#### **ORIGINAL ARTICLE**



# **Population pharmacokinetic analysis of intravenous busulfan:** *GSTA1* **genotype is not a predictive factor of initial dose in Chinese adult patients undergoing hematopoietic stem cell transplantation**

Yidan Sun<sup>1</sup> • Jingjing Huang<sup>2</sup> • Chenxia Hao<sup>2</sup> • Ziwei Li<sup>3</sup> • Wu Liang<sup>4</sup> • Weixia Zhang<sup>2</sup> • Bing Chen<sup>2</sup> • Wanhua Yang<sup>2</sup> • **Jiong Hu<sup>1</sup>**

Received: 9 August 2019 / Accepted: 22 November 2019 / Published online: 13 December 2019 © Springer-Verlag GmbH Germany, part of Springer Nature 2019

### **Abstract**

**Purpose** This study aimed to develop a population pharmacokinetic (PPK) model to investigate the impact of *GSTA1, GSTP1,* and *GSTM1* genotypes on busulfan pharmacokinetic (PK) variability in Chinese adult patients.

**Methods** Forty-three and 19 adult patients who underwent hematopoietic stem cell transplantation (HSCT) were enrolled for modeling group and validation group, respectively. All patients received twice-daily intravenous busulfan as part of conditioning regimen before HSCT. The PPK model was developed by nonlinear mixed-efect modeling. Covariates investigated were age, sex, actual body weight, body surface area, diagnoses, hepatic function markers, *GST* genotypes and conditioning regimen.

**Results** A total of 488 busulfan concentrations from 43 patients were obtained for the PPK model. The PK of intravenous busulfan was described by one-compartment model with frst-order elimination with estimated clearance (CL) of 14.2 L/h and volume of distribution of 64.1 L. Inclusion of *GSTA1* genotype as a covariate accounted for 1.1% of the inter-individual variability of busulfan CL (from 17.8% in the basic model to 16.7% in the fnal model). The accuracy and applicability of the fnal model were externally validated in the independent group. The diference of busulfan PK between Chinese patients and Caucasian patients existed because of the rarity of haplotype *\*B* in Chinese population.

**Conclusions** Although the *GSTA1* genotype-based PPK model of intravenous busulfan was successfully developed and externally validated, the *GSTA1* genotype was not considered to be clinically relevant to busulfan CL. We did not suggest the guidance of *GSTA1* genotype on initial busulfan dose in Chinese adult patients.

**Keywords** Busulfan · Adult · *GSTA1* · Polymorphism · Population pharmacokinetic

Yidan Sun and Jingjing Huang contributed equally to this work.

**Electronic supplementary material** The online version of this article [\(https://doi.org/10.1007/s00280-019-04001-2\)](https://doi.org/10.1007/s00280-019-04001-2) contains supplementary material, which is available to authorized users.

 $\boxtimes$  Jiong Hu hujiong@medmail.com.cn

- <sup>1</sup> Department of Bone Marrow Transplantation, Ruijin Hospital, Shanghai Jiao Tong University School of Medicine, No.197 Ruijin Er Road, Shanghai 20025, China
- <sup>2</sup> Department of Pharmacy, Ruijin Hospital, Shanghai Jiaotong University School of Medicine, Shanghai, China
- <sup>3</sup> Shanghai Jiaotong University School of Medicine, Shanghai, China
- NeoTrident Co. Ltd., Beijing, China

# **Introduction**

High-dose busulfan is commonly used as the cornerstone of chemotherapy regimen prior to hematopoietic stem cell transplantation (HSCT) [\[1](#page-14-0)]. Targeted busulfan is necessary because of the defnite dosage–response relationship and narrow therapeutic index: subtherapeutic busulfan systemic exposure, expressed as area under the curve (AUC)<900  $\mu$ mol  $\times$  min/L, results in relapse or graft failure, while supratherapeutic  $AUC > 1500 \mu$  mol  $\times$  min/L, is associated with fatal toxicities, such as hepatic veno-occlusive disease (VOD) [\[2](#page-14-1), [3](#page-14-2)]. Since very few busulfan AUCs achieve the therapeutic range after their initial standard doses [[4](#page-14-3), [5](#page-14-4)], an individualized busulfan initial dose is warranted for the targeted AUCs to improve clinical efficiency.

Noteworthily, studies  $[6-8]$  $[6-8]$  $[6-8]$  showed that glutathione S-transferase (GST) genotypes played a positive role in the individualization of busulfan dose for optimal clinical outcomes. GSTs, which are the enzymatic superfamily of detoxifcation, catalyze the conjugation of busulfan with glutathione (GSH) in liver [[9](#page-14-7)]. Of all, GSTA1 is the predominant GST isoenzyme of busulfan metabolism, with minor contributions by GSTM1 and GSTP1 in vitro studies [\[10](#page-14-8)]. *GST* polymorphisms, gene deletion or single nucleotide polymorphism (SNP), cause a decreased metabolic activity of busulfan in patients with genetic variants [[11](#page-14-9)]. In pediatric patients, Nava et al. [[6](#page-14-5)] showed that *GSTA1* diplotypes linked to poor busulfan metabolism and associated with AUCs within the toxic range. Consistently, *GSTA1* diplotypes infuenced the prediction errors of the weight- and age-based methods which are used to calculate the initial doses of busulfan. However, busulfan initial dosing guided by *GST* genotypes is not recommended in clinical practice due to inconsistent effect of the genetic polymorphism on the pharmacokinetic, efficacy, or toxicity of busulfan  $[12]$  $[12]$ . Studies about the infuence of *GST* polymorphisms on busulfan pharmacokinetic (PK) are scant in Asian patients. The PK study of Yin et al. [[8\]](#page-14-6) demonstrated that *GSTA1* and *GSTP1* polymorphisms are signifcantly associated with busulfan exposure in Chinese adult patients. In addition, both Yin et al. [\[8](#page-14-6)] and our previous study [\[13](#page-14-11)] showed low busulfan exposure after the frst dose in the Chinese population. We found that about half of the frst AUCs were below the therapeutic range. These are a necessity to personalize the initial dose of busulfan to improve clinical outcome in Chinese patients.

