

## Phase II study of biweekly S-1 and oxaliplatin combination chemotherapy in metastatic colorectal cancer and pharmacogenetic analysis

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### Abstract

**Purpose** To evaluate the efficacy and safety of S-1 in combination with oxaliplatin in a biweekly schedule as first-line treatment in metastatic colorectal cancer and the association between genetic polymorphisms and treatment outcomes.

**Methods** Eligibility included age 18–75 years, at least one measurable lesion, no prior chemotherapy except adjuvant chemotherapy, and Eastern Cooperative Oncology Group Performance Status (PS) 0–2. S-1 40 mg/m<sup>2</sup> b.i.d. on days 1–7 with 85 mg/m<sup>2</sup> of oxaliplatin on day 1 was repeated every 2 weeks. Genomic DNA from whole blood was analyzed for 15 single-nucleotide polymorphisms (SNPs) among 8 genes.

**Results** Fifty-two patients (median age 63 years, range 37–74) were enrolled: 37 men and 15 women; 44 with a PS of 0 and 8 with a PS of 1; and 41 with initially metastatic cancer and 11 with relapsed disease. Among 51 evaluable patients, objective response rate was 47.1% [95% confidence interval (CI) 32.9–61.2]. Median follow-up duration was 17.1 months (range 3.9–28.2 months). Median progression-free survival (PFS) was 6.4 months (95% CI 4.8–8.1), and median overall survival had not been reached yet. Reported grade 3 toxicities were neutropenia (7.7%), thrombocytopenia (5.8%), sensory neuropathy (7.7%) and diarrhea (1.9%). There was no grade 4 toxicity or neutropenic fever. Patients with A/G or G/G genotype in GSTP1 Ile105Val SNP had longer PFS than patients with A/A (median 8.3 vs. 6.1 months,  $P = 0.04$ ).

**Conclusions** Biweekly S-1 with oxaliplatin is effective and has improved tolerability and convenience compared to other fluoropyrimidine with oxaliplatin combinations. GSTP1 Ile105Val SNP is associated with treatment outcomes.

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### Introduction

Colorectal cancer (CRC) is one of the most common malignancies and the fourth leading cause of cancer-related death in Korea [1]. The backbone of chemotherapy in metastatic CRC is fluoropyrimidine. Concentration and duration of exposure are important determinants of the efficacy of 5-fluorouracil (5-FU). The half-life of intravenous (IV) 5-FU in plasma is very short, and potentially cytotoxic concentrations are only maintained

for approximately 2 h after bolus administration; continuous infusion (CI) of 5-FU allows sustained plasma exposure [2]. A meta-analysis of 1,219 patients with CRC from six randomized trials revealed a higher response rate (RR) from continuously infused 5-FU compared to bolus administration, and there was a small, but statistically significant, advantage in median survival [3]. Oxaliplatin is a platinum compound with powerful antineoplastic properties, a synergistic effect with 5-FU, a satisfactory safety profile, and convenient administration. The combination of oxaliplatin with 5-FU or capecitabine is now regarded as standard chemotherapy in metastatic CRC [4, 5].

Oral fluoropyrimidine derivatives were invented to, like CI, augment the efficacy of fluoropyrimidine treatment by increasing the duration of exposure, while mitigating the inconveniences and side effects of 5-FU CI. Capecitabine is recognized as an oral alternative of 5-FU in metastatic CRC. In a phase III study comparing capecitabine plus oxaliplatin (XELOX) to the combination of oxaliplatin and leucovorin (LV) plus bolus and CI 5-FU (FOLFOX-4), the median progression-free survival (PFS) and median overall survival (OS) of XELOX were not inferior to those of FOLFOX-4 [6]. A pooled analysis of 6 phase II and III trials also concluded that XELOX was not inferior to FOLFOX-4 [4].

S-1, another oral fluoropyrimidine consists of tegafur, 5-chloro-2,4 dihydroxypyrimidine (CDHP), and potassium oxonate. CDHP induces strong inhibition of dihydropyrimidine dehydrogenase (DPD), and 5-FU converted from tegafur in the liver can maintain a steady-state concentration, allowing prolonged exposure within the human body, while potassium oxonate provides protection from diarrhea [7]. Introduction of S-1 instead of 5-FU in metastatic CRC is thus a rational and feasible treatment approach. In 3 previous phase II studies, the reported RRs of S-1 monotherapy in metastatic or advanced CRC ranged from 24 to 39.5%, with manageable toxicities [8–10].

