

Correlation between ^{18}F -FDG uptake on PET/CT and prognostic factors in triple-negative breast cancer

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Received: 3 November 2014 / Revised: 18 March 2015 / Accepted: 23 March 2015 / Published online: 23 April 2015
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Abstract

Objectives The purpose of this study was to investigate whether a correlation exists between ^{18}F -fluorodeoxyglucose (FDG) uptake and prognostic factors in triple-negative breast cancer (TNBC).

Methods Between January 2009 and December 2013, 103 patients (mean age, 50.6 years) with primary TNBC (mean, 2.6 cm; range, 1.0–6.5 cm) underwent ^{18}F -FDG PET/CT for initial staging. Correlations between maximum standardized uptake value (SUV_{max}) on PET/CT and prognostic factors including tumour size, nodal status, histological grade, Ki-67 proliferation index, tumour suppressor p53, and ‘basal-like’ markers (epidermal growth factor receptor and CK 5/6) were assessed.

Results The mean SUV_{max} of the 103 tumours was 10.94 ± 5.25 (range: 2–32.8). There was a positive correlation between SUV_{max} and Ki-67 (Spearman’s $\rho=0.29$, $P=0.003$) and tumour size (Spearman’s $\rho=0.27$, $P=0.006$), whereas this relationship was not observed in the nodal status, histological grade, p53 status and ‘basal-like’ phenotypes. In a

multivariate regression analysis, Ki-67 ($P<0.001$) and tumour size ($P=0.009$) were significantly associated with SUV_{max} in TNBCs.

Conclusions Increased ^{18}F -FDG uptake on PET/CT was correlated with a high Ki-67 proliferation index and larger tumour size in TNBC. These results suggest a potential role of ^{18}F -FDG PET/CT in identifying TNBC with more aggressive behaviour.

Key points

- A wide range of FDG uptake reflected heterogeneity of cancer metabolism.
- FDG uptake was correlated with the Ki-67 proliferation index in TNBC.
- FDG uptake was correlated with tumour size in TNBC.
- FDG uptake was not correlated with ‘basal-like’ phenotype.

Keywords Triple-negative breast cancer · ^{18}F -FDG PET/CT · Immunohistochemistry · Ki-67 proliferation index · Cancer metabolism

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Introduction

Triple-negative breast cancer (TNBC), which is defined by a lack of oestrogen receptor (ER) and progesterone receptor (PR) expression, as well as a lack of human epidermal growth factor receptor-2 (HER2) amplification, represents approximately 15–20 % of invasive breast cancers and is associated with higher rates of relapse and worse overall survival than ER-positive, PR-positive and HER2-negative breast cancer [1–3]. The reasons for this unfavourable prognosis include the aggressive nature of the disease and the absence of effective targeted therapy [4]. TNBC is a heterogeneous disease, and the identification of biomarkers to reliably select high- and low- risk subsets of patients at the time of surgery is

important for treatment decision-making [4, 5]. In addition to tumour size, axillary lymph node status, histological grade, immunohistochemically defined molecular markers, such as the Ki-67 proliferation index, the tumour suppressor p53, and basal-like phenotype markers [e.g., epidermal growth factor receptor (EGFR) and cytokeratin (CK5/6)] have been reported to be prognostic factors in TNBC [5]. A basal-like phenotype is common in breast cancers in young women or in BRCA1 mutation carriers [6].