 This study aimed to quantify the impact of *GSTA1, GSTP1,* and *GSTM1* genotypes on busulfan PK variability by population pharmacokinetic (PPK) analysis, and to clarify the role of genetic factors on the individualization of busulfan initial dose in Chinese adult patients undergoing HSCT.

# **Materials and methods**

#### **Patients**

Forty-three adult patients who underwent HSCT for malignant diseases were enrolled in the modeling group at the department of Blood Marrow transplantation of Ruijin Hospital, Shanghai, China, from May 2011 to October 2014. Nineteen adult patients were enrolled in the validation group from March 2017 to August 2017. All procedures performed in this study were approved by the Ruijin Hospital Research Ethics Committee and in accordance with the 1964 Helsinki Declaration. Before the study, informed consent was obtained from the enrolled patients.

All patients received intravenous busulfan (Busulfex; Kyowa Hakko Kirin Co., Ltd, Tokyo, Japan) at 1.6 mg/ kg twice daily. Busulfan was given as part of the BUCY, BUFLU, and CBV regimens. For BUCY regimen, busulfan was given over 2 h for 4 days on days−8 to−5 followed by intravenous cyclophosphamide (CY) 60 mg/kg once daily on days−3 and−2. For BUFLU regimen, intravenous fudarabine (FLU)  $30 \text{ mg/m}^2$  was given once daily with busulfan on day−6 and−3. The CBV regimen in validation group included 3 days of busulfan from day−8 to−6, VP16 at 400 mg/m<sup>2</sup> daily on day−5 and−4 and CY 50 mg/kg daily on day−3 and−2. Then, gemcitabine was given at 75 mg/ m<sup>2</sup> on day – 9 and at 10 mg/m<sup>2</sup> on day – 5, respectively.

#### **Blood sampling and analysis**

Blood samples were collected before busulfan infusion and at 0.5 h, 1 h, 1.5 h, 2 h, 2.5 h, 3 h, 4 h, 5 h, 6 h, 8 h, and 10 h after starting the frst dosing. All the blood samples were drawn from a peripheral vein in the arm opposite to the central line where busulfan was infused. 3 mL of whole blood sample was collected in EDTA anticoagulant glass tubes. 1 ml of whole blood for DNA extraction was taken from the samples obtained before busulfan infusion. The residual whole blood was centrifuged at 3000 rpm for 10 min at 4 °C for plasma separation. Busulfan plasma concentrations were analyzed using a validated analytical method [[13](#page-14-11)] by liquid chromatography–electrospray–tandem mass spectrometry. High-performance liquid chromatography separations were performed on an Agilent Eclipse XDB-C18 analytical column (100 mm  $\times$  2.1 mm, 3.5 µm) with a column temperature of 40 °C. The plasma was eluted using a mobile phase composed of 2 mmol/L ammonium formate solution  $(0.1\%$  formic acid)-acetonitrile  $(60:40, V/V)$  at a flow rate of 0.2 mL/min. Electrospray ionization mass spectrometry was performed in multiple reaction monitoring mode with the target ions m/z  $264.2 \rightarrow 151.1$  (busulfan) and m/z  $278.3 \rightarrow 69.2$ . The linearity range of busulfan standard curve was  $25-2500$  ng/mL ( $R=0.999$ ). The intraday and inter-day accuracies of busulfan quality control samples were from 96.4 to 109.2% and from 99.2 to 111.2%, respectively. The extraction recovery of busulfan was from 102.0 to 113.7%.

# **DNA extraction and glutathione S‑transferases genotyping**

The whole blood samples for DNA extraction were obtained before HSCT. DNA was extracted from peripheral blood lymphocytes by TIANamp Blood DNA Kit (Tiangen Biotech Co., Ltd, Beijing, China). The following genetic variants were determined: *GSTA1* -69 C/T (rs3957357), *GSTP1* 313A/G (rs1695), *GSTM1* (null allele). The *GSTA1* haplotype (*GSTA1\*A* and *GSTA1\*B*) was determined by the -69

C/T variation in the prompter region of *GSTA1*. This SNP was previously shown in complete linkage disequilibrium with -631T/G, -567T/G, and -52G/A [[14](#page-14-12)]. The SNPs in the *GSTA1* and *GSTP1* genes were genotyped by SNaPshot assay according to the manufacturer's protocols (ABI SNaPshot Multiplex kit, CA, USA). The promoter region of the *GSTA1* gene was amplifed with the forward primer GSTA1-F (5′-GCTCGACAACTGAATTCCAGGTC-3′) and the reverse primer GSTA1-R (5′-CCCTAGTCTTTG CACCCAACTCAT-3′). The promoter region of the *GSTP1* gene was amplifed with the forward primer GSTP1-F (5′- CTCATCCTTCCACGCACATCCT-3′) and the reverse primer GSTP1-R (5′-TTTCTTTGTTCAGCCCCCAGTG-3′). Analysis was carried out using Genemapper software (version 4.1; Life Technologies). The *GSTM1* gene was PCR amplifed (215 bp) together with albumin gene (268 bp) as an internal control [[15\]](#page-14-13). The primer pairs were as follows: GSTM1-F, 5′-GAA CTC CCT GAA AAG CTA AAG C-3′ and GSTM1-R, 5′-GTT GGG CTC AAA TAT ACG GTG G-3′, which yield a 215 bp fragment and β-actin-F, 5′- CAA CTTCAT CCA CGT TCA CC-3′ and β-actin-R, 5′- GAA GAG CCAAGG ACA GGT AC-3′, which yield a 268 bp fragment. PCR products were analyzed on a 2% agarose gel.

# **Development of a population pharmacokinetic model**

A PPK model was developed by nonlinear mixed-efect modeling using Phoenix NLME software, Version 1.4 (Pharsight, A Certara Company, USA), according to the best practices and guidance [[16\]](#page-14-14). The frst-order conditional estimation method with extended least squares method (FOCE ELS) was adopted in the whole process to estimate PK parameters and their variability.

