

Thermo-sensitive polymers based on graft polysiloxanes

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Abstract The synthesis of novel polymers obtained by grafting poly(dimethyl siloxane) with NIPAM, *N,N'*-dimethyl acrylamide (DMA) and copolymers of NIPAM with DMA and butyl acrylate using SET-LRP technique is presented. The polymers were characterized by ¹H NMR, fluorescence spectroscopy, and DSC. The thermo-sensitivity and the LCST as well as the aggregation phenomena during phase transition are evidenced by dynamic light scattering (DLS) and rheology coupled with small angle light scattering (SALS). Rheological and Rheo-SALS measurements proved to be useful tools to characterize the macroscopic behavior but also to evidence structural changes below and above the LCST for the analyzed systems. Good correlation was found between rheological, rheo-SALS and DLS data.

Keywords Thermo-sensitive polymers · Polysiloxanes ·
Poly(*N*-isopropylacrylamide) · Rheo-SALS · Rheology

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Introduction

Controlled drug delivery systems have gained a lot of interest in the scientific community offering the possibility to target the drug to a specific destination, and to monitor the delivering process overcoming the limitations of conventional drug formulations. Stimuli sensitive polymers are suited for many biomedical and biotechnological applications [1–11] being able to respond to external stimuli in a controlled manner. Among different types of physical or chemical external stimuli (temperature, electric or magnetic fields, mechanical stress, pH, ionic factors, and chemical agents) used to tune the structure and/or properties of polymeric systems, temperature is probably the most widely used [2, 7, 8, 11–15]. Thermo-sensitive polymers possess a set of unique properties and among them of outstanding importance is the existence of a critical solution temperature usually a lower critical solution temperature (LCST) [2, 11, 13–15]. Poly(*N*-isopropylacrylamide) (PNIPAM) was extensively studied and became probably the most popular thermo-sensitive polymer possessing a lower critical solution temperature (LCST) in water at around 31–32 °C [2, 14–17]. Above 32 °C PNIPAM exhibits a phase transition [17] and if below 30 °C the polymer is soluble in water with heating its solubility disappears due to conformational changes. The LCST may be tailored by means of different chemical methods using either the functionality of the backbone or the copolymerization strategies. For drug delivery and biological systems it is very important to bring LCST as close as possible to the physiological temperature that is around 37 °C. Coupling *N*-isopropylacrylamide (NIPAM) to polymers bearing hydrophilic and/or hydrophobic groups in their chain offers the opportunity to control the phase transition temperature and to tailor new materials with different architectures and tunable properties [11–18]. An alternative of combining PNIPAM with different polymers is to graft NIPAM on the macromolecular chain [6, 14]. However, to the best of our knowledge, references about the graft copolymerization of thermo-sensitive monomers such as NIPAM onto polysiloxanic chains could not be found in the literature. Hence further investigation on the synthesis, characterization and potential application of such polymers are needed.

Living radical polymerization (LRP) allows precise control of the polymers architecture, molecular weight, and molecular weight distribution proving to be an attractive technique for the synthesis of thermo-sensitive polymers with desirable properties [18–22]. Among various LRP methods, single-electron transfer living radical polymerization (SET-LRP) developed by Percec et al. [21] has become a useful and rapid tool for the synthesis of well-defined polymers with high control over molecular architecture and functionality [19–22]. SET-LRP using Cu(0) powder [23–28] or Cu(0) wire [29–34] in conjunction with an appropriate combination of ligand and solvent is a relatively simple and versatile synthesis method suitable for a large combination of monomers [19]. The SET-LRP synthesis of water-soluble polymers with potential biological applications has been reported [22].

This study presents the synthesis and characterization of novel polymers obtained by grafting poly(dimethyl siloxane) (PDMS) with NIPAM, *N,N'*-dimethyl acrylamide (DMA) and copolymers of NIPAM with DMA and butyl acrylate (BA) using SET-LRP technique thus developing polymers characterized by high flexibility and

controlled thermo-sensitivity. More hydrophilic monomers such as acrylamide would make the LCST increase and even disappear, and more hydrophobic monomers such as BA would induce the LCST to decrease. In this way, the LCST could be controlled by incorporation of hydrophobic or hydrophilic moieties to adjust to a desired LCST. It is expected that the specific behavior of the obtained polymers to mainly derive from the particular architecture involving hydrophilic branches attached to a hydrophobic, flexible main-chain involving a coil to micelle transition. The balance between the hydrophilic and hydrophobic segments also influences the solution behavior of the obtained polymers. Effects of the side chains structure on the thermal and rheological properties were investigated. Owing to the anticipated properties the new systems can show potential for biological applications, especially as controlled drug delivery systems.

