### **REVIEW**



# **The emerging role of complement in neuromuscular disorders**

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#### **Abstract**

The complement cascade is a key arm of the immune system that protects the host from exogenous and endogenous toxic stimuli through its ability to potently regulate infammation, phagocytosis, and cell lysis. Due to recent clinical trial successes and drug approvals for complement inhibitors, there is a resurgence in targeting complement as a therapeutic approach to prevent ongoing tissue destruction in several diseases. In particular, neuromuscular diseases are undergoing a recent focus, with demonstrated links between complement activation and disease pathology. This review aims to provide a comprehensive overview of complement activation and its role during the initiation and progression of neuromuscular disorders including myasthenia gravis, amyotrophic lateral sclerosis, and Duchenne muscular dystrophy. We will review the preclinical and clinical evidence for complement in these diseases, with an emphasis on the complement-targeting drugs in clinical trials for these indications.

**Keywords** Myasthenia gravis · Amyotrophic lateral sclerosis · Membrane attack complex · Complement · Neuromuscular junction

# **Introduction**

The complement system is an evolutionarily ancient efector of innate and adaptive humoral immunity. The system is a proteolytic cascade comprising of blood-circulating soluble proteins and membrane-bound receptors or regulators [[1](#page-8-0)], that act collectively to detect and recognise microbial or danger-associated molecular patterns. This subsequently leads to complement activation to protect the host from noxious stimuli and eliminate its target, through the ability to regulate infammation, chemotaxis, and phagocytosis, via the activation of complement receptors on innate and adaptive immune cells. Furthermore, complement can also eliminate pathogens directly through cytolytic activity of the membrane attack complex (MAC (C5b-9); [[2](#page-8-1)]). Although the

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complement system is important for self-defence and maintaining homeostasis, given its non-specifc nature, excessive and uncontrolled activation of the complement system is frequently observed in plethora of acute and chronic diseases including neuromuscular disorders. With increasing interest in targeting complement as a therapeutic approach to ameliorate tissue destruction in neuromuscular disease, this review aims to provide an overview of complement activation and its role during the initiation and progression of neuromuscular disorders including myasthenia gravis, amyotrophic lateral sclerosis, and Duchenne muscular dystrophy.

# **Neuromuscular junction**

The neuromuscular junction is a highly specialised chemical synapse between the nerve terminal of a motor neuron and the muscle fbre it innervates (Fig. [1](#page-1-0)). The electrical impulses (i.e. action potentials) generated from motor neurons travel down the motor nerves to the muscle fbre, which initiates the opening of transmembrane voltagegated calcium ion channels on the presynaptic membrane [[3\]](#page-8-2). Increased calcium infux into the motor nerve terminal triggers the release of acetylcholine and agrin, proteins involved in muscle contraction into the synaptic cleft. The <span id="page-1-0"></span>**Fig. 1** The neuromuscular junction. Presynaptic nerve terminal activation leads to infux of  $Ca<sup>2+</sup>$  through voltage-gated  $Ca<sup>2+</sup> channels (VGCC), causing$ the secretion of ACh into the synaptic cleft. AChRs present at the postsynaptic membrane bind to the released ACh, causing  $Na<sup>+</sup>$  influx through voltage-gated Na<sup>+</sup> channels, inducing depolarisation of the postsynaptic membrane, and stimulating muscle contraction. Agrin, also released following an action potential at the nerve terminal, binds to its receptor, LRP4/MuSK, driving AChR clustering and maintenance of neuromuscular units. ACh, acetylcholine; AChE, acetylcholinersterase; AChR, acetylcholine receptor; LRP4, low-density lipoprotein receptor–related protein 4; MuSK, muscle-specifc kinase; VGCC, voltage-gated  $Ca<sup>2+</sup> channel. Figure adapted$ from [\[23,](#page-9-0) [94\]](#page-11-0). Images obtained and adapted from "Neuromuscular Junction", by BioRender. com (2021); retrieved from [https://app.biorender.com/biore](https://app.biorender.com/biorender-templates) [nder-templates](https://app.biorender.com/biorender-templates)



