# **The Properties of Solutions for a Generalized** *b***-Family Equation with Peakons**

 $\mathbf{u}$ ,  $\mathbf{r}$ ,  $\mathbf{n}$ ,  $\mathbf{a}$ ,  $\mathbf{l}$ **Nonlinear** Science

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**Abstract** This paper deals with the Cauchy problem for a shallow water equation with high-order nonlinearities,  $y_t + u^{m+1}y_x + bu^m u_x y = 0$ , where *b* is a constant,  $m \in \mathbb{N}$ , and we have the notation  $y := (1 - \partial_x^2)u$ , which includes the famous Camassa–Holm equation, the Degasperis–Procesi equation, and the Novikov equation as special cases. The local well-posedness of strong solutions for the equation in each of the Sobolev spaces  $H^s(\mathbb{R})$  with  $s > \frac{3}{2}$  is obtained, and persistence properties of the strong solutions are studied. Furthermore, although the  $H^1(\mathbb{R})$ -norm of the solution to the nonlinear model does not remain constant, the existence of its weak solutions in each of the low order Sobolev spaces  $H^s(\mathbb{R})$  with  $1 < s < \frac{3}{2}$  is established, under the assumption  $u_0(x) \in H^s(\mathbb{R}) \cap W^{1,\infty}(\mathbb{R})$ . Finally, the global weak solution and peakon solution for the equation are also given.

**Keywords** Persistence properties · Local well-posedness · Weak solution

**Mathematics Subject Classification** 35G25 · 35L05 · 35Q50

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## **1 Introduction**

In this paper, we consider the Cauchy problem for the following shallow water equation with high-order nonlinearities:

<span id="page-1-1"></span><span id="page-1-0"></span>
$$
\begin{cases}\ny_t + u^{m+1}y_x + bu^m u_x y = 0, & x \in \mathbb{R}, \ t > 0, \\
u(x, 0) = u_0(x), & x \in \mathbb{R},\n\end{cases}
$$
\n(1.1)

where *b* is a constant and  $m \in \mathbb{N}$ , the notation  $y := (1 - \partial_x^2)u$ . It is easy to see that model  $(1.1)$  contains the three kinds of famous shallow water equation, that is, the Camassa–Holm equation, the Degasperis–Procesi equation, and the Novikov equation.

Obviously, if  $m = 0, b \in \mathbb{R}$ , Eq. ([1.1](#page-1-0)) becomes a *b*-equation:

$$
u_t - u_{xxt} + (b+1)uu_x = bu_xu_{xx} + uu_{xxx}, \quad t > 0, \ x \in \mathbb{R}, \tag{1.2}
$$

which can be derived as the family of asymptotically equivalent shallow water wave equations that emerges at quadratic order accuracy for any  $b \neq -1$  by an appropriate Kodama transformation. For the case  $b = -1$ , the corresponding Kodama transformation is singular and the asymptotic ordering is violated (see Dullin et al. Dullin et al. [2001,](#page-25-0) [2003,](#page-25-1) [2004\)](#page-25-2). Equation ([1.2](#page-1-1)) belongs to the following family of nonlinear dispersive partial differential equations:

$$
u_t - \gamma u_{xxx} - \alpha^2 u_{xxt} = (c_1 u^2 + c_2 u_x^2 + c_3 u u_{xx})_x,
$$

where  $\gamma$ ,  $\alpha$ ,  $c_1$ ,  $c_2$  and  $c_3$  are real constants. Up to rescaling there are only three equations that are asymptotically integrable within this family: the KdV equation, the Camassa–Holm (Eq.  $(1.2)$  $(1.2)$  $(1.2)$  with  $b = 2$ ) equation and the Degasperis–Procesi equation (Eq.  $(1.2)$  $(1.2)$  $(1.2)$  with  $b = 3$ ). In fact, the Camassa–Holm and Degasperis–Procesi equations are the only members of the *b*-equation family with a bi-Hamiltonian structure (Ivanov [2007](#page-25-3)), and these two kinds of shallow water equation have been studied extensively recently (see Bressan and Constantin [2007;](#page-24-0) Camassa and Holm [1993;](#page-24-1) Constantin and Lannes [2009](#page-24-2); Constantin and Escher [2011](#page-24-3); Constantin and Strauss [2000;](#page-24-4) Degasperis et al. [2002,](#page-24-5) [2003;](#page-24-6) Degasperis and Procesi [1999;](#page-24-7) Escher et al. [2006;](#page-25-4) Liu and Yin [2006;](#page-25-5) Xin and Zhang [2000](#page-26-0); Yin [2004](#page-26-1) and references therein). The solutions of the *b*-equation were studied numerically for various values of *b* in Holm and Staley [\(2003a,](#page-25-6) [2003b](#page-25-7)), where *b* was taken as a bifurcation parameter. The necessary conditions for integrability of the *b*-equation were investigated in Mikhailov and Novikov ([2002\)](#page-25-8). In Gilson and Pickering ([1995\)](#page-25-9), Hone [\(2009](#page-25-10)), Painlevé analysis is applied to these sorts of equation. The *b*-equation also admits peakon solutions for any  $b \in \mathbb{R}$  (see Degasperis et al. [2003;](#page-24-6) Holm and Staley [2003a](#page-25-6), [2003b](#page-25-7)). The wellposedness, blow-up phenomena, and global solutions for the *b*-equation were shown in Escher and Yin ([2008\)](#page-25-11), Mu et al. [\(2011](#page-25-12)).

On the other hand, taking  $m = 1, b = 3$  in  $(1.1)$  we found the Novikov equation,

<span id="page-1-2"></span>
$$
u_t - u_{xxt} + 4u^2 u_x = 3uu_x u_{xx} + u^2 u_{xxx}, \quad t > 0, \ x \in \mathbb{R}, \tag{1.3}
$$

which was recently discovered by Novikov in a symmetry classification of nonlocal PDEs with quadratic or cubic nonlinearity (Novikov [2009](#page-26-2)). Since then the Novikov equation has been studied by some researchers (Hone and Wang [2008;](#page-25-13) Hone et al. [2009;](#page-25-14) Ni and Zhou [2011](#page-25-15); Jiang and Ni [2012](#page-25-16)). The Novikov equation possesses a matrix Lax pair, infinitely many conserved densities, a bi-Hamiltonian structure as well as peakon solutions (Hone and Wang [2008\)](#page-25-13). These apparently exotic waves replicate a feature that is characteristic of the waves of great height waves of largest amplitude that are exact solutions of the governing equations for water waves (Constantin [2006;](#page-24-8) Constantin and Escher [2007,](#page-24-9) [2011](#page-24-3); Toland [1996\)](#page-26-3). The Novikov equation possesses the explicit formulas for multi-peakon solutions (Hone et al. [2009](#page-25-14)). It has been shown that the Cauchy problem for the Novikov equation is locally well-posed in Besov spaces and in Sobolev spaces and possesses persistence properties (Ni and Zhou [2011;](#page-25-15) Yan et al. [2012\)](#page-26-4). Analogous to the Camassa–Holm equation, the Novikov equation displays the blow-up phenomenon (Jiang and Ni [2012](#page-25-16)) and global weak solutions (Wu and Yin [2011](#page-26-5)).

In fact, many different types of solution for various shallow water equations have been investigated. Wazwaz [\(2006](#page-26-6), [2007](#page-26-7)) studied the solitary wave solutions for generalized *b*-family equation

<span id="page-2-0"></span>
$$
u_t - u_{xxt} + (1+b)u^m u_x = bu_x u_{xx} + uu_{xxx}
$$
 (1.4)

for *m* = 2. Since then Eq. ([1.4](#page-2-0)) has attracted a lot of researchers. When *m* = 2, peakon wave solutions of  $(1.4)$  with  $b = 2$  were studied in Liu and Qian  $(2001)$  $(2001)$ , Tian and Song  $(2004)$  $(2004)$ , and the periodic blow-up solutions and limit forms for  $(1.3)$  $(1.3)$  $(1.3)$  were ob-tained in Liu and Guo [\(2008](#page-25-18)). Peakon wave solutions for  $b = 3$  was also discussed in Liu and Ouyang [\(2007](#page-25-19)). Especially, when  $m = 2$  and  $b > -2$  is arbitrary, Liu [\(2010](#page-25-20)) gave several new types of the explicit nonlinear traveling wave solution of ([1.4](#page-2-0)). For any positive integer *m*, Shen and Xu ([2005\)](#page-26-9) considered the bifurcations of the smooth and non-smooth traveling waves of  $(1.4)$  $(1.4)$  $(1.4)$  for  $b = 2$ , Zhang et al.  $(2007)$  $(2007)$  analyzed  $(1.4)$ for  $b = 3$ . Recently, Deng et al. [\(2011\)](#page-24-10) investigated the traveling wave solutions for Eq. ([1.4](#page-2-0)). The local and global existence and blow-up phenomenon of solutions for Eq.  $(1.1)$  with  $b = m + 2$  are considered by Li et al.  $(2012)$  $(2012)$ , Mi and Mu C. L.  $(2013)$  $(2013)$ .

Recently, applying the method of pseudoparabolic regularization, Hakkaev and Kirchev [\(2005](#page-25-23)) investigated the local well-posedness for generalized Camassa–Holm equation with high-order nonlinearities

$$
u_t + (a(u))_x = \left(b'(u)\frac{u_x^2}{2} + b(u)u_{xx}\right)_x, \tag{1.5}
$$

<span id="page-2-1"></span>where  $b(u) = u^p$  and  $a(u) = 2ku + \frac{p+2}{2}u^{p+1}$ . The stability of peakons and orbital stability of solitary wave solution are also obtained in Hakkaev and Kirchev ([2005\)](#page-25-23).

Motivated by the results mentioned above, the goal of this paper is to establish the well-posedness and persistence property of strong solutions, and weak solutions and peakon solutions for problem  $(1.1)$  $(1.1)$  $(1.1)$ . Most of our results can be extended to the periodic case. First, we use Kato's Theorem to obtain the existence and uniqueness of strong solutions for Eq.  $(1.1)$  $(1.1)$  $(1.1)$ .

**Theorem 1.1** *Let*  $u_0 \in H^s(\mathbb{R})$  *with*  $s > 3/2$ *. Then there exist a maximal*  $T =$  $T(\|u_0\|_{H^s(\mathbb{R})})$ , *and a unique solution*  $u(x, t)$  *to the problem* [\(1.1\)](#page-1-0) *such that* 

$$
u = u(\cdot, u_0) \in C([0, T); H^s(\mathbb{R})) \cap C^1([0, T); H^{s-1}(\mathbb{R})).
$$

*Moreover*, *the solution depends continuously on the initial data*, *i*.*e*. *the mapping*

$$
u_0 \to u(\cdot, u_0): H^s(\mathbb{R}) \to C([0, T); H^s(\mathbb{R})) \cap C^1([0, T); H^{s-1}(\mathbb{R}))
$$

*is continuous*.

<span id="page-3-0"></span>In Himonas et al. ([2007\)](#page-25-24), Ni and Zhou [\(2011](#page-25-15), [2012](#page-26-11)), Henry ([2009\)](#page-25-25), the spatial decay rate for the strong solution to the Camassa–Holm, *b*-equation, Novikov equation were established provided that the corresponding initial datum decays at infinity. This kind of property is the so-called persistence property. Similarly, for Eq.  $(1.1)$ , we also have the following persistence properties for the strong solution. However, the hard question is that there are high nonlinearity in  $(1.1)$  $(1.1)$  $(1.1)$ , which makes the proof of several required nonlinear estimates very difficult.

