ORIGINAL PAPER



# Bathymetric distributions of notothenioid fishes

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Received: 19 November 2016 / Revised: 18 April 2017 / Accepted: 24 April 2017 / Published online: 3 June 2017 - Springer-Verlag Berlin Heidelberg 2017

Abstract There has been no comprehensive study of the bathymetry of notothenioid fishes. Therefore, I analyzed minimum and maximum depths and depth ranges for 128 of 142 species that collectively range from 0 to  $\approx$  3000 m. Means (and medians) for maximum depths are 176 m (75 m) for non-Antarctic, 511 m (360 m) for sub-Antarctic, and 963 m (899 m) for Antarctic species; medians are significantly different. Means (and medians) for depth ranges for the three groups are 140 (55 m), 470 (345 m), and 727 m (714 m), respectively, with significantly different medians. The mean maximum depths for the Cryonotothenioidea are: Nototheniidae—525 m, Harpagiferidae—88 m, Artedidraconidae—906 m, Bathydraconidae—1165 m, and Channichthyidae—910 m. If five species of Bathydraco, with a mean of 2098 m are excluded, the mean for the Bathydraconidae is 741 m. With the exceptions of the harpagiferids and Bathydraco, there is overlap of the 95% confidence intervals for the means of other families. Thirteen Antarctic and sub-Antarctic species with maximum depths and/or depth ranges  $\geq 1500$  m are especially deep-living: four nototheniids, four species of Bathydraco, three species of Pogonophryne, and two channichthyids. The most common depth range is 0–50 m for non-Antarctic, 200–400 m for sub-Antarctic and 600–800 m for Antarctic species. Three species have depth ranges  $>2000$  m. Species diversity peaks at 50 m for non-Antarctic, 100 m for sub-Antarctic, and

Electronic supplementary material The online version of this article  $(doi:10.\overline{1007/s00300-017-2128-x})$  contains supplementary material, which is available to authorized users.

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500 m for Antarctic species. Notothenioids are not eurybathic compared with older deep-sea taxa but are eurybathic relative to marine percomorphs.

Keywords Antarctic - Sub-Antarctic - Non-Antarctic - Mean maximum depth - Depth range - Eurybathy

# Introduction

Notothenioid fishes have long been associated with Gondwana, initially appearing in the western Weddellian Paleobiogeographic Province centered on southern South America. Their subsequent diversification was linked to the fragmentation of Gondwana and to the isolation of Antarctica where they evolved in situ in the waters around the continent (Near et al. [2015\)](#page-18-0). Today the relict notothenioid Percophis brasiliensis, with a depth range of 5–75 m, inhabits the waters of southeastern South America, likely similar to the shallow coastal depths occupied by the ancestral notothenioid. During the past 25 million years (Ma), and especially during that last 10 Ma (Near et al. [2012](#page-18-0)), the Antarctic continental shelf and slope have hosted irregular bursts of diversification that have propelled the Cryonotothenioidea (formerly the Antarctic clade) to a level of morphological and ecological diversity that encompasses inshore to slope depths and most energetically feasible niches on the substrate and in the water column (Eastman [1993](#page-17-0), [2005;](#page-17-0) Near et al. [2012,](#page-18-0) [2015](#page-18-0)). Recently multiple lines of evidence and approaches have bolstered the rationale for concluding that notothenioids, or components thereof, are exemplars of adaptive radiation (Janko et al. [2011;](#page-17-0) Matschiner et al. [2011](#page-18-0), [2015;](#page-18-0) Rutsch-mann et al. [2011](#page-18-0); Lautrédou et al. [2012](#page-17-0); Lecointre et al. [2013](#page-17-0); Wilson et al. [2013](#page-18-0); Colombo et al. [2015](#page-16-0)).

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The bathymetric distribution of notothenioids has received less attention than morphological, physiological and ecological aspects of the radiation. Nybelin ([1947\)](#page-18-0) first compiled the depth ranges of notothenioids and designated some species as eurybathic. Andriashev [\(1965](#page-16-0), [1987](#page-16-0)) also noted that many notothenioids have what he considered wide depth ranges of 500–700 m, while DeWitt ([1971\)](#page-16-0) expanded the taxonomic coverage of notothenioid depth ranges and recognized that many near shore species also have ranges encompassing outer shelf and even upper slope depths. Both Andriashev and DeWitt concluded that maximum species diversity on the Antarctic shelf is greatest at 300–600 m versus 100–200 m elsewhere in the world. DeWitt ([1971;](#page-16-0) Fig. 2), however, specifically noted that maximum species diversity was at 250–350 m on the West Antarctica shelf versus 500–550 m in East Antarctica. The current state of our knowledge, as compiled in Fishes of the Southern Ocean (Gon and Heemstra [1990](#page-17-0)), indicates that, as a group, Antarctic notothenioids are found from shallow inshore waters to slope depths of 1500–2000 m, with a few species reaching 2000–3000 m.

Eighty-six percent of the Southern Ocean seafloor is  $>1000$  m deep (Griffiths et al. [2014](#page-17-0)). The deep-sea is usually demarcated by depths  $\geq 1000$  m (Angel [1997](#page-16-0)) and deep sea-fishes have mean maximum depths of occurrence at or below this depth (Priede and Froese [2013](#page-18-0)). Although their classification is influenced by ongoing phylogenetic realignments, many deep-sea demersal species are members of phylogenetically older clades such as anguilliforms, gadiforms, and alepocephaliforms, although there has also been expansion into this habitat by members of some percomorph clades (Haedrich [1997;](#page-17-0) Merrett and Haedrich [1997;](#page-18-0) Weitzman [1997;](#page-18-0) Priede and Froese [2013](#page-18-0)). For example liparids, the deepest-living fishes with one species known from 8145 m (Linley et al. [2016](#page-17-0)), are now included in a percomorph clade with zoarcids, cottoids, serranids, and notothenioids, on the basis of a phylogeny with a bootstrap score of 100% inferred from a nuclear gene supermatrix for acanthomorphs (Near et al. [2013](#page-18-0)).