#### **Structure model**

Plasma concentration data were natural logarithm transformed before PPK modeling. Initially, a one-compartment model with frst-order elimination was used as the base model, according to our previous study [[13\]](#page-14-11). The model was parameterized in terms of total body clearance (CL) and volume of distribution  $(V_d)$ . The inter-individual variability of the PK parameters was estimated using an exponential error model, where  $P_i$  represents the individual parameter estimate,  $P$  is the typical parameter estimate, and  $\eta_i$  is the unexplained inter-individual variability, which is assumed to follow a normal distribution with a mean of 0 and variance  $\omega^2$ :

 $P_i = P \times e^{\eta i}$ .

A residual variability (additive, proportional, exponential and mixed random intra-individual residual error) model was explored to account for the intra-individual variability according to the log-likelihood (LL) diference between models [the improvement of objective function value (OFV)].

#### **Covariate analysis**

The effects of age, sex, actual body weight, body surface area (BSA), diagnoses, hepatic function markers [alanine transaminase (ALT), aspartate aminotransferase (AST), alkaline phosphatase (ALP), total bilirubin (TBI)], *GST* genotypes, and conditioning regimen on pharmacokinetic parameters were investigated as potential covariates . The efects of continuous covariates were modeled in a linear or nonlinear relationship using the following equations:

$$
P_i = tv(P) + \theta \times (covariate/typical value)
$$
  
\n
$$
P_i = tv(P) + \theta \times (covariate - typical value)
$$
  
\n
$$
P_i = tv(P) \times (covariate/typical value)^{\theta}
$$

where *tv* (*P*) is the typical value of *P*,  $P_i$  is the value of *P* for individual, and  $\theta$  is the estimated effect of the covariate on  $P$ .

For categorical covariates, the efect on *P* was modeled using the following equation:

$$
P_i = tv(P) \text{Indicator} = 0
$$
  

$$
P_i = tv(P) \times \theta \text{Indicator} = 1
$$

where indicator represents the binary covariate being assigned to 0 or 1.

The stepwise covariate modeling and likelihood ratio test were used to test the effect of each variable. The selection of covariates was initially selected by data visualization and biological plausibility. Further screening of potential covariates was performed by forward addition  $(P < 0.05)$ and backward elimination  $(P < 0.01)$  based on the changes in OFV: any decreases in OFV by more 3.84 and 6.63 (1 degree of freedom) denote an improved ft at *P*<0.05 and *P*<0.01, respectively. An additional criterion for covariate retention was reduction in the inter-individual variability and improvement in the parameter estimate precision. Clinical signifcance was also considered for retention of a potential covariate.

#### **Model validation**

Accuracy and stability of prediction of the fnal covariate model were validated both internally and externally.

Graphical inspection of the fnal model adopted goodness-of-ft plots, including observed concentrations (OBS) versus population predicted concentrations (PRED), OBS

versus individual predicted concentrations (IPRED), conditional weighted residuals (CWRES) versus PRED, and CWRES versus time after the frst dose. The CWRES results were summarized graphically using the quantile–quantile (Q–Q) plot and the histogram. Bootstrap analysis was performed for internal validation of model. 1000 resamples from the original data were performed. Mean values and 95% confdence interval (CI) of bootstrap parameters were compared with estimates of the fnal model. The fnal model was also evaluated graphically and statistically by visual predictive checks (VPC). The fnal model parameters were used to simulate a data set for 200 replicates and the 5th and 95th CIs of simulated values were processed for VPC.

To validate the developed model externally, the model was further evaluated in an independent group of adult patients undergoing HSCT. The individual PK parameters were predicted by Bayesian estimation (MAXEVAL=0 in the estimation step, where MAXEVAL is the maximum number of model evaluations that can be used) with Phoenix NLME using the parameters of the fnal model. The predictive performance was evaluated by calculating the prediction error (PE) and absolute prediction error (APE) using the following equations:

PE% = (Bayesian simulated − Observed)∕ Observed APE% = |Bayesian simulated − Observed|∕ Observed

# **Statistical analysis**

The continuous variables were represented as mean with standard deviation or 95% CI. Statistical signifcance of the diference between groups was calculated by Chi square test. *P* values < 0.05 were considered significant.

# **Results**

# **Patients' characteristics and** *GST* **genetic frequencies**

The mean age of patients was 31.5 years, and 74.4% of them were male. The mean weight was 64.3 kg in the modeling group  $(n=43)$ . There were 19 enrolled patients in the validation group. The age, sex, and weight were not signifcantly diferent (*P*>*0.05*) between the validation group and modeling group. Patients' characteristics are presented in Table [1.](#page-4-0) Most of the enrolled patients received allogenous HSCT from a human leukocyte antigen-matched donor using peripheral blood stem cells for their hematological malignancies. Five patients in the validation group received autologous HSCT.

The *GST* genetic frequencies are shown in Table [2.](#page-4-1) 10 of 43 (23.2%) patients were heterozygous genotype of *GSTA1*

(*GSTA1\*A\*B*), and only 1 patient (2.4%) was homozygous *GSTA1* (*GSTA1\*B\*B*). Genetic frequency of *GST A1*\*B haplotype was 14.0%. Both *GSTA1* and *GSTP1* genetic frequencies of patients in modeling group were in Hardy–Weinberg equilibrium (HWE). 2 of the 19 patients in validation group were heterozygous and homozygous *GSTA1\*B*, respectively. The *GSTA1* genetic frequency was not in HWE.

#### **Structure model**

A total of 488 busulfan concentrations from 43 patients were obtained for model development. A one-compartment model with frst-order elimination best described the PK of intravenous busulfan. The intra-individual variability of the plasma concentration was estimated using the proportional error model fnally. The estimated CL and Vd of basic model were 14.2L/h and 64.1L, respectively.

