



Predictive factors for response and survival in elderly acute myeloid leukemia patients treated with hypomethylating agents: a real-life experience

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Abstract

Predictive factors of response to hypomethylating agents (HMA) in elderly acute myeloid leukemia (AML) patients remain unclear in the real-life setting and no direct comparison between azacitidine (AZA) and decitabine (DEC) has been carried out. We retrospectively evaluated 110 AML patients treated with HMA (78 AZA, 32 DEC) as first-line therapy outside of clinical trials. Median age was 75 years (range 58–87). The median overall survival (OS) of the entire cohort was 8.0 months (95% CI 6.1–10), without significant differences among the subgroups: AZA 8.8 months vs DEC 6.3 months ($p = 0.291$). HMA treatment yielded an overall response rate (ORR) of 40% (AZA 37% vs DEC 47%, $p = 0.237$). A stable disease (SD) after 4 HMA cycles was not associated with a worse survival outcome compared with an early optimal response. Factors independently associated with a better OS were transfusion independence during treatment ($p = 0.049$), achievement of an optimal response to treatment ($p < 0.001$), and a baseline hemoglobin level ≥ 9.25 ($p = 0.018$). A bone marrow (BM) blast count $\geq 30\%$ ($p < 0.001$) and a therapy-related AML ($p = 0.008$) remain poor survival predictors. Of the available biologic features, an adverse risk category according to the ELN classification was significantly associated with a shorter survival over the intermediate risk category ($p = 0.034$). Disease progression remains the primary cause of death. Infectious complications were more severe ($p = 0.036$) and occurred earlier ($p = 0.006$) in the DEC group compared with that of the AZA group. In conclusion, clinical prognostic factors associated to response and survival have been identified without significant associations concerning overall outcomes between the two HMAs.

Keywords Azacitidine · Decitabine · Hypomethylating agents · Unfit patients · Acute myeloid leukemia · Real-life

Introduction

Acute myeloid leukemia (AML) is the most common form of acute leukemia in adults and is characterized by a clinically and biologically heterogeneous disease [1]. According to the most recent data from the NCI's Surveillance, Epidemiology

and End Results (SEER) program, the median age at diagnosis is 68 years. More than half of new cases of AML is > 50 years, and about one-third is aged > 75 [2]. The prognosis of elderly AML patients remains poor despite an improved understanding of the genetic landscape of AML and the recent therapeutic advances. Indeed, the estimated 2-year survival rate of patients aged ≥ 65 is less than 20% [3]. In this subset, an adverse cytogenetic karyotype, other biologic features, and clinical risk factors (comorbidities, polypharmacy, poor performance status (PS), cognitive decline) contribute to a poor chemotherapy tolerance and make the AML management a therapeutic challenge [4, 5]. Hypomethylating agents (HMA), such as 5-azacitidine (AZA) or 5-aza-2 deoxycytidine (decitabine, DEC), capable of inhibiting DNA methyltransferases and resulting in the re-expression of key genes critical to growth, differentiation, angiogenesis, signaling, and DNA

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repair, represent a widely accepted standard of care for AML patients ineligible to intensive chemotherapy [6–8]. A subgroup analysis of the AZA-MDS-001 trial highlighted a survival benefit for low blast count AML patients treated with AZA compared with conventional care [9, 10]. Similarly, the randomized phase III trial AZA-AML-001, which enrolled elderly AML patients with a bone marrow blast count > 30%, confirmed the superiority of AZA over conventional therapy (CT) in terms of median overall survival (OS) (12.1 months vs 6.9 months respectively, $p = 0.0190$). Furthermore, AZA reduced significantly the rates and days of hospitalization for treatment-related adverse events compared with the control arm [11]. A second survival analysis of DACO-016, the pivotal study that compared the efficacy and safety of DEC with investigators choice, demonstrated the benefit of DEC in intermediate–high-risk AML elderly patients [12]. Although HMA seem to be a safe treatment strategy, long-lasting responses are rare [13]. Moreover, predictors of response to HMA are still poorly defined, and no clear recommendations have been published that suggest how to select the appropriate HMA for each patient. A direct comparison of the two HMA has so far not been carried out, and it is difficult to indirectly compare the two trials due to the differences in inclusion criteria, median number of administered cycles, and control arm treatment. Real-life comparisons between the two HMA are scarce. For these reasons, our study was aimed at identifying possible predictors of response to these agents and at investigating the differences in survival, clinical response, and safety profile between AZA and DEC in a consecutive cohort of elderly AML patients treated in the real-life setting.

Patients and methods

We retrospectively analyzed 110 consecutive elderly AML patients who received HMA as first-line treatment outside of clinical trials at a single institution between August 2007 and July 2019. Seventy-eight patients received subcutaneous AZA 75 mg/m² for 7 days according to the 5 + 2 + 2 schedule every 4 weeks and 32 patients received intravenous DEC 20 mg/m² for 5 consecutive days every 4 weeks until disease progression or unacceptable toxicity.

We excluded patients who received HMA as a second-line or salvage therapy after an allogeneic stem cell transplant. The diagnosis of AML was carried out according to the WHO 2016 criteria [14]. Clinical data collected include both disease-related (bone marrow blast count, blood count values, cytogenetics, and biologic features at the onset of the disease) and patient-related (age, comorbidity, renal function) characteristics. The Charlson comorbidity index (CCI) [15] was used as an indicator of comorbidity, and the estimated glomerular filtration rate (eGFR) was calculated through the Chronic

Kidney Disease Epidemiology Collaboration (CKD-EPI) equation [16] for all patients. Data about transfusion requirement during treatment in terms of number of transfusions per cycle was also collected.

The European LeukemiaNet (ELN) recommendations [17] have been used to stratify patients on the basis of the genetic risk profile and to evaluate the degree of response to treatment. The severity of infection complications has been established according to the Common Terminology Criteria for Adverse Events version 5.0 (CTCAE) [18].

Statistical analyses were carried out on the entire patient population and according to the type of HMA used. Differences in the study groups concerning characteristics and treatment responses were estimated using the chi-square test or the Fisher exact test for categorical covariates and the Mann-Whitney *U* test for continuous variables. OS was calculated from the start of therapy to death from any cause or the date of the last follow-up. Progression-free survival (PFS) was instead calculated from the start of therapy to the date of progression of the disease (PD) or death due to any cause. Probabilities of OS and PFS were estimated using the Kaplan-Meier analysis and compared using the log-rank test. The cut-off of variables used for survival analysis was selected according to the median values for hemoglobin (Hb) level, platelet count, and age in years.