Experimental part

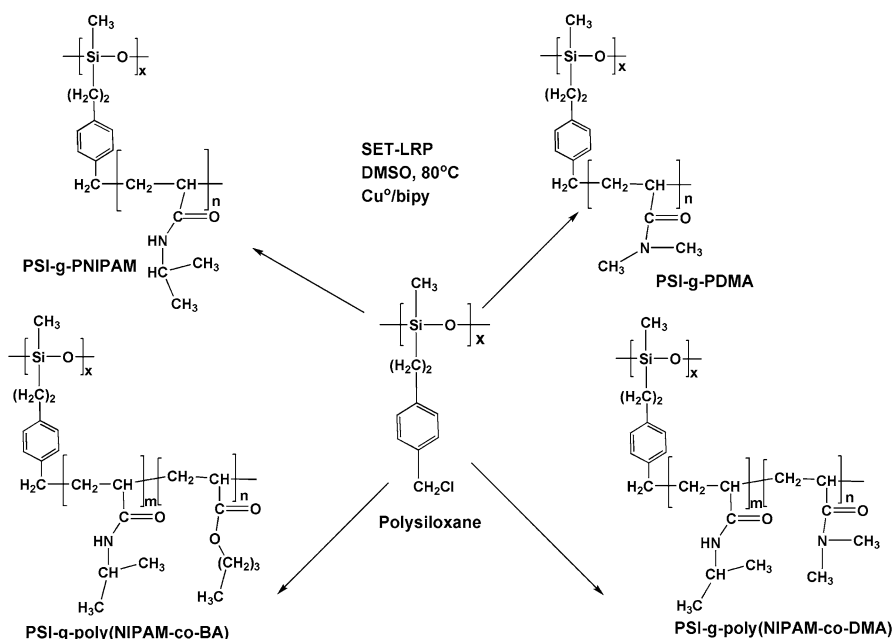
Materials

The monomer NIPAM (Aldrich) was recrystallized in *tert*-butanol and dried under vacuum prior to use in radical polymerization. Before the synthesis DMA (Aldrich) and BA (Merck) were passed once through a basic-alumina column to remove the polymerization inhibitor. 2,2'-bipyridyl (bipy) (Fluka) was purified by re-crystallization, dried under vacuum, and stored under argon. All other solvents (chloroform, dimethylsulfoxide (DMSO), diethyl ether) and chemicals were from Aldrich and used as received. The synthesis and purification of the macroinitiator (linear polysiloxane with chlorobenzyl side groups) (PSI) used in this study was performed according to the methodology previously described in [35].

Synthesis of graft polymers by SET-LRP

Linear polysiloxane containing chlorobenzyl groups in the side chain (PSI) was used as macroinitiator in the SET-LRP reaction. In a typical reaction 0.0915 g bipy were added to 0.1 g PSI previously dissolved in DMSO (1.5 mL). The mixture was introduced in a 25 mL flask where 1.5 g of the corresponding monomer/monomers (the amount of each monomer depending on the desired copolymerization ratios) and Cu wire (0.25 g) were added under stirring. The system was degassed by five freeze–pump–thaw cycles. The flask was heated at the reaction temperature (80 °C) and the synthesis was performed under nitrogen for 8 h (Scheme 1). At the end of the reaction, the polymerization mixture was diluted with CHCl_3 and the catalyst was removed by passing the solution through alumina. The clear solution was then precipitated in diethyl ether and dried under vacuum.

PNIPAM was synthesized by free radical polymerization. In a 25 mL flask 1 g NIPAM was dissolved in 2.07 mL of toluene and the benzoyl peroxide (BP) was added (0.034 g) under stirring. The reaction was performed for 3 h at 95 °C. At the end of the reaction the polymer was precipitated in diethyl ether, washed with



Scheme 1 Synthesis of graft polysiloxanes

Table 1 Characteristics of different graft polysiloxanes

Sample code	Sample	Chemical composition of the side chain	Grafting degree (%)	Molecular weight	LCST (°C)
D1	PNIPAM	–	–	36,000	31
D2	PSI-g-PNIPAM	NIPAM	87	60,000	31–32
D3	PSI-g-PDMA	DMA	60	63,700	53
D4	PSI-g-poly(NIPAM-co-DMA)	1.6 NIPAM/1 DMA	88	78,000	51–52
D5	PSI-g-poly(NIPAM-co-DMA)	3.2 NIPAM/1 DMA	85	124,000	43
D6	PSI-g-poly(NIPAM-co-BA)	4 NIPAM/1 BA	85	52,000	–
D7	PSI-g-poly(NIPAM-co-BA)	7 NIPAM/1 BA	86	107,000	–

diethyl ether, and dried under vacuum. The characteristics of the synthesized polymers are presented in Table 1.

Characterization

The polymers were characterized by ¹H NMR, fluorescence spectroscopy, DSC, dynamic light scattering (DLS) and rheology coupled with SALS (small angle light scattering). The ¹H NMR spectra were recorded on a Bruker 400 MHz apparatus. DSC curves were recorded on a Mettler DSC12E calorimeter with a heating/cooling rate of 10 K/min.