Recent advances in the understanding of the genetic variations that influence drug metabolism, toxicity, and effectiveness are relevant in cancer chemotherapy [11]. Tailored chemotherapy, based on such genetic variations, has the potential to improve cancer treatment.

The objective of this study was to evaluate the efficacy and safety of S-1 in combination with oxaliplatin administered in a biweekly schedule as first-line chemotherapy in metastatic CRC and to examine the association between genetic polymorphisms and treatment outcomes by analyzing single-nucleotide polymorphisms (SNPs).

## Patients and methods

### Patients

Patients with biopsy-proven metastatic or recurrent CRC were enrolled in this prospective phase II study conducted in two medical centers [Seoul National University Hospital (SNUH) and Seoul Municipal Boramae Hospital (SMBH)]. Eligibility criteria included (1) age between 18 and 75 years; (2) at least one measurable lesion as defined by the Response Evaluation Criteria in Solid Tumors (RECIST) version 1.0 criteria [12]; (3) no previous chemotherapy or radiotherapy except adjuvant chemotherapy or radiotherapy completed more than 1 year previously; (4) an Eastern Cooperative Oncology Group (ECOG) performance status (PS) between 0 and 2; (5) adequate organ and marrow function. Patients with an uncontrolled comorbid illness, an active infection, or a second malignancy other than non-melanoma skin carcinoma or carcinoma in situ of the cervix were ineligible. The study was reviewed and approved by the Institutional Review Boards of SNUH and SMBH. Written informed consent was obtained from all patients prior to study entry.

### Treatment and evaluation

Oxaliplatin 85 mg/m<sup>2</sup> was administered as a 120-min IV infusion on day 1. Additionally, S-1 40 mg/m<sup>2</sup> was orally administered twice daily (80 mg/m<sup>2</sup>/day) from day 1 through day 7. Treatment was repeated every 2 weeks, and a total of 12 cycles were administered, unless there was documented disease progression, unacceptable toxicity, or patient refusal. After the 12th cycle, additional chemotherapy was allowed based on the investigator's clinical decision. Oxaliplatin was provided by Sanofi-Aventis Korea Co., Ltd. and S-1 by Jeil Pharmaceutical Co., Ltd. The companies had no influence on the design and conduct of this study.

Dose adjustments at the start of a new cycle were based on the worst toxicity observed during the previous cycle. For grade 3 neutropenia, a patient underwent a 20 mg/m<sup>2</sup>/day reduction of S-1 (e.g., from 80 to 60 mg/m<sup>2</sup>/day). For grade 4 neutropenia, 40 mg/m<sup>2</sup>/day of S-1 was administered with 75 mg/m<sup>2</sup> of IV oxaliplatin. For grades 3 and 4 thrombocytopenia, 60 and 40 mg/m<sup>2</sup>/day of S-1 were administered, respectively, with 75 mg/m<sup>2</sup> of IV oxaliplatin. The following toxicities also required a 20 mg/m<sup>2</sup>/day reduction of S-1, administered with 75 mg/m<sup>2</sup> oxaliplatin: grade 4 nausea and or vomiting, grade 3 or 4 diarrhea, grade 4 stomatitis, and grade 3 or 4 skin toxicity. Permanent cessation of oxaliplatin was mandatory if grade 3 or 4

neuropathy occurred on more than 7 days of a cycle, but S-1 was continued as monotherapy.

Subsequent cycles were started only when toxicity measurements satisfied the following criteria: neutrophil count  $>1,500/\mu\text{L}$ ; platelet count  $>75,000/\mu\text{L}$ ; and recovery of non-hematologic toxicities to grade 1 or less, except total bilirubin and AST/ALT elevation to grade 2 or less. If the delay period exceeded 3 weeks, patients were withdrawn from the study.

A safety evaluation, including an assessment of laboratory data and any clinical adverse events, was performed after the completion of each cycle. Treatment response was evaluated every 3 cycles by abdominal computed tomography and other appropriate modalities valuable for the assessment of measurable or evaluable lesions. Tumor response was measured unidimensionally according to the RECIST 1.0 criteria, and responses were confirmed at least 4 weeks after initial assessment. To record adverse events, the National Cancer Institute Common Toxicity Criteria (Version 3.0) were used.

We evaluated the association of several clinical characteristics [age ( $\geq 65$  vs.  $<65$  years old), N stage (regional lymph node positive vs. negative), T stage (T4 vs. non-T4), tumor differentiation (well and moderately differentiated vs. poorly and undifferentiated), ECOG PS (0 vs. 1 and 2), and baseline carcinoembryonic antigen (CEA) level ( $\leq 100$  vs.  $>100$  ng/mL)] to RR and PFS.