synaptic cleft is a space between the presynaptic terminal and the postsynaptic muscle membrane and is comprised of an extracellular matrix called the synaptic basal lamina [[4\]](#page-8-3). This basal lamina is composed of numerous molecules that are important for the organisation, alignment, and structural integrity of the neuromuscular junction. The postsynaptic muscle membrane (also referred to as the motor end-plate) is characterised by a high degree of folding, with nicotinic acetylcholine receptors (AChR) clustered on top of these folds [[5](#page-8-4)]. Binding of acetylcholine to these receptors leads to the opening of the sodium channels located at the base of these folds, causing membrane depolarisation triggering muscle contraction [[6\]](#page-8-5). Acetylcholinesterase, an enzyme that breaks down acetylcholine, is also present in the synaptic basal lamina, that efectively terminates synaptic transmission [\[7,](#page-8-6) [8](#page-8-7)]. Several other proteins including rapsyn, agrin, musclespecific kinase (MuSK), and low-density lipoprotein receptor–related protein 4 (LRP4) are also found at the motor end-plate as complexes, that cause AChR clustering, which is essential in the formation and maintenance of neuromuscular units [\[9](#page-8-8), [10](#page-8-9)].

## **Complement cascade**

The complement system is an important component of our immune system. Complement activation provides a frst line of defence against endogenous and exogenous threats in the form of foreign pathogens and noxious self-derived molecules. Complement also plays a role in the adaptive immune response, where, for example, it acts as an efector system for primary and secondary antibody responses of B cells, and their maturation within the germinal centre for positive selection  $[11, 12]$  $[11, 12]$  $[11, 12]$ . The cascade is activated via three diferent pathways: the classical, lectin, and alternative, where each pathway has a diferent initiation mechanism. All activation pathways ultimately lead to the generation of biologically active anaphylatoxins (C3a and C5a), opsonins (C1q, C3b, and C4b), and the formation of the MAC. The classical pathway is initiated by the C1 complex comprising C1q, C1r, and C1s, which sense and bind to antigen–antibody complexes with IgG1, IgG2, IgG3, or IgM. However, C1q has also been shown to bind directly to pathogen surfaces in the absence of antibodies [\[13](#page-8-12)].

Additionally, C1q can interact and bind to molecules such as actin, adiponectin, amyloid fbrils, C-reactive protein, and prion proteins, all of which can cause an infammatory response [[13\]](#page-8-12). The lectin pathway is triggered primarily by carbohydrate groups on surfaces of certain pathogens and senescent or apoptotic cells, through the recognition molecules mannose-binding lectin (MBL), fcolins, and collectin-11 [[14](#page-8-13)]. Lectin pathway initiator molecules form complexes with MBL serine proteases (MASP1 and MASP2), leading to the formation of a C3 convertase and activation of the same downstream pathway as in the classical pathway. Unlike the classical and lectin pathways, the alternative pathway is spontaneously and continuously active at a low rate and its activation is amplifed in response to bacteria and foreign surfaces, leading to the spontaneous hydrolysis of C3. The formation of  $C_3(H_2O)$ will generate an initial fuid-phase alternative pathway C3 convertase, which can cleave C3 to generate additional C3a and C3b. The C3b deposited on activating surfaces will bind to protease factor B and subsequently cleaved by factor D to generate the fnal alternative pathway C3 convertase, which will cleave more C3 and thus forming an amplifcation loop. The C3-mediated amplifcation by the alternative pathway assists with normal surveillance of the surrounding host environment [\[15\]](#page-8-14).

The three complement activation pathways all converge on the central complement molecule, C3, with its activation leading to the generation of its cleavage fragments C3a and C3b. The cleavage product, C3b, is an opsonin that will bind to the membrane of pathogens and altered host or foreign surfaces for phagocytic removal [[16\]](#page-8-15). Equally important, C3b is also an essential factor in generating C5 convertases that preferentially cleave complement component C5, which initiates terminal complement activation [[17](#page-8-16)]. The activation of C5 forms two major efectors of complement activation, C5a and C5b. C5a is considered one of the most potent pro-infammatory molecules, and exhibits wide-ranging biological functions through activity at two receptors; the classical receptor C5aR1, and the alternate receptor C5aR2 [\[18\]](#page-8-17). The second active fragment, C5b, associates with the plasma proteins C6 and C7, initiating a conformational change to form a hydrophobic membrane binding site in the lipophilic complex [[19\]](#page-8-18). Once the complex is inserted and locked tightly onto a cell membrane, it will recruit C8 and as many as twelve C9 molecules, which will induce major conformational changes and embed into the membrane to form a transmembrane pore. This permits calcium and sodium infux, consequently leading to cell death via osmotic lysis, as well as triggering infammatory and other activation pathways [[19\]](#page-8-18).