In breast cancer patients, ^{18}F -fluorodeoxyglucose (FDG) PET/CT is a valuable tool for the initial staging or restaging of recurrence and for monitoring the response to chemotherapy [7–9]. Previous studies have correlated ^{18}F -FDG uptake expressed as the maximum standardized uptake value (SUV_{max}) with tumour size, histological grade or hormonal receptor expression status, all of which are important prognostic indicators for long-term survival in breast cancer patients [10]. Several studies have investigated the correlation between tumour ^{18}F -FDG uptake and breast cancer subtypes [11–13]. TNBCs with aggressive biology are associated with higher SUV_{max} than ER-positive or HER2-positive breast cancers. Recent data suggest that ^{18}F -FDG PET/CT in TNBC can help predict a patient's response to chemotherapy and a risk of early relapse [14]. In a study of 30 patients with TNBC, the 3-year disease-free survival rates were 90.9 % for patients with a tumour of $\text{SUV}_{\text{max}} < 8.6$ and 42.9 % for patients with a tumour of $\text{SUV}_{\text{max}} > 8.6$ ($P < 0.002$); high SUV_{max} was the only significant independent prognostic factor ($P < 0.047$) [15]. However, very few studies have investigated the association between molecular markers and ^{18}F -FDG uptake in TNBC [12]. There is a need for imaging biomarkers that can identify TNBCs with more aggressive biological behaviour and direct cancer therapy for clinical trials [16, 17].

Therefore, the purpose of this study was to investigate whether a correlation exists between quantitative ^{18}F -FDG uptake on PET/CT expressed as SUV_{max} and prognostic factors in operable primary TNBCs.

Materials and methods

Patient selection

The institutional review board of our hospital approved this retrospective study; the requirement for informed consent was waived. Between January 2009 and December 2013, a consecutive series of 1194 patients with newly diagnosed invasive breast cancer who had not received neoadjuvant chemotherapy underwent whole-body ^{18}F -FDG PET/CT for initial staging followed by surgical treatment. We reviewed the medical records and pathology reports of 186 patients who had been surgically diagnosed with TNBC during the study period. We excluded 36 patients who underwent whole-body ^{18}F -FDG

PET/CT on a different PET/CT system, two patients who had undergone previous excisional biopsy, 21 patients with an invasive tumour size of less than 1 cm because of partial volume effects, and 24 patients who lacked available data on immunohistochemical profiles of their tumours. In total, 103 index breast cancers in 103 female patients (mean age, 50.6 years) were included in this study. The size of tumours based on histopathology ranged from 1.0–6.5 cm (mean, 2.6 cm).

PET/CT

Before intravenous administration of ^{18}F -FDG (5.2 MBq/kg of body weight), the patients were instructed to fast for at least 4 hours to ensure a serum glucose level of less than 7.8 mmol/L. One hour after the intravenous administration of ^{18}F -FDG, images from the base of the skull to the proximal thigh were acquired using commercially available PET/CT systems (Biograph, Siemens Medical Solutions, Hoffmann Estates, IL, USA) in 3D mode. During image acquisition, CT images were obtained first for attenuation correction and anatomical correlation without the use of intravenous contrast media (tube voltage, 120 kVp; current intensity, 50–160 mAs; transaxial FOV, 56.5 cm; axial FOV, 18 cm). Emission data were acquired for 2 min per bed. PET images were reconstructed using an iterative algorithm with two iterations, 21 subsets, a matrix size of 168×168 , a 60.5-cm transaxial field of view and a 21.6-cm axial field of view. Specifically, the ordered-subset expectation maximization (OSEM) algorithm was used.

PET, CT and fused PET/CT images were generated and then reviewed on a computer workstation. All PET/CT images were interpreted by two institutional nuclear medicine physicians (10 years' and 8 years' experience, respectively, in PET/CT imaging) individually as a standard-of-care examination. For the quantitative analysis of ^{18}F -FDG uptake, a region of interest (ROI) was placed over the most intense area of ^{18}F -FDG accumulation for each patient. The SUV was calculated as follows:

$\text{SUV} = \text{region's radioactivity concentration (Bq/mL)} / \text{injected dose (Bq)/patient's weight (g)}$. The SUV_{max} , which was defined as the peak SUV in the pixel with the highest count within the ROI, was measured and recorded to determine the focal areas of uptake.