#### Genetic analysis

The polymorphisms investigated included 15 SNPs among 8 genes that were already known for their association with fluoropyrimidine or platinum in terms of efficacy or toxicity [13–20]. The polymorphisms included thymidylate synthase enhancer region (TSER), 3'-utr, and 5'G/C polymorphism for thymidylate synthase (TS); C8092A and Asn118Asn for excision repair cross-complementing-group 1 (ERCC1); Lys751Gln, C156A, and Asp312Asn for ERCC2; 5Arg399Gln, Arg194Trp, and Arg280His for X-ray repair cross-complementing-group 1 (XRCC1); Ile105Val for glutathione *S*-transferase P1 (GSTP1); –48G/T for *CYP2A6*; I340 M for alanine-glyoxylate aminotransferase (AGXT); A1298C for methylenetetrahydrofolate reductase (MTHFR). We analyzed the relation of each of the 15 SNPs to tumor response, PFS, OS, and major toxicities (neutropenia, stomatitis, diarrhea, and sensory neuropathy). Genomic DNA was extracted from peripheral blood samples using QIAmp DNA blood kits (Qiagen Inc., Hilden, Germany) and stored at 4°C until genotyping.

TSER was assessed by polymerase chain reaction (PCR) technique, and SNPs were analyzed by PCR-restriction fragment length polymorphism (RFLP) method. The PCR volume was 20  $\mu\text{L}$ . In RFLP, amplified reaction products

were digested with the restriction enzyme. Digested fragments were visualized on a 3% agarose gel. The genotyping of the *CYP2A6* polymorphism *CYP2A6*\*9 (–48G/T, rs28399433) was screened using the TaqMan fluorogenic 5' nuclease assay (ABI, Foster City, CA, USA).

#### Statistical analysis

The primary endpoint of the study was to evaluate RR and safety of biweekly S-1 with oxaliplatin chemotherapy. Secondary endpoints were the evaluation of PFS and OS and the association between genetic polymorphisms and treatment outcomes. According to Simon's optimal two-stage design, at least 46 eligible patients were required on the basis of a null hypothesis of  $<30\%$  RR versus an alternative of  $\geq 50\%$  (80% power with  $\alpha = 0.05$ ). The first stage of the study required 15 patients, and if at least 5 objective responses were observed, the second stage required a total of 46 patients. If at least 18 patients responded after the second accrual stage, treatment was considered promising. Estimating a 10% drop-out rate during enrollment, a total of 51 patients were the target sample size. Associations between response rate and polymorphism or between toxicity and polymorphism were assessed by Chi-square test and Fisher's exact test, where appropriate. PFS and OS were analyzed by the Kaplan–Meier method. Variables showing association with PFS in univariable analysis with  $P < 0.1$  were included for multivariable analysis by a backward Cox regression models. Before we performed the Cox regression analysis, we evaluated the log–log plots for each of independent variables (the clinical factors and SNPs) to confirm that our analyses satisfied the proportional hazard assumption. A correction for multiple testing was not performed. All values were two-sided, and statistical significance was accepted at the  $P < 0.05$  level. Safety was analyzed in all patients who received at least one dose of study medications. SPSS version 15.0 software (SPSS Inc., Chicago, IL, USA) and SAS version 9.1 (SAS Institute, Cary, NC, USA) were used for statistical analyses.

## Results

### Patient characteristics

Between May 2007 and May 2009, a total of 52 patients were enrolled. One patient withdrew the consent after the first cycle, and 51 patients were evaluable for response. Baseline patient characteristics and clinical features are summarized in Table 1. During the median follow-up duration of 17.1 months (range 3.9–28.2 months), 46 patients experienced disease progression, and 15 patients died. All of the censored patients in PFS analysis remained

**Table 1** Patient characteristics

	No. of patients ( <i>n</i> = 52)
Sex	
Male	37 (71.2%)
Female	15 (28.8%)
Median age (range)	63.0 (range 37.0–74.0)
ECOG* performance status	
0	44 (84.6%)
1	8 (15.4%)
Differentiation	
Well	3 (5.8%)
Moderate	42 (80.8%)
Poorly/not	4 (7.9%)
Not reported	3 (5.8%)
Location of cancer <sup>†</sup>	
Ascending	8
Transverse	3
Descending	3
Sigmoid	21
Rectal	19
Baseline CEA <sup>‡</sup>	
≤100 ng/ml	35 (67.3%)
>100 ng/ml	17 (32.7%)
Staging	
Initially stage IV	41 (78.8%)
Primary lesion operation	
Yes	31 (75.6%)
No	10 (24.4%)
Recurrent colorectal cancer	11 (21.2%)
Time to recurrence	Median 25.1 months (range 0.6–65.6)

\* ECOG, Eastern Cooperative Oncology Group; <sup>†</sup> a patient may have two or more primary locations of colorectal cancer; <sup>‡</sup> CEA, carcinoembryonic antigen

progression-free and were receiving study treatment when the analysis was performed. The median number of cycles delivered was 9.0 (range 1–26).

