



# Oncology stewardship in acute myeloid leukemia

Madeleine A. Ochs<sup>1</sup> · Bernard L. Marini<sup>1,2</sup> · Anthony J. Perissinotti<sup>1</sup> · Charles E. Foucar<sup>3,4</sup> · Kristen Pettit<sup>3,4</sup> · Patrick Burke<sup>3,4</sup> · Dale L. Bixby<sup>3,4</sup> · Lydia L. Benitez<sup>1,2</sup>

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## Abstract

In recent years, an explosion of novel agents has shifted the treatment paradigm for patients with acute myeloid leukemia. The optimal place in therapy for many of these novel agents remains unknown due to limited guidance from national guidelines and the way these agents were studied prior to entering the market. A critical evaluation of the literature and incorporation of oncology stewardship principles can be helpful in determining an optimal place for these agents while being mindful of the overall cost that is associated with therapies. The purpose of this review is to critically evaluate the efficacy and safety data for five controversial agents and provide examples of the use of stewardship practices in determining their place in the treatment of acute myeloid leukemia.

**Keywords** Acute myeloid leukemia · Oncology stewardship · Financial toxicity · Novel agents

## Introduction

Over the last 50 years, the armamentarium for the management of acute myeloid leukemia (AML) has been limited. Since the introduction of standard-dose cytarabine and an anthracycline (7 + 3) induction therapy in 1973, there have been a small number of agents that have demonstrated a survival benefit for the treatment of this disease [1]. Furthermore, since the 1990s, the survival curve for patients with AML has been essentially stagnant [2]. The introduction of anti-mold prophylaxis, dose optimization of daunorubicin to 90 mg/m<sup>2</sup>, and high-dose cytarabine (HIDAC) consolidation are among the few treatment modalities that have improved survival [3–5]. While 7 + 3 induction therapy

results in remission rates of 60–70% in patients 60 years old or younger, many patients relapse, usually within a median of 4 to 10 months [6–8]. The 5-year survival rate for patients with AML is poor at approximately 28.7%, and outcomes in the relapsed/refractory (R/R) setting are even worse [2].

Over the last 3 years, there have been a myriad of new agents entering the market, thus providing increased options for patients based on disease characteristics. From 1969 to 2020, 16 drugs were approved for the treatment of AML, of which more than half have been approved since 2017 (Fig. 1). While the explosion of new approvals is encouraging, the optimal place in therapy for these therapeutics has not been solidified. The National Comprehensive Cancer Network (NCCN) AML guidelines provide a menu of the currently available therapies, with limited recommendations for use of one agent over another [9, 10]. While the NCCN guidelines do denote when treatments listed are based on category 1 level evidence (defined as uniform consensus, based on a high level of evidence), this designation does not account for limitations in study design that may limit the external validity of individual study findings [9]. The European Society for Medical Oncology (ESMO) guidelines provide levels of evidence (trial, cohort studies, case-control studies, expert opinion) and grades (quality of evidence and magnitude of benefit) for each recommendation, and in instances where there are multiple novel drug combinations, it does prioritize some recommended treatments.

✉ Lydia L. Benitez  
lbenitez@med.umich.edu

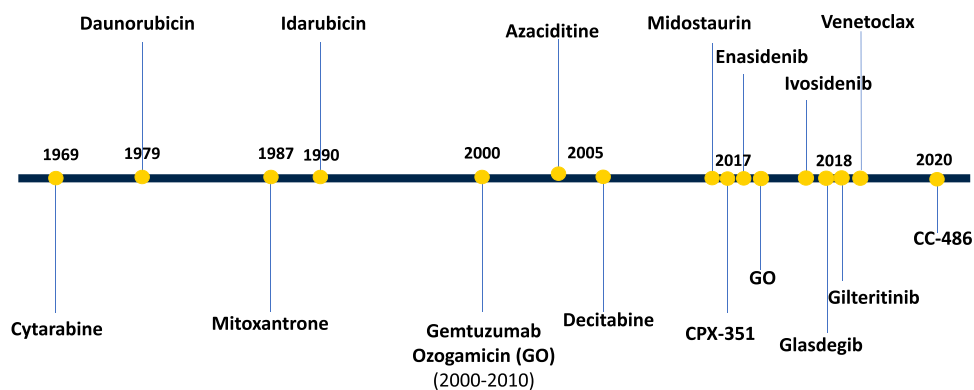
<sup>1</sup> Department of Pharmacy Services and Clinical Pharmacy, Michigan Medicine, 1540 E. Hospital Dr, Ann Arbor, MI 48109, USA

<sup>2</sup> University of Michigan College of Pharmacy, Ann Arbor, MI, USA

<sup>3</sup> Department of Internal Medicine and Division of Hematology and Oncology, Michigan Medicine, Ann Arbor, MI, USA

<sup>4</sup> University of Michigan Medical School, Ann Arbor, MI, USA

**Fig. 1** Timeline of FDA approvals for AML



Nonetheless, it also fails to account for significant study design limitations that may impact the applicability of results for some of the agents recommended [10]. At the individual patient level, a thorough evaluation of available literature is necessary to ensure the most efficacious and safe therapies are chosen. At the global level, critically evaluating the literature to determine place in therapy provides the opportunity for providers to shape demand of therapeutics, as demonstrated by oncologists at Memorial Sloan Kettering [11, 12]. In this instance, oncologists released a public statement about their refusal to utilize ziv-aflibercept for colorectal cancer based on similar efficacy with lower tolerability and increased cost compared to standard of care bevacizumab, and subsequently the price of ziv-aflibercept was decreased.

Globally, drug prices are rising, with the wholesale acquisition costs (WAC) of recently approved AML therapeutics ranging from \$20,000 to more than \$100,000. The wholesale acquisition cost is the price paid by a wholesaler to drug manufacturers and does not reflect discounts or rebates negotiated by private payers. The WAC is generally applicable and publicly available and therefore will be used throughout this review to assess financial impact of novel therapies. The high costs of newly approved therapies do not correspond to improved survival outcomes, as reflected by a cost–benefit analysis conducted by Vokinger et al. [13]. Healthcare providers therefore have a responsibility to utilize their expertise to shape demand and by doing so create an incentive for the industry to decrease drug prices. This responsibility starts with an in-depth evaluation of the quality of the evidence brought forth for incorporation of new agents.

We define oncology stewardship as a set of coordinated strategies to improve the use of antineoplastic agents with the goal of enhancing patient outcomes while reducing financial toxicity. The purpose of this review is to apply the principles of oncology stewardship to critically evaluate the efficacy and safety data that contributed to the approval of five controversial agents in the upfront treatment of AML within the context of specific disease

subtypes to ultimately identify the appropriate place in therapy, if any, for these agents. This review is not all inclusive or comprehensive, but rather these same principles can be applied to other classes of medications not covered in this review, including isocitrate dehydrogenase (IDH) inhibitors and FMS-like tyrosine kinase 3 (FLT3) inhibitors, and the treatment of R/R AML.

## Core binding factor (CBF) AML

### Patient case

*A 54-year-old woman presented to her local hospital with right-inguinal pain. A complete blood cell count revealed a white blood cell count (WBC) of 23 K/ $\mu$ L, thrombocytopenia, and the presence of 40% blasts. The patient was transferred to a larger academic medical center where a bone marrow biopsy was performed which revealed 100% cellularity with 65% myeloid blasts, consistent with AML. Fluorescent in situ hybridization (FISH) was also performed and revealed a *RUNX1T1/RUNX1* fusion gene indicating the presence of a *t(8;21)(q22;q22)* translocation which was later confirmed via conventional cytogenetics. The patient was deemed fit for intensive induction, and the experienced hematologist on service recommended induction with daunorubicin 90 mg/m<sup>2</sup> and cytarabine 100 mg/m<sup>2</sup> in “7 + 3” fashion. The hematology fellow recommended the addition of gemtuzumab ozogamicin per the newest NCCN guideline recommendations. Should the seasoned attending “GO with the flow” and incorporate this novel agent in the treatment of CBF AML?*

CBF AML is defined as *inv(16)*, *t(16;16)*, or *t(8;21)*, irrespective of blast count [14]. Among patients with AML, those with CBF AML have the most favorable outcomes. For patients with CBF AML receiving optimal treatment with 7 + 3 (daunorubicin 90 mg/m<sup>2</sup>) followed by HIDAC consolidation, outcomes look relatively advantageous, with long-term overall survival (OS) rates ranging from 65 to 85% [4, 15, 16].

