

# Population pharmacokinetic analysis of patritumab, a HER3 inhibitor, in subjects with advanced non-small cell lung cancer (NSCLC) or solid tumors

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## Abstract

**Purpose** The purpose of this analysis was to develop a population pharmacokinetic (PK) model for patritumab, a fully human monoclonal antibody that targets human epidermal growth factor receptor 3.

**Methods** A total of 833 serum concentrations were included in this analysis; serum concentrations were obtained from 145 subjects (136 with non-small cell lung cancer, nine with solid tumors) treated with patritumab [9 or 18 mg/kg intravenously every 3 weeks (q3w)] in one phase 1 and one phase 1b/2 study. Data were analyzed by nonlinear mixed-effect modeling.

**Results** Patritumab PKs were best described through a two-compartment model with first-order elimination and interindividual variability on clearance (CL), volume of the central compartment ( $V_c$ ), distributional clearance, and volume of the peripheral compartment. In the final model, CL and  $V_c$  were estimated as 0.0238 L/h and 3.62 L, respectively. Body weight (BW) and baseline albumin were found to be covariates for CL and BW was a covariate for  $V_c$ . Covariates associated with hepatic and renal impairment were not significant on CL. Simulations showed that

BW-based dosing reduced interindividual variability in patritumab exposure compared with fixed dosing.

**Conclusions** The PK of patritumab was linear at the doses studied and well described by the two-compartment model. Hepatic and renal impairment did not appear to affect PK. Our results support BW-based dosing of patritumab on a q3w schedule.

**Keywords** Modeling · Non-small cell lung cancer · Patritumab · Population pharmacokinetics

## Introduction

Human epidermal growth factor receptor 3 (HER3) and its ligand heregulin form signaling complexes with other HER family receptors, leading to the activation of multiple signaling pathways that are associated with cancer cell growth and survival [1–3]. HER3 has been associated with poor prognosis and decreased survival in patients with various tumor types, including non-small cell lung cancer (NSCLC) and breast cancer [4–7]. HER3 contains six docking sites for phosphoinositide 3-kinase and is a key dimerization partner for other HER family members. Activation of HER3 has been implicated in the development of resistance to anticancer treatment, including resistance to treatment targeting HER2 or epidermal growth factor receptor (EGFR) [7–10].

Patritumab is a fully human anti-HER3 antibody that prevents the receptor from binding heregulin [11–13]. Patritumab has been assessed as monotherapy in subjects with solid tumors [14, 15] and in combination with the EGFR inhibitor erlotinib in subjects with advanced NSCLC [16].

In a phase 1 study, patritumab pharmacokinetics were found to be similar to those observed for many other

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monoclonal antibodies, with a terminal elimination half-life of approximately 8 days [14] and a central volume of distribution of approximately 3 L [17]. Patritumab appears to follow dual pathways of elimination, including target-mediated nonlinear clearance and linear clearance through the reticuloendothelial system [18]. At patritumab doses of 9 and 18 mg/kg, linear pharmacokinetics were observed [8]. These doses were predicted to produce blood trough levels in mice approximately tenfold greater than those associated with maximal efficacy (3 µg/mL) [19].

To support the dose recommendations for patritumab, a pooled population pharmacokinetic model was developed on the basis of data from a phase 1 and a phase 1b/2 patritumab study. The objectives of the population pharmacokinetic model were to characterize the pharmacokinetics of patritumab, to evaluate the effect of potential covariates on patritumab pharmacokinetics, and to compare fixed dosing with body weight-adjusted dosing.

## Materials and methods

### Study design and methods

The data used in this analysis were collected from two studies that were approved by the institutional review board of participating institutions and conducted in accordance with the principles of the Declaration of Helsinki.

All subjects provided written informed consent.

An international phase 1b/2 study (HERALD; NCT01211483) examined patritumab in combination with erlotinib in EGFR treatment-naïve subjects with advanced NSCLC after the failure of at least one prior chemotherapy [16]. In the phase 1b portion of the study, seven subjects received patritumab 18 mg/kg every 3 weeks (q3w) with erlotinib 150 mg/day. In the phase 2 portion of the study, 215 subjects were randomized to one of the following treatments: patritumab 18 mg/kg q3w with erlotinib 150 mg/day ( $n = 72$ ); patritumab 18 mg/kg loading dose followed by 9 mg/kg q3w maintenance dose, with erlotinib 150 mg/day ( $n = 72$ ); or placebo q3w with erlotinib 150 mg/day ( $n = 71$ ).

An open-label, phase 1 study examined patritumab (9 or 18 mg/kg q3w) in Japanese subjects with advanced solid tumors (U31287-A-J101 [J101]) [15]. Nine subjects received one of the following: patritumab 9 mg/kg q3w ( $n = 3$ ) or patritumab 18 mg/kg q3w ( $n = 6$ ).