Given the potential for a non-specifc nature of the complement cascade, it is tightly controlled through several complement regulatory proteins in the circulation and on cell surfaces, which act to prevent ongoing activation of complement pathways progressing to tissue damage. Fluid-phase classical and lectin pathway regulators include C1 esterase inhibitor (C1-INH) and C4b-binding protein (C4BP). C1-INH regulates complement activation via inactivating C1r, C1s, MASP-1, and MASP2 [[16,](#page-8-15) [20](#page-9-1)], while C4BP binds to convertases containing C4b and mediates their degradation [\[16](#page-8-15), [20\]](#page-9-1). Soluble alternative pathway regulators include Factor H and properdin, where Factor H controls tick-over activation and the amplifcation loop by binding to hydrolysed C3 and C3b, while properdin is a positive regulator of complement activation by binding to and stabilising the alternative pathway C3 convertase  $[16, 20]$  $[16, 20]$  $[16, 20]$  $[16, 20]$ . The membrane of host cells also expresses complement regulators to keep their activity under control. For example, the complement regulatory protein CD55 is expressed on host cell surfaces and inactivates C3 and C5 convertases through the dissociation of Bb or C2b [[21\]](#page-9-2). CD59 is also a key regulatory protein, which prevents MAC formation on cells by inhibiting C9 polymerisation [[22\]](#page-9-3).

### **Myasthenia gravis**

Myasthenia gravis (MG) is a rare autoimmune disease that afects the neuromuscular junction, whereby nicotinic AChR is depleted at the postsynaptic membrane, compromising neuromuscular transmission [\[23\]](#page-9-0). The condition is driven by a B-cell-mediated overproduction of autoantibodies targeting multiple components of the postsynaptic end-plate. The majority of MG patients  $(~80\%)$  possess autoantibodies directed against AChR, with the remaining 20% possessing antibodies directed against MuSK, LRP4, or agrin [\[24](#page-9-4), [25](#page-9-5)]. The binding of autoantibodies to these proteins at the motor end-plates in generalised MG patients manifests in weakness and fatigability of bulbar, ocular, and skeletal muscles [\[26](#page-9-6)]. In patients with AChR antibodies, synaptic transmission at the neuromuscular junction is primarily reduced by autoantibodies binding to AChR through several pathogenic mechanisms. These primarily include (i) inhibiting the binding of acetylcholine to AChRs; (ii) accelerating the internalisation and subsequent degradation of AChRs; and (iii) classical pathway complement activation leading to the formation of MAC on the postsynaptic membrane [\[23\]](#page-9-0). Hence, complement activation is considered one of the key efectors of AChR antibody-induced MG (Fig. [2\)](#page-3-0).

### **Complement activation in myasthenia gravis**

In AChR-positive generalised MG, complement is activated by AChR antibodies, that are primarily of the IgG1 and IgG3 subclass [[27](#page-9-7)]. After binding to AChRs, these antibodies interact with C1q of the classical complement pathway [\[28](#page-9-8)].

<span id="page-3-0"></span>**Fig. 2** Proposed pathological mechanisms driving anti-AChRpositive myasthenia gravis. In myasthenia gravis, synaptic transmission at the neuromuscular junction is reduced through three pathogenic mechanisms. This includes (1) production of AChR autoantibodies that bind and prevent the interaction of  $\angle$ ACh at  $\angle$ AChRs; (2) acceleration of the normal internalisation and degradation of surface AChRs that are cross-linked by autoantibodies; and (3) classical complement pathway activation induced by C1-complex-antibody interaction, leading to the formation of MAC/C5b-9 on the postsynaptic membrane and dysfunction of the neuromuscular junction. All pathways lead to reduced muscle contraction. ACh, acetylcholine; AChR, acetylcholine receptor; MAC, membrane attack complex. Figure adapted from [\[23,](#page-9-0) [94\]](#page-11-0). Images obtained and adapted from "Neuromuscular Junction", by BioRender. com (2021); retrieved from [https://app.biorender.com/biore](https://app.biorender.com/biorender-templates) [nder-templates](https://app.biorender.com/biorender-templates)



This initiates the classical complement cascade through C1r and subsequently C1s activation, ultimately leading to the formation of a C5 convertase that initiates MAC deposition at the neuromuscular junction [[28\]](#page-9-8). MAC formation reduces the integrity of the postsynaptic membrane, minimising membrane surface area, AChR numbers, and voltage-gated sodium ion channels. As a result, neuromuscular transmission is impaired leading to muscle weakness that is a characteristic phenotype of MG [\[29\]](#page-9-9).

