

A *UGT1A1**28 and *6 genotype-directed phase I dose-escalation trial of irinotecan with fixed-dose capecitabine in Korean patients with metastatic colorectal cancer

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Abstract

Purpose *UGT1A1* genotypes are important when considering treatment with irinotecan-containing regimens. In this study, we determined the dose, efficacy, and tolerability of irinotecan according to *UGT1A1* genotypes when combined with capecitabine in patients with metastatic colorectal cancer.

Methods Patients with histologically confirmed metastatic adenocarcinoma of the colon or rectum were enrolled into a *UGT1A1* genotype-directed dose-escalation trial of irinotecan plus fixed-dose capecitabine (2,000 mg/m²/day). The starting dose of irinotecan was different for each

genotype group and ranged from 200 to 280 mg/m². Pharmacokinetic concentrations of irinotecan and metabolites were determined by LC/MS/MS.

Results Fifty patients were genotyped for *UGT1A1**28 and *6, and grouped according to the numbers of defective alleles (DA): 0, 1, and 2. Plasma concentrations of irinotecan, SN-38, and SN-38G were measured. The maximum tolerated dose of irinotecan was 350 mg/m² for the 0 and 1 DA groups, and 200 mg/m² for the 2 DA group. For the 0, 1, and 2 DA groups, mean AUC_{last} ratios of SN-38G to SN-38 were 7.72, 5.71, and 2.72 ($P = 0.0023$) and relative dose intensities at recommended dose were 85, 83, and 97 %.

Conclusion Irinotecan dosing based on *UGT1A1**28 and *6 is feasible, and higher doses of irinotecan can be safely administered in patients with 0 or 1 DA, compared to those with 2 DA.

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Introduction

Irinotecan, one of the pivotal agents in the first-line treatment of metastatic colorectal cancer (MCRC) [5], is a prodrug activated to SN-38 by carboxylesterase. After exertion of its cytotoxic effects, SN-38 is inactivated via glucuronidation [32]. This step is mediated by UDP-glucuronosyltransferase (UGT) 1A enzymes, with *UGT1A1* playing a major role in the detoxification of SN-38 [20].

Irinotecan is well-known for its significant interindividual pharmacokinetic variability. Recent pharmacogenetic studies have shown that polymorphisms of the *UGT1A1* gene, especially *UGT1A1**28, underlie irinotecan-related toxicity

in the Caucasian population [30]. Based on these findings, US FDA amended the label of irinotecan to include homozygosity for the *UGT1A1**28 genotype as one of the risk factors for severe neutropenia in 2004. Despite these efforts, complete individualization of irinotecan dosing is yet to be established. Several earlier reports have shown that high-dose irinotecan can be safely administered in selected patients [36, 37]. These findings suggest that patients without *UGT1A1**28 alleles tolerate higher doses of irinotecan. While *UGT1A1**28 is problematic in Western ethnicities, the *UGT1A1**6 genotype has been exclusively identified in Asian populations, occurring with a frequency of about 20 % [1, 12]. The *UGT1A1**6 genotype is associated with higher exposure to SN-38 and lower relative glucuronidation rates, and thus suggested as a biomarker for irinotecan-induced severe neutropenia in Asian cancer patients [3, 14, 21, 27].

Schemes based on *UGT1A1**28 polymorphisms have been applied for individualizing the dose of irinotecan [9, 15, 35]. These studies have verified the concept that patients with genotypes associated with decreased glucuronidation cannot tolerate higher doses of irinotecan, compared to the wild-type population. However, the influence of *UGT1A1**6, which is prevalent and problematic in Asian populations, has not been evaluated as yet. At present, data on the optimal dose and clinical outcomes of irinotecan-based regimens regarding *UGT1A1**28 and *6 polymorphisms are limited [8, 26].