### Blood sampling and analysis

In the phase 1b/2 HERALD study, blood sampling for pharmacokinetic analysis occurred prior to patritumab dosing in cycles 1–5, 7, and 9. In cycles 1 and 3, additional sampling

occurred at the end of infusion and 3 h post-infusion. A subgroup of subjects ( $n = 22$ ) participated in a substudy for intense patritumab pharmacokinetic assessment: in cycle 3, sampling was also performed 6, 24, and 72 h post-infusion and on days 8 and 15.

In the phase 1 J101 study, blood sampling for pharmacokinetic assessment occurred prior to patritumab dosing, at the end of infusion, and on day 22 in cycles 1–3. In cycle 1, additional sampling occurred 4, 7, 24, and 72 h after the start of infusion and on days 8 and 15. In cycle 4, sampling was only performed prior to patritumab dosing.

Serum patritumab concentrations were measured using a sandwich immunoassay. Samples were bound by murine antihuman monoclonal antibody (Amgen, Inc., Thousand Oaks, CA) that was immobilized to an assay plate. Following washing, patritumab was detected through the addition of biotin-conjugated anti-patritumab polyclonal antibody (Amgen, Inc.) and streptavidin HRP (R&D Systems, Inc., Minneapolis, MN). A tetramethylbenzidine peroxide solution (KPL Inc., Gaithersburg, MD) was added to produce a colorimetric signal, and absorbance was correlated with serum patritumab concentrations. The range of quantitation for this method was 100–4500 ng/mL. The assay method was validated, and samples were analyzed by Pharmaceutical Product Development, LLC (Richmond, VA). The intra-assay variability ranged from 3 to 9 % and the interassay variability ranged from 3 to 9 %. The total error for the validation samples ranged from 6 to 12 %. The % coefficient of variation of individual replicates ranged from 0 to 14 %.

### Structural model

Nonlinear mixed-effects modeling was performed using NONMEM<sup>®</sup> program version 7.1.2 (ICON Development Solutions, Ellicott City, MD). Data set preparation for NONMEM<sup>®</sup> and graphical and exploratory analysis were performed using TIBCO Spotfire<sup>®</sup> S+8.1J (TIBCO Software Inc., Palo Alto, CA).

Pharmacokinetic parameters were estimated using a first-order conditional estimation method with interaction. The population pharmacokinetic model was developed in a stepwise fashion with evaluation at each step. Model selection was based on examination of objective function and visual inspection of goodness-of-fit plots.

### Covariate analyses

Covariate analyses were performed on clearance and volume of distribution of the central compartment ( $V_c$ ). The relationships between individual estimates and covariates were initially investigated graphically. The demographic values of sex and baseline body weight (kg), which were measured at screening or from cycle 1 day 1, were used

as baseline covariates candidates for  $V_c$  and clearance. To assess the effect of renal impairment on patritumab, baseline creatinine clearance (mL/min; derived using the Cockcroft–Gault formula [20]) and baseline estimated glomerular filtration rate (mL/min; derived using the Modification of Diet in Renal Disease study equation [21]) were assessed as covariates for clearance. To assess the effect of hepatic impairment, alanine aminotransferase (ALT), aspartate aminotransferase (AST), total albumin ( $\mu\text{mol/L}$ ), and baseline albumin (g/L) were used as baseline covariate candidates for clearance [22]. Missing covariate values at baseline were replaced with the population medians.

Continuous covariates were entered into the model using the following equation (Eq. 1):

$$P_{\text{indiv}} = P_{\text{typical}} \times (\text{COV}_{\text{indiv}}/\text{median}[\text{COV}])^\theta \quad (1)$$

Categorical covariates were entered into the model using the following equation (Eq. 2):

$$P_{\text{indiv}} = P_{\text{typical}} \times \exp(\text{COV}_{\text{indiv}} \cdot \theta) \quad (2)$$

where  $P_{\text{indiv}}$  is the individual parameter of each subject,  $P_{\text{typical}}$  is the population mean of the parameter,  $\text{COV}_{\text{indiv}}$  is the individual covariate values of each subject, and  $\theta$  represents the effect of the covariate on the parameter.

Covariates were selected using a forward selection approach ( $P < 0.05$ ) with backward elimination ( $P < 0.01$ ). Covariates were modeled individually, and only those found to significantly influence pharmacokinetic parameters were included in the final model.

### Model evaluation

The model was evaluated using a visual predictive check. Covariates were randomly drawn from the population data set, and individual pharmacokinetic parameters were simulated based on the parameter estimates from the final model to simulate the patritumab concentrations for 4000 individuals. The median, 2.5th, and 97.5th percentiles were then calculated, as were the 95 % confidence intervals.

Subsequently, pharmacokinetic profiles following a fixed dose of 1260 mg patritumab (18 mg/kg  $\times$  70 kg) or a body weight-based dose of 18 mg/kg patritumab  $\times$  body weight (kg) q3w were simulated for the first and second 2000 subjects, respectively.