**Theorem 1.2** Assume that  $u_0 \in C([0, T); H^s(\mathbb{R}))$  with  $s > 3/2$  satisfies

$$
\begin{aligned} \left| u_0(x) \right|, \ \left| u_{0x}(x) \right| &\sim O\big( e^{-\theta x} \big) \quad \text{as } x \uparrow \infty, \\ \text{(respectively, } \left| u_0(x) \right|, \left| u_{0x}(x) \right| &\sim O\big( (1+x)^{-\alpha} \big) \text{ as } x \uparrow \infty \big) \end{aligned}
$$

*for some*  $\theta \in (0, 1)$ (*respectively*,  $\alpha \geq \frac{1}{m+1}$ ), *then the corresponding strong solution*  $u \in C([0, T); H<sup>s</sup>(\mathbb{R}))$  *to Eq.* [\(1.1\)](#page-1-0) *satisfies*, *for some*  $T > 0$ ,

$$
\begin{aligned} \left| u(x,t) \right|, \, \left| u_x(x,t) \right| &\sim O\big( e^{-\theta x} \big) \quad \text{as } x \uparrow \infty, \\ \text{(respectively, } \left| u(x) \right| &\sim O\big( (1+x)^{-\alpha} \big) \text{ as } x \uparrow \infty \big) \end{aligned}
$$

*uniformly in the time interval* [0*,T* ].

<span id="page-3-1"></span>Since the "peakon" solution  $u(t, x) = c^{\frac{1}{m+1}} e^{-|x-ct|}, c > 0$  does not satisfy the asymptotic behavior in Theorem [1.2](#page-3-0). The following result establishes the optimality of Theorem [1.2](#page-3-0) and tells us that a strong non-trivial solution of  $(1.1)$  $(1.1)$  $(1.1)$  corresponding to data with fast decay at infinity will immediately behave asymptotically, in the *x*-variable at infinity, as the "peakon" solution

$$
u(t, x) = c^{\frac{1}{m+1}} e^{-|x - ct|}, \quad c > 0.
$$

**Theorem 1.3** Assume that  $u_0 \in C([0, T); H^s(\mathbb{R}))$  with  $s > 3/2$  satisfies

$$
\begin{aligned} \left| u_0(x) \right| &\sim O\big( \mathrm{e}^{-x} \big), & \left| u_{0x}(x) \right| &\sim O\big( \mathrm{e}^{-\theta x} \big) \quad \text{as } x \uparrow \infty, \\ \text{(respectively, } \left| u_0(x) \right| &\sim O\big( (1+x)^{-\alpha} \big), & \left| u_{0x}(x) \right| &\sim O\big( (1+x)^{-\beta} \big) \text{ as } x \uparrow \infty \big) \end{aligned}
$$

*for some*  $\theta \in (\frac{1}{m+1}, 1)$ (*respectively*,  $\alpha \geq \frac{1}{m+1}$ ,  $\beta \in (\frac{\alpha}{m+1}, \alpha)$ ), *then the corresponding strong solution*  $u \in C([0, T); H^s(\mathbb{R}))$  *to Eq.* ([1.1\)](#page-1-0) *satisfies for some*  $T > 0$ 

$$
\begin{aligned} \left| u(x,t) \right| &\sim O\big( e^{-x} \big) \quad \text{as } x \uparrow \infty, \\ \text{(respectively, } \left| u(x) \right| &\sim O\big( (1+x)^{-\alpha} \big) \text{ as } x \uparrow \infty \big) \end{aligned}
$$

*uniformly in the time interval* [0*,T* ].

*Remark 1.1* The notation means that

<span id="page-4-0"></span>
$$
|f(x)| \sim O(e^{-\theta x})
$$
 as  $x \uparrow \infty$  if  $\lim_{x \to \infty} \frac{f(x)}{e^{-\theta x}} = L$ ,

where *L* is a constant (allowed to be zero).

Next, we apply the method of pseudoparabolic regularization to deal with the weak solution of Eq. ([1.1](#page-1-0)). To this goal, we need rewrite Eq. [\(1.1\)](#page-1-0). For a real number *s* with  $s > 0$ , suppose that the function  $u_0(x)$  is in  $H^s(\mathbb{R})$ , and let  $u_{\epsilon 0}$  be the convolution  $u_{\epsilon 0} = \phi_{\epsilon} * u_0$  of the function  $\phi_{\epsilon}(x) = \epsilon^{-\frac{1}{4}} \phi(\epsilon^{-\frac{1}{4}} x)$  with  $u_0$ , where the function  $\phi$  is such that the Fourier transform  $\hat{\phi}$  of  $\phi$  satisfies  $\hat{\phi} \in C_0^{\infty}$ ,  $\hat{\phi}(\hat{\xi}) \ge 0$  and  $\hat{\phi}(\hat{\xi}) = 1$  for any  $\xi \in (-1, 1)$ . Thus we have  $u_{\epsilon 0}(x) \in C^{\infty}$ . It follows from Theorem [1.1](#page-2-1) that for each  $\epsilon$  satisfying  $0 < \epsilon < \frac{1}{4}$ , the Cauchy problem

$$
\begin{cases} u_t - u_{xxt} + (m+3)u^m u_x = u^{m+1} u_{xxx} + (m+2)u^m u_x u_{xx}, & x \in \mathbb{R}, \ t > 0, \\ u(x, 0) = u_{\epsilon 0}(x), & x \in \mathbb{R}, \end{cases}
$$
(1.6)

<span id="page-4-1"></span>has a unique solution  $u_{\epsilon} \in C^{\infty}([0, T_{\epsilon}), H^{\infty}(\mathbb{R}))$ , in which  $T_{\epsilon}$  may depend on  $\epsilon$ . However, we shall show that under certain assumptions, there exist two constants *c* and  $T > 0$ , both independent of  $\epsilon$ , such that the solution of problem [\(1.6\)](#page-4-0) satisfies  $||u_{\epsilon}^{m}u_{\epsilon x}||_{L^{\infty}(\mathbb{R})} \leq c$  for any  $t \in [0, T)$  and exists a weak solution  $u(x, t) \in$  $L^2([0, T], H^s(\mathbb{R}))$  for problem [\(1.6\)](#page-4-0). These results are summarized in the following two theorems.

<span id="page-4-3"></span>**Theorem 1.4** *If*  $u_0(x) \in H^s(\mathbb{R})$  *with*  $s \in [1, \frac{3}{2}]$  *such that*  $||u_0^m u_{0x}||_{L^{\infty}(\mathbb{R})} < \infty$ *. Let*  $u_{\epsilon 0}$  *be defined as in system* ([1.6](#page-4-0)). *Then there exist two constants c and*  $T > 0$ , *which are independent of*  $\epsilon$ , *such that*  $u_{\epsilon}$  *of problem* ([1.6](#page-4-0)) *satisfies*  $||u_{\epsilon}^{m}u_{\epsilon x}||_{L^{\infty}(\mathbb{R})} \leq c$  *for any*  $t \in [0, T)$ .

Past the limit  $\epsilon \to 0$  in Theorem [1.4,](#page-4-1) we can obtain the existence of weak solution in the space  $L^2([0, T], H^s(\mathbb{R}))$  with  $1 < s \leq \frac{3}{2}$  for Eq. [\(1.1](#page-1-0)).

<span id="page-4-2"></span>**Theorem 1.5** *Suppose that*  $u_0(x) \in H^s(\mathbb{R}) \cap W^{1,\infty}(\mathbb{R})$  *with*  $1 < s \leq \frac{3}{2}$ *. Then there exists a life span*  $T > 0$  *such that problem* [\(1.1\)](#page-1-0) *has a weak solution*  $u(x, t) \in$  $L^2([0, T], H^s(\mathbb{R}))$  *in the sense of distribution and*  $u^m u_x \in L^\infty([0, T] \times \mathbb{R})$ .

Finally, we consider global weak solution and peakon solution for problem ([1.1](#page-1-0)).

**Theorem 1.6** *The single peakon takes the form*  $u(t, x) = c^{\frac{1}{m+1}} e^{-|x-ct-x_0|}, c > 0$ . *Moveover*, *this peakon solitary wave is a global weak solution to Eq*. [\(1.1\)](#page-1-0).

Moreover, we discuss the existence of multi-peakon solutions to Eq.  $(1.1)$ .

<span id="page-5-0"></span>**Theorem 1.7** *Equation* ([1.1](#page-1-0)) *has peakon solutions of the form*

<span id="page-5-2"></span>
$$
u(t,x) = \sum_{i=1}^{N} p_i(t) e^{-|x - q_i(t)|},
$$
\n(1.7)

*whose positions*  $q_t(t)$  *and amplitudes*  $p_j(t)$  *are in accordance to the dynamical system*

$$
p'_{j} = \left(\sum_{i=1}^{N} p_{i} e^{-|q_{j} - q_{i}(t)|}\right)^{m+1},
$$
  
\n
$$
q'_{j} = (b - m - 1)q_{j} \left(\sum_{i=1}^{N} p_{i} e^{-|q_{j} - q_{i}|}\right)^{m} \left(\sum_{i=1}^{N} p_{i} \operatorname{sgn}(q_{j} - q_{i}) e^{-|q_{j} - q_{i}|}\right).
$$
\n(1.8)

This paper is organized as follows. In the next section, the local well-posedness and persistence properties of strong solutions for the problem ([1.1](#page-1-0)) are established, and Theorems [1.1](#page-2-1)[–1.3](#page-3-1) are proved. The existence of weak solutions for the problem [\(1.1\)](#page-1-0) is proved in Sect. [3](#page-11-0). In Sect. [4](#page-19-0), we consider the global weak solution and peakon solutions for the problem  $(1.1)$ , and prove Theorems  $1.6-1.7$  $1.6-1.7$ .

### **2 Well-Posedness and Persistence Properties of Strong Solutions**

*Notation* The space of all infinitely differentiable functions  $f(x, t)$  with compact support in  $\mathbb{R} \times [0, +\infty)$  is denoted by  $C_0^{\infty}$ . Let *p* be any constant with  $1 \leq p < \infty$  and denote  $L^p = L^p(\mathbb{R})$  the space of all measurable functions f such that  $|| f ||_{L^p}^p = \int_{\mathbb{R}} |f(x)|^p dx < \infty$ . The space  $L^\infty = L^\infty(\mathbb{R})$  with the standard norm *f*  $|f||_L ∞$  =  $\inf_{m(e)=0} \sup_{x \in \mathbb{R}/e} |f(x)|$ . For any real number *s*, let  $H^s = H^s(\mathbb{R})$  denote the Sobolev space with the norm defined by

$$
\|f\|_{H^s} = \left(\int_{\mathbb{R}} (1+|\xi|^2)^s |\widehat{f}(\xi,t)|^2 d\xi\right)^{\frac{1}{2}} < \infty,
$$

where  $\widehat{f}(\xi, t) = \int_{\mathbb{R}} e^{-ix\xi} f(x, t) dx$ . Let  $C([0, T]; H^s(\mathbb{R}))$  denote the class of continuous functions from [0, T] to  $H^s$ tinuous functions from [0,  $T$ ] to  $H<sup>s</sup>$ .