## Gemtuzumab ozogamicin

Gemtuzumab ozogamicin (GO) is an antibody drug conjugate of an anti-CD33 antibody covalently attached to calicheamicin, a cytotoxic antibiotic agent [17]. CD33 is expressed on up to 90% of AML blasts [18, 19]. After the conjugate binds CD33, it is rapidly internalized, releasing ozogamicin which binds to the minor groove of DNA, inducing double-strand cleavage and subsequent apoptosis [20].

Gemtuzumab ozogamicin received accelerated FDA approval based on a 30% overall response rate demonstrated in phase 2 studies in relapsed AML, with confirmatory phase 3 data pending [21, 22]. The SWOG S0106 study randomized newly diagnosed patients to 7 + 3 with or without gemtuzumab 6 mg/m<sup>2</sup> on day 4 [23]. Considering the patient population included in this study was 18–60 years old and the demonstrated overall survival benefit to utilizing 90 mg/m<sup>2</sup> of daunorubicin in patients up to age 65, the anthracycline dose utilized in both arms of this study was suboptimal (daunorubicin 60 mg/m<sup>2</sup> in the 7 + 3 arm and 45 mg/m<sup>2</sup> in the 7 + 3 + GO arm) [4, 24]. The CR rate was 69% with GO versus 70% without GO ( $p=0.59$ ) and the 5-year OS rate was 46% with GO versus 50% without GO ( $p=0.85$ ). Median OS was 41 months for the arm that incorporated GO and 61 months for the standard of care arm ( $p=0.59$ ). Furthermore, there was a significantly higher rate of early mortality in patients who received GO with 17 deaths within 30 days, versus only 4 deaths in patients who did not receive GO. The study was prematurely closed due to no difference in CR rates and a higher incidence of fatal toxicities in the GO arm.

The drug was subsequently removed from the market in June 2010 due to lack of benefit and increased induction mortality.

In 2014, a meta-analysis was published which included five randomized trials conducted from 2002 to 2010, where GO was given in combination with intensive induction chemotherapy (Table 1) [25]. While there was no difference in overall survival among all patients (5-year overall survival 35.6% vs 32.2%), adding GO to induction chemotherapy led to a significant improvement in overall survival specifically for patients with favorable risk cytogenetics (5-year overall survival 55.2% without GO vs 76.3% with GO; HR 0.47,  $p=0.0005$ ). The survival rate in patients with favorable risk cytogenetics who did not receive GO in this pooled analysis, however, is much lower than historically reported survival rates with standard chemotherapy of 65–85% [15, 16]. In addition, patients with favorable risk cytogenetics made up a very small proportion of the population analyzed across studies, ranging from 3 to 16% in the studies that included favorable risk patients [23, 26–28]. Most significantly, one study only included patients with intermediate-risk cytogenetics [29]. The ESMO guidelines acknowledge that GO dosing recommendations are derived from ALFA-0701 and that because of its inclusion of only 9 patients with favorable risk cytogenetics in the study, the optimal dosing for efficacy is unknown [10]. Furthermore, the definition of favorable risk cytogenetics varied considerably between the studies as some studies only included those with core binding factor AML as favorable risk, whereas others incorporated molecular markers. Based on the 2017 ELN criteria, patients with NPM1 mutations without FLT3-ITD mutations are considered favorable risk [30]. However, of the

**Table 1** Comparison of GO trials included in the meta-analysis

Trial	Patient population	Treatment	Results
MRC AML 15 [27, 32]	12% favorable risk (all CBF) 57% intermediate risk 12% adverse risk	1 of 3 induction regimens ± GO: ADE 10+3+5 ADE 8+3+5 DA 3+10 DA 3+8 FLAG-Ida Consolidation ± GO MACE MidAC vs IDAC vs HIDAC	5-year OS: 43% GO, 41% no GO % deaths: Favorable: 18% +GO vs 46% -GO Int: 49% +GO vs 54% -GO Adverse: 90% +GO vs 88% -GO
SWOG-0106 [23]	16% favorable risk (14% CBF) 64% intermediate risk 24% adverse risk	7+3(dauno 60) vs 7+3+GO(dauno 45) Consolidation: HIDAC Post-consolidation: ± GO	5-year OS: 46% GO vs 50% no GO Favorable risk % deaths: 15% +GO vs 25% -GO
NCRI-AML16 [26]	3% favorable risk (14% CBF) 56% intermediate risk 18% adverse risk	3+10±GO 3+8 Dauno + clofarabine ± GO (dauno 50 mg/m <sup>2</sup> )	3-year OS: 25% GO vs 20% no GO % Deaths: Favorable: 27% +GO vs 49% -GO Int: 58% +GO vs 64% -GO
GOELAMS AML2006IR [29]	100% intermediate risk	Induction: 7+3±GO (dauno dose?) Consolidation: mitoxantrone + IDAC	3-year OS 53% GO vs 46% no GO
ALFA-0701 [28, 37]	3% favorable risk (all CBF)	Induction: 7+3±GO (dauno 60) Consolidation: araC 1 gm/m <sup>2</sup> +dauno 60±GO	2-year OS: 53.2% GO vs 41.9% no GO

patients included in the meta-analysis, less than half had NPM1 mutation data available. Subgroup analyses should never be more than hypothesis generating results that are subsequently studied in a homogeneous population that is appropriately randomized given that, unless stratification occurs as part of study methodology, the subgroups do not represent a randomized sample with regard to patient and disease characteristics. To complicate things further, the differing definitions of favorable risk across studies create a heterogeneous subgroup to evaluate benefit in. Additional limitations of these studies include the chemotherapy backbones and consolidation regimens utilized (Table 1). Induction regimens included variations of 7 + 3 such as 10 + 3, and additional agents such as etoposide which has not demonstrated additional benefit in AML [31, 32]. HIDAC consolidation was not standard in all of these studies, which has previously demonstrated a survival benefit in patients with CBF AML [33]. Furthermore, among those that did include HIDAC consolidation, the number of cycles was less than the standard 3–4 cycles of HIDAC consolidation, which has demonstrated a benefit over 0–2 cycles in patients with CBF AML [34–36]. Altogether, the signal from this collection of studies is not sufficient to argue for the addition of GO to treatment for young, favorable-risk patients.