## Results

### Subjects

A total of 833 serum concentrations from 145 subjects (136 with NSCLC, nine with solid tumors) were included in the analysis. Subject demographics are given in Table 1. In the

two studies, patritumab showed linear pharmacokinetics at doses of 9 and 18 mg/kg (Fig. 1).

### Structural pharmacokinetic model

Combined data from the two studies were best described using a two-compartment model with first-order elimination. The model was parameterized in terms of clearance,  $V_c$ , distributional clearance, and volume of the peripheral compartment ( $V_p$ ). Interindividual variability was added to clearance,  $V_c$ , distributional clearance, and  $V_p$  for the base model. Clearance was estimated to be 0.024 L/h (0.5 L/day), and  $V_c$  was estimated to be 3.6 L. For the base model, shrinkage was low for clearance and  $V_c$  (11.3 and 8.99 %, respectively) and high for distributional clearance and  $V_p$  (69.5 and 66.0 %, respectively).

### Covariate models

The effects of sex, baseline body weight, creatinine clearance, estimated glomerular filtration rate, and albumin were evaluated as covariates for clearance and/or  $V_c$ . The effect of body surface area, lean body weight, and body mass index was not included in the model owing to their high correlation with body weight. Body weight has been reported to have a significant effect on clearance and volume of distribution of monoclonal antibodies [23]. In addition, the distributions of empirical Bayesian estimates of CL and  $V_c$  based on the base model were similar among these body size descriptors. Therefore, body weight was added to the model as a covariate for both CL and  $V_c$  as a first step. Further addition of sex as a covariate effect resulted in a statistically significant decrease in OFV. However, the estimate of the body weight effect decreased by 44 % after additionally including the effect of sex. This result revealed collinearity, as sex and body weight were highly correlated and, therefore, sex was not included in the model. Age, Eastern Cooperative Oncology Group performance status, ALT (U/L), AST (U/L), total bilirubin ( $\mu\text{mol/L}$ ), creatinine clearance, and glomerular filtration rate at baseline were not included in the model since these were not significantly associated ( $P > 0.05$ ) with patritumab pharmacokinetic parameter variability. Covariates on distributional clearance and  $V_p$  were not considered because of the high shrinkage.

The pharmacokinetic parameter estimates of the final model were similar to those obtained in the base model and are given in Table 2. Clearance was estimated to be 0.0238 L/h (0.571 L/day).  $V_c$  and  $V_p$  were estimated to be 3.62 and 2.50 L, respectively, and distributional clearance was estimated to be 0.0178 L/h (0.426 L/day).

Body weight and baseline albumin were found to be significant covariates of clearance. For the range of body

**Table 1** Baseline demographics and disease characteristics

	J101 study ( <i>n</i> = 9)	HERALD study ( <i>n</i> = 136)	Total ( <i>n</i> = 145)
Schedule, <i>n</i>			
9 mg/kg q3w	3	0	3
18 mg/kg at cycle 1 + 9 mg/kg q3w	0	64	64
18 mg/kg q3w	6	72	78
Age, median years (range)	67 (50–69)	63 (41–84)	63 (41–84)
Body weight, median kg (range)	57.9 (44.4–91.5)	72.0 (42–121)	71 (42–121)
BMI, median kg/m <sup>2</sup> (range)	23.7 (16.2–32.3)	25.4 (15.0–35.7)	24.9 (15.0–35.7)
BSA, median m <sup>2</sup> (range)	1.53 (1.34–2.01)	1.83 (1.36–2.50)	1.82 (1.34–2.50)
Male sex, <i>n</i> (%)	5 (55.6)	80 (58.8)	85 (58.6)
Race, <i>n</i> (%)			
Caucasian	0 (0.0)	134 (98.5)	134 (92.4)
Black	0 (0.0)	1 (0.7)	1 (0.7)
Asian	9 (100.0)	1 (0.7)	10 (6.9)
ECOG PS, <i>n</i> (%)			
0	3 (33.3)	62 (45.6)	65 (44.8)
1	6 (66.7)	74 (54.4)	80 (55.2)
Laboratory values			
Albumin, median g/L (range)	39 (32–45)	38 (21–59)	38 (21–59)
AST, median U/L (range)	23.0 (13–38)	22.1 (7–72)	22.2 (7–72)
ALT, median U/L (range)	19 (8.0–59)	18 (1.1–87)	18 (1.1–87)
Total bilirubin, median μmol/L (range)	12.0 (10.3–15.4)	6.8 (1.70–46)	6.8 (1.7–46)
eGFR, median mL/min (range)	101.9 (46.2–142.5)	84.0 (28.6–198.8)	84.9 (28.6–198.8)
Serum concentrations used in analysis, <i>n</i>	99	734	833

ALT Alanine aminotransferase, AST aspartate aminotransferase, BMI body mass index, BSA body surface area, ECOG Eastern Cooperative Oncology Group, eGFR estimated glomerular filtration rate, PS performance status, q3w every 3 weeks

weights in the analysis population (42–121 kg), clearance ranged from 0.0178 to 0.0319 L/h (0.428–0.769 L/day), resulting in clearance values that were 25.1 % lower to 34.1 % higher compared with a subject with a typical body weight (71 kg). For the range of baseline albumin in the analysis population (21–59 g/L), clearance ranged from 0.0156 to 0.0421 L/h (0.374–1.01 L/day) or was 34.5 % lower or 77.0 % higher compared with a subject with typical baseline albumin (38 g/L).