*Proof of Theorem [1.1](#page-2-1)* To prove well-posedness we apply Kato's semigroup approach (Kato  $1975$ ). For this, we rewrite the Cauchy problem of Eq.  $(1.1)$  $(1.1)$  $(1.1)$  as the following transport equation:

<span id="page-5-1"></span>
$$
\begin{cases} u_t + u^{m+1}u_x + F(u) = 0, \\ u(x, 0) = u_0(x), \end{cases}
$$
 (2.1)

where  $F(u) := P * E(u)$ .  $E(u) = \frac{m(b-m-1)}{2}u^{m-1}(\partial_x u)^3 + \partial_x(\frac{b}{m+2}u^{m+2} + \frac{3m+3-b}{2} \times$  $u^m u_x^2$ ) and  $P(x) = \frac{1}{2} e^{-|x|}$ . Similar to Constantin and Escher [\(1998](#page-24-11)), we can choose

the notation  $A(u) = u^{m+1}\partial_x$ ,  $Y = H^s$ ,  $X = H^{s-1}$  and  $Q = \Lambda = (1 - \partial_x^2)^{\frac{1}{2}}$ . Following closely the considerations made in Constantin and Escher [\(1998\)](#page-24-11), Mu et al. ([2011\)](#page-25-12), Lai and Wu [\(2010](#page-25-27)), we obtain the statement of Theorem [1.1.](#page-2-1)

*Proof of Theorem [1.2](#page-3-0)* We introduce the notation  $M = \sup_{t \in [0, T]} ||u(t)||_{H^s}$ . For the first step we will give estimates on  $||u(x, t)||_{L^{\infty}}$ . Integrating both sides with respect to *x* by multiplying the first equation of [\(2.1\)](#page-5-1) by  $\overline{u}^{2p-1}$  with  $p \in \mathbb{Z}^+$ , we can get

<span id="page-6-0"></span>
$$
\int_{\mathbb{R}} u^{2p-1} u_t \, dx + \int_{\mathbb{R}} u^{2p-1} (u^{m+1} u_x) \, dx + \int_{\mathbb{R}} u^{2p-1} (P * E(u)) \, dx = 0. \tag{2.2}
$$

Note that the estimates

$$
\int_{\mathbb{R}} u^{2p-1} u_t \, dx = \frac{1}{2p} \frac{d}{dt} \| u(x, t) \|_{L^{2p}}^{2p} = \| u(x, t) \|_{L^{2p}}^{2p-1} \frac{d}{dt} \| u(x, t) \|_{L^{2p}},
$$

and

$$
\left| \int_{\mathbb{R}} u^{2p-1} (u^{m+1} u_x) \, dx \right| \leq \| u^m u_x(x,t) \|_{L^\infty} \| u(x,t) \|_{L^{2p}}^{2p}
$$

are true. Moreover, we use Hölder's inequality

$$
\left|\int_{\mathbb{R}} u^{2p-1} (P * E(u)) dx \right| \leq \|u(x,t)\|_{L^{2p}}^{2p-1} \|P * E(u)\|_{L^{2p}}.
$$

From [\(2.2\)](#page-6-0) we obtain

$$
\frac{\mathrm{d}}{\mathrm{d}t} \|u(x,t)\|_{L^{2p}} \leq \|u^m u_x(x,t)\|_{L^\infty} \|u(x,t)\|_{L^{2p}} + \|P*E(u)\|_{L^{2p}}.
$$

Since  $|| f ||_{L^p} \to || f ||_{L^\infty}$  as  $p \to \infty$  for any  $f \in L^\infty \cap L^2$ . Form the above inequality we deduce that

$$
\frac{d}{dt} ||u(x,t)||_{L^{\infty}} \le M^{m+1} ||u(x,t)||_{L^{\infty}} + ||P * E(u)||_{L^{\infty}},
$$

where we are using

$$
\|u_x(x,t)\|_{L^{\infty}}\|u(x,t)\|_{L^{\infty}}^m \leq \|u_x(x,t)\|_{H^{\frac{1}{2}+}}\|u(x,t)\|_{H^{\frac{1}{2}+}}^m \leq \|u(x,t)\|_{H^s}^{m+1} \leq M^{m+1}.
$$

Because of Gronwall's inequality, we get

$$
\|u(x,t)\|_{L^{\infty}} \leq \exp(M^{m+1}t)\bigg(\|u_0(x)\|_{L^{\infty}} + \int_0^t \left\|(P * E(u))(x,\tau)\right\|_{L^{\infty}} d\tau\bigg).
$$

Next, we will give estimates on  $||u_x(x, t)||_{L^{\infty}}$ . Differentiating ([2.1\)](#page-5-1) with respect to the *x*-variable produces the equation

<span id="page-7-0"></span>
$$
u_{xt} + u^{m+1}u_{xx} + (m+1)u^m u_x^2 + \partial_x (P * E(u)) = 0.
$$
 (2.3)

Multiplying this equation by  $(u_x)^{2p-1}$  with  $p \in \mathbb{Z}^+$ , integrating the result in the *x*-variable, and using integration by parts:

$$
\int_{\mathbb{R}} (u_x)^{2p-1} u_{xt} dx = \frac{1}{2p} \frac{d}{dt} \|u_x(x, t)\|_{L^{2p}}^{2p} = \|u_x(x, t)\|_{L^{2p}}^{2p-1} \frac{d}{dt} \|u_x(x, t)\|_{L^{2p}}^{2p},
$$
\n
$$
\left| \int_{\mathbb{R}} (u_x)^{2p-1} (u^m u_x^2) dx \right| \leq \|u(x, t)\|_{L^{\infty}}^m \|u_x(x, t)\|_{L^{\infty}} \|u_x(x, t)\|_{L^{2p}}^{2p},
$$
\n
$$
\left| \int_{\mathbb{R}} (u_x)^{2p-1} (u^{m+1} u_{xx}) dx \right| = \left| \frac{m+1}{2p} \int_{\mathbb{R}} u^m u_x^{2p+1} dx \right|
$$
\n
$$
\leq \frac{m+1}{2p} \|u(x, t)\|_{L^{\infty}}^m \|u_x(x, t)\|_{L^{\infty}} \|u_x(x, t)\|_{L^{2p}}^{2p}.
$$

From the above inequalities, we also get the following inequality:

$$
\frac{\mathrm{d}}{\mathrm{d}t} \|u_x(x,t)\|_{L^{2p}} \leq \bigg(m+1+\frac{m+1}{2p}\bigg)M^{m+1}\|u_x(x,t)\|_{L^{2p}} + \big\|\partial_x\big(P*E(u)\big)\big\|_{L^{2p}},
$$

where we are using  $||u_x(x,t)||_{L^{\infty}} ||u(t)||_{L^{\infty}}^m \le M^{m+1}$ . Then passing to the limit in this inequality and using Gronwall's inequality one obtains

$$
\|u_x(x,t)\|_{L^{\infty}}\n\leq \exp\bigl((m+1)M^{m+1}t\bigr)\bigg(\|u_{0x}(x)\|_{L^{\infty}}+\int_0^t\bigl\|\partial_x(P*E(u))(x,\tau)\bigr\|_{L^{\infty}}d\tau\bigg).
$$

We shall now repeat the arguments using the weight

<span id="page-7-1"></span>
$$
\varphi_N(x) = \begin{cases} 1, & x \le 0, \\ e^{\theta x}, & 0 < x < N, \\ e^{\theta N}, & x \ge N, \end{cases}
$$

where  $N \in \mathbb{Z}^+$  and  $\theta \in (0, 1)$ . Observe that for all N we have

$$
0 \le \varphi'_N(x) \le \varphi_N(x), \quad \text{ for all } x \in \mathbb{R}.
$$
 (2.4)

Using the notation  $E(u)$ , from  $(2.1)$  $(2.1)$  $(2.1)$  we get

$$
\partial_t(u\varphi_N) + \big(u^{m+1}\varphi_N\big)u_x + \varphi_N\big(P * E(u)\big) = 0,
$$

and from  $(2.3)$  $(2.3)$  $(2.3)$ , we also obtain

$$
\partial_t(\varphi_N \partial_x u) + u^{m+1} \varphi_N \partial_x^2 u + (m+1) u^m (\varphi_N \partial_x u) \partial_x u + \varphi_N \partial_x (P * E(u)) = 0.
$$

We need to eliminate the second derivatives in the second term in the above equality. Thus, combining integration by parts and  $(2.4)$  $(2.4)$  $(2.4)$  we find

$$
\left| \int_{\mathbb{R}} u^{m+1} \varphi_N \partial_x^2 u (\partial_x u \varphi_N)^{2p-1} \right|
$$
  
\n
$$
= \left| \int_{\mathbb{R}} u^{m+1} (\partial_x u \varphi_N)^{2p-1} (\partial_x (\varphi_N \partial_x u) - \partial_x u \varphi_N') dx \right|
$$
  
\n
$$
= \left| \int_{\mathbb{R}} \frac{1}{2p} u^{m+1} \partial_x ((\partial_x u \varphi_N)^{2p}) - u^{m+1} (\partial_x u \varphi_N)^{2p-1} \partial_x u \varphi_N' dx \right|
$$
  
\n
$$
\leq (\|u\|_{L^{\infty}} + \|\partial_x u\|_{L^{\infty}}) \|u\|_{L^{\infty}}^m \|\partial_x u \varphi_N\|_{L^{2p}}^{2p}.
$$

Hence, as in the weightless case, we have

$$
\|u\varphi_N\|_{L^{\infty}} + \|\partial_x u\varphi_N\|_{L^{\infty}}\leq \exp\bigl((m+1)M^{m+1}t\bigr) \bigl( \|u_0(x)\varphi_N\|_{L^{\infty}} + \|u_{0x}(x)\varphi_N\|_{L^{\infty}} \bigr) + \exp\bigl((m+1)M^{m+1}t\bigr) \int_0^t \bigl( \|\varphi_N\partial_x(E(u))\|_{L^{\infty}} + \|\varphi_N(E(u))\|_{L^{\infty}} \bigr) d\tau.
$$

A simple calculation shows that there exists  $C > 0$ , depending only on  $\theta \in (0, 1)$ , such that for any  $N \in \mathbb{Z}^+$ ,

$$
\varphi_N \int_{\mathbb{R}} \frac{1}{\varphi_N(y)} dy \leq C = \frac{4}{1 - \theta}.
$$

Thus, we have

$$
\begin{split} |\varphi_{N}(1-\partial_{x}^{2})^{-1}(u^{m-1}u_{x}^{3})| &= \frac{1}{2} \left| \varphi_{N} \int_{\mathbb{R}} e^{-|x-y|} (u^{m-1}u_{x}^{3})(y) \, dy \right| \\ &= \frac{1}{2} \left| \varphi_{N} \int_{\mathbb{R}} e^{-|x-y|} \frac{1}{\varphi_{N}(y)} (\varphi_{N}u_{x})(u^{m-1}u_{x}^{2})(y) \, dy \right| \\ &\leq \frac{1}{2} \left( \varphi_{N} \int_{\mathbb{R}} e^{-|x-y|} \frac{1}{\varphi_{N}(y)} \, dy \right) \|\varphi_{N}u_{x}\|_{L^{\infty}} \|u^{m-1}u_{x}^{2}\|_{L^{\infty}} \\ &\leq c \|\varphi_{N}u_{x}\|_{L^{\infty}} \|u^{m-1}u_{x}^{2}\|_{L^{\infty}}, \end{split}
$$

and

$$
\left| \varphi_N (1 - \partial_x^2)^{-1} \partial_x (u^{m-1} u_x^3) \right| = \frac{1}{2} \left| \varphi_N \int_{\mathbb{R}} \text{sgn}(x - y) e^{-|x - y|} (u^{m-1} u_x^3)(y) \, dy \right|
$$
  

$$
\leq c \|\varphi_N u_x\|_{L^\infty} \|u^{m-1} u_x^2\|_{L^\infty}.
$$

Using the same method, we can estimate the other terms:

$$
\left|\varphi_N\left(1-\partial_x^2\right)^{-1}\left(u^{m+1}u_x\right)\right| \le c\|u\|_{L^\infty}^{m+1}\|\varphi_N u_x\|_{L^\infty},
$$
  

$$
\left|\varphi_N\left(1-\partial_x^2\right)^{-1}\partial_x\left(u^{m+1}u_x\right)\right| = \left|\varphi_N\left(1-\partial_x^2\right)^{-1}\partial_x^2\left(u^{m+2}\right)\right|
$$

$$
\leq |\varphi_N(u^{m+2})| + |\varphi_N(1 - \partial_x^2)^{-1}(u^{m+2})|
$$
  

$$
\leq c \|u\|_{L^\infty}^{m+1} \|\varphi_N u\|_{L^\infty},
$$

and

$$
\begin{aligned} \left| \varphi_N \left( 1 - \partial_x^2 \right)^{-1} \partial_x \left( u^m u_x^2 \right) \right| &\leq c \|\varphi_N u\|_{L^\infty} \|u^{m-1} u_x^2\|_{L^\infty}, \\ \left| \varphi_N \left( 1 - \partial_x^2 \right)^{-1} \partial_x^2 \left( u^m u_x^2 \right) \right| &\leq \left| \varphi_N u^m u_x^2 \right| + \left| \varphi_N \left( 1 - \partial_x^2 \right)^{-1} \left( u^m u_x^2 \right) \right| \\ &\leq c \|\varphi_N u\|_{L^\infty} \|u^{m-1} u_x^2\|_{L^\infty}, \end{aligned}
$$