#### **Covariate analysis**

Age, actual body weight, body surface area, and hepatic function markers (ALT, AST, ALP, TBI) were tested as continuous covariates. Sex, diagnoses, *GST* genotypes, and conditioning regimen were tested as categorical covariates, while non-Hodgkin's lymphoma, myelodysplastic syndromes, and hybrid acute leukemia patients were analyzed together with acute lymphoblastic leukemia patients relative to the patients with acute myelocytic leukemia and chronic myelocytic leukemia due to their small number. The patients with *GSTA1\*B\*B* or *GSTP1\*G\*G* were excluded to avoid statistical deviation.

*GSTA1* genotype was a covariate on busulfan CL in a statistically signifcant manner. OFV decreased by 15.64 from 4942.75 (basic model) to 4927.11 (fnal model), adding the covariate of *GSTA1* genotype. The individualized CL was estimated by the following equation:

$$
CL = tv CL \times (1 + CL_{part} \times G_{GSTA1}) \times e^{\eta ij},
$$

where *GGSTA1*=1 for *GSTA1\*A\*B*, 0 for *GSTA1\*A\*A*. Population-estimated CL of the fnal model was 15.0 L/h for a typical patient with *GSTA1\*A\*A* wild type. The inter-individual variability of CL declined from 17.8 to 16.8%, after inclusion of *GSTA1* genotype as a covariate. The estimated PPK parameters and bootstrap results are listed in Table [3](#page-5-0).

#### **Model evaluation**

Goodness-of-ft plots of the fnal model in Fig. [1](#page-5-1) showed that the PRED and IPRED were in reasonable agreement with OBS. Distribution of CWRES versus predicted concentration and time after dose were shown to be close to zero and uniformly distributed within the range  $(-2 \text{ to } 2)$  in the final <span id="page-4-0"></span>**Table 1** Characteristics of patients in the modeling group and validation groups

Characteristic	Modeling group $(n=43)$ Validation group $(n=19)$		
Age, years, mean (SD)	31.5(10.6)	35.4 (10.9)	
Sex (male), $n\%$	32 (74.4)	12(63.2)	
Weight, kg, mean (SD)	64.3(13.1)	65.5(7.31)	
Height, cm, mean (SD)	171.8(13.1)	169.1(8.07)	
BSA, $m^2$ , mean (SD)	1.80(0.274)	1.73(0.147)	
BMI classification (kg/m <sup>2</sup> ), <i>n</i>			
Normal (range 18.5–24.9)	33	15	
Overweight (range 25.0–29.9)	10	$\overline{4}$	
Diagnosis, $n$ %			
AML	19 (44.2)	AML	3(15.8)
<b>ALL</b>	12(27.9)	<b>ALL</b>	3(15.8)
<b>CML</b>	4(9.3)	<b>CML</b>	1(5.3)
<b>NHL</b>	5(11.6)	<b>CLL</b>	1(5.3)
<b>MDS</b>	2(4.6)	<b>NHL</b>	5(26.3)
HAL	1(2.4)	<b>MDS</b>	4(21.0)
		HL	2(10.5)
Type of donor, $n$ %			
MS-allo-HSCT	30	MS-allo-HSCT	11
MUD-allo-HSCT	13	MUD-allo-HSCT	3
		Auto-HSCT	5
Conditioning regimen, $n$ %			
Busulfan-cyclophosphamide	22(51.2)	$CBV +$ gemcitabine	5(26.3)
Busulfan-fludarabine-ATG	21 (48.8)	Busulfan-fludarabine-ATG 14 (73.7)	

*ALL* acute lymphoblastic leukemia, *Allo* allogenous, *AML* acute myelocytic leukemia, *ATG* antithymocyte globulin, *Auo* autologous, *BMI* body mass index according to National Heart, Lung, and Blood Institute weight categories, *CLL* chronic lymphoblastic leukemia, *CML* chronic myelocytic leukemia, *HAL* hybrid acute leukemia, *HL* Hodgkin's lymphoma, *HSCT* hematopoietic stem cell transplantation, *MDS* myelodysplastic syndromes, *NHL* non-Hodgkin's lymphoma, *MS* matched sibling, *MUD* matched unrelated donor

<span id="page-4-1"></span>**Table 2** *GST* genetic frequencies of modeling group and validation group

<b>SNP</b>	Genotype	Frequency $(\%)$
Modeling group $(n=43)$		
GSTA1-69C/T (rs3957357)	CC	32 (74.4%)
	CТ	$10(23.2\%)$
	TТ	$1(2.4\%)$
GSTP1313A/G (rs1695)	AA	$30(69.8\%)$
	AG	12 (27.9%)
	GG	$1(2.3\%)$
GSTM1 deletion	Positive	23 (53.5%)
	Null	20 (46.5%)
Validation group $(n=19)$		
GSTA1-69C/T (rs3957357)	CC	17 (89.4%)*
	СT	$1(5.3\%)$
	TT	$1(5.3\%)$

\**P*=0.005 from Hardy–Weinberg equilibrium test

model. Additionally, the CWRES distribution and histogram indicated that the assumption of normal distribution of the diferences between PRED and OBS was acceptable, as shown in Figure S1. The robustness of the fnal model was internally validated by the bootstrap results. The populationestimated parameters were similar to the simulation values and within 95% CI from bootstrap analysis, as shown in Table [3.](#page-5-0) The VPC in Fig. [2](#page-6-0) showed that the observed values were almost all positioned within the 5th and 95th CIs of simulated values. The results demonstrated the accuracy of the final model.