Body weight was also found to be a significant covariate of  $V_c$ . For the range of body weights in the analysis population (42–121 kg),  $V_c$  ranged from 2.40 to 5.48 L, which was 33.6 % lower to 51.5 % higher compared with a subject with a typical body weight.

### Model evaluation

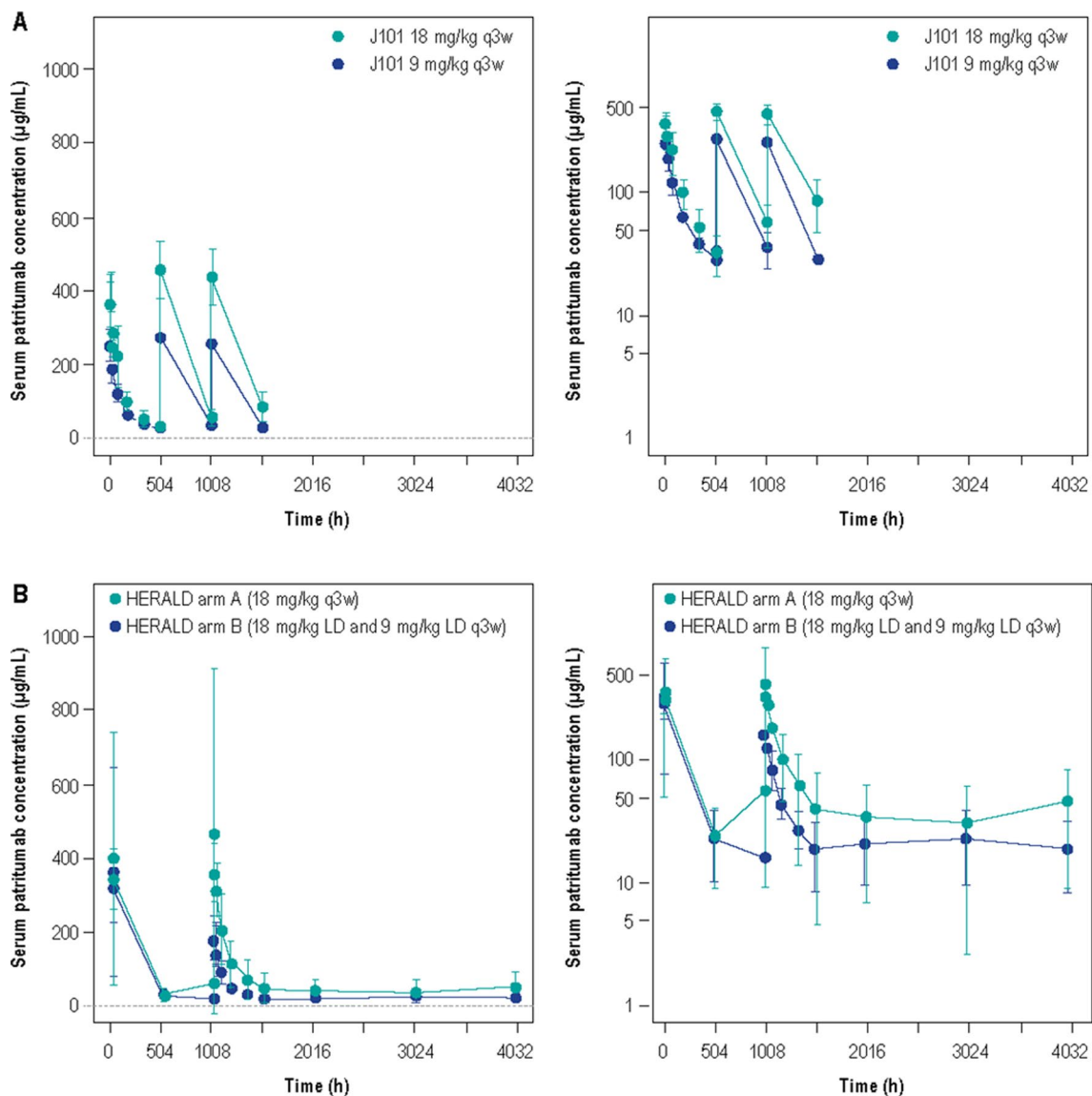
The visual predictive check for the final population pharmacokinetic model, stratified by study arm, indicated that the model provided adequate predictions of serum patritumab concentrations (Fig. 2).