The main aim of the current genotype-directed phase I study was to determine maximum tolerated dose (MTD) and dose-limiting toxicities (DLTs) of the capecitabine plus irinotecan (XELIRI) regimens according to *UGT1A1* genotypes in MCRC patients in Korea. In addition, we investigated the relationship between these genotypes and pharmacokinetics of irinotecan and its metabolites, and further evaluated the safety profiles and antitumor activities.

Patients and methods

Patient selection

Patients with histologically confirmed metastatic adenocarcinoma of the colon or rectum were eligible, provided they met the following criteria: age 18–65 years, genotyped for *UGT1A1**28 and *6, Eastern Cooperative Oncology Group (ECOG) performance status of ≤ 2 , life expectancy of longer than 3 months, at least one measurable or evaluable non-measurable disease, no prior systemic chemotherapy for metastatic disease (any previous adjuvant chemotherapy or radiotherapy must have been completed at least 6 months before study inclusion),

absolute neutrophil counts (ANCs) $\geq 1,500/\mu\text{L}$, platelets $\geq 100,000/\mu\text{L}$, serum creatinine levels less than 1.5 mg/dL, AST and ALT < 3 times the upper limit of normal (ULN), total serum bilirubin level < 1.5 times that of ULN. Patients were excluded in cases of central nervous system metastasis, gastrointestinal obstruction, bleeding, current diarrhea greater than grade 2 according to the National Cancer Institute of Common Toxicity Criteria (NCI CTC) version 3.0, and known bleeding diathesis or coagulopathy. The protocol and written informed consent were approved by the institutional review board of Asan Medical Center. All of the patients were enrolled after signing this consent form.

Study design and treatments

This phase I trial was designed to include up to seven levels using the 3+3 dose-escalation design for each group. The starting dose of irinotecan was different for each group: 0 DA, 280 mg/m² (20 % above the standard dose of XELIRI); 1 DA, 240 mg/m² (standard dose); 2 DA, 240 mg/m² (standard dose), and capecitabine was administered at a fixed dose of 2,000 mg/m²/day at all levels in each group.

Irinotecan was administered intravenously over 90 min in 200 ml of 5 % dextrose water on day 1 every 3 weeks. Fixed-dose capecitabine was administered orally from days 2 to 15 every 3 weeks. All patients were premedicated with antiemetic drugs (e.g., 5-HT₃ antagonists). In addition, atropine (0.5 mg) and loperamide (4 mg every 2 h, as necessary) were administered for hypercholinergic syndrome and delayed diarrhea, respectively. Treatment was repeated every 21 days and continued for a maximum of 9 cycles in the absence of progressive disease, development of unacceptable toxicity, or patient refusal.

Dose-limiting toxicity (DLT) and recommended dose (RD)

Toxicity was graded using the National Cancer Institute Common Toxicity Criteria (NCI CTC) version 3.0. Any of the following toxicities occurring during the first cycle of chemotherapy were grouped as DLT: grade 4 neutropenia lasting for more than 5 days, febrile neutropenia, grade 4 thrombocytopenia or any other grade 3 or 4 non-hematological toxicity (including nausea, vomiting, excluding alopecia) that did not improve to at least grade 1 within 2 days after the institution of appropriate therapy, any other toxicities that prevented completion of the prescribed dose of capecitabine during the first cycle, and treatment-related adverse events causing a delay of more than 2 weeks following administration of the second cycle. Dose escalation was continued until DLTs were observed in the first cycle of treatment in two or more of six patients, defined as the

maximum tolerated dose (MTD). After determination of MTD, two to six more patients were treated at the dose level below this cutoff, which was defined as the recommended dose (RD). MTD and RD were determined separately for each genotype. Inpatient dose escalation was not permitted. Dose intensity was defined as the body surface area-normalized irinotecan dose per day during the cycle treatment period (i.e., from the date of irinotecan administration to that before subsequent treatment with irinotecan).