The classical method using pyrene fluorescence spectroscopy was applied to evaluate the amphiphilic polysiloxanes' aggregation capacity [36]. The critical concentration of aggregation (CCA) value was calculated using the first (named I_1) and the third (named I_3) absorption peaks corresponding to the fluorescence emission spectrum of pyrene. In aqueous solution, the I_1/I_3 ratio value corresponding to the free pyrene in water (not incorporated in the micelles) is situated around 1.70–1.75 (at room temperature, 25 °C). For concentrations lower than 10^{-2} g/L, no aggregation process was evidenced, the I_1/I_3 ratio being around 1.7 [1]. For fluorescence measurements a Rf-5301PC Shimadzu spectrofluorometer was used.

The aggregate' morphology was studied using DLS and Rheo-SALS methods. The DLS experiments were performed on a Zetasizer Nano ZS (Malvern Instruments, Southborough, MA) equipped with a He–Ne laser source ($\lambda = 633$ nm), the auto-correlation function being automatically calculated.

Rheological measurements were carried out using a rheometer Physica MCR 501 (Anton Paar, Austria) with a Peltier device for the temperature control. Parallel plate geometry with serrated plates to avoid slippage was used. The upper plate from stainless steel was 50 mm in diameter. A solvent trap was used in all rheological tests to diminish the solvent evaporation.

Combined rheological and SALS (Rheo-SALS) experiments during shear were performed using the Physica MCR 501 rheometer, equipped with a specially designed parallel plate–plate configuration (the diameter of the plate is 43 mm) in glass. The instrumentation for the Rheo-SALS experiments was purchased from Anton Paar Austria. In all measurements a 10 mW diode laser operating at a wavelength of 658 nm was used as the light source, and a polarizer was placed in front of the laser and an analyzer below the sample, making both polarized (polarizer and analyzer parallel) and depolarized (polarizer and analyzer perpendicular) experiments possible. Utilizing a prism, the laser beam was deflected and passed through the sample placed between the transparent parallel plates. The sample was applied onto the lower plate. The distance between the plates is small (0.5 or 1.0 mm), so the effect of multiple scattering was reduced when the sample became turbid at elevated temperatures. The two-dimensional scattering patterns formed on the screen were captured using a CCD camera (driver LuCam V. 4.5), whose plane was parallel to that of the screen. A Lumenera (VGA) CCD camera (Lumenera Corporation, Ottawa, Canada) with a Pentax lens was utilized, and the scattered images were stored on a computer using the StreamPix (NorPix, Montreal, Quebec, Canada) application software, which enables a real-time digitalization of the images. The images were acquired via the CCD camera with an exposure time of 200 ms. Subsequently, the pictures were analyzed using the SALS software program (version 1.1). The software package contains an analyzing program developed at the University of Leuven (Belgium), which allows analyzing the images of the scattered light [37–39].

Results and discussion

The polymers' molecular weights (M_n) were calculated using ^1H NMR spectra, by taking into account the substitution degree (the starting polysiloxane containing

chlorobenzyl groups has for all the samples a molecular weight value $M_n = 4,800\text{--}5,000$). Except sample D3 with a grafting degree of only 60 %, for all other samples the value is higher than 85 %.

In Fig. 1 the ^1H NMR spectra corresponding to the polysiloxane grafted with PNIPAM (a) and with PDMA (b) are presented. The signal corresponding to the methylenic groups of NIPAM appears at 1.25 ppm and the specific signal for methyl groups in DMA is present at 2.9 ppm. In both spectra the signal corresponding to the methyl groups from polysiloxane (reference signal for polysiloxane structural unit) can be observed at 0.1 ppm. Another characteristic signals are: at 4.0 ppm (Fig. 1a) $-\text{CH}-$ group from NIPAM; 1.5–2.5 ppm aliphatic region corresponding to the PNIPAM and PDMA main-chain; 7.1 ppm aromatic rings from polysiloxane.

The glass transition temperatures have been evidenced by DSC analysis (Table 2). Dynamic scanning calorimetric studies have been carried out on 3–5 mg samples with a Mettler Toledo DSC-1 Star System at a nitrogen flow rate of 150 mL/min. For all samples two heating and one cooling cycles have been performed between -40 and 140 °C as a function of the thermal stability of each system. Since the first heating scan is influenced by the sample's "history", only the curves corresponding to the second heating scan are presented in Fig. 2.

PNIPAM, PDMA and the other side chains bonding on the polysiloxane backbone lead to the increase of the glass transition temperature from -40 °C (for the starting polysiloxane) to more than 70 °C for samples D2, D3, D5, and D6 and even above 100 °C for sample D7. Obviously long graft-chains diminished the influence of the PSI backbone to the overall value of the glass transition temperature.