### Simulations of fixed versus body weight-based dosing

Pharmacokinetic simulations were performed to compare interindividual variability of patritumab exposure [i.e., area under the concentration time curve at steady state ( $AUC_{ss}$ ) and maximum serum concentration at steady state ( $C_{max,ss}$ )] following fixed or body weight-based dosing (Fig. 3). For fixed dosing, the simulated  $C_{max,ss}$  values for the minimum to maximum body weights (42–121 kg) were 37.8 to +40.7 % relative to the median  $C_{max,ss}$ . In contrast, for body weight-based dosing, the simulated  $C_{max,ss}$  was –11.4 to +8.40 % relative to the median  $C_{max}$  for the minimum and maximum body weights.

Similarly, for fixed dosing, the simulated  $AUC_{ss}$  values for the minimum to maximum body weights were –29.5 to +26.5 % relative to the median  $AUC_{ss}$ , compared with –16.5 to +19.5 % for body weight-based dosing.

Based on these results, body weight-based dosing was found to reduce interindividual variability in exposure compared with fixed dosing.



**Fig. 1** Serum patritumab concentration versus time in the **a** J101 ( $n = 9$ ) and **b** HERALD ( $n = 136$ ) studies. Mean serum patritumab concentrations are shown; *error bars* denote standard deviation. *LD* loading dose, *q3w* every 3 weeks

### Simulations comparing patritumab dosing regimens

Pharmacokinetic simulations were performed to compare the regimen of patritumab 18 mg/kg q3w, the regimen of patritumab 18 mg/kg loading dose (LD) followed by 9 mg/kg as the maintenance dose (MD), every 2 weeks (q2w), every 3 weeks (q3w), and every 4 weeks (q4w) (Fig. 4). For the regimens of 18 mg/kg q3w and 18 mg/kg LD followed by 9 mg/kg q2w as MD, 90 % prediction intervals exceeded 3 µg/mL, which was associated with maximal efficacy in preclinical *in vivo* studies [19], and the exposures were comparable between these two regimens. For the regimen of 18 mg/kg LD followed by 9 mg/kg q3w as MD,

the majority of the trough serum patritumab concentrations exceeded 3 µg/mL after maintenance dose administration. Only approximately 8–9 % of trough serum patritumab concentration does not achieve or maintain the target concentration continuously. In contrast, the regimen of 18 mg/kg LD followed by 9 mg/kg q4w as MD resulted in approximately 20 % of subjects who did not achieve a trough concentration >3 µg/mL after maintenance dose administrations, a considerable percentage which may impact clinical benefit.

Based on these results, the regimen of patritumab 18 mg/kg loading dose followed by 9 mg/kg q3w as the maintenance dose was recommended for use in continued clinical investigations.

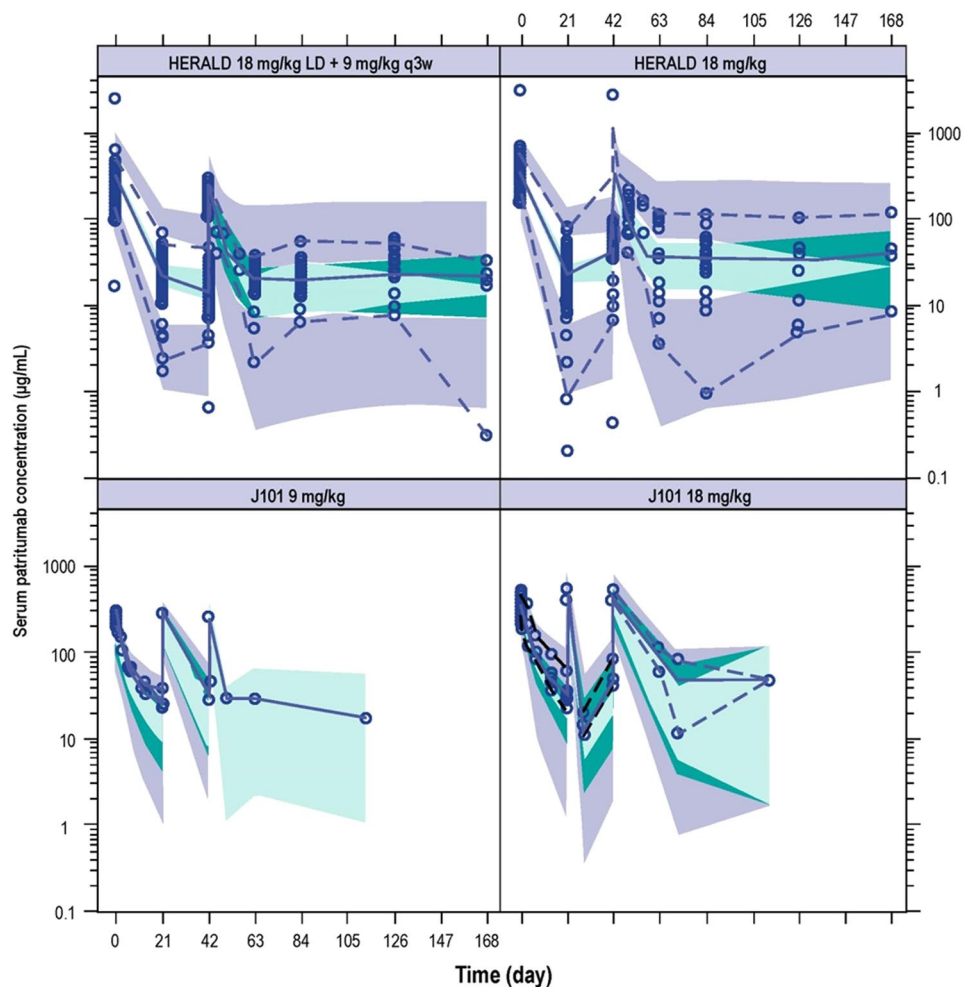
**Table 2** Parameter estimates of final population pharmacokinetic model