Patient and tumor evaluation

Patients were evaluated at baseline on a weekly basis during the first treatment cycle and at 3-weekly intervals thereafter. Evaluation included complete blood counts with differential, blood chemistry analysis, complete clinical examination, and recording of all adverse events, including severity and outcome.

Responses were evaluated via CT scan every 2 cycles until tumor progression, using the same imaging technique for each assessment as that at baseline. Tumor responses were classified according to the response evaluation criteria in solid tumor (RECIST 1.0) guidelines [34]. Patients with complete response (CR) or partial response (PR) required a confirmatory disease assessment after at least 4 weeks. Patients with no confirmed tumor response were not regarded as responders. PFS was recorded from the start of chemotherapy until documented disease progression or death, and OS determined from the start of chemotherapy until death.

*UGT1A1**6 and *28 genotyping

Patients were genotyped for eligibility using a previously described assay [38].

Pharmacokinetics

Serial blood samples were collected at cycle 1 prior to irinotecan infusion and at 0, 0.5, 1.0, 2.0, 3.0, 6.0, 24, and 48 h after infusion. Total plasma concentrations of irinotecan, SN-38, and SN-38G were measured with the HPLC assay. Briefly, proteins were precipitated from plasma (100 μ l) after the addition of methanol. After solvent evaporation, samples will be reconstituted in 30 % acetonitrile and 70 % 0.1 M potassium dihydrogen phosphate containing 3 mM heptane sulfonic acid at pH 4 and injected into an HPLC system with a μ Bondapak C18 column. Standard curves were constructed for irinotecan and SN-38, SN-38G concentration after hydrolysis with 1,000 units of β -glucuronidase (Escherichia Coli type IX-A, Sigma-Aldrich Co., St. Louis, MO). Pharmacokinetic

parameters for irinotecan, SN-38, and SN-38G were calculated using non-compartmental analyses with Win-Nonlin (Professional Network Version 5.2; Pharsight Corporation, Mountain View, CA). Dose-normalized pharmacokinetic parameters were calculated by dividing parameters, including area under the concentration curve (AUC_{last}) and maximum concentration (C_{max}), by dose. Pharmacokinetic parameters reflecting the metabolism and disposition of irinotecan were calculated. The biliary index ($AUC_{Irinotecan} \times AUC_{SN-38}/AUC_{SN-38G}$), relative extent of conversion (REC; $AUC_{SN-38}/AUC_{Irinotecan}$), and relative extent of glucuronidation (REG; AUC_{SN-38G}/AUC_{SN-38}) were calculated to measure the metabolism and disposition of irinotecan [17].

Safety assessment

Toxicity was graded according to National Cancer Institute Common Toxicity Criteria version 3.0. Patients were treated with full doses of irinotecan at ANC counts $\geq 1,500/\mu$ L, platelet counts $\geq 100,000/\mu$ L, and in cases where treatment-related diarrhea was fully resolved. A treatment delay of up to 1 week was permitted without dose reduction.

Statistical analysis

Exploratory analyses were performed to analyze the effect of *UGT1A1* genotypes on pharmacokinetic parameters of irinotecan, which were log-transformed and compared using mixed model analysis of variance (ANOVA). Progression-free survival (PFS) was calculated from the commencement date of chemotherapy to disease progression or death from any cause, and overall survival (OS) from the starting date of chemotherapy to death from any cause. Survival analyses were performed using the Kaplan–Meier method with the log-rank test. Data analyses were performed using the Statistical Software Package for Social Sciences (SPSS version 14.0; Chicago, IL).