Amphiphilic graft or block polymers have the ability to generate micelles in aqueous solutions [40]. The polymers synthesized in this study are amphiphilic graft polymers bearing hydrophilic side chains on a hydrophobic polysiloxanic backbone. Moreover these systems have stimuli responsive entities in their structure. The amphiphilic polymers with thermo-sensitive elements are of particular interest because they can self-assemble into various micro and nanostructures, suitable for various biomedical applications [41]. Therefore, the next step of the study was to verify if the amphiphilic graft polysiloxanes are capable to generate micelles and to evaluate their critical concentration of aggregation (CCA) values. The CCA values were estimated as the first inflexion point from the curves that represent the plot of the I_1/I_3 ratio as a function of the polymer concentration (Fig. 3) and they range between 0.043 and 0.15 g/L. Micelles formed from the graft polysiloxanes are composed of hydrophobic polysiloxane core and a thermo-sensitive hydrophilic shell.

In agreement with the literature data published by Matyjaszewski group we supposed that increasing temperature, PNIPAM in the shell dehydrate and more inter- and intra-molecular H bonds are formed, the core hydrophobicity increases and micelles collapse. Cooling the system the H bonds are broken and the micelles expand. This particular behavior is important in biomedical applications when guest molecules can be encapsulated noncovalently, and their release can be controlled by external stimuli. The aggregation/disaggregation phenomena can be tailored throughout the polymers architecture and the hydrophobic/hydrophilic balance in the macromolecule.

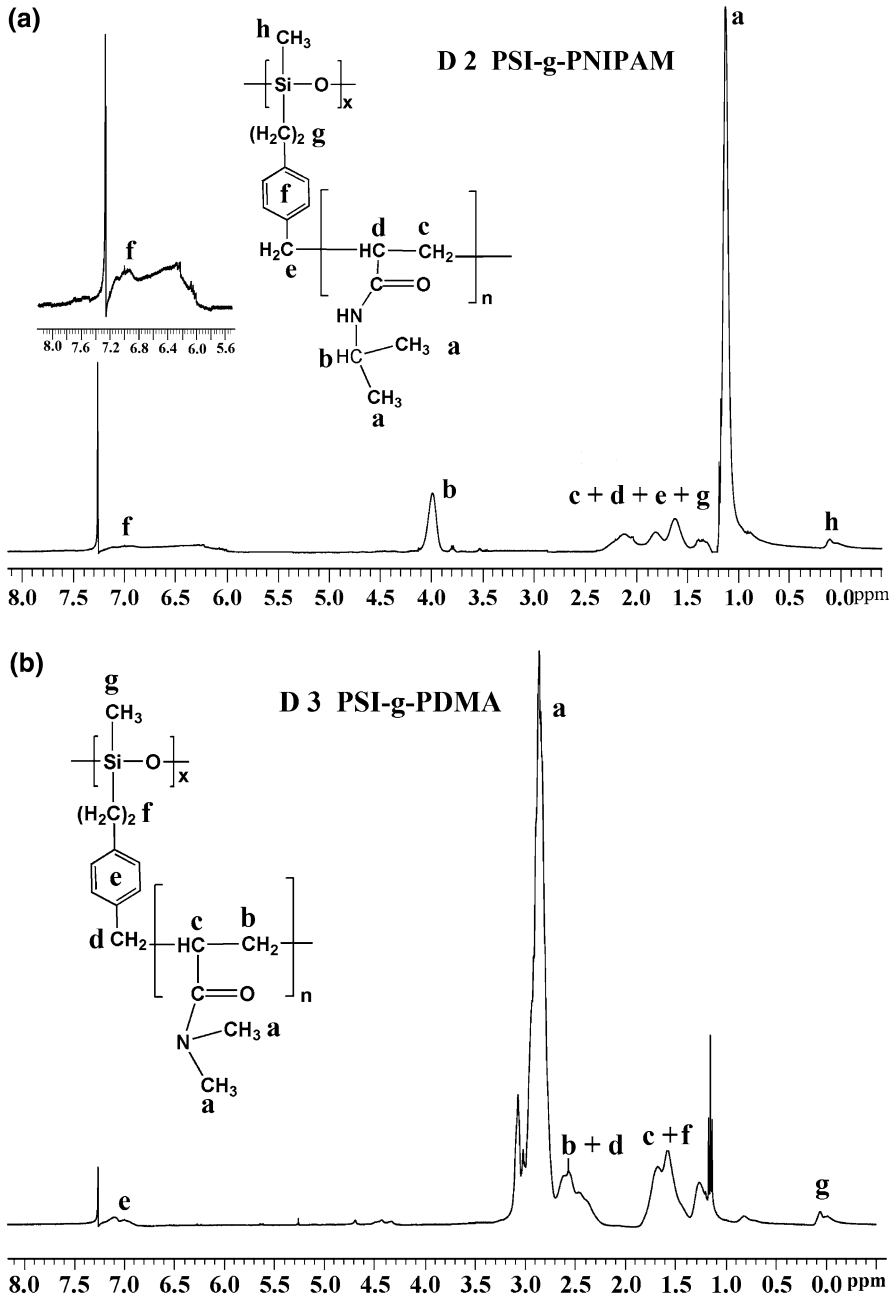
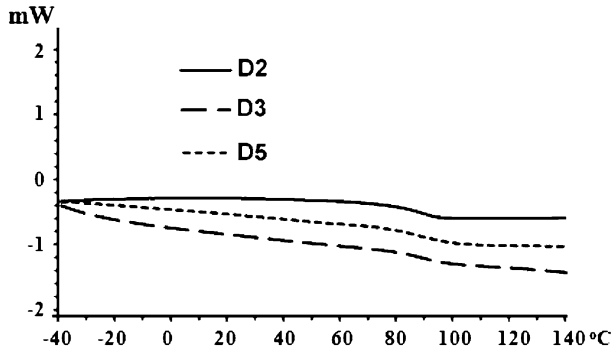
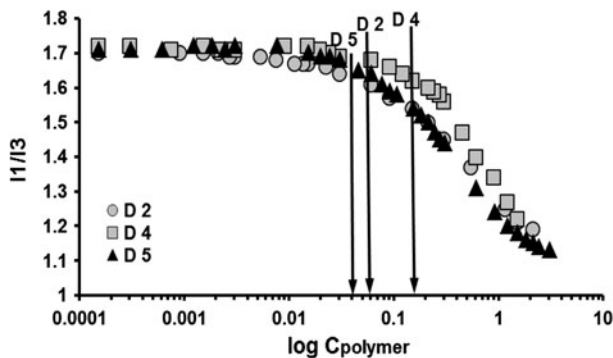