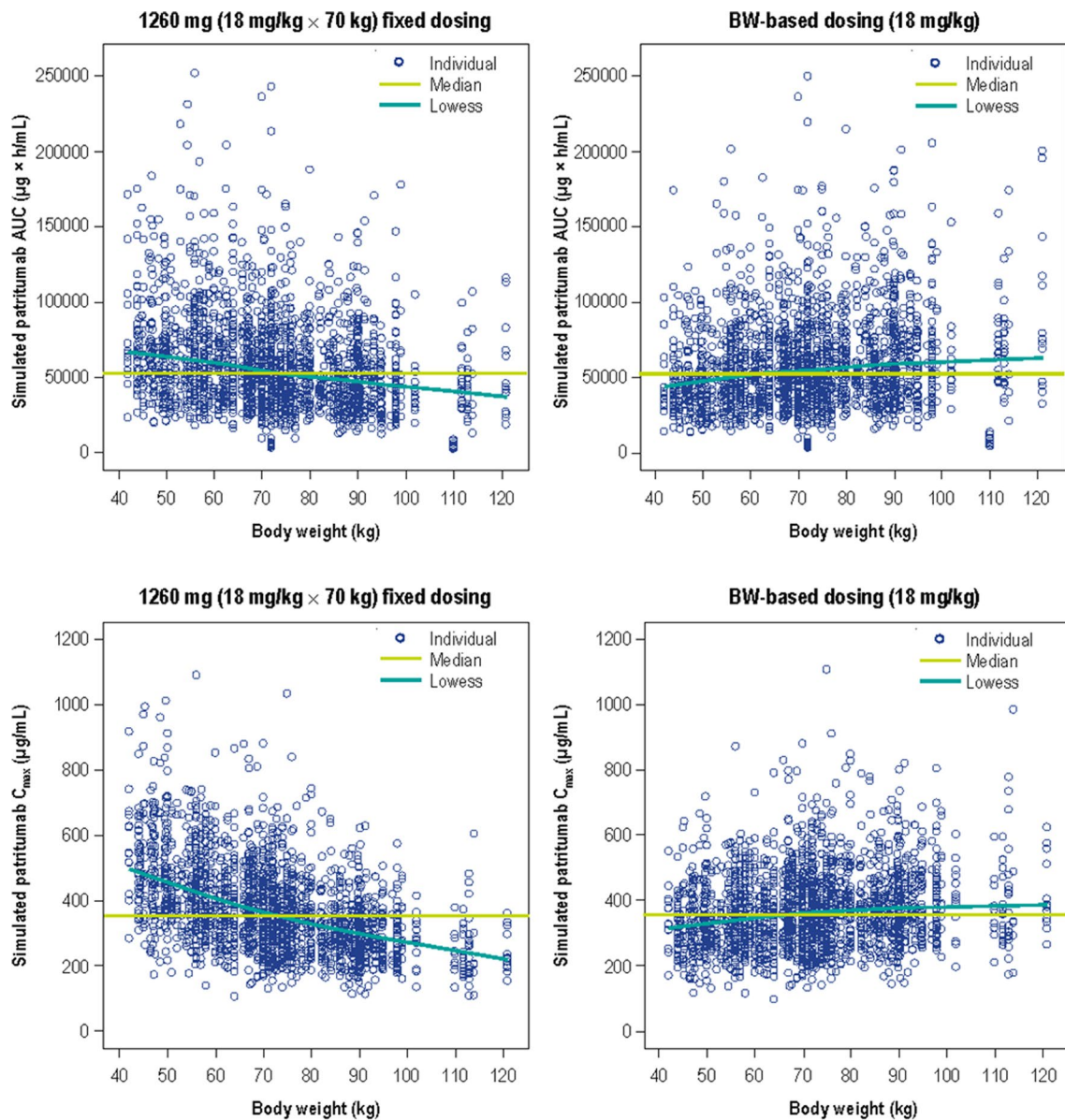
Parameter	NONMEM® estimates			
	Point estimate	%RSE	Interindividual variability <sup>a</sup> (%RSE)	Shrinkage (%)
Clearance (L/h)	0.0238	4.67	0.461 (19.1)	9.93
V <sub>c</sub> (L)	3.62	2.63	0.325 (35.3)	10.7
Distribution clearance (L/h)	0.0178	15.6	0.443 (58.3)	70.0
V <sub>p</sub> (L)	2.50	10.7	0.352 (51.6)	65.6
Clearance–body weight	0.550	31.3	–	–
Clearance–albumin	–0.963	27.1	–	–
V <sub>c</sub> –body weight	0.779	17.2	–	–
Covariance				
Clearance–V <sub>c</sub>	0.492	28.4	–	–
Residual variability				
σ <sub>prop, J101</sub>	0.121	24.7	–	14.2
σ <sub>prop, HERALD</sub>	0.280	7.72	–	–