#### Clinical outcomes

Of 51 evaluable patients, the best overall response was complete response (CR) in 2 (3.9%), partial response (PR) in 22 (43.1%), stable disease (SD) in 24 (47.1%), and progressive disease (PD) in 3 (5.9%) patients. The overall RR was 46.2% [95% confidence interval (CI) 32.1–60.2] in an intent-to-treat analysis and 47.1% (95% CI 32.9–61.2%) among the evaluable patients (Table 2). Among the evaluable patients, median PFS was 6.4 months (95% CI 4.8–8.1 months) and median OS had not been reached yet (Fig. 1). The 1-year overall survival rate was 75.8%, and

**Table 2** Analysis of treatment response

Best overall response	Number of patients (%)
Complete response	2 (3.8%)
Partial response	22 (42.3%)
Stable disease	24 (46.2%)
Progressive disease	3 (5.8%)
Not evaluable	1 (1.9%)
Overall response rate	% (95% CI)
By intent-to-treat analysis	46.2 (32.1–60.2)
By per protocol analysis	47.1 (32.9–61.2)

the 2-year overall survival rate was 63.9%. Median-delivered dose intensity (DI) of S-1 and oxaliplatin was 250 and 38 mg/m<sup>2</sup>/week, respectively. Relative DI was 89.3% for S-1 and 89.4% for oxaliplatin.

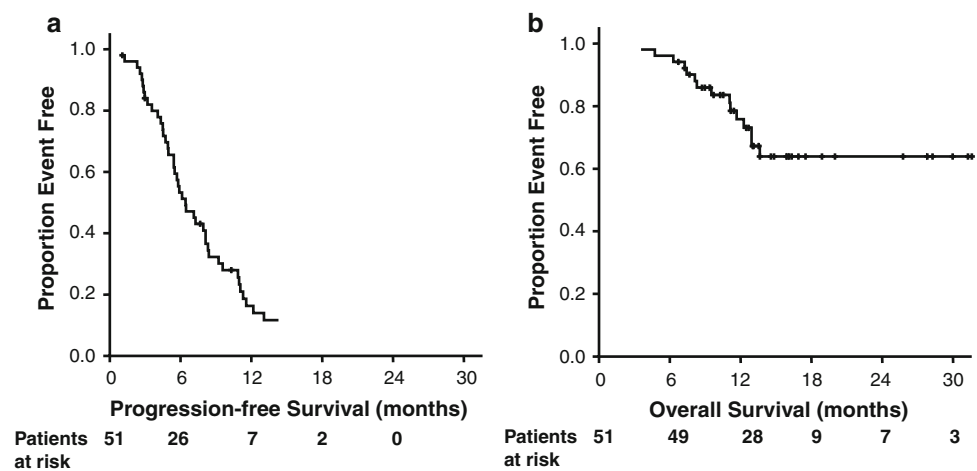
In univariable analysis, tumor differentiation (well and moderate vs. others) and baseline CEA level (≤100 vs. >100 ng/ml) were related to PFS (Table 3). There was no evidence of an association between clinical characteristics and RR.

#### Safety

Frequently observed toxicities were sensory neuropathy (73.1%), nausea (48.1%), anorexia (40.3%), diarrhea (23.0%), and stomatitis (15.4%). Neutropenia (7.7%), thrombocytopenia (5.8%), sensory neuropathy (7.7%), and diarrhea (1.9%) were observed as grade 3 toxicities. There were no hematologic or non-hematologic grade 4 toxicities observed. No neutropenic fever was reported. The toxicity profile is summarized in Table 4.