Fig. 1 ^1H NMR spectra of samples D2 (a) and D3 (b)

Table 2 Glass transition temperatures of the synthesized polymers

SAMPLE	D1	D2	D3	D4	D5	D6	D7
T_g , °C	117	89	88	62	87	73	103

**Fig. 2** DSC curves of PNIPAM (D2), PDMA (D3) and PNIPAM-co-PDMA (D5) graft linear polysiloxane**Fig. 3** Plot of the I_1/I_3 ratio as a function of the graft polysiloxane concentration corresponding to the samples D2, D4, and D5

Rheological behavior of graft polysiloxanes

Rheological oscillatory tests are widely used to characterize and quantify the macroscopic behavior of multiphase viscoelastic polymeric systems as well as their internal structure. Typical measured parameters are the storage modulus G' (a measure of the *deformation energy stored* by the sample during the shear process, representing the *elastic behavior* of the material), loss modulus G'' (a measure of the *deformation energy used* by the sample during the shear process, representing the *viscous behavior* of the material), phase angle δ , damping, or loss factor: $\tan \delta = G''/G'$ (revealing *the ratio of the viscous and the elastic portion* of the viscoelastic deformation behavior) and complex viscosity $|\eta^*|$ [42–44]. Dynamic

oscillatory testing is a recognized way to reveal interesting data about microstructure of the materials and to correlate them with the macroscopic behavior.

Comparative rheological studies have been carried out on a series of aqueous solutions of the synthesized polymers of different concentrations (between 0.1 and 5 mg/mL).

Three types of rheological measurements were carried out: amplitude sweep; temperature sweep (oscillation); rotational temperature tests. During all rheological experiments a solvent trap was used to minimize the evaporation.

The amplitude sweep is generally used to determine the linear-viscoelastic range (LVR). Here, the oscillation frequency was kept constant ($\omega = 10$ rad/s), while the oscillation amplitude (γ) was varied (between 0.01 and 100 %). All experiments were carried out at 25 °C. Tests at different frequencies (between 0.5 and 30 rad/s) were also performed to check the influence of frequency on the LVR. No significant influence of frequency on LVR was found so for all subsequent tests a deformation of 5 % was selected (placed within the LVR for all samples). Little influence of solution concentration on LVR was noticed.

A temperature sweep was carried out for all samples to evidence phase transitions induced by temperature and the thermo-associative phenomena. In the temperature tests constant frequency ($f = 1$ Hz) and constant amplitude of deformation (5 % within the LVR limits for each sample) were preselected, and the temperature was varied between 5 and 80 °C (with a heating rate of 0.5 °C/min).

Figure 4 presents the temperature test for a 3 mg/mL solution of PSI-*g*-poly(NIPAM-*co*-DMA) (D4) in terms of dynamic moduli (G' and G'') and complex viscosity ($|\eta^*|$). As supposed conformational changes of macromolecules were observed when temperature was increased. PNIPAM and PDMA have LCST values of approximately 32 and above 100 °C [45, 46], respectively, and graft polysiloxanes with side chains of these polymers or copolymers of NIPAM and DMA are