All *p* values < 0.05 have been considered statistically significant. The possible impact on survival of significant variables found at univariate analysis has been re-examined using the Cox Regression model for multivariate analysis; logistic regression was applied to assess factors associated with optimal treatment response. All statistical analyses were performed using the IBM SPSS Statistics, version 25.

Results

Characteristics of patients

Baseline patients' characteristics are listed in Table 1. Median age was 75 years (range 58–87). The 57% of patients aged more than the median age at diagnosis. Sixty-two patients (56%) had de novo AML and 44 (40%) had secondary AML (s-AML), while only 4 patients had a therapy-related AML (t-AML). The median white blood cell count (WBC) was $2.57 \times 10^9/L$ (range 0.37–83.47). Sixty-six out of 110 patients (60%) had $\geq 30\%$ blasts in the bone marrow (BM), and the mean BM blast count was 33% (range 20–90%). Cytogenetics was available for 75 patients. Seven out of 75 patients (9%) carried a complex karyotype, and 4 (5%) had a monosomal karyotype (MK); the other cytogenetic features are shown in Table 1. One out of 4 t-AML had a complex karyotype. A genetic risk assessment, according to the ELN recommendation, has been possible for 38 of the 110 patients

Table 1 Baseline patients' demographics and clinical characteristics of the entire cohort and according to the hypomethylating agent

| Characteristics | Entire cohort No. of patients = 110 | AZA group No. of patients = 78 | DEC group No. of patients = 32 | <i>p</i> value (AZA vs DEC) |
|---|--|-----------------------------------|-----------------------------------|-----------------------------|
| Male, <i>n</i> (%) | 74 (67.3) | 53 (67.9) | 21 (65.6) | ns |
| Female, <i>n</i> (%) | 36 (32.7) | 25 (32.1) | 11 (34.4) | |
| Median age at diagnosis (range, years) | 75 (58–87) | 75 (58–87) | 75 (60–82) | ns |
| Hb (median, g/dL) | 9.25 (4.4–14.1) | 9.30 (4.4–13.2) | 8.75 (5–14.1) | ns |
| WBC × 10 ⁹ /L | | | | |
| Median, range | 2.57 (0.37–83.47) | 2.56 (0.37–83.47) | 2.16 (765–50) | ns |
| ≤ 15 × 10 ⁹ /L, <i>n</i> (%) | 96 (87%) | 73 (94) | 23 (72) | |
| > 15 × 10 ⁹ /L, <i>n</i> (%) | 14 (13%) | 5 (6) | 9 (28) | |
| Platelet count × 10 ⁹ /L (median, range) | 79.5 (7–829) | 72 (14–829) | 82.5 (7–800) | ns |
| LDH (U/L) (median, range) | 213 (183–272) | 211 (86–2500) | 237 (132–500) | ns |
| Creatinine mg/dL (median, range) | 0.9 (0.3–10.8) | 0.9 (0.4–10.8) | 0.9 (0.3–2.2) | ns |
| eGFR mL/min/1.73m ² (median, range) | 73 (4–112) | 74 (4–109) | 67.5 (27–112) | ns |
| BM blast percentage | | | | |
| Median, range | 33 (20–90) | 29 (20–80) | 59 (20–90) | <i>p</i> < 0.001* |
| < 30%, <i>n</i> (%) | 44 (40%) | 38 (49%) | 6 (19%) | <i>p</i> = 0.004* |
| ≥ 30%, <i>n</i> (%) | 66 (60%) | 40 (51%) | 26 (81%) | |
| CCI, <i>n</i> (%) | | | | |
| 2 | 8 (7) | 5 (6) | 3 (9.4) | ns |
| 3 | 46 (42) | 30 (38) | 16 (50) | |
| 4 | 32 (29) | 23 (30) | 9 (28) | |
| 5 | 15 (14) | 12 (15) | 3 (9.4) | |
| 6 | 8 (7) | 7 (9) | 1 (3) | |
| 7 | 1 (1) | 1 (1) | 0 (0) | |
| AML type, <i>n</i> (%) | | | | |
| de novo AML | 62 (56) | 38 (49) | 24 (75) | ns |
| s-AML | 44 (40) | 36 (46) | 8 (25) | |
| t-AML | 4 (4) | 4 (5) | 0 (0) | |
| Cytogenetic findings, data available <i>n</i> (%) | 75 (68) | 50 (64) | 25 (78) | |
| Normal karyotype | 40 (54) | 29 (58) | 11 (44) | ns |
| Complex karyotype | 7 (9) | 6 (12) | 2 (8) | |
| Monosomal karyotype | 4 (5) | 1 (2) | 2 (8) | |
| Chromosomal 7 aberration | 5 (7) | 3 (6) | 2 (8) | |
| Trisomy 8 | 9 (12) | 5 (10) | 4 (16) | |
| Chromosomal 5 aberration | 1 (1) | 1 (2) | 0 (0) | |
| Other | 9 (12) | 5 (10) | 4 (16) | |
| Molecular findings, data available <i>n</i> (%) | 42 (38) | 22 (28) | 20 (63) | |
| Negative | 29 (69) | 19 (85) | 10 (50) | ns |
| Mutated NPM1 | 3 (7) | 1 (5) | 2 (10) | |
| Mutated FLT3-ITD | 2 (5) | 1 (5) | 1 (5) | |
| Mutated NPM1 and FLT3-ITD | 3 (7) | 0 (0) | 3 (15) | |
| MLL self-fusion | 4 (10) | 1 (5) | 3 (15) | |
| CBFB-MYH11 | 1 (2) | 0 (0) | 1 (5) | |
| ELN risk stratification, data available <i>n</i> (%) | 38 (35) | 19 (50) | 19 (50) | |
| Favorable | 4 (11) | 1 (5.3) | 3 (15.8) | ns |
| Intermediate | 21 (55) | 13 (68.4) | 8 (42.1) | |
| Poor/adverse | 13 (34) | 5 (26.3) | 8 (42.1) | |
| Transfusion requirement (RBC-T/cycle), <i>n</i> (%) | | | | |
| 0 | 45 (41) | 33 (42) | 12 (37) | ns |
| 1–2 | 43 (39) | 88 (36) | 15 (47) | |
| ≥ 3 | 22 (20) | 17 (22) | 5 (16) | |
| Transfusion requirement (Plts-T/cycle), <i>n</i> (%) | | | | |
| 0 | 93 (85) | 67 (86) | 26 (81) | ns |
| 1–2 | 13 (12) | 9 (11) | 5 (16) | |
| ≥ 3 | 3 (3) | 17 (22) | 1 (3) | |
| Median drug dose (range, mg/die) | na | 130 (100–173) | 35 (30–40) | |
| Median drug dose total (range, mg) | na | 4762.5 (700–86520) | 800 (150–4600) | |
| Median no. of cycles (range) | 5 (1–48) | 5.5 (1–48) | 4.5 (1–23) | ns |
| Median time from diagnosis to treatment start (range, days) | 20 (2–139) | 22 (2–139) | 17 (2–55) | ns |
| Median follow-up (range, months) | 7.2 (0.5–47.02) | 8.1 (0.72–47.02) | 5.9 (0.53–26.59) | ns |