Histological evaluation

Mastectomy ($n=25$) or breast-conserving surgery ($n=78$) was performed for all breast cancers. Tumour size, histological grade, lymph node involvement status, and the presence of ductal carcinoma in situ (DCIS) were determined from the surgical specimens. The expression of ER, PR, HER2, Ki-67, p53, EGFR and cytokeratin (CK) 5/6 was evaluated using immunohistochemical (IHC) analysis as part of the routine

pathological assessment at our institution. All primary antibodies were monoclonal antibodies: ER (1:100, 1D5; Dako, Glostrup, Denmark), PR (1:200, PgR636; Dako), c-erbB2 (1:200, CB11; Leica Microsystems, Berlin, Germany), Ki-67 (1:300, MIB-1; Dako), p53 (1:500, DO-7; Dako), EGFR (1:40, 2-18c9; Dako) and CK 5/6 (1:50, D5/16B4; Dako).

Positivity for ER and PR was defined as the presence of 1 % or more positively stained nuclei in ten high-power fields [18]. The intensity of HER2 staining was scored as 0, 1+, 2+ or 3+. Tumours with a score of 3+ were classified as HER2-positive, and tumours with a score of 0 or 1+ were classified as HER2-negative. Gene amplification using fluorescence in situ hybridization (FISH) was used to determine HER2 status in tumours with a score of 2+ ($n=9$). HER2 expression was considered positive if the ratio of HER2 gene copies to chromosome 17 signals was greater than 2.2 [19]. Based on immunohistochemistry or FISH, ER-negative, PR-negative and HER2-negative tumours were classified as triple-negative. The Ki-67 of each case was evaluated based on the percentage of Ki-67-positive cells among at least 200 tumour cells [20]. The percentage of tumour cells with nuclear staining for p53 was graded semi-quantitatively: 0 %, 1–25 %, 26–50 %, 51–75 % or >75 %. For statistical analysis, p53 expression was considered as negative if ≤ 25 % of tumour cells were stained and as positive if >25 % of tumour cells were stained [21]. Cases were considered EGFR-positive or CK 5/6-positive if any cytoplasmic and/or membranous staining of the tumour cells was observed [22].

Data and statistical analysis

All cases were assigned to one of two groups according to the dichotomized clinicopathological variables and prognostic markers: age (≤ 50 years vs. >50 years), tumour size (≤ 2 cm vs. >2 cm), axillary nodal status (negative vs. positive metastasis), histological grade (grades 1 and 2 vs. grade 3), the presence of associated DCIS (negative vs. positive), the Ki-67 proliferation index (≤ 20 % vs. >20 %) and the p53 status (negative vs. positive). TNBCs were categorised as ‘basal’ (positive for EGFR and/or CK 5/6) or ‘non-basal’ (negative for EGFR and CK 5/6) [22, 23]. Characteristics of the ‘basal’ and ‘non-basal’ tumours were compared using the Chi-squared test and Fisher’s exact test.

The SUV_{max} values were not normally distributed; therefore, the distributions of the SUV_{max} values between groups according to the prognostic factors were compared using the nonparametric Wilcoxon rank-sum test. We used linear regression analysis to evaluate the association between the SUV_{max} and the prognostic markers. Variables with $P < 0.05$ in the univariate analysis were applied to a multivariate analysis to determine which variables were independently associated with SUV_{max} . The correlations among SUV_{max} , tumour size (cm) and Ki-67 (%) were assessed using Spearman correlation

analysis. The data were analysed using statistical software (SAS version 9.2; SAS Institute, Cary, NC, USA). Statistical significance was defined as $P < 0.05$.