Thus, it follows that there exists a constant  $C > 0$  which depends only on *M*, *m* and *T* , such that

$$
\|u\varphi_N\|_{L^{\infty}} + \|\partial_x u\varphi_N\|_{L^{\infty}}
$$
  
\n
$$
\leq C (\|u_0\varphi_N\|_{L^{\infty}} + \|u_{0x}\varphi_N\|_{L^{\infty}})
$$
  
\n
$$
+ C \int_0^t ((\|u\|_{L^{\infty}}^{m+1} + \|u^{m-1}u_x^2\|_{L^{\infty}}) (\|\varphi_N\partial_x u\|_{L^{\infty}} + \|\varphi_N u\|_{L^{\infty}})) d\tau
$$
  
\n
$$
\leq C (\|u_0\varphi_N\|_{L^{\infty}} + \|u_{0x}\varphi_N\|_{L^{\infty}}) + C \int_0^t (\|\varphi_N\partial_x u\|_{L^{\infty}} + \|\varphi_N u\|_{L^{\infty}}) d\tau.
$$

Hence, for any  $n \in \mathbb{Z}$  and any  $t \in [0, T]$  we have

$$
\|u\varphi_N\|_{L^\infty} + \|\partial_x u\varphi_N\|_{L^\infty} \le C \big( \|u_0\varphi_N\|_{L^\infty} + \|u_{0x}\varphi_N\|_{L^\infty} \big) \le C \big( \|u_0 \max\{1, e^{\theta x}\}\|_{L^\infty} + \|u_{0x} \max\{1, e^{\theta x}\}\|_{L^\infty} \big).
$$

Finally, taking the limit as *N* goes to infinity, we find that for any  $t \in [0, T]$ ,

$$
\|ue^{\theta x}\|_{L^{\infty}} + \|\partial_x u e^{\theta x}\|_{L^{\infty}} \leq C(\|u_0 \max\{1, e^{\theta x}\}\|_{L^{\infty}} + \|u_{0x} \max\{1, e^{\theta x}\}\|_{L^{\infty}}).
$$

By an argument similar to the one used above and the proof of Theorem 1.1 in Ni and Zhou  $(2012)$  $(2012)$ , we get

<span id="page-9-0"></span>
$$
\|u(1+x)^{\alpha}\|_{L^{\infty}} + \|\partial_x u(1+x)^{\alpha}\|_{L^{\infty}}\leq C(\|u_0\max\{1,(1+x)^{\alpha}\}\|_{L^{\infty}} + \|u_{0x}\max\{1,(1+x)^{\alpha}\}\|_{L^{\infty}}),
$$

which completes the proof of Theorem [1.2.](#page-3-0)  $\Box$ 

Next, we give a simple proof for Theorem [1.3](#page-3-1).

*Proof of Theorem* [1.3](#page-3-1) We use Theorem [1.2](#page-3-0) to prove this theorem.

For any  $t_1 \in [0, T]$ , integrating Eq. ([2.1](#page-5-1)) over the time interval [0,  $t_1$ ] we get

$$
u(x, t_1) - u(x, 0) + \int_0^{t_1} (u^{m+1} u_x)(x, \tau) d\tau + \int_0^{t_1} (P * E(u))(x, \tau) d\tau = 0.
$$
 (2.5)

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From Theorem [1.2](#page-3-0) it follows that

$$
\int_0^{t_1} (u^{m+1} u_x)(x, \tau) d\tau \sim O(e^{-(m+2)\theta x}) \quad \text{as } x \uparrow \infty,
$$

and so

$$
\int_0^{t_1} (u^{m+1} u_x)(x, \tau) d\tau \sim O(e^{-x}) \quad \text{as } x \uparrow \infty.
$$

We shall show that the last term in  $(2.5)$  $(2.5)$  $(2.5)$  is  $O(e^{-x})$ ; thus we have

$$
\int_0^{t_1} (P * E(u))(x, \tau) d\tau = P(x) * \int_0^{t_1} (E(u))(x, \tau) d\tau = P(x) * \rho(x).
$$

From the given condition and Theorem [1.2.](#page-3-0) we know  $\rho(x) \sim O(e^{-x})$  as  $x \uparrow \infty$ . Since

$$
P(x) * \rho(x) = \frac{1}{2} \int_{\mathbb{R}} e^{-|x-y|} \rho(y) dy = \frac{1}{2} e^{-x} \int_{-\infty}^{x} e^{y} \rho(y) dy + \frac{1}{2} e^{x} \int_{x}^{\infty} e^{-y} \rho(y) dy,
$$

we have

$$
e^{-x} \int_{-\infty}^{x} e^{y} \rho(y) dy = O(1) e^{-x} \int_{-\infty}^{x} e^{2y} dy \sim O(1) e^{-x} \sim O(e^{-x}) \text{ as } x \uparrow \infty,
$$
  

$$
e^{x} \int_{x}^{\infty} e^{-y} \rho(y) dy = O(1) e^{x} \int_{x}^{\infty} e^{-2y} dy \sim O(1) e^{-x} \sim O(e^{-x}) \text{ as } x \uparrow \infty.
$$

Thus

$$
\int_0^{t_1} (P * E(u))(x, \tau) d\tau \sim O(e^{-x}) \quad \text{as } x \uparrow \infty.
$$

From [\(2.5\)](#page-9-0) and  $|u_0(x)| \sim O(e^{-x})$  as  $x \uparrow \infty$ , we know

$$
|u(x,t_1)| \sim O(e^{-x}) \quad \text{as } x \uparrow \infty.
$$

By the arbitrariness of  $t_1 \in [0, T]$ , we get

$$
|u(x,t)| \sim O(e^{-x}) \quad \text{as } x \uparrow \infty
$$

uniformly in the time interval [0*,T* ].

By an argument similar to the one used above and the proof of Theorem 1.2 in Ni and Zhou ([2012](#page-26-11)), we get

$$
|u(x,t)| \sim O((1+x)^{-\alpha}) \quad \text{as } x \uparrow \infty
$$

uniformly in the time interval [0, T]. This completes the proof of Theorem [1.3](#page-3-1).  $\Box$ 

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## <span id="page-11-3"></span><span id="page-11-0"></span>**3 Existence of Weak Solutions**

<span id="page-11-4"></span>In order to establish the proofs of Theorems [1.4](#page-4-1) and [1.5,](#page-4-3) we give several lemmas.

**Lemma 3.1** (See Kato [1975\)](#page-25-26) *If*  $r > 0$ , *then*  $H^r \cap L^\infty$  *is an algebra, and* 

$$
||fg||_{H^r} \leq c(||f||_{L^{\infty}}||g||_{H^r} + ||g||_{L^{\infty}}||h||_{H^r}),
$$

*where c is a constant depending only on r*.

**Lemma 3.2** (See Kato [1975\)](#page-25-26) *If r >* 0, *then*

$$
\left\|\left[A^r, f\right]g\right\|_{L^2} \le c \left(\|\partial_x f\|_{L^\infty} \|A^{r-1}g\|_{L^2} + \left\|A^r f\right\|_{L^2} \|g\|_{L^\infty}\right),\,
$$

*where*  $[A^r, f]g = A^r(fg) - fA^r g$  *with*  $A = (1 - \partial_x^2)^{\frac{1}{2}}$ *, and c is a constant depending only on r*.

**Lemma 3.3** *For*  $s \ge 1$  *and*  $f(x) \in H^s$  *and letting*  $k_1 > 0$  *be an integer such that*  $k_1 \leq s - 1$ , *then*  $f, f', \ldots, f^{k_1}$  *are bounded uniformly continuous functions which converge to* 0 *at*  $x = \pm \infty$ .

*Proof* This proof was stated by Bona and Smith [\(1975](#page-24-12), p. 559).  $\Box$ 

Now for  $s > 2$ , multiplying Eq. [\(1.1\)](#page-1-0) by *u*, we have

$$
uu_t - uu_{txx} = -(b+1)u^{m+2}u_x + bu^{m+1}u_xu_{xx} + u^{m+2}u_{xxx}.
$$
 (3.1)

<span id="page-11-1"></span>Integrating by parts on  $\mathbb{R}$ ,

$$
\frac{1}{2} \frac{d}{dt} \int_{\mathbb{R}} (u^2 + u_x^2) dx = (b - m + 2) \int_{\mathbb{R}} (u^m u_x u_{xx}) dx
$$

$$
= \frac{(b - m + 2)(m + 1)}{2} \int_{\mathbb{R}} u^m u_x^3 dx,
$$

<span id="page-11-5"></span><span id="page-11-2"></span>from which we have

$$
\int_{\mathbb{R}} (u^2 + u_x^2) dx = (b - m + 2)(m + 1) \int_0^t \left[ \int_{\mathbb{R}} u^m u_x^3 dx \right] d\tau + \int_{\mathbb{R}} (u_0^2 + u_{0x}^2) dx.
$$
\n(3.2)

**Lemma 3.4** *Let*  $s \ge 4$  *and let the function*  $u(x, t)$  *be a solution of the problem* ([1.1](#page-1-0)) *and the initial data*  $u_0(x) \in H^s$ , *then we have* 

$$
2\pi \left\| u \right\|_{H^1}^2 \le \int_{\mathbb{R}} \left( u_0^2 + u_{0x}^2 \right) dx + (m+1) \left| m+2-b \right| \int_0^t \left\| u^m u_x \right\|_{L^\infty} \left\| u \right\|_{H^1}^2 \mathrm{d} \tau. \tag{3.3}
$$

<span id="page-12-2"></span>*For*  $q \in (0, s - 1]$ , *there is a constant c depending only on q such that* 

<span id="page-12-3"></span>
$$
\int_{\mathbb{R}} \left(A^{q+1}u\right)^2 dx \le \int_{\mathbb{R}} \left(A^{q+1}u_0\right)^2 dx + c \int_0^t \|u\|_{L^\infty}^m \|u_x\|_{L^\infty} \left(\|u\|_{H^q}^2 + \|u\|_{H^{q+1}}^2\right) + \|u\|_{L^\infty}^m \|u_x\|_{L^\infty}^3 \|u\|_{H^q}^2 dx.
$$
\n(3.4)

<span id="page-12-0"></span>*If*  $q \in [0, s-1]$ , *there is a constant c depending only on q such that* 

$$
||u_t||_{H^q} \le c||u||_{H^1}^{m+1}||u||_{H^{q+1}}.\tag{3.5}
$$

*Proof* Using  $2\pi ||u||_{H^1}^2 \leq \int_{\mathbb{R}} (u^2 + u_x^2) dx$  and ([3.2](#page-11-1)), we deduce ([3.3](#page-11-2)).