AML, acute myeloid leukemia; AZA, azacitidine; BM, bone marrow; CCI, Charlson comorbidity index; DEC, decitabine; eGFR, estimated glomerular filtration rate; ELN, European Leukemia Net; Hb, hemoglobin; LDH, lactate dehydrogenase; na, not applicable; ns, not significant; Plts-T, platelet transfusion; RBC-T, red blood cells transfusion; s-AML, secondary AML; t-AML, therapy-related AML; WBC, white blood cell

**p* value significant at < 0.05

(35%): 21 patients had an intermediate risk and 13 an adverse risk, while only 4 patients were considered to have a favorable risk. The majority of patients (93%) had a CCI ≥ 3 . At baseline, the median creatinine level was 0.9 mg/dL (range 0.3–10.8) and the eGFR 73 mL/min/1.73m² (range 4–112). At the time of diagnosis, only 1 patient was affected by end-stage kidney disease and was undergoing hemodialysis.

No notable differences regarding disease characteristics and demographic data were found among patients divided according to the type of HMA received, except for the median BM blasts count that was significantly higher for DEC patients (DEC 59% vs AZA 29%, $p < 0.001$), as shown in Table 1.

Response to treatment

Sixty-eight out of the 110 patients (62%) received at least 4 cycles of HMA (50 in the AZA cohort and 18 for the DEC cohort, $p = 0.59$). The evaluation of response after the fourth cycle was available for 66 of the 68 patients: 8 complete remissions (CR, 12%), 18 CRs with incomplete hematologic recovery (CRi, 27%), 11 partial remissions (PR, 17%), and 21 stable diseases (SD, 32%) were recorded. The remaining 8 patients (12%) experienced a PD. Two patients in the DEC group underwent an allogeneic stem cell transplant after 5 and 6 cycles of therapy, respectively.

Overall, considering the best responses obtained across the entire treatment period, 44 of the 110 patients (40%) witnessed a response, including 11 (25%) CR, 24 (55%) CRi, and 9 (20%) PR, after a median time of 3.9 months (range 0.8–12.3). The overall response rate (ORR) was not statistically different between AZA- and DEC-treated patients (37% vs 47%, respectively, $p = 0.237$; Fig. 1)

In univariate analysis, the variables significantly associated with the achievement of a CR/CRi/PR for the entire population were a platelet count $\geq 79.5 \times 10^9/L$ ($p < 0.001$), no requirement of red blood cell transfusions (RBC-T) during treatment ($p = 0.001$) and a baseline eGFR ≥ 60 mL/min/1.73m² ($p = 0.005$). No requirement of RBC-T remained significant in the sub-analysis of the AZA group ($p = 0.001$), while the eGFR value carried statistical significance in the DEC group ($p = 0.011$). All factors maintained their significance in multivariate testing, even though with a wide confidence interval, probably due to the low number of patients (data not shown).

Overall survival

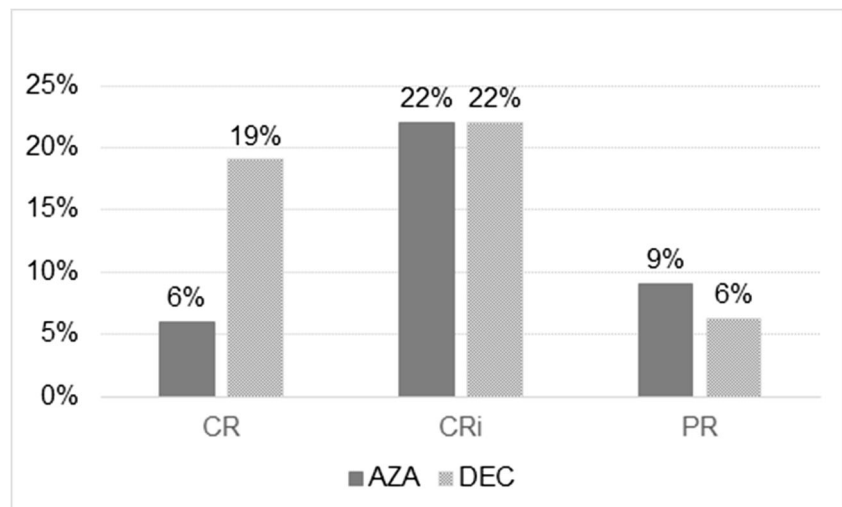
The median OS of all patients was 8.0 months (95% CI 6.1–10.0) (Fig. 2). The survival probabilities at 1 year and 2 years were 35.2% and 18.3%, respectively. No differences in terms of median OS were recorded according to the HMA treatment (AZA 8.8 months vs DAC 6.3 months, $p = 0.291$, Fig. 3).