Results

Tumour characteristics

The mean size of the invasive tumours was 2.6 ± 1.0 cm (range: 1.0–6.5 cm); 35 patients had a pT1 (≤ 2 cm), 65 patients had a pT2 (>2 cm but ≤ 5 cm) and three patients had a pT3 (>5 cm) tumour. Positive axillary lymph nodes were detected in 23 patients (overall incidence of 22.3 %). The stage distribution was 30 IA, 52 IIA, 15 IIB, 5 IIIA, and 1 IIIB; the histological cancer types were 100 invasive ductal carcinomas of the usual type, one mucinous carcinoma, one metaplastic carcinoma and one adenoid cystic carcinoma; and the histological grades were grade 1 ($n=2$, 1.9 %), grade 2 ($n=7$, 6.8 %) and grade 3 ($n=94$, 91.3 %). Sixty-four patients had a DCIS component (62.1 %). Eighty patients (77.7 %) had low Ki-67 (≤ 20 %) scores and 23 patients (22.3 %) had high Ki-67 (>20 %) scores. P53 was positive in 57 patients (55.3 %). EGFR and CK5/6 were positive in 35 (34 %) and 41 (39.8 %) patients, respectively.

The ‘basal’ group accounted for 56.3 % ($n=58$) of the total 103 TNBCs. The ‘basal’ and ‘non-basal’ subgroups were similar in terms of mean age at diagnosis (51.7 ± 11.3 vs. 49.2 ± 8.4 years), invasive tumour size (2.6 ± 1.0 vs. 2.7 ± 1.0 cm), lymph node involvement status (22.4 % vs. 22.2 %), histological grade (89.7 % vs. 93.3 %), the presence of DCIS (60.3 % vs. 64.4 %) and p53 status (58.6 % vs. 51.1 %) (all P values > 0.2). However, more tumours with high Ki-67 scores were identified in the ‘basal’ group than in the ‘non-basal’ group (29.3 % [17/58] vs. 13.3 % [6/45]); this finding was of borderline significance ($P=0.053$).

Correlation between SUV_{max} and prognostic markers

The mean SUV_{max} value of the 103 tumours was 10.94 ± 5.25 (range: 2–32.8). The mean SUV_{max} value for tumours >2 cm (11.7 ± 5.6) was higher than that of tumours ≤ 2 cm (9.2 ± 4.0 , $P=0.031$). The mean SUV_{max} value for tumours with Ki-67 > 20 % (14.2 ± 6.1) was higher than that of tumours with Ki-67 ≤ 20 % (10.0 ± 4.6 , $P=0.003$). However, the SUV_{max} values did not differ significantly between the ‘non-basal’ and the ‘basal’ subgroups (11.4 ± 6.0 vs. 10.6 ± 4.7 , $P=0.778$). In the univariate analysis, tumour size (cm) and Ki-67 scores were significantly associated with SUV_{max} ($P=0.022$ and $P=0.001$), whereas this relationship was not observed for age (≤ 50 years vs. >50 years), the axillary lymph node status (negative vs. positive), the histological grade (grade 1 and 2 vs. grade 3), the

presence of DCIS (no vs. yes), p53 status (negative vs. positive) and ‘basal’ or ‘non-basal’ type (Table 1).

In the multivariate regression analysis, Ki-67 scores and tumour size were significantly associated with SUV_{max} (Table 2). TNBCs measuring >2 cm and Ki-67>20 % had 1.31-fold ($P=0.009$) and 1.51-fold ($P<0.001$) higher SUV_{max} values, respectively, compared with TNBCs measuring ≤ 2 cm and $Ki-67 \leq 20$ %, after adjustment for age, ‘basal’ or ‘non-basal’ type, axillary lymph node involvement status, histological grade, the presence of DCIS and p53 status.

There was a positive correlation between ^{18}F -FDG uptake, as measured by SUV_{max} , and tumour size (Spearman’s $\rho=0.27$, $P=0.006$), as well as Ki-67 (Spearman’s $\rho=0.29$, $P=0.003$) (Figs. 1, 2 and 3). Ki-67 was not correlated with tumour size (Spearman’s $\rho=0.03$, $P=0.789$).