Although Fishes of the Southern Ocean (Gon and Heemstra [1990](#page-17-0)) provides the most recent summary of notothenioid depth ranges, it does not include the non-Antarctic species. Moreover, in the 26 years since its publication, there has been considerable research trawling, as well as commercial longlining, that has extended on to the slope and into the deep-sea. The number of notothenioid species has increased by 18%, from 120 to 142. There is no summary or general discussion of the bathymetry of notothenioids. Therefore, this paper will provide updated minimum and maximum depths of occurrence and depth ranges for all Antarctic, sub-Antarctic, and non-Antarctic notothenioid species, and will address these questions: (1) are the shallowest- and deepest-living notothenioids confined to particular taxa; (2) is the record for the deepestliving notothenioid valid; and (3) are notothenioids eurybathyic.

#### Materials and methods

#### Nomenclature and taxonomic coverage

The taxonomy for notothenioids generally follows relationships identified by recent molecular phylogenetic analyses. These revisions have Percophis brasiliensis as the phylogenetically basal notothenioid (Near et al. [2015](#page-18-0)), and amalgamate the genera Cryothenia and Pagothenia into Trematomus, and Paranotothenia into Notothenia (Sanchez et al. [2007](#page-18-0); Dettai et al. [2012](#page-16-0); Near et al. [2015](#page-18-0)). The polyphyly in the genus *Artedidraco* (Lecointre et al. [2011](#page-17-0)) has yet to be resolved so the status quo is retained. Although I employ the new rank-free clade names from Near et al. ([2015\)](#page-18-0), I also retain some traditional family names to facilitate clarity of discussion of the bathymetric data while acknowledging that the relationships implied by these names are no longer tenable. The sequencing of taxa in Table [1](#page-2-0) and the figures follows the phylogeny in Near et al. [\(2012](#page-18-0), [2015\)](#page-18-0).

I recognize 142 species of notothenioids based on the list in Eastman and Eakin [\(2000](#page-17-0)) with updates current to April, 2017. The list purposefully does not reflect the recent synonymization of Bovichtus oculus with B. psychrolutes (Balushkin [2016](#page-16-0)). All 142 species are included in Table [1](#page-2-0) with collection depths and ranges, but the maximum depth and depth range analyses and figures include only 128 species. I excluded these 14 species (asterisks in Table [1](#page-2-0)) because their descriptions are based on a single specimen and/or a single depth or a limited depth range: Trematomus amphitreta, Pogonophryne albipinna, P. bellingshausenensis, P. cerebropogon, P. dewitti, P. fusca, P. maculiventrata, P. pallida, P. pavlovi, P. platypogon, P. sarmentifera and P. stewarti. I also excluded Artedidraco longibarbatus because the two known specimens were captured close to each other and the depth range is minimal (372–396 m). Although now recognized as a valid species (La Mesa et al. [2002;](#page-17-0) Dornburg et al. [2016a](#page-16-0)), I excluded Cryodraco atkinsoni because it is sympatric with C. antarcticus in East Antarctica, and therefore, its collection depths had not previously been distinguished from the latter species. Several species of Pogonophryne have been placed in synonymy since 1990. The depths of occurrence of these species were amalgamated with those of the valid species (Eakin and Eastman, unpublished information). Finally, Lepidonotothen nudifrons has been convincingly shown to consist of two cryptic species (Dornburg et al. [2016b](#page-16-0)), but this is not reflected in Table [1](#page-2-0).

<span id="page-2-0"></span>Table 1 Minimum and maximum depths of occurrence and depth ranges (maximum depth minus minimum depth) for 142 species of notothenioids, 31 non-Antarctic (n-A), 33 sub-Antarctic (s-A), and 64 Antarctic (A), arranged in approximate phylogenetic sequence based on Near et al. ([2012,](#page-18-0) [2015](#page-18-0))



### Table 1 continued



#### Table 1 continued



### Table 1 continued



Rank-free clade names are from Near et al. [\(2015](#page-18-0)) but traditional family names (some paraphyletic) are retained for clarity in the discussion. Asterisks (\*) indicate 14 Antarctic species excluded from the analysis because of insufficient data. References (in Online Resource 1) include the original as the basis, and subsequent updates or confirmations

#### Sources and evaluation of bathymetric data

I obtained maximum and minimum depths of occurrence and depth ranges (maximum depth minus minimum depth) from the literature. I used the chapters on notothenioids in Fishes of the Southern Ocean (Gon and Heemstra [1990\)](#page-17-0) as the initial source for Antarctic and sub-Antarctic species and DeWitt's [\(1966](#page-16-0)) doctoral dissertation as the source for non-Antarctic nototheniids. The papers of Nast et al. [\(1988](#page-18-0)) and Tiedtke and Kock [\(1989](#page-18-0)) were the basis for the depth ranges for many of the West Antarctic species in the DeWitt et al. [\(1990](#page-16-0)) chapter on the Nototheniidae in Fishes of the Southern Ocean. These data were then updated from the recent literature including descriptions of new species, regional field guides, and articles on ecology, including feeding, reproduction, and biogeography. For example, a recent volume on the Bathydraconidae by Balushkin and Voskoboinikova ([2011\)](#page-16-0) contains seven new depth records based on specimens in Russian collections. I also made judgment calls in excluding records not supported by a specimen or a reference, or that seemed biologically unrealistic as in the reports of Dissostichus eleginoides from 3850 m (Miller [1993](#page-18-0), p. 124) and of Lepidonotothen squamifrons from 2033 m (Petrov [2011a;](#page-18-0) Petrov pers. comm. 2016). In the latter case, the  $L$ . squamifrons were hooked directly as bycatch on a toothfish longline resulting in an unlikely doubling of the depth range of this wellstudied species. Nor did I use [www.fishbase.org](http://www.fishbase.org) as a source of depth records as I found the notothenioid data to be incomplete, unreliable, or outdated. I did draw on personal communications from experts.