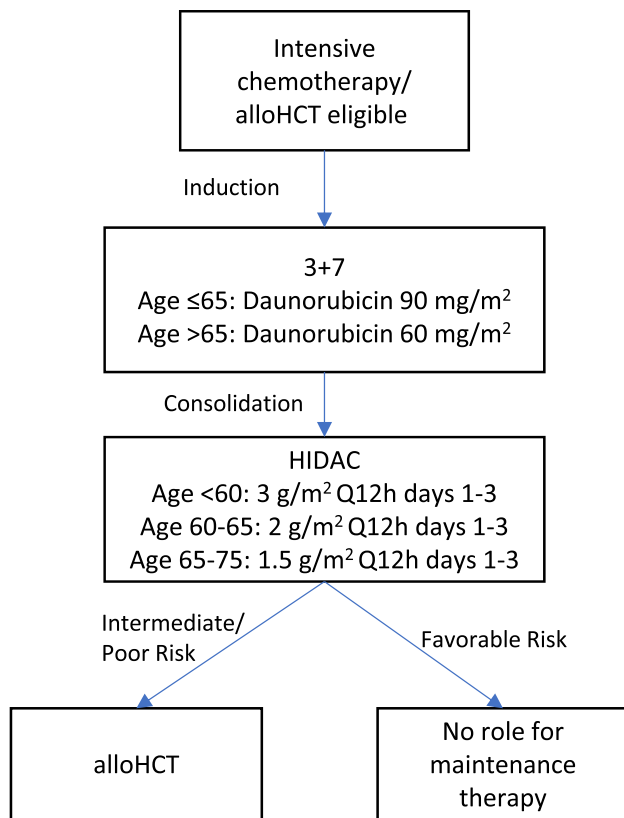
ALFA-0701 was the only study included in the meta-analysis where an overall survival benefit was observed in the subgroup of patients with favorable-risk cytogenetics. This was an open-label phase 3 study that randomized treatment-naïve patients to 7 + 3 with or without GO [28]. Only 3% of patients had favorable-risk cytogenetics ( $n=9$ ), which was defined as patients with core binding factor leukemia. Overall survival was not significantly different among patients with favorable-/intermediate-risk cytogenetics who received GO compared to the control arm, HR 0.59 (95% CI 0.32–1.09). While this initial analysis, with a median follow-up period of 14.8 months, demonstrated a statistically significant 2-year survival benefit with GO for the entire group (53.2% with GO versus 41.9% without; HR 0.69,  $p=0.0368$ ), in the final analysis of the data, the survival benefit with the addition of GO was lost (median follow-up of 47.6 months in the GO arm and 41 months in the control arm) [28, 37]. The long-term follow-up results of the ALFA-0701 study were not included in the meta-analysis due to contractual arrangements with the supplying company [25]. This demonstrates the importance of long-term follow-up to confirm outcomes when incorporating novel therapies into practice. With the loss of a survival benefit in the long-term follow-up, there are zero randomized trials demonstrating an OS benefit with GO. Nonetheless, in September 2017, GO was re-approved by the FDA for patients with CD33 + de novo or R/R AML.

Along with an unproven benefit in efficacy, the addition of gemtuzumab to intensive chemotherapy also represents

an increased risk of toxicity. In the AML15 study, the dose of idarubicin was decreased due to prolonged thrombocytopenia, which the authors did not attribute to GO [32]. However, in a separate publication, the authors report that patients who received GO had a significantly higher platelet transfusion requirement (mean 18.9 units versus 13.7 units) [27]. Furthermore, gemtuzumab is associated with a significant safety concern for veno-occlusive disease/sinusoidal obstruction syndrome (VOD/SOS). The mechanism of GO-induced sinusoidal damage is thought to be secondary to unconjugated calicheamicin because the hydrolyzable linker is relatively unstable and CD33-mediated uptake by cells of the liver which express CD33, including Kupffer cells, sinusoidal epithelial cells, and stellate cells [38, 39]. In ALFA-0701, 6 patients in the GO group developed VOD/SOS (4.6%), including 2 patients in which the complication was fatal. While 2 patients in the control arm also experienced VOD/SOS, both of these patients actually received GO during the follow-up phase of the study, as part of a compassionate use program [37].

### Recommendations for use

Guidelines support the use of GO upfront in patients with favorable-risk (NCCN, ESMO: CBF, optional for other favorable) or intermediate-risk (NCCN, ESMO: optional) disease who can tolerate intensive induction and for those without actionable mutations who cannot tolerate intensive induction (NCCN) [9, 10]. A thorough review of currently available literature does not support the role of GO in any setting and in fact suggests that this addition may result in increased toxicity and increased costs (Table 3). The findings of the meta-analysis are hypothesis generating. The role of GO should be explored in CBF AML patients, in a randomized controlled trial compared to standard of care, including standard of care dosing of daunorubicin and up to 4 cycles of HIDAC consolidation. A proposed treatment algorithm for newly diagnosed patients able to tolerate intensive induction, including CBF AML, is provided in Fig. 2. Of note, the ESMO guidelines recommend cytarabine 1.5 g/m<sup>2</sup> for patients age < 60–65 years and 1 g/m<sup>2</sup> for patients ≥ 60–65 years based on a review published in 2013 [10, 40]. However, significant limitations exist to the data presented in the review, including the use of double induction, additional agents in consolidation (i.e., mitoxantrone), and HIDAC courses with much higher total doses (20–36 g/m<sup>2</sup> for each course of consolidation) [41, 42]. The authors of this review acknowledge that cytarabine dosing and number of consolidation courses are controversial, but outcomes with HIDAC 3 g/m<sup>2</sup> Q12h for 3 days (dose adjusted for age) are favorable [43].



**Fig. 2** Summary of treatment recommendations for patients with de novo AML who are candidates for intensive chemotherapy/alloHCT-eligible patients.<sup>a</sup>

## Unfavorable risk cytogenetics and TP53-mutated AML

### Patient case

A 51-year-old man with no significant past medical history presented to his primary care physician with 2–3 weeks of intermittent fevers, mild epistaxis, sore throat, and malaise. A CBC was drawn which revealed a WBC count of 82.5 K/ $\mu$ L and 12% blasts. Given concern for AML, a bone marrow biopsy was performed and showed 95% cellularity with 45% myeloid blasts. Conventional cytogenetics revealed a complex karyotype. The hematology/oncology fellow recommended the use of HMA and venetoclax, citing a podcast he recently listened to that discussed the use of this combination in patients with complex cytogenetics. Is this the most appropriate treatment for this patient?

Complex cytogenetics and TP53 mutations are independent predictors of poor survival outcomes in patients with AML [44]. Despite the dismal outcomes in these patients, available novel therapies have not demonstrated significant improvements in survival outcomes. Current guidelines recommend enrollment in a clinical trial for

patients with TP53 mutations or complex cytogenetics [14].

### Hypomethylating agents (HMA)

While hypomethylating agents have been approved since the early 2000s, a brief discussion on HMA monotherapy is warranted for a comprehensive understanding of their use in combination with newly approved agents. Azacitidine and decitabine are cytidine analogs which are incorporated into DNA, and RNA in the case of azacitidine. The compounds limit DNA methylation through irreversible binding to DNA methyltransferases (DNMT) after incorporation into newly synthesized DNA. Hypomethylation allows for the expression of previously methylated and silenced genes necessary for tumor suppression and cancer cell differentiation [45, 46].

A study by Welch et al. is often cited as support for the use of decitabine in patients with TP53 mutations [47]. The authors conducted a prospective, uncontrolled single-arm study to determine whether any mutations correlated with response to decitabine. Patients with AML who were age  $\geq 60$  years, relapsed AML, or transfusion-dependent MDS were included and received a 10-day course of decitabine. The authors demonstrated an overall response rate (CR, complete remission with incomplete count recovery, morphologic complete remission) in 21 of 21 patients (100%) with TP53 mutations, compared to only 32 of 78 patients (41%) who were wild-type. TP53 acts as a tumor suppressor, important for regulating cellular response to DNA damage and other stressors [48]. Loss of function TP53 mutations result in the loss of tumor suppressor activity. Theoretically, hypomethylating agents may be favorable for TP53 mutations as it inhibits methyltransferase, thereby allowing the expression of tumor suppressor genes. However, the 100% response rate with decitabine in patients with TP53 mutations should be interpreted with caution. Based on the author's definition of overall response, patients with an ablated marrow were included in the response rate. Including only patients with a CR/CRi, the response rate was 62% in patients with TP53 mutations, which while lower than 100% was still higher than that demonstrated with wild-type. Furthermore, only a very small number of patients included in the study had TP53 mutations (21/116, 18%). This total  $N$  is further reduced as 9 patients (43%) with TP53 mutations had MDS rather than AML. It is difficult for any conclusions to be more than hypothesis generating due to the small sample size. Furthermore, durability is not well described and therefore conclusions on duration of response cannot be made.

## HMA and Venetoclax therapy

Venetoclax inhibits the anti-apoptotic protein BCL2. In normal cells, in response to an apoptotic signal, BH3 proteins bind to anti-apoptotic proteins, resulting in the release of mediators of apoptosis, including BAX and BAK, leading to mitochondrial outer membrane permeabilization and the release of cytochrome c, ultimately triggering apoptosis [49]. Venetoclax is thought to have activity in cells that have a relative dependence on BCL2 for survival, as in some AML cells [50, 51].