We write Eq.  $(1.1)$  $(1.1)$  $(1.1)$  in the equivalent form

$$
u_t - u_{xxt} = -\frac{b+1}{m+2} (u^{m+2})_x + \frac{1}{m+2} \partial_x^3 u^{m+2} + \frac{b-3(m+1)}{2} \partial_x (u^m u_x^2)
$$

$$
-\frac{m[b-(m+1)]}{2} u^{m-1} u_x^3.
$$
(3.6)

Since  $\partial_x^2 = -\Lambda^2 + 1$ , the Parseval equality gives rise to

$$
\int_{\mathbb{R}} (A^q u) A^q \partial_x^3 u^{m+2} dx = -(m+2) \int_{\mathbb{R}} (A^{q+1} u) A^{q+1} (u^{m+1} u_x) dx
$$

$$
+ (m+2) \int_{\mathbb{R}} (A^q u) A^q (u^{m+1} u_x) dx.
$$

For any  $q \in (0, s - 1]$ , applying  $(A^q u) A^q$  to both sides for Eq. [\(3.6\)](#page-12-0), respectively, and integrating with respect to *x* again,using integration by parts, one obtains

<span id="page-12-1"></span>
$$
\frac{1}{2} \frac{d}{dt} \int_{\mathbb{R}} ((A^{q}u)^{2} + (A^{q}u_{x})^{2}) dx
$$
\n
$$
= -b \int_{\mathbb{R}} (A^{q}u) A^{q} (u^{m+1}u_{x}) dx - \int_{\mathbb{R}} (A^{q+1}u) A^{q+1} (u^{m+1}u_{x}) dx
$$
\n
$$
- \frac{b - 3(m+1)}{2} \int_{\mathbb{R}} (A^{q}u_{x}) A^{q} (u^{m}u_{x}^{2}) dx
$$
\n
$$
- \frac{m[b - (m+1)]}{2} \int_{\mathbb{R}} (A^{q}u) A^{q} (u^{m-1}u_{x}^{3}) dx.
$$
\n(3.7)

We will estimate the terms on the right-hand side of  $(3.7)$  $(3.7)$  $(3.7)$  separately. For the first term, by using the Cauchy–Schwartz inequality and Lemmas [3.1](#page-11-3) and [3.2](#page-11-4); we have

$$
\int_{\mathbb{R}} (\Lambda^q u) \Lambda^q (u^{m+1} u_x) dx
$$
\n
$$
= \int_{\mathbb{R}} (\Lambda^q u) [\Lambda^q (u^{m+1} u_x) - u^{m+1} \Lambda^q u_x] dx + \int_{\mathbb{R}} (\Lambda^q u) u^{m+1} \Lambda^q u_x dx
$$

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$$
\leq c \|u\|_{H^q} \left( (m+1) \|u\|_{L^\infty}^m \|u_x\|_{L^\infty} \|u\|_{H^q} + \|u\|_{L^\infty}^m \|u_x\|_{L^\infty} \|u\|_{H^q} \right) \n+ \frac{m+1}{2} \|u\|_{L^\infty}^m \|u_x\|_{L^\infty} \|A^q u\|_{L^2}^2 \n\leq c \|u\|_{L^\infty}^m \|u_x\|_{L^\infty} \|u\|_{H^q}^2.
$$

Using the above estimate or the second term on the right-hand side of  $(3.7)$  $(3.7)$  $(3.7)$  yields

$$
\int_{\mathbb{R}} (A^{q+1}u) A^{q+1} (u^{m+1}u_x) dx = c ||u||_{L^{\infty}}^m ||u_x||_{L^{\infty}} ||u||_{H^{q+1}}^2.
$$

For the third term on the right-hand side of  $(3.7)$  $(3.7)$  $(3.7)$ , using the Cauchy–Schwartz inequality, and Lemma [3.1](#page-11-3), we obtain

$$
\int_{\mathbb{R}} (A^{q} u_{x}) A^{q} (u^{m} u_{x}^{2}) dx \leq \| A^{q} u_{x} \|_{L^{2}} \| A^{q} (u^{m} u_{x}^{2}) \|_{L^{2}}
$$
\n
$$
\leq c \| u \|_{H^{q+1}} (\| u^{m} u_{x} \|_{L^{\infty}} \| u_{x} \|_{H^{q}} + \| u_{x} \|_{L^{\infty}} \| u^{m} u_{x} \|_{H^{q}})
$$
\n
$$
\leq c \| u \|_{L^{\infty}}^{m} \| u_{x} \|_{L^{\infty}} \| u \|_{H^{q+1}}^{2}.
$$

For the last term on the right-hand side of  $(3.7)$  $(3.7)$ , using Lemma [3.1](#page-11-3) repeatedly results in

$$
\int_{\mathbb{R}} (A^{q}u) A^{q} (u^{m-1}u_{x}^{3}) dx
$$
\n
$$
= \int_{\mathbb{R}} (A^{q}u) [A^{q} ((u^{m-1}u_{x}^{3})) - u^{m-1} A^{q}u_{x}^{3}] dx + \int_{\mathbb{R}} (A^{q}u) u^{m-1} A^{q}u_{x}^{3} dx
$$
\n
$$
\leq c \|u\|_{H^{q}} ((m-1) \|u\|_{L^{\infty}}^{m-2} \|u_{x}\|_{L^{\infty}} \|u\|_{H^{q}}^{3} + \|u\|_{L^{\infty}}^{m-2} \|u_{x}\|_{L^{\infty}}^{3} \|u\|_{H^{q}})
$$
\n
$$
+ \frac{m-1}{2} \|u\|_{L^{\infty}}^{m-2} \|u_{x}\|_{L^{\infty}}^{3} \|A^{q}u\|_{L^{2}}^{2}
$$
\n
$$
\leq c \|u\|_{L^{\infty}}^{m-2} \|u_{x}\|_{L^{\infty}}^{3} \|u\|_{H^{q}}^{2}.
$$

By the above inequalities, it follows from  $(3.7)$  $(3.7)$  $(3.7)$  that

$$
\frac{1}{2} \int_{\mathbb{R}} ((A^{q}u)^{2} + (A^{q}u_{x})^{2}) dx - \frac{1}{2} \int_{\mathbb{R}} ((A^{q}u_{0})^{2} + (A^{q}u_{0x})^{2}) dx
$$
\n
$$
\leq c \int_{0}^{t} \|u\|_{L^{\infty}}^{m} \|u_{x}\|_{L^{\infty}} (||u||_{H^{q}}^{2} + ||u||_{H^{q+1}}^{2}) + ||u||_{L^{\infty}}^{m-2} \|u_{x}\|_{L^{\infty}}^{3} ||u||_{H^{q}}^{2} d\tau. \tag{3.8}
$$

<span id="page-13-0"></span>Thus, we get  $(3.4)$  $(3.4)$  $(3.4)$ .

Applying the operator  $(1 - \partial_x^2)^{-1}$  by multiplying both sides of ([3.6](#page-12-0)) yields the equation

$$
u_t + u^{m+1} u_x = -\frac{m(b-m-1)}{2} \left(1 - \partial_x^2\right)^{-1} u^{m-1} (\partial_x u)^3
$$

$$
- \left(1 - \partial_x^2\right)^{-1} \partial_x \left(\frac{b}{m+2} u^{m+2} + \frac{3m+3-b}{2} u^m (\partial_x u)^2\right). \tag{3.9}
$$

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<span id="page-14-0"></span>Multiplying both sides of ([3.9](#page-13-0)) by  $(A^q u_t) A^q$  for  $q \in [0, s - 1]$  and integrating the resultant equation by parts give rise to

$$
\int_{\mathbb{R}} (A^{q} u_{t})^{2} dx + \int_{\mathbb{R}} (A^{q} u_{t}) A^{q} (u^{m+1} u_{x}) dx
$$
\n
$$
= -\frac{m(b-m-1)}{2} \int_{\mathbb{R}} (A^{q} u_{t}) (1 - \partial_{x}^{2})^{-1} A^{q} (u^{m-1} (\partial_{x} u)^{3}) dx
$$
\n
$$
- \int_{\mathbb{R}} (A^{q} u_{t}) (1 - \partial_{x}^{2})^{-1} A^{q} \partial_{x} \left( \frac{b}{m+2} u^{m+2} + \frac{3m+3-b}{2} u^{m} (\partial_{x} u)^{2} \right). (3.10)
$$

Using  $||u^{m+1}u_x||_{H^q} \le c||u^{m+2}||_{H^{q+1}} \le c||u||_{L^\infty}^{m+1}||u||_{H^{q+1}} \le c||u||_{H^1}^{m+1}||u||_{H^{q+1}}$ , we have

$$
\int_{\mathbb{R}} (A^{q} u_{t}) A^{q} (u^{m+1} u_{x}) dx \leq \|A^{q} u_{t}\|_{L^{2}} \|A^{q} (u^{m+1} u_{x})\|_{L^{2}} \leq c \|u_{t}\|_{H^{q}} \|u^{m+1} u_{x}\|_{H^{q}}
$$
  

$$
\leq c \|u_{t}\|_{H^{q}} \|u\|_{H^{1}}^{m+1} \|u\|_{H^{q+1}}.
$$

Since

$$
\int_{\mathbb{R}} \left(\Lambda^{q} u_{t}\right) \left(1-\partial_{x}^{2}\right)^{-1} \Lambda^{q} \left(u^{m-1}(\partial_{x} u)^{3}\right) \mathrm{d}x
$$
\n
$$
\leq \left\|\Lambda^{q} u_{t}\right\|_{L^{2}} \left(\int_{\mathbb{R}} \left(1+\xi^{2}\right)^{q} \left(\int_{\mathbb{R}} u^{\widehat{m-1} u_{x}}(\xi-\eta) \widehat{u_{x}}^{2}(\eta) \,\mathrm{d}\eta\right)^{2}\right)^{\frac{1}{2}},
$$

it follows from Young's inequality  $(\|f \star g\|_r \le \|f\|_p \|g\|_q, \frac{1}{p} + \frac{1}{q} = 1 + \frac{1}{r})$  and from the inequality  $(1 + \xi^2)^l \le c[(1 + (\xi - \eta)^2)^l + (1 + \eta^2)^l], l > 0$ , that

$$
\int_{\mathbb{R}} (A^{q} u_{t})(1 - \partial_{x}^{2})^{-1} A^{q} (u^{m-1}(\partial_{x} u)^{3}) dx
$$
\n
$$
\leq \|A^{q} u_{t}\|_{L^{2}} \Biggl( \int_{\mathbb{R}} c \Biggl( \int_{\mathbb{R}} \Biggl[ \bigl(1 + (\xi - \eta)^{2}\bigr)^{\frac{q}{2}} + \bigl(1 + \eta^{2}\bigr)^{\frac{q}{2}} \Biggr]
$$
\n
$$
\times u^{\widehat{m-1}} u_{x} (\xi - \eta) \widehat{u_{x}}^{2}(\eta) d\eta \Biggr)^{2} \Biggr)^{\frac{1}{2}}
$$
\n
$$
\leq c \|A^{q} u_{t}\|_{L^{2}} \Bigl( \|A^{\widehat{q}} u^{\widehat{m-1}} u_{x} \star \widehat{u_{x}}^{2}\bigr\|_{L^{2}} + \|u^{\widehat{m-1}} u_{x} \star \widehat{A^{q-1}} u_{x}^{2}\bigr\|_{L^{2}} \Bigr)
$$
\n
$$
\leq c \|A^{q} u_{t}\|_{L^{2}} \Bigl( \|A^{\widehat{q}} u^{\widehat{m-1}} u_{x}\|_{L^{2}} \|\widehat{u_{x}}^{2}\bigr\|_{L^{1}} + \|u^{\widehat{m-1}} u_{x}\|_{L^{2}} \|\widehat{A^{q-1}} u_{x}^{2}\bigr\|_{L^{1}} \Bigr)
$$
\n
$$
\leq c \|A^{q} u_{t}\|_{L^{2}} \Bigl( \|u^{\widehat{m-1}} u_{x}\|_{H^{q}} \|u_{x}\|_{L^{2}} + \|u^{\widehat{m-1}} u_{x}\|_{L^{2}} \|u_{x}\|_{H^{q-1}} \Bigr)
$$
\n
$$
\leq c \|u_{t}\|_{H^{q}} \|u\|_{L^{\infty}}^{m-1} \|u\|_{H^{1}}^{2} \|u\|_{H^{q+1}}.
$$