It is likely that all notothenioids have pelagic larvae (Loeb et al. [1993](#page-18-0)) that inhabit surface waters and that experience ontogenetic shifts in habitat depth. Well-known examples include species of nototheniids (Duhamel [1981](#page-16-0); Burchett [1983](#page-18-0); Ślósarczyk 1983) and channichthyids (Kompowski [1980;](#page-17-0) Kock [2005\)](#page-17-0) that, while benthic as adults, have juvenile stages that live and feed in shallow waters. In some channichthyids, this pelagic phase may persist for several years (Kock [2005](#page-17-0)), and these depths are included in Table [1.](#page-2-0) Even some of the deepest-living species, such as Dissostichus mawsoni, have been documented as present in the upper reaches of the water column as adults feeding at a depth of 12 m (Fuiman et al. [2002](#page-17-0)). Depth records given here therefore reflect all life history stages, and reporting the shallow depths of life history stages does not artificially inflate the depth ranges; it is an integral aspect of notothenioid biology and has been noted as such in the previous definitive literature (Norman [1938](#page-18-0); Nybelin [1947;](#page-18-0) Gon and Heemstra [1990\)](#page-17-0).

Literature records for some species of the genera Bovichtus, Harpagifer, and Patagonotothen refer to these fishes as ''intertidal'' or living in ''tide pools.'' In these instances I assigned depth ranges of 0–5 m if no specific depths were provided. A minimum depth of 0 m is applicable to intertidal species because at King George Island Aghmich et al. [\(2016](#page-16-0)), for example, found Harpagifer antarcticus ''on the underside of stones'' in tide pools at low tide. The same is true for H. kerguelensis and Notothenia cyanobrancha at Kerguelen and Crozet (Duhamel et al. [2005;](#page-16-0) Duhamel pers. com. 2017). Harpagifer bispinus and Patagonotothen cornucola also exemplify intertidal notothenioids, and are the dominant species in the intertidal of some areas of southern South America (Hüne and Vega [2016](#page-17-0)). I also note that juveniles of other Antarctic notothenioids that are not intertidal as adults, for example Trematomus borchgrevinki and channichthyids, have been found in shallow pools in the intertidal of Adelaide Island (Griffiths and Waller [2016,](#page-17-0) pp. 1151, 1153). This further validates 0 m as a documented minimum depth for some notothenioids.

Long benthic trawling times with non-closing nets invite skepticism about the validity of some depth records. However, most tow times on the Antarctic shelf and slope are over limited bathymetric ranges and last only 20–30 min. I excluded data from benthic trawls that resulted in extraordinarily deep records for typically shallow-living species, for example 517 m for Trematomus borchgrevinki and 608 m for T. brachysoma (Matallanas and Olaso [2007](#page-18-0)). These records were obtained with non-closing trawls and the actual depth of capture is unknown (Matallanas, pers. com. 2015). I also excluded records where the specimens collected were not individually identified, but rather lumped into a species complex, e.g., Trematomus lepidorhinus/T. loennbergii (Causse et al. [2011\)](#page-16-0). In sampling at depths of 150–2065 m in the Dumont d'Urville Sea, Causse et al. [\(2011](#page-16-0), p. 275) also observed Pleuragramma antarctica "at different levels in the water column" and also "close to the bottom''. However, in the absence of more precise statements about its maximum depth of occurrence I used a record documenting 1000 m. Finally, I did not consider records based on the stomach contents of predators, especially those from commercially caught longline specimens of Dissostichus mawsoni. For example, a Gymnodraco acuticeps in stomach of a Chionobathyscus dewitti that was in the stomach of a D. mawsoni from 1158 m (Petrov [2011b\)](#page-18-0).

Statistical computation and graphing were performed using GraphPad Prism version 7.0b (GraphPad Software, La Jolla California USA, [www.graphpad.com\)](http://www.graphpad.com). In Table [2,](#page-7-0) I provide margins of error for means, specifically the 95% confidence intervals, rather than the standard deviations because the data are not non-normally distributed and because the parameter of interest is the behavior of the mean (Greenacre [2016](#page-17-0)).

Taxa Species (n) Depth (m) Depth 2 Depth range (m) Min Max Mean (95% CI) Median Min Max Mean (95% CI) Median Phylogenetically basal 12 0 902 195 (12–378) 45 4 620 124 (7–240) 45 Nototheniidae 49 0 2210 525 (379–670) 440 12 2198 489 (348–629) 400 Harpagiferidae 11 0 320 88 (15–162) 50 5 240 53 (7–102) 15 Artedidraconidae 25 5 2542 906 (684–1129) 863 46 1347 583 (425–742) 661 Bathydraconidae 16 5 2941 1165 (758–1573) 931 300 2060 845 (571–1118) 786 Without 5 spp. of Bathydraco 11 0 1191 741 (548–934) 867 345 1000 586 (427–744) 475 5 spp. of Bathydraco 5 230 2941 2098 (1311–2886) 2100 841 2060 1414 (781–2048) 1570 Channichthyidae 15 0 2025 910 (677–1142) 800 250 1667 806 (601–1012) 747 All non-Antarctic spp.  $31$  0 902 176 (95–258)  $75^a$  4 650 140 (76–204)  $55^b$ All sub-Antarctic spp. 33 0 2400 511 (310–712) 360<sup>a</sup> 5 2144 470 (283–657) 345<sup>b</sup> All Antarctic spp. 64 0 2941 963 (824–1102) 889<sup>a</sup> 5 2198 727 (621–834) 714<sup>b</sup> All notothenioid spp. 128 0 2941 656 (552–760) 525 4 2198 519 (436–610) 429

<span id="page-7-0"></span>Table 2 Minimum and maximum depths of occurrence and depth ranges including means, with 95% confidence intervals (CI), and medians for maximum depth and depth range for 128 notothenioid species arranged by family and summarized by habitat

a,b Kruskal–Wallis tests of medians for maximum depths ( $H = 59$ ,  $p < 0.0001$ ) and for depth ranges ( $H = 49$ ,  $p < 0.0001$ ) of non-Antarctic, sub-Antarctic and Antarctic notothenioids are significantly different

# Results

Table [1](#page-2-0) provides the maximum and minimum depths of occurrence and depth ranges of all notothenioids. Table 2 summarizes depths by family and habitat, and provides means and medians for maximum depths and depth ranges. The boxplots in Fig. [1](#page-8-0) show the data for both maximum depths (Fig. [1a](#page-8-0)) and depth ranges (Fig. [1](#page-8-0)b) by habitat and indicate that the data for all species have a positive skew, with means greater than medians. Only the data for depth ranges of Antarctic species are somewhat less skewed. Five of 6 D'Agostino–Pearson omnibus tests for normality  $(H2 = 19-28)$  had significance levels of  $p < 0.0001$ , while that for depth range of Antarctic species was  $(H2 = 12)$ with  $p = 0.0024$ .