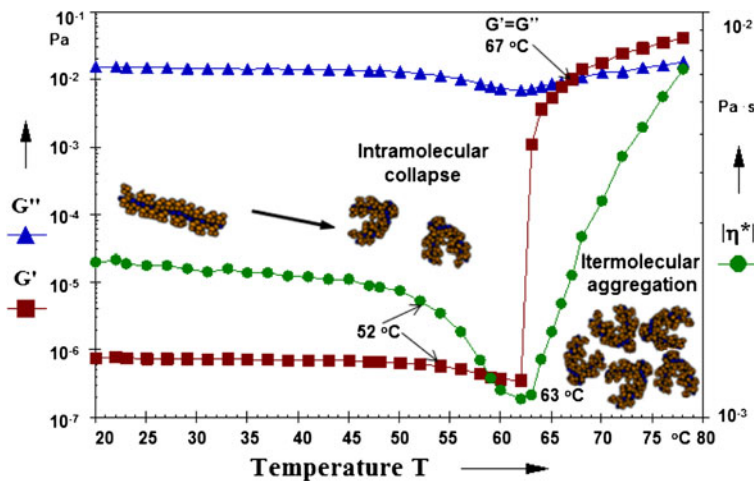


Fig. 4 Temperature sweep for a 3 mg/mL solution of PSI-*g*-poly(NIPAM-*co*-DMA) (D4) and suggested mechanism of association

expected to have LCST values in between. We supposed rheological tests to give an insight into the association/dissociation phenomena occurring in systems based on thermo-sensitive polymers with temperature. For low temperatures a specific liquid-like viscoelastic behavior is characteristic with $G'' > G'$. The structure is stable and between 5 and 51 °C the dynamic moduli are almost parallel. Here the polymer is under its LCST and adopts an extended conformation probably due to hydrogen bonding between the amide groups of the copolymer and water molecules. Continuously increasing the temperature, a slight decrease of the moduli, and a more evident decrease in the complex viscosity appears over 51 °C as a consequence of the dehydration of individual chains and beginning of the intramolecular collapse which facilitates the sliding of the macromolecules [45]. Thereafter, a sharp decrease of the complex viscosity is noticed together with the decrease in both moduli.

At 63 °C the minimum on the G'' and $|\eta^*|$ curves is reached while G' sharply increases. Correlating with DLS results we supposed the first point where an evident change (decrease or increase depending on the mechanism involved) in the rheological parameter appears as an indication for the LCST of the polymer. As discussed later on this assumption is in good correlation both with DLS data and visual observations, the solutions turning from clear to turbid. Over 63 °C both G' and $|\eta^*|$ increase until 67 °C where the cross-over point of the two dynamic moduli is reached. For higher temperatures a solid-like (gel) structure is characteristic specific to the intermolecular aggregation of the macromolecules and jamming of the bigger aggregates. We supposed for this polymer both intramolecular collapse and then intermolecular aggregation could be rheologically evidenced.

Somewhat different behavior was observed for PSI-g-PNIPAM (D2) for different solution concentrations (Fig. 5). For PSI-g-PNIPAM the first increase in the rheological parameters appears around 31 °C (detail in Fig. 5). At this

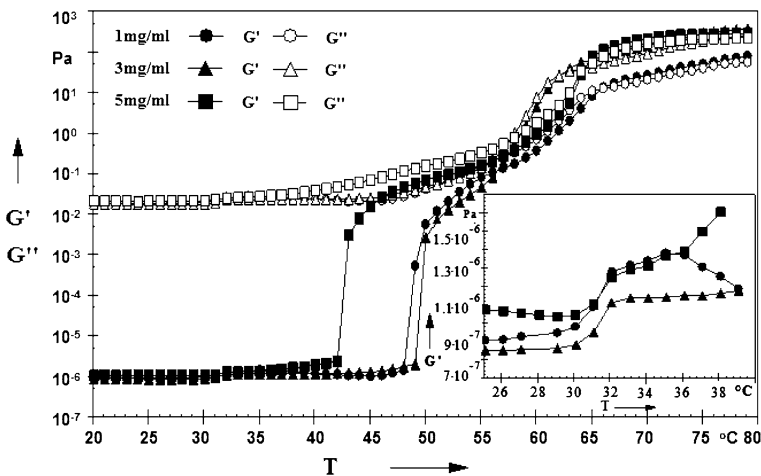


Fig. 5 Temperature sweep for solutions of PSI-g-PNIPAM (D2) of different concentrations (detail—cross-over point of G' and G'')

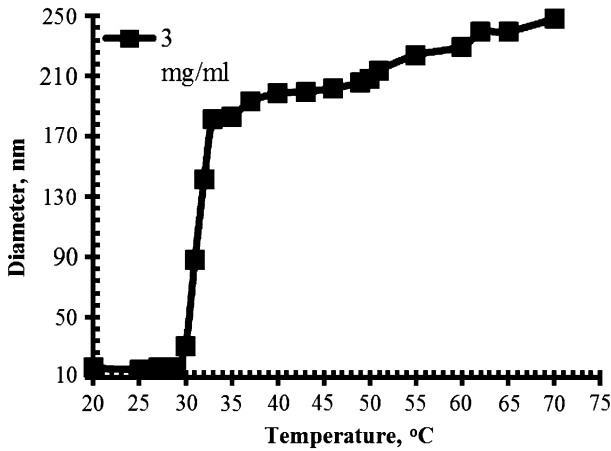


Fig. 6 Apparent hydrodynamic average diameters as a function of temperature for a 3 mg/mL solution of PSI-*g*-PNIPAM (D2)

temperature the solutions change visually from clear to turbid. Also from the DLS measurements the beginning of the increase in the aggregates dimensions is noticed at this temperature. So we supposed the LCST for this polymer to be around 32 °C. Then the intermolecular aggregation develops and the dimensions of the aggregates continuously increase as could be noticed both from rheological and DLS data (Fig. 6). Increasing solution concentration from 1 to 5 mg/mL has not noticeable influence on the LCST value, but a difference of almost 10 °C is observed for the temperature of intermolecular aggregation. As stated in the literature [45] the concentration could have an important influence on the aggregation processes due to the decrease in the distance between the macromolecular branches.