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On the right-hand side of  $(3.10)$  $(3.10)$  $(3.10)$ , we have

$$
\int_{\mathbb{R}} (A^{q} u_{t})(1 - \partial_{x}^{2})^{-1} A^{q} \partial_{x} \left( \frac{b}{m+2} u^{m+2} + \frac{3m+3-b}{2} u^{m} (\partial_{x} u)^{2} \right)
$$
\n
$$
\leq c \|u_{t}\|_{H^{q}} \left( \int_{\mathbb{R}} (1 + \xi^{2})^{q-1} d\xi
$$
\n
$$
\times \left( \int_{\mathbb{R}} \left[ \widehat{u^{m+1}}(\xi - \eta) \widehat{u}(\eta) + \widehat{u^{m} u_{x}}(\xi - \eta) u_{x}(\eta) \right] d\eta \right)^{2} \right)^{\frac{1}{2}}
$$
\n
$$
\leq c \|u_{t}\|_{H^{q}} \|u\|_{L^{\infty}}^{m} \|u\|_{H^{1}} \|u\|_{H^{q+1}}.
$$

Applying the Sobolev inequality  $||u||_{L^{\infty}} \le ||u||_{H^1}$  to the above three estimates, then, from  $(3.10)$  $(3.10)$  $(3.10)$  we find the inequality

$$
||u_t||_{H^q} \leq c||u||_{H^1}^{m+1}||u||_{H^{q+1}}
$$

<span id="page-15-0"></span>for a constant  $c > 0$ . This completes the proof of Lemma [3.4](#page-11-5).

For an arbitrary positive Sobolev exponent  $s > 0$ , we give the following lemma.

**Lemma 3.5** *For*  $u_0 \in H^s$  *with*  $s > 0$  *and*  $u_{\epsilon 0} = \phi_{\epsilon} \star u_0$ *, the following estimates hold for any*  $\epsilon$  *with*  $0 < \epsilon < \frac{1}{4}$ ,

$$
||u_{\epsilon 0x}||_{L^{\infty}} \le c||u_{0x}||_{L^{\infty}} \quad and \quad ||u_{\epsilon 0}||_{H^{q}} \le c, \quad if \, q \le s,
$$
 (3.11)

$$
||u_{\epsilon 0}||_{H^q} \le c\epsilon^{\frac{s-q}{4}}, \quad \text{if } q > s,
$$
\n
$$
(3.12)
$$

$$
||u_{\epsilon 0} - u_0||_{H^q} \le c\epsilon^{\frac{s-q}{4}}, \quad \text{if } q \le s,
$$
\n(3.13)

$$
||u_{\epsilon 0} - u_0||_{H^s} = o(1), \tag{3.14}
$$

<span id="page-15-2"></span>*where*  $c$  *is a constant independent of*  $\epsilon$ *.* 

*Proof* This proof is similar to that of Lemma 5 in Bona and Smith ([1975](#page-24-12)) and Lemma 4.5 in Lai and Wu [\(2011](#page-25-28)), so we omit it.  $\Box$ 

**Lemma 3.6** *For*  $s \geq 1$  *and*  $u_0 \in H^s$ , *there exists a constant c independent of*  $\epsilon$ , *such that the solution*  $u_{\epsilon}$  *of problem* [\(1.6\)](#page-4-0) *satisfies* 

$$
||u_{\epsilon}||_{H^1} \leq c \exp\left\{ (m+1)|m+2-b| \int_0^t ||u_{\epsilon}^m u_{\epsilon x}||_{L^{\infty}} d\tau \right\} \text{ for } t \in [0, T_{\epsilon}). \quad (3.15)
$$

*Proof* Using  $u_0 \in H^s$ , we know the  $u_{\epsilon 0} \in C^\infty$ . It follows from Theorem [1.1](#page-2-1) that  $u_{\epsilon}(x, t) \in C^{\infty}([0, T_{\epsilon}), H^{\infty})$ . Thus, all the assumptions in Lemma [3.4](#page-11-5) are valid. From

<span id="page-15-1"></span>

[\(3.3\)](#page-11-2) and ([3.11](#page-15-0)), we get

$$
\|u_{\epsilon}\|_{H^{1}}^{2} \leq \int_{\mathbb{R}} (u_{\epsilon}^{2} + u_{\epsilon x}^{2}) dx
$$
  
\n
$$
= \int_{\mathbb{R}} (u_{\epsilon 0}^{2} + u_{\epsilon 0x}^{2}) dx + (m+1)|m+2-b| \int_{0}^{t} \|u_{\epsilon}^{m} u_{\epsilon x}\|_{L^{\infty}} \|u_{\epsilon}\|_{H^{1}}^{2} d\tau
$$
  
\n
$$
\leq \|u_{\epsilon 0}\|_{H^{1}}^{2} + (m+1)|m+2-b| \int_{0}^{t} \|u_{\epsilon}^{m} u_{\epsilon x}\|_{L^{\infty}} \|u_{\epsilon}\|_{H^{1}}^{2} d\tau
$$
  
\n
$$
\leq c + (m+1)|m+2-b| \int_{0}^{t} \|u_{\epsilon}^{m} u_{\epsilon x}\|_{L^{\infty}} \|u_{\epsilon}\|_{H^{1}}^{2} d\tau.
$$

Using Gronwall's inequality, we can obtain the inequality  $(3.15)$  $(3.15)$  $(3.15)$ , which finishes the proof of Lemma  $3.6$ .

*Proof of Theorem [1.4](#page-4-1)* Using the notation  $u = u_{\epsilon}$  and differentiating ([3.9](#page-13-0)) with respect to *x* give rise to

<span id="page-16-0"></span>
$$
u_{xt} - \frac{b}{m+2}u^{m+2} - \frac{3m+1-b}{2}u^m(\partial_x u)^2 + u^{m+1}u_{xx} = G \tag{3.16}
$$

with  $G = -\frac{m(b-m-1)}{2}A^{-2}\partial_x(u^{m-1}u_x^3) - A^{-2}(\frac{b}{m+2}u^{m+2} + \frac{3m+3-b}{2}u^m u_x^2)$ .

Letting  $p > 0$  be an integer and multiplying [\(3.16\)](#page-16-0) by  $(u^m u_x)^{2p+1}$ , then integrating the resulting equation with respect to  $x$ , and using

$$
\int_{\mathbb{R}} u^{m+1} u_{xx} (u^m u_x)^{2p+1} dx = -\frac{m+1+m(2p+1)}{2p+2} \int_{\mathbb{R}} u^{m(2p+2)} (u_x)^{2p+3} dx,
$$

yield the equality

$$
\frac{1}{2p+2} \frac{d}{dt} \int_{\mathbb{R}} (u^m u_x)^{2p+2} dx - \frac{b}{m+2} \int_{\mathbb{R}} u^{m+2} (u^m u_x)^{2p+1} dx
$$
  
= 
$$
\int_{\mathbb{R}} G \cdot (u^m u_x)^{2p+1} dx
$$
  
+ 
$$
\left( \frac{m+1+m(2p+1)}{2p+2} + \frac{3m+1-b}{2} \right) \int_{\mathbb{R}} u^{m(2p+2)} (u_x)^{2p+3} dx.
$$

Applying the Hölder inequality, we get

$$
\frac{1}{2p+2} \frac{d}{dt} \int_{\mathbb{R}} (u^m u_x)^{2p+2} dx
$$
  
\n
$$
\leq \left| \frac{m+1}{2p+2} + \frac{3m+1-b}{2} \right| \|u^m u_x\|_{L^{\infty}} \int_{\mathbb{R}} |u^m u_x|^{2p+2} dx
$$

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$$
+ \left\{ \frac{b}{m+2} \left( \int_{\mathbb{R}} |u^{m+2}|^{2p+2} dx \right)^{\frac{1}{2p+2}} + \left( \int_{\mathbb{R}} |G|^{2p+2} dx \right)^{\frac{1}{2p+2}} \right\} \times \left( \int_{\mathbb{R}} |u^{m} u_{x}|^{2p+2} dx \right)^{\frac{2p+1}{2p+2}},
$$

that is

<span id="page-17-0"></span>
$$
\frac{d}{dt} \left( \int_{\mathbb{R}} (u^{m} u_{x})^{2p+2} dx \right)^{\frac{1}{2p+2}} \n\leq \left\{ \frac{b}{m+2} \left( \int_{\mathbb{R}} |u^{m+2}|^{2p+2} dx \right)^{\frac{1}{2p+2}} + \left( \int_{\mathbb{R}} |G|^{2p+2} dx \right)^{\frac{1}{2p+2}} \right\} \n+ \left| \frac{m+1}{2p+2} + \frac{3m+1-b}{2} \right| \|u^{m} u_{x} \|_{L^{\infty}} \left( \int_{\mathbb{R}} |u^{m} u_{x}|^{2p+2} dx \right)^{\frac{1}{2p+2}}.
$$

Since  $|| f ||_{L^p} \to || f ||_{L^\infty}$  as  $p \to \infty$  for any  $f \in L^\infty \cap L^2$ , integrating the above inequality with respect to *t* and taking the limit as  $p \rightarrow \infty$  result in the estimate

$$
\|u^m u_x\|_{L^\infty} \le \|u_0^m u_{0x}\|_{L^\infty} + c \int_0^t \left( \|u^{m+2}\|_{L^\infty} + \|G\|_{L^\infty} + \|u^m u_x\|_{L^\infty}^2 \right) \mathrm{d}\tau. \tag{3.17}
$$

Using the algebraic property of  $H^s$  with  $s > \frac{1}{2}$  and Lemma [3.6](#page-15-2) leads to

$$
\|u^{m+2}\|_{L^{\infty}} \le c \|u^{m+2}\|_{H^{\frac{1}{2}+}} \le c \|u\|_{H^1}^{m+2}
$$
  

$$
\le c \exp\left\{(m+2)(m+1)|m+2-b|\int_0^t \|u^m u_x\|_{L^{\infty}} d\tau\right\}, \qquad (3.18)
$$

and

$$
||G||_{L^{\infty}} = \left\|\frac{m(b-m-1)}{2}\Lambda^{-2}\partial_{x}(u^{m-1}u_{x}^{3})\right\|_{L^{\infty}}
$$
  