Table 1 Clinicopathological variables and the results of the univariate regression of 103 triple-negative breast cancers

Feature	N (total, 103)	Mean SUV_{max} [Min, Max]	Parameter estimate (95 % CI)	P-value
Age (years)				
≤50	47 (45.6 %)	11.1±5.7 [2, 32.8]	0.98 (0.80–1.21)	0.863
>50	56 (54.4 %)	10.8±4.9 [2.3, 21.3]		
Size (cm)				
≤2	35 (34.0 %)	9.2±4.0 [2, 17.8]	1.28 (1.04–1.59)	0.022
>2	68 (66.0 %)	11.7±5.6 [2.7, 32.8]		
LN status				
No	80 (77.7 %)	10.6±4.9 [2, 21.3]	1.17 (0.91–1.50)	0.217
Yes	23 (22.3 %)	12.3±6.3 [3.9, 32.8]		
Histological grade				
1 and 2	9 (8.7 %)	8.8±4.9 [3.2, 18.7]	1.28 (0.89–1.85)	0.177
3	94 (91.3 %)	11.2±5.3 [2, 32.8]		
DCIS				
No	39 (37.9 %)	11.1±4.9 [2.7, 21.3]	0.97 (0.78–1.20)	0.791
Yes	64 (62.1 %)	10.9±5.5 [2, 32.8]		
Ki-67 (%)				
≤20	80 (77.7 %)	10.0±4.6 [2, 21.3]	1.48 (1.17–1.88)	0.001
>20	23 (22.3 %)	14.2±6.1 [6.4, 32.8]		
P53				
Negative	46 (44.7 %)	10.0±4.8 [2, 20.2]	1.22 (0.99–1.49)	0.062
Positive	57 (55.3 %)	11.8±5.5 [2.7, 32.8]		
EGFR				
Negative	68 (66.0 %)	11.0±5.4 [2, 32.8]	0.99 (0.79–1.23)	0.905
Positive	35 (34.0 %)	10.8±5.0 [2.3, 20.8]		
CK5/6				
Negative	62 (60.2 %)	11.2±5.6 [2.3, 32.8]	0.97 (0.79–1.20)	0.789
Positive	41 (39.8 %)	10.6±4.7 [2, 20.8]		
Basal-like				
Non-basal	45 (43.7 %)	11.4±6.0 [2.7, 32.8]	0.96 (0.78–1.18)	0.673
Basal-like	58 (56.3 %)	10.6±4.7 [2, 20.8]		

95 % CI 95 % confidence interval

SUV_{max} maximum standardized uptake value, LN lymph node, DCIS ductal carcinoma in situ, EGFR epidermal growth factor receptor

Table 2 Results of the multivariate regression analysis

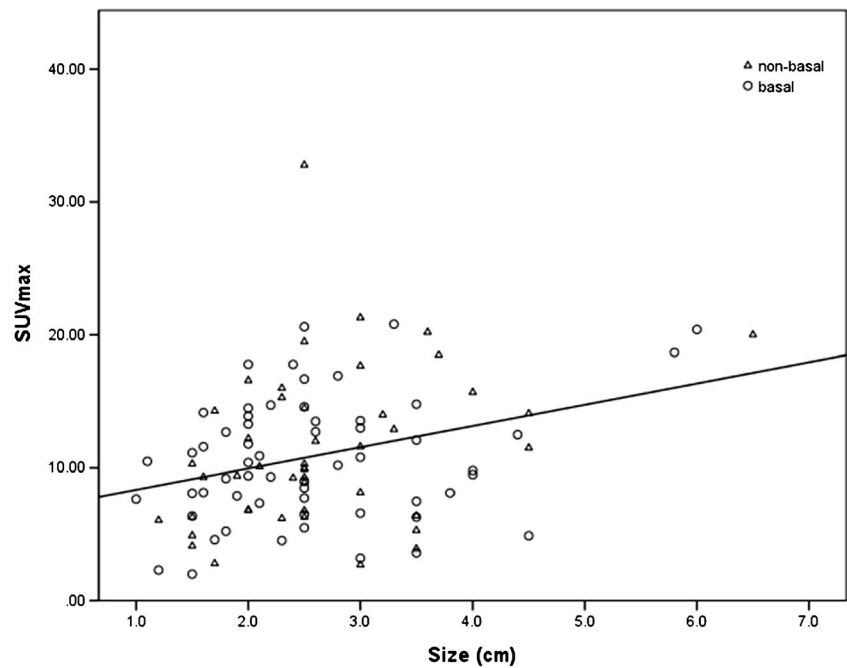
	Parameter estimate (95 % CI)	P-value
Tumour size >2 cm	1.31 (1.07–1.61)	0.009
Ki-67>20 %	1.51 (1.20–1.90)	<0.001