The frst evidence that implicated a potential role for complement in MG pathogenesis came from the early 1960s, where changes in various complement protein levels including C3, C4, and terminal components were observed in MG patient serum [[30](#page-9-10), [31](#page-9-11)]. These data implied complement components could be involved in the MG pathogenic process; however interestingly, complement levels were not correlated to severity of muscle weakness [\[32](#page-9-12), [33\]](#page-9-13). Additional immunohistochemistry and electron microscopy studies of the MG neuromuscular junction further suggested a role for complement, as C3 and MAC deposition were identifed at the postsynaptic membrane and at degenerating junctional

folds in the synaptic space [[34](#page-9-14)–[38\]](#page-9-15). Coinciding with the potential role of complement activation in MG pathogenesis, sera from MG patients was found to induce complementmediated lysis of cultured myotubes, cementing its role in inducing focal lysis to the muscle [\[39\]](#page-9-16). More recently, another study demonstrated that the complement regulator properdin is decreased in MG serum, and its levels to be negatively associated with MG severity [[40\]](#page-9-17). Overall, there is overwhelming clinical data that suggests complement is a major efector pathway in MG patients, and likely contributes signifcantly to its pathology.

Clinical fndings of complement involvement in MG have also been observed in animal models. Animal models allow for the more precise investigation of the functional role of complement in MG and the ability to test diferent therapeutic strategies. Despite the limitations inherent in using rodents to model human disease, animal models of MG are useful in that they retain many of the pathological phenotypes observed in human MG patients. These include the presence of AChR autoantibodies, complement factors, and IgG deposition at the neuromuscular junction,

and a reduction of AChRs at the junctional folds [[41](#page-9-18)]. Animal models of experimentally acquired myasthenia gravis (EAMG) are achieved either by administering AChR antibodies (passive model) or immunising the animal with purified AChR or peptide fragments of AChR (active model; [[42–](#page-9-19)[44\]](#page-9-20)). EAMG animals acquire muscle weakness, fatigue, and show a decreased response to repetitive nerve stimulation, similar to what is observed in human patients [[45\]](#page-9-21). Substantial evidence from EAMG studies supports a role for complement activation in the initiation and progression of postsynaptic membrane damage. Animals in passive or active EAMG models present with antibody and complement deposition at the neuromuscular junction [[46](#page-9-22), [47](#page-9-23)]. Immunofuorescence studies have also correlated this complement deposition with the loss of AChR from neuromuscular junctional folds [\[46,](#page-9-22) [47](#page-9-23)].

## **Upstream complement activation in experimentally acquired myasthenia gravis**

Direct evidence for the contribution of early complement pathway factors in EAMG pathology has been obtained from several studies using mice deficient in specific upstream/proximal components of the complement system. Studies have shown that animals that lack C3 or C4 have signifcantly lower incidence of active EAMG than their wild-type counterparts, in parallel with reductions in IgG, C3, and MAC deposition at the neuromuscular junction [[28\]](#page-9-8). In contrast to studies investigating the complement components C3 and C4, genetic deletion of the lectin component MBL showed no diference in the susceptibility to the development of EAMG by AChR immunisation [[48](#page-9-24)]. These mice showed similar amounts of IgG, C3, and MAC deposition at the neuromuscular junction suggesting that the lectin pathway is not critically involved in the development of EAMG [[48](#page-9-24)]. To support the role of proximal complement factors in EAMG pathology, several pharmacological approaches for upstream complement blockade have been studied, to evaluate if these therapies can efectively inhibit EAMG induction or improve symptoms in experimental animals. Inhibiting C1q or C2 using an anti-C1q antibody and small interfering RNAs (siRNA) for C2 signifcantly reduced the incidence of EAMG induced by AChR immunisation and improved muscle strength and survival in mice following EAMG induction [\[49,](#page-9-25) [50](#page-9-26)]. Reductions in C3 and MAC deposition at the neuromuscular junction were also observed along with increased AChR levels [\[49,](#page-9-25) [50\]](#page-9-26). Taken together, these studies indicate that early complement activation through the classical activation pathway in response to AChR autoantibodies plays a key role in driving the disease process.

