically, Ga–As (6), In–As (4), and Ga–As_ySb_{1-y} (6) are in the symmetrical T2SL in Fig. [10](#page-5-1)b. In the asymmetrical (GaAs)₂(InAs)₂–(GaAs_vSb_{1−*y*)₄} T2SL, being in a situation similar to the previously described asymmetrical wavefunction shape in DA2, since the CBM wavefunction is weighing to the IF_2 side, in the vicinity of the IF₂, the electrons involved with the As–Ga–As_ySb_{1-*y*}</sub> bonds having the directional component of e_n interact signifcantly with incident light. As can be seen from Fig. [10](#page-5-1)a, in the relationship between the atomic bond direction and the direction of \mathbf{e}_p , near the IF₂, the positions of As–Ga bonds having the [−110] component are closer to the electron well layer than the $Ga-As_vSb_{1-v}$ bonds with the [110] one. Namely, the CBM wavefunction more heavily infuences the optical-transition-matrix elements involved with the former As–Ga bond. Considering this, it can be concluded that the incident light with [−110]-polarization interacts more strongly with the electrons in the As–Ga bonds close to the IF_2 . Therefore, it is reasonable that, in the absorption coefficient spectra of the asymmetrical digital T2SLs shown in Fig. $5a$ and b, the absorption coefficient of [−110]-polarization surpasses that of [110]-polarization. In the symmetrical T2SL, on the other hand, due to the symmetrical shape of the wavefunction, the bonds of Ga - As $|_{IF_1}$ and Ga - As_ySb_{1-y} $|_{IF_2}$ interact with [110]-polarized light in the same way that $\text{As } - \text{Gal}_{\text{IF}_2}$ and $\text{As}_y\text{Sb}_{1-y}$ - Ga|_{IF₁} do with [−110]-polarized light, as illus-trated in Fig. [10b](#page-5-1). The above Ga - $\text{As}|_{\text{IF}_1}$ denotes the Ga–As bond near IF_1 ; the notations of a bond near another interface denote likewise. Thus, it is sensible that in the symmetrical T2SLs, the absorption coefficient spectra shown in Fig. [9](#page-5-0)a and b vary little with alteration of the polarization direction of incident light.

Up to here, adopting simple digital alloy T2SL structures, we have shown the optical properties of (GaAs/ InAs)–GaAs*y*Sb1−*y* digital alloy T2SLs. Lastly, this report investigates the feasibility of extending the wavelength region while maintaining a high absorption coefficient and examines several symmetrical digital alloy T2SLs satisfying the structure expression (1) (1) , finding that a $[(GaAs)₃(InAs)₄(GaAs)₄(InAs)₄(GaAs)₂] - (GaAs_{0.50}Sb_{0.50})₁₇$ T2SL, as exhibited in Fig. [11](#page-6-0), allows λ_{ϱ} to lengthen considerably without seriously decreasing the absorption coefficient in the spectrum. Its λ_g indicates 2.86 μ m at *T*=200 K, being longer over 0.4 µm than in a conventional

Fig. 11 Absorption coefficient spectra of $[(GaAs)_3(InAs)_4(GaAs)_4]$ $(InAs)₄(GaAs)₂] - (GaAs_{0.50}Sb_{0.50})₁₇$ and $(Ga_{0.47}In_{0.53}As)₁₇$ $(GaAs_{0.51}Sb_{0.49})_{17}$ T2SLs for [100]-polarized incident light

GaInAs–GaAsSb T2SL, which suggests that symmetrical digital alloy T2SLs are benefcial to signifcantly broaden the sensitivity region in SWIR detectors.

4 Conclusion

Aiming at the application of a digital alloy to an InP-based optical absorber in a SWIR detector, this study has revealed the optical properties of (GaAs/ InAs)–GaAsSb digital alloy T2SLs for the frst time by the empirical $sp^3d^5s^*$ TB method. The digital alloy T2SL $[(GaAs)₃(InAs)₄(GaAs)₄(InAs)₄(GaAs)₂] - (GaAs_{0.50}Sb_{0.50})₁₇$ has presented a λ_g of 2.9 µm at $T = 200$ K; the λ_g is over 0.4 µm longer than in a conventional GaInAs–GaAsSb T2SL, demonstrating the possible lengthening of the cutoff wavelength of the detector. Furthermore, this paper has shown the polarization-direction dependency of incident light in the absorption coefficient spectrum of asymmetrical (GaAs/InAs)–GaAsSb T2SLs arises from the asymmetric shape of the wavefunction (being attributed to the CBO profle); this dependency can be drastically decreased by modifying the confguration of the atomic layer in the growth direction to have mirror symmetry.

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Data availability All data generated or analyzed during this study are included in this published article.

Declarations

Conflict of interest The authors have no competing interests to declare that are relevant to the content of this article.

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