95 % CI 95 % confidence interval

Discussion

In this study, we investigated whether a correlation existed among tumour ^{18}F -FDG uptake on PET/CT, expressed as SUV_{max} , clinicopathological variables and molecular markers in 103 operable, stage I–III TNBCs. We observed that SUV_{max} was higher in TNBCs with larger invasive tumour size and higher Ki-67 scores. There was a wide range of ^{18}F -FDG uptake values (SUV_{max} 2–32.8), reflecting the

Fig. 1 Scatter plot of tumour size and maximum standardized uptake value (SUV_{max}) (Spearman's $\rho=0.27$, $P=0.006$)

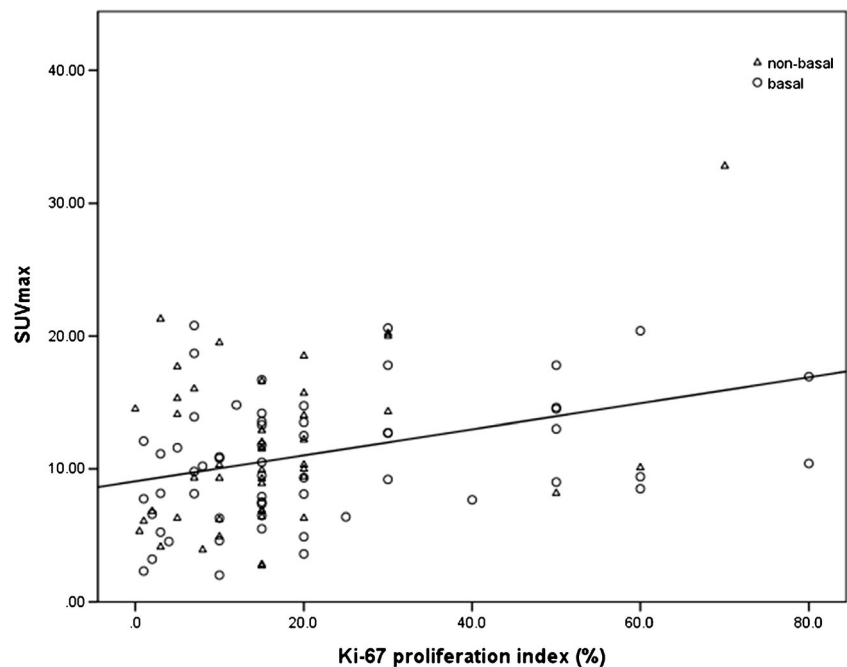


heterogeneity of glucose metabolism within TNBCs. In a previous study of 282 TNBCs, tumour size was found to have independent prognostic significance in the lymph node-positive subgroup [5]. A study by Tchou et al. [12] indicated that SUV_{max} was significantly correlated with Ki-67 in 22 women with TNBC. Our results, as well as the results of previous studies, support the idea that ^{18}F -FDG PET/CT has the potential to be used as a noninvasive tool to assess the Ki-67 proliferation index in TNBCs and to select TNBCs with more aggressive biological behaviour. The present study

involves the largest sample ever used to evaluate ^{18}F -FDG uptake in TNBC and is the first to correlate ^{18}F -FDG uptake with various molecular markers, including p53, EGFR and CK 5/6.