#### Maximum depths

As shown in Table 2, Kruskal–Wallis tests indicate that medians for maximum depths are significantly different  $(H = 59, p < 0.0001)$  among non-Antarctic (75 m), sub-Antarctic (360 m), and Antarctic species (889 m). The bar graph in Fig. [2](#page-9-0) shows the 95% confidence intervals for the mean maximum depths of five the families of the Cryonotothenioidea. The primarily intertidal and inshore harpagiferids have a significantly shallower mean maximum depth than the other families that live considerably deeper, but overlap of the confidence intervals for these four families indicates that their mean maximum depths are not significantly different from one another. However, when species of the genus *Bathydraco* are considered separately from the other bathydraconids, the mean maximum depth for *Bathydraco* is significantly greater than those of all of the non-bathydraconid families. With Bathydraco excluded, the mean and median for the remaining bathydraconids decrease (Table 2), and channichthyids and artedidraconids become the deepest-living families with mean maximum depths of 910 and 906 m.

Scatterplots display maximum depths for all 128 species on arithmetic (Fig. [3](#page-10-0)) and logarithmic (Online Resource 2, Fig. S1) depth axes and provide an intuitive representation of the distribution of notothenioids in the water column. Counts based on Fig. [3](#page-10-0) reveal that 80% (102/128) of all notothenioids have maximum depths of  $\lt$ 1000 m, 15% (19/128) are at 1000–2000 m, and 5% (7/128) are at 2000–2941 m. If a maximum depth of  $>1500$  m is used to distinguish deeper-living notothenioids, thereby eliminating most outer shelf species with ranges extending onto the upper slope, 3 sub-Antarctic and 10 Antarctic species, or  $10\%$  (1[3](#page-10-0)/128) as labeled in Fig. 3, fall into this category four nototheniids, four bathydraconids of the genus Bathydraco, three artedidraconids of the genus Pogonophryne, and two channichthyids.

As for the shallower living notothenioids, 61% (19/31) non-Antarctic species have maximum depths of  $\leq 100$  m, and 39% (12/31) live at 101–902 m. This is more easily visualized on a logarithmic depth axis that provides greater separation at shallower depths (Online Resource 2, Fig. S1). The 11 non-Antarctic taxa with the shallowest  $(\leq 40 \text{ m})$  maximum depths are *Pseudaphritis*, Halaphritis, species of *Bovichtus* and species of the nototheniid genus Patagonotothen. Seven of 33 (21%) sub-Antarctic species have maximum depths  $\leq 100$  m, and all seven of are members of the genus *Harpagifer*. Four of the seven live at

<span id="page-8-0"></span>

Fig. 1 *Boxplots* summarizing maximum depths (a) and depth ranges (b) for 128 species of non-Antarctic, sub-Antarctic and Antarctic notothenioids. Horizontal line in box indicates median, cross is mean,

 $<$ 6 m. Only two Antarctic species have maximum depths \100 m, the nototheniids Trematomus borchgrevinki and T. brachysoma.

### Depth ranges

The median depth ranges (Table [2](#page-7-0)) for non-Antarctic (55 m), sub-Antarctic (345 m) and Antarctic (714 m) species are significantly different ( $H = 49$ ,  $p < 0.0001$ ).

upper and lower hinges of box are 25th and 75th percentiles, upper and lower extremes of whiskers are 10th and 90th percentiles, dots show outliers at  $\langle$ 10th and  $\rangle$ 90th percentiles

The value for the median depth range for the Bathydraconidae decreases by about 40% when species of Bathydraco are excluded, and the mean for the family becomes the second lowest among the families of the Cryonotothenioidea. The scatterplots for depth ranges on arithmetic and logarithmic axes are available in Online Resource 2, Figs. S2–S3.

Figure [4a](#page-11-0) is a histogram of depth ranges for non-Antarctic species. The most common depth range is

<span id="page-9-0"></span>

Fig. 2 Bar graph of the 95% confidence intervals for the mean maximum depths of the five families of the Cryonotothenioidea. The Bathydraconidae is shown with and without inclusion of the deepliving genus Bathydraco. Vertical line indicates mean

0–50 m, seen in 11 of 31 species (35%), and 20 of 31 species (65%) have a depth range of 0–100 m. In sub-Antarctic species (Fig. [4b](#page-11-0)), 200–400 m is the most common depth range as seen in 10 of 33 species (30%), and the greatest ranges  $(>2000 \text{ m})$  are those of *Dissostichus* eleginoides and Bathydraco antarcticus. Antarctic species (Fig. [4](#page-11-0)c) have more expansive depth ranges, with 600–800 m the most common, as seen in 16 of 64 species (25%). Among Antarctic species, 40 of 64 (63%) have ranges  $\lt 800$  m, while 24 of 64 (37%) have ranges  $>800$  m. Considering the 64 Antarctic and 33 sub-Antarctic species together, only three of 97 species (3%), Dissostichus mawsoni, D. eleginoides, and Bathydraco *antarcticus*, have depth ranges  $>2000$  m. They are also the most distant outliers among the >90th percentile dots in depth range boxplot (Fig. [1](#page-8-0)b).

#### Number of species versus depth

Figure [5](#page-11-0) shows that species diversity for non-Antarctic notothenioids peaks at depths 50 m, then falls off by about 50% and continues to decline to a few species at 500–1000 m. The plot line for sub-Antarctic species peaks at 100 m with a range of maximum diversity at 100–300 m, and then falls steeply to 900 m where the number levels off at 2–3 species that persist to depths of 2400 m. Antarctic species diversity peaks at 500 m, with

the 300–600 m increment encompassing the range of maximum diversity. After peaking, the plot line falls off to a minor shoulder at 1200 m, and another at 2000–2100 m, before leveling off at 1–2 species.