%RSE percent relative standard error (SE) of the estimate (SE/parameter estimate × 100); V<sub>c</sub> = volume of central compartment, V<sub>p</sub> = volume of peripheral compartment, σ<sub>prop, J101</sub>, proportional component of the residual error model for the J101 study, σ<sub>prop, HERALD</sub>, proportional component of the residual error model for the HERALD study

<sup>a</sup> Reported as standard deviations

**Fig. 2** Visual predictive check of the final population pharmacokinetic model by study arm. Dots are observations, full lines in blue are the median of the observations, and broken lines in blue are the 2.5th and 97.5th percentiles of the observations. Green bands are the 95 % prediction interval of median, and blue bands are the 95 % prediction interval of the 2.5th and 97.5th percentiles. LD loading dose, q3w every 3 weeks



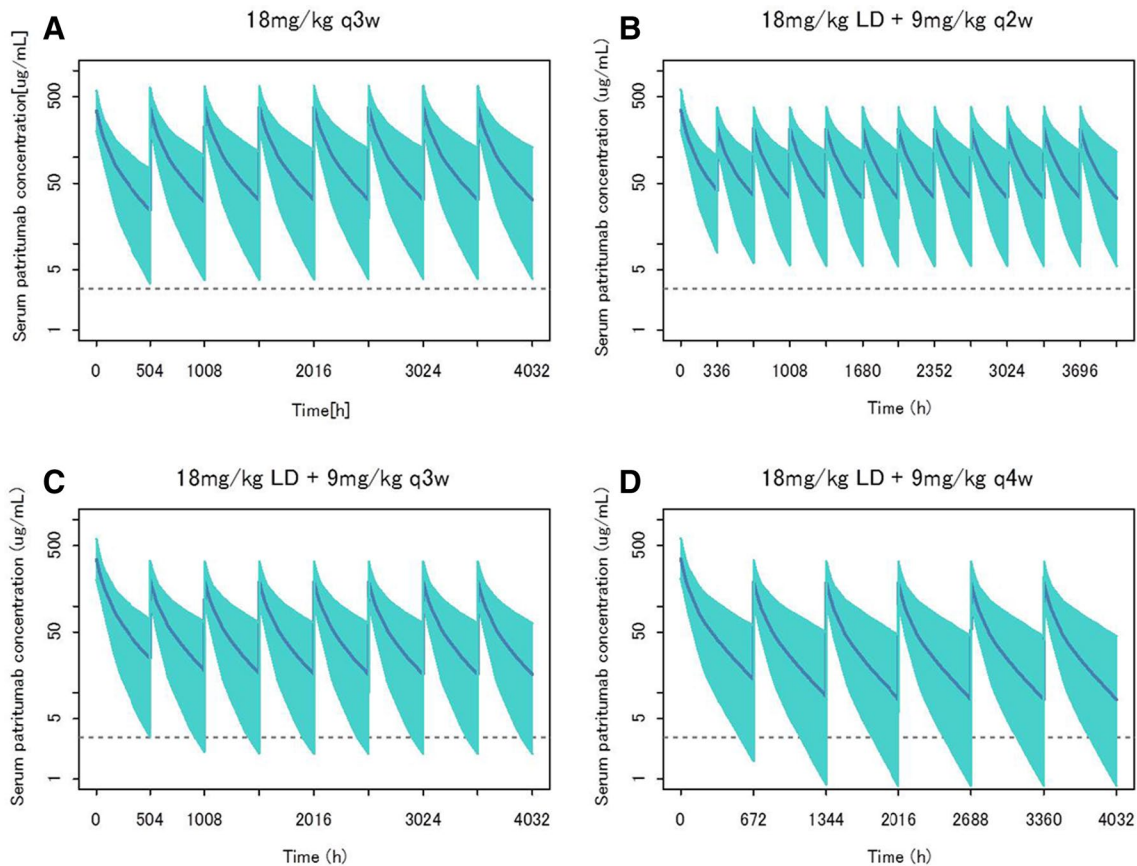


**Fig. 3** Simulated AUC and  $C_{\max}$  versus fixed and body weight-based dosing ( $N = 2000$ ). AUC area under concentration–time curve, BW body weight,  $C_{\max}$  maximum serum concentration