Busulfan concentrations from 19 patients in the external validation group were used to validate the fnal *GSTA1* genotype-based model. The predictive performance of the fnal PPK model was evaluated by the comparison between the observed values ( $CL<sub>obs</sub>$  and  $AUC<sub>obs</sub>$ ) and the simulated values ( $CL_{sim}$  and  $AUC_{sim}$ ),as shown in Fig. [3.](#page-6-1) The Bayesian simulated PK parameters highly correlated with the observed data ( $r^2$  = 0.98 for the CL<sub>obs</sub> with CL<sub>sim</sub> and  $r^2$  = 0.84 for the  $AUC<sub>obs</sub>$  with  $AUC<sub>sim</sub>$ ). The mean PE (MPE, %) and mean APE (MAPE, %) of the CL<sub>sim</sub> were 4.04% (95%CI: 4.02%) to 4.06%) and 4.39% (95% CI: 4.57% to 4.51%). The MPE

<span id="page-5-0"></span>**Table 3** Population pharmacokinetic parameters of intravenous busulfan and bootstrap results

Parameter	Base model	Final model	Bootstrap $(n=1000)$	
			Mean	95% CI
Pharmacokinetic parameter				
V(L)	64.1	64.1	64.1	$(60.7 \text{ to } 67.5)$
CL (L/h)	14.2	15.0	15.0	$(14.0 \text{ to } 15.9)$
$CL_{part}$		$-0.214$	$-0.215$	$(-0.282 \text{ to } -0.127)$
Inter-individual variability				
$\omega V(\%)$	16.7	14.6	14.5	$(14.2 \text{ to } 14.9)$
$\omega$ CL $(\%)$	17.8	16.7	16.7	$(15.9 \text{ to } 17.1)$
Residual variability				
Proportional $\sigma$ (%)	$-14.2$	$-14.1$	$-14.2$	$(-16.1 \text{ to } -12.1)$





<span id="page-5-1"></span>**Fig. 1** Goodness-of-ft plots of the fnal model. **a** Observed concentrations versus population predicted concentrations; **b** observed concentrations versus individual predicted concentrations; **c** conditional weighted residuals versus population predicted concentrations; **d**

conditional weighted residuals versus time after the frst dose. OBS means observed concentration; PRED means population predicted concentrations; IPRED means individual predicted (IPRED) concentrations; CWRES means conditional weighted residuals

<sup>2</sup> Springer



<span id="page-6-0"></span>**Fig. 2** The visual predictive check (VPC,  $n = 1000$ ) of final model. The dots represent observed concentrations. The lines represent observed concentrations and the shadow represents the 5th and 95th percentiles of the simulated values

and MAPE of the AUC<sub>sim</sub> were −2.55% (95%CI: −2.58%) to−2.52%) and 4.93% (95%CI: 4.92% to 4.94%).

# **Discussion**

In this study, we developed the PPK model of intravenous busulfan, incorporating *GST A1* genotype, and externally validated the accuracy and stability of the model in Chinese adult patients undergoing HSCT. The estimated CL of intravenous busulfan was 14.2 L/h (3.64 mL/min per kg) and consistent with the CL of 4.02 mL/min per kg in another study [[8\]](#page-14-6) of Chinese adult patients, higher than 1.9 mL/min per kg and 3.34 mL/min per kg in Caucasian patients [\[17,](#page-14-15)

[18](#page-14-16)]. Inclusion of *GSTA1* genotype as a covariate accounted for 1.1% of the inter-individual variability of busulfan CL in the final model.

The fast metabolism of busulfan might relate with the ethnic diference of *GSTA1* genotype between Chinese patients and Caucasian patients. The patients with *GST A1\*B* haplotype were the poorer metabolizers with lower CL of busulfan compared to those with wild type. The studies of Kusama et al. [[19](#page-14-17)] and Kim et al. [[20](#page-14-18)] showed signifcant impact of *GST A1* genotype on busulfan CL. Genetic frequency of *GST A1*\*B haplotype was 14.0% with 1 patient of homozygous excluded to avoid statistic deviation in our study. The distribution was consistent with the rarity of haplotype *\*B* in the Asian population (42.9% versus 13.9% in the Caucasians and Asians) [\[21](#page-14-19)]. *GSTA1*-69C/T (rs3957357), with -631T/G, -567 T/G, and -52 G/A, is located in the promoter region of *GSTA1* gene. *GSTA1*-69C/T can adequately identify *GSTA1*\*B haplotype which showed signifcant decline of GSTA1 protein expression in vitro [\[22](#page-14-20)]. Ansari et al. [[23\]](#page-14-21) further distinguished *\*B 1b*, \**B 1a* and *\*B* 2 haplotypes by−513 (rs11964968),−1142 (rs58912740). Then, Nava et al. [\[6](#page-14-5)] classifed *\*A\*B* and *\*B\*B* diplotypes into normal and poor metabolizers of busulfan. Based on this accurate *GSTA1*-genotype classifcation, the PPK model excellently predicted the initial busulfan doses and achieved the target AUC in 85.2% of the pediatric patients (95%CI 78.7–91.7%) [[7\]](#page-14-22). However, this metabolic classifcation of busulfan was not appropriate for Chinese patients due to the low genetic frequencies of above *GSTA1\*B* variants in the Asian population [[21](#page-14-19)].

PPK analysis has shown an advantage of quantifying the efects of covariates on PK variability to assess the clinical relevance of those efects [[24\]](#page-14-23). Our results showed that *GSTA1* genotype explained a tiny part of inter-individual variability from 17.8% of the basic model to 16.7% of the fnal model. The low genetic frequency of *GST A1\*B* may lead to minimal infuence of *GSTA1* genotype and limited



<span id="page-6-1"></span>**Fig. 3** *GSTA1* genotype-based simulations of CL and AUC in validation group (*n*=19). **a** The box and whisker plot of observed CL and simulated CL. **b** The box and whisker plot of observed AUC and simulated AUC

<span id="page-7-0"></span>







**Table 4** (continued)

Table 4 (continued)





**Table 4** (continued)



AUC area under the curve, BU busulfan, CY cyclophosphamide, CI confidence interval, CL Clearance,  $C_{\text{max}}$  the maximal concentration,  $C_{\text{ex}}$  the steady state concentration, FLU fludarabine, OR

odds ratio

odds ratio

variability of busulfan CL between Chinese adult patients. Additionally, that also might attribute to diferent GSTA1 enzymatic activities in patients at diferent age. Recent studies [ [6](#page-14-5), [25](#page-14-24), [27\]](#page-14-26) reported that *GSTA1* genotype played a pivotal role in prediction of initial busulfan doses in pedi atric patients. Meanwhile, Abbasi et al. [[28\]](#page-15-13) showed that *GSTA1* did not infuence busulfan CL obviously in both oral and intravenous administration in adult patients. A higher impact of *GSTA1* genotype on busulfan CL in pedi atric patients could be explained by age-specifc GSTA1 enzymatic activity [[29,](#page-15-14) [30\]](#page-15-15). For *GSTA1*, one of drugprocessing genes in liver, the highest fold increase in the mRNA expression was induced by the prototypical ligands of xenobiotic-sensing transcription factors during adoles cent age. Li et al. [[29](#page-15-14)] highlighted that the drug-processing genes regulation was critical to predict drug PK and to decrease drug toxicity in pediatric patients. This in vitro study demonstrated the importance of *GSTA1* genotype to recommend the initial busulfan dose and to avoid toxicity in children.