Combination azacitidine and venetoclax was compared to azacitidine and placebo in a randomized, double blind, placebo-controlled, multicenter, phase 3 study [52]. Patients with newly diagnosed AML who were considered ineligible for standard induction therapy due to coexisting conditions or age above 75 were included. Median OS was 14.7 months with venetoclax compared to 9.6 months with azacitidine monotherapy ( $p < 0.001$ ). Complete remission was achieved in 36.7% and 17.9% of patients, and the duration of complete remission was 17.5 months and 13.3 months in the venetoclax and azacitidine monotherapy groups, respectively. Outcomes in patients with poor-risk cytogenetics were poor in both groups with a median OS of 7.6 months with venetoclax and 6.6 months with azacitidine monotherapy, indicating a need for more optimal therapy in these patients. Specifically for patients with TP53 mutations, the CR/CRi rate was 55.3% with venetoclax versus 0% with azacitidine alone, a stark contrast to the 100% response rate demonstrated with single agent decitabine discussed previously [47]. This is unlikely attributable to inherent differences between azacitidine and decitabine, but rather highlights the limitations of drawing conclusions in the setting of a small sample size. In the venetoclax arm, 72% of patients had treatment interruptions related to adverse events, compared to 57% with azacitidine monotherapy. Furthermore, 53% of venetoclax patients had dose interruptions, including delays and a reduction from 28 to 21 days of venetoclax per cycle, compared to only 28% of placebo patients, indicating there is room to optimize the venetoclax dosing strategy.

A recently published single-center, phase 2 trial reported on outcomes with the use of combination decitabine and venetoclax [53]. Similarly to the azacitidine/venetoclax study, patients with newly diagnosed AML who were considered ineligible for intensive induction were included, in addition to patients with untreated or treated secondary AML, and R/R AML. Among patients with newly diagnosed AML, 47% had poor-risk cytogenetics and 30% had TP53 mutations. The response rate was 89% and median OS was 18.1 months in newly diagnosed patients. Because of the success reported with decitabine in TP53 mutations, the combination of an HMA with venetoclax has subsequently been explored in this population. Kim et al. performed a

post hoc analysis of patients with TP53 mutations versus wild-type who received frontline decitabine and venetoclax as part of the phase 2 trial and also compared outcomes to a historical control who received single agent decitabine [54]. Patients with TP53 mutations were found to have significantly worse outcomes compared to wild-type, with a CR/CRi rate of 57% versus 77% and median OS of 5.2 months versus 19.4 months. Furthermore, venetoclax-based combination demonstrated no improvement in survival for patients with TP53 mutations, with a median OS of 5.2 months, compared to 4.9 months with 10-day decitabine alone.

Poor outcomes with venetoclax-based combinations in patients with TP53 mutations were again demonstrated by DiNardo et al., who included patients who received an HMA or LDAC and venetoclax treated on 1 of 2 clinical trials and tried to correlate molecular markers and response [55]. The authors found that roughly 1/3 of primary refractory patients and 1/3 of relapsing patients had TP53 mutations. Furthermore, they identified TP53 mutations as one of the primary resistance mechanisms for patients treated with venetoclax-based combinations.

Based on data supporting worse outcomes in patients with a higher FLT3-ITD allelic ratio, Short et al. explored prognosis with mutant TP53 variant allelic frequency (TP53<sup>mut</sup> VAF) [56]. The authors found that TP53<sup>mut</sup> VAF > 40% was associated with significantly worse overall survival, and survival was poor for these patients regardless of treatment strategy. However, patients with TP53<sup>mut</sup> VAF ≤ 40% treated with a cytarabine-based regimen had significantly better OS compared to those treated with an HMA-based regimen, with a 1-year OS rate of 44% vs 31%,  $p = 0.04$ . While this is limited to a retrospective, single-center analysis, it highlights the potential role of incorporating TP53<sup>mut</sup> VAF into treatment decisions and the need for improved novel therapies in this poor-risk group.

The combination of HMA and venetoclax is increasingly being used in young, fit patients, especially those with poor risk cytogenetics or TP53 mutations [57–61]. Extrapolating these data to young, fit patients is not consistent with the population included in either the combination or single-agent HMA studies. This is particularly important as it is unknown how deep the remissions are long-term. Outcomes with cytarabine and high-dose daunorubicin in young patients overall are very favorable, with a median OS of 34.3 months [4]. While no prospective, head-to-head comparative data is available for intensive chemotherapy versus HMA combinations, particularly for patients with poor-risk cytogenetics, outcomes with intensive chemotherapy in TP53-mutated AML look comparable, with CR rates up to 55% and median OS of 6.8 months [62]. A retrospective, propensity score-matched analysis suggested improved overall survival in patients treated with decitabine and venetoclax compared to intensive chemotherapy, with

a median OS 12.4 months vs 5.0 months in patients overall [63]. Patients in the decitabine and venetoclax arm were all treated on the phase 2 trial previously discussed and were therefore considered ineligible for standard induction chemotherapy [53]. Despite inclusion in the intensive therapy arm, as these patients were propensity score matched, they were also older, less fit patients. As intensive chemotherapy is the standard of care, it is on the burden of HMAs and venetoclax to prove superiority over intensive chemotherapy. Previous attempts to extrapolate what has worked in older patients to younger patients have been unsuccessful, as demonstrated with CPX-351 [64, 65].

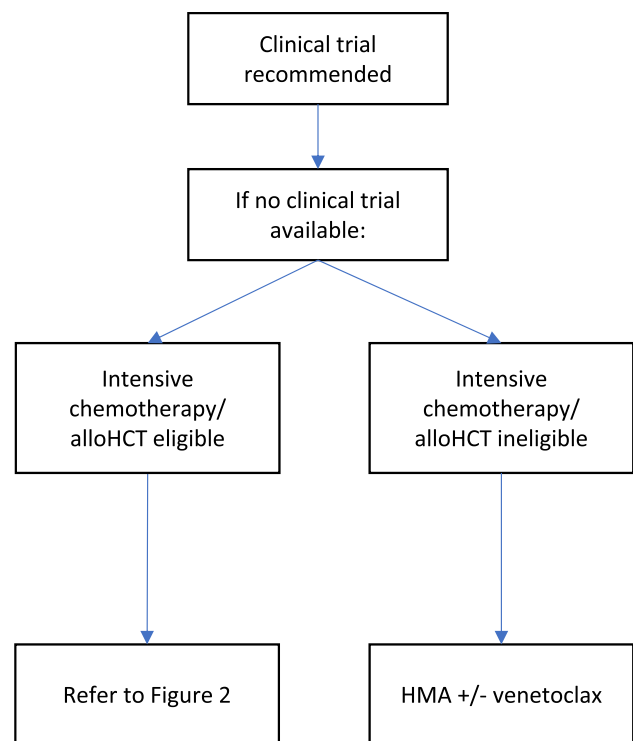
### Recommendations for use

The authors support the frontline use of HMA in combination with venetoclax for patients unable to tolerate intensive therapy. The definition of an unfit patient varies widely among study inclusion criteria. The NCCN guidelines delineate treatment options based on age less than or greater than 60. This arbitrary cutoff for “intensity of therapy” is at odds with the majority of the clinical trials which utilize an age of 75 or identify certain comorbidities that would make a patient ineligible for chemotherapy. In this review, we define a patient to be ineligible for intensive induction based on performance status and treatment goals (i.e., ability to proceed to a curative allogeneic hematopoietic cell transplant). Currently, there is no data to prefer low-intensity therapy in young, fit patients. Novel therapies are needed, specifically for patients with poor-risk disease such as TP53 mutations where outcomes are poor with currently available modalities. A summary of treatment recommendations for patients with unfavorable risk cytogenetics or TP53 mutations is provided in Fig. 3.