At univariate analysis, a Hb ≥ 9.25 g/dL at baseline ($p = 0.013$), a BM blast count $< 30\%$ ($p = 0.001$), all subtypes except t-AML ($p = 0.001$), a baseline eGFR ≥ 60 mL/min/1.73m² ($p = 0.011$), the achievement of a CR/CRi/PR as best response ($p < 0.001$), and RBC and platelet-transfusion independence during treatment ($p < 0.001$) were significantly associated with a better OS for the whole cohort (Table 2). Adverse risk category patients had a significantly shorter median OS than intermediate-risk patients: 5.7 months vs 18 months ($p = 0.034$). No differences in terms of OS were associated with age, WBC count, CCI, and cytogenetic characteristics. Based on the ELN response criteria, patients with a SD after the fourth HMA cycle did not show a significantly shorter survival compared with patients who had achieved at a PR or more ($p = 0.312$), showing instead a clear advantage in OS compared with patients who did not achieve a response ($p < 0.001$, Fig. 4, Suppl. Fig. 1). Patients obtaining CR had longer, although not statistically significant, median survival compared with those who achieved CRi, PR, and SD after the fourth cycle (28.1 months (95% CI 9.2–47.0) vs 14.7 months (95% CI 7.4–22.0), $p = 0.136$). The achievement of a SD as the best response during HMA treatment was instead significantly associated with poor outcome in terms of median OS compared with CR, CRi, and PR (11.3 months (95% CI 9.3–13.3) vs 20.3 months (95% CI 16.2–24.4), $p = 0.015$). Univariate subgroup analysis confirmed all factors associated with a better OS in AZA-treated patients, while a platelet count $\geq 79.5 \times 10^9/L$, an eGFR ≥ 60 mL/min/1.73m², a \geq PR response, RBC, and platelet-transfusion independence remained significant among DEC-treated patients, as shown in Table 2. In multivariate analysis, a BM blast count $\geq 30\%$, a t-AML type, the achievement of a response \geq PR to HMA, RBC transfusion independence, and the baseline Hb levels proved to independently predict survival (Table 3). The BM blast count, the AML type and a \geq PR response significantly impacted on survival for the AZA group (Table 3), while no factor was confirmed for the DEC group (data not shown).

Progression-free survival

The median PFS of the entire population was 6.0 months (CI 95% 3.3–8.6) without a significant difference between the AZA and DEC groups (6.2 vs 3.8 months, respectively; $p = 0.380$ Suppl. Fig. 2a, b). Factors impacting significantly on the median PFS were the Hb level at baseline ($p = 0.015$), the BM blast count ($p = 0.009$), all subtypes except t-AML ($p = 0.004$), baseline eGFR ($p = 0.004$), optimal response to treatment ($p < 0.001$), and no requirement of RBC-T ($p < 0.001$) and platelet transfusion ($p < 0.001$) during treatment. As for OS, the achievement of a SD similar to a response \geq PR after the fourth cycle did not affect the median PFS duration ($p = 0.398$). In multivariate analysis, the median blast count $> 30\%$, the Hb level, t-AML, RBC transfusion independence

Fig. 1 Overall response rate (%) according to 2017 ELN recommendations



during treatment and a response \geq PR maintained significance as predictors of survival. Details regarding multivariate analysis are illustrated in Table 3.

Outcome and safety

After a median time of 4.4 months (range 0.1–46.3), 93 patients (85%) discontinued HMA therapy. All died, except for the 2 allografted patients. The main reason was PD (78%). Other reasons of death were infections (12%) or extrahematologic complications (10%). The mortality and the cause of death did not differ significantly between the two groups ($p = 0.661$). The all-cause 30-day mortality of the entire cohort was 4.5%, and it was not significantly different between treatment groups, even if there is an increased trend for DEC (AZA 2.6%, DEC 9.4%; $p = 0.119$). Excluding early deaths related to rapid progression of disease, the 30-day mortality of the whole population studied was 2.7% (AZA 1.33%, DEC 6.3%, $p = 0.146$).

At the last follow-up, 17 patients (15%) are still alive and on treatment (10 AZA, 7 DEC) after a median time of 15.6

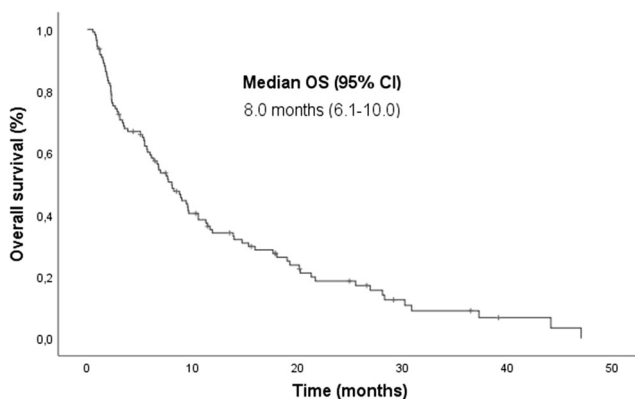
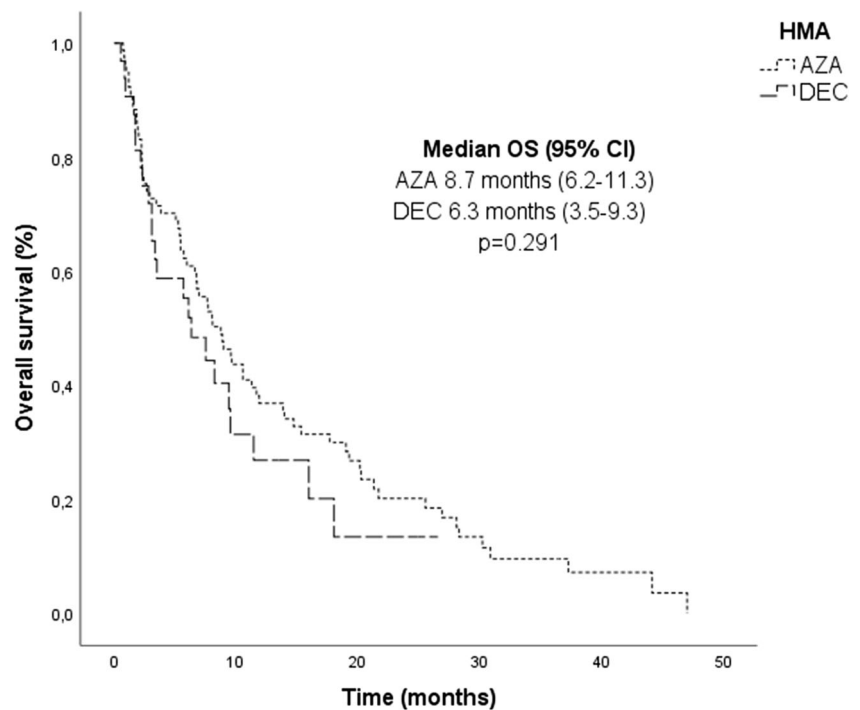