## **Terminal complement activation in experimentally acquired myasthenia gravis**

In addition to the upstream complement pathways, many studies have also demonstrated that the terminal component of the complement system plays a direct detrimental role in EAMG pathology. Indeed, animals lacking C5 or C6 showed similar results to genetic deletion of upstream components, where genetic deletion of C5 led to markedly lower incidence of disease and reduced the loss of AChR in the muscle for active EAMG, while C6 defciency led to resistance to passive EAMG induction [[51,](#page-9-27) [52](#page-9-28)]. Importantly, C6 defcient animals administered human C6 during the induction of EAMG, developed pathology similar to that observed in wild-type animals, suggesting MAC formation is crucial and required for neuromuscular junction damage. Interestingly, in contrast to studies investigating C5 and C6, a study that utilised knockout mice for the efector receptor, C5aR1, showed no diferences in the susceptibility to the development of EAMG by AChR immunisation or IgG, C3, and MAC deposition at the neuromuscular junction [[53\]](#page-9-29). This suggests that C5a–C5aR1 signalling is not critically involved in the development of EAMG [[53](#page-9-29)]. To further support terminal complement involvement in MG pathogenesis, multiple studies demonstrated that inhibiting C5 or C6 using anti-C5 or C6 antibodies and the recombinant C5 inhibitor rEV576 prevented development of EAMG by passive transfer of AChR antibodies, and also improved muscle weakness when administered after EAMG induction [\[54](#page-9-30)[–56](#page-9-31)]. The accumulation of MAC components C6 and C9 was reduced at the neuromuscular junction, blocking the formation of pathogenic MAC on the postsynaptic membrane. A more recent study utilising siRNA for C5 in both a rodent and a non-human primate MG model showed efficient and prolonged suppression of liver C5 expression. Silencing C5 expression in the liver signifcantly reduced disease severity in both the passive and active EAMG animals, leading to improvements in muscle weakness. This plethora of studies strongly indicate that in addition to the initiating classical components of complement, the efector MAC is crucial in driving the neuromuscular junction damage observed in MG and offers a distinct potential therapeutic target.

## **Complement regulators in experimentally acquired myasthenia gravis**

Additional support for complement-driven pathology in the development of MG is observed in mice lacking specifc complement regulators. CD55 is a complement regulator that inhibits C3 and C5 convertases and thus reduces the formation of the MAC. Multiple studies confrmed that genetic deletion of CD55 in mice increases the suscepti-bility to EAMG [\[57](#page-10-0)[–59](#page-10-1)]. In CD55 knockout mice, EAMG severity was exacerbated with a greater deposition of C3 observed at the neuromuscular junction, greater reduction of AChR levels, and increased junctional damage compared with control animals [\[57–](#page-10-0)[59\]](#page-10-1). Interestingly, another study investigating the complement regulator CD59, which inhibits MAC formation directly, showed similar EAMG severity and complement deposition at the neuromuscular junction compared to wild-type animals [[57,](#page-10-0) [59](#page-10-1), [60\]](#page-10-2). Regardless of this negative study, studies utilising complement-defcient animals strongly support that complement activation is a key driver of EAMG pathology, and encourages therapeutic development into targeting the complement system for human MG. In addition to these studies in knockout mice, application of recombinant or purifed complement regulators has also been evaluated as potential therapeutics for MG. Administration of human complement receptor 1 (sCR1), which inhibits both the classical and alternative complement pathways, was shown to reduce clinical phe-notypes in EAMG induced by AChR immunisation [[61](#page-10-3)]. Other complement regulators that have been investigated are the complement receptor 1–related gene/protein y (CRRY), and CD55, which both inhibit C3 convertases. Separate studies utilised CRRY and CD55 regulators, where CRRY was coupled to the Fc region of IgG2a to prolong circulating half-life, and CD55 coupled to a single-chain antibody directed towards the AChR  $\alpha$ -subunit, to assist in its delivery to the neuromuscular junction [[62](#page-10-4), [63](#page-10-5)]. Both approaches signifcantly improved muscle weakness, prevented EAMG induction, and decreased the deposition of C3 and C9 at the neuromuscular junction [\[62,](#page-10-4) [63\]](#page-10-5).

Overall, support for a pathogenic role of complement in MG has been well documented in several preclinical EAMG models as detailed above. There is substantial evidence from EAMG animals that suggests induction of the classical complement pathway, and in particular the formation of MAC is required for the induction of EAMG symptoms due to the postsynaptic membrane damage caused by MAC. Hence, inhibiting MAC formation through diferent strategies at diferent levels in the classical complement cascade can improve EAMG symptoms. This is further supported in MG patients where MAC deposition at the neuromuscular junction is associated with damaged motor end-plates and muscle weakness. These compelling animal and clinical data led to clinical studies which targeted C5 in MG patients as detailed below.