## Not candidate for intensive remission induction therapy

### Patient case

An 82-year-old woman with a past medical history of hypothyroidism and hyperlipidemia presented to her primary care physician for an itchy rash and sore throat. Labs were notable for WBC 56.9 K/ $\mu$ L with 23% blasts and serum creatinine of 1.37 mg/dL. A bone marrow biopsy was performed and showed 80% cellularity with 60% myeloid blasts. Cytogenetic analysis demonstrated 46,XX [20] and molecular diagnostics were as follows: negative for FLT3 ITD, FLT3 D835, IDH1/IDH2 mutations, and positive for NPM1 mutation. Given her age and comorbidities, she was determined not to be a candidate for intensive chemotherapy or



**Fig. 3** Summary of treatment recommendations for patients with unfavorable-risk cytogenetics or TP53 mutations

*allogeneic hematopoietic cell transplant (AlloHCT). Which treatment option would you favor for this patient?*

### Gemtuzumab ozogamicin

The use of GO has also been studied in older patients ineligible for intensive chemotherapy, in a sequential phase II/III study comparing GO monotherapy to best supportive care [66]. Best supportive care consisted of transfusions, antimicrobials, hydroxyurea, and other medications per institutional guidelines. Patients were included if they were greater than 75 years old or age 61–75 with a WHO performance status (PS) above 2 or unwilling to receive standard chemotherapy. Of note, 35.9% of patients were age 61–75, and overall, only 7.1% of patients had a WHO PS greater than 2. Despite an unfair comparator arm, median OS was 4.9 months for patients who received GO versus 3.6 months for best supportive care. By essentially doing nothing, the difference in overall survival was only 1.3 months.

### Recommendations for Use

It is troubling that despite this data confirming there is no overall survival benefit to incorporating GO in regimens utilized for treatment-naïve AML, the FDA approval for this potentially toxic and expensive agent has been unchanged

and its use continues to be endorsed by NCCN guidelines, cementing its use in practice, and increasing cost of care without providing incremental and meaningful benefit to patients [9]. It is however reassuring that the ESMO guidelines do not support the use of GO for patients ineligible for standard chemotherapy [10].

## Glasdegib

Glasdegib is an oral, small molecular hedgehog pathway inhibitor, which binds to and inhibits smoothened, a transmembrane protein involved in hedgehog signal transduction [67].

Glasdegib received FDA approval in combination with low-dose cytarabine (LDAC) in adult patients with AML who cannot tolerate intensive induction either due to age 75 years or older or comorbidities based on the results of BRIGHT AML 1003 [68]. BRIGHT AML 1003 was an open-label, multicenter, phase 2 study which randomized adults age  $\geq 55$  years with untreated AML or high-risk MDS to low-dose cytarabine with or without glasdegib. Median overall survival was significantly higher with glasdegib at 8.8 months, compared to 4.9 months with single-agent LDAC (HR 0.51,  $p = 0.0004$ ). However, in patients with poor-risk cytogenetics, median overall survival was not improved with the addition of glasdegib at 4.7 months, compared to 4.9 months with single-agent LDAC. Furthermore, median treatment duration was only 2.7 months with combination LDAC and glasdegib and 1.5 months with single-agent LDAC. Subsequent systemic therapy including HMA or chemotherapy was received in 44% of glasdegib/LDAC patients and 36.6% of LDAC patients. Based on duration of study therapy received, the numerically higher rate of subsequent therapy in the glasdegib group likely contributed to the higher overall survival observed. In addition, LDAC is not an adequate comparator arm as we know patients have poor outcomes with LDAC and with the advent of contemporary treatment options such as HMA and venetoclax have largely replaced the use of LDAC in older patients or those with comorbidities who cannot tolerate intensive induction therapy.

Subsequently, the long-term results of the BRIGHT AML 1003 study were published, with an additional 20 months of follow-up [69]. In a post hoc analysis of patients with sAML, median overall survival was significantly improved with glasdegib at 9.1 months versus 4.1 months with LDAC alone ( $p < 0.0001$ ). Among the patients with sAML, only 25% in each arm received prior azacitidine, which is likely reflective of the time period this study was conducted. Nonetheless, median OS was decreased at 7.1 months with glasdegib in patients who received prior HMA. Furthermore, median OS was 7.4 months with glasdegib for patients with sAML who did not receive subsequent HMA. Based on this, it seems

that the small benefit with glasdegib over LDAC in sAML is likely due to subsequent therapy with an HMA.

## Recommendations for use

Despite the inclusion in the NCCN guidelines and approval by the European Medicines Agency (EMA) for patients without actionable mutations unable to tolerate intensive therapy, the authors do not support the use of glasdegib as it did not demonstrate any additional benefit compared to historical treatment options. The HMA/venetoclax data previously discussed would apply to this patient and should be considered for patients unable to tolerate intensive therapy.

## Secondary AML

### Patient case

*A 70-year-old man sought evaluation from his primary care physician after 12 months of fatigue worsening, dyspnea on exertion, and 30 lbs weight loss. A CBC was drawn and showed a hemoglobin of 6.4 g/dL, WBC count of 1.8 K/ $\mu$ L, and platelets of 262. A bone marrow evaluation revealed 12% blasts and morphology consistent with a myelodysplastic syndrome (MDS-EB2). The patient was started on azacitidine and achieved a response. After 12 cycles without complications, the patient developed pancytopenia and subsequent bone marrow biopsy was consistent with evolution to AML. His hematologist recommended induction with liposomal daunorubicin and cytarabine (CPX-351). The patient presents to your institution for a second opinion.*

The incidence of secondary AML (sAML) is 5–25% among patients with AML. Secondary AML consists of therapy-related AML, AML arising from an antecedent hematologic disorder, and AML with myelodysplastic related changes (AML-MRC). AML-MRC is defined as multilineage dysplasia ( $\geq 50\%$  dysplastic cells in  $\geq 2$  cell lines), a prior history of myelodysplastic syndrome (MDS), or myelodysplastic/myeloproliferative neoplasm (MDS/MPN), or specific cytogenetic abnormalities, per the 2016 revision to the World Health Organization classification [70]. Compared to de novo AML, patients with sAML have an inferior RFS and OS [71, 72]. The specific prognosis of patients with AML-MRC subcategory of sAML differ based on whether this classification is made based on morphology alone or due to specific cytogenetic abnormalities or a known antecedent diagnosis of a bone marrow failure disorder consistent with the designation. A retrospective study evaluating outcomes based on AML-MRC diagnostic criteria demonstrated that patients with multilineage dysplasia alone had significantly better survival outcomes compared to those with specific



cytogenetic abnormalities [73]. Table 2 describes the efficacy of available treatment options for secondary AML.

### CPX-351

CPX-351 is a liposomal encapsulation of cytarabine and daunorubicin that maintains the most optimal synergistic 5:1 ratio of cytarabine to daunorubicin, as identified by in vitro studies [74]. Multi-drug resistant protein 1/P-glycoprotein (MDR1/P-gp) overexpression in leukemia

cells and resulting drug substrate efflux is a well-demonstrated mechanism of chemotherapy resistance in AML, and MDR1 expression increases with age [75, 76]. P-gp expression is more common in secondary AML, compared to de novo AML [77]. Reduced accumulation of daunorubicin in AML blast cells in vitro has been demonstrated with high P-gp expression [78]. Theoretical advantages of the liposomal formulation are preferential accumulation of the drug in the bone marrow and the ability to overcome resistance mechanisms such as P-gp efflux, although