#### Pharmacogenetic analysis

Fifteen SNPs among 8 genes were analyzed in relation to response, toxicities, and PFS. In the pharmacogenetic analysis of Asn118Asn of ERCC1, grade 3 neutropenia occurred in 4 of 18 patients (22%) with C/T or T/T genotypes compared with none of 33 patients (0%) with the C/C homozygous genotype (*P* = 0.01). Patients with A/G (*n* = 12) or G/G (*n* = 2) genotypes in Ile105Val SNP of GSTP1 had significantly longer PFS compared to patients with the A/A (*n* = 37) genotype (*P* = 0.04; median 8.3 vs. 6.1 months, respectively) (Fig. 2). In multivariate analysis, Ile105Val SNP revealed a tendency toward an association with PFS (hazard ratio 0.47, 95% CI 0.21–1.04; *P* = 0.06) (Table 3). This polymorphism was also associated with neurotoxicity. Patients with A/G or G/G genotypes experienced more frequent G2/3 sensory neuropathy [5 of 14 (35.7%) in A/G or G/G vs. 3 of 37 (8.1%) in A/A,

**Fig. 1** Kaplan–Meier estimates of **a** progression-free survival and **b** overall survival**Table 3** Univariable and multivariable analysis of progression-free survival

Univariable analysis		Hazard ratio (95% CI) <sup>*</sup> for progression-free survival	<i>P</i>
<b>Clinical characteristics</b>			
Age	<65 vs. ≥ 65 years old	0.77 (0.41 to 1.45)	0.42
N stage	N+ vs. N0	0.69 (0.28 to 1.68)	0.41
T4 disease	No vs. yes	0.57 (0.26 to 1.27)	0.17
Tumor differentiation	Well and moderate vs. poor and undifferentiated	0.32 (0.11 to 0.95)	0.04
ECOG <sup>†</sup> performance status	0 vs. 1–2	0.40 (0.15 to 1.07)	0.06
Baseline CEA <sup>‡</sup>	≤100 vs. >100 ng/mL	0.49 (0.26 to 0.92)	0.03
<b>Pharmacogenetic analysis</b>			
TSER of TS	2/3 vs. 3/3	0.92 (0.47 to 1.79)	0.80
3'utr of TS	+/+ or ± vs. -/-	0.86 (0.48 to 1.55)	0.62
TS-expression <sup>§</sup>	Low vs. high	0.78 (0.42 to 1.43)	0.42
C8092A of ERCC1	C/C vs. A/A or A/C	0.83 (0.45 to 1.50)	0.53
Asn118Asn of ERCC1	C/C or C/T vs. T/T	0.98 (0.38 to 2.50)	0.98
Lys751Gln of ERCC2	A/C or C/C vs. A/A	0.76 (0.23 to 2.48)	0.76
C156A of ERCC2	A/C or A/C vs. C/C	0.58 (0.25 to 1.34)	0.58
Asp312Asn of ERCC2	Not analyzed (All patients were G/G type.)	–	–
Arg399Gln of XRCC1	A/A or G/A vs. G/G	0.89 (0.50 to 1.61)	0.71
Arg194Trp of XRCC1	C/T or T/T vs. C/C	0.98 (0.54 to 1.77)	0.93
Arg280His of XRCC1	G/G vs. A/A or G/A	0.99 (0.48 to 2.10)	0.99
Ile105Val of GSTP1	A/G or G/G vs. A/A	0.47 (0.23 to 0.97)	0.04
–48G/T of CYP2A6	G/G or G/T vs. T/T	0.95 (0.29 to 3.12)	0.93
I340 M of AGXT	A/A vs. G/A or G/G	0.88 (0.37 to 2.08)	0.77
A1298C of MTHFR	A/C or C/C vs. A/A	0.91 (0.49 to 1.67)	0.75
<b>Multivariable analysis</b>		<b>Hazard ratio (95% CI)</b>	<b><i>P</i></b>
Tumor differentiation		0.17 (0.05 to 0.59)	0.01
Baseline CEA		0.41 (0.20 to 0.84)	0.01
Ile105Val of GSTP1		0.47 (0.21 to 1.04)	0.06

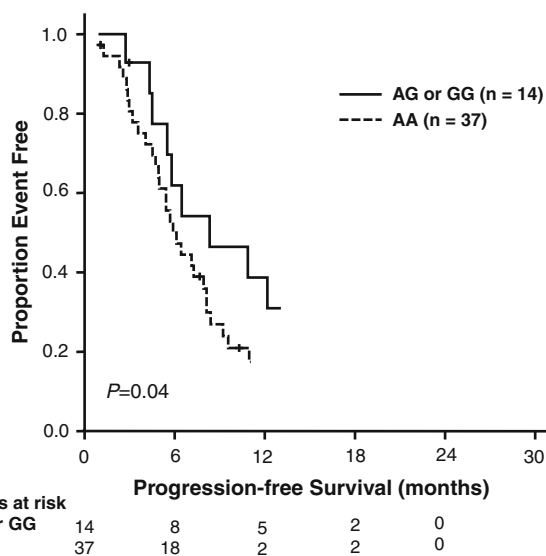
\* CI, confidence interval; <sup>†</sup> ECOG, Eastern Cooperative Oncology Group; <sup>‡</sup> CEA, carcinoembryonic antigen; <sup>§</sup> TS-expression is defined as low if a patient's 5'-UTR was 2R/2R or 2R/3C or 3C/3C and high if 2R/3G or 3C/3G or 3G/3G