## **Clinical trial evidence for complement inhibition in myasthenia gravis**

Current common treatment options for MG include acetylcholinesterase inhibitors, corticosteroids, or steroid-sparing immunosuppressive treatments, as well as short-term immunomodulatory therapies, such as plasma exchange, therapeutic apheresis, or intravenous immunoglobulins [[64,](#page-10-6) [65\]](#page-10-7). Approximately 10–15% of patients fail to respond adequately to these commonly available treatments, or experience intolerable side efects to immunosuppressive treatments, and these patients are considered to have refractory MG [\[66,](#page-10-8) [67](#page-10-9)]. Due to the high degree of disease burden for these patients, there is an unmet clinical need to fnd more efective and specifc immunosuppression, and to reduce adverse efects. As detailed above, animal and clinical data strongly implicate complement-mediated destruction of the neuromuscular junction as a major cause of MG pathology, which propelled complement as an attractive therapeutic target. Indeed, inhibition of the complement cascade has now been extensively studied at the C5 level in patients with generalised MG. Eculizumab (Soliris) is the frst specifc complement-targeting drug approved for complement-mediated diseases. It is a humanised IgG2 and IgG4 monoclonal antibody, that binds to C5 with high affinity, inhibiting its cleavage into C5a and C5b and ultimately preventing MAC formation [[68](#page-10-10)]. The efficacy of eculizumab in MG was initially assessed for 14 refractory MG patients with AChR autoantibodies in a randomised, double-blind, placebo-controlled Phase II trial (Study C08-001), where a beneficial effect due to therapy was demonstrated in these patients [[69\]](#page-10-11). This further led to a randomised, double-blind, placebo-controlled multicentre Phase III REGAIN trial (ECU-MG-301) in 125 refractory MG patients, with an open-label extension study (ECU-MG-302), which also demonstrated safety with significant and rapid improvement in symptoms [\[70,](#page-10-12) [71\]](#page-10-13). Currently, eculizumab is approved for AChR antibody–mediated refractory MG in the USA, Europe, and Japan.

Although eculizumab is showing promise in MG, it is inefective for patients harbouring rare C5 mutations in the eculizumab binding site, is parenterally administered, and still incurs a high fnancial cost compared to other therapies for generalised MG [\[72](#page-10-14), [73](#page-10-15)]. Hence, additional treatment options for targeting C5 and MAC are of great interest. Zilucoplan, is a small macrocyclic peptide that binds to C5 with high affinity and specificity and inhibits MAC formation by preventing C5 cleavage and thus the frst step of MAC assembly (i.e. C5b and C6 interaction). The binding site of Zilucoplan on C5 is distinct from eculizumab, as it still shows efficacy in patients resistant to eculizumab. The efficacy of Zilucoplan in MG was assessed in 44 AChR antibody–positive generalised MG patients in a Phase II double-blind, placebo-controlled trial (NCT03315130). Similar, to eculizumab, Zilucoplan treatment showed signifcant improvements in primary and secondary endpoints, and a Phase III study (RAISE; NCT04115293) is currently underway for patients with MG.

## **Amyotrophic lateral sclerosis**

Amyotrophic lateral sclerosis (ALS) is a heterogenous, late-onset neurodegenerative disorder, that is characterised by the degeneration of both upper and lower  $\alpha$ -motor neurons in the motor cortex, brain stem, and spinal cord. This leads to muscle weakness and paralysis and eventual death due to respiratory failure. The initial presentation of ALS varies between patients, where some patients have onset of limb weakness, and some with dysphagia (difficulty swallowing) and/or dysarthria (difficulty talking). The majority of ALS cases are sporadic with unknown aetiology and no common environmental risk factors. However, a subset of cases are familial, where they are associated with gene mutations that have a wide range of functions [[74\]](#page-10-16). The two causes of ALS are however indistinguishable based on their clinical and pathological features, with both presenting with muscle atrophy, weakness, and spasticity [\[75](#page-10-17)]. There are several theories that have been hypothesised regarding the cause of muscle denervation in ALS including the unwanted heightened activity of the complement system [[74,](#page-10-16) [75](#page-10-17)]. Although the complement system in the pathology of ALS has been reviewed previously [[76–](#page-10-18)[79](#page-10-19)], this review will summarise evidence for complement's specifc involvement in the neuromuscular unit.