**Table 2** Comparison of treatment options for secondary AML

Drug	Study	N	CR/CRi (CR)	Overall survival	Prior HMA
7+3	Lowenberg et al. N Engl J Med. 2009;361(13):1235–48	169	Prior MDS: 45% Prior chemotherapy/ RT: 52%	–	–
	Lee et al. Blood. 2011;118(14):3832–41	17	58.8%	4-year OS 14.1%	–
	Lowenberg N Engl J Med. 2011;364(11):1027–36	76	–	5-year OS 28%	–
CPX-351 vs 7+3	Lancet et al. J Clin Oncol. 2018; 36(26):2684–92	153 vs 156	47.7% (37.3%) vs 33.3% (25.6%)	9.56 vs 5.95 months	N: 62 vs 71 Median OS: 5.65 vs 5.9 months
CPX-351 vs HIDAC-based regimens	Benitez et al. Leuk Lymphoma. 2021;1–9	94 vs 75	47.9% (41.5%) vs 62.7% (49.3%)	9.14 vs 9.8 months	N: 38 vs 20 CR/CRi: 48% vs 45%
HIDAC vs standard dose araC	Willemze et al. J Clin Oncol. 2014;32(3):219–28	47 vs 58	Age 15–45: 94.1% vs 59.1% Age 46–60: 82.8% vs 52.9%	Age 15–45: 6-year OS 76.5% vs 28.7% Age 46–60: 6-year OS 37% vs 28.6%	–
GCLAC	Becker et al. Am J Hematol. 2015;90(4):295–300	23	78% (65%)	–	–
FLAG vs 7+3	Vulaj et al. Leuk Res. 2018; 70:91–6	40 vs 66	65% vs 45%	8.5 vs 9.1 months	–
Azacitidine + venetoclax vs azacitidine	Dinardo et al. N Engl J Med. 2020;383(7):617–29	72 vs 35	–	16.4 vs 10.6 months	Excluded
Decitabine + venetoclax	Dinardo et al. Lancet Haematol. 2020; 7(10):e724–36	15 (untreated), 28 (treated)	80% vs 61%	7.8 months vs 6 months	CR/CRi 38% ≥ 4 cycles prior HMA (all patients, including R/R)
CLAG/M vs 7+3 vs CPX-351	Talati et al. Leuk Res. 2020;93:106,367	114 vs 93 vs 34	HMA ≤ 4 cycles: 56.5% vs 39.1% vs 64.3% HMA > 4 cycles: 50% vs 25.5% vs 25%	HMA ≤ 4 cycles: 9.97 vs 7.47 vs 19.9 months HMA > 4 cycles: 5.47 months vs 8.67 months vs 5.47 months	All patients received prior HMA
Glasdegib+LDAC vs LDAC	Heuser et al. Ann Hematol. 2021;100(5):1181–1194	40 vs 20	20% vs 0%	9.1 months vs 4.1 months	N: 10 vs 5 CR: 9.1% vs 0% Median OS: 7.1 months vs 5.1 months

presumably once the daunorubicin is released from the liposome, it can be effluxed out [79].

In 2014, a multicenter, open-label, phase 2 study was published comparing CPX-351 to 7 + 3 (daunorubicin 60 mg/m<sup>2</sup>) in patients age 60 to 75 years with newly diagnosed AML. The primary endpoint was complete response, defined as the combined rate of CR + CRi (neutrophils < 1000/mcL or platelets < 100,000/mcL). In the CPX-351 arm, 38.8% of patients had sAML, compared to 46.3% in the 7 + 3 arm. The CR/CRi rate was 66.7% with CPX-351 compared to 51.2% with 7 + 3,  $p=0.07$ . The CR rate was no different between arms at 48%; therefore, differences in the primary endpoint were likely due to increased attainment of a CRi with CPX-351. The authors found no significant difference in median overall survival in the overall population of patients who received CPX-351 versus 7 + 3, with a median overall survival of 14.7 months versus 12.9 months, respectively. However, upon subgroup analysis, in patients with sAML, the median overall survival was 12.1 months with CPX-351, compared to 6.1 months with 7 + 3 ( $p=0.01$ ) [80]. As patients with secondary AML made up a minority of patients included, a phase 3 study was warranted to confirm these results.

In 2018, Lancet et al. published a randomized, phase 3, open label study comparing CPX-351 to conventional 7 + 3 in patients aged 60–75 years with secondary AML [81]. The authors defined sAML as therapy-related AML, AML with antecedent MDS or CMML, or AML with MDS-related cytogenetic abnormalities, per WHO 2008 criteria. Patients were randomized to CPX-351 or standard cytarabine and daunorubicin (7 + 3) and could receive up to two cycles of induction, followed by consolidation with up to two cycles of CPX-351 or 5 + 2. The primary endpoint was overall survival. When compared to 7 + 3, treatment with CPX-351 resulted in higher CR/CRi attainment which translated to an overall survival benefit (CR/CRi 47.7% vs. 33.3%  $p=0.016$  and median OS 9.56 mo vs. 5.96 mo  $p=0.003$ ). Interestingly, in previous studies that examined outcomes with 7 + 3 in sAML, response rates have been reported in the range of 40–60% which is higher than noted in this phase 3 trial [24, 82–84].

There are several limitations with the study design and interpretation of the results which limits the ability to extrapolate the results from the CPX-351 studies to practice. This study specifically evaluated use in patient age 60–75 years old and excluded those with AML-MRC based on morphology alone. Nonetheless, the FDA granted broad approval including patients of all ages and all AML-MRC classifications [85]. Consolidation with 5 + 2 is not a commonly utilized consolidation strategy in the USA, as many institutions favor a dose-adjustment to high-dose cytarabine therapy for consolidation in this age group of patients [82, 86]. In patients who proceed to transplant, the median survival was

not reached in the CPX-351 group versus 10.25 months in the 7 + 3 group. However, it is important to consider patients' disease control prior to transplant. At the time of transplant, 38% of 7 + 3 patients had active disease, compared to only 23% of the CPX-351 group. In practice, it is uncommon to take patients to transplant with active disease due to the high degree of disease-related mortality [87–90]. Finally, the way adverse events were reported in this study may be misleading. Because patients in the CPX-351 arm were on treatment longer and therefore had a longer duration to report adverse events, the authors reported adverse events per patient year. This assumes that the risk of an adverse event is constant over time. The median rate of adverse events per patient-year was higher in the 7 + 3 group at 87.22% versus 75.68% with CPX-351. However, the median time to count recovery was longer in the CPX-351 arm, with a median time to neutrophil recovery of 35 days with CPX-351 and 29 days with 7 + 3, indicating that patients who received CPX-351 were on therapy longer because they were cytopenic longer.

Historical studies have demonstrated that outcomes with 7 + 3 are suboptimal for sAML [24]. Potential limitations with the use of anthracyclines in sAML include additional toxicity as patients with sAML may be less able to tolerate intensive therapy due to age, prior treatment history, and decreased efficacy secondary to P-gp efflux. As discussed above, P-gp expression is more common in **secondary AML**, and studies have demonstrated a decreased accumulation of daunorubicin in AML cells with high P-gp expression, in vitro. CPX-351 is thought to overcome P-gp efflux based on its liposomal formulation [79, 91]. However, numerically the difference between drug uptake in vitro was very small ( $1.09 \pm 0.21$  ng with free-drug vs  $1.76 \pm 0.10$  ng with liposomal daunorubicin) and once the individual drugs are released intracellularly, daunorubicin can still be effluxed by P-gp [91]. Alternatively, P-gp efflux can be avoided with the utilization of regimens that are not P-gp substrates, including HIDAC-based regimens. A multicenter, retrospective, real-world study demonstrated no difference in efficacy outcomes for patients with sAML who received HIDAC-based regimens (combinations of purine analog and daily doses of high-dose cytarabine) compared to CPX-351, with a median overall survival of 10.1 months versus 10.6 months, respectively [92]. The primary endpoint CR/CRi was non-inferior with HIDAC-based therapy, with a CR/CRi of 62.7% for HIDAC-based therapy and 47.9% with CPX-351. Treatment with CPX-351 was associated with a significantly higher rate of confirmed infections (74.5% vs 56%) and a significantly longer time to count recovery. Time to ANC recovery was 18 days with HIDAC-based treatment compared to 36 days with CPX-351. Time to platelet recovery was 23 days with HIDAC-based treatment compared to 38 days with CPX-351.