# Discussion

#### Perspective on shelf and slope habitats and depths

#### **Historical**

The Antarctic ice sheet first reached the coastline at the Eocene–Oligocene boundary at 34–33 Ma (Galeotti et al. [2016](#page-17-0)). Later during the early Miocene (23–16 Ma), it was dynamic, advancing, and retreating over \100,000 year time scales and possibly reaching volumes similar to that of the current East Antarctic Ice Sheet (Pekar and DeConto [2006](#page-18-0)). Conditions similar to the contemporary polar environment first appeared about 14 Ma during the major cooling of the Middle Miocene Climate Transition (Knorr and Lohmann [2014\)](#page-17-0). Since then, the Antarctic shelf has been an exceptionally dynamic and disturbed habitat. For example, the West Antarctic ice sheet advanced and retreated 38 times in the past 5 Ma (Naish et al. [2009](#page-18-0)). As recently as the Last Glacial Maximum about 10,000 years ago, the ice sheet reached the outer continental shelf in all areas of West Antarctica and some in East Antarctica (Anderson et al. [2002](#page-16-0), [2014\)](#page-16-0), and was grounded at depths up to 1000–1200 m (Huybrechts [2002](#page-17-0)). Thus, it is likely that Antarctic ice sheets and their associated ice bergs have periodically caused regional annihilation of habitats and forced the existing fauna toward the shelf edge and into deeper waters (Barnes and Conlan [2007;](#page-16-0) Thatje et al. [2008](#page-18-0); Barnes and Souster [2011](#page-16-0); Allcock and Strugnell [2012](#page-16-0); Barnes et al. [2016\)](#page-16-0). In the case of benthic notothenioids, these disturbances probably drove them into refugia such as upper to mid-slope depths beyond the ice sheet edge, unglaciated sections of the shelf or shelf break, or even to the most southern sub-Antarctic islands and the south island of New Zealand. Based on population genetic signatures, it has been suggested that habitat destruction by Pleistocene advances of the ice sheet had a differential effect on various notothenioid life history types and, as would be expected, more profoundly influenced benthic than pelagic species (Janko et al. [2007](#page-17-0); Kašparová et al. [2015](#page-17-0)). Historically, however, disturbance created by advances and retreats of the ice sheet also generates ecological opportunities and conditions for speciation. The capacity to occupy upper- and mid-slope depths probably enhanced the ability of notothenioids to persist and to diversify during these Late Miocene and Early Pliocene periods of ice sheet movement and habitat disruption.

<span id="page-10-0"></span>

Fig. 3 Scatterplot showing the maximum depths of occurrence for 128 species of non-Antarctic, sub-Antarctic, and Antarctic notothenioids. The position of dots relative to the horizontal axis is representative of the species approximate phylogenetic sequence in

Table [1.](#page-2-0) Thirteen sub-Antarctic and Antarctic species with maximum depths  $\geq$ 1500 m are identified and *asterisks* indicate five species with neutral or near neutral buoyancy

<span id="page-11-0"></span>

b Fig. 4 Frequency distribution of depth ranges for 31 species of non-Antarctic (a), 33 species of sub-Antarctic (b), and 64 species of Antarctic (c) notothenioids. Increments for depth range bins are 50 m (a) and 200 m (b, c), with each bin centered on the midpoint of the increment



Fig. 5 Plots of species diversity across 100 m depth increments for 31 species of non-Antarctic, 33 species of sub-Antarctic, and 64 species of Antarctic notothenioids. Numbers for diversity were obtained by recording presence of each species within each 100 m increment in its depth range, and these were then summed for all species in each depth bin to provide the value on the y-axis. First dot on the left (without a corresponding tick mark in the x-axis) represents the number of species found at 0–50 m. Subsequent *dots* and *tick* marks represent counts that include the total number of species found at depths 51–100, 101–200 m, etc

Using a dataset composed of a time-calibrated molecular phylogeny as well as paleoclimatic, antifreeze, and buoyancy data, Near et al. [\(2012](#page-18-0)) found that divergence times of major species-rich notothenioid radiations coincided with periods of cold and disruption during the past 10 Ma, specifically 9.1 Ma for Trematomus, 6.3 for Channichthyidae, and 3.0 for Artedidraconidae. These radiations account for 48% (68/142) of modern notothenioid species diversity. Within the Artedidraconidae, the 28 species of Pogonophryne are an example of an even more recent benthic radiation at depths of  $\approx$  100–2500 m (Eakin et al. [2009](#page-16-0)).

# Modern

The relict species Percophis brasiliensis occupies relatively shallow inshore waters, as do most of the other phylogenetically basal non-Antarctic notothenioids. Antarctic and sub-Antarctic notothenioids, on the other hand, have undergone life history and depth-related diversification on the Antarctic shelf and slope that, in spite of the absence of a swim bladder, has allowed them to fill a pelagic and semipelagic niches as well as niches on and near the substrate from shallow, including tide pools in the case of Harpagifer, to mid-slope depths (Eastman [1993,](#page-17-0) [2005](#page-17-0); Klingenberg and Ekau [1996](#page-17-0)). There are aspects of this that are unusual from a bathymetric perspective, especially as related to non-notothenioid taxa. Notothenioids are represented over the 0–3000 m depth spectrum, rather that being replaced at slope depths by a phylogenetically and taxonomically distinct fauna as in deep waters elsewhere (Haedrich [1996\)](#page-17-0). This does not mean that macrourids (Iwamoto [1990\)](#page-17-0), morids (Chiu and Markle [1990\)](#page-16-0), liparids (Stein and Andriashev [1990](#page-18-0); Andriashev [2003\)](#page-16-0), and zoarcids (Anderson [1990\)](#page-16-0) are unimportant elements of the Antarctic slope fauna, but simply that notothenioids are sympatric with them. Furthermore in deep-sea faunas elsewhere, the pelagic and demersal species are usually distinct and unrelated (Haedrich [1996](#page-17-0)), unlike the variety of life history types in the notothenioid radiation, including pelagic taxa like Dissostichus.