Fig. 2 Global OS

months (range 1.1–39.1). All patients were referred to our Hematologic Emergency Unit for any infectious complications. At least one infectious complication occurred in 88 patients (80%) after a median time of 41 days since the start of treatment and a median of 16 days from the start of the ongoing cycle. Thirty-seven (34%) patients of the entire cohort experienced a single episode of infection; 27 (25%) and 24 (22%) patients had 2 and ≥ 3 infectious complications, respectively. The number of infectious episodes did not significantly differ among patients according to the type of response achieved during HMA therapy, as well as any significant differences were revealed between patients who obtained SD and PD ($p = 0.560$). The rates of infections requiring hospitalization were 69% for AZA group and 80% for DEC group ($p = 0.128$). Pneumonia (46%) was the most frequent infectious event. The occurrence of pneumonia during the first four cycles of therapy did not significantly impact on median survival ($p = 0.061$). Furthermore, the occurrence of pneumonia was not correlated with age ($p = 0.938$), CCI ($p = 0.177$) and BM blast count at diagnosis ($p = 0.553$). We extensively analyzed the first three infectious events occurred in order of appearance (Suppl. Table 1). As for the first one, no statistically significant differences concerning the type of infection ($p = 0.894$), the grade of severity ($p = 0.549$), and the number of neutrophils at the onset of the event ($p = 0.058$) were found between the two HMA. On the contrary, the occurrence of the first complication was significantly earlier in the DEC group, both in terms of days from the start of treatment ($p = 0.006$) and of days from the start of the ongoing cycle ($p = 0.021$) over the AZA group. The severity of the second infection was higher in DEC-treated patients (grade ≥ 3 93% vs 63% of AZA group, $p = 0.036$). Regarding the third infectious complication recorded, no differences emerged by comparing the two groups of patients.

Fig. 3 OS according to HMA treatment



Long-lasting treated patients

In our cohort, 27 patients received at least 12 cycles of HMA (23 AZA, 4 DEC). The median OS and PFS were 24.4 (95% CI 13.5–47) and 22.3 months (95% CI 7.6–46), respectively. These patients showed a significantly lower blast count ($p = 0.021$), a higher eGFR at baseline ($p = 0.028$), a higher median value of lactate dehydrogenase ($p = 0.048$), a decreased RBC ($p = 0.007$) and a platelet-transfusion requirement ($p = 0.040$) compared with the 45 patients who received ≤ 4 cycles, excluding patients still alive and on treatment at the time of the analysis (data not shown).

Discussion

HMA represent the most commonly used therapeutic strategy for unfit AML patients, not eligible for intensive treatment. The results of sponsored trials have shown an advantage of survival associated with an acceptable toxicity compared with that of conventional care [9, 11, 12]. We herein report the results of a retrospective real-life study of unfit AML patients treated with HMA in the last 12 years at a single institution. The choice of HMA type changed over this long period of time, due to the relatively recent introduction of DEC compared with AZA and modifications of indications regarding the amount of blast count, as specified below. More than half of the analyzed population was ≥ 75 years. The median age of 75 years at diagnosis, equal for each HMA group, is in line with the epidemiology of AML and comparable with that of

patients enrolled in the AZA-AML-01 and DACO-016 trials and in several real-life experiences reported in literature [11, 12, 19–24]. The baseline characteristics were not different across the AZA and DEC groups, except for the median blast count that was significantly higher in the DEC group. This imbalance may be due to the different drug availability considering that up to 2017 AZA was not reimbursed by the Italian National Health System for AML with more than 30% BM blasts. After a median time of almost 4 months, the ORR of the entire cohort was 40% (AZA 37%, DEC 47%), resulting higher than the ORR of clinical trials and other real-life experiences [11, 12, 21–24]. The MD Anderson Cancer Center (MDACC) group reported an ORR of 29% for 114 elderly AML patients who received front-line HMA compared with that of the 557 patients who underwent intensive chemotherapy, with no difference between AZA and DEC (26% and 31%, respectively) [22]. Tawfik et al [25], analyzing 32 patients who received HMA, reported an ORR of 26.5%. A recently published retrospective analysis on 306 AML patients treated front-line with DEC showed a lower ORR (33.7%) than in our experience [24]. These differences are most likely attributable to the relatively small number of patients of our cohort, especially those who underwent DEC. The median OS of our entire cohort was 8.0 months with no differences according to the type of HMA used. The median OS of the AZA group (8.8 months) was consistent with reported real-life experiences which showed a median OS between 8.1 and 13.1 months [13, 26–28]. On the contrary, the median OS of the DEC group (6.3 months) is lower than that of literature data [12, 23, 24], again presumably due to the

Table 2 Results of Kaplan-Meier survival analysis with log-rank test: overall survival