We did not fnd that the other factors, such as *GSTP1*, *GSTM1*, conditioning regimen, body surface area (BSA), and weight, showed a signifcant impact on busulfan PK. Pharmacogenomics data about busulfan PK in diferent populations are summarized in Table [4](#page-7-0) [ [6](#page-14-5) – [8](#page-14-6), [19,](#page-14-17) [20,](#page-14-18) [25](#page-14-24) –[28,](#page-15-13) [31](#page-15-0) [–45](#page-15-16)]. Of all, *GSTM1* null genotype and *GSTP1\*G* diplo type were commonly reported to have a signifcant associa t[ion](#page-15-7) with busulfan CL [\[20](#page-14-18), [34\]](#page-15-17). However, Ten Brink et al. [[39\]](#page-15-7) did not fnd an association between the two *GST* genotypes and busulfan CL. This may be related to their minor contribution to busulfan metabolism. For conditioning regi men, Yeh et al. [[46\]](#page-15-18) reported a greater interdose variability of busulfan CL in the targeted busulfan–FLU regimen com pared to the targeted busulfan–CY regimen  $(P = 0.0016)$ . The impact of FLU on busulfan CL was not found in the study of Perkins et al. [\[47](#page-15-19)]. PPK analysis of Wu et al. [[48\]](#page-15-20) found that BSA signifcantly infuenced the CL and Vd of busulfan in Chinese patients ( $P < 0.001$ ,  $n = 53$ ). The PPK or PK studies of busulfan in Asian patients were scanty and limited by small sample size and single center. A largescale and multicenter clinical trial was needed to develop the busulfan PPK model to validate the infuencing factors on busulfan PK and to be applicable for more Asian patients.

The *GSTA1* genotype-based PPK model of intravenous busulfan was successfully developed and externally vali dated in Chinese adult patients. However, the infuence of *GSTA1* genotype on busulfan CL is tiny and unlikely to be clinically relevant. We did not suggest the guidance of *GSTA1* genotype on initial busulfan dose in Chinese adult patients undergoing HSCT.

**Acknowledgments** The authors thank the staff of the Department of Bone Marrow Transplantation, Ruijin Hospital, Shanghai Jiaotong

University School of Medicine, for their collaboration and the use of their facilities.

**Author contributions** Jiong Hu and Wanhua Yang were in charge of the entire project and reviewed the manuscript. Yidan Sun designed the study and wrote the manuscript. Jingjing Huang performed the study and wrote the manuscript. Chenxia Hao, Ziwei Li, and Wu Liang analyzed the data and interpreted the results. Weixia Zhang and Bing Chen provided the reagents and materials.

**Funding** This work was funded by the National Natural Science Foundation in China (Grant No. 81503137).

#### **Compliance with ethical standards**

**Conflict of interest** The authors declared no potential conficts of interest with respect to the research, authorship, and/or publication of this article.