## **Complement activation in amyotrophic lateral sclerosis skeletal muscle**

A substantial body of research has been performed on ALS patients and animal models of ALS, investigating the levels of complement components in the blood, motor cortex, spinal cord, and skeletal muscle [\[80–](#page-10-20)[85\]](#page-10-21). Although the majority of these studies have focussed on complement activation in the circulation and central nervous system, there are now emerging studies that have investigated complement in skeletal muscle ALS pathology. Complement activation with deposition of C1q, C3/C3b, and MAC has been observed in the neuromuscular junction of patients with ALS [[86](#page-10-22)]. Increased deposition of complement factors C1q, C3/C3b, and MAC is demonstrated on the motor end-plates of intercostal muscles from ALS patients, while no deposition is observed in healthy controls [\[86](#page-10-22)]. The complement regulators CD55 and CD59 are also detected at the motor endplates of ALS patient muscles [\[86\]](#page-10-22), suggesting that the muscle may be attempting to neutralise complement activation to prevent further complement activity and MAC deposition progressing to muscle denervation. Additionally, this study also provided evidence that these components were deposited on motor end-plates, prior to denervation, suggesting that it may play a key role in the initial pathological

process leading to the degradation of the ALS neuromuscular junction.

In addition to clinical evidence implicating the complement system in the skeletal muscle, many ALS animal models have also confrmed the role of diferent complement pathways in the skeletal muscle throughout disease progression. There have been numerous reports implicating complement involvement, where marked up-regulation of C1q, C3, C4, factor B, C5a, C5aR1 and the regulators CD55 and CD59a at mRNA and protein levels are detected in skeletal muscle of multiple ALS mouse models including SOD1<sup>G93A</sup> and TDP43<sup>Q331K</sup> transgenic mice [\[85,](#page-10-21) [87\]](#page-10-23). Increases in C5aR1 expression in the skeletal muscle is primarily due to the infltrating macrophages, as genetically deleting *C5ar1* from SOD1<sup>G93A</sup> ALS mice, dramatically decreases the number of infltrating macrophages in line with extending survival and improved muscle strength [\[85](#page-10-21), [88\]](#page-10-24). Additionally, C5aR1 antagonist (PMX205) treatment in SOD1G93A mice extends survival, improves motor functions (i.e. hind-limb grip strength), and slows disease progression [[82\]](#page-10-25). This indicates that C5a–C5aR1 signalling may accelerate ALS disease progression through the increased recruitment of pro-infammatory macrophages to sites of neuromuscular denervation. Collectively, these fndings suggest that complement activation within the skeletal muscle could be a key driver of pathology seen in ALS progression (Fig. [3\)](#page-7-0).

Similar to MG, the substantial clinical and preclinical evidence for complement in the pathophysiology of ALS has prompted the initiation of several clinical trials using anticomplement therapies. To the best of our knowledge, there are three active clinical trials investigating complement inhibition in ALS patients. The frst of these is ravulizumab (Ultomiris), a recombinant humanised monoclonal antibody similar to eculizumab that binds the complement protein C5 with high affinity. The efficacy of ravulizumab is being assessed in 382 sporadic and familial ALS patients in a Phase III double-blind, placebo-controlled multicentre trial (NCT04248465). Another clinical trial is also assessing the efficacy of zilucoplan, a C5 inhibitor in 160 ALS patients in a Phase II/III double-blind, placebo-controlled trial (NCT04436497). Lastly, a study is being conducted to evaluate the efficacy and safety of the C3 inhibitor pegcetacopan, in 228 sporadic ALS patients in a Phase II double-blind, placebo-controlled multicentre trial (NCT04579666). All three trials are estimated to be completed between 2022 and 2024.

# **Potential role of complement in Duchenne muscular dystrophy**

Duchenne muscular dystrophy is a severe, progressive, muscle-wasting disease caused by mutations in the *DMD* gene on the X chromosome, that prevents the production <span id="page-7-0"></span>**Fig. 3** Proposed complementmediated pathophysiology in the neuromuscular unit of amyotrophic lateral sclerosis patients. SOD1 and TDP43 aggregates from motor neurons and muscles are released into the synaptic cleft, which activates complement and leads to the generation of C5a and C5b from C5 cleavage. C5b leads to the formation of MAC/C5b-9 on the postsynaptic membrane causing dysfunction/destruction of the neuromuscular junction. C5a binds to C5aR1 leading to infltration and activation of macrophages, which assists in motor neuron degeneration and ultimately neuromuscular denervation leading to paralysis. Images obtained and adapted from "Neuromuscular Junction", by BioRender.com (2021); retrieved from [https://](https://app.biorender.com/biorender-templates) [app.biorender.com/biorender](https://app.biorender.com/biorender-templates)[templates](https://app.biorender.com/biorender-templates)