**Table 4** Toxicity profile

Event	Number of patients ( <i>n</i> = 52)				
	NCI-CTC* grade, version 3.0				
	1 (%)	2 (%)	3 (%)	4 (%)	3/4 (%)
Anemia	13 (25.0)	4 (7.7)	0	0	0
Neutropenia	0	15 (28.8)	4 (7.7)	0	4 (7.7)
Thrombocytopenia	0	11 (21.2)	3 (5.8)	0	3 (5.8)
Anorexia	19 (36.5)	2 (3.8)	0	0	0
Nausea	22 (42.3)	3 (5.8)	0	0	0
Vomiting	10 (19.2)	2 (3.8)	0	0	0
Diarrhea	7 (13.5)	4 (7.7)	1 (1.9)	0	1 (1.9)
Stomatitis	8 (15.4)	0	0	0	0
Alopecia	2 (3.8)	0	0	0	0
Neuropathy	30 (57.7)	4 (7.7)	4 (7.7)	0	4 (7.7)
Hand-foot syndrome	1 (1.9)	0	0	0	0
Fatigue	12 (23.1)	5 (9.6)	0	0	0
Hyperpigmentation	7 (13.5)	1 (1.9)	0	0	0
Abnormal AST <sup>†</sup> or ALT <sup>‡</sup>	15 (28.8)	2 (3.8)	0	0	0

\* NCI-CTC National Cancer Institute—Common Toxicity Criteria,

<sup>†</sup> AST aspartate aminotransferase, <sup>‡</sup> ALT alanine aminotransferase



**Fig. 2** Kaplan–Meier curves of progression-free survival for AG or GG versus AA in the Ile105Val polymorphism of GSTP1

$P = 0.03$ ]. There was no evidence of an association between any other SNP and efficacy or toxicity (Table 5).

## Discussion

In this study, we assessed the clinical efficacy and safety of biweekly S-1 with oxaliplatin as first-line chemotherapy in

metastatic or recurrent CRC. This regimen showed a RR of 47.1%, a median PFS of 6.4 months, satisfactory dose intensities, and an excellent safety profile. Grade 3 neutropenia and grade 3 thrombocytopenia were observed in 4 (7.7%) and 3 patients (5.8%), respectively. No grade 4 hematologic toxicity or neutropenic fever was reported. Non-hematologic toxicities were also outstanding; there was no grade 4 non-hematologic toxicity. Only one patient (1.9%) experienced grade 3 diarrhea. Sensory neuropathy was the most commonly observed non-hematologic toxicity (73.1%).

Considering the objective RR ranged from 41 to 52% for the infusional 5-FU-based combinations and from 27 to 48% for the capecitabine-based regimens with oxaliplatin [4], the overall RR of this study was sufficient to prove efficacy as first-line chemotherapy in metastatic CRC.

With the support of proven activity and safety of S-1 monotherapy [8–10], two studies of S-1 with oxaliplatin as first-line therapy in metastatic CRC were previously reported. A phase I/II study by Yamada et al. [21] determined 130 mg/m<sup>2</sup> of oxaliplatin on day 1 with 40–60 mg of S-1 twice daily for 2 weeks followed by 1-week rest in a 3-weekly schedule as a recommended dose. In the phase II part of the study, they reported a RR of 50%, a median PFS of 6.6 months, and a 1-year survival rate of 79% among 28 Japanese patients with metastatic CRC. Zang et al. [22] conducted a phase II study with the same dose and schedule of S-1 in combination with oxaliplatin in 49 Korean patients; the RR was 54%, the median time to progression was 8.5 months, and the OS was 27.2 months.

Biweekly S-1 with oxaliplatin achieved similar RRs to 3-weekly studies in spite of a lower planned DI of S-1 (280 mg/m<sup>2</sup>/week for biweekly vs. 373 mg/m<sup>2</sup>/week for 3-weekly) with a similar planned DI of oxaliplatin. The median relative DI of the 3-weekly study by Zang et al. [22] was 82% for S-1 and 82% for oxaliplatin, respectively. The satisfactory relative DI of over 89% for both S-1 and oxaliplatin in this study shows that oxaliplatin can be delivered more efficiently by a biweekly schedule without a significantly lower delivered DI of S-1.