The presence of hydrophilic DMA units in the side chains shifts the LCST to higher temperatures (see Figs. 4, 7). Also the structure of the side chains changes the shape of G' and G'' curves due to different ways and rates of the intermolecular aggregation process. The formation of the intermolecular aggregates is a one or two step process depending on the structure and architecture of the branches.

The systems based on PSI-*g*-poly(NIPAM-*co*-BA) (samples D6 and D7) have a reduced solubility in water and the rheological results were not conclusive.

Rheological data describe the macroscopic behavior but more interesting is to gain an insight in the sample's structure and to correlate the structure with the rheological properties. The microstructure of a sample determines the macroscopic behavior and as a consequence the rheological properties. The combination of a SALS equipment (Physica Rheo-SALS) and a Physica rheometer permits, by the integration of the optical method, a direct correlation between the rheological behavior and the microstructure of the sample [47]. The aim is to examine how the interplay between intermolecular and intramolecular cross-linking/associations under the influence of shear is affected by hydrophobic/hydrophilic modification of the polymer and the polymer architecture. For this purpose we employed Rheo-SALS method to simultaneously monitor rheological and structural modifications. In light

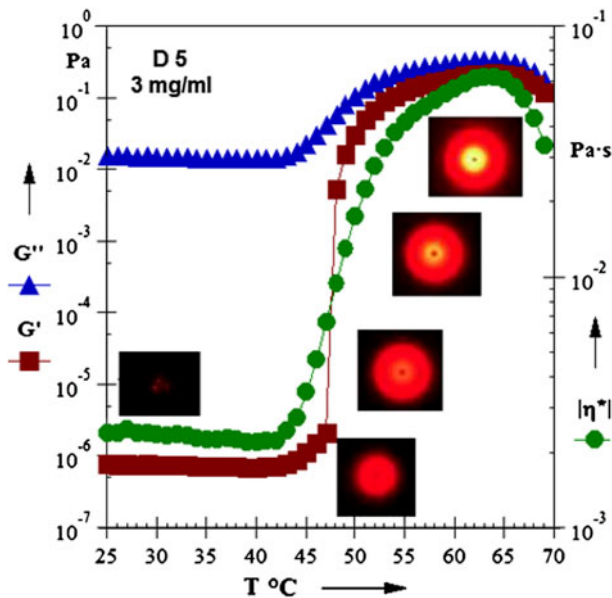


Fig. 7 Temperature sweep and Rheo-SALS images for a solution of PSI-*g*-poly(NIPAM-*co*-DMA) (D5)

scattering the angular distribution of the scattered light, which is induced by an incoming primary laser beam is measured and analyzed with respect to angle and intensity. Under certain assumptions structural information can be obtained from the scattered light intensity distribution.

Figure 7 presents the temperature sweep and the corresponding Rheo-SALS images for a PSI-*g*-poly(NIPAM-*co*-DMA) (sample D5) 3 mg/mL solution. As for sample D2 the intramolecular collapse could not be clearly evidenced but the dimensional increase of aggregates after LCST due to the intermolecular aggregation is clear on the rheological curves. Scattered intensity patterns increase with the increase in temperature supporting the assumptions of the proposed mechanism. These observations are also valid for all the analyzed samples.

Moreover the results from the oscillatory temperature tests are confirmed by the rotational temperature tests (Fig. 8). Several viscosity measurements were performed. Here the shear rate was kept constant ($\dot{\gamma} = 20 \text{ s}^{-1}$) while the temperature was varied between 20 and 70 °C with a heating rate of 0.5 °C/min (this heating rate was also used in Rheo-SALS experiments). Both the relative viscosity (η) and the shear stress (τ) are decreasing when temperature is increased from 20 to 42 °C.

Continuously increasing the temperature the intermolecular aggregates are formed, and the viscosity, as well as the shear stress increase. The characteristic temperature for the sharp increase both in viscosity and shear stress is the approximately the same like in the oscillatory temperature tests and Rheo-SALS experiments and corresponds to the visual observation when the aspect of the solution turns from clear to turbid.