For patients with an antecedent hematologic disorder, it is not uncommon for patients to have had prior therapy with an HMA prior to transformation to AML. Talati et al. evaluated outcomes in patients with sAML who had prior HMA treatment for an antecedent hematologic malignancy and later received induction chemotherapy upon AML transformation [86]. Interestingly, this study demonstrated that longer durations of prior HMA exposure were associated with decreased response rates to CPX-351 upon AML transformation, with a CR/CRi of 64.3% with < 4 cycles of HMA and only 25% for > 4 cycles. In contrast, a HIDAC-based regimen maintained its response rate regardless of prior HMA exposure, with a CR/CRi of 56.5% vs 50%. Median overall survival was poor among all patients who received > 4 cycles of prior HMA (5.47 months cladribine-based  $\pm$  mitoxantrone (CLAG/M), 8.67 months 7 + 3, and 5.47 months CPX-351), demonstrating a need for new agents for sAML patients following prior HMA failure [92]. Because the phase 3 trial demonstrated no benefit with CPX-351 in patients who received prior HMA for MDS, the ESMO guidelines recommend against the use of CPX-351 in this patient population and instead recommend a clinical trial [10, 81]. Interestingly, the analysis by Talati et al. showed a fairly high 30-day mortality with high-intensity chemotherapy regimens containing anthracycline (7 + 3 = 8% and CLAG/M = 12%). In contrast, Benitez et al. demonstrated a 30-day mortality of 1.3% with HIDAC-based regimens which omitted the use of anthracyclines, indicating that the use of anthracyclines only further contributes to toxicity in these patients.

In addition to HIDAC-based regimens, the combination of an HMA and venetoclax is a potential option for patients with sAML, including those who received prior HMA for MDS. As discussed previously, in addition to patients with de novo AML, patients with sAML were included in the phase 2 trial of combination decitabine and venetoclax [53]. An overall response rate was demonstrated in 12 of 15 patients (80%) with untreated sAML and 17 of 28 patients (61%) with treated sAML. The median duration of response for patients who received 4 or more cycles of prior HMA was 9.8 months. For patients who received four or more

prior cycles of hypomethylating agents, the CR/CRi was 37% with decitabine and venetoclax. As discussed above, the response rate with CPX-351 in patients who had received at least 4 cycles of HMA was only 25%. While cross-trial comparisons are challenging due to heterogeneity, patients with sAML who progressed on HMA are a relatively homogeneous population.

Furthermore, Ball et al. analyzed outcomes in patients who received combination HMA and venetoclax after HMA failure in a retrospective analysis of patients with MDS [93]. The authors defined HMA failure as a lack of response after 4 cycles of HMA, progressive disease after at least 2 cycles of HMA, or progressive disease after achieving a response. An overall response rate was demonstrated in 9 of 12 patients (75%) who had not received prior HMA, 10 of 16 patients (62%) who received less than 4 cycles of prior HMA, and 7 of 16 patients (44%) who failed. Of note, overall response included patients who had a CR, with or without hematologic improvement. Median overall survival was 19.5 months for all patients and 11.4 months for patients with HMA failure prior to initiating combination HMA and venetoclax. As this is a retrospective study, there is likely major selection bias in who received HMA and venetoclax following HMA failure. However, based on this data, it may be reasonable to switch a patients HMA and add venetoclax, but CR rates are lower.

### Recommendations for use

The NCCN guidelines recommend the use of CPX-351 in patients of all ages with therapy-related AML, antecedent MDS/CMML, or AML-MRC that can tolerate intensive induction, despite the inclusion of only patients age 60 to 75 years and the exclusion of patients with AML-MRC based on morphology in the phase 3 study. This approach is associated with significant toxicity and high cost (Table 3). In contrast, despite European approval independent of age, the ESMO guidelines recommend CPX-351 in patients aged  $\geq$  60 years with therapy-related AML or AML-MRC [10]. Because of the limitations of the phase 3 study,

**Table 3** Cost per treatment cycle

Drug	Regimen	Cost per cycle (WAC)
Gemtuzumab ozogamicin (Mylotarg)	7 + 3 + GO 3 mg/m <sup>2</sup> (up to one 4.5 mg vial) $\times$ 1 dose or $\times$ 3 doses D1,4, and 7	\$26,886.96
Azacitidine (Vidaza)	75 mg/m <sup>2</sup> D1-7	\$8,193.36
Decitabine (Dacogen)	20 mg/m <sup>2</sup> D1-5	\$8,982.75
Venetoclax (Venclexta)	400 mg daily D1-28	\$12,046.72
Glasdegib	100 mg PO daily D1-28	\$18,659.81
CPX-351 (Vyxeos)	Cytarabine 100 mg/m <sup>2</sup> and daunorubicin 44 mg/m <sup>2</sup> D1,3,5	\$53,356.20
Oral azacitidine (Onureg)	300 mg PO daily D1-14	\$21,158.12

prolonged time to count recovery, and poor outcomes in patients who have received prior HMA, the authors of this review recommend a non-anthracycline containing HIDAC-based induction with regimens such as FLAG (fludarabine and cytarabine) or CLAG (cladribine and cytarabine) or the combination of HMA and venetoclax in this patient population. If CPX-351 is utilized as an induction strategy, it is recommended to be limited to patients who have not been extensively pretreated with HMA therapy. Finally, for patients who are classified as AML-MRC by morphology alone, data suggest that this subgroup may be treated as de-novo AML. A summary of treatment recommendations for patients with sAML is provided in Fig. 4.

## Maintenance therapy

### Patient case

A 68-year-old man was admitted to a community hospital for pneumonia. Upon admission, a CBC revealed a WBC of 4 K/ $\mu$ L, hemoglobin of 6.3 g/dL, and platelets 98 K/ $\mu$ L. Upon discharge after treatment for infection, he experienced a brief count recovery and then became pancytopenic again. Given persistence of the cytopenias, he was referred for evaluation and a bone marrow biopsy was performed and revealed 23% myeloid blasts with MPO expression, consistent with AML. Cytogenetics demonstrated a normal male karyotype: 46,XY [20] and a microarray found a normal male profile. Myeloid next-generation sequencing found no somatic mutations. He started induction with daunorubicin 60 mg/m<sup>2</sup> and cytarabine 100 mg/m<sup>2</sup> in the standard 3+7 fashion. His course was complicated by neutropenic fevers and a *Staph epidermidis* bacteremia which was successfully treated. A follow-up bone marrow biopsy was done upon count recovery and revealed the patient was in first complete

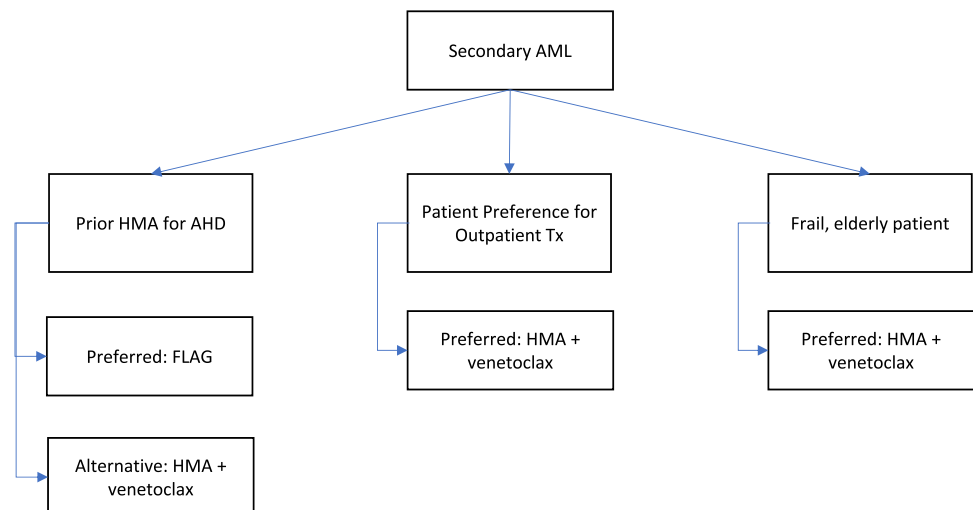
remission. Given his intermediate-risk karyotype, he was referred for an alloHCT consult. While that work-up was ongoing, he started monthly HIDAC using age-adjusted cytarabine 1500 mg/m<sup>2</sup> BID days 1, 2, and 3 and ultimately received 4 cycles. The patient was considered ineligible for transplant due to the unavailability of full-match or haplo-identical donors. His primary hematologist is considering starting maintenance therapy with azacitidine given inability to complete curative intent intensive therapy.