Ki-67 has been used as a marker of proliferative activity of cells in various cancers [24], and a high Ki-67 value is associated with worse prognosis in breast cancer patients [25]. The St. Gallen International Expert Consensus on Primary Therapy for Early Breast Cancer (2009) adopted Ki-67 as a proliferation index to indirectly support the augmentation of

Fig. 2 Scatter plot of Ki-67 and maximum standardized uptake value (SUV_{max}) (Spearman's $\rho=0.29$, $P=0.003$)



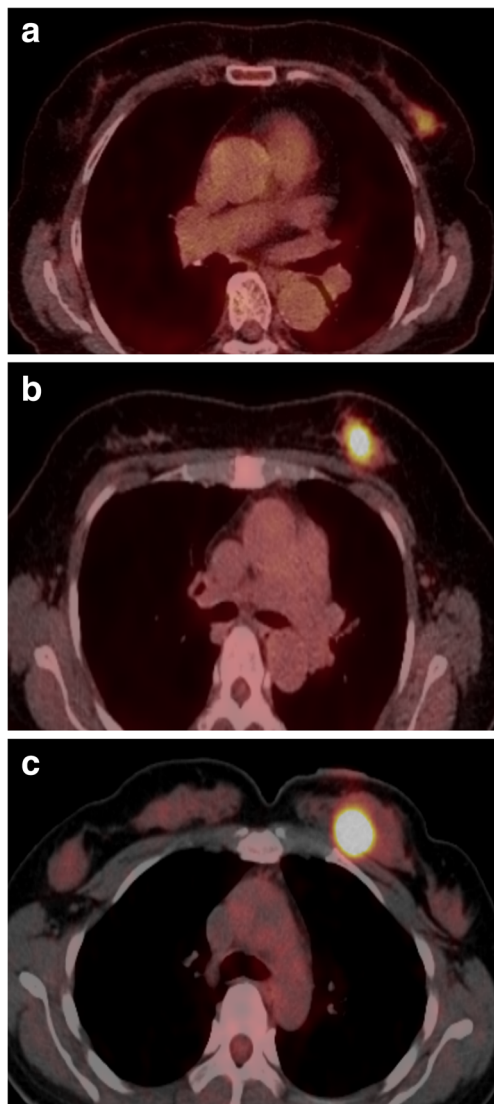


Fig. 3 PET/CT images of three patients with TNBC. (a) A 72-year-old woman with a 1.8-cm, invasive ductal carcinoma of the left breast (Ki-67 3 %) showing increased fluorodeoxyglucose (FDG) uptake (maximum standardized uptake value (SUV_{max}) 5.2); (b) a 55-year-old woman with a 2.0-cm, invasive ductal carcinoma of the left breast (Ki-67 50 %) showing intense FDG uptake (SUV_{max} 14.5); (c) a 42-year-old woman with a 2.5-cm, invasive ductal carcinoma of the left breast (Ki-67 70 %) showing very intense FDG uptake (SUV_{max} 32.8)

chemotherapy with endocrine therapy in patients with ER-positive breast cancer [26]. Keam et al. [27] evaluated Ki-67 in 105 patients with TNBC who were treated with neoadjuvant chemotherapy; they demonstrated that two subgroups of TNBC can be defined based on Ki-67, with different response rates and prognoses. A recent meta-analysis confirmed the prognostic function of Ki-67 in relation to pathological complete response rates in patients receiving neoadjuvant chemotherapy for TNBC [28]. The main disadvantage of Ki-67, however, is the high degree of interobserver variability in its assessment [29]. Ki-67 values can vary as a function of several critical factors, including human error, selection of the tumour

areas to be evaluated for Ki-67, and the specific antibody used. An international Ki-67 study group showed improved consistency of Ki-67 interpretation after a standardisation and training process [30].