Results

Patient characteristics and disposition

Between April 2006 and 2009, 50 patients were screened for *UGT1A1* genotypes (*28 and *6), and patients stratified into one of 3 groups according to the number of defective alleles (DA): 0 (wild type: **1/*1*), 1 (containing only one of the *28 or *6 allele: **1/*28* or **1/*6*), and 2 (**28/*28*, **6/*6*, or double heterozygous for **1/*28* and **1/*6*) (Table 1). In the 1 DA group ($n = 20$), 14 and 6 patients were heterozygous for *UGT1A1**6 and *28, respectively. In

the 2 DA group ($n = 7$), two patients were simultaneously heterozygous for *UGT1A1**6 and *28, and three and two patients were homozygous for *UGT1A1**6 and *28, respectively. Genotypes did not deviate from Hardy–Weinberg equilibrium based on Chi-square test ($P = 0.44$). Patient characteristics are summarized in Table 2.

Dose escalation, safety, and tolerability

The MTD was 380 mg/m² for the 0 DA group, 380 mg/m² for the 1 DA group, and 240 mg/m² for the 2 DA group. In the 0 DA group, no DLT was evident at levels II and III. Two of the six patients at level V (380 mg/m²) experienced two DLT, and two DLTs were observed at level IV (350 mg/m²), which was expanded to 11 patients. Within the 1 DA group, based on the finding that two DLTs occurred in two patients at level V (380 mg/m²), level IV was expanded to eight patients displaying one DLT. One patient was misallocated to level I (240 mg/m²), and consequently, level I included 4 patients, despite no DLT. In the 2 DA group, two DLTs were observed in three patients classified as level I (240 mg/m²), and thus the dose was lowered to level –1 (200 mg/m²) at which no DLTs were observed (Table 1). RD was designated the dose level below the MTD for each genotype group. The most common DLTs among the treated patients included diarrhea ($n = 3$), neutropenia ($n = 3$), and asthenia ($n = 3$). No treatment-related deaths were evident. At the RD level, relative dose intensities (mean \pm SD, mg/m²/day) were 85 % (14.2 ± 2.98), 83 % (13.8 ± 3.36), and 97 % (9.21 ± 0.51) for the 0 DA, 1 DA, and 2 DA groups, respectively. While the cumulative toxicities of patients in each genotype group did not differ significantly at the RD level, neutropenia was often observed in the 1 DA group (Table 3).

Pharmacokinetics

Full pharmacokinetic data were analyzed in all 50 patients. Mean dose-normalized plasma concentration versus time profiles of SN-38 are shown in Fig. 1a. Dose-normalized AUC_{last} values of irinotecan and SN-38G were not significantly different among the genotype groups. However, dose-normalized AUC_{last} of SN-38 revealed significant differences among the genotype groups. The metabolic parameters including biliary index, REC, and REG revealed higher values with higher numbers of DA ($P = 0.384$, <0.0001 and 0.0023).

The genotype group with 2 DA displayed significantly lower values of REG, compared to the 0 and 1 DA groups ($P = 0.0017$, $P = 0.0068$; Fig. 1b). At the RD level, patients with 2 DA displayed similar SN-38 exposure (mean \pm SD, 381.78 ± 133.11 $\mu\text{g}^*\text{h/L}$) as those with 0 and 1 DA (341.22 ± 282.67 $\mu\text{g}^*\text{hr/L}$).

Efficacy assessment

Among the 50 patients, 40 were evaluated for response. The ten remaining patients were not assessable, since they did not have measurable disease. The overall response rate in the intention-to-treat population was 65.0 % (95 % confidence interval [CI] 50.2–79.8; Table 4). Patients with 2 DA of the *UGT1A1* genotype showed a lower response rate than those classified as 0 or 1 DA. At a median follow-up duration of 22.2 months (range 2.3–45.0 months), median PFS and OS for all patients were 9.1 months (95 % CI 7.8–10.4 months) and 29.3 months (95 % CI 24.9–33.8 months), respectively (Fig. 2). DA number (0, 1 vs. 2) and exposure of SN-38 (≥ 180 vs. <180 $\mu\text{g}^*\text{h/L}$) did not display significant differences in terms of PFS.