| Variable | Entire cohort | | AZA group | | DEC group | |
|--|----------------------------|-------------------|----------------------------|-------------------|----------------------------|-------------------|
| | Median OS, months (95% CI) | <i>p</i> value | Median OS, months (95% CI) | <i>p</i> value | Median OS, months (95% CI) | <i>p</i> value |
| Age, years | | | | | | |
| < 75 | 9.0 (6.8–11.2) | ns | 8.1 (4.8–11.2) | ns | 9.5 (5.4–13.7) | ns |
| ≥ 75 | 7.0 (3.9–10.0) | | 8.7 (5.1–12.1) | | 4.8 (1.3–5.5) | |
| Gender | | | | | | |
| Male | 6.8 (4.43–9.07) | ns | 6.9 (4.2–9.7) | ns | 5.7 (1.4–9.9) | ns |
| Female | 11.7 (7.2–16.1) | | 11.6 (7.6–15.8) | | 15.9 (3.6–28.3) | |
| Hb (g/dL) | | | | | | |
| ≥ 9.25 | 11.9 (6.0–17.98) | <i>p</i> = 0.013* | 14.0 (8.0–19.9) | <i>p</i> = 0.034* | 9.5 (5.0–14.1) | ns |
| < 9.25 | 5.7 (4.2–7.2) | | 6.0 (4.1–7.8) | | 5.7 (1.2–10.1) | |
| WBC (× 10 ⁹ /L) | | | | | | |
| ≥ 15 | 5.0 (1.5–8.6) | ns | 5.4 (4.6–6.3) | ns | 3.5 (2.3–4.7) | ns |
| < 15 | 8.2 (6.2–10.2) | | 8.7 (5.8–11.7) | | 7.5 (4.8–10.2) | |
| PLT (× 10 ⁹ /L) | | | | | | |
| ≥ 79.5 | 11.4 (6.1–16.7) | ns | 13.9 (7.4–20.3) | ns | 11.4 (6.5–16.4) | <i>p</i> = 0.013* |
| < 79.5 | 6.0 (4.2–7.7) | | 6.8 (4.0–9.6) | | 3.1 (1.0–5.2) | |
| BM blast count | | | | | | |
| ≥ 30% | 6.1 (4.5–7.7) | | 5.70 (3.52–7.88) | | 6.1 (0.3–11.9) | |
| < 30% | 17.7 (5.2–30.2) | <i>p</i> = 0.001* | 17.67 (5.76–29.57) | <i>p</i> = 0.001* | 7.5 (nc) | ns |
| Type of AML | | | | | | |
| de novo AML | 7.6 (5.3–9.9) | <i>p</i> = 0.001* | 8.8 (5.3–12.1) | <i>p</i> = 0.001* | 6.1 (1.4–10.8) | ns |
| s-AML | 9.6 (5.8–13.3) | | 9.6 (5.1–14.2) | | 9.5 (0–19.2) | |
| t-AML | 2.0 (0.6–3.3) | | 2.0 (0.6–3.3) | | - | |
| RBC-T/cycle | | | | | | |
| 0 | 28.1 (23.7–32.4) | <i>p</i> < 0.001* | 28.1 (23.6–32.6) | <i>p</i> < 0.001* | nr | <i>p</i> = 0.009* |
| 1–2 | 9.4 (7.7–11.1) | | 9.6 (6.7–12.6) | | 8.2 (5.2–11.2) | |
| ≥ 3 | 3.5 (0.2–6.6) | | 5.2 (1.9–8.6) | | 3.1 (2.2–3.9) | |
| Plts-T/cycle | | | | | | |
| 0 | 11.3 (8.6–14.0) | <i>p</i> < 0.001* | 11.8 (6.0–16.6) | <i>p</i> = 0.032* | 9.5 (4.4–14.4) | <i>p</i> = 0.001* |
| 1–2 | 3.5 (0–9.2) | | 6.6 (1.5–11.7) | | 2.3 (0.8–3.8) | |
| ≥ 3 | 6.3 (2.3–10.0) | | 1.2 (nc) | | 3.1 (0.1–6.2) | |
| Baseline eGFR (mL/min/1.73m ²) | | | | | | |
| ≥ 60 | 9.0 (5.4–12.6) | <i>p</i> = 0.011* | 10.6 (6.2–15.0) | <i>p</i> = 0.026* | 7.5 (3.6–11.3) | ns |
| < 60 | 3.4 (0–7.0) | | 5.0 (0–10.5) | | 3.3 (0–7.5) | |
| CCI | | | | | | |
| ≤ 2 | 8.0 (3.6–12.4) | ns | 8.1 (2.2–13.9) | ns | 7.5 (2.9–12.1) | ns |
| 3–5 | 8.7 (5.5–12.0) | | 9.6 (5.6–13.7) | | 3.1 (0–10.6) | |
| ≥ 6 | 6.3 (2.3–10.5) | | 6.7 (0–14.2) | | 6.3 (nc) | |
| Adverse cytogenetic | | | | | | |
| No | 8.8 (4.0–13.5) | ns | 10.5 (4.6–16.6) | ns | 6.3 (3.1–9.6) | ns |
| Yes | 8.1 (2.8–13.3) | | 8.1 (1.5–14.6) | | 9.4 (0.1–18.7) | |
| Complex karyotype | | | | | | |
| No | 8.8 (5.7–11.8) | ns | 9.0 (3.3–14.6) | ns | 8.2 (4.1–12.4) | ns |
| Yes | 8.1 (0.8–18.7) | | 8.1 (0.1–11.3) | | 3.3 (nc) | |
| ELN risk classification | | | | | | |
| Intermediate | 18.0 (7.8–28.3) | <i>p</i> = 0.034* | 11.9 (3.3–20.5) | | 18.03 (nc) | ns |
| Poor/adverse | 5.7 (3.7–13.0) | | 10.55 (0.14–20.9) | ns | 3.34 (nc) | |
| Response after the fourth cycle | | | | | | |
| CR | 28.1 (9.2–47.0) | | 28.1 (nc) | | 18.0 (nc) | |
| CRi | 14.0 (11.1–16.8) | | 20.3 (10.5–29.6) | <i>p</i> < 0.001* | 9.4 (6.8–11.9) | |
| PR | 21.3 (6.00–36.6) | <i>p</i> < 0.001* | 9.8 (1.0–29.5) | | 20 (nc) | <i>p</i> = 0.003* |
| SD | 14.7 (6.8–22.6) | | 14.7 (6.8–22.6) | | 10.5 (nc) | |
| PD | 6.3 (4.8–7.8) | | 6.8 (4.1–9.4) | | 5.6 (nc) | |
| Best response | | | | | | |
| CR | 28.3 (18.5–38.0) | <i>p</i> < 0.001* | 28.3 (27.9–28.7) | <i>p</i> < 0.001* | 18.0 (nc) | <i>p</i> = 0.002* |
| CRi | 15.3 (7.4–23.3) | | 20.3 (13.2–27.3) | | 8.23 (6.8–11.9) | |
| PR | 21.3 (0–50.1) | | 21.3 (1–41.4) | | 11.4 (nc) | |
| SD | 11.3 (9.3–13.3) | | 11.7 (9.7–13.6) | | 7.5 (nc) | |
| PD | 2.6 (1.9–3.4) | | 2.6 (1.7–3.6) | | 2.2 (0.6–3.7) | |

AML, acute myeloid leukemia; AZA, azacitidine; BM, bone marrow; CCI, Charlson comorbidity index, CR, complete remission; CRi, CR with incomplete hematologic recovery; DEC, decitabine; eGFR, estimated glomerular filtration rate; ELN, European Leukemia Net; Hb, hemoglobin, LDH, lactate dehydrogenase; na, not applicable; nc, not calculable; ns, not significant; OS, overall survival; PD, progressive disease; Plts-T, platelets transfusion; PR, partial remission; RBC-T, red blood cells transfusion; SD, stable disease; s-AML, secondary AML; t-AML, therapy-related AML; WBC, white blood cell