Cheang et al. [23] demonstrated that a ‘core basal’ group (ER -, PR -, HER2 -, positivity for either EGFR or CK5/6) had significantly worse outcomes (10-year breast cancer-specific survival, 62 %) with an absolute 10 % lower survival than a five marker-negative phenotype group (ER -, PR -, HER2 -, EGFR -, CK5/6 -), and suggested that the ‘core basal’ classification identifies a subset of particularly high-risk patients. However, there was no significant difference in SUV_{max} between the ‘basal’ and ‘non-basal’ group in this study. Additionally, there was a wide range of ¹⁸F-FDG uptake within two subgroups, reflecting the heterogeneity of glucose metabolism within TNBC. This discrepancy suggests that grouping TNBC into ‘basal’ and ‘non-basal’ subtypes according to IHC may oversimplify the inter- or intra-tumour heterogeneity of TNBC. Recent gene profiling studies revealed six subtypes of TNBC, including two basal-like, an immunomodulatory, a mesenchymal, a mesenchymal stem-like and a luminal androgen receptor subtype [31]. Further studies are needed to investigate whether ¹⁸F-FDG PET/CT can be used as a non-invasive tool to study the metabolic phenotypes of the TNBC subtypes [32].

We found no correlation between ¹⁸F-FDG uptake and the presence of DCIS. A potential explanation is that DCIS does not demonstrate either an increased vascularity or glycolytic activity [33]. In this study, the SUV_{max} data did not show a correlation with the axillary lymph node involvement status and p53 status. According to a recent study, the tumour SUV_{max} on preoperative PET/CT correlated well with axillary lymph node metastasis for ER-positive/HER2-negative and HER2-positive tumours, but not for triple-negative tumours [34]. Prior breast cancer studies have demonstrated a correlation between ¹⁸F-FDG uptake and p53 status [10, 35], but there is also conflicting data in a study of 61 patients that failed to show a correlation [36]. Silwal-Pandit et al. [37] reported that p53 mutations were associated with a worse outcome in luminal B, HER2-enriched and normal-like subtypes, whereas no significant effect was observed in the basal-like and luminal A subtypes. Further investigation is warranted to identify the association between tumour metabolism and clinicopathological factors for improved prediction of clinical outcome in TNBC patients.

Our study has several limitations. First, this was a retrospective analysis of a cohort of 103 women who underwent preoperative ¹⁸F-FDG PET/CT at a single cancer centre, which unavoidably introduces selection bias. Second, interobserver variation was not assessed in this study. We performed ¹⁸F-FDG PET/CT scans using a single scanner and used region of interest (ROI) drawing and SUV_{max} for the measurement of ¹⁸F-FDG uptake intensity. Further measurements,

including texture analysis of 3D matrices, may have reflected the intra- and inter-tumoral heterogeneity of TNBC [38]. Finally, we did not attempt to perform a survival analysis because the follow-up duration in the study population was relatively short (median follow-up duration: 29 months).

In conclusion, we have found that increased ^{18}F -FDG uptake on PET/CT correlated with higher Ki-67 and larger tumour size in patients with TNBC. These results suggest a potential role of PET/CT in identifying TNBCs with more aggressive behaviour in clinical practice. In the future, larger prospective studies are needed to define the role of ^{18}F -FDG PET/CT in the treatment decision for women with TNBC.

Acknowledgments The scientific guarantor of this publication is Woo Kyung Moon. The authors of this manuscript declare no relationships with any companies whose products or services may be related to the subject matter of the article. This work was supported by the National Research Foundation of Korea (NRF) grant funded by the Korea government (MSIP) (No. 2012R1A2A1A01010846). No complex statistical methods were necessary for this paper. Institutional Review Board approval was obtained. Written informed consent was waived by the Institutional Review Board. Methodology: retrospective, observational, performed at one institution.

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