Table 1 Irinotecan dose escalation and DLTs during the first treatment cycle

Group	Level	Irinotecan	Number of patients		
			Treated	With DLT	DLTs
0 DA	II	280*	3	0	
	III	320	3	0	
	IV	350	11	2	Gr 3 diarrhea; Gr 3 diarrhea and Gr 3 asthenia
	V	380	6	2	Gr 3 asthenia; Gr 3 febrile neutropenia and Gr 3 diarrhea
1 DA	I	240*	4**	0	
	II	280	3	0	
	III	320	3	0	
	IV	350	8	1	Gr 3 diarrhea
	V	380	2	2	Gr 3 asthenia; Gr 3 asthenia
2 DA	–I	200*	4	0	
	I	240	3	2	Gr 4 neutropenia; Gr 3 febrile neutropenia

DA defective allele, DLTs dose-limiting toxicities, Gr grade

* Starting dose, ** one excess patient due to misallocation

Table 2 Baseline patient characteristics

<i>UGT1A1</i> genotype group	0 DA	1 DA	2 DA	All
Number of patients (%)	23 (46)	20 (40)	7 (12)	50 (100)
Characteristics	Number of patients (%)			
Median age, years (range)	51 (33–59)	50 (24–62)	49 (39–56)	50 (24–62)
Gender				
Male	18 (78)	19 (95)	4 (57)	36 (82)
Female	5 (22)	1 (5)	3 (43)	14 (28)
ECOG performance status				
0	7 (30)	7 (35)	4 (57)	18 (36)
1	16 (70)	13 (65)	3 (43)	32 (64)
Histology				
Well/moderately differentiated	20 (87)	19 (95)	7 (100)	46 (92)
Poorly differentiated/signet-ring cell	3 (13)	1 (5)		4 (10)
Primary tumor site				
Colon	11 (48)	7 (35)	6 (86)	24 (48)
Rectum	12 (52)	13 (65)	1 (14)	26 (52)
Metastasis sites				
Liver	15 (65)	15 (75)	5 (71)	35 (70)
Lung	7 (30)	3 (15)	2 (29)	12 (24)
Peritoneum	6 (26)	8 (40)	2 (29)	16 (32)
No. of metastatic sites				
1	15 (65)	3 (15)	2 (29)	20 (40)
≥2	8 (35)	17 (85)	5 (71)	30 (60)
<i>UGT1A1</i> genetic profile				
//1	23 (100)			23 (46)
//6		13 (65)		13 (26)
//28		7 (35)		7 (14)
*/6/*28			3 (43)	3 (6)
*/6/*6			3 (43)	3 (6)
*/28/*28			1 (14)	1 (2)

DA defective allele, ECOG eastern cooperative oncology group

Seventeen patients (34 %) displayed metastatic lesions limited to the liver. The response rate of these patients was 58 %, and 5 (29.4 %) received metastasectomy.

Discussion

Conventionally, XELIRI therapy is composed of irinotecan 240 mg/m² and capecitabine 2,000 mg/m²/day. In our dose-ascending study of irinotecan for XELIRI, the RD of irinotecan for wild type and 1 DA patients was 350 and 200 mg/m² for patients with 2 DA. The overall response rate was 65.0 %. Median PFS and OS were 9.1 and 29.3 months, respectively. In 2007, the pivotal BICC-C trial has described a median PFS and OS for CapeIRI of 5.8 and 18.9 months [11]. In 2009, Sukumaran et al. reported a median time-to-progression and OS of 7.9 and 15.6 months based on a pooled analysis of 30 non-randomized phase II

trials ($n = 1,380$) along with 6 randomized phase II and 3 phase III trials. In this analysis, however, the daily dose of capecitabine ranged from 1,800 to 2,500 mg/m² for 7 to 14 days per cycle and the dose of irinotecan 180–350 mg/m², over a 3-week period per cycle [33]. Our results suggest that irinotecan and capecitabine for XELIRI should not be fixed at the conventional dose, but adjusted on the basis of number of defective alleles related to the *UGT1A1* genotype.