**p* value significant at < 0.05

Table 3 Multivariate analysis for overall survival and progression-free survival (Cox proportional hazards regression model)

| Covariate (categorical variables) | Entire cohort Overall survival | | AZA group Overall survival | | Entire cohort Progression-free survival | | AZA group Progression-free survival | | DEC group Progression-free survival | |
|--|--------------------------------|---------------|----------------------------|---------------|---|-------------|-------------------------------------|-------------|-------------------------------------|-------------|
| | HR ^{**} (95% CI) | p value | HR ^{**} (95% CI) | p value | HR (95% CI) | p value | HR (95% CI) | p value | HR (95% CI) | p value |
| BM blast count, $\geq 30\%$ | 3.19 (1.90–5.37) | $p < 0.001^*$ | 3.52 (1.94–6.39) | $p < 0.001^*$ | 2.65 (1.63–4.31) | $p < 0.001$ | 2.50 (1.43–4.36) | $p = 0.001$ | - | - |
| AML type, t-AML | 4.37 (1.47–12.69) | $p = 0.008^*$ | 5.25 (1.68–16.37) | $p = 0.004^*$ | 3.10 (1.05–9.12) | $p = 0.038$ | 3.30 (1.07–10.16) | $p = 0.038$ | - | - |
| Hb (g/dL) ≥ 9.25 | 0.54 (0.33–0.90) | $p = 0.018^*$ | 0.52 (0.28–0.94) | $p = 0.037^*$ | 0.59 (0.36–0.96) | $p = 0.043$ | 0.54 (0.30–0.98) | $p = 0.043$ | - | - |
| Baseline eGFR (mL/min/1.73m ²) ≥ 60 | 0.77 (0.47–1.26) | ns | 0.54 (0.29–1.01) | ns | 0.60 (0.36–0.97) | $p = 0.039$ | 0.53 (0.29–0.98) | $p = 0.044$ | - | - |
| RBC-T/cycle, 0 | 0.46 (0.21–0.99) | $p = 0.049^*$ | 0.49 (0.20–1.22) | ns | 0.45 (0.19–0.93) | $p = 0.031$ | 0.45 (0.19–1.06) | $p = 0.070$ | 0.30 (0.03–2.70) | ns |
| PIIs-T/cycle, 0 | 1.00 (0.63–1.60) | ns | 1.16 (0.67–2.04) | ns | 1.01 (0.64–1.61) | ns | 1.31 (0.75–2.28) | ns | 0.20 (0.06–0.66) | $p = 0.008$ |
| CR/CRi/PR | 0.18 (0.10–0.31) | $p < 0.001^*$ | 0.17 (0.09–0.32) | $p < 0.001^*$ | 0.16 (0.09–0.28) | $p < 0.001$ | 0.53 (0.28–0.98) | $p < 0.001$ | 0.10 (0.02–0.55) | $p = 0.008$ |
| Age (years) ≥ 75 | - | - | - | - | - | - | - | - | 2.46 (0.84–7.15) | ns |

AML, acute myeloid leukemia; AZA, azacitidine; BM, bone marrow; CI, confidence interval; CR, complete remission; CRi, CR with incomplete hematologic recovery; eGFR, estimated glomerular filtration rate; Hb, hemoglobin; ns, not significant; HR = hazard ratio; PIIs-T, platelets transfusion; PR, partial remission; RBC-T, red blood cells transfusion; t-AML, therapy-related AML

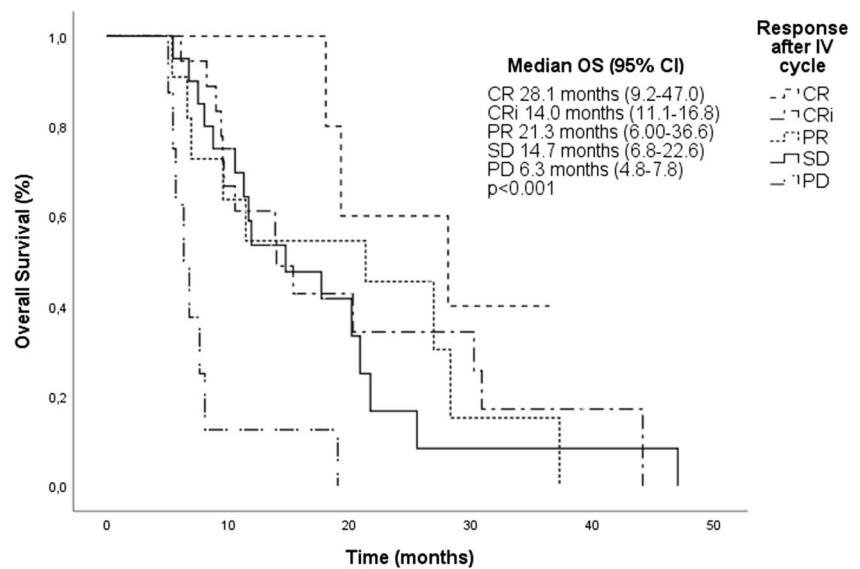
**Adjusted for age and gender

*p value significant at < 0.05

sample size and also because of the strict patient selection criteria in clinical trials. To date, no factor has emerged as clearly associated with the effectiveness of HMA therapy, in terms of response, OS, and PFS. In fact, a great heterogeneity of results has been reported in the literature. Quintas-Cardama et al. [22] have recognized advanced age, unfavorable cytogenetic characteristics, a worse PS, a high creatinine level, and a blast value at diagnosis as independent predictors of poor outcome at multivariate analysis in AZA-treated patients. Maurillo et al. [27] analyzing the outcome of 82 patients treated with AZA in the Italian-named patient program, reported that a de novo AML and a WBC count $< 10,000/\mu\text{L}$ were associated with a higher probability of response, while a WBC count $\geq 10,000/\mu\text{L}$ was the only factor significantly associated with a reduced OS. The French compassionate-named patient program allowed 149 patients not eligible to intensive chemotherapy to receive AZA as front-line treatment [19]. The analysis of this cohort revealed a predictive value of the cytogenetic risk on CR achievement. Adverse cytogenetics, a WBC count $> 15 \times 10^9/\text{L}$ and an Eastern Cooperative Oncology Group (ECOG) PS ≥ 2 independently prognosticated for a poor OS, unlike age and marrow blast count. An elevated WBC, an adverse cytogenetic category, an ECOG > 2 , and age had a statistically significant impact on OS in the retrospective analysis of the largest AZA-treated cohort ($n = 710$) reported by Falantes et al [21]. In our experience, a BM blast count $< 30\%$, a subgroup other than t-AML, a response \geq PR, Hb levels higher than the median value of the whole cohort (9.25 g/dL), and transfusion independence were associated with a better OS for the whole cohort. The same factors, with the addition of an eGFR ≥ 60 mL/min/1.73m², were also correlated with a better PFS in multivariate analysis; furthermore, a platelet count $\geq 79.5 \times 10^9/\text{L}$, no requirement of RBC-T during treatment and a baseline eGFR ≥ 60 mL/min/1.73 m were significantly associated with achievement of a CR/CRi/PR.