of the muscle isoform of dystrophin [\[89\]](#page-10-26). Duchenne muscular dystrophy occurs primarily in males and the initial symptoms are difficulties in walking with waddling gait, difficulties in standing, and frequent falls. Patients present with these symptoms around 2–3 years of age and become wheelchair-bound around 10–12 years of age. Patients then require assisted ventilation at around 20 years of age and will die between 20 and 40 years of age from cardiac or respiratory failure [[89\]](#page-10-26). Dystrophin defciency in the skeletal muscle of Duchenne muscular dystrophy patients results in altered mechanical functions that maintain muscle cell structural integrity and contractile activity. This contributes to membrane fragility, necrosis of muscle fbres, progressive muscle wasting, and infammation [\[90\]](#page-11-1). In contrast to numerous studies investigating the role of complement cascade in MG and ALS, there have only been scant studies investigating complement in disease induction and underlying muscle pathology in Duchenne muscular dystrophy. However, a prior study examining 66 biopsy specimens containing necrotic muscle fbres including Duchenne muscular dystrophy demonstrated deposition of C3 and C9 on these necrotic fbres [\[91](#page-11-2)]. Furthermore, one of the prominent characteristics within muscles of Duchenne muscular dystrophy patients is the infltration of macrophages and neutrophils, which are the predominant efector myeloid cells for C5a signalling [\[92](#page-11-3)]. Indeed, inhibition of C5a using a specifc C5a L-aptamer (NOX-D21) in a mouse model of Duchenne muscular dystrophy was recently shown to rescue muscle deficit phenotypes [[90](#page-11-1)], further cementing complement's potential role in driving disease pathology. By contrast, a separate study demonstrated that genetic ablation of upstream C3 did not have any signifcant efects on muscle pathology [[93\]](#page-11-4). This is surprisingly consistent with numerous studies in ALS, where the terminal complement pathway, rather than C3 or earlier complement factors, is identifed as the key driver of muscle pathology [[77,](#page-10-27) [94](#page-11-0)]. Similar to investigations in MG and ALS, future studies in Duchenne muscular dystrophy should also focus on the role of specifc terminal complement pathway inhibition in disease pathology, which may lead to clinical trials for anti-complement disease-modifying strategies for patients.

# **Future directions towards therapeutic application of complement‑targeted drugs in neuromuscular disorders**

Tight regulation and appropriate timing of complement activation are essential for a healthy neuromuscular junction. There is now a spotlight on complement in neuromuscular disorders given the emerging evidence that dysregulation of complement plays a signifcant role in the pathogenesis of many neuromuscular disorders including MG and ALS. The role of complement in generalised MG is well established in patients and animal models, and appears to represent a key pathogenic efector of generalised MG (Fig. [2](#page-3-0)). As current commonly available treatment options for MG often fail, and/or cause signifcant adverse efects in subsets of patients, the future for complement inhibitors in this disease is bright as they promise to increase specificity, efficacy, and safety of generalised MG treatment. In particular, inhibition at the level of C5 appears to be most relevant for MG, due to the selective blockade one of the key mechanisms of action of the anti-AChR antibodies (i.e. terminal pathway activation and MAC formation). Given the key role identifed for MAC in this disease [[94](#page-11-0)], and an apparent lack of role for C5a receptors [\[90](#page-11-1)], it would be of interest to identify whether specifcally targeting MAC formation could lead to improved therapeutic precision for MG, in the hopes of further reducing disease burden and possible side efects. In ALS, there may be difering roles for complement at diferent stages of the disease. Early in the disease process complement may act to limit tissue damage by clearing protein aggregates and degenerating synapses, through targeted opsonisation and phagocytosis. However, later in the disease process, junctional damage and protein aggregates overwhelm this normally protective response, resulting an infammatory environment that appears driven in part through terminal complement activities that accelerates disease progression (Fig. [3\)](#page-7-0). Hence, targeting this terminal complement pathway in ALS could provide signifcant benefts to patients. For conditions like Duchenne muscular dystrophy and other rarer neuromuscular diseases, there has been little attention given to complement's role in disease pathophysiology. However, given the promising data from recent MG clinical trials, it would be a potential fruitful area of research and potential clinical application for complement inhibitors. In summary, there is a promising future for complement therapeutics in neuromuscular diseases, and it is hoped that targeted complement inhibition could provide a lasting impact on patients, across multiple conditions in the years to come.

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#### **Declarations**

**Competing interest** TMW holds patents and patent applications relating to the use of complement inhibitors for neurological disease.

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