The pharmacokinetic-pharmacodynamic changes associated with defective alleles of the *UGT1A1* genotype have been described during the past decade [6]. Innocenti et al. [18] predicted severe neutropenia based on *UGT1A1* genotypes and pretreatment serum total bilirubin levels. Furthermore, Toffoli and coworkers performed a *UGT1A1* genotype-oriented dose-escalating trial of FOLFIRI and reported that DLTs of irinotecan occur at >300 mg/m², which is approximately twice the conventional dose [35].

Table 3 Frequency of toxicity during all treatment cycles at the recommended dose (worst toxicity per patient) by each *UGT1A1* genotype group

No. (%) of all patients						
Group	0 DA (<i>n</i> = 11)		1 DA (<i>n</i> = 8)		2 DA (<i>n</i> = 4)	
Total/toxicity evaluable cycles	64/61		58/57		42/41	
Adverse events	Gr 1–2	Gr 3–4	Gr 1–2	Gr 3–4	Gr 1–2	Gr 3–4
Anemia	8 (73)	0	7 (87)	0	3 (75)	0
Leucopenia	2 (18)	0	4 (50)	0	1 (25)	0
Neutropenia	3 (27)	1 (9)	3 (37)	4 (50)	1 (25)	1 (25)
Thrombocytopenia	1 (9)	0	2 (25)	0	0	0
Febrile neutropenia	–	0	–	1 (12)	–	0
Asthenia	6 (55)	1 (9)	7 (87)	0	3 (75)	0
Nausea	5 (45)	1 (9)	7 (87)	1 (12)	3 (75)	0
Vomiting	2 (18)	1 (9)	6 (75)	0	0	0
Stomatitis	1 (9)	0	4 (50)	0	1 (25)	0
Diarrhea	5 (45)	2 (18)	5 (62)	2 (25)	0	0
Abdominal pain	4 (36)	0	4 (50)	0	0	0
HFS	4 (36)	0	4 (50)	0	1 (25)	0

DA defective allele, Gr grade, HFS hand-foot syndrome

While most studies have focused on the defective allele, *UGT1A1**28, differences in the *UGT1A1* allele frequencies may require consideration for worldwide application of these results. The frequencies of *UGT1A1**28 homozygotes are reported as 10 and 20 % in Caucasians and Africans, while Asians show less than 5 % prevalence. On the other hand, *UGT1A1**6 homozygotes have been identified at a frequency of about 5 % exclusively in the Asian population. Moreover, combined heterozygosity of *28 and *6 has been observed in another 5 % of the population [2]. Our results suggest that the dose for irinotecan used in major phase III trials may have been too high. We have observed two DLTs out of three patients with susceptible genotypes which are *28 and *6 homozygotes, compound heterozygotes. These genotypes account for about 10 % of the whole population regardless of ethnicity [29]. Considering the fact that this regimen has been avoided by clinicians due to safety issues, our data suggest that the tolerability of this regimen may have to be re-evaluated. Our study also expands the *UGT1A1* genotype-directed approach by including *UGT1A1**6, and suggests that irinotecan can be elevated to a dose of 350 mg/m² for patients with wild-type *UGT1A1* or one defective *6 or *28 allele, compared to 240 mg/m², which is the standard XELIRI regimen dose used for major phase III trials. To our knowledge, this is the first prospective genotype-based approach with irinotecan in the Asian population taking into account both *UGT1A1**28 and *6 alleles. One Japanese group conducted a dose-escalation study of irinotecan plus doxifluridine based on *UGT1A1**28 [15]. However, they did not include

*UGT1A1**6, which is more important in the Asian population than *UGT1A1**28 and did not escalate the irinotecan dose according to the occurrence of DLT. Alternatively, Ishida et al. [19] identified metastatic colorectal patients susceptible to irinotecan toxicity via studies including both *UGT1A1**6 and *28 genotypes, and initiated treatment with oxaliplatin-based chemotherapy, rather than an irinotecan-based regimen.