Biweekly schedules showed lower frequencies of grade 3 or 4 thrombocytopenia (5.8%) compared to 3-weekly regimens (13% in the study of Zang et al. and 27% in the study of Yamada et al.). Occurrence of grade 3 neutropenia (7.7%) was also lower than 3-weekly studies (10% in the study of Zang et al. and 14% in the study of Yamada et al.). All of the 3 studies of S-1 with oxaliplatin in metastatic CRC showed no grade 4 neutropenia and generally manageable non-hematologic toxicities. Zang et al. supposed that the reduced occurrence of severe non-hematologic toxicity of their study might due in part to the relatively young patient population (median age 56 years, range 24–70) [22] in comparison with other studies, which

**Table 5** Response rate and toxicity profile according to genotypes

Genotypes analyzed	Genotypes reported	Overall frequency		Responder		Grade 3–4 neutropenia		Any stomatitis		Any diarrhea		Grade 2–4 neuropathy	
		<i>n</i>	%	<i>n</i>	<i>P</i> value	<i>n</i>	<i>P</i> value	<i>n</i>	<i>P</i> value	<i>n</i>	<i>P</i> value	<i>n</i>	<i>P</i> value
TS-TSER	2/3	12	23.5	6	1.00	1	1.00	0	0.17	2	1.00	3	0.37
	3/3	39	76.5	18		3		8		9		5	
TS6-bp deletion in 3'utr	+/+ or ±	23	45.1	11	0.92	3	0.32	4	1.00	6	0.51	3	0.75
	-/-	28	54.9	13		1		4		5		5	
TS-expression*	Low	16	31.4	10	0.13	2	0.58	2	1.00	4	0.72	2	0.67
	High	35	68.6	14		2		6		7		6	
ERCC1-C8092A	A/A or A/C	25	49.0	11	0.67	0	0.11	1	0.06	6	0.68	5	0.47
	C/C	26	51.0	13		4		7		5		3	
ERCC1-Asn118Asn	C/T or T/T	18	35.3	10	0.37	4	0.01	4	0.34	6	0.16	3	1.00
	C/C	33	64.7	14		0		4		5		5	
ERCC2-Lys751Gln	A/A	48	94.1	23	1.00	3	0.22	6	0.06	10	0.52	8	1.00
	A/C or C/C	3	5.9	1		1		2		1		0	
ERCC2-C156A	A/A or A/C	42	82.4	21	0.47	3	0.55	5	0.14	9	1.00	8	0.32
	C/C	9	17.6	3		1		3		2		0	
ERCC2-Asp312Asn	G/G	51	100.0	24	NA <sup>†</sup>	4	NA	8	NA	11	NA	8	NA
	A/G or A/A	0	0.0	0		0		0		0		0	
XRCC1-Arg399Gln	A/A or G/A	23	45.1	11	1.00	2	1.00	4	1.00	4	0.73	2	0.27
	G/G	28	54.9	13		2		4		7		6	
XRCC1-Arg194Trp	C/T or T/T	26	51.0	13	0.67	3	0.61	3	0.47	4	0.27	5	0.70
	C/C	25	49.0	11		1		5		7		3	
XRCC1-Arg280His	G/G	39	76.5	19	0.67	3	1.00	6	1.00	10	0.42	7	0.66
	A/A or G/A	12	23.5	5		1		2		1		1	
GSTP1-Ile105Val	A/G or G/G	14	27.5	8	0.38	1	1.00	1	0.42	3	1.00	5	0.03
	A/A	37	72.5	16		3		7		8		3	
CYP2A6-48G/T*	G/G or G/T	13	25.5	9	0.09	1	1.00	3	0.38	2	1.00	3	0.67
	T/T	34	66.7	14		3		4		7		5	
AGXT-I340 M	A/A	44	86.3	19	0.23	4	1.00	8	0.58	9	0.64	7	1.00
	G/A or G/G	7	13.7	5		1		0		2		1	
MTHFR-A1298C	A/C or C/C	20	39.2	11	0.36	1	1.00	6	0.46	4	1.00	5	0.24
	A/A	31	60.8	13		3		2		7		3	

\* TS-expression is defined as low if a patient's 5'-UTR was 2R/2R or 2R/3C or 3C/3C and high if 2R/3G or 3C/3G or 3G/3G; <sup>†</sup> NA not analyze

typically had median ages between 58 and 67 years [5, 6, 9, 23, 24]. In this trial, an excellent toxicity profile was reproduced with similar efficacy in spite of an older population (median age 63, range 37–74) compared to the study of Zang et al. This strongly suggests that the biweekly schedule of S-1 with oxaliplatin combination has better tolerability than the 3-weekly schedule.