+  $\Lambda^{-2}\left(\frac{b}{m+2}u^{m+2} + \frac{3m+3-b}{2}u^{m}u_{x}^{2}\right)\right\|_{L^{\infty}}$   
 $\leq c\left(\left\|\Lambda^{-2}\partial_{x}(u^{m-1}u_{x}^{3})\right\|_{H^{\frac{1}{2}+}} + \left\|\Lambda^{-2}u^{m+2}\right\|_{H^{\frac{1}{2}+}} + \left\|\Lambda^{-2}u^{m}u_{x}^{2}\right\|_{H^{\frac{1}{2}+}}\right)$   
 $\leq c\left(\left\|u^{m-1}u_{x}^{3}\right\|_{H^{0}} + \left\|u^{m+2}\right\|_{H^{0}} + \left\|u^{m}u_{x}^{2}\right\|_{H^{0}}\right)$   
 $\leq c\left(\left\|u^{m-1}u_{x}^{2}\right\|_{L^{\infty}}\|u\|_{H^{1}} + \left\|u\right\|_{H^{1}}^{m+2} + \left\|u^{m}u_{x}\right\|_{L^{\infty}}\|u\|_{H^{1}}\right).$ 

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<span id="page-18-0"></span>Using Lemma [3.6](#page-15-2) again

$$
\int_0^t \|G\|_{L^\infty} d\tau
$$
\n
$$
\leq c \int_0^t \left( \|u^m u_x\|_{L^\infty}^2 \|u\|_{H^1} + \|u\|_{H^1}^{m+2} + \|u^m u_x\|_{L^\infty} \|u\|_{H^1} \right) d\tau
$$
\n
$$
\leq c \int_0^t \left\{ \left( \|u^m u_x\|_{L^\infty}^2 + 1 + \|u^m u_x\|_{L^\infty} \right) \exp\left(c \int_0^\tau \|u^m u_x\|_{L^\infty} d\xi\right) \right\} d\tau, \quad (3.19)
$$

where *c* is independent of  $\epsilon$ . Applying [\(3.11\)](#page-15-0), [\(3.17\)](#page-17-0)–([3.19\)](#page-18-0) and writing out the subscript  $\epsilon$  of  $u$ , we obtain

$$
\|u_{\epsilon}^{m} u_{\epsilon x}\|_{L^{\infty}} \leq \|u_{0}^{m} u_{0x}\|_{L^{\infty}}
$$
  
+  $c \int_{0}^{t} \left\{ (\|u_{\epsilon}^{m} u_{\epsilon x}\|_{L^{\infty}}^{2} + 2 + \|u_{\epsilon}^{m} u_{\epsilon x}\|_{L^{\infty}}) \right\}$   
 $\times \exp\left(c \int_{0}^{\tau} \|u_{\epsilon}^{m} u_{\epsilon x}\|_{L^{\infty}} d\xi\right) + \|u_{\epsilon}^{m} u_{\epsilon x}\|_{L^{\infty}}^{2} \right\} d\tau.$ 

It follows from the contraction mapping principle that there is a *T >* 0 such that the equation

$$
\|W\|_{L^{\infty}} = \|u_0^m u_{0x}\|_{L^{\infty}}
$$
  
+  $c \int_0^t \left\{ (\|W\|_{L^{\infty}}^2 + 2 + \|W\|_{L^{\infty}}) \exp\left(c \int_0^{\tau} \|W\|_{L^{\infty}} d\xi\right) + \|W\|_{L^{\infty}}^2 \right\} d\tau$ 

has a unique solution  $W \in C[0, T]$ , and from the above inequality, we know that the variable *T* only depends on *c* and  $||u_0^m u_{0x}||_{L^{\infty}}$ . Using the theorem on p. 51 in Li and Olver ([2000\)](#page-25-29) or Theorem II in Sect. 1.1 in Walter ([1970\)](#page-26-12) one derives that there are constants  $T > 0$  and  $c > 0$  independent of  $\epsilon$  such that  $||u_{\epsilon}^m u_{\epsilon x}||_{L^{\infty}} \leq W(t)$  for arbitrary  $t \in [0, T]$ , which leads to the conclusion of Theorem [1.4.](#page-4-1)

*Remark 3.1* Under the assumptions of Theorem [1.4,](#page-4-1) there exist two constants *T* and *c*, both independent of  $\epsilon$ , such that the solution  $u_{\epsilon}$  of problem [\(1.6\)](#page-4-0) satisfies  $u_{\epsilon}^{m} u_{\epsilon x} \leq c$  for any  $t \in [0, T]$ . This states that, in Lemma [3.6](#page-15-2), there exists a *T* independent of  $\epsilon$  such that ([3.15](#page-15-1)) holds.

Using Theorem [1.4,](#page-4-1) Lemma [3.6](#page-15-2), [\(3.4](#page-12-2)), [\(3.5\)](#page-12-3), the notation  $u_{\epsilon} = u$  and Gronwall's inequality results in the inequalities

$$
||u_{\epsilon}||_{H^q} \leq c \exp\left\{c \int_0^t ||u^m u_x||_{L^{\infty}} d\tau\right\} \leq c,
$$

and

$$
||u_{\epsilon t}||_{H^r} \le ||u_{\epsilon}||_{H^{r+1}} ||u_{\epsilon}||_{H^1}^{m+1} \le c,
$$

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where  $q \in (0, s]$ ,  $r \in (0, s - 1]$  and  $t \in [0, T)$ . It follows from Aubin's compactness theorem that there is a subsequence of  $\{u_{\epsilon}\}\$ , denoted by  $\{u_{\epsilon_n}\}\$ , such that  $\{u_{\epsilon_n}\}\$ and their temporal derivatives  ${u_{\epsilon_n t}}$  are weakly convergent to a function  $u(x, t)$  and its derivative  $u_t$  in  $L^2([0, T], H^s)$  and  $L^2([0, T], H^{s-1})$ , respectively. Moreover, for any real number  $R_1 > 0$ ,  $\{u_{\epsilon_n}\}\$ is strongly convergent to the function *u* in the space  $L^2([0, T], H^q(-R_1, R_1))$  for  $q \in (0, s]$  and  $\{u_{\epsilon_n t}\}\$  strongly converges to  $u_t$  in the space  $L^2([0, T], H^r(-R_1, R_1))$  for  $r \in (0, s - 1]$ .

*Proof of Theorem [1.5](#page-4-3)* From Theorem [1.4](#page-4-1), we know that  $\{u_{\epsilon_n}^m u_{\epsilon_n x}\} (\epsilon_n \to 0)$  is bounded in the space  $L^{\infty}$ . Thus, the sequences  $u_{\epsilon_n}$ ,  $u_{\epsilon_n}$ ,  $u_{\epsilon_n}^2$ , and  $u_{\epsilon_n}^3$  are weakly convergent to *u*, *u<sub>x</sub>*, *u*<sub>x</sub><sup>2</sup>, and *u*<sub>x</sub><sup>3</sup>, respectively, in the space  $L^2([0, T], H^r(-R_1, R_1))$ for any  $r \in (0, s - 1]$ . Hence, *u* satisfies the equation

$$
-\int_0^T \int_{\mathbb{R}} u(g_t - g_{xx}) dx dt
$$
  
= 
$$
\int_0^T \int_{\mathbb{R}} \left[ \left( \frac{b+1}{m+2} u^{m+2} - \frac{b-3(m+1)}{2} u^m u_x^2 \right) g_x - \frac{1}{m+2} u^{m+2} g_{xxx} + \frac{m[b-(m+1)]}{2} u^{m-1} u_x^3 g \right] dx dt
$$

<span id="page-19-0"></span>with  $u(x, 0) = u_0(x)$  and  $g \in C_0^{\infty}$ . Since  $X = L^1([0, T] \times \mathbb{R})$  is a separable Banach space and  $u_{\epsilon_n}^m u_{\epsilon_n x}$  is a bounded sequence in the dual space  $X^* = L^\infty([0, T] \times \mathbb{R})$ of *X*, there exists a subsequence of  $u_{\epsilon_n}^m u_{\epsilon_n x}$ , still denoted by  $u_{\epsilon_n}^m u_{\epsilon_n x}$ , weakly star convergent to a function *v* in  $L^{\infty}([0, T] \times \mathbb{R})$ . As  $u_{\epsilon_n}^m u_{\epsilon_n}$  weakly converges to  $u^m u_x$ in  $L^2([0, T] \times \mathbb{R})$ , we have the result that  $u^m u_x = v$  almost everywhere. Thus, we obtain  $u^m u_x \in L^\infty([0, T] \times \mathbb{R})$ .  $□$ 

## **4 Global Weak Solution and Peakon Solution**

The main purpose of this section is to show that there exists a unique global weak solution to the problem  $(1.1)$  provided that the initial data  $y_0$  satisfies certain sign conditions. In fact, the problem  $(1.1)$  $(1.1)$  $(1.1)$  can be rewritten as

<span id="page-19-1"></span>
$$
\begin{cases} u_t + u^{m+1}u_x + F(u) = 0, & t > 0, \ x \in \mathbb{R}, \\ u(x, 0) = u_0(x), & x \in \mathbb{R}, \end{cases}
$$
(4.1)

where

$$
F(u) = \frac{m(b-m-1)}{2} \left(1 - \partial_x^2\right)^{-1} u^{m-1} (\partial_x u)^3 + \left(1 - \partial_x^2\right)^{-1} \partial_x \left(\frac{b}{m+2} u^{m+2} + \frac{3m+3-b}{2} u^m (\partial_x u)^2\right). \tag{4.2}
$$

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<span id="page-20-1"></span>**Definition 4.1** Let  $u_0 \in H^1$ . If *u* belongs to  $L^{\infty}_{loc}([0, T); H^1)$  and satisfies the identity

$$
\int_0^T \int_{\mathbb{R}} \left( u \psi_t + \left( u^{m+1} u_x + F(u) \right) \psi \right) dx dt + \int_{\mathbb{R}} u_0(x) \psi(0, x) = 0
$$

for all  $\psi \in C_0^{\infty}([0, T) \times \mathbb{R})$ , then *u* is called a weak solution to [\(4.1\)](#page-19-1). If *u* is a weak solution on [0, T) for every  $T > 0$ , then it is called a global weak solution to [\(4.1\)](#page-19-1).

### **Proposition 4.1**

- (i) *Every strong solution is a weak solution*.
- (ii) *If u is a weak solution and u* ∈ *C*([0, *T*); *H*<sup>*s*</sup>) ∩ ([0, *T*); *H*<sup>*s*−1</sup>) *with s* > 3/2, *then it is a strong solution*.

*Proof* The proof is similar to that of Proposition 4.1 in Constantin and Escher ([1998\)](#page-24-13), Wu and Yin  $(2011)$  $(2011)$ , so we omit it.  $\square$ 

Next, we prove that the peakon solitary wave  $u(t, x) = c^{\frac{1}{m+1}} e^{-|x - ct - x_0|}, c > 0$ , is a global weak solution to Eq.  $(1.1)$  $(1.1)$  $(1.1)$ .