While diarrhea and neutropenia are well-known toxicities of irinotecan, direct comparisons of the pharmacogenetic-pharmacokinetic-toxicity relationship between trials are difficult owing to differences in the manner of irinotecan administration. A number of studies have shown that the *UGT1A1**28/*28 genotype is effective in predicting grade 3/4 neutropenia, but not diarrhea, while others have reported that the genotype predicts grade 3/4 diarrhea, but not hematologic toxicity [18, 23]. Moreover, Hoskins and coworkers suggested that susceptibility of *UGT1A1**28 homozygote patients to neutropenia does not occur at low doses of irinotecan (100–125 mg/m²), while Hu et al. consistently reported neutropenia in *UGT1A1**28 homozygotes at low doses of irinotecan, but no diarrhea [16, 17]. In addition to *UGT1A1*, various genes including *ABCC2* and *SLCO1B1* involved in the metabolism and disposition of irinotecan have been investigated. But due to the limited number of patients in these studies, it is hard to validate the predictive value of comprehensive pharmacogenetic analysis for toxicity, efficacy, and optimal dose [7, 25].

In the present study, we hypothesized that heterozygotes of *UGT1A1**28 or *6 have similar effects. Minami and

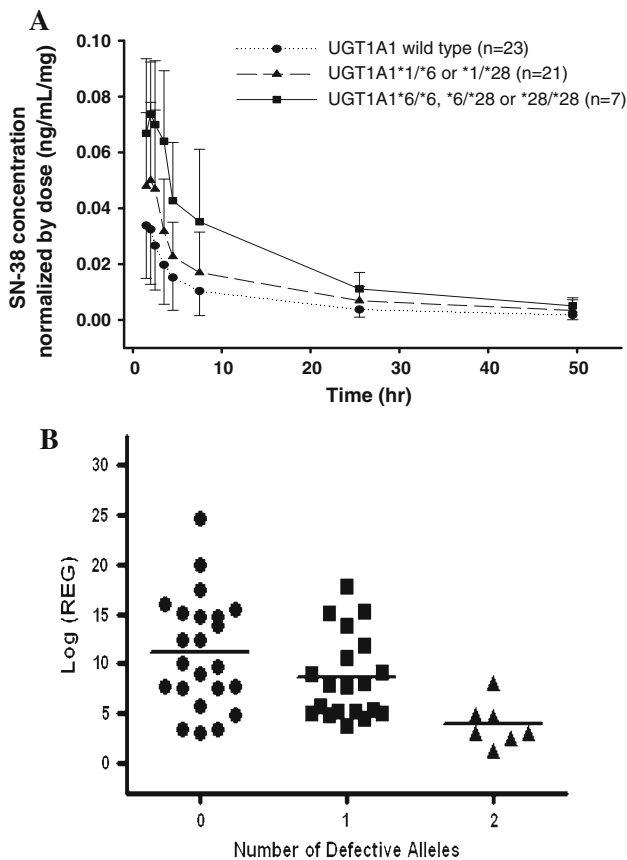


Fig. 1 Comparison according to the number of *UGT1A1* defective alleles for **a** mean dose-normalized concentration–time profile of SN-38 and **b** relative extent of glucuronidation (REG)

coworkers reported that patients with either *UGT1A1**6 or *28 show similar degrees of reduced area under concentration curve ratios [26]. *UGT1A1**28 and *6 homozygotes, compound heterozygotes have also shown similar pharmacokinetic profiles and susceptibility to neutropenia induced by irinotecan administered at a dose of 150 mg/m² with the FOLFIRI regimen [28]. However, despite the dominant effects of *UGT1A1* genotypes on irinotecan metabolism, pharmacokinetics remains considerably variable. These results suggest that genetic polymorphisms (carboxylesterase, cytochrome P450 3A, or ABC transporters) or environmental factors other than *UGT1A1* additionally contribute to the metabolism of irinotecan [6]. Based on the risks of irinotecan-associated toxicities, the US Food and Drug Administration has recommended the use of reduced dosage of irinotecan in individuals with *UGT1A1**28. In Japan, 150 mg/m² is the approved dose for the FOLFIRI regimen owing to the prevalence of *UGT1A1**6 or *28 [28].