## Discussion

A population pharmacokinetic model for patritumab was developed from data obtained from 145 subjects with NSCLC or advanced solid tumors who were enrolled in one of two studies. The pharmacokinetics of patritumab have been observed as nonlinear up to a dose of 6 mg/kg owing to the pathways of dual elimination inherent to target-mediated disposition of a monoclonal antibody [14, 24]. Consistent with previous pharmacokinetic results [14], patritumab demonstrated linear pharmacokinetics at doses of 9 mg/kg and 18 mg/kg. In these studies, serum

patritumab concentrations were well described with a two-compartment model with first-order elimination. Results showed that  $V_c$  was approximately serum volume and clearance for patritumab (clearance = 0.57 L/day) was relatively consistent with those reported for immunoglobulin G antibodies (0.2–0.5 L/day), after saturation of the target-mediated elimination pathway [17]. Body weight and baseline albumin were significant covariates of clearance, and body weight was also a significant covariate of  $V_c$ . Sex was a statistically significant covariate on clearance after accounting for body weight, but the estimate of body weight effect decreased about 44 % due to the collinearity.



**Fig. 4** Simulated serum patritumab concentration versus time for the regimen of patritumab **a** 18 mg/kg every 3 weeks, 18 mg/kg loading dose followed by 9 mg/kg as the maintenance dose, **b** every 2 weeks, **c** every 3 weeks, and **d** every 4 weeks ( $N = 1000$  subjects/regimen). Full lines in blue are the median of the predicted concentrations.

Green bands are the 90 % prediction interval of the 5th and 95th percentiles across the simulated patient population. Broken lines in gray are the target serum concentration (3 µg/mL) which associated with maximal efficacy in vivo. LD loading dose, q2w every 2 weeks, q3w every 3 weeks, q4w every 4 weeks

Therefore, sex was not included as a covariate for clearance in the model.

Although only subjects with mild hepatic impairment were included in this analysis set because of inclusion criteria for the phase 1b/2 study, mild hepatic impairment appears to have no effect on the pharmacokinetics of patritumab based on the lack of significant effect between ALT, AST, and/or bilirubin on clearance in this study. The lack of effect of hepatic impairment on the clearance of patritumab is consistent with known clearance mechanisms of monoclonal antibodies that are dependent on the reticuloendothelial system rather than liver metabolism [22].

Similarly, based on the lack of effect of creatinine clearance and estimated glomerular filtration rate on clearance, mild renal impairment has no apparent effect on patritumab pharmacokinetics. This is consistent with what would be predicted from clearance pathways for immunoglobulin G1 antibodies, which are not cleared by the kidneys [18].

The interindividual variability of patritumab clearance and  $V_c$  was significantly related to body weight. These

effects may be addressed with the use of body weight-based dosing, which, based on the simulations performed, provided lower variability in exposure compared with fixed dosing across subjects with low to high body weight. The exponential functions for body weight as a covariate of  $V_c$  and clearance were  $>0.5$ . Therefore, these simulation results are consistent with previously reported results, suggesting that body weight-adjusted dosing is the preferred regimen over fixed dosing when body weight has a significant effect on  $V_c$  and clearance [25].

The regimen of patritumab 18 mg/kg q3w is considered to be comparable to the regimen of patritumab 18 mg/kg loading dose followed by 9 mg/kg q2w as the maintenance dose in exposure, as the total administration volume is same in first 6 weeks and total exposure is higher than the regimen of patritumab 18 mg/kg loading dose followed by 9 mg/kg q2w after the first 6 weeks. The regimen of patritumab 18 mg/kg loading dose followed by 9 mg/kg q3w is considered to be better than the regimen of patritumab 18 mg/kg loading dose followed by 9 mg/kg q4w;



therefore, both regimens of patritumab 18 mg/kg q3w and 18 mg/kg as a loading dose, followed by 9 mg/kg q3w, are appropriate as related to pharmacokinetics.

The population pharmacokinetics analysis presented here supports the patritumab doses investigated in the phase 1b/2 study of NSCLC. The regimen of patritumab 18 mg/kg as a loading dose followed by 9 mg/kg q3w as the maintenance dose in combination with erlotinib is being further investigated in a phase 3 study in *EGFR* wild-type subjects with locally advanced or metastatic NSCLC who have progressed on at least one prior systemic therapy (NCT02134015) [26].

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#### Compliance with ethical standards

**Conflict of interest** Satoshi Yoshida, Mendel Jansen, Shuquan Chen, and Jeanne Mendell are employees of Daiichi Sankyo. Nobuko Matsu-shima is a former employee of Daiichi Sankyo.

**Ethical standard** The data used in this analysis were collected from two studies that were approved by the institutional review board of participating institutions and conducted in accordance with the principles of the Declaration of Helsinki.

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