Controversies still exists regarding the predictive role of cytogenetics in patients treated with epigenetic therapy. Some experiences have suggested that the poor prognosis of an unfavorable cytogenetic risk and of a TP53 mutant genetic status may be mitigated with DEC therapy in AML [29, 30]. A post hoc analysis of the DACO-016 study highlighted improved response rates and PFS for patients with a MK who underwent DEC in comparison with that of the control arm [31]. On the contrary, the pooled analysis of the Italian AML consortium observational real-world study recognized a significant increased mortality in DEC-treated patients with adverse cytogenetics according to the Medical Research Council (MRC) classification [24]. Unfortunately, the prognostic value of the cytogenetic risk has not been confirmed in our experience probably due to the lack of data for a not negligible proportion of patients. Nevertheless, genetic risk assessment based on the ELN recommendations clearly distinguished

Fig. 4 Global OS according to the type of response after IV cycle of HMA



survival outcome for adverse and intermediate risk categories, despite the small percentage of patients' data available, at univariate analysis. The amount of RBC and platelet-transfusion requirement per cycle had a strong prognostic value in our analysis: no transfusion requirement during therapy was significantly associated with a better outcome, both for AZA- and DEC-treated patients in univariate analysis. While the prognostic role of RBC transfusion requirement is well-documented for both HMA [32, 33], a correlation between platelet-transfusion need and survival among AZA-treated patients has to the best of our knowledge not been previously reported. In this regard, our retrospective study suggests that platelet-transfusion independence obtaining during HMA treatment could be an important predictor of better survival also in the AZA subgroup, albeit this was not confirmed in multivariate analysis.

A significant benefit in survival for patients who obtained a RBC and platelet-transfusion independence without CR has been observed in the DACO-016 study [33], suggesting that CR is not the only therapeutic goal of treatment with HMA. Similar considerations could be made for AZA concerning the reported survival advantage over conventional treatment even in patients who did not achieve a CR in the AZA-AML-01 trial [11]. In our study, a SD after the 4th cycle of HMA based on the ELN criteria was associated with an OS and PFS similar to those observed in patients with a \geq PR response. Our findings reinforce the indication to continue HMA therapy as long as possible, if tolerated, since not achieving an early CR does not necessarily translate into a therapeutic failure in unfit AML patients. Although cell count stabilization could theoretically lead to improved survival rate due to the less number of complications, we did not demonstrate differences in terms of infectious events according to type of response. Further

prospective investigation in real-life setting is warranted in order to answer these questions.

However, the duration of all types of response are short and, in agreement with other reports [13], the main cause of death remains AML progression, underlying the importance of combining HMA with other drugs. In this regard, assessment of response based on BM blast count, discriminating a SD from PD, could be useful to select patients who may benefit of new combination treatments.

In our study, infections were the second reason of death, demonstrating that the risk of infection during HMA therapy is becoming a relevant issue, in line with the emergent need of identifying possible infection risk factors and, accordingly, the most correct preventive strategies [34]. Indeed, primary antimicrobial prophylaxis is not routinely performed in our center, but it is guided from clinical history of the single patient and adapted to the epidemiological infectious data, certainly changed during the years. Nevertheless, we believe that the availability of a dedicated Hematologic Emergency Unit, which all patients being referred to, makes reliable our attempt in giving real-life experience concerning the infectious complications during HMA therapy, despite the long period considered, the lacking of standardized antimicrobial prophylaxis and the development of new antimicrobial agents over the years. Pneumoniae was the most frequent infection complication in our experience. Despite the relatively small sample size and the retrospective nature of the study, we could document an earlier appearance of the first infection complications in the DEC group than in AZA-treated patients. Furthermore, the severity of the second infective episodes was greater in the DEC group than in AZA-treated patients. These results may be related to the higher blast count ($> 30\%$) in the DEC patients over the AZA group or to the greater myelosuppressive effect of DEC. In line with our observations, other

retrospective comparisons found no notable differences between the two HMA according to response to treatment and survival [35–37], although DEC appears to be more myelotoxic than AZA in the real-life setting [35]. Moreover, Smith et al. [38] analyzing 487 elderly AML patients who received HMA reported a longer hospitalization, mainly due to infections, and a significant inferior OS in the DEC group. Talati et al. [39] described a superior OS for non-MK AZA-treated patients compared with DEC. On the contrary, patients treated with DEC had a significant survival advantage compared with those treated with AZA in the MDACC experience [22]. A more recent large SEER-Medicare linked database population-based study confirmed this assumption, showing an inferior OS for the AZA cohort compared with the DEC case series [40]. In conclusion, our retrospective study, despite the lack of robust correlation with genetic features, provides a valid comparison between the two HMA, identifying several clinical factors predictive for OS and suggesting no difference in efficacy among AZA and DEC in the real-life setting.

Authors' contributions MB and SP wrote the manuscript and analyzed data; GC and DD performed molecular analyses; MMan performed cytogenetic analysis; ES, RL, and ADP followed patients and collected data; and MMar and RF revised the final version of the paper.

Compliance with ethical standards

Conflicts of interest MB received honoraria from Novartis, Pfizer, Incyte, and Celgene. All the other authors declare that they have no conflict of interests.

Ethical approval All procedures performed in studies involving human participants were in accordance with the ethical standards of the responsible institution and/or national research committee and with the 1964 Helsinki Declaration and its later amendments or comparable ethical standards. For this kind of study, formal consent is not required.

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