### Oral azacitidine

Historically, maintenance therapies have demonstrated no survival benefit in AML, with the exception of FLT3 inhibitors in patients with FLT3-ITD mutations [94–101]. Recently, the use of hypomethylating agents for maintenance therapy has been explored. Subcutaneous azacitidine maintenance was compared to observation in patients in CR/CRi after at least two cycles of chemotherapy [98]. Despite an improved disease-free survival with azacitidine, there was no difference in overall survival with a 12-month OS of 84% with azacitidine versus 70% with observation ( $p=0.69$ ). A higher percentage of patients in the observation group had unfavorable-risk cytogenetic abnormalities (23% vs 16%). As expected, more than half of the observation patients ( $n=32$ ) received post-protocol salvage therapy upon relapse, compared to 9 patients in the azacitidine maintenance group where the options of further therapy were more limited. This study illustrated one conundrum of maintenance therapy in AML which is the decrease in available lines of therapy upon relapse for a disease that is often not curable.

Oral azacitidine maintenance therapy received FDA approval in September 2020 as a result of QUAZAR AML-001 maintenance trial [102]. Patients aged 55 years or older, with intermediate- or poor-risk AML who were not candidates for alloHCT, were randomized to oral azacitidine

**Fig. 4** Summary of treatment recommendations for secondary AML



maintenance or placebo. The trial did not outline specific criteria to define transplant eligibility. Patients were randomized within 4 months of first CR/CRi; however, the study protocol defined a short cutoff for enrollment of 90 days. This is important to highlight because it helps explain the low number of patients who received adequate consolidation therapy. Response was assessed every 3 cycles, and patients with AML relapse (defined as 5–15% blasts in the blood or bone marrow) could receive an increased duration of oral azacitidine maintenance or placebo to 21 days per cycle from the original 14 days. The authors found that azacitidine maintenance demonstrated a survival benefit over placebo, with a median OS of 24.7 months versus 14.8 months, respectively. However, there were significant limitations with the study design which limit the ability to extrapolate these results to practice.

All patients received intensive remission induction with cytarabine and an anthracycline yet were ineligible for transplant. While transplant eligibility criteria were not defined, 65% of patients were considered ineligible based on age. The median age in this study was 68 indicating that 50% of patients were less than 68 years old suggesting the centers included in the study were rather conservative in their approach to transplantation and this would limit external validity. Furthermore, only 80% of patients started consolidation therapy, including 45% who only received one cycle of consolidation therapy. These patients were not too sick or deconditioned to receive further cycles of induction based on ECOG scored reported, but rather based on the timeline from CR/CRi to enrollment, it would have not been possible for patients to receive adequate consolidation with 3–4 cycles. While the optimal number of consolidation cycles remains a highly debated topic dependent on induction regimen and disease characteristics, multiple studies have demonstrated the benefit of 3–4 cycles over 0–2 cycles [34–36, 103]. In fact, studies since the 1980s have demonstrated that patients who do not receive any consolidation therapy do significantly worse [6, 104, 105]. A subsequent abstract noted the survival benefit with azacitidine remained regardless of consolidation; however, this survival advantage is limited to the subgroup who received zero cycles of consolidation [106]. Only 6 patients in the azacitidine arm and 13 in the placebo arm received an adequate 3 cycles of consolidation.

Furthermore, 51 patients (21%) in the oral azacitidine arm and 40 patients (17%) in the placebo arm received an escalated 21-day dosing schedule upon AML relapse, with 5–15% blasts, which did not count as an event for progression-free survival. It is arguably unethical to continue current therapy in the azacitidine group in the setting of increasing blasts, and certainly unethical in the placebo group given salvage options exist for these patients. Overall, 65% of patients received subsequent therapy after discontinuing

trial treatment. Subsequent treatment was considered low intensity in 61% of the azacitidine arm and 61.5% of the placebo arm, of which about 20% of patients received a hypomethylating agent and for the remaining patients specific subsequent therapy is unknown, but could have included low dose cytarabine and hydroxyurea. Based on these results, it is not known whether there is greater benefit with early initiation of HMA in maintenance or waiting until patients subsequently relapse. Finally, oral azacitidine is not interchangeable with the IV formulation (bioavailability is 11% with oral azacitidine), and there is no data to support the off-label use in combination with venetoclax.

### Recommendations for use

The NCCN guidelines support the use of oral azacitidine maintenance in patients with intermediate- or unfavorable-risk disease who decline or are otherwise ineligible for transplant. Oral azacitidine received approval by the European Commission after the most recent ESMO guidelines were published. Due to limitations with the study design, inadequate consolidation, and unknown benefit of HMA maintenance versus HMA at relapse, the authors of this review believe there is currently no role for the use of azacitidine maintenance therapy for patients that have completed a standard HIDAC-based consolidation and oral azacitidine should not replace proper HIDAC consolidation for eligible patients.

### Conclusion

In recent years there has been an exponential increase in new drug approvals for AML; however, the quality of data to support these new approvals has some major limitations, including phase 2 study design, suboptimal control arms, inadequate consolidation therapy, selection bias, limited real-world applicability, and surrogate primary endpoints. It is very challenging to extrapolate results to current practice when the current practice is not reflected in the study designs. It is possible that any survival benefit seen may just be attributed to patients not being treated per current standards, which we know improve survival outcomes. While novel agents are needed to improve outcomes for patients with AML, especially those with poor risk cytogenetics, caution should be exercised before replacing current standard of care without adequate data demonstrating superiority to standard of care in the correct population of interest.

Many of these agents and combinations were approved based on overall response rate benefits. Only five drugs/regimens were approved based on overall survival (CPX-351, glasdegib + LDAC, 7 + 3 + midostaurin, gilteritinib, and oral azacitidine). Our goals in AML treatment are to prolong

survival and/or improve quality of life, and it is unknown whether improvements in surrogate endpoints achieve one of these two goals. For the few drugs/regimens that utilized overall survival as the primary endpoint, as discussed above, the studies have significant limitations which minimize the suggested survival benefit. Furthermore, for the studies which utilized surrogate endpoints as the basis of approval, multiple recent press releases have demonstrated that subsequent phase 3 studies have not met the primary endpoint of overall survival. This once again reaffirms that surrogate endpoints are poor predictors of what is important to both patients and healthcare providers. In addition, Chen et al. demonstrated that the use of surrogate endpoints speeds up time to drug approval by about 1 year [107]. While this analysis is potentially an oversimplification, it is particularly relevant for disease states like AML, where 5-year survival rates are only 28.7%, and therefore, length of time needed to measure median overall survival is likely even shorter.

In addition to efficacy and toxicity concerns, these novel agents are associated with significant financial toxicity. The high costs of novel anticancer agents are not sustainable (Table 3). Efficacy, safety, and cost considerations are all essential to making treatment decisions and therefore national guidelines should incorporate principles of cost effectiveness. While this review was not comprehensive, employing these principles of oncology stewardship to the treatment of AML can maximize patient outcomes in a financially responsible fashion while preventing exposure to significant toxicities of novel agents.

## Declarations

**Ethics approval** This article does not contain any studies with human participants performed by any of the authors.

**Conflict of interest** Kristen Pettit, MD, provides advising for the following companies: Kura Oncology, PharmaEssentia, CTI Biopharma. Anthony J. Perissinotti, PharmD, is a consultant for Servier, Amgen, and Pfizer pharmaceuticals. Bernard L. Marini, PharmD, is a consultant for Servier. None of the other authors has any conflicts of interest to disclose.

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