*Proof of Theorem [1.6](#page-4-2)* Without loss of generality, we set  $x_0 = 0$ . Note that  $u_t =$  $sgn(x - ct)cu, u_x = -sgn(x - ct)u$ ; then

<span id="page-20-0"></span>
$$
-u_t + u^{m+1}u_x = -(cu + u^{m+2})\operatorname{sgn}(x - ct). \tag{4.3}
$$

On the other hand, by a simple computation, we get

$$
F(u) = \int_{\mathbb{R}} \frac{m(b-m-1)}{4} e^{-|x-y|} u^{m-1} (\partial_x u)^3(t, y) dy
$$
  
\n
$$
- sgn(x-y) \int_{\mathbb{R}} \frac{1}{2} e^{-|x-y|} \left( \frac{b}{m+2} u^{m+2}(t, y) + \frac{3m+3-b}{2} u^m (\partial_x u)^2(t, y) \right) dy
$$
  
\n
$$
= - sgn(x-ct) \int_{\mathbb{R}} \frac{m(b-m-1)}{4} e^{-|x-y|} u^{m+2}(t, y) dy
$$
  
\n
$$
- sgn(x-y) \int_{\mathbb{R}} \frac{1}{2} e^{-|x-y|} \left( \frac{b}{m+2} u^{m+2}(t, y) + \frac{3m+3-b}{2} u^{m+2}(t, y) \right) dy
$$
  
\n
$$
= - \int_{-\infty}^{x} \frac{1}{2} e^{y-x} \left( sgn(y-ct) \frac{m(b-m-1)}{2} + \frac{b}{m+2} + \frac{3m+3-b}{2} \right) u^{m+2}(t, y) dy
$$

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$$
+\int_{x}^{\infty} \frac{1}{2} e^{x-y} \left(-\operatorname{sgn}(y-ct) \frac{m(b-m-1)}{2} + \frac{b}{m+2} + \frac{3m+3-b}{2} \right) u^{m+2}(t, y) dy.
$$

If  $x < ct$ , we deduce

$$
2F(u) = a_1 \int_{\infty}^{x} e^{y-x} u^{m+2}(t, y) dy + a_2 \int_{x}^{ct} e^{x-y} u^{m+2}(t, y) dy
$$
  
\n
$$
- a_1 \int_{ct}^{\infty} e^{x-y} u^{m+2}(t, y) dy
$$
  
\n
$$
= c^{\frac{m+2}{m+1}} \left( a_1 \int_{\infty}^{x} e^{y-x} e^{(m+2)(y-ct)} dy + a_2 \int_{x}^{ct} e^{x-y} e^{(m+2)(y-ct)} dy \right)
$$
  
\n
$$
- a_1 \int_{ct}^{\infty} e^{x-y} e^{(m+2)(-y+ct)} dy \right)
$$
  
\n
$$
= c^{\frac{m+2}{m+1}} \left( \frac{a_1}{m+3} e^{(m+3)y-x-ct(m+2)} \Big|_{\infty}^{x} + \frac{a_2}{m+1} e^{(m+1)y+x-ct(m+2)} \Big|_{x}^{ct}
$$
  
\n
$$
+ \frac{a_1}{(m+3)} e^{-(m+3)y+x+ct(m+2)} \Big|_{ct}^{\infty} \right)
$$
  
\n
$$
= c^{\frac{m+2}{m+1}} \left( \frac{a_1}{m+3} e^{(m+2)(x-ct)} + \frac{a_2}{m+1} (e^{x-ct} - e^{(m+2)(x-ct)}) \right)
$$
  
\n
$$
- \frac{a_1}{(m+3)} e^{x-ct} \right)
$$
  
\n
$$
= c^{\frac{m+2}{m+1}} \left[ \left( \frac{a_1}{m+3} - \frac{a_2}{m+1} \right) e^{(m+2)(x-ct)} + \left( \frac{a_2}{m+1} - \frac{a_1}{m+3} \right) e^{x-ct} \right]
$$
  
\n
$$
= -2c^{\frac{m+2}{m+1}} \left[ e^{(m+2)(x-ct)} + e^{x-ct} \right]
$$
  
\n
$$
= -2c u - 2u^{m+2}
$$

where

$$
a_1 = \frac{m(b-m-1)}{2} - \frac{b}{m+2} - \frac{3m+3-b}{2}
$$
  
= 
$$
\frac{bm(m+3) - (m+1)(m+2)(m+3)}{2(m+2)},
$$
  

$$
a_2 = \frac{m(b-m-1)}{2} + \frac{b}{m+2} + \frac{3m+3-b}{2}
$$
  
= 
$$
\frac{bm(m+1) - (m+1)(m+2)(m-3)}{2(m+2)},
$$

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and then

<span id="page-22-0"></span>
$$
F(u) = -cu - u^{m+2} \quad \text{if } x < ct.
$$

By a similar computation, we have

$$
F(u) = cu + u^{m+2} \quad \text{if } x > ct,
$$

therefore

$$
F(u) = (cu + u^{m+2}) \text{sgn}(x - ct).
$$
 (4.4)

Combining  $(4.3)$  with  $(4.4)$ , we obtain

$$
\int_0^T \int_{\mathbb{R}} \left( u \psi_t + \left( u^{m+1} u_x + F(u) \right) \psi \right) dx dt + \int_R u_0 \psi(0, x) dx
$$
  
= 
$$
\int_0^T \int_{\mathbb{R}} \left( -u_t + u^{m+1} u_x + F(u) \right) \varphi dx dt = 0.
$$

Thus, by Definition [4.1](#page-20-1), the peakon solitary wave  $u(t, x) = c^{\frac{1}{m+1}} e^{-|x - ct - x_0|}$  is a global weak solution to Eq.  $(1.1)$  $(1.1)$  $(1.1)$ .

*Proof of Theorem [1.7](#page-5-0)* We now derive the multi-peakon solutions of Eq. ([1.1](#page-1-0)). We assume that Eq.  $(1.1)$  has an *N*-peakon solution of the form  $(1.7)$  $(1.7)$  $(1.7)$ . It follows from Definition [4.1](#page-19-1) that for any  $\psi(t, x) \in C_c^{\infty}([0, \infty) \times \mathbb{R})$  the solution (4.1) satisfies

<span id="page-22-2"></span>
$$
\int_0^\infty \int_{\mathbb{R}} \left[ u_t + u^{m+1} u_x + \frac{m(b-m-1)}{2} \left( 1 - \partial_x^2 \right)^{-1} u^{m-1} (\partial_x u)^3 + \left( 1 - \partial_x^2 \right)^{-1} \partial_x \left( \frac{b}{m+2} u^{m+2} + \frac{3m+3-b}{2} u^m (\partial_x u)^2 \right) \right] \varphi(x) dx dt = 0, \quad (4.5)
$$

which is equivalent to the following equation:

$$
\int_0^\infty \int_{\mathbb{R}} \left[ u_t(\phi - \phi_{xx}) + \frac{1}{m+2} u^{m+2} \phi_{xxx} + \frac{m(b-m-1)}{2} u^{m-1} (\partial_x u)^3 \phi - \phi_x \left( \frac{b+1}{m+2} u^{m+2} + \frac{3m+3-b}{2} u^m (\partial_x u)^2 \right) \right] dx dt = 0,
$$
\n(4.6)

where  $\varphi = \phi - \phi_{xx}, \phi(t, x) \in C_c^{\infty}([0, \infty) \times \mathbb{R})$ . A straightforward computation gives

<span id="page-22-1"></span>
$$
\int_0^\infty \int_{\mathbb{R}} u_t(\phi - \phi_{xx}) \, dx \, dt = \sum_{i=1}^N \int_0^\infty \int_{-\infty}^{q_j(t)} (p'_j - p_j q'_j) e^{x - q_j} (\phi - \phi_{xx}) \, dx \, dt
$$

$$
+ \sum_{i=1}^N \int_0^\infty \int_{q_j(t)}^\infty (p'_j + p_j q'_j) e^{-(x - q_j)} (\phi - \phi_{xx}) \, dx \, dt
$$

$$
= 2 \int_0^\infty \sum_{i=1}^N (p'_j \phi(q_j) + p_j q'_j \phi_x(q_j)) \, dt, \tag{4.7}
$$

and

<span id="page-23-0"></span>
$$
\frac{1}{m+2} \int_{\mathbb{R}} u^{m+2} \phi_{xxx} dx
$$
\n
$$
= -\left(\int_{-\infty}^{q_1} + \sum_{j=1}^{N-1} \int_{q_j}^{q_{j+1}} + \int_{q_N}^{\infty}\right) u^{m+1} u_x \phi_{xx} dx
$$
\n
$$
= -u^{m+1} u_x \phi_x \left(\Big|_{-\infty}^{q_1} + \sum_{j=1}^{N-1} \Big|_{q_j}^{q_{j+1}} + \Big|_{q_N}^{\infty}\right) + \int_{\mathbb{R}} \left((m+1)u^m u_x^2 + u^{m+1} u_{xx}\right) \phi_x dx
$$
\n
$$
= \Big[-u^{m+1} u_x \phi_x + \left((m+1)u^m u_x^2 + u^{m+2}\right) \phi\Big] \left(\Big|_{-\infty}^{q_1} + \sum_{j=1}^{N-1} \Big|_{q_j}^{q_{j+1}} + \Big|_{q_N}^{\infty}\right)
$$
\n
$$
- \int_{\mathbb{R}} \left(m(m+1)u^{m-1} u_x^3 + 2(m+1)u^{m+1} u_x + (m+2)u^{m+1} u_x\right) \phi dx \qquad (4.8)
$$

and

<span id="page-23-1"></span>
$$
-\int_{\mathbb{R}} \left( \frac{b+1}{m+2} u^{m+2} + \frac{3m+3-b}{2} u^m (\partial_x u)^2 \right) \phi_x dx
$$
  
= 
$$
-\left[ \left( \frac{b+1}{m+2} u^{m+2} + \frac{3m+3-b}{2} u^m u_x^2 \right) \phi \right] \left( \Big|_{-\infty}^{q_1} + \sum_{j=1}^{N-1} \Big|_{q_j}^{q_{j+1}} + \Big|_{q_N}^{\infty} \right)
$$

$$
+\int_{\mathbb{R}} \left( (b+1) u^{m+1} u_x + (3m+3-b) u^{m+1} u_x \right. \\ + \frac{m(3m+3-b)}{2} u^{m-1} u_x^3 \right) dx.
$$
(4.9)

Thus, combining  $(4.8)$  with  $(4.9)$  $(4.9)$  $(4.9)$ , we get

$$
\int_{\mathbb{R}} \left[ \frac{1}{m+2} u^{m+2} \phi_{xxx} + \frac{m(b-m-1)}{2} u^{m-1} (\partial_x u)^3 \phi \right. \left. - \phi_x \left( \frac{b+1}{m+2} u^{m+2} + \frac{3m+3-b}{2} u^m (\partial_x u)^2 \right) \right] dx \n= \left( -u^{m+1} u_x \phi_x + \frac{b-m-1}{2} u^m u_x^2 \phi \right) \left( \Big|_{-\infty}^{q_1} + \sum_{j=1}^{N-1} \Big|_{q_j}^{q_{j+1}} + \Big|_{q_N}^{\infty} \right) \n= -2 \sum_{j=1}^N \Bigg[ p_j \left( \sum_{i=1}^N p_i e^{-|q_j - q_i|} \right)^{m+1} \phi_x(q_j) \Bigg]
$$

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<span id="page-24-14"></span>
$$
-2(b-m-1)\sum_{j=1}^{N} \left[ p_j \left( \sum_{i=1}^{N} p_i e^{-|q_j - q_i|} \right)^m \right]
$$
  
 
$$
\times \left( \sum_{i=1}^{N} p_i \operatorname{sgn}(q_j - q_i) e^{-|q_j - q_i|} \right) \phi(q_j) \Bigg].
$$
 (4.10)

Substituting  $(4.7)$  $(4.7)$  $(4.7)$ ,  $(4.10)$  $(4.10)$  $(4.10)$  into  $(4.6)$ , we obtain the following system:

$$
p'_{j} = \left(\sum_{i=1}^{N} p_{i} e^{-|q_{j}-q_{i}(t)|}\right)^{m+1},
$$
  
\n
$$
q'_{j} = (b-m-1)q_{j} \left(\sum_{i=1}^{N} p_{i} e^{-|q_{j}-q_{i}|}\right)^{m} \left(\sum_{i=1}^{N} p_{i} \operatorname{sgn}(q_{j}-q_{i}) e^{-|q_{j}-q_{i}|}\right)
$$
\n(4.11)

this leads to the conclusion of Theorem [1.7.](#page-5-0)  $\Box$ 

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