**Ethical approval** The study protocol was approved by the Ruijin Hospital Research Ethics Committee.

## **References**

- <span id="page-14-0"></span>1. Ciurea SO, Andersson BS (2009) Busulfan in hematopoietic stem cell transplantation. Biol Blood Marrow Transplant 15(5):523–536
- <span id="page-14-1"></span>2. Copelan EA, Bechtel TP, Avalos BR et al (2001) Busulfan levels are infuenced by prior treatment and are associated with hepatic veno-occlusive disease and early mortality but not with delayed complications following marrow transplantation. Bone Marrow Transplant 27:1121–1124
- <span id="page-14-2"></span>3. Bolinger AM, Zangwill AB, Slattery JT et al (2000) An evaluation of engraftment, toxicity and busulfan concentration in children receiving bone marrow transplantation for leukemia or genetic disease. Bone Marrow Transplant 25:925–930
- <span id="page-14-3"></span>4. Lee JW, Kang HJ, Lee SH et al (2012) Highly variable pharmacokinetics of once-daily intravenous busulfan when combined with fludarabine in pediatric patients: phase I clinical study for determination of optimal once-daily busulfan dose using pharmacokinetic modeling. Biol Blood Marrow Transplant. 18(6):944–950
- <span id="page-14-4"></span>5. Paci A, Vassal G, Moshous D et al (2012) Pharmacokinetic behavior and appraisal of intravenous busulfan dosing in infants and older children. Ther Drug Monit 34(2):198–208
- <span id="page-14-5"></span>6. Nava T, Rezgui MA, Uppugunduri CRS et al (2017) GSTA1 genetic variants and conditioning regimen: missing key factors in dosing guidelines of busulfan in pediatric hematopoietic stem cell transplantation. Biol Blood Marrow Transplant 23(11):1918–1924
- <span id="page-14-22"></span>7. Nava T, Kassir N, Rezgui MA et al (2018) Incorporation of GSTA1 genetic variations into a population pharmacokinetic model for IV busulfan in paediatric hematopoietic stem cell transplantation. Br J Clin Pharmacol 84(7):1494–1504
- <span id="page-14-6"></span>8. Yin J, Xiao Y, Zheng H, Zhang YC (2015) Once-daily i.v. BUbased conditioning regimen before allogeneic hematopoietic SCT: a study of infuence of GST gene polymorphisms on BU pharmacokinetics and clinical outcomes in Chinese patients. Bone Marrow Transplant. 50(5):696–705
- <span id="page-14-7"></span>9. Gibbs JP, Czerwinski M, Slattery JT (1996) Busulfan-glutathione conjugation catalyzed by human liver cytosolic glutathione S-transferases. Cancer Res 56(16):3678–3681
- <span id="page-14-8"></span>10. Czerwinski M, Gibbs JP, Slattery JT (1996) Busulfan conjugation by glutathione S-transferases alpha, mu, and pi. Drug Metab Dispos 24:1015–1019
- <span id="page-14-9"></span>11. Hayes JD, Strange RC (2000) Glutathione S-transferase polymorphisms and their biological consequences. Pharmacology 61(3):154–166
- <span id="page-14-10"></span>12. Palmer J, Mccune JS, Perales MA et al (2016) Personalizing busulfan-based conditioning: considerations from the American Society for Blood and Marrow Transplantation Practice Guidelines Committee. Biol Blood Marrow Transplant 22(11):1915–1925
- <span id="page-14-11"></span>13. Huang J, Li Z, Liang W et al (2019) Accurate prediction of initial busulfan exposure using a test dose with 2- and 6-hour blood sampling in adult patients receiving a twice-daily intravenous busulfan-based conditioning Regimen. J Clin Pharmacol 59(5):638–645
- <span id="page-14-12"></span>14. Matsuno K, Kubota T, Matsukura Y, Ishikawa H, Iga T (2004) Genetic analysis of glutathione S-transferase A1 and T1 polymorphisms in a Japanese population. Clin Chem Lab Med 42:560–562
- <span id="page-14-13"></span>15. Arand M, Muhlbauer R, Hengstler J et al (1996) A multiplex polymerase chain reaction protocol for the simultaneous analysis of the glutathione S-transferase GSTM1 and GSTT1 polymorphisms. Anal Biochem 236:184–186
- <span id="page-14-14"></span>16. Byon W, Smith MK, Chan P et al (2013) Establishing best practices and guidance in population modeling: an experience with an internal population pharmacokinetic analysis guidance. CPT Pharmacometr Syst Pharmacol 3(2):e51
- <span id="page-14-15"></span>17. Fernandez HF, Tran HT, Albrecht F et al (2002) Evaluation of safety and pharmacokinetics of administering intravenous busulfan in a twice-daily or daily schedule to patients with advanced hematologic malignant disease undergoing stem cell transplantation. Biol Blood Marrow Transplant 8(9):486–492
- <span id="page-14-16"></span>18. Mamlouk K, Saracino G, Berryman RB, Fay JW, Pineiro LA, Vance EA et al (2005) Modifcation of the Bu/Cy myeloablative regimen using daily parenteral busulfan: reduced toxicity without the need for pharmacokinetic monitoring. Bone Marrow Transplant 35:747–754
- <span id="page-14-17"></span>19. Kusama M, Kubota T, Matsukura Y et al (2006) Infuence of glutathione S-transferase A1 polymorphism on the pharmacokinetics of busulfan. Clin Chim Acta 368(1–2):93–98
- <span id="page-14-18"></span>20. Kim SD, Lee JH, Hur EH et al (2011) Infuence of GST gene polymorphisms on the clearance of intravenous busulfan in adult patients undergoing hematopoietic cell transplantation. Biol Blood Marrow Transplant 17(8):1222–1230
- <span id="page-14-19"></span>21. [http://www.ftp.1000genomes.ebi.ac.uk/vol1/ftp/data\\_collection](http://www.ftp.1000genomes.ebi.ac.uk/vol1/ftp/data_collections/1000_genomes_project/release/20190312_biallelic_SNV_and_INDEL/) [s/1000\\_genomes\\_project/release/20190312\\_biallelic\\_SNV\\_and\\_](http://www.ftp.1000genomes.ebi.ac.uk/vol1/ftp/data_collections/1000_genomes_project/release/20190312_biallelic_SNV_and_INDEL/) [INDEL/](http://www.ftp.1000genomes.ebi.ac.uk/vol1/ftp/data_collections/1000_genomes_project/release/20190312_biallelic_SNV_and_INDEL/)
- <span id="page-14-20"></span>22. Coles BF, Morel F, Rauch C et al (2001) Efect of polymorphism in the human glutathione s-Transferase A1 promoter on hepatic GSTA1 and GSTA2 expression. Pharmacogenet Genom. 11(8):663–669
- <span id="page-14-21"></span>23. Ansari M, Lauzon-Joset JF, Vachon MF et al (2010) Infuence of GST gene polymorphisms on busulfan pharmacokinetics in children. Bone Marrow Transplant. 45:261–267
- <span id="page-14-23"></span>24. Sun H, Fadiran EO, Jones CD et al (1999) Population pharmacokinetics. A regulatory perspective. Clin Pharmacokinet. 37(1):41–58
- <span id="page-14-24"></span>25. Ansari M, Curtis PH, Uppugunduri CRS et al (2017) GSTA1 diplotypes affect busulfan clearance and toxicity in children undergoing allogeneic hematopoietic stem cell transplantation: a multicenter study. Oncotarget. 8(53):90852–90867
- <span id="page-14-25"></span>26. Ansari M, Huezo-Diaz P, Rezgui MA et al (2016) Infuence of glutathione S-transferase gene polymorphisms on busulfan pharmacokinetics and outcome of hematopoietic stem-cell transplantation in thalassemia pediatric patients. Bone Marrow Transplant 51(3):377–383
- <span id="page-14-26"></span>27. Johnson L, Orchard PJ, Baker KS et al (2008) Glutathione S-transferase A1 genetic variants reduce busulfan clearance in children