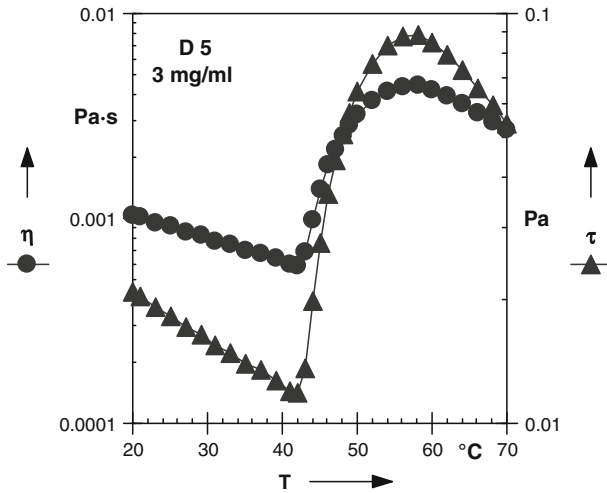


Fig. 8 Temperature dependency of the shear viscosity and shear stress of PSI-*g*-poly(NIPAM-*co*-DMA) (D5) 3 mg/mL solution

Finally we checked the correspondence between the rheologically observed aggregation phenomena and DLS data. Figure 9 presents DLS and Rheo-SALS results for the D1 sample, a 3 mg/mL solution of PNIPAM.

The thermo-sensitive behavior of PNIPAM was intensively studied so the correlation we found was an indication that our assumptions are valid also for the rheological and Rheo-SALS data. The differences in the characteristic temperature between DLS and rheological and Rheo-SALS measurements are very small (maximum 1–2 °C) for all analyzed polymer systems.

As easily can be noticed from all rheological curves and Rheo-SALS results the thermo-sensitive character of the synthesized polymers could be evidenced and a good correlation was found with DLS data. Different mechanisms apply with particular features depending on the nature and architecture of the side chains. As expected, grafting NIPAM on a flexible hydrophobic PSI backbone produces a thermo-sensitive polymer with a LCST close to the characteristic value for PNIPAM. Higher LCST values are characteristic for PSI graft with PDMA or copolymers NIPAM–DMA. Increasing the proportion of NIPAM in the copolymer decreases the LCST value. The solution concentration has noticeably influence on the aggregation process. The collapse of the macromolecular chains in aggregates with compact structure was evidenced for samples D4 and the formation of intermolecular aggregates at temperatures higher than LCST for all water-soluble samples.

Conclusion

Grafting linear PSI with NIPAM, DMA, and copolymers of NIPAM with DMA using SET-LRP technique allows developing polymers characterized by high

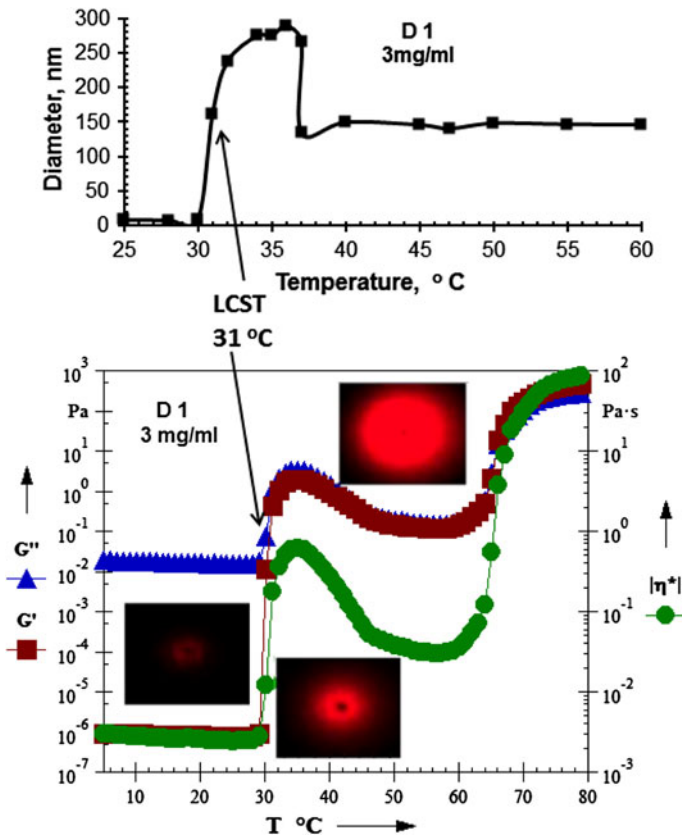


Fig. 9 Temperature sweep and Rheo-SALS images for a solution of 3 mg/mL PNIPAM (D1) correlated with DLS data

flexibility and controlled thermo-sensitivity. The coil to globule transition of copolymer side chains and thus the LCST of the resulted polymers can be tuned by modifying structure of branches and the ratio of the structural units in the statistic copolymers. Different mechanisms involving both intra- and intermolecular aggregation or only one of them can be involved depending on the architecture of the system and the solution concentration. Rheological and Rheo-SALS measurements proved to be useful tools to characterize the macroscopic behavior but also to evidence structural changes below and above the LCST for the analyzed systems. Good correlation was found between rheological, rheo-SALS and DLS data. Samples D2 and D5 are the most promising materials for further biological applications.

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