The modern notothenioid fauna inhabits an Antarctic Region where about 90% of the habitat is deeper than 1000 m (Griffiths [2010\)](#page-17-0). Sub-Antarctic shelves, like those around South Georgia, have an average depth of 200 m (Gregory et al. [2017\)](#page-17-0). In waters south of  $65^{\circ} - 70^{\circ}$ S, including the broad shelves of the Ross and Weddell seas, the mean depth is  $\approx$  500 m and the shelf break is at  $\approx 800$  m (Smith et al. [2007](#page-18-0)). Isostatic down warping and glacial erosion have deepened high latitude Antarctic shelves to hundreds of meters beyond those in temperate regions (Anderson [1999\)](#page-16-0), although this is less prominent in Subantarctic areas where the ice load is less. The tongues of outlet glaciers have also gouged innershelf depressions close to the coast where the water can be as deep as 1200 m (Andriashev [1977\)](#page-16-0). In addition, inshore habitats for fishes are more limited than in other marine localities, especially in the permanent pack ice zone of East Antarctica, where the ice foot and anchor ice to a depth of 33 m constrain shallow habitats in the intertidal and subtidal (Dayton et al. [1969\)](#page-16-0), although these habitats are available during summer in most areas of the seasonal pack ice zone of West Antarctica and in the sub-Antarctic islands. Given the conditions described above and that the High Antarctic also lacks coral and rocky reefs, sites of great fish diversity in temperate and tropical oceans, it is not surprising that maximum Antarctic notothenioid species diversity is shifted to greater depths, peaking at 500 m with a range 300–600 m versus a peak at 100 m and range if 100–300 in sub-Antarctic species (Fig. [5\)](#page-11-0). Andriashev ([1965,](#page-16-0) [1987,](#page-16-0) p. 361) first recognized and referred to this phenomenon as "glacial submergence." The plot for Antarctic species in my Fig. [5](#page-11-0) has the same peak and range as the plot in Andriashev's [\(1987](#page-16-0), Fig. 2), although he used only East Antarctic species, whereas I have included all Antarctic notothenioids. It is also possible that non-notothenioids were also included in Andriashev's graphs as both his 1965 and 1987 papers were reviews of the entire Antarctic fish fauna. DeWitt ([1971,](#page-16-0) Fig. 2) also graphed notothenioid and non-notothenioid species together but separated West and East Antarctic species. The range of maximum diversity for the former was 200–350 m and 350–550 m for the latter.

# Are the shallowest and deepest-living notothenioids confined to particular taxa?

#### Shallow-living species

Figure [6](#page-13-0) shows depth ranges for 128 species arranged in phylogenetic sequence. Given the short bars near the lower left of the graph, it is clear that most of the phylogenetically basal genera (Percophis, Bovichtus, Halaphritis and Pseudaphritis) are found in water <100 m deep. Within this group, the noteworthy exceptions are Bovichtus psychrolutes and B. oculus that reach maximum depths of 620 m and 902 m, respectively. The shallow-living species in the Cryonotothenioidea are species of the nototheniid genus Patagonotothen (all non-Antarctic with one exception) and of the Harpagiferidae, a primarily intertidal/inshore group with a sub-Antarctic island distribution. The Antarctic genus Trematomus is also of interest with respect to habitation of both shallow and deep waters. Trematomus has a circum-Antarctic distribution in both the seasonal and permanent pack ice zones, and recent collecting in water 5–25 m deep at James Ross Island (Jurajda et al. [2016\)](#page-17-0) has substantiated previous reports documenting the presence of adults of several species in waters only a few meters deep. However most of the 15 species of Trematomus live at 400–700 m, although T. loennbergii (65–1243 m) and T. lepidorhinus (99–1658 m) have more extensive depth ranges and demonstrate the habitat breadth of this 9.1 Ma old radiation (Near et al. [2012\)](#page-18-0).

#### Deep-living species

When evaluated by family, fishes are considered to be "deep-sea" if they have mean maximum depths of occurrence  $>1000$  m (Priede and Froese [2013\)](#page-18-0). Among actinopterygians there are 31 families containing about 2500 species that meet this criterion, including synaphobranchids, alepocephalids, macrourids, ophidiids, liparids and zoarcids (Priede and Froese [2013](#page-18-0)). Only the

<span id="page-13-0"></span>

Fig. 6 Bar graph of depth ranges for 128 notothenioid species arranged in phylogenetic sequence. There is a bar for each species but only 64 species are named along the axis; missing names can be interpolated from the identical sequence of names in Table [1](#page-2-0)

notothenioid family Bathydraconidae has, at 1165 m, a mean maximum depth  $\geq 1000$  m (Table [2](#page-9-0), Fig. 2). However, this is attributable to the deep-dwelling species of the genus Bathydraco and, when these are not included, the mean falls to 741 m. Considered separately species of the genus Bathydraco, with a mean maximum depth of 2098 m, could be considered ''deep-sea.'' Bathydraco scotiae is interesting in that, although it lives at greater depths (2100–2941 m) than any other notothenioid, its depth range is only 841 m. It is not known whether this is attributable to inadequate sampling or to physiological specialization that restricts it to greater depths. Or this may reflect what is seen in some other oceans where individual deeper-living species of a eurybathic family do not have broader bathymetric ranges than those that live shallower (Pearcy et al. [1982\)](#page-18-0). However at lower taxonomic levels, as in the deep-sea macrourid genus Coryphaenoides, abyssal species have broader depth ranges than non-abyssal species (Gaither et al. [2016](#page-17-0)). Finally, reduction in species diversity

(excluding names with an asterisk). Colors demarcate the grouped basal clades and traditional five families of the Cryonotothenioidea (Antarctic clade). Asterisks indicate the six species with neutral or near neutral buoyancy

at  $>3000$  m is not unique to notothenioids and is also seen, for example, in phylogenetically diverse deep-sea benthic fishes from the northeastern Pacific Ocean (Pearcy et al. [1982](#page-18-0)).