undergoing hematopoietic cell transplantation. J Clin Pharmacol 48(9):1052–1062

- <span id="page-15-13"></span>28. Abbasi N, Vadnais B, Knutson JA et al (2011) Pharmacogenetics of intravenous and oral busulfan in hematopoietic cell transplant recipients. J Clin Pharmacol 51(10):1429–1438
- <span id="page-15-14"></span>29. Li CY, Renaud HJ, Klaassen CD et al (2016) Age-specifc regulation of drug-processing genes in mouse liver by ligands of xenobiotic-sensing transcription factors. Drug Metab Dispos Biol Fate Chem 44(7):1038–1049
- <span id="page-15-15"></span>30. Lu H, Gunewardena S, Cui JY, Yoo B, Zhong XB, Klaassen CD (2013) RNA-sequencing quantifcation of hepatic ontogeny and tissue distribution of mRNAs of phase II enzymes in mice. Drug Metab Dispos 41:844–857
- <span id="page-15-0"></span>31. Terakura S, Onizuka M, Fukumoto M et al (2019) Analysis of glutathione S-transferase and cytochrome P450 gene polymorphism in recipients of dose-adjusted busulfan-cyclophosphamide conditioning. Int J Hematol. [https://doi.org/10.1007/s12185-019-](https://doi.org/10.1007/s12185-019-02741-8) [02741-8](https://doi.org/10.1007/s12185-019-02741-8)
- <span id="page-15-1"></span>32. Michaud V, Tran M, Pronovost B et al (2019) Impact of GSTA1 polymorphisms on busulfan oral clearance in adult patients undergoing hematopoietic stem cell transplantation. Pharmaceutics. <https://doi.org/10.3390/pharmaceutics11090440>
- <span id="page-15-2"></span>33. Nishikawa T, Yamaguchi H, Ikawa K et al (2019) Infuence of GST polymorphisms on busulfan pharmacokinetics in Japanese children. Pediatr Int 61(6):558–565
- <span id="page-15-17"></span>34. Choi B, Kim MG, Han N et al (2015) Population pharmacokinetics and pharmacodynamics of busulfan with GSTA1 polymorphisms in patients undergoing allogeneic hematopoietic stem cell transplantation. Pharmacogenomics. 16(14):1585–1594
- <span id="page-15-3"></span>35. Ten Brink MH, Swen JJ, Böhringer S et al (2013) Exploratory analysis of 1936 SNPs in ADME genes for association with busulfan clearance in adult hematopoietic stem cell recipients. Pharmacogenet Genom 23(12):675–683
- <span id="page-15-4"></span>36. Ten Brink MH, Van BT, Swen JJ et al (2013) Efect of genetic variants GSTA1 and CYP39A1 and age on busulfan clearance in pediatric patients undergoing hematopoietic stem cell transplantation. Pharmacogenomics. 14(14):1683–1690
- <span id="page-15-5"></span>37. Uppugunduri CRS, Rezgui MA, Diaz PH et al (2014) The association of cytochrome P450 genetic polymorphisms with sulfolane formation and the efficacy of a busulfan-based conditioning regimen in pediatric patients undergoing hematopoietic stem cell transplantation. Pharmacogenomics J. 14(3):263–271
- <span id="page-15-6"></span>38. Bonifazi F, Storci G, Bandini G et al (2014) Glutathione transferase-A2 S112T polymorphism predicts survival, transplantrelated mortality, busulfan and bilirubin blood levels after allogeneic stem cell transplantation. Haematologica 99(1):172–179
- <span id="page-15-7"></span>39. Ten Brink MH, Wessels JA, Hartigh JD et al (2012) Efect of genetic polymorphisms in genes encoding GST isoenzymes on

BU pharmacokinetics in adult patients undergoing hematopoietic SCT. Bone Marrow Transplant 47(2):190–195

- <span id="page-15-8"></span>40. Elhasid R, Krivoy N, Rowe JM et al (2010) Infuence of glutathione S-transferase A1, P1, M1, T1 polymorphisms on oral busulfan pharmacokinetics in children with congenital hemoglobinopathies undergoing hematopoietic stem cell transplantation. Pediatr Blood Cancer 55(6):1172–1179
- <span id="page-15-9"></span>41. Kim MG, Kwak A, Choi B et al (2019) Efect of glutathione S-transferase genetic polymorphisms on busulfan pharmacokinetics and veno-occlusive disease in hematopoietic stem cell transplantation: a meta-analysis. Basic Clin Pharmacol Toxicol 124(6):691–703
- <span id="page-15-10"></span>42. Srivastava A, Poonkuzhali B, Shaji RV et al (2004) Glutathione S-transferase M1 polymorphism: a risk factor for hepatic venoocclusive disease in bone marrow transplantation. Blood 104(5):1574–1577
- <span id="page-15-11"></span>43. Bremer S, Fløisand Y, Brinch L et al (2015) Glutathione transferase gene variants infuence busulfan pharmacokinetics and outcome after myeloablative conditioning. Ther Drug Monit 37(4):493–500
- <span id="page-15-12"></span>44. Ansari M, Rezgui MA, Théoret Y et al (2013) Glutathione S-transferase gene variations infuence BU pharmacokinetics and outcome of hematopoietic SCT in pediatric patients. Bone Marrow Transplant 48(7):939–946
- <span id="page-15-16"></span>45. Zwaveling J, Press RR, Bredius RG et al (2008) Glutathione S-transferase polymorphisms are not associated with population pharmacokinetic parameters of busulfan in pediatric patients. Ther Drug Monit 30(4):504–510
- <span id="page-15-18"></span>46. Yeh RF, Pawlikowski MA, Blough DK et al (2012) Accurate targeting of daily intravenous busulfan with 8-hour blood sampling in hospitalized adult hematopoietic cell transplant recipients. Biol Blood Marrow Transplant 18(2):265–272
- <span id="page-15-19"></span>47. Perkins JB, Kim J, Anasetti C et al (2012) Maximally tolerated busulfan systemic exposure in combination with fudarabine as conditioning before allogeneic hematopoietic cell transplantation. Biol Blood Marrow Transplant 18(7):1099–1107
- <span id="page-15-20"></span>48. Wu X, Xie H, Lin W et al (2017) Population pharmacokinetics analysis of intravenous busulfan in Chinese patients undergoing hematopoietic stem cell transplantation. Clin Exp Pharmacol Physiol 44(5):529–538

**Publisher's Note** Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.