While the earlier investigations were mainly based on concerns related to genotype-associated toxicity, we believe that genotypes may also be used for dose escalation in patients without defective alleles. Metastatic colorectal

Table 4 Overall response at each *UGT1A1* genotype group

UGT1A1 genotype group	Assessable patients	Best response				ORR (%)
		CR	PR	SD	PD	
0 DA	13	1	7	4	1	61.5
1 DA	20	0	16	2	2	80.0
2 DA	7	0	2	5	0	28.6
Overall	40	1	25	11	3	65.0

CR complete response, PR partial response, SD stable disease, PD progressive disease, ORR overall response rate, DA defective allele

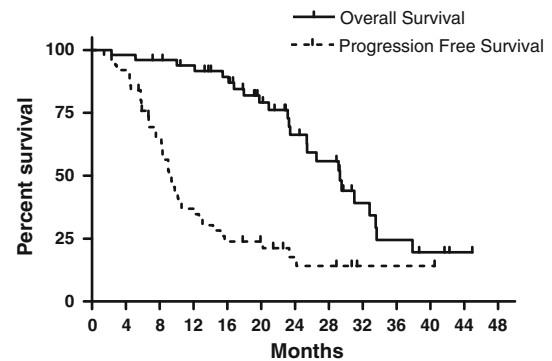


Fig. 2 Kaplan-Meier curves of progression-free survival (PFS) and overall survival (OS) for 40 evaluable patients. Median PFS and OS were 9.1 months (95 % CI 7.8–10.4 months) and 29.3 months (95 % CI 24.9–33.8 months), respectively

patients treated with FOLFIRI containing higher irinotecan doses (≥ 260 mg/m²) have been reported to show higher response rates and time-to-progression [24, 35]. We are concerned that there is a possibility of “underdosage” of irinotecan in many *UGT1A1* wild-type patients, owing to the lack of genotype-driven dose finding studies.

This study has several limitations. At present, continuous intravenous 5-FU is still preferred when administered in combination with irinotecan (FOLFIRI), compared to XELIRI in metastatic colorectal cancer [11, 22]. However, XELIRI is still under evaluation in various indications [10, 13, 31]. Another drawback was the limited number of patients with two defective alleles. Due to a lack of patients, the study was stopped before the protocol-defined number of patients was enrolled, which limited the defining of RD for 2 DA genotypes. While our study focused on the pharmacogenetics of irinotecan, 5-FU is also subject to individual toxicity related with various genes including dihydropyrimidine dehydrogenase (DPYD) polymorphisms. However, as our study used a fixed dose of capecitabine, we did not consider this in our analysis. Furthermore, DPYD polymorphisms associated with 5-FU toxicity are rarely described in the Korean population [4].

Our results support the feasibility of irinotecan dosing stratified by the *UGT1A1**28 and *6 genotype in Korean

patients with metastatic colorectal cancer in combination with capecitabine and safe administration of higher doses of irinotecan in patients without 2 defective alleles. We suggest that in the future, the optimal dosage of irinotecan-containing agents can be evaluated based on the present genotype information to maximize their efficacy and minimize toxicity. In addition, prospective analysis of “genotype-targeted dosing” in a standard method of exploratory-confirmatory trials is necessary so that the cost-benefit issues of widespread *UGT1A1* genotyping can be settled. At present, a large-scale Asian trial is ongoing to address this issue of *UGT1A1* genotyping with FOLFIRI (ClinicalTrials.gov Identifier NCT01271582).

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Conflict of interest All authors have no financial disclosures.

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