These toxicities of biweekly S-1 with oxaliplatin seem to be superior to that of the FOLFOX-4 regimen, for which frequencies of 27–50% for grade 3 or 4 neutropenia, 12–16% for grade 4 neutropenia, and 11–12% for grade 3 or 4 diarrhea were reported in phase III studies [5, 6, 23]. Also, compared to the XELOX regimen, for which frequencies of 7–15% for grade 3 or 4 neutropenia, 14–31% for grade 3 or 4 diarrhea, and 2–19% for hand-foot

syndrome (HFS) have been reported [6, 24, 25], biweekly S-1 with oxaliplatin showed less frequent diarrhea and HFS. Sensory neuropathy is one of the most common adverse events in of fluoropyrimidines and oxaliplatin combinations [5, 6, 21, 22, 24], and most patients with sensory neuropathy in our study were grade 1, which is not inferior to other studies.

The efficacy and toxicity data of the present study suggest that biweekly S-1 with oxaliplatin can be considered as a substitute for 5-FU infusion with oxaliplatin, especially for CRC patients with old age, poor PS, or those who are concerned about infections.

Survival correlation of Ile105Val of GSTP1 is in line with previous studies [19, 26–28]. Stoehlmacher et al. conducted an analysis of 107 previously treated advanced CRC patients

who received 5-FU with oxaliplatin combination chemotherapy. Patients with the Val/Val (G/G) genotype showed 24.9 months of median OS, while those with the Ile/Ile (A/A) genotype survived 7.9 months, and those with the Ile/Val (A/G) genotype survived 13.3 months ( $P < 0.001$ ) [19]. A recent study by Chen et al. [27] to analyze the influence of Ile105Val polymorphisms of GSTP1 on clinical outcomes in 166 Chinese patients with metastatic CRC who had been treated with first-line FOLFOX-4 chemotherapy also exhibited similar results; patients with A/G or G/G genotypes had a higher response to FOLFOX-4 (56.1 vs. 37.6%,  $P = 0.04$ ) and a longer PFS ( $P < 0.01$ ) as well as OS ( $P < 0.01$ ) than patients with A/A genotypes. This polymorphism was identified as an independent prognostic factor by adjusted analysis ( $P = 0.01$ ).

Association of neuropathy and Ile105Val of GSTP1 was also revealed in previous studies [27–29]. The Arbeitsgemeinschaft Internische Onkologie group, for example, conducted a phase III study in metastatic gastroesophageal adenocarcinoma with 5-FU and LV plus either oxaliplatin or cisplatin [28]. On pharmacogenetic analysis, patients with grade 3 or 4 neuropathy associated with the GSTP1-105 Ile/Ile genotype were at higher risk of experiencing grade 3 neurotoxicity, with an odds ratio of 5.8 (95% CI 1.21–27.86), compared with patients with Ile/Val or Val/Val genotypes ( $P = 0.03$ ).

Members of the glutathione *S*-transferase superfamily are important in cellular defense mechanisms. The substitution of isoleucine with valine at codon 105 lowers the catalytic activity of GSTP1 protein [30] and defense mechanisms against chemotherapeutic agents like platinum decline [31]. This process may explain the association between Ile105Val polymorphism and PFS. Chen et al. suggested that Asian populations may have a lower prevalence of Ile105Val polymorphism in GSTP1 based on patient distribution in their study (75.3% for A/A, 22.9% for A/G, and 1.8% for G/G) compared to that in a study by Stoehlmacher et al. [19] (49% for A/A, 42% for A/G, and 9% for G/G) and another Western study [29]. Patient distribution of Ile105Val polymorphism in this study was 72.5% for A/A, 23.5% for A/G, and 3.9% for G/G; similar distribution in the study of Chen et al. supports the existence of ethnic difference. The association between GSTP1 Ile105Val and treatment outcome merits further investigation into a large study. The relationship between frequency of neutropenia and Asn118Asn of ERCC1 has yet to be established, and some results indicating no association [28, 32, 33]; interpretation should be reserved until other supportive data emerge.

In conclusion, S-1 with oxaliplatin administered in a biweekly schedule is effective and has better tolerability and convenience compared to other fluoropyrimidine with

oxaliplatin combinations in metastatic CRC. GSTP1 Ile105Val SNP is associated with clinical outcomes.

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