If a maximum depth (Fig. [3\)](#page-10-0) and/or depth range (Fig. S2) of  $>1500$  m is used to designate "deep-living" notothenioids, 13 species of the Cryonotothenioidea fall in this category and, based on the distribution of the longest bars in Fig. 6, they are not confined to a particular taxon. The species are: the nototheniids Aethotaxis mitopteryx, Dissostichus eleginoides, D. mawsoni and Trematomus lepidorhinus; the artedidraconids Pogonophryne brevibarbata, P. triono and P. immaculata; the bathydraconids Bathydraco joannae, B. macrolepis, B. antarcticus and B. scotiae; and the channichthyids Neopagetopsis ionah and Chionobathyscus dewitti. Thus habitation of depths  $\geq$ 1500 m is seen in species from all families of the Cryonotothenioidea, with the exception of the Harpagiferidae.

Because 11 of the 28 species of the artedidraconid genus Pogonophryne (asterisks in Table [1\)](#page-2-0) were excluded due to insufficient data, this discussion may underestimate the significance of Pogonophryne as a taxon with deep-living species. Of the 11 excluded species, five have maximum depths  $\geq$ 1500 m. Additional sampling will certainly expand known bathymetric ranges and reveal more deepliving species of Pogonophryne, as suggested by the photograph of an unidentified species of Pogonophryne at 2127 m on the slope of Marguerite Bay (Eastman et al. [2013,](#page-17-0) Fig. 1k). Previously the only documented maximum depth  $\geq$ 2000 m for a species of *Pogonophryne* is that for P. immaculata at 2542 m (Eakin [1990](#page-16-0)). Another indication of the deep-living proclivities of species of Pogonophryne is that, among all notothenioids, only P. immaculata (1195 m) and the bathydraconid Bathydraco scotiae (2100 m) have minimum depths of occurrence  $>1000$  m (Fig. [6](#page-13-0)).

It is also of interest that the maximum depth (Fig. [3,](#page-10-0) asterisks) and depth range graphs (Figs. S2, asterisks) reveal that among the species living at depths  $>1500$  m, 5 of 13 and 4 of 8, respectively, are at or near neutral buoyancy based on data in Near et al. [\(2012](#page-18-0), Table S5). The two species of Dissostichus, both neutrally buoyant as adults, exemplify habitation of the 0–2100 m interval of the water column during the course of their life cycle which involves pelagic larvae, benthic subadults on the shelf, and adults that range throughout the water column over the shelf and slope (Collins et al. [2010](#page-16-0); Hanchet et al. [2015](#page-17-0)). Many deep-sea fishes that are predominantly slope inhabitants as adults spend their growth phases in shallower water where there is greater availability of high quality prey (Drazen and Haedrich [2012](#page-16-0)). This is reflected in the life cycle of D. mawsoni; benthic subadults inhabit the more productive shelf waters and feed on another nototheniid, the energy-rich Pleuragramma antarctica, enabling them to accumulate the lipid deposits necessary for neutral buoyancy (Eastman [1988](#page-16-0)). Upon reaching a total length of  $\approx 100$  cm, neutral buoyancy potentially allows D. mawsoni to range through the water column (Near et al. [2003\)](#page-18-0).

# Is the record for the deepest-living notothenioid valid?

Bathydraco scotiae deserves comment given its status as the deepest-living notothenioid with a maximum reported depth of 2950 m (Gon [1990\)](#page-17-0). This is about 400–500 m deeper than records of most other specimens of this species, as well as those for B. antarcticus at 2400 m. The source for the *B. scotiae* record is a bar graph plotting depth ranges for species of Bathydraco contained in a review of the genus by DeWitt [\(1985](#page-16-0), p. 298, Fig. 3). In the graph, depth ranges for each species are rounded off to the nearest 50 m. However, DeWitt [\(1985](#page-16-0)) does not specifically mention B. scotiae or a 2950 m depth when stating, ''Bathydraco comprises fishes from rather deep water, the range being approximately 500–2600 m.'' The graph is also the source of the record in Gon ([1990\)](#page-17-0), but there is no mention in either DeWitt or Gon of a specimen or specimens collected at this depth.

Are there museum specimens that document the  $2900+$  m depth records for Bathydraco scotiae? The answer is affirmative, and the specimens are in the ichthyological collection of the Natural History Museum of Los Angeles County (LACM), Los Angeles, California. One specimen, catalog number 10,526.002, was collected north of the Weddell Sea at 63°3'0"S, 49°11'0"W with a 1.5 m Blake Trawl on 03 March 1963 at Station 529 during a cruise of the USNS Eltanin. The trawl was on the substrate for 60 min, and both the minimum and maximum depths of collection were 2941 m. DeWitt ([1985](#page-16-0), p. 298) does list this specimen as one examined for his account of B. scotiae, but without the depth of collection or the LACM catalog number. There is also a second lot of two specimens (LACM 11462.003) collected in 1968 from the Ross Sea at a depth of 2907 m. My conclusion is that records of B. scotiae from depths of  $2900+$  m are well documented and valid and that the precise depth of collection is 2941 m.

## Are sub-Antarctic and Antarctic notothenioids eurybathic?

The most common depth range for notothenioids in general is 600–800 m, as seen in 25% of Antarctic species (Fig. [4c](#page-11-0)). Whether or not this is eurybathic is relative and depends on the comparison being made—whether to actinopterygians in general or only to other percomorphs. As a group notothenioids are not eurybathic when compared with phylogenetically older actinopterygian deep-sea groups or even with younger ''deep-sea invasive'' (Priede and Froese [2013](#page-18-0)) groups. For example, depth ranges of 1000 m or 2000 m are common in deep-sea demersal species of macrourids, liparids and zoarcids from various oceans (Pearcy et al. [1982;](#page-18-0) Merrett and Haedrich [1997](#page-18-0)). Within the macrourid genus *Coryphaenoides*, depth ranges of 1000 or 2000 m are frequent and a few species have a range of  $3000+$  m (Gaither et al. [2016](#page-17-0)). However, two sub-Antarctic notothenioids and one Antarctic species with depth ranges of 2144, 2198 and 2060 m—Dissostichus eleginoides, D. mawsoni, and Bathydraco antarcticus—are extreme outliers (Figs. [1](#page-8-0)b,[4b](#page-11-0), c; S2) and probably could be considered eurybathic.

Unfortunately there are few examples of depth radiations in percomorphs that can be used for comparison with

notothenioids. Radiations of marine percomorphs have taken place in shallow waters and involved taxa such as scarids (parrotfishes) and serranids of the genus Hypoplectrus (hamlets) that are associated with coral reefs and therefore usually found at maximum depths of only 50–100 m (Streelman et al. [2002;](#page-18-0) Puebla et al. [2008](#page-18-0)). Probably the best example for comparison with notothenioids is the northeastern Pacific Ocean scorpaenid genus Sebastes (rockfishes) that are now included in a percomorph clade with notothenioids (Near et al. 2103). Sebastes in the northeastern Pacific has diverged along a depth gradient, a feature that defines the radiation, although as a group these 66 species range from the intertidal to maximum depth of only 600 m (Ingram [2011\)](#page-17-0). The depth ranges of individual species are on the order of a few hundred meters or less (Love et al. [2002](#page-18-0)). As a group, then, notothenioids would be considered eurybathic in comparison to this radiation of Sebastes.

# Concluding remarks

From their ancestral Gondwanan coastal habitat, notothenioids diverged from shallow waters to those nearly 3000 m deep as they became adapted to a variety of habitats around the Antarctic continent over the last  $\approx$  25 Ma. In a historical context, the notothenioid radiation should probably be viewed as occurring under reduced competition from a taxonomically diverse fish fauna which, based on the late Early Eocene fossil record from the La Meseta Formation of Seymour Island dated at 49–51 Ma (Ivany et al. [2008\)](#page-17-0), was largely eliminated during the oceanographic, thermal, and trophic changes that accompanied the post-Eocene isolation of Antarctica (Eastman [2005](#page-17-0)). Oligocene, Miocene, and Pliocene exposures are limited in Antarctica and have not yielded any fish fossils, but there probably was a transitional or intermediate fauna between the La Meseta and modern faunas. It might have consisted, at least in part, of a mixture of persisting Eocene elements like gadiforms (Schwarzhans et al. [2017](#page-18-0)) and newly emerging notothenioids. If more of the abundant species of La Meseta gadiforms (Schwarzhans et al. [2017](#page-18-0)) had survived into the Neogene, they might have provided competition for and impinged on the diversification of notothenioids into outer shelf and upper slope habitats.

During adaptation to Antarctic conditions, notothenioids may have benefited from the energetic savings associated with the absence of a swim bladder. However, the ability of some species to reduce density and to attain neutral buoyancy has not been compromised. Pedomorphic changes reduced skeletal density, and accumulated lipid conferred neutral or near neutral buoyancy and allowed the exploitation of large reaches of the water column. For example, of the six species in this study with neutral or near neutral buoyancy, five have maximum depths  $>1500$  m and four have depth ranges of 1500–2200 m. Notothenioids also have the coincidental advantage that adaptations associated with life under Antarctic conditions, especially low temperature and reduced light and productivity, are similar to those necessary for life in the deep-sea, and these may have contributed to their ability to inhabit greater depths. Conversely, notothenioids depart from deep-sea fishes (Marshall [1971](#page-18-0), [1979](#page-18-0)) in that their habitation of depths  $>1000$  m has been unaccompanied, as far as our knowledge extends, by any extraordinary brain and sense organ specializations at the morphological level (Eastman and Lannoo [2003a,](#page-17-0) [b](#page-17-0), [2011\)](#page-17-0). All species studied to date have a typical array of olfactory, visual, gustatory and mechanosensory systems and present no examples of sensory hypertrophy. They also lack the distinctive trophic and reproductive specializations that characterize some deep-sea fishes (Marshall [1971](#page-18-0), [1979\)](#page-18-0). Notothenioids, however, are younger and are living at depths a few thousand meters less than many phylogenetically older deep-sea fishes, and they would not be expected to be as specialized.

Twenty-seven percent (26 of 97 species) of Antarctic and sub-Antarctic notothenioids have maximum depths  $>1000$  m, but nothing is known about their physiological adaptation to hydrostatic pressure. As mentioned previously, some of the metabolic and locomotor adaptations associated with life under Antarctic conditions are similar to those necessary for habitation of the deep-sea; however, adaptation to hydrostatic pressure is another matter and requires the stabilization of proteins (Somero [1992](#page-18-0)). It is possible that adaptation to hydrostatic pressure co-occurs with the eurybathy (Somero [1992](#page-18-0), p. 573–574) many Antarctic notothenioids experience during their life history, but this does not explain its physiological basis. Another consideration is that freezing resistance adaptations in notothenioids, such as elevated levels of the organic osmolyte trimethylamine oxide (Raymond and DeVries [1998\)](#page-18-0), may also have the capability to stabilize proteins and thus to serve as piezolytes (Yancey and Siebenaller [1999,](#page-18-0) [2015\)](#page-18-0). Whatever the means involved in their tolerance of hydrostatic pressure and other aspects of life in the deep-sea, the wide spectrum of depths inhabited by notothenioids is another example of the amazing adaptive potential of the percomorph subclade of the spinyrayed (acanthomorph) fishes that compose nearly one-half of all fish diversity (Alfaro et al. [2009;](#page-16-0) Near et al. [2013](#page-18-0)).

Acknowledgements For answering my questions and for providing information and advice, I am most grateful to: A.V. Balushkin (ZIN), Tim Berra (Ohio State-Mansfield), Romain Causse (MNHN), Guy Duhamel (MNHN), Richard Eakin, Rick Feeney (LACM), Jesús Matallanas (AUB), Andrey Petrov (VNIROA), David Stein (Oregon

<span id="page-16-0"></span>State), Andrew Stewart (NMNZ). I also thank Richard Eakin for his reading of and helpful comments on the manuscript. I especially appreciate the constructive comments and corrections from the formal manuscript reviewers including Guy Duhamel and Tetsuo Iwami. Supported by NSF